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ORIGINAL RESEARCH

Clinical characteristics, treatment patterns, and socio-economic burden of COPD in Bulgaria

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ABSTRACT

Background: While the impact of COPD in Western-Europe is known, data from Eastern-Europe is scarce. This study aimed to evaluate clinical characteristics, treatment patterns, and the socio-economic burden of COPD in Eastern-Europe, taking Bulgaria as a reference case.

Methods: A representative sample of Bulgarian patients with COPD was randomly chosen by pulmonologists, based on the following inclusion criteria: COPD diagnosis with at least 1 year of living with COPD, \geq 40 years of age, and use of COPD medication. Patient characteristics, treatment, quality-of-life, healthcare resource use, and costs were systematically assessed.

Results: A total of 426 COPD patients were enrolled. Approximately 69% were male, 40% had occupational risk factors, 45% had severe and 11% had very severe COPD. Mean CAT scores were 13.80 (GOLD A), 21.80 (GOLD B), 17.35 (GOLD C), and 26.70 (GOLD D). Annual per-patient costs of healthcare utilization were €579. Yearly pharmacotherapy costs were €693. Indirect costs (reduced and lost work productivity) outnumbered direct costs three times.

Conclusions: Bulgaria has relatively high percentages of (very) severe COPD patients, resulting in considerable socio-economic burden. High smoking rates, occupational risk factors, air pollution, and a differential health system may be related to this finding. Eastern-European COPD strategies should focus on prevention, risk-factor awareness, and early detection.

ARTICLE HISTORY

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KEYWORDS

COPD; burden; costs; prevalence; epidemiology

Introduction

Worldwide, the prevalence of chronic obstructive pulmonary disease (COPD) is high, with an estimated prevalence of 11.7% (8.4–15.0%) in 2010^{1–3}. In Europe, COPD prevalence ranges between 2.1–26.1%⁴, with hardly any information being available for Eastern Europe, while the available data are highly uncertain⁵. Information is available for Greece, where the prevalence rate of COPD was defined as between 3–18.4%⁶. Notably, Eastern European countries are countries with rapidly growing economies and aging populations, but still high rates of smoking, air pollution, and less well-developed healthcare systems compared to Western Europe⁷. An assessment of the clinical and economic burden of COPD is deemed essential for patients, healthcare professionals, decision-makers, and society. In particular, the indirect costs of

COPD seem considerable, with significant impact on lost productivity, early retirement, and reduced ability to work^{8–11}. The Confronting COPD study confirmed the societal burden of COPD in North-America and (Western) Europe¹². Notably, also this study did not include any Eastern European countries. Therefore, the impact of COPD in Eastern Europe remains uncertain and hampers targeted allocation of resources to reduce its burden on patients and society¹³. Moreover, without proper actions, inequality of respiratory care availability within Europe remains.

According to experts from the Bulgarian Society of Pulmonary Diseases, the total number of COPD patients in Bulgaria is \sim 480,000, but only 25% of them are diagnosed, and no official studies or records exist. We aimed to determine the clinical and economic impact of COPD in Bulgaria.

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Materials and methods

Study design

An observational study was conducted among COPD patients in the five main regions of Bulgaria: Northwestern, Northeastern, Southwest, Southeast Bulgaria, and Sofia-town in 2015 (see the Appendix).

Setting, patient recruitment, and sample size

COPD patients in Bulgaria are registered with a pulmonologist and they are obliged to visit their physician for regular checking at least twice per year, but in case of emergencies can visit them more frequently. Only pulmonologists are licensed to perform spirometry and change therapy. The patients' GPs follow pulmonologist treatment instructions without changing the therapy. Therefore, we asked pulmonologists to choose COPD patients to include from their registries (every 5th, 10th, or 15th patient). A sample size of 405 COPD Bulgarian patients was considered nationally representative (see the Appendix for sample sizes per region). Sample sizes were determined based on expert-opinion-based estimated number of COPD patients and the number of citizens in every region. Statistical calculations were applied in order to define the sample standard error was 5% and the probability size—the was 99%.

Inclusion and exclusion criteria

Eligibility criteria were age \geq 40 years, a physician and spirometry-confirmed COPD diagnosis (FEV₁/FVC ratio <0.7) dated \geq 1 year ago according to the revised GOLD recommendations (2011), and COPD pharmacotherapy prescriptions in the last year. The presence of other respiratory diseases, such as asthma, allergic rhinitis, lung carcinoma, sarcoidosis, bronchiectasis, pulmonary fibrosis, pulmonary tuberculosis, as well as exacerbation of COPD during the last month, were the main exclusion criteria.

