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2 **Competition Between Desired Competitive Result, Tolerable Homeostatic Disturbance and**  
3 **Psychophysiological Interpretation Determines Pacing Strategy**

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**30 Abstract**

31 Scientific interest in pacing goes back >100 years. Contemporary interest, both as a feature of  
32 athletic competition and as a window into understanding fatigue, goes back >30 years. Pacing  
33 represents the pattern of energy use, designed to produce a competitive result while managing  
34 homeostatic disturbances and perceived fatigue. Pacing has been studied both against-the-clock  
35 and during head-to-head competition. Several models have been used to explain pacing including  
36 the teleoanticipation model, the central governor model, the anticipatory-feedback-RPE model,  
37 the concept of a learned template, the affordance concept, the integrative governor theory and as  
38 an explanation for “falling behind”. Early studies, mostly using time trial exercise focused on  
39 the need to manage homeostatic disturbance. More recent studies, based on head-to-head  
40 competition have focused on an improved understanding of how psychophysiology, beyond the  
41 gestalt concept of RPE, can be understood as a mediator of pacing and as an explanation for  
42 falling behind. More recent approaches to pacing have focused on the elements of decision-  
43 making during sport and have expanded the role of psychophysiological concepts including  
44 sensory-discriminatory, affective-motivational and cognitive-evaluative dimensions. These  
45 approaches have improved understanding of variations in pacing, particularly during head-to-  
46 head competition.

47 Index terms: pacing, homeostasis, fatigue

## 48 Introduction

49 The concept of pacing, i.e distributing energetic resources over the duration of a task, is not new.  
50 Historical examples remind us of the necessity for pacing, ranging from Aesop's fable of the  
51 tortoise and the hare; Emil Zatopek asking Jim Peters (1952 Olympic marathon) in mid-race if  
52 "they were running fast enough"; Vladimir Kuts (1956 Olympic 5 & 10-km) using an interval  
53 pacing pattern to defeat WR holder Gordon Pirie; Kipchoge Keino using a "go out fast" strategy  
54 in the altitude of Mexico City to defeat WR holder Jim Ryun (1968 Olympic 1500m); David  
55 Wottle, coming from 20-m behind after the first 200-m to win (1972 Olympic 800-m); to WR  
56 holder Steven Jones (European Championships marathon, 1986), 2-min ahead of the field at 20-  
57 miles, who faded and finished 13<sup>th</sup> place. In all these cases, pacing (good or bad) helped define  
58 the competitive result.

59

60 Pacing is the process of using the resources available at the start, in an anticipatory manner based  
61 on experience <sup>1</sup>, or in response to internal and external stimuli <sup>2</sup>, to achieve the desired result.  
62 Often the goal is to finish as quickly as possible, particularly against-the-clock rather than head-  
63 to-head. Pacing represents the balance between energy availability, technique, and fatigue.  
64 Energy availability depends on energy producing systems, which depend on physiologic capacity  
65 and the duration and mode of the event. Technique depends on neuromuscular performance,  
66 which is of modest importance in running, but crucial in other activities (skating, cycling, cross-  
67 country skiing, rowing, swimming), and may deteriorate with fatigue. For example, in cycling  
68 and skating athletes are able to continue to glide or roll toward the finish even after considerable  
69 losses of power output, whereas in running and swimming there is a rapid deceleration with loss  
70 of power output. Fatigue, which has become better understood <sup>3-6</sup>, depends upon either the  
71 depletion of substrates (adenosine triphosphate, creatine phosphate, glucose, glycogen), the  
72 accumulation of metabolites (inorganic phosphate and hydrogen ions) and heat, and functioning  
73 as control processes via afferent nerves, as well as the interpretation of what these changes mean.

## 74 Historical Evidence of Interest in Pacing

75 The concept of pacing is not new. The first report was by Tripplet in 1898.<sup>7</sup> He evaluated why  
76 drafting improved performance. While describing performance improvements when following a  
77 pacer, he reported distance-velocity relationships which anticipated the critical speed  
78 (CS)/critical power (CP) concept.<sup>8</sup> He also developed theories (suction, shelter, encouragement,  
79 hypnotic suggestion) anticipating concepts of reduced wind resistance <sup>9</sup> and the ergogenic effect  
80 of a competitor riding just a little faster than an athlete's personal best.<sup>10</sup> Other studies by  
81 Kennelly <sup>11</sup> and Hill <sup>12</sup>, performed a century ago, described the distance-velocity relationship  
82 for running, walking, cycling and skating. The classical study of Robinson et al.<sup>13</sup>, perhaps the  
83 first experimental study of pacing, showed that  $\dot{V}O_2$ ,  $O_2$  deficit and [blood lactate] favored an  
84 even pace. Thus, by ~65 years ago we knew that: 1) there was a regular distance-velocity  
85 relationship that anticipated the CS/CP concept, 2) there were differences in the absolute  
86 dimensions related to the mode of ambulation, 3) drafting was advantageous and 4) for tasks of

87 longer than ~3 minutes, there was an advantage to even pacing. Today we are better at  
88 explaining the science behind pacing, but early concepts have endured.

