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# ETDs in Lock-Down: Trends, Analyses and Faculty Perspectives on ETD Embargoes

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University of Maryland Libraries

ETD 2009

10 June 2009



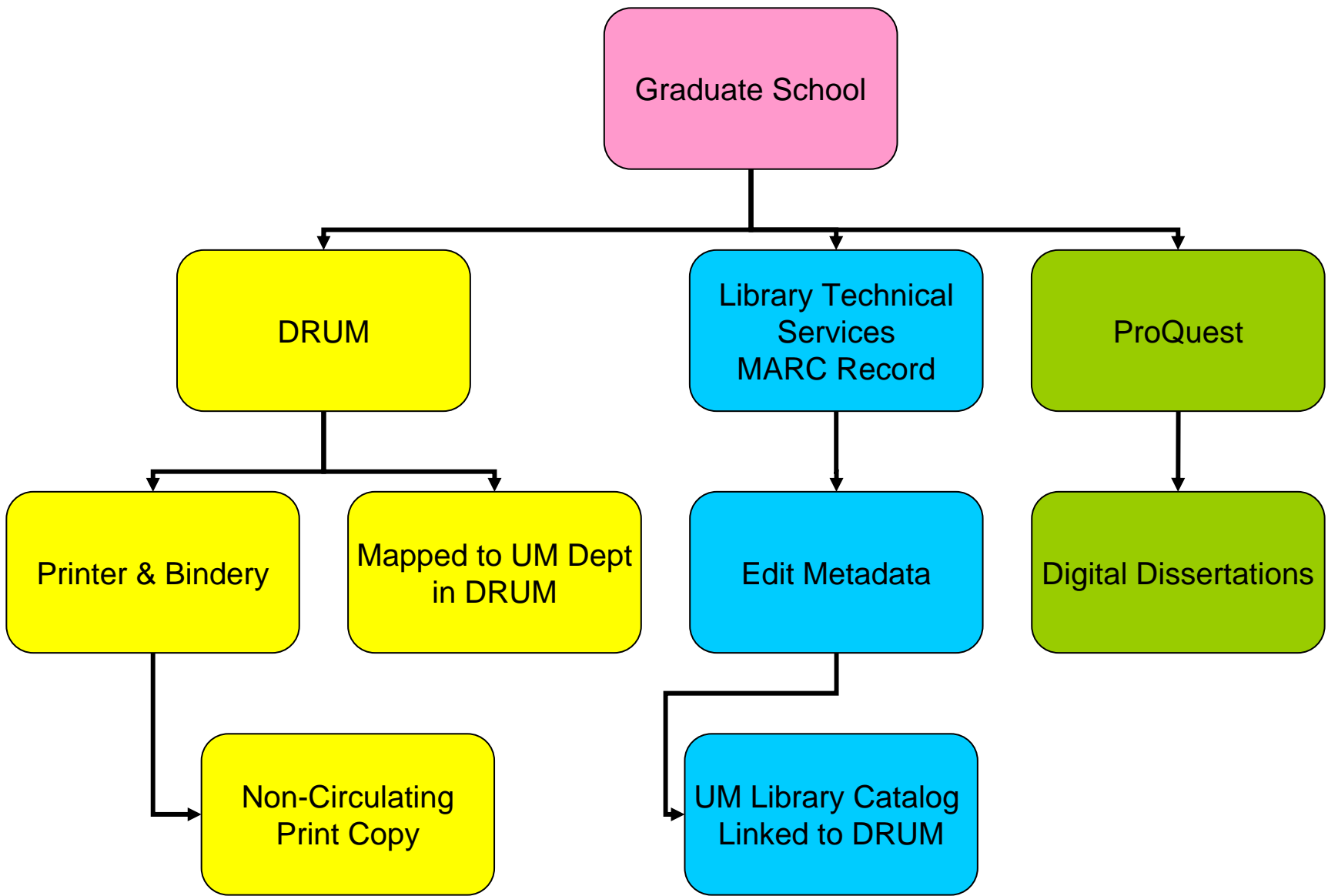
**DRUM**

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# ETD Background

- Mandatory electronic submission since 2003
- DRUM launched in August 2004
  - <http://www.lib.umd.edu/drum>
- ETDs automatically deposited in DRUM
- DRUM contains more than 4,500 ETDs





**DRUM**

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# ETD Concerns

- Will journal publishers still accept my article if it is available electronically?
- What if I want to write a book related to my thesis or dissertation?
- Won't it be easier for someone to plagiarize my research if it is freely available online?



