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How prebiotics have been produced from agro-industrial waste: An overview of the enzymatic technologies applied and the models used to validate their health claims

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**Credit authorship contribution statement**

Daniela A. Gonçalves is responsible for writing chapter 2, as well as collecting the data for tables 1, 2, 3, and 4.

Abigail González is responsible for writing chapters 1 and 3, as well as collecting the data for tables 5, and 6.

Dalila Roupar is responsible for writing chapter 1, drawing figure 1, as well as collecting the data for tables 1, 2, 3, 4, 5, and 6.

José A. Teixeira is responsible for revising the manuscript, supervision, and funding acquisition.

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# 1 How probiotics have been produced from agro-industrial 2 waste: an overview of the enzymatic technologies applied and 3 the models used to validate their health claims

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## 13 14 15 **Abstract**

16 **Background.** The disposal of waste from the food industry represents a major  
17 environmental concern. Nonetheless, agro-industrial by-products can be enzymatically  
18 converted into low-cost high-value-added products, such as probiotics, while contributing  
19 to a circular economy. As a first approach for health claims validation of these novel  
20 products, several gastrointestinal models have been used.

21 **Scope and Approach.** The main objective of this review is to provide a comprehensive  
22 overview of the advances in the enzymatic technologies applied to the production of  
23 probiotics from agro-industrial wastes. The strategies used in the conversion of the  
24 wastes, including pre-treatment processes, type of enzymes applied, and the reaction  
25 conditions used are revised. Finally, from data obtained by *in vivo* trials and *in vitro*  
26 gastrointestinal simulation, the functionality of the produced probiotics and their  
27 biological mechanisms of action are discussed.

28 **Key Findings and Conclusions.** Enzymatic processes have proven their efficiency for  
29 the conversion of low-cost agro-industrial wastes into commercial valuable compounds,  
30 such as probiotics. Still, the potential of enzymes for the bioconversion of the vast  
31 diversity of existing wastes has yet to be explored. By researching different setups of the  
32 enzymatic reaction and optimization of the reaction conditions, greater yields of the  
33 probiotic extraction or synthesis may be achieved. Also, despite the many available  
34 gastrointestinal models, few studies have been done on the biological function of the

35 prebiotics obtained from agro-industrial wastes, which has been a drawback in the  
36 validation of health claims associated with these novel products.

37

38 **Keywords:** agro-industrial waste; prebiotics; functional foods; enzymatic treatments; *in*  
39 *vitro* digestion; prebiotic functionality.

40

41

## 42 **1. Introduction**

43 Food waste has attracted much attention in recent years due to its environmental, social,  
44 and economic impact. According to data provided by the UNEP Food Waste Index Report  
45 2021 it was estimated in 2019 that 931 million tons of food waste were generated,  
46 representing 61 % of households, 26 % of food services, and 13 % of retail (Forbes et al.,  
47 2021). In food processing industries, waste results from the separation of desired products  
48 from unwanted by-products. The long-term persistence of untreated food waste in  
49 landfills eventually generates a substantial amount of methane, which as a greenhouse  
50 gas contributes to the global warming. In addition, this waste provides a breeding ground  
51 for rot-causing microorganisms and the proliferation of pests, which in turn raises huge  
52 environmental concerns.

53 The by-products generated during food processing, which are often considered waste, are  
54 still packed with nutrients and bioactive compounds that can be exploited by further  
55 processing to produce high-value-added products. The development of these practices at  
56 an industrial level is very attractive economically since it uses a low or no-cost raw  
57 material, and at the same time, it is an environmentally friendly method of waste  
58 management (de la Rosa et al., 2019). A potential application for agro-wastes is the  
59 production of prebiotics since food waste is often rich in carbohydrates and fibers  
60 susceptible to be transformed by means of enzymatic processes. Prebiotics are  
61 compounds that are not hydrolyzed by human digestive enzymes, which upon reaching  
62 the colon, are fermented by the gut microorganisms, promoting the growth of beneficial  
63 bacteria, which transform them into metabolites with health benefits (Nobre et al., 2022).

