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## Impact of physico-chemical properties of nanocellulose on rheology of aqueous suspensions and its utility in multiple fields: A review

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#### Abstract

Nanocellulose (NC), due to its sustainable nature, high aspect ratio, superior mechanical strength, and availability of functionalizable OH groups, has been widely utilized as reinforcement in numerous fluids/plastics. The physico-chemical properties of NC, like surface characteristics, dimensions/ aspect ratio and their concentration, significantly impact the interparticle interactions, such as the extent of hydrogen bonding, van der Waal forces, hydrophobicity, electrostatic attraction/repulsion, and cellulose entanglement, and have been found to play a critical role in regulating the overall rheological characteristics of fluids. The functionalized NC aqueous suspension exhibited unique shear thinning properties, thixotropic behavior, and quick steady-state viscosity recovery and viscoelastic properties. However, upon adding functionalized NC to other fluids, a different impact was noticed. For instance, it improved the viscosity,  $G'$  and mechanical stability of bio-ink; the setting time and mechanical strength of cementitious fluids; increased the filtration performance and provided a unique thermo-thickening impact in case of water-based drilling-fluid; enhanced viscosity with time and heat in case of oil recovery, and so forth. Keeping in view the notable dependence of the rheology of fluids on NC additives, in the present review article, the impact of various physico-chemical properties of NC additives on the rheological behavior of NC aqueous suspension and its utility as a rheology modifier in multiple advanced fields has been explored. This review article, compared to previous studies, warrants an update on the impact of recent NC surface functionalization/ blending techniques employed and NC aspect ratio on specific properties of multiple advanced fluids.

#### KEYWORDS

3D-bio-printing, aqueous suspension, food additives, NC, post-processing, rheology

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### 1 | INTRODUCTION

Due to the non-biodegradable nature of petroleum-based products, researchers have focused their attention on developing smart, high-performance, bio-compatible, biodegradable, and sustainable materials.<sup>[1-4]</sup> In this context, agricultural and forest residues are viewed as renewable natural resources.[\[5,6](#page-30-0)] Their significant potential for the creation of numerous engineered goods has been confirmed by the wide range of industrial activities related to paper, polymer composites, water purifiers, forest prod-ucts, cellophane films, dietary fibers, and so forth.<sup>[7-[11](#page-30-0)]-</sup> The plants and trees have an essential basic reinforcing element called "cellulose," which endow these forestbased assets with tremendous strength and capabilities.[[8,12,13](#page-30-0)] Further, the discrete hierarchical cellulose structure that spans from the macroscale to nanoscale dimensions opens the opportunity to investigate these plant-/trees-based materials at nanoscale dimen-sions<sup>[[7,8,14](#page-30-0)–17]</sup> (Figure 1).

Conversion of cellulose microfibrils, which are composed of cellulose, lignin, and hemicelluloses, into nano cellulosic form is necessary for sustainable development involving nanotechnology in both industrial and research fields because of its alluring characteristics unlike conventional cellulosic products, including high surface area, outstanding mechanical qualities, abundant hydroxyl groups for surface functionalization, and bio-compatible nature.[\[18](#page-30-0)–20] The source of origin and processes applied for the extraction of nanocellulose (NC), have a significant impact on the surface morphology and various other

properties of NC, including their percent crystalline nature, tensile strength, elastic modulus, thermal strength, colloidal behavior, liquid crystallinity, self-assembling properties, optical characteristics, and impermeability. Due to the abundance of hydroxyl groups, NC offers a simple and easily accessible platform for surface functionalization utilizing various physical and chemical techniques.<sup>[[6,13,21](#page-30-0)]</sup> These techniques, in addition to providing solutions to many of the issues related to their practical uses, also enable the production of various NC-based high-value products. Over the past decade, NC has been used as templates, fillers or components in a wide range of emerging fields, such as in water treatment,<sup>[[19,22,23\]](#page-30-0)</sup> polymer composites,<sup>[\[24,25\]](#page-30-0)</sup> food industry,<sup>[\[26,27](#page-30-0)]</sup> aerogels and hydrogels,<sup>[[28\]](#page-30-0)</sup> catalysis,<sup>[[29](#page-30-0)]</sup> electronic and electrical industry, $[6,30]$  $[6,30]$  $[6,30]$  and liquid crystal templates.[\[31\]](#page-30-0)

Nowadays, the rheological properties of NC suspensions, such as their shear thinning behavior, yield stress, viscosity, viscoelasticity, and thixotropy, have received a lot of attention.<sup>[32–[34](#page-30-0)]</sup> At a suitable concentration, NC produces a highly viscous aqueous suspension due to the prevalence of percolation interconnections/networks and chemical bonding/attractions (such as electrostatic repulsion and attraction, hydrogen bonding, van der Waals and hydrophobic attraction) along with entanglement. Continuous shearing of suspension causes NC to align itself with the flow direction, thus showing shear thinning behavior. Furthermore, NC suspension reverts at rest to their initial/original structure (thixotropic property), facilitating faster recovery of viscoelastic properties and steady-state viscosity. Due to their appealing flow



FIGURE 1 Schematic view of top-down cellulose hierarchical structure.

characteristics, NC can be utilized as efficient rheological modifiers in many applications.

Considering the huge potential of cellulose, the present review article has been focused on the summarization of its ability as a rheology modifier in a broad range of applications. No doubt, a couple of review articles have already been published mentioning the potential of NC as a rheology modifier and multiple factors such as time, temperature, shear rate, polyelectrolyte impact, and so forth, affecting their rheological behavior.<sup>[\[35,36\]](#page-30-0)</sup>In their review arti-cle, Yadav et al.<sup>[[28](#page-30-0)]</sup> focused on the procurement of nano cellulose from plant-based sources and its use in the biomaterial field as bio-ink for 3D bio-printing. On the other hand, Li et al.<sup>[\[29](#page-30-0)]</sup> in their review article, have detailed the cellulose nanomaterial's rheology dependence on test protocols along with its application in the fluid field.