### 89 **The Concept of Pacing Strategy Emerges**

90 The first contemporary studies of pacing emerged from groups in the Netherlands and the  
91 USA.<sup>14-19</sup> These studies demonstrated that: 1) there was a range of advantageous pacing  
92 strategies in cycling events of 1000-4000-m (or even longer), 2) an all-out strategy was better in  
93 shorter events, 3) longer events favored a brief high intensity start which was then “dialed back”  
94 after ~10-15s, and, 4) more even, or U shaped, pacing patterns were seen in longer events. These  
95 studies, particularly the frequent observation of an end-spurt, also established the concept that  
96 high speed at the finish was essentially wasted kinetic energy that might have been better used to  
97 go faster earlier and arrive at the finish sooner. Trying to improve performance (particularly in  
98 events <4 min required an athlete to take a “calculated risk” of starting faster than normal, in  
99 order to achieve a performance that they had never previously achieved.<sup>20</sup>

### 100 **Teleoanticipation Model**

101 By the mid-1990’s the first conceptual model of pacing emerged. Ulmer<sup>1</sup> suggested that energy  
102 output was governed by central control mechanisms designed to: 1) avoid early fatigue, 2) not  
103 waste time with a slow start, 3) use learned behavior as a template for current activity and 4)  
104 anticipate the time required to finish. Thus, the *teleoanticipation model* was conceptualized as a  
105 closed-loop, feedback dependent, anticipatory regulation of energetic output. About this same  
106 time, evidence emerged of a replicable pattern of pacing strategy and that elite athletes used the  
107 same pacing as recreational athletes.<sup>21</sup> Beyond single efforts, there was evidence of pacing in the  
108 Grand Tours of cycling, in which General Classification competitors would only exert  
109 themselves heavily on the days when significant time gains were possible.<sup>22</sup> On other days,  
110 teammates would keep them near the front of the peloton. These findings reinforced Ulmer’s  
111 concept of anticipating stresses across an entire event. Less than a decade later, evidence  
112 emerged of a consistent pattern in the pacing of races where the goal was to defeat other  
113 competitors head-to-head.<sup>23-24</sup> It also became evident that pacing displayed a consistent pattern,  
114 evolving toward less of the fast-slow-slower-fast pattern observed in early 20<sup>th</sup> century.<sup>23-24</sup> The  
115 concept also emerged that the pacing strategy, in attempts to improve best performance, was  
116 consistent over time.<sup>25</sup> Supporting Ulmer’s concept, there was evidence that different events had  
117 unique pacing patterns, suggesting that the anticipation of muscular power output was very  
118 strongly grounded.<sup>26-29</sup>

### 119 **Pacing Versus Fatigue (Central Governor Model)**

120 Early concepts of fatigue were grounded on observations of the progressive reduction in  
121 force/power output (to near zero values) in isolated skeletal muscle despite supramaximal  
122 stimulation.<sup>30</sup> It was thought that muscle failure was related to factors including level of  
123 stimulation, blood flow, availability of O<sub>2</sub> and the ability to buffer changes in pH. Observations  
124 by Noakes et al.<sup>31</sup> that humans rarely exercise to the point of total muscular failure suggested  
125 that fatigue was not solely related to absolute levels of muscular substrates or metabolites. While  
126 there is evidence that homeostatic disturbances are profound during severe exercise, and that

127 exercise end-points occurred at similar levels of homeostatic disturbance regardless of the task<sup>32-</sup>  
128 <sup>35</sup>, complete muscle, cardiac or organ system failure rarely occurred. This evolved to the  
129 understanding that fatigue acts to prevent cellular damage related to severe homeostatic  
130 disturbance.<sup>36</sup> Even demanding tasks such as the Wingate test (normally 30-s in duration), can be  
131 extended to as long as 3-min, with the power output only falling as low as the CP.<sup>37</sup> These data  
132 suggested the presence of bidirectional signaling between the efferent neural output and afferent  
133 signals from peripheral receptors, rather than unidirectional unresponsiveness by the muscle.  
134 Noakes, St Clair Gibson and Lambert<sup>38-40</sup> called this bidirectional signaling the *central governor*  
135 *model*. This concept was expanded by St Clair Gibson and Foster<sup>41</sup> suggesting that pacing  
136 involved competition between the psychological drive to perform a task and managing  
137 homeostatic disturbances. Thus, although catastrophic collapses of ambulatory ability are  
138 possible, they are comparatively rare.<sup>42</sup> Studies of exercise in the presence of afferent blockade<sup>43</sup>  
139 supported the role of afferent signaling as an obligatory feature in pacing. Evidence in support of  
140 bidirectional signaling was provided by studies where warm-up was manipulated to induce  
141 fatigue before a time trial.<sup>44</sup> The lesson from the Central Governor model was that pacing, far  
142 from being an epiphenomenon of athletic competition, was a window into how fatigue was  
143 experienced and managed.