64 The growth of the functional food market in recent years has been remarkable,  
65 specifically for foods containing prebiotics. The global prebiotics market size was over  
66 US\$2.9 billion in 2015 and has an expected growth of around 12.7 % by 2025, with a profit

67 of approximately U\$10.55 billion (Mano et al., 2018). Such growth may be a result of the  
68 increased health-consciousness by consumers looking for food that not only meets  
69 nutritional needs but can also improve overall health (Gonçalves et al., 2022). The health  
70 benefits of prebiotics are related to their ability to modulate the microbiota, by increasing  
71 the growth of probiotic bacteria that produce beneficial metabolites and decreasing the  
72 pathogenic bacteria growth, with a subsequent decrease of intestinal permeability and  
73 inflammation. Prebiotics also contribute to better intestinal absorption of minerals, such  
74 as calcium and magnesium, reduce the risk of cancer and allergies, and improve the  
75 immune system (Al-Sheraji et al., 2013).

76 Prebiotics must reach the gut almost intact for further fermentation by the probiotic  
77 microorganisms. They must be resistant to the gastric acidic pH, and not be hydrolyzed  
78 by human digestive enzymes or absorbed along the gastrointestinal tract (Davani-Davari  
79 et al., 2019). These features should be evaluated by *in vivo* or *in vitro* assays that  
80 reproduce or simulate human digestive physiology. Animal assays and clinical trials are  
81 nowadays used for *in vivo* studies; however, their use is limited due to economic and  
82 ethical issues. The *in vitro* methods used to evaluate whether a compound can be  
83 considered prebiotic or not include digestibility and microbial fermentation assays, for  
84 which physiologically relevant models are currently being developed and improved  
85 (Roupar et al., 2021).

86 This work discusses the advances in the production of prebiotics from agro-industrial  
87 wastes using enzymatic technologies, and the methodologies applied to evaluate their  
88 biological functionality. A bibliometric analysis was conducted between August and  
89 December 2022 using the Scopus database for the collection of the data within this  
90 review. The search included the following combination of terms in the title, abstract, or  
91 keywords: (agro-industrial AND waste AND prebiotics), (waste AND valorization AND  
92 prebiotics OR oligosaccharides), (waste AND enzymatic AND prebiotics OR  
93 oligosaccharides), (prebiotic AND digestibility AND waste), (prebiotic, *in vivo* OR *in*  
94 *vitro* AND fermentation AND waste). In total, 496 publications were identified. All type  
95 of publications was considered in the search except for those that were published before  
96 2005 ( $n = 15$ ). The selection was further narrowed down manually to exclude articles that  
97 did not fulfill the inclusion criteria. Among the total, 62 articles were chosen and included  
98 in this review.

## 99 2. Prebiotics from agro-industrial wastes

100 The agro-industrial sector is known for producing a huge amount of diverse wastes  
101 (**Fig. 1**). Residues derived from agricultural activities include materials such as straw,  
102 stem, stalk, leaves, husk, shell, molasse, peel, lint, seed/stones, pulp or stubble from fruits,  
103 legumes, or cereals, bagasse generated from sugarcane or sweet sorghum milling, spent  
104 coffee grounds, brewer's spent grains, and many others (Sadh et al., 2018). A  
105 considerable portion of the agro-industrial wastes is mainly composed of cellulose,  
106 hemicellulose, and lignin, known as "lignocellulosic materials". Usually, cellulose is the  
107 dominant fraction in the plant cell wall (35–50 %), followed by hemicellulose (20–35 %)  
108 and lignin (10–25 %) (Mussatto et al., 2012). As a result, most oligosaccharides generated  
109 from this type of residue are xylo- and cello-oligomers. Nonetheless, depending on the  
110 treatment applied, mannan- and galacto-oligomers can also be extracted (Bhatia et al.,  
111 2019).

112

113 *Please insert here Figure 1.*

114

115 The volume of agro-industrial wastes yearly produced is immense, representing a massive  
116 prospect for economic valorization. For instance, corncobs represent a major unexplored  
117 resource, as the majority of the annually produced 144 million tons are either discarded  
118 or burnt (Córdoba et al., 2013), both representing a major environmental burden and an  
119 opportunity for value creation. Global production of molasses accounts for around 50  
120 million tons per year (Nikodinovic-Runic et al., 2013). Despite its commercial value, its  
121 storage and transport raise difficulties. As a result, only about 15 % of the total molasses  
122 produced is internationally traded. Peels are another major agro-industrial waste. Peels  
123 represent approximately 20–30 % of 270 million metric tons (Mt) of cassava produced  
124 worldwide (Ajala et al., 2020). Orange peel accounts for up to 20 % of total orange  
125 production volume, resulting in an annual yield of over 15 Mt of orange peel. The  
126 percentage of potatoes discarded in the peeling process is even higher, ranging between  
127 10–40 % of the product mass (Sepelev & Galoburda, 2015). Since global production of  
128 potatoes exceeded 359 million metric tons in 2020, it can be considered another major  
129 source of agro-industrial waste (Shahbandeh, 2022).