However, in the current review article, endeavors have been made on a thorough examination of the effect of physico-chemical properties of nanocellulose additives on the rheology of NC in aqueous suspensions and its use in a variety of cutting-edge applications in the last 2–3 years, including bio-medical, food, oil, and polymer industries, and so forth, that have not been covered in the previous literature.

#### 2 | SYNTHESIS AND COMPARATIVE VIEW OF PHYSICO-CHEMICAL PROPERTIES OF DIFFERENT TYPES OF NANOCELLULOSE

Depending upon dimensions and source of origin, NC can be categorized into three types, namely cellulose nanofibers (CNF), cellulose nanocrystals (CNCs) and bacterial NC (synthesized by bacteria) $^{[37-39]}$  $^{[37-39]}$  $^{[37-39]}$  (Figure [2\)](#page-4-0). The former two, extracted from cellulosic materials such as plants, trees, seeds, agriculture wastes, nuts, and so forth, are of prime interest and are also thoroughly investigated in the present review. The intrinsic features like size, mechanical toughness, % crystallinity, and morphology of NC vary with the type of fibers and are also influenced by the type of extraction techniques employed or by the source of nanofibers.[\[13,19,22,40](#page-30-0)] The physico-chemical properties of two nano cellulosic forms, that is, CNCs and CNFs, which have been most preferably utilized as rheology modifiers in multidimensional applications, are discussed in Table [1](#page-5-0). It can be inferred from the table that CNCs are denser, more crystalline, and have a superior aspect ratio than CNFs. Acid hydrolysis techniques generally synthesize CNCs. Commonly utilized acids are hydrochloric, sulfuric, phosphoric, and formic acids. The corresponding CNCs in the present article have been abbreviated as H-CNCs, S-CNCs, P-CNCs, and F-CNCs, respectively.



The acid hydrolysis technique removes selective amorphous cellulose regions and thus produces highly crystalline particles with source-dependent dimensions, for example, 5–20 nm  $\times$  100–500 nm for plant source  $CNCs$ .<sup>[[13](#page-30-0)]</sup> Sulfuric acid, phosphoric acid hydrolysis and oxalic acid/2,2,6,6-tetramethylpiperidine-1-oxyl radical(TEMPO) oxidation lead to the grafting of negatively charged sulphate half-esters, phosphates and carboxylate groups onto the surface of the CNCs, which prevents the CNCs from aggregating in aqueous suspensions because of electrostatic repulsion between charged groups present on the NC. Additionally, the rod-like form of CNCs supports liquid crystals' concentration-dependent self-assembly activity. The effect of various parameters like temperature, time, acid concentration, and relative proportions of cellulose and acids on % crystallinity, surface chemistry, and % yield of CNCs have been investigated by numerous researchers.[41–[45](#page-30-0)]

It has been observed that the proportion of sulphate groups on CNC surfaces increases with a rise in sulfuric acid concentration but is rarely affected by hydrolysis time. Additionally, low temperature promotes the existence of more sulphate groups, whereas high temperature may cause de-esterification and result in fewer sulphate groups. In contrast to the above study, the percentage of phosphate groups onto P-CNCs found to depend on temperature and hydrolysis timing only.<sup>[[44](#page-30-0)]</sup> Similarly, the number of carboxyl groups onto TO-CNCs surface was also found to increase with the increase in temperature and time of reactions.<sup>[\[45\]](#page-30-0)</sup> Additionally, it has been demonstrated that the acid hydrolysis process employed affects CNC thermal stability of CNCs. For instance, P-CNCs displayed better thermal stability than S-CNCs.

CNFs, unlike CNCs, are comparatively long (micrometers in length) and contain both crystalline cellulose and amorphous regions. $[46]$  $[46]$  $[46]$  The intertwined long CNFs produce highly viscous aqueous suspensions at comparatively low concentrations (<1%). Three different techniques, namely mechanical treatments (such as milling, grinding and homogenization), chemical treatments (TEMPO oxidation, carboxymethylation, sulfonation, etc.); and chemical/enzymatic pretreatments followed by mechanical disintegration can be used to extract CNFs from cellulosic materials.[\[36,46](#page-30-0)–48] In the present article, CNFs synthesized through pretreatment steps of sulfonation, enzymatic, TEMPO oxidation, periodate-chlorite oxidation, and carboxymethylation prior to mechanical deterioration have been designated as S-CNFs, E-CNFs, TO-CNF, PC-CNFs and CM-CNFs respectively. Here, chemical pretreatments, in addition to controlling the CNFs morphology, also change its surface chemistry and charges.

<span id="page-4-0"></span>

FIGURE 2 Schematic view of the formation of (i) CNCs and CNFs, and the TEM images of CNCs extracted from wood pulp using enzymatic technique and CNFs prepared from bleached softwood sulfate pulp.<sup>[\[37](#page-30-0)–39]</sup> (TEM images of CNCs and CNFs reprinted from Refs. [[37,38](#page-30-0)] respectively, under creative common license CC BY license, MDPI publisher) and (ii) bacterial NC.

#### 3 | NANOCELLULOSE-FLUID RHEOLOGY

NC suspensions exhibit very complex flow behaviors because of the complex surface chemistry and morphology of NC. Other researchers have already discussed the detailed analysis of its flow nature,[\[28,29,36](#page-30-0)] so here we will be precisely explaining its role. The aqueous suspensions of CNCs and CNFs cellulose show lyotropic phase behavior and flocculation behavior, respectively. On increasing the shear rate, CNC and CNFs exhibit shear thinning behavior and gradually align along the flow direction. The



<span id="page-5-0"></span>TABLE 1 Extraction techniques, dimension, mechanical strength, % crystallinity and characteristics of NC extracted from plants/ agriculture wastes.



flocculation of CNF, lyotropic phase of CNCs, and shearinduced orientation behaviors are critically relevant for their rheological properties.