#### 144 **Patterns of Pacing Strategy**

145 Much of the early pacing research was dominated by observations during athletic competitions.  
146 Abbiss and Laursen<sup>45</sup> identified basic pacing strategy variants. Subsequent work from a number  
147 of laboratories<sup>14-19,21,22,27-29,45-72</sup>, identified physiological responses during variations in pacing  
148 strategy. These studies demonstrated that pacing could be understood in terms of the power  
149 balance model of van Ingen Schenau et al.<sup>18,19</sup>, with power production depending on the  
150 summation of aerobic and anaerobic energy provision and power losses related to summated  
151 resistive forces. The first clear evidence that pacing was related to homeostatic disturbances,  
152 primarily related to substrate (creatine phosphate<sup>32-34</sup> and glycogen<sup>46-48</sup>) depletion, and/or  
153 metabolite accumulation<sup>32-35</sup> and hyperthermia<sup>49-51</sup> appeared during this time period.

154

155 Pacing strategy follows general rules related to the distance/time taken to complete a task, and  
156 displays differences related to the nature of the task, particularly the retarding medium.<sup>52</sup> There is  
157 evidence of “reserve” built into pacing strategy<sup>53,54</sup> that can be disrupted by deception regarding  
158 distance feedback and influenced by another competitor (or avatar) that is slightly faster than an  
159 athletes previous performances<sup>60-65</sup>, but hindered if the other competitor is too much faster.<sup>65-69</sup>  
160 These findings suggest that the reserve during exercise tasks can be manipulated, either by  
161 time/distance deception or the meaningfulness of the competition (club race vs Olympic final).  
162 Further, the most predictable strategy to improve performance is a faster than normal start.  
163 However, only about 50-80% of fast start experiences will lead to improved performance.<sup>65-</sup>  
164 <sup>69,73,73,76</sup> Head-to-head racing against a much superior opponent can lead to both an  
165 inappropriately rapid increase in Rating of Perceived Exertion (RPE), and a negative affect and  
166 loss of self-efficacy during the race, leading to reductions in speed/power output (i.e. letting go  
167 of the leading competitors).<sup>73-75</sup>

168

169 The structure of the pacing pattern (Figure 1), at least against-the-clock has been conceptualized  
170 as a “landscape” where the interaction of race distance and percent of the race completed define  
171 momentary power output, regardless of whether power output is attributable to aerobic or  
172 anaerobic energetic sources.<sup>77,78</sup>

173 **Insert Figure 1 About Here**

#### 174 **Rating of Perceived Exertion**

175 Several studies have shown that RPE grows in a systematic manner in relation to the percent of a  
176 task completed.<sup>25,28,29,79-89</sup> This suggests a scaling of RPE to the overall level of homeostatic  
177 disturbance, regardless of the precise nature of the disturbance. The rate of RPE growth during  
178 an event appears to be tightly regulated, as blinded changes in inspired [O<sub>2</sub>] cause a rapid change  
179 in muscular power output while the rate of RPE growth barely changes.<sup>80,89-91</sup> Similarly, while  
180 changes in pre-exercise muscle glycogen exert a consequential influence on power output, the  
181 growth of RPE normalized to endurance time hardly changes.<sup>92</sup>

182 The overriding importance of RPE as a way to express the sensation of both intensity and  
183 progressive fatigue is so powerful that the third major conceptual model of pacing, the  
184 *anticipatory-feedback-RPE* model<sup>93,94</sup> proposes that power output is regulated based on prior  
185 experience, anticipated completion time and rate of growth of RPE. If the rate of growth of RPE  
186 is discordant with that anticipated, then power output is either up- or down-regulated to return  
187 RPE to the anticipated growth curve (Figure 2). This concept has been supported in studies  
188 where power output was increased by mid-race tactical decisions<sup>81,91</sup> or deception regarding the  
189 distance remaining.<sup>60,64</sup>

190 **Insert Figure 2 About Here**

191 The growth of RPE relative to the percent of an event remaining has been combined into a  
192 derived variable called the Hazard Score (momentary RPE x fractional distance remaining)  
193 which seems to be able to inform athletes when to change power output during an event.<sup>82,84,95,96</sup>  
194 An extension of this technique, the summated Hazard Score, has been shown to allow  
195 appreciation of how taxing an event feels.<sup>96</sup>