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# UM ETD Embargo Options

- Restrict access for one year
- Restrict access for six years
- Restrict access indefinitely
  - Requires written approval by the Dean of the Graduate School
- Non-circulating print copy still available in the library



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# Why Embargo?

- For 1-year embargoes
  - Seek patent protection for material in the thesis or dissertation
  - Publish in a journal that has restrictions for depositing in an open access repository
- For 6-year embargoes
  - Publish a book based on your dissertation



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**Issue Date:** 3-May-2007

**Abstract:** This paper analyses the ritual of imported wine consumption in America between 1750 and 1800 and its significance in establishing a wealthy gentleman's power and place within a social hierarchy. My research was conducted by exploring contemporary written and visual records, as well as examining material objects and architectural spaces, specifically pertaining to the Annapolis, Maryland region. Beginning with a study of the varieties of wines consumed and their influence in the politically-charged environment prior to the American Revolution, the paper then explores why and how gentlemen used wine bibbing as an indication of one's identity in a burgeoning society. Quantities of wine-related furniture and decorative objects, in combination with architectural storage spaces, conveyed a life far above that of the average citizen. Finally, this paper examines to what degree historic house museums are interpreting the wine ritual and suggests steps that might be taken to do so more effec...

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# Embargo Process

- Form submitted to Grad School
- Four options
  - allow immediate access
  - 1-year embargo
  - 6-year embargo
  - indefinite embargo
- Must be signed by faculty advisor
- Supplemented by 2-page info sheet

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	Degrees Awarded	1-year	6-year	Total	Percentage
Fall 2006	266	47	25	72	27%
Spring 2007	398	78	48	126	32%
Summer 2007	293	54	27	81	28%
Fall 2007	300	77	27	104	35%
Spring 2008	266	51	44	95	36%
Summer 2008	262	51	20	71	27%
Fall 2008	265	74	27	101	38%
<b>TOTALS</b>	<b>2050</b>	<b>432</b>	<b>218</b>	<b>650</b>	<b>32%</b>