Characteristics, risk factors, and clinical outcomes

Collected data included demographic characteristics (age, gender); smoking status (non, former, or current smoker and number of pack years); occupational status; occupational risk factors¹⁴⁻¹⁶; clinical data (COPD duration, spirometry, GOLD status based on airflow limitation (I-IV) and on combined assessment (A-D)¹⁷); pharmacotherapy (2014 and 2015); comorbidity and co-medication; healthcare resource utilization (general practitioner [GP] visits, specialists' visits, hospitalizations); disability; days off work due to COPD; and quality-of-life as measured using the COPD Assessment Test (CAT), specifically translated and validated for Bulgaria. Clinical data was provided by the pulmonologist and the patient provided the remaining data through an interview performed by the physician.

Health economic outcomes

Direct and indirect costs were calculated using a bottom-up approach. Healthcare resources consumed because of COPD were measured and valued. Costs paid by the National Health Insurance Fund (NHIF) and patients' co-payment were identified separately. Comorbidity costs were not included. Hospitalization costs were calculated using the NHIF tariff at €45.13 per day¹⁸. GP visits costed €4.86, inclusive of a patient co-payment of €1.48¹⁹. In Bulgaria, reimbursement of COPD drugs can be full or partial, at 75%. Salbutamol and some LABAs such as salmeterol, LABA + ICS, and ICS are 100% reimbursed. The NHIF pays 75% for LABAs (indacaterol, olodaterol), LAMA, LABA + LAMA, methylxantines, roflumilast, and 50% for systemic corticosteroids. This difference in reimbursement level is because pharmacotherapy primarily aimed at asthma is 100% reimbursed and targeted COPD therapy only 75%. This could be explained with Ordinance on the terms, rules, and procedure for regulation and registration of prices for medicinal products/2013. According to this ordinance the proposed level of reimbursement of the medicinal products for diseases with a chronic course, leading to severe disruptions in the quality-of-life or disablement and requiring prolonged treatment is 100%, and of the medicinal products for diseases with a chronic course and widespread prevalence is 75%²⁰.

Indirect costs were based on the number of days out of work (absenteeism) and reduced productivity (presentism). The human capital approach was applied to calculate absenteeism:

(Number of days out of work \times GDP per capita for 2015)/ number of working days per year,

with GDP reflecting gross domestic product and total annual number of working days assumed at 252. Some patients reported reduced productivity (e.g. 50 or 70%) due to COPD while working. These losses were valued by multiplying the GDP per capita per year with the percentage reduced productivity. GDP per capita in 2015 in Bulgaria was €5,700²¹.

Statistics

Descriptive statistics, non-parametric analysis, Kruskal-Wallis tests, and parametric analyses ANOVA were applied to assess correlations among data of interest.

Ethics

Every patient was acquainted with the study design and purposes and provided informed consent. The Bulgarian National Science Fund approved the study.

Results

Demographics

In total, 426 COPD patients were enrolled. The majority were 61–80 years, over two-thirds were male, 40% reported

Category	Sub-group	Number/ distribution [%]
Age	40-50 51-60 61-70 71-80 81-90 >91	13 [3.05%] 96 [22.54%] 165 [38.73%] 128 [30.05%] 23 [5.40%] 1 [0.23%]
Gender	Male Female	296 [69.48%] 130 [30.52%]
Region	Sofia city Northwest Northeast Southwest Southeast	143 [33.6%] 38 [8.9%] 76 [17.8%] 58 [13.6%] 111 [26.1%]
Working status	Working Early retirement due to COPD Men Women Retired	249 [58.45%] 48 [11.27%] 21 [4.93%] 108 [25.35%]
Smoking status	Non-smoker Current smoker Men Women Former smoker Men Women Mean pack years	111 [26.06%] 138 [32.39%] 103 [74.64%] 35 [25.36%] 177 [41.55%] 135 [76.27%] 42 [23.73%] 38.16 (SD =16.75%]
Occupational risk factors	Yes No Missing data	170 [39.91%] 247 [57.98%] 9 [2.11%]

Table 1 Demographics of the Pulgarian COPD study population (n - 426)

SD: standard deviation.

occupational risk factors, 74% were current or ex-smokers, and one quarter had been retired early due to COPD (Table 1).