130 Agro-industrial wastes can be converted into high-value commercial products using  
131 enzymatic bioprocesses, reducing the overall production cost, and the pollution load from

132 the environment. The enzymatic hydrolysis of the residues and by-products into new food  
133 ingredients with enhanced nutritional value and functionality is a cost-effective, green,  
134 and safe technology (Radenkovs et al., 2018). However, each type of residue requires an  
135 appropriate enzyme (or enzyme system), and its catalytic efficiency depends on several  
136 parameters, including the operational conditions.

137 In this vein, much has been investigated aiming at developing new strategies for the  
138 valorization of agro-industrial residues through the application of enzymatic  
139 bioprocessing. A list of prebiotics produced by enzymes using different types of agro-  
140 industrial residues is further discussed. Information is also provided on the pre-treatments  
141 applied to the waste used and on the enzymatic process conditions applied.

142

### 143 **2.1. Fructo-oligosaccharides**

144 Fructo-oligosaccharides (FOS) are polymers of fructose residues linked to a terminal  
145 glucose molecule. Enzymatically, FOS are synthesized from sucrose through a  
146 transfructosylating reaction catalyzed by  $\beta$ -fructofuranosidase (FFase) or  
147 fructosyltransferase (FTase) enzymes (de la Rosa et al., 2019; Nobre et al., 2018). The  
148 use of sucrose-rich industrial by-products, such as molasses, can represent a cheap and  
149 profitable alternative to produce this prebiotic. These by-products often do not require a  
150 *prior* treatment as the needed substrate is readily available. Immersion or diffusion in hot  
151 water is in most cases enough to prepare the waste for the enzymatic catalysis (Ganaie et  
152 al., 2017; Smaali et al., 2012). Nonetheless, if necessary, the agro-wastes can be treated  
153 for removal of proteins, using proper hydrophilic membranes (Corzo-Martínez et al.,  
154 2016), or heavy metals, with ethylenediaminetetraacetic acid (EDTA) solutions (S. Zhang  
155 et al., 2019).

156 Several approaches have already yielded promising results (see **Table 1**). *Aspergillus*  
157 *flavus* NFCCI 2364 fructosyltransferase was exploited to synthesize FOS from sixteen  
158 different agro-wastes, including wheat bran, corn straw, sugar cane bagasse, cassava  
159 peels, apple pomace, banana peels, beetroot peels, orange peels, guava peels, guava seed  
160 powder, pineapple peels, papaya peels, mango peels, passion fruit peels, jabuticaba peels  
161 and cashew peels (Ganaie et al., 2017). A solid-state fermentation approach was  
162 employed. Among the different agro-wastes, sugar cane bagasse was the most promising  
163 substrate, yielding 35.95 % (w/w) under unoptimized conditions. Higher amounts of this

164 prebiotic were obtained after optimization of the process conditions. A total of 73.42 %  
165 (w/w) of FOS were produced, where 1-kestose accounted for 46.28 %, followed by  
166 nystose (21.19 %) and 1<sup>F</sup>-fructofuranosylnystose (5.95 %).

167

168 *Please insert here Table 1.*

169

170 Aguamiel of maguey was used as a culture medium to produce FOS with FTase from  
171 *Aspergillus oryzae* DIA-MF, under solid-state fermentation (Muñiz-Márquez et al.,  
172 2016). A yield of 0.30 (w/w) of FOS was achieved. From the 35–40 g/L of sucrose present  
173 in the aguamiel, 43.8 % was converted into FOS, with a productivity of 0.097 g/(L·min).

174 FOS production from coffee silverskin reached higher values than that achieved when  
175 using synthetic fiber as solid support in solid-state fermentation. Mussatto & Teixeira  
176 (2010) found that this waste could provide enough nutrients for microbial development,  
177 reproducing the results achieved with a nutrient-supplemented media. In the process, high  
178 levels of FFase activity were attained, yielding  $0.70 \pm 0.04$  (w/w) FOS with a productivity  
179 of  $8.05 \pm 0.49$  g/(L·h) (Mussatto & Teixeira, 2010). From an industrial point of view, it  
180 is important to maximize both the formation of FOS and the enzyme transfructosylation  
181 activity to achieve improved processes at reduced operational costs. After process  
182 optimization, the same authors increased FOS production from 128.7 g/L to 206 g/L,  
183 emphasizing the importance of process optimization (Mussatto et al