Fluids are generally of two types, namely Newtonian and non-Newtonian fluids (Figure [3A,B](#page-6-0)). Newtonian fluids show constant viscosity; adding a dispersed phase to them may lead to numerous non-Newtonian behaviors of the resulting fluids, that is, shear-thinning (pseudoplastic), shear thickening, Bingham plastic, and Bingham pseudoplastic.<sup>[[36\]](#page-30-0)</sup> The shear-thinning fluids exhibit a gradual decrease in viscosity with an increase in shear rate. Contrary to this, in shear-thickening fluids, the viscosity of fluids increases with an increase in shear rate. Bingham plastic fluids behave as rigid solids below the yield stress, above which these fluids obey Newtonian fluids behavior. Bingham pseudo plastic fluids, just like Bingham plastic fluids, also exhibit a yield stress, but above the yield stress, they show shear-thinning behavior.

Further, thixotropic and rheopectic fluids also come under the heading of Non-Newtonian fluids. Thixotropic fluid is a time-dependent shear thinning fluid, while rheopectic fluid is a time-dependent shear thickening fluid. That simply means the former becomes less viscous and the latter more viscous or even solidified over time when sheared, agitated, or shaken.

The viscoelastic behavior of NC suspensions can be studied by measurement of change in oscillatory shear as functions of strain, γ, (strain sweep) and frequency, ω, (frequency sweep). In the linear region, the strain sweep curve of CNC suspensions showed stable storage modulus  $(G')$  and loss modulus  $(G'')$  (Figure [3C\)](#page-6-0). However, above a critical strain value (γc), a nonlinear region appears in which the dynamic moduli vary as a function of the strain, and in most cases, they decrease with an increase in strain.<sup>[[50](#page-30-0)]</sup> The shape of the frequency sweep curve depends on the properties of viscoelastic materials. For solid viscoelastic materials,  $G''$  varies linearly,

<span id="page-6-0"></span>

FIGURE 3 (A) Shear stress, (B) viscosity curves versus shear rate (C) viscoelasticity versus strain for different types of fluids and (D) self assembly of CNCs and CNFs with increase in their concentration in aqueous suspension.<sup>[[36,51](#page-30-0)]</sup> (A part of the Figure has been adapted from Ref. [[51](#page-30-0)] Copyright {2021} American Chemical Society).

whereas  $G'$  remains independent of the frequency; in the case of gel-like materials, both  $G''$  and  $G'$  remain independent of the frequency; for the viscoelastic liquids, both  $G''$  and  $G'$  increase gradually with the enhancement in frequency.

Rheological characteristics of fluids depend upon time, temperature, shear rate and the physicochemical properties of dispersed particles. Aspect ratio and shear rate are two governing factors in the case of rod- and fibril-like NC.

#### 4 | IMPACT OF PHYSICO-CHEMICAL PROPERTIES OF NANOCELLULOSE ON THE RHEOLOGY OF AQUEOUS SUSPENSIONS

Contrary to previous review in this sector,  $[36]$  extensive analysis of the NC aspect ratio extracted from various bio-sources, the effect of crowding factor in determining overall rheology and the influence of several advanced graft copolymerization/functionalization procedures such as polyethyleneimine grafting, azetidinium salts grafting, copper bromide and polyvinylcaprolactum modification, and so forth, on rheology of NC suspension have been reviewed in present paper.

#### 4.1 | Rheology of cellulose nanocrystals suspension.

Surface chemistry and the aspect ratio of CNC are the crucial physicochemical parameters that govern the concentration-dependent lyotropic phase formation of CNC suspensions.[[52,53](#page-30-0)] The impact of the physicochemical properties of CNCs on the rheology of aqueous suspension has been discussed below.

#### 4.1.1 | Rheology variation with concentration

Due to smaller dimensions and a moderate aspect ratio, CNCs, in comparison to CNFs, align themselves more readily along the direction of shear, thus considerably impacting the rheology characteristics of CNC suspensions. It has been observed that with a gradual enhancement in CNC concentration, their dispersion readily undergoes a transition from isotropic to biphasic phase, comprising both liquid crystalline and isotropic, to fully liquid crystalline and finally to gel form (Figure [3D](#page-6-0) (i)).

Urena-Benavides et al.<sup>[[53](#page-30-0)]</sup> have confirmed the generation of biphasic, liquid crystalline phase, and gel with the increase in CNC concentration using polarized optical microscopy (POM). They reported that the transition from isotropic to biphasic phase for S-CNC (extracted from cotton) suspensions took place when concentration was increased upto 4.5, 4.05 and 4.2 wt.% at  $45^{\circ}$ ,  $20^{\circ}$ , and 4C, respectively. However, upon a further increase in CNC concentration, the transformation from biphasic to entirely liquid phase and finally to gel has been demonstrated by them. Their results were found to be consistent with the finding of Dong et al., who introduced the term "critical concentration" for a change in phase.<sup>[[54](#page-30-0)]</sup> Bercea and Navard have confirmed the first birefringent liquid crystalline domains in S-CNC suspension at a very low 0.8 wt.% by employing crossed optical microscopy tech-nique.<sup>[[55\]](#page-30-0)</sup> The transformations from a dilute to a semidilute and finally to a concentrated regime have been confirmed utilizing the rigid rod approximation technique at a volume fraction of  $f^{-2}$  and  $f^{-1}$ , respectively.

Various techniques have been utilized to confirm the orientation of CNCs under shear stress, such as small angle light scattering (SALS),<sup>[\[56\]](#page-30-0)</sup> small angle neutron scattering  $(SANS)$ ,<sup>[\[57](#page-30-0)]</sup> small angle X-ray scattering  $(SAXS)$ ,<sup>[\[58](#page-30-0)]</sup> SEM<sup>[\[59\]](#page-30-0)</sup> and POM<sup>[[60\]](#page-30-0)</sup> observations.

Shafiei-Sabet et al. $[60]$  $[60]$  studied the impact of ultrasound energy (ranging from  $0-5000 \text{ J/g}$  CNCs) by employing a polarized optical microscopy technique on rheological properties of 5 and 7 wt.% CNCs suspensions. They reported that ultrasound energy impacts the microstructure of CNCs suspension, and their suspension shows gel-like behavior before sonication; however, they reported shear thinning behavior after sonication. Viscosity has been noticed to drop significantly in three different regions typical to that of lyotropic liquid crystals. In region I, alignment of the chiral nematic liquid crystal domains take place, which is then followed by a plateau region (II region), where the domains orient themselves along the direction of shear stress and finally region III, which involves destruction of liquid crystal domains at

higher shear stress leading to orientation of individual nano rods along shear stress.