196 For as important as the RPE has been to understanding pacing, it has been recognized that RPE is  
197 a gestalt of a number of sensory inputs which reflect how a given power output, progress through  
198 an event and homeostatic disturbance is interpreted. As such, RPE has been criticized as a less  
199 than ideal psychophysiological marker, with other measures being regarded as a potentially more  
200 discriminatory. Do Carmo et al.<sup>66</sup> and Renfree et al.<sup>97,98</sup> have demonstrated that another  
201 psychophysiological construct, the affect (or valence) toward a task (degree to which momentary  
202 effort is viewed as pleasant or unpleasant) is more explanatory of when an athlete is having a  
203 good or bad performance, despite identical RPE growth. Thus, affect appears superior to RPE in  
204 the heuristic type of decision-making processes which athletes often use. Given the importance  
205 of head-to-head competition in augmenting performance<sup>68,97-100</sup>, the ability of athletes to solve  
206 the performance challenges raised by their own physiology, the capacity and tactics of their

207 opponents and challenges presented by the course and environment requires a more granular  
208 psychophysiological tool than RPE.

209 Venhorst, Micklewright and Noakes<sup>73-75</sup> have shown that affect (valence) and RPE grow  
210 differently during head-to-head competition and reflect of the degree to which an athlete is  
211 “winning” or “losing” a competition. In particular, changes in affect (valence) reflect the point  
212 in a competition when athletes first begin to fall behind and then “disengage” from their  
213 competitors (action crisis).<sup>73-75</sup> They suggest that psychophysiological regulation of exercise  
214 behavior can be viewed in three dimensions. The first is perceived physical and mental strain,  
215 reflecting sensory-discriminatory processes akin to homeostatic disturbances. The second is  
216 affect and arousal reflecting the interpretation of effort as pleasant-unpleasant, and the  
217 momentary level of arousal. This can be viewed as interpreting whether increasing effort is  
218 worth additional effort. The third is a cognitive-evaluative process, what they term as an “action  
219 crisis” or “letting go” of their opponent in mid-race. Their model accounts for traditional  
220 homeostatic challenges provided by a task, how pleasant or unpleasant the task is, and how  
221 willing they are to continue to compete.

### 222 **The Pacing Template (self-regulation model)**

223 One striking element of pacing is how difficult it is to disrupt freely chosen patterns. Monetary  
224 incentives to improve performance by going out faster have little effect.<sup>101</sup> Conscious pre-race  
225 decisions to select different strategies have small effects on the actual pacing pattern used, at  
226 least in against-the-clock events.<sup>81,91</sup> Pairing with a faster opponent can improve performance,  
227 but only when the opponent/avatar is seen as a realistic “rival” and “within reach” of the best  
228 current performance.<sup>68-72</sup> Otherwise, the riders “let the superior rider go”. This corresponds to the  
229 action crisis described by Venhorst et al.<sup>73-75</sup> Apparently, the magnitude of “reserve” within  
230 pacing strategy can be revised by changing the focus from anticipatory-internal monitoring  
231 (against-the-clock) to relative positional-external monitoring (head-to-head) so long as  
232 homeostatic changes are not ignored.

233

234 Within race experimental manipulations, such as exposing participant to sudden onset episodes  
235 of hypoxia and hyperoxia, can rapidly change the pattern of power output.<sup>28,80,89,90,102</sup> However,  
236 blinded exposure to simulated altitude in the minutes immediately before the start of an event  
237 does little to change the early pattern of power output.<sup>89,90</sup> Even exposure to simulated altitude  
238 during the warm-up period, sufficient to result in increases in heart rate, blood [lactate] and RPE,  
239 does little to influence power output during the opening segment of time trials (Figure 3).

240 Beyond this initial phase, with opportunity for afferent feedback to express itself, there is a large  
241 negative effect consistent with that expected in hypoxia.<sup>102</sup> There is a large negative effect of  
242 pre-race glycogen depletion in events ranging from 1500m (~2 min) to 4000m (~5 min)<sup>102</sup>  
243 (Figure 3) to 1-hour.<sup>48</sup> Power output in the early stages of a time trial is only modestly affected  
244 by glycogen depletion (Figure 4). During warm-up, there is an increased heart rate, decreased  
245 blood [lactate] and increased RPE, expected with glycogen depletion. Similarly, strategies  
246 designed to increase muscle glycogen content, resulting in improved performance, do not exert

247 an effect until later within an event.<sup>46,47</sup> Evidence supports the presence of a pre-exercise  
248 template, which is a learned behavior, specific to competitive circumstances.<sup>103</sup> Learning may  
249 take several trials, and typically evolves as a faster early pace (e.g. less “reserve”). In time trial  
250 events, this learned strategy seems very hard to override, despite conditions in the warm-up that  
251 might be expected to reset the template.<sup>107</sup> In head-to-head competitions it is possible to reset the  
252 template. This supports data regarding the development of pacing strategies in youth athletes of  
253 the need for experience to develop self-regulating strategies.<sup>105,106</sup>