Clinical characteristics

Classified by GOLD I-IV, the majority had moderate (44%) or severe (45%) COPD, i.e. classified as GOLD II-III. About 60% were diagnosed less than 10 years ago. Based on the GOLD combined assessment, the majority had GOLD B or D (Table 2).

A predominant number of patients had CAT scores >10 at the time of study visit. CAT scores were normally distributed in both genders, as well per severity of the disease (A-D). Mean CAT score for males (n = 295) was 22.79 (SD = 6.87) and for females (n = 130) it was 22.30 (SD = 7.08; p = .503). Mean CAT scores for patients in classes A-D were 13.80 (SD = 6.28), 21.80 (SD = 5.68), 17.35 (SD = 4.12), and 26.70 (SD = 5.83), with the parametric ANOVA indicating statistically significant differences (p < .001). Over 80% had an mMRC scores ≥ 2 .

Co-morbidity

Within the COPD patients, 87% had at least one concomitant disease, and, of those, 78% had ≥ 2 comorbidities (Table 3). Most common were cardiovascular diseases (83%), followed by (other) respiratory (25%) and endocrine diseases (23%)

Table 2. Clinical	characteristics	of COPD	patients	in Bulgaria.
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Category	Sub-group	Number/ distribution	
Clinical data			
Duration of COPD (years)	≤10 11-20 21-30 ≥31	254 [59.6%] 156 [36.6] 14 [3.3%] 2 [0.5%]	
FEV ₁ % predicted	>80% (mild) 80–50% (moderate) 50–30% (severe) <30% (very severe)	2 [0.5%] 187 [43.90%] 191 [44.84%] 46 [10.80%]	
Severity on the basis of combined assessment of COPD	A B C D	30 [7.06%] 149 [35.06%] 65 [15.29%] 182 [42.59%]	
Patient reported outcomes			
Dyspnea (mMRC score)	0−1 ≥2	84 [19.72%] 342 [80.28%]	
CAT scores	<10 (<i>n</i> , %) Average score, mean (SD) \ge 10 (<i>n</i> , %) Average score, mean (SD)	9 (2.12%) 6.33 (2.60) 416 (97.88%) 22.99 (6.56)	

CAT: COPD Assessment Test; COPD: chronic obstructive pulmonary disease; FEV₁P: Forced expiratory volume in 1s; mMRC: modified Medical Research Council: SD: standard deviation.

Table 3. Concomitant diseases in patients with COPD (n = 426).

Concomitant diseases	Total [%]	GOLD A (n = 30)	GOLD B (<i>n</i> = 149)	GOLD C (<i>n</i> = 65)	GOLD D (<i>n</i> = 182)
No	54 [12.7%]	5	22	11	16
Yes	372 [87.3%]				
\geq 2 diseases	291 [78.2%]	15 [50.0%]	99 [66.4%]	38 [58.5%]	139 [76.4%]
Only 1 disease	81 [21.8%]	10 [33.3%]	28 [18.8%]	16 [24.6%]	27 [14.8%]

(Figure 1). Respiratory co-morbidity mostly comprised respiratory failure.

Pharmacotherapy and costs

Fixed-dose combinations of inhaled corticosteroids and longacting β 2-agonists (ICS/LABA) were mostly prescribed in GOLD C and D patients; however, also a large part of GOLD A (43%) and B (73%) were prescribed LABA/ICS (Figure 2).

Mean annual pharmacotherapy costs were €693 (Table 4). Pharmacotherapy costs were rising with increasing COPD severity (Figure 3).

Healthcare resources utilization and costs

The average number of days in hospital due to COPD, GPs' visits, and specialists' visits were 12.0, 2.2, and 2.4 visits, equaling costs of €545, €10.70, and €23.17, respectively (Table 4). Annual per-patient costs of healthcare utilization were €579.

The median hospitalization costs were €215.94 for stage B, €361.08 for stage C, and €451.35 for stage D (p < .001). Median GP visits costs for GOLD B, C, and D patients were €6.95, €10.11, and €12.34, respectively (non-parametric Kruskal-Wallis test, p < .001). Specialist visit costs were ≤ 15.89 for A, €19.03 for B, €22.18 for C, and €27.78 for D (p < .001).