When nano crystalline cellulose (S-CNC) was varied from 1 to 11.9 wt.% concentration, a significant enhancement in the viscosity of suspensions was observed due to the formation of a complex/strong network between  $NC^{[61]}$  $NC^{[61]}$  $NC^{[61]}$ (Figure [4A,B\)](#page-8-0). Further, at low concentrations, up to 3 wt.%, nano cellulose suspensions showed near-Newtonian behavior, that is, very low shear thinning behavior and power-law  $(G' = kC^n;$ k represents fibers characteristics and value of n indicates the concentration-dependent network of NC suspensions) response between shear stress and shear strain with exponents of between 0.91 and 1. Here, a higher 'n' (power law-exponent) value indicates more dependence on the concentration of the cellulose network. However, when NC concentration was varied from 4–7 wt.%, suspensions showed a slight shear thinning behavior at a low shear rate. Shear thinning becomes quite significant at a higher shear rate, with 'n' values decreasing from 0.74 (4 wt.%) to 0.29 (7 wt.%). On further increase in the concentrations to 11.8 wt.%, the samples showed strong shear thinning power law behavior with 'n' values approaching zero, which is the property of a yield stress fluid. This behavior has been attributed to the formation of closely packed structures, resulting in poor particle motion at higher cellulose concentrations.<sup>[[53,62](#page-30-0)]</sup>

Contrary to the above results, Shahabuddin et al.,  $[63]$  $[63]$  $[63]$ in their study, while evaluating the impact of S-CNCs and P-CNCs concentrations (varied from 1–5 wt.%) onto their rheological properties, found no Newtonian plateau region(Figure [4C,D](#page-8-0)). They reported a shear thinning behavior for all samples at varying shear rate. Further, among S-CNC and P-CNCs, the later was reported to have higher viscosity owing to the presence of a higher number of hydroxyl groups on the S-CNCs surface, resulting in better interaction of S-CNCs with water molecules, which in turn increased the hydration forces and thus lowered the viscosity.<sup>[[64\]](#page-31-0)</sup>

Isotropic behavior, that is, shear thinning at lower shear rates and Newtonian behavior at intermediate and higher shear rate was demonstrated for CNCs suspended at 1 wt.% concentration. However, when concentration was increased from  $1 \text{ wt}\%$ .<sup>[[65](#page-31-0)]</sup> or CNCs is catinocally modified, then the viscosity of suspension was noticed to vary in three regions (shear-thinning followed by shear thickening and again shear thinning). Further, for cationically modified samples, gel formation was noted for samples at both 1 and 2 wt.% suspensions, which has been confirmed through dynamic viscoelasticity study, showing differences in storage and loss modules, that is,  $G' > G''$ .

<span id="page-8-0"></span>

FIGURE 4 Rheology of CNCs suspensions in water, (A) apparent viscosity versus shear rate for S-CNC suspension (1–11.9 wt.%), (B) shear stress versus shear rate for S-CNC. Here solid lines represent the power law fits to regions of the flow curves.<sup>[[61](#page-30-0)]</sup> ("Figure A and B reprinted with permission from Ref. [[61\]](#page-30-0). Copyright {2017} Elsevier.") (C) viscosity versus shear rate for P-CNC (1–5 wt.%) and (D) viscosity versus shear rate for S-CNC (1–5 wt.% suspension) ("Figure C & D reprinted with permission from Ref. [[63](#page-31-0)]. Copyright {2022} Elsevier.").

#### 4.1.2 | Rheology variation with dimensions/ aspect ratio of cellulose nanocrystals

The rheological characteristics of NC suspensions were found to largely depend upon the size of the nanomaterials and infact noted to be directly proportional to aspect ratio and their dispersion microstructure.[\[36\]](#page-30-0) A couple of research articles<sup>[\[56,61,64,66](#page-30-0)-72]</sup> have been reported on the dependency of rheological characteristics on the aspect ratio of CNCs.

Since the aspect ratio or dimensions of CNCs can be easily determined using TEM and AFM techniques, their impact on rheological properties can be easily co-related. For 1 wt.% suspension of CNCs having an aspect ratio greater than 35, in addition to high viscosity at a lower shear rate, a shear thinning behavior with an increase in

shear rate was observed (shear rate varied from  $10^{-1}$  to 10<sup>3</sup>). However, for CNCs with an aspect ratio less than 35, Newtonian behavior and shear thickening or shear thinning were observed in the shear range 1 to  $1000 \text{ s}^{-1}$ and  $10^{-1}$  to 1, respectively. The variation in viscosity of CNCs over the range of  $10^{-3}$  to  $10^{2}$  Pa with the variation in aspect ratio allows CNCs to be utilized as rheological modifiers in a wide range of commercial applications.

Babaei-Ghazvini and Acharya<sup>[[73](#page-31-0)]</sup> studied the impact of aspect ratio of CNCs extracted from club tunicates (TCNC: 65 aspect ratio) and wood (WCNC: 15 aspect ratio), and their hybrid mixtures (HCNC: TCNC +WCNC) on the rheology of resulted CNC suspension (Figure [5A](#page-9-0)). A strong co-relation between average viscosity of CNC suspension (at 1 wt.%) and aspect ratio of CNCs has been confirmed by them. When compared at

FIGURE 5 Steady-shear viscosity of (i) 1 wt% TCNC, HCNC, and WCNC suspensions and (ii) 1.5 wt.% spherical and rod line CNCs suspension. ("Figure 'a' reprinted from Ref. [[73\]](#page-31-0). Copyright {2022} Elsevier Creative Commons CC-BY-NC-ND" and "Figure 'B' reprinted with permission from Ref. [\[64\]](#page-31-0). Copyright {2017} Elsevier.")