254 **Insert Figure 3-4 About Here**

255 In fit people, with minimal time trial experience, there is evidence of modifications in the  
256 template with repeated time trials<sup>103</sup>, that may take  $\geq 6$  trials. In athletes attempting to improve  
257 their best performance, the pacing pattern is more or less similar, with the exception that the  
258 opening segment is slightly faster, suggesting that improved performance is more attributable to  
259 improved physiologic capacity than to pacing.<sup>25</sup> Empirical evidence suggests that competitive  
260 performance may improve when novel pacing strategies are employed during practice or less  
261 important competitions, in order to “reset the template”.<sup>16</sup>

262

263 Specific attempts to influence the pacing strategy, such as by mid-race “break away” efforts<sup>81,91</sup>  
264 support the concept of a template, in that upward speed departures from a normal template in 10-  
265 20 km time trials are marked by a subsequent reduction of power output until homeostatic  
266 disturbances (heart rate, blood [lactate], RPE, muscle O<sub>2</sub> saturation) return toward normal, at  
267 which time the template is resumed (Figure 5). Similarly, attempts to force starting ~5% faster  
268 or slower over the first 30% of a time trial show a rapid return to the “best race” template as soon  
269 as the experimental constraints are removed.<sup>96</sup>

270 **Insert Figure 5 About Here**

271 **Pacing Strategy vs Racing Strategy**

272 Early research on pacing was mostly conducted on events where performance was against the  
273 clock, the competitive pattern in pursuit cycling, one-hour cycling, metric style speed skating and  
274 swimming. Many events where pacing might be important are decided on the basis of relative  
275 placing rather than absolute time, leading to a more stochastic pacing pattern.<sup>107-113</sup> These events  
276 demonstrate evidence of variations in starting strategy and of an end-spurt. Additionally, they  
277 display evidence of intentional variations in speed or power output. Within a single elite athlete,  
278 WR or best performances are often characterized by small variations in momentary speed (e.g.  
279 low coefficient of variation). Championship races are often characterized by frequent, potentially  
280 pre-planned, variations in momentary speed and high speed during the end-spurt, high coefficient  
281 of variation. Variations in pacing seem designed to drop weaker competitors from the leading  
282 group and reduce the number of competitors in contention before the end-spurt occurs.<sup>107-113</sup>

283



284 Hettinga et al.<sup>68</sup> discussed the role of opponents in pacing, using ecological principles and the  
 285 affordance hypothesis. They explored mechanisms of interactive behavior, proposing a pacing  
 286 framework to understand head-to-head competition in which both internal (e.g. fatigue) and  
 287 external (e.g. opponent) factors interact. Support for this model was obtained through a series of  
 288 lab and field studies<sup>67,68</sup> pacing behaviors of other exercisers<sup>69</sup> and different competitive  
 289 circumstances. In addition to a preplanned template, interactions with competitors and other  
 290 environmental aspects play roles that have been described as the *affordance concept*, wherein  
 291 the actions of the opponents afford the athlete with a range of possibilities to modify pre-planned  
 292 strategies.<sup>67-69,76</sup>

293  
 294 St Clair Gibson, Swart and Tucker<sup>114</sup> proposed the *integrative governor theory* proposing a  
 295 continuous oscillation between psychological drives (e.g. competitive goals) and homeostatic  
 296 disturbances that serves to regulate momentary power output. Both of these concepts highlight  
 297 the complexity of the processes regulating momentary power output, and highlights that the  
 298 meaningfulness of competition and actions of opponents are drivers of competitive strategy.  
 299 Additionally, since slower starting strategies reduce feelings of effort during competition<sup>96</sup>, there  
 300 is a tendency in head-to-head competition to start slower than the best performance strategy,  
 301 insert competitive “surges”, and recovery sections, and rely on the end-spurt to win the race. This  
 302 is true unless the athlete perceives that their own end-spurt might be inadequate to match other  
 303 competitors, whereupon higher intensity segments might be inserted to neutralize the end-spurt  
 304 of other athletes, or to force them to drop off mid-race. This is an example of the *concept of*  
 305 *affordances*. Head-to-head races use best performance strategy, until the actions or perceived  
 306 capabilities of opponents afford the opportunity to use stochastic pacing. This is particularly true  
 307 in aerodynamic (cycling, speed skating) or hydrodynamic (rowing, swimming) events where the  
 308 cost of locomotion can be influenced by pacing, or where the pacing of teammates (cycling, pack  
 309 style skating or team pursuit skating) or adversaries (Grand Tours, open water swimming) can  
 310 influence energy cost. It is even possible that an athlete may go to the front, with the intention of  
 311 slowing the pace, if they perceive that they cannot effectively complete the pace their opponents  
 312 have adopted. In other words, starting with the best performance strategy as a default, pacing in  
 313 head-to-head competitive events can be modified almost infinitely depending on the real or  
 314 potential behavior of competitors. However, the overriding need to limit the magnitude of  
 315 homeostatic disturbances remains, causing competitors to change from the externally monitored  
 316 competitive strategy back to the internally monitored best performance (e.g. survival) strategy.  
 317 Opponents have thus been called social placebo’s, influencing expectations regarding successful  
 318 pacing and performance.<sup>115</sup>