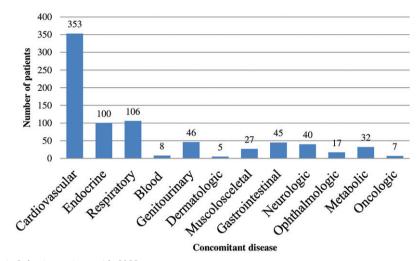


Figure 1. Concomitant diseases in Bulgarian patients with COPD.

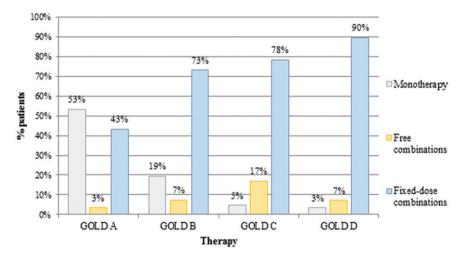


Figure 2. COPD pharmacotherapy in Bulgaria by GOLD classification.

Table 4. Healthcare resource use, direct, and indirect costs $(\ensuremath{\in})$ per Bulgarian COPD patient per year.

	Patients, n (%)	Number, mean (SD)	Per patient cost, mean (SD)
I. Direct medical costs			1,271.68
1. Medication	426 (100%)	—	692.81
2. Hospitalization (days)	227 (53%)	12.0 (8.73)	545 (393.91)
3. GP visits	280 (66%)	2.4 (1.31)	10.70 (8.76)
4. Specialist visits	421 (99%)	2.2 (1.8)	23.17 (12.85)
II. Indirect non-medical costs			4,543.49
1. Lost productivity	84	24 (17)	546.63 (381.46)
2. Reduced productivity	133	_	3,996.86

SD: standard deviation.

Indirect costs

The average number of days out of work per year for 84 patients reported by the patients was 24 (SD = 17), accounting for €546.63 (SD = 381.46). Reduced productivity was reported by 133 of the 249 patients (53.4%) of working-age (Table 4). The mean indirect costs among the patients in GOLD-stages A, B, and D were €575.11, €692.51, and €1349.29, respectively (non-parametric Kruskal-Wallis test, p < .001). Total direct medical and indirect non-medical

costs for COPD are presented in Figure 4. The indirect costs (78%) far outnumber the direct costs (22%).

Discussion

We aimed to assess the demographic, clinical, and economic characteristics of COPD patients in Bulgaria. It was found that most of the Bulgarian patients with COPD were male (69%), 40% were exposed to occupational risk factors, and 56% had severe or very severe COPD. Regarding economic impact, over 50% of the direct medical costs were spent on medication, and the remainder on healthcare provider visits and hospitalization. Notably, indirect costs (impaired and lost work productivity) were more than three times higher than the direct medical costs.

Our findings on the high percentage of patients being severe or very severe is remarkably different from studies in Western Europe. In Italy, the percentage of patients with moderate severity was greater than those with severe (53.7% vs 16.8%), while numbers in The Netherlands were even lower (15% severe; 3% very severe)²². In Belgium, 41.8% had (very) severe COPD, while the situation in Eastern European country Serbia more closely resembles Bulgaria, with 61.8%

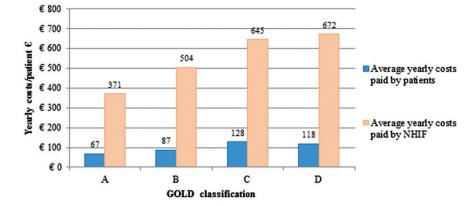


Figure 3. Yearly costs for COPD pharmacotherapy in Bulgaria by GOLD classification.

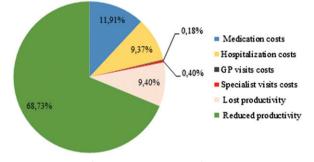


Figure 4. Distribution of direct and indirect costs for COPD in Bulgaria.

(very) severe²³. The high rate of severe COPD in our study may be explained by late diagnoses (60% was diagnosed less than 10 years ago), high rates of under-diagnoses, high number of risk factors (smoking, air pollution, and occupational exposure), and non-compliance to therapy due to copayments or inappropriate treatment. Regarding diagnosis, in Bulgaria, GPs cannot perform spirometry in patients with COPD-like symptoms. These patients are referred to a pulmonologist who has to conduct spirometry to confirm the diagnosis and to prescribe therapy. This may explain the fact that COPD patients in Bulgaria are diagnosed relatively late and in more severe stages.