<span id="page-9-0"></span>

same shear rate, TCNC showed maximum viscosity of 1063 Pa s followed by HCNC (85 Pa s) and WCNC (0.02 Pa s). The higher viscosity of TCNCs in comparison to WCNC has been related to stronger TCNCs interparticular interactions because of higher aspect ratio, which in turn has led to increased interparticle hydrogen bonding and thus higher viscosity for TCNC than WCNCs. Further, both TCNC and HCNC suspensions were found to exhibit non-Newtonian shear-thinning behavior, but contrary to it, WCNC suspension showed Newtonian flow behavior. The XRD analysis revealed that WCNCs films were unable to maintain the anisotropic effect in their structure and form chiral nematic structures in response to shear forces. However, at the same concentration, HCNC and TCNC films showed anisotropic characteristics. Zhou et al. $[64]$  $[64]$  $[64]$  also confirmed an increase in the viscosity of CNCs suspensions (0.25– 1.5 wt.%) with an increase in an aspect ratio of nanoparticles. Figure 5B displays the comparative view of viscosity for spherical and rod-like CNCs at 1.5 wt.% suspension. As the aspect ratio of nanocrystals increases from 1 (rodlike CNCs) to 5–25 (spherical CNCs), the viscosity of suspension also increases. From the data above, it can be inferred that aspect ratio (length/diameter) has a significant impact on the rheology of CNCs suspension, and as a result, extreme caution should be used while choosing the extraction method for CNCs from waste biomass.

#### 4.1.3 | Rheology variation with surface functionalization/extraction technique employed

The type of charge, its density, functional groups, and hydrophilic and hydrophobic nature of functional groups grafted onto surface NC plays a crucial role in controlling the rheology characteristics of NC suspension by altering physical and chemical interactions among neighboring CNCs or CNFs. With diverse surface chemistry, NC can

be created by employing different isolation/production methods or surface functionalization techniques. The repulsive or attractive forces between NC, depending on the contiguous geometry of fortifying particles within the mixture, impact the overall rheological properties of sus-pension.<sup>[[74](#page-31-0)]</sup> The contiguous geometry of particles causes an increase in the yield stress,  $[75]$  $[75]$  whereas when multiparticle geometry is broken or discontinuous, overall interaction among particles can result in a reduction in viscosity.[\[76\]](#page-31-0) The impact of different NC isolation and surface modification techniques on the rheological properties of their suspensions has been summarized in Table [2.](#page-10-0)

Contrary to CNFs, for CNCs, a decrease in viscosity and  $G'$  was noticed with an increase in charged functional groups density. This behavior has been attributed to the better dispersion of CNCs because of diminished chemical interaction among CNCs and increased electro-static repulsion between similarly charged CNCs.<sup>[\[63,77,78](#page-31-0)]</sup> The rheological characteristics of synthesized CNC can be tailored by various surface modification techniques such as desulfonation<sup>[\[79](#page-31-0)]</sup> and hydrophobization,<sup>[80–[84](#page-31-0)]</sup> which impact the rheology characteristics of CNCs according to the type of charge or hydrophilic or hydrophobic nature.

For S-CNC, with increases in sulphate group contents from 0.27 to 0.49, and 0.57% and 0.89%, a significant decrement in the viscosity of samples was observed.[\[77](#page-31-0)] Shafiei-Sabet et al. also observed similar results upon increasing the degree of sulfation on CNCs.[\[78\]](#page-31-0) However, some authors have reported results opposite to Shafiei-Sabet et al. They found an increase in viscosity with an increase in sulphate contents and demonstrated that this behavior might depend upon the type of equipment used for measurement of rheometry (capillary rheometer or more typical shear rheometer). Further, an increase in viscosity was noticed after the hydrophobization or desulfation of CNCs. This behavior has been attributed to the self-aggregation of hydropho-bic CNCs in suspension.<sup>[79–[84\]](#page-31-0)</sup>



<span id="page-10-0"></span>





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#### 4.2 | Rheology of cellulose nanofibers suspension

#### 4.2.1 | Rheology variation with cellulose nano fibers concentration

CNF, due to stiff inter-fibrillar interactions, becomes highly entangled and thus forms floccules (Figure [3D](#page-6-0) (ii)). The formation of flocs and shear-induced orientation of CNF control the rheological properties of CNF suspensions at steady shearing and rest conditions.

Different physical techniques such as optical coher-ence tomography,<sup>[[85,86\]](#page-31-0)</sup> photoelastic modulator,<sup>[[87\]](#page-31-0)</sup> digital imaging,<sup>[\[88,89](#page-31-0)]</sup> ultrasonic speckle velocimetry<sup>[\[90](#page-31-0)]</sup> and magnetic resonance imaging velocimetry[\[91](#page-31-0)] have been utilized by different researchers to monitor the microstructure changes of CNF during shear rheology evaluation. With the help of an optical coherence tomography device in combination with a pipe rheometer<sup>[[85\]](#page-31-0)</sup> or rotational rheometer,[\[86\]](#page-31-0) it has been confirmed that highly elongated flocs flow along the shearing direction below the yield stress and above the yield stress flocs become almost spherical. The size of spherical flocs was noticed to be monotonically reduced when shear stress was further increased. Karppinen et al.<sup>[\[89\]](#page-31-0)</sup> and Saarikoski et al.,<sup>[[88\]](#page-31-0)</sup> during their study on the relationship between rheological characteristics and microstructure of UN-CNF flocs by using digitally imaging technique (in transparent and cylindrical test geometry) found that microstructural variations of flocs largely depends upon changes in shear stress with enhancement in shear rate. Shear induced orientation of fibrils in TEMPO oxidized-CNF suspension was confirmed utilizing photo-elastic modulator (having a parallel plate geometry), and have been found to be have parallel alignment along the flow direction when shear rate was in intermediate range (30–40  $s^{-1}$ ).<sup>[\[87](#page-31-0)]</sup> Further, the two dimensional spatial and time-resolved characterization of the shear flow of E-CNF and TO-CNF suspensions was done with the help of ultrasonic speckle velocimetry technique.<sup>[\[90\]](#page-31-0)</sup> For E-CNF in comparison to TO-CNF suspensions, spatial velocity map was noticed to be very erratic confirming presence of flocs in the E-CNF suspension and absence of mesoscale flocs in micrometric scale in case of TO-CNF. The difference in flocs behavior of two types of CNF has been attributed to presence of highly charged surface onto TO-CNF, which leads to homogenous dispersion.