319

## 320 **Critical Speed and Pacing**

321 Critical Speed (CS) or Power (CP) is the speed/power associated with highest sustainable  
 322 metabolic rate.<sup>8</sup> This is derived from the asymptote for the hyperbolic speed-time or power-time  
 323 relationship, recognized for nearly 60 years<sup>7,8</sup>, and anticipated before the turn of the 20<sup>th</sup>  
 324 century.<sup>11</sup> Although not exactly the same, CS/CP approximates the physiological intensity of the  
 325 maximal lactate steady state (MLSS), the 2<sup>nd</sup> ventilatory threshold (VT2) or the 2<sup>nd</sup> lactate  
 326 threshold (LT2).<sup>8,116</sup> CS/CP is at least as explanatory of endurance performance as VO<sub>2</sub>max and  
 327 VT. If the CS/CP explains the upper limit of sustainable aerobic power, the concept of D’ (or  
 328 W’) representing the curvature constant of the speed-time or power-time relationship, accounts

329 for additional non-oxidative energetic capacity during exercise above CS/CP. The momentary  
330 balance of  $W'/D'$  can explain the likelihood of needing to decrease power output during severe  
331 exercise or the ability to increase power output in service of competitive goals.<sup>117,118</sup> This  
332 “anaerobic” energy can be used as needed to sustain metabolic rates in excess of CS/CP in  
333 shorter events (<15 min), to make mid-race surges, or during the end-spurt. Using direct  
334 measurement of anaerobically attributable energy supply, there is evidence<sup>78,120,121</sup> that, within an  
335 individual, the magnitude of anaerobically attributable energy (e.g.  $D'$ ), after adjustment for  
336 changes in gross efficiency, may be more or less constant.<sup>80</sup> There is evidence supporting the  
337 concept that the  $D'/W'$  may be reconstituted if, during the middle of an event, the speed/power  
338 output decreases below CS/CP.<sup>117,118</sup> Examining the pacing of elite runners during 10-km  
339 competitions, it is evident that WR performances are performed close to CS, whereas important  
340 races (Olympic finals) are contested with an average speed <CS, but with tactical bursts above  
341 CS (Figure 6).<sup>107,121</sup> Examining pacing in groups of runners (first 3, middle 3 and last 3) in an  
342 Olympic final, it is evident that better runners run much of the early part of the event <CS,  
343 preserving  $D'$  for the end-spurt, whereas less good runners run the early part of the event > CS in  
344 order to stay with the early pace, thus limiting energetic reserve ( $D'$ ) to contest the last laps (Fig  
345 7). This concept has been called the  $D'$  balance<sup>118</sup>. On this basis, it would be expected that the  $D'$   
346 balance would fall to very low values near the end of a race. Recent evidence from WR 1-mile  
347 races (entirely >CS) and high level 800-m swimming races<sup>120,121</sup> supports this expectation  
348 (Figure 8). Additional evidence from the 2008 Olympic men’s 10-km race indicates that the  
349 CS/ $D'$  balance could predict how high-level races unfolded, including evidence that the 80% of  
350 athletes falling out of contention before the end-spurt do so, often by mid race, when  $D'$  reaches  
351 critically low levels and that  $D'$  often increases during the remainder of the race as they are  
352 running <CS (e.g. survival mode). However, in the 20% remaining in contention until the last  
353 400-m, the magnitude of  $D'$  falls to very low values only at the end of the race (Figure 8).<sup>121</sup>  
354 Recent evidence suggests that the magnitude the end-spurt was related to how well runners were  
355 able to preserve  $D'$  until the last 400-m and that superior athletes might win or lose competitions  
356 based on good or poor management of  $D'$ .<sup>110</sup>

357 **Insert Figure 6 About Here**

358 **Insert Figure 7 About Here**

359 **Insert Figure 8 About Here**

360 The CS/CP and  $D'/W'$  seem to be as definitional of performance level and pacing strategy as  
361 were prior candidates such as  $VO_2$ max, LT/VT and the  $O_2$  cost of running.<sup>8,122,123</sup> While these  
362 metrics are still powerful predictors of the ability to move at a certain pace, the concept of an  
363 anaerobic capacity<sup>124</sup>, and how it is deployed during the course of an event, represented by the  
364 concept of  $D'$  is useful for analysis of performance, for explaining why some athletes drop off  
365 the leading group during mid-race, and why some athletes have particularly effective end-  
366 spurts.<sup>110</sup>