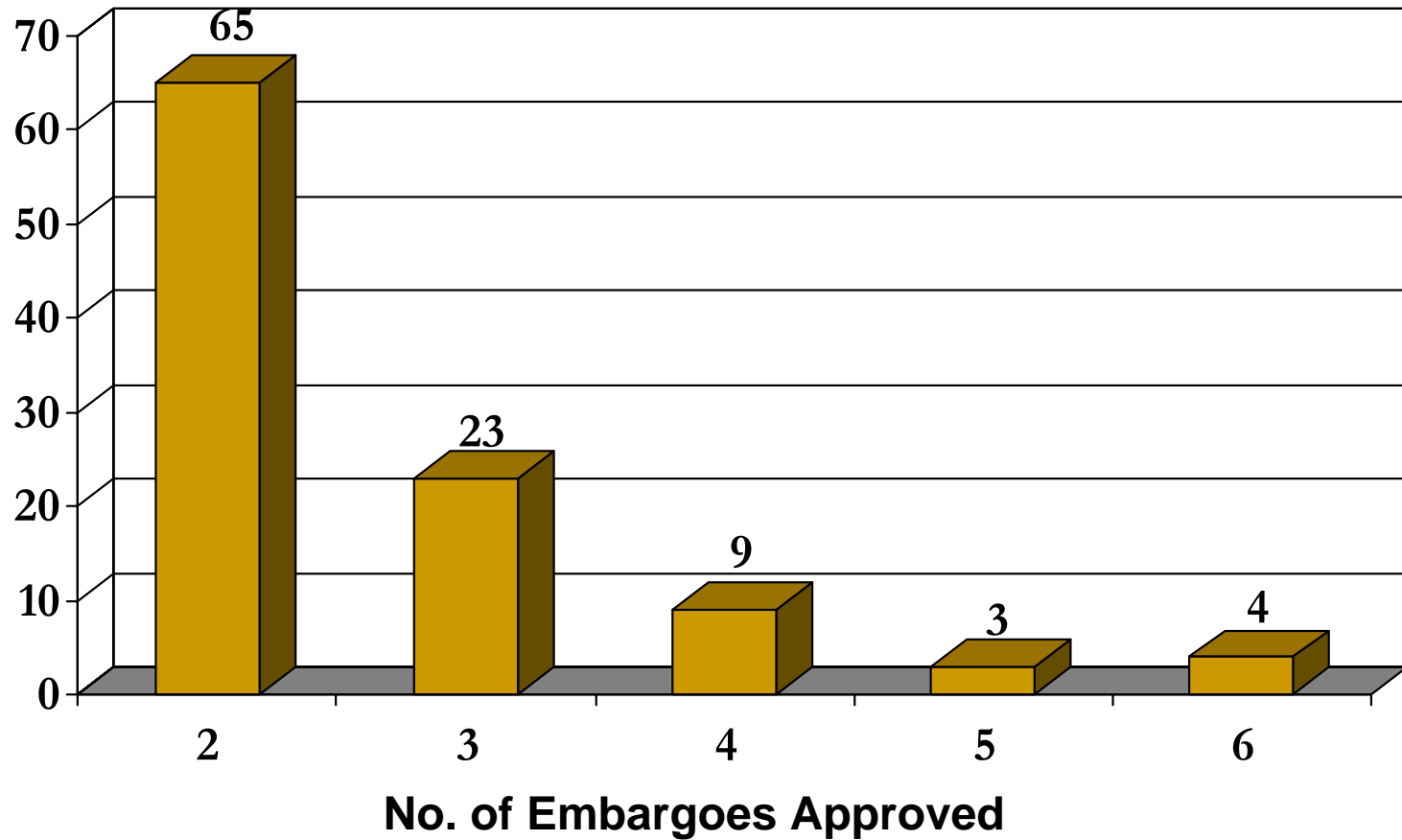
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# Embargoes by College

	Degrees	1-yr	6-yr	Total	Percent
Engineering	526	116	34	150	29%
Ag & Natural Res	92	32	15	47	51%
Architecture	61	1	3	4	7%
Arts & Humanities	266	35	75	110	41%
Behavioral & Soc Sci	253	39	24	63	25%
Chem & Life Sci	226	95	26	121	54%
Comp, Math & Phy Sci	273	54	8	62	23%
Education	209	40	17	57	27%
Journalism	17	1	2	3	18%
Business	32	10	5	15	47%
Public Health	73	7	8	15	21%
Public Policy	16	2	1	3	19%



# Faculty Approving More Than One Embargo



# Departments With Greatest Number of Embargoes

## Five Embargoes:

- Mathematics
- Mechanical Engineering
- Theatre

## Six Embargoes:

- Art History & Archaeology
- American Studies
- Electrical & Computer Engineering
- English