Switzer and Klingenberg<sup>[\[92\]](#page-31-0)</sup> demonstrated that the fiber flocculation directly influences the rheological characteristics of the fiber suspension and is supposed to initiate above a certain threshold concentration of fibers, where fibers come into continuous contact in a network structure with each other. To parameterize the number

of contacts between the fibers based on aspect ratio and concentration, Kerekes and Schell defined a crowding factor (N), which means a number of fibers having a spherical volume of diameter equal to the fiber's  $length.<sup>[93]</sup>$  $length.<sup>[93]</sup>$  $length.<sup>[93]</sup>$ 

$$
N = 2/3\varphi(1/d^2) = 2/3\varphi A^2.
$$

Where, ϕ, l, d and A represent the concentration, length, diameter and aspect ratio of the fibers, respectively. When N lies less than 1, that means flocculation does not occur, that is, each fiber can rotate freely to the other. However, when the value of  $60 < N$ , then fibers form a connected network with each other and show no mobility of individual fibers. However, in the range  $60 > N > 1$ , nil possibility of a connected network has been reported, but fibers in this range may form flocculate because of shear-induced rotation as well due to the creation of flocs.[[93,94](#page-31-0)]

However, in the case of CNF suspensions, one should take into account the polydispersity of the fiber length when estimating the crowding factor. In the case of CNFs, a number of contact points are possible, which may lead to an underestimation/miscalculation of the crowding factor. Kropholler and Sampson<sup>[[95](#page-31-0)]</sup> applied the following correction term to the crowding factor in order to account for this.

$$
N' = N (1 + CV^2)^4.
$$

Here  $N'$  is the corrected crowding factor, and CV is the coefficient of variation (related to the polydispersity) and is calculated by dividing the standard deviation of the length distribution with the average length value.

Wang et al.,<sup>[\[96](#page-31-0)]</sup> during their steady of shear thinning behavior of varying amounts (0.1 to 1.0 mg/mL) of low and high-charged TO-CNFs suspension, reported a corrected crowding factor  $(N') \approx 14$  at overlap concentrations, that is, 0.52 and 0.33 mg/mL in case of low and high charged CNFs, respectively. They demonstrated a dilution regime for suspension at  $N' < 14$  and semi dilution regime at  $N' > 14$ . At higher charges, the length of CNFs remains the same, but the aspect ratio increases because of decrement in cross-section dimensions, which in turn leads to a larger value of N' for densely charged CNFs. Further, an exponent value of 1.2 and 3.4, and 1.0 and 2.8 was noticed for lowand high-charged TO-CNFs below and above the overlap concentrations, respectively. Contrary to Wang et al., a couple of researchers reported a broad range of exponent values for TO-CNFs, for example, 2.5,<sup>[\[97](#page-31-0)]</sup> 3,<sup>[\[98](#page-31-0)]</sup> 4.6,<sup>[\[99\]](#page-31-0)</sup> and  $4.62^{[100]}$  $4.62^{[100]}$  $4.62^{[100]}$  for TO-CNFs. Contradictory to the above results,

<span id="page-14-0"></span>**14 WILEY SPOR INSPIRING**<br> **THE EXECUTION CONSUMING THE CHINOLOGY**<br> **THE CHINOLOGY** 

Wang et al.,  $\left[101\right]$  $\left[101\right]$  $\left[101\right]$  in another study, demonstrated a crowding factor value of 16 for CNFs suspension and reported that this variation might be because of the different parent sources of the CNFs, inconsistencies in the pretreatment applied, resulting in fibers of different dimensions, crystallinity, and fiber flexibility. Further, exponent values for enzymatically extracted CNFs and CM-CNFs were reported to be  $3.3^{[102]}$  $3.3^{[102]}$  $3.3^{[102]}$  (extracted from kenaf pulp) and,  $2.5^{[103]}$  $2.5^{[103]}$  $2.5^{[103]}$  and 5.2,[\[76\]](#page-31-0) respectively.

A couple of researchers reported an increase in suspension viscosity with an increase in CNF concentra-tion.<sup>[\[67,104,105\]](#page-31-0)</sup> Wang et al.<sup>[\[104](#page-31-0)]</sup> reported typical shear thinning behavior for CNF suspensions. When the shear rate was varied from 0.01 to 1000  $s^{-1}$ , the suspension displayed high viscosity at a very low shear rate due to the entangled network of nanofibers; however, at higher shear rates, low viscosity was reported because of the deformation of the fibril structure. When the concentration of CNFs was increased beyond 0.5 wt.%, all samples showed different viscosity-decreasing trends with an increase in shear rate. Further, when the shear rate was kept constant, a continuous increase in viscosity with an increase in concentration was noted. Thus, we can conclude that dimensions as well density of surface charge, significantly influence the exponent value.

#### 4.2.2 | Rheology variation with dimensions/ aspect ratio of cellulose nanofibers

Source and production techniques play a significant role in controlling the dimensions or surface morphology of NC. Wang et al.<sup>[\[104](#page-31-0)]</sup> developed different types of CNFs samples from bleached hardwood kraft pulp by using SMC wall milling alone or in combination with pre- or postendoglucanase enzymatic treatment for different times. At a very low shear rate  $(0.1 \text{ s}^{-1})$ , three different samples, that is, CNFs prepared FiP1(synthesized from fine milling of cellulose pulp for 1 h; diameter:66 nm), Po-P1E1t3 (fine milling followed by enzymatic treatment for 3 h; diameter: 35 nm), and PoP1E1t48(fine milling followed by enzymatic treatment for 48 h; diameter: 29 nm) at a concentration of 0.5 wt.% showed distinct viscosities; noted to be higher for FiP1 followed byPo-P1E1t3 and PoP1E1t48. Such a difference in viscosities was noted to disappear when the shear rate was increased beyond  $0.1 \text{ s}^{-1}$ , and was found to almost disappear when the shear rate was  $>$ 30 s<sup>-1</sup> (shear rate was varied up to 1000  $s^{-1}$ ). The purpose of using different treatments either alone or in combination was simply to reduce the diameter of CNFs. The greater the diameter or polydispersity index (PDI) more will be the viscosities at a low shear rate. The higher viscosities for FiP1 compared to other samples could be due to aggregation and intertwining of large-sized fibrils with each other to form a more stable network structure.<sup>[\[106](#page-31-0)–108]</sup> From the above discussion, it can be noticed that enzymatic treatment plays a significant role in CNFs fibrillation. The relationship between the aspect ratio and the intrinsic viscosity can be best explained utilizing the Simha model, which clearly highlights the role of NC morphology on the rheological characteristics of NC suspensions. For E-CNFs, the  $G'$  was noticed to decrease with the increase in dosage of enzyme treatment.<sup>[\[109\]](#page-31-0)</sup> Further, CNFs with weaker networks were noticed to form with Celluclast 1.5 L (mixture containing exo-glucanases, endoglucanases, β-glucosidases and cellobiohydrolases) in compari-son to Fiber Care R enzyme (endoglucanase).<sup>[\[48\]](#page-30-0)</sup>