367 The CS/CP may also explain, at least in part, athletes’ predisposition to use a fast start strategy  
368 during shorter, high-intensity events. There is evidence that such an approach speeds  $VO_2$

369 kinetics, leading to a greater aerobic contribution in the early phase of exercise, thereby sparing  
370  $D'/W'$ . This effect of a fast start strategy on  $VO_2$  kinetics also increases CP compared to that  
371 established using constant-work-rate protocols. The pattern of  $D'/W'$  use during short-duration  
372 exhaustive exercise, where  $W'$  starts at 100% and finishes near 0 %, will also be altered by a U-  
373 shaped (relatively fast start and finish) compared to more even pacing. The regularly-adopted U-  
374 shaped pacing strategy may be a behavioral evolution not only because it is likely to be  
375 performance enhancing, but also because it would result in a higher  $W'/D'$  over a large fraction  
376 of the mid-race, potentially making the exercise feel more tolerable.

### 377 **Additional Factors**

378 Since the paper by Paavolainen et al.<sup>125</sup>, it is well-accepted that “muscle power factors”  
379 contribute to performance. The contribution of neuromuscular factors to pacing in endurance  
380 events has been scarcely addressed. Damasceno et al.<sup>126</sup> documented that improvements in  
381 strength influenced the last 2.8-km of 10-km races. This finding agrees with cross-sectional  
382 studies reporting positive influences of diverse neuromuscular performances on pacing in  
383 endurance athletes. Intervention studies have suggested potentiation effects of strength exercises  
384 during warming up on the first laps of short time trials in runners<sup>127-130</sup>, cyclists<sup>131</sup> and rowers  
385<sup>132</sup>, without improving overall performance. Conversely, impaired neuromuscular function after  
386 static stretching<sup>133</sup> reduced the starting speed of 3-km running trials without affecting the final  
387 time. Therefore, limited evidence suggests that neuromuscular function and post-activation  
388 performance enhancement would allow optimal pacing behaviors while counteracting the effects  
389 of fatigue.<sup>134</sup>

390

391 One of the most consistent and striking findings in the pacing literature is the near universal  
392 presence of the end-spurt in events of >2-3 min duration, particularly in head-to-head  
393 competition. Presumably this evidence of “reserve” in the pattern of energetic expenditure is  
394 hard-wired into exercise patterns by virtue of evolutionary history as hunter-gatherers, who  
395 needed to preserve reserve until “closing in for the kill”.<sup>135</sup> It can be argued that the interaction of  
396 muscle fiber type, lactate accumulation, preservation of anaerobic reserve ( $D'$ ) can act to define  
397 pacing. Athletes with a higher %Type II motor units are predisposed to have more top-end power  
398 or speed.<sup>136,137</sup> However, since higher % Type II motor units have a lower muscle respiratory  
399 capacity and lactate threshold (a surrogate of CS<sup>138</sup>), it is likely that the consistent pattern of  
400 runners with a higher %Type I fibers attempt to “burn off” lesser runners<sup>107</sup> is representative of  
401 the need to remove the inherently better sprinters before the competitively critical moment of the  
402 race. Certainly, the best evidence is that the athletes winning in the final sprint are those who  
403 have best preserved their anaerobic capacity ( $D'$ ).<sup>110</sup> Thus, the essential pacing decision within an  
404 event is whether natural sprinters (high %Type II motor units, high  $D'$ ) can remain in contact  
405 with more endurance-oriented athletes (high % Type I motor units, high muscle respiratory  
406 capacity, high CS).

### 407 **Conclusion**

408 Pacing strategies have been of interest to exercise physiologists for at least the last 30-years.  
409 Several models have emerged through the years attempting to predict the optimal pattern to  
410 finish an event without excess fatigue or excess remaining energy at the finish. These models  
411 have shown that pacing reflects a complex relationship between environmental stressors,  
412 physiological feedback, and psychological drive with a default pattern of a relatively “even”  
413 pacing strategy with a brief “fast start” to optimize time-centric vs head-to-head competition.  
414 These templates are robust even in the face of conditions that predictably would change them  
415 (hypoxia, glycogen depletion, etc.). Athletes revert to the baseline template unless there is  
416 conscious effort to change for tactical reasons. However, templates may have progressive  
417 modifications through repeated performances. Once an “ideal” pacing template is achieved, the  
418 athlete may use the “concept of affordances” to modify pacing based on events occurring within  
419 an event. Although progressive growth of RPE is characteristic of pacing, more subtle  
420 psychodynamic factors such as affect (valence) appear to be more discriminatory than RPE on  
421 whether an athlete remains with competitors or “lets go” part way through an event.