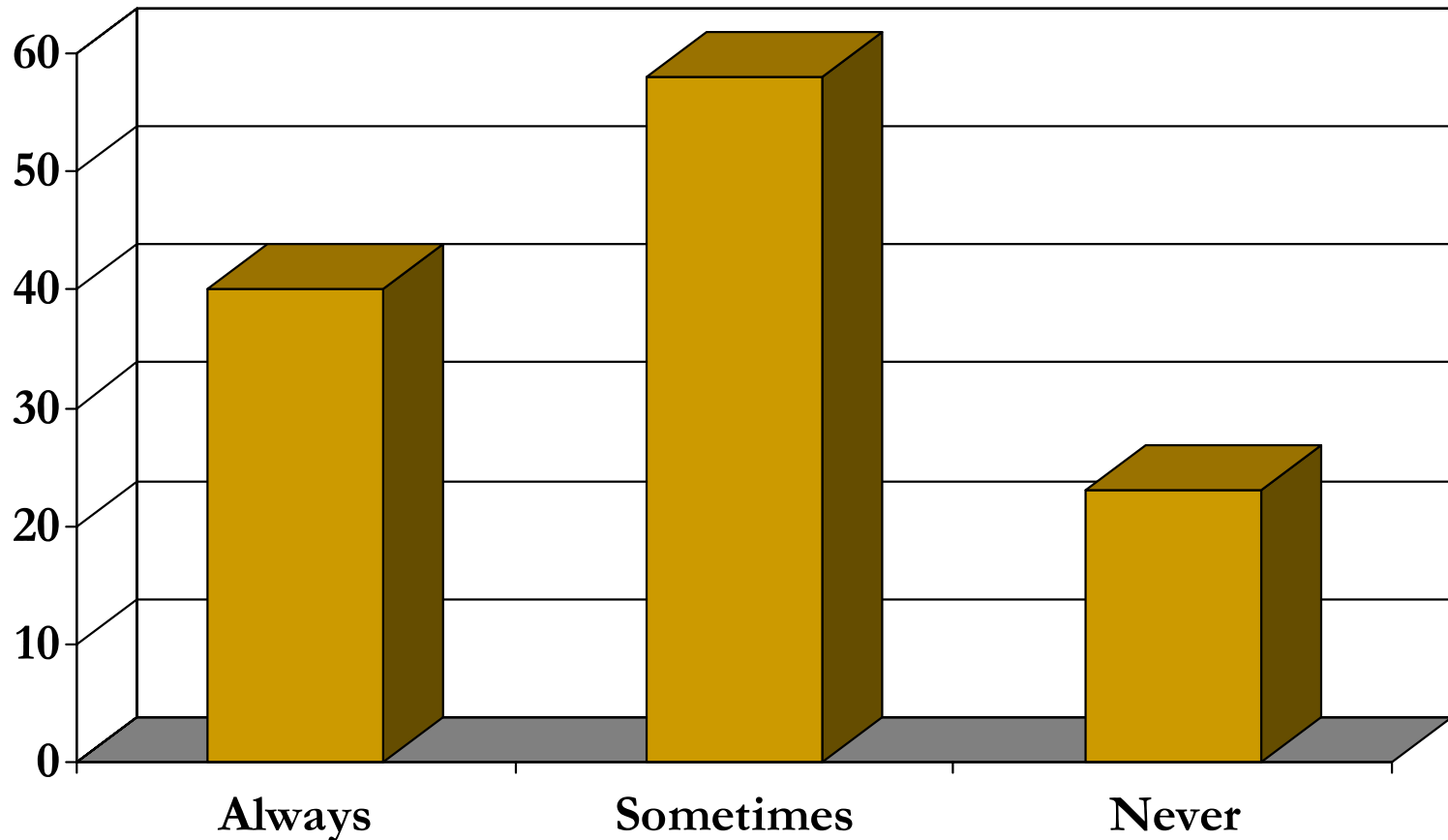
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# Faculty Survey