The untreated CNFs suspensions, prepared by grinding for an increased number of time followed by highpressure homogenization (HPH) treatment (up to 5–8 passes), similar to enzymatic treatment, resulted in a decrease in the degree of polymerization or causes a decrease in the degree of fibrillation, which in turn yielded a better CNF network; however, in this case, higher viscosity of the suspension was found (at a shear rate of 100  $s^{-1}$ ) when the number of passes of pulp (from which CNFs were synthesized) through the grinder or



FIGURE 6 Variation in viscosity (A), storage modulus (B) and yield stress (C) with increase in carboxyl group contents.<sup>[[115](#page-31-0)]</sup> "Reprinted with permission from Ref. [\[115\]](#page-31-0). Copyright {2021} Elsevier."



<span id="page-15-0"></span> $\overbrace{\text{RANA and THAKUR}}^{\text{RANA and THAKUR}} \overbrace{\text{Nnyl \& Additive}}^{\text{JOLRRIAL OF}} \overbrace{\text{PRASTICS}}^{\text{JOLRRAL OF}} \overbrace{\text{Vinyl \& Additive}}^{\text{JOLRRAL OF}} \text{WILEY} \perp \quad \frac{15}{25}$ 

**References** 

[[123](#page-32-0)]

 $[125]$  $[125]$  $[125]$ 



[[124](#page-32-0)]

was found to be 0.562 emissivity and 50.2 dB,

respectively. • To define the optimal solution-processing

![](_page_16_Picture_0.jpeg)

![](_page_16_Picture_267.jpeg)

![](_page_17_Picture_1.jpeg)

![](_page_17_Picture_266.jpeg)

18 WILEY SPO BESTRING VIDY A Additive RANA and THAKUR<br>PROFESSIONALS TECHNOLOGY RANA SECTION OF THE RANA AND THAKUR

![](_page_18_Picture_294.jpeg)

![](_page_19_Picture_1.jpeg)

![](_page_19_Picture_303.jpeg)

20 WILEY SPO BLASTICS Vinyl & Additive RANA and THAKUR<br>PROFESSIONALS TECHNOLOGY

![](_page_20_Picture_275.jpeg)

![](_page_21_Picture_1.jpeg)

RANA and THAKUR  $\frac{SPQ^{INSPRIING}}{PROPESSIONALS}$   $\frac{SPQ^{INSPRIING}}{PROPESSIONALS}$   $\frac{V_{OURIRALOF}}{V_{PROFESSIONALS}}$   $\frac{V_{OURIRALOF}}{V_{PROFESSIONALS}}$   $\frac{V_{OURIRALOF}}{V_{PROFESSIONALS}}$ 

![](_page_21_Picture_294.jpeg)

22 WILEY SPO BESTRING VIDY & Additive RANA and THAKUR<br>PROFESSIONALS TECHNOLOGY

![](_page_22_Picture_257.jpeg)

![](_page_23_Picture_1.jpeg)

![](_page_23_Picture_428.jpeg)

![](_page_23_Picture_429.jpeg)

HPH was increased.<sup>[\[110](#page-31-0)]</sup> Pressure and time of passes of HPH play a very significant role in fibrillation and ultimately in  $G'$  of suspensions. For example, for enzymatically produced CNFs, storage modulus was found to increase with the increase in HPH pressure from 500 to 1000 bars<sup>[[111\]](#page-31-0)</sup>; contrary to this, in another study, maximum G' for untreated CNFs was found only after the 2 passes through HPH, and was noticed to decrease with a further increase in a number of passes.<sup>[\[112](#page-31-0)]</sup> Such variations in  $G'$  have been attributed to an increase in the degree of fibrillation with additional HPH passes. Thus, there is a strong need to characterize the CNF surface characteristics across different length scales. Since the rheological characteristics of CNF suspension depend on both the length and diameter of CNFs, whose measurement is quite tedious as CNFs are generally long and highly flexible.

#### 4.2.3 | Rheology variation with the type of surface functionalization/extraction technique employed

Lee et al. $\left[113\right]$  studied the impact of both amounts of carboxyl content and time for HPH on the viscosity and  $G'$ of the prepared CNFs. It has been reported that the time of HPH as well as surface charge or carboxyl contents, impact the length or aspect ratio of synthesized CNFs and, ultimately, their rheology properties. The greater the charge on fibers surface, the ease with which nanofibers can be obtained, and the higher charge will also lead to better-sized CNFs. Further increase in the timing of HPH and the surface charge has led to an increase in viscosity

and  $G'$  of CNFs suspension. Similarly, the impact of various surface modification techniques such as TO-CNF,<sup>[\[113,114](#page-31-0)]</sup> carboxymethylation<sup>[[115\]](#page-31-0)</sup>(Figure [6\)](#page-14-0) and periodate–chlorite oxidation (PC-CNF) $^{[116]}$  $^{[116]}$  $^{[116]}$  on carboxyl contents of synthesized CNFs and ultimately rheology properties of CNFs suspension was also examined. It has been observed for all samples that viscosity,  $G'$  or yield stress increase with increases in carboxyl contents. However, after reaching their maximum for some samples, the viscosity decreases with further increases in carboxyl contents due to better dispersion and low aspect ratio of CNFs suspensions.