#### 422 **Practical Applications**

423 Pacing, the way an athlete expends energy during a competition, depends on several factors.  
424 Although the term pacing strategy is widely used, the term is probably too broad, as “strategy”  
425 encompasses the overall race plan, the tactics used to accomplish the strategy, and the highly  
426 responsive pattern of energy expenditure, all designed to achieve competitive outcome. The first  
427 is the competitive result (best performance vs defeating competitors). This will lead to whether  
428 the pattern of energetic output is smooth and based on the time-distance characteristics of the  
429 event or stochastic, where energetic output is focused on “dropping” competitors or preserving  
430 energy for the end-spurt. To accomplish these goals, an athlete needs to have a sense of their  
431 own capacity and be able to interpret internal feedback indicating the magnitude of homeostatic  
432 disturbances. They also need to have a good sense of their competitor’s capabilities and be able  
433 to interpret signals from their competitors, in order to vary their tactics. Thus, while pacing  
434 strategy is not likely to discriminate between athletes of widely varying ability, it may be critical  
435 to achieving a desired competitive result.

436

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## 782 Captions for Figures

783 **Figure 1:** Schematic of relative PO vs total distance and relative percent of a time trial  
 784 completed. The data resemble a “landscape” and show that in almost all distances that there is  
 785 an initial peak in PO at the start, and a terminal end-spurt in all but the shortest distances.<sup>29,77,78</sup>

786 **Figure 2:** Schematic of the growth of RPE in relation to the percent of a task completed. Data  
 787 included are for ambulatory tasks such as walking, running and cycling, as well as for lifting  
 788 weights to failure with different levels of resistance.<sup>21,25,28,51,53,54,64,72,80,88,93-96</sup>

789 **Figure 3:** Schematic responses of the degree to which changes in PO are used to regulate the  
 790 growth of RPE during heavy exercise. In one trial (upper panels) the subjects completed a 5-km  
 791 cycle time trial, either breathing room air throughout, or breathing a hypoxic mixture between 2-  
 792 4 km.<sup>28</sup>, During hypoxia, the PO is rapidly reduced and then returns to normal when normoxia is  
 793 restored. However, the growth of RPE across the duration of the time trial is barely affected. In  
 794 another trial (lower panels) the subjects competed a 4-km time trial in either a control condition  
 795 or following an exercise/diet manipulation calculated to cause muscle glycogen depletion. In the  
 796 depleted condition there were profound decreases in PO, after the opening 400-m segment, but  
 797 only modest increases in RPE.<sup>92</sup>

798 **Figure 4:** Schematic of the effect of glycogen depletion during time trials of 1.5 and 4.0-km. In  
 799 concert with the effect of a pre-exercise template there is no effect on PO at the beginning of the  
 800 time trial, but there is a rapid and progressive decrease in PO throughout the course of the  
 801 glycogen depleted time trial.<sup>87</sup>

802 **Figure 5:** Schematic responses of 10-km (upper panels)<sup>79</sup> and 20-km (lower panels)<sup>88</sup> cycle  
 803 time trials where one or more bursts, as if the rider were trying to “break away from the peloton”  
 804 were inserted. In both cases, during the burst the RPE grew at a higher rate than in the control  
 805 (self-paced) trial, and slowly recovered after the burst, consequent to a reduction in PO. The  
 806 data demonstrate that the rate of growth of RPE is tightly controlled and that PO is adjusted to  
 807 maintain the expected rate of growth of RPE.

808 **Figure 6:** Speed profiles of Kenesa Bekele (ETH) during world record 5-km and 10-km races  
 809 and during Olympic gold medal races in the 2007-2008 time period. Note that the variation in  
 810 pace during the championship events is much larger (CV~3x greater). For reference, the Critical  
 811 Speed (dashed line), calculated from public record performances, approximates the velocity of  
 812 the 10 km world record.

813 **Figure 7:** Speed profiles of the first 3, middle 3 and last 3 runners in the men’s 5-km and 10-km  
 814 Olympic finals (Beijing 2008). The data are normalized to the individual values for Critical  
 815 Speed, which emphasizes that the first 3 runners are running at a physiologically easier pace  
 816 during the early part of the race. This may serve to preserve D’ and allow them to run at a  
 817 relatively higher percentage of their already higher CS during the closing stages of the race. A  
 818 better preserved D’ also increase the likelihood of producing a more effective end-spurt.<sup>114</sup>

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820 **Figure 8:** Progressive depletion of  $D'$ , to essentially zero values, during the course of World  
821 Record performances in the 1-mile run, based on historical data since ~1920. The CS was  
822 subtracted from the observed speed during each 402-m lap, and the remaining distance was  
823 subtracted from the  $D'$  (both CS and  $D'$  were computed based on published historical races for  
824 that athlete).<sup>107</sup>

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