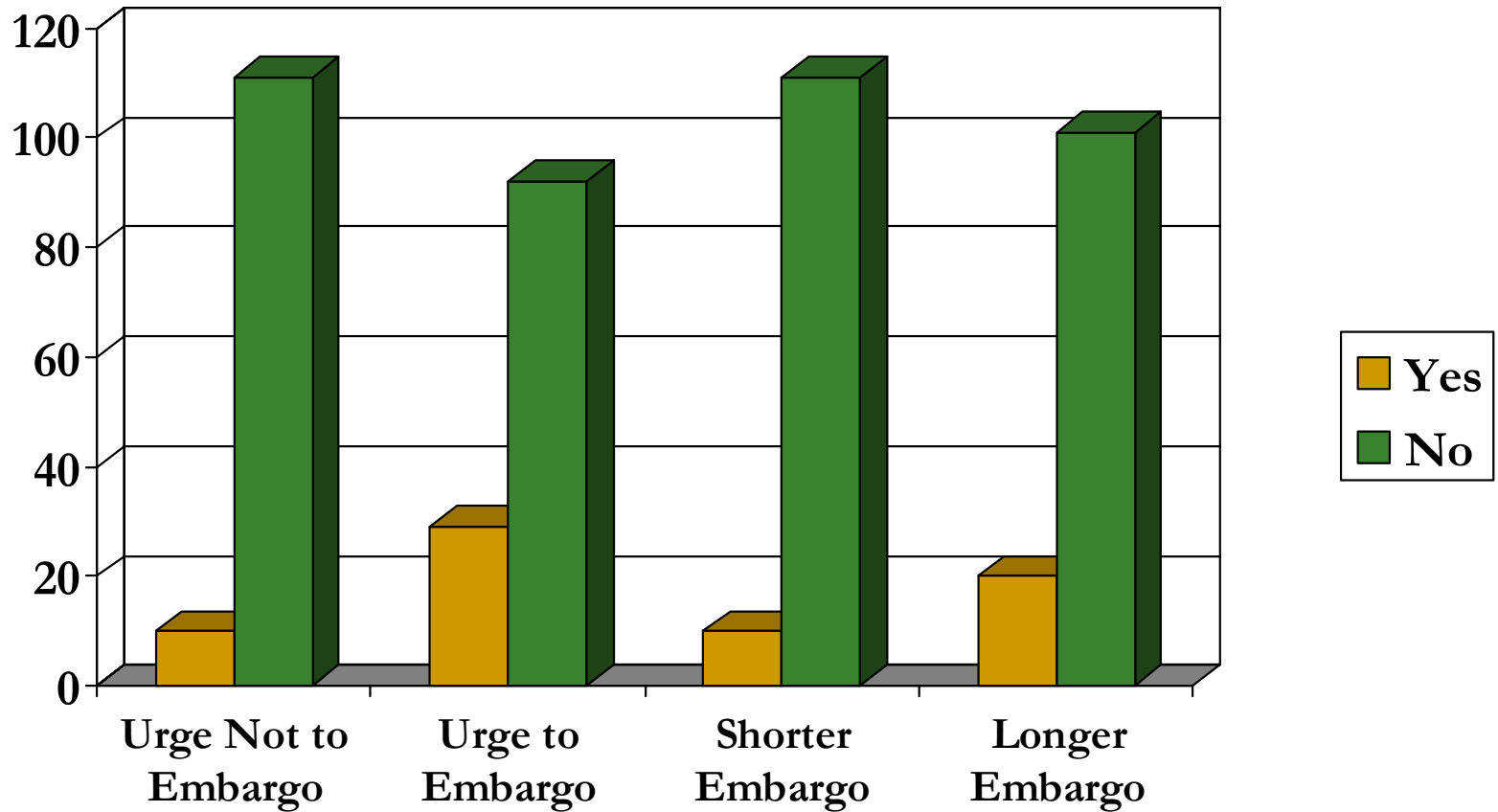
- Faculty advisors who had approved at least one embargo since 2006 (N=367)
- Eleven-question survey sent out Feb 2009
- Open for three weeks
- 131 responses; 36% response rate
- Population: tenured faculty with 10+ years in academe



# Do your students review the embargo options with you?



# Faculty Involvement in Decision Process





# Reasons for Approving Embargoes

	Total / %	Arts & Hum	Sciences	Soc Sci
Future Publication	34 (37%)	7	22	5
Protect Data/Work	20 (22%)	6	8	6
Student Request	17 (19%)	2	6	9
Proprietary Data	8 (9%)	0	7	1
Don't Remember	7 (8%)	1	2	4
Patent Application	5 (5%)	0	5	0



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# Educational Efforts

- ETD workshops for graduate students
- Ongoing communications with faculty regarding embargoes
- Educate faculty on evolving trends in scholarly publishing, including author rights
- One-on-one conversations with faculty who have approved several embargoes
- Scholarly communications program in development



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# Questions?

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