While some studies recently reported that genders almost equalize, our study still reveals gender differences, possibly related to the significantly higher smoking prevalence in Bulgarian men²⁴. Of note, the percentage of never-smokers (26%) is higher than percentages in e.g. Spain²⁵ or Denmark²⁶, possibly related to risk factors other than cigarette smoking²⁷. While in countries like Uganda indoor cooking seems to be responsible²⁸, Bulgarian cooking traditions do not much differ from Western European countries. However, occupational risk factors and air pollution are higher, as of heavy former-Soviet industries. Indeed, 40% were exposed to occupational risk factors, comparable with a previous study on COPD in Russia²⁹. Also, Bulgaria has the highest European mortality because of air pollution (http://env-health.org/IMG/pdf/heal briefing air_bulgaria_eng.pdf). Comorbidity patterns align with previous studies, with cardiovascular disease as a major comorbidity^{30,31}.

Regarding healthcare utilization, the numbers of specialists' visits and hospital admissions were significantly higher in Bulgaria than in Italy, at 99% vs 77% and 53% vs 33%, respectively, probably due to the greater COPD severity among Bulgarian patients. Severity, and outpatient and inpatient costs align with Serbia, confirming that Bulgaria may be representative for other Eastern European countries³².

Healthcare resource utilization costs were significantly lower than in the COPD uncovered survey³³ for Brazil, China, Germany, Turkey, the US, and the UK, which could be explained by differences in healthcare systems and relatively low hospitalization and physician costs compared to medication prices in Bulgaria. With regard to medication, there seemed to be a profound over-use of LABA/ICS in GOLD A and B patients. Especially with the current evidence that ICS may be of limited value in COPD³⁴, this warrants active education of healthcare professionals.

Most COPD patients were of working-age, but COPD did not lead to much early retirement. Only 11% were early retired due to COPD and 20% had work absence, which could be explained by the low economic status of Bulgarian pensioners and the necessity of continuing working despite the disease. However, their work productivity was affected by the disease.

Indirect costs (lost productivity and reduced productivity) exceeded \sim 3.5-times direct medical costs (hospitalization and visits), which is different from ratios calculated for seven other European countries (€1,091 vs €1,013)³⁵.

To our knowledge, this is the first study conducted at a national level in an Eastern European country, i.e. Bulgaria, focusing on the clinical and socio-economic impact of COPD. So far, such data were limited, and we anticipate that this study will make in-depth international comparisons with Western European countries possible. Moreover, it will serve as a starting point for national COPD strategies and as a role model for other Eastern European countries. A potential limitation is that the study was conducted in already diagnosed COPD patients, which makes the estimation of underdiagnosis rates impossible. Future studies should focus on the prevalence in the general population.

Regarding clinical practice, the results of this study stress the importance of improvement in diagnostic methods, active case finding, screening, as well as health educational programs on rational treatment in Bulgaria. Regarding national health policy, strategies should focus on early detection of COPD, awareness of occupational and environmental risk factors, and smoking prevention through active antitobacco campaigns. In addition, efforts are currently on the way for a better clinical characterization of the Eastern European COPD population³⁶.

Conclusions

We demonstrated a significant clinical and socio-economic burden of COPD in Bulgaria. Besides high rates of smoking, occupational risk factors and air pollution may explain these findings. Notably, indirect costs outnumbered direct costs more than 3-fold. Bulgarian COPD strategies should focus on prevention, risk-factor awareness, and early detection.

Transparency

Declaration of funding

This study was financed by the National Science Fund in Bulgaria (Contract DFNI B-02/12 from December 12, 2014).

Declaration of financial/other relationships

The authors declare that they have no conflicting interests regarding the submitted work. JME peer reviewers on this manuscript have no relevant financial or other relationships to disclose.

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Appendix

Number of COPD patients by Bulgarian region

Region in Bulgaria	Town(s)	Target number of COPD patients		Actual number of COPD patients
Sofia – town	Sofia	180		143
Northwestern	Vidin Vratsa Pleven	10 10 10	} 30	38
Northeastern	Rouse Shumen Varna	22 11 44	} 77	76
Southwest	Pernik Kjustendil Blagoevgrad	13 6 10	} 29	58
Southeast	Haskovo Plovdiv Burgas	11 45 33	} 89	111