#### 5 | ADVANCED APPLICATIONS OF NANO CELLULOSE AS RHEOLOGY MODIFIERS

Compared to existing literatures, $[35,36]$  $[35,36]$  $[35,36]$  here the recent efforts made to control the rheology of NC suspension in multiple fluids by blending it with some other bio-additives or by employing surface modification/extraction, techniques have been reviewed. Tables [3](#page-15-0) and [4](#page-24-0) illustrate the work done in the last 2–3 years and clearly highlight the value of NC's distinctive rheological qualities for a wide range of applications. $[84,120-149]$  $[84,120-149]$  Some of the top-notch characteristics that specifically define the distinctive rheological features of NC suspensions and their ability to act as rheology modifiers in diverse applications include their large water retention/ holding potential, remarkable shear thinning behavior, their capabilities to align at high shear rates, thickening impact, and their capacity to form thixotropic frameworks that excellent restoration phenomena. The literature review

<span id="page-24-0"></span>![](_page_24_Picture_304.jpeg)

![](_page_24_Picture_305.jpeg)

![](_page_25_Picture_1.jpeg)

![](_page_25_Picture_298.jpeg)

![](_page_26_Picture_265.jpeg)

![](_page_27_Picture_1.jpeg)

RANA and THAKUR  $\frac{SPQ^{INSPRIING}}{PROPESSIONALS}$   $\frac{SPQ^{INSPRIING}}{PROPESSIONALS}$   $\frac{V_{OURIRALOF}}{V_{PROFESSIONALS}}$   $\frac{V_{OURIRALOF}}{V_{PROFESSIONALS}}$   $\frac{V_{OURIRALOF}}{V_{PROFESSIONALS}}$ 

![](_page_27_Picture_289.jpeg)

![](_page_28_Picture_1.jpeg)

![](_page_28_Picture_248.jpeg)

<span id="page-29-0"></span>carried out in the present section makes clear the emerging and cutting-edge applications of NCs as rheology modula-tors in the coating<sup>[\[117\]](#page-31-0)</sup> and paint industries<sup>[\[118](#page-31-0)]</sup> as well as a rheology modulator for cement,  $^{[119]}$  $^{[119]}$  $^{[119]}$  composites,  $^{[118,120-122]}$  $^{[118,120-122]}$  $^{[118,120-122]}$ electronic devices,[\[122](#page-32-0)–125] cosmetics, foods,[[117,126](#page-31-0)–130] drilling fluids.  $[122,131]$  and so forth. The superior mechanical, shear thinning, and bio-compatible/non-cytotoxic qualities of NC have also piqued researchers' attention in developing 3D bio-printing materials for creating viable living human organs/tissues.[[125,128,132](#page-32-0)–139] From the tables, it can be concluded that both CNFs and CNCs have remarkable potential as rheology modifiers/modulators in multiple fields; however, the rapid expansion of such applications at the industrial level is constrained by issues related to their complicated structure and the consistency of production in regards to various features of NC that dramatically influence their rheological behavior.

#### 6 | CONCLUSION

From the above discussion, it is clear that NCs have remarkable potential in controlling the rheology of different fluids. Their unique properties, such as highly tunable viscosity, considerable shear thinning behavior, thixotropic qualities, and remarkable emulsion stabilization capabilities, have been found to vary strongly with the dimensions/aspect ratio and types of surface functionalization/extraction technique employed. Rheological properties of functionalized CNCs and CNFs additives were found to depend upon the lyotropic phase of CNCs and flocculation of CNF and their shear-induced alignment. Between CNCs and CNFs, the former, due to low dimensions/aspect ratio, have been found to align themselves more readily along shear rate. Further, CNCs with larger aspect ratios showed almost the same rheological behavior as that of CNFs at higher concentration; however, at low concentrations a transformation from solid to fluid-like behavior was observed. NC with a higher aspect ratio resulted in more complex steady state-flow behavior and showed solid-like viscoelastic characteristics. Further, the method of surface functionalization employed has a substantial impact on the charge density and hydrophilic nature of NC, which in turn controls the interparticle attraction/repulsion and thus affects the overall characteristics of NC suspension.

The CNCs and CNFs as additives have been widely utilized to control the rheology of different fields, such as for the formation of bio-ink for printing 3D electronic, biomedical and other devices; fluids in oil recovery and drilling gas/oil wells; gelling and thickening agents in the food industry, as well as for the formation of cosmetic products; and viscosity controllers in the

![](_page_29_Picture_5.jpeg)

concrete industry. It is more crucial to employ suitable surface functionalization or NC extraction technique and characterize the synthesized NC comprehensively before employing it for a particular application. Further, acetylated, lignin modified, carboxylated, fluoropolymer grafted or after blending with PEDOT:PSS, NC additives showed huge potential in controlling the mechanical strength and viscoelastic properties of bio-inks, gels, oils, transporting slurry and other fluids in comparison to untreated NC.

Aside from the fascinating characteristics of NC as a rheological modifier additive, certain factors limit its large-scale applications. Some of their limitations are their complex structure; issues related to the production process's reproductivity; higher cost of production, surface functionalization, storage and transport; variability in their dimensions, composition and surface characteristics. Thus, special attention is needed from researchers worldwide to resolve these issues and fully explore their potential as rheology modifiers. Further, researchers should also focus on the development of new NC/ nanomaterials testing standards for effective utilization of NC fillers, develop new functionalization techniques and test new hydrophilic/hydrophobic polymers to boost the NC suspension's processability. The bio-polymers such as chitin, chitosan, lignin, and so forth, may be blended with NC additives to form infinite tunable systems to improve the rheology of numerous fluids and thus fulfill growing demands of NC based rheology modifiers in multiple fields.

#### AUTHOR CONTRIBUTIONS

It is confirmed that both authors (Ashvinder K. Rana and Vijay K. Thakur) have equally made a substantial contribution to the concept or design, daft or revise and aprrove the final version of review article to be published.

#### DATA AVAILABILITY STATEMENT

The data that support the findings of this study are available from the corresponding author upon reasonable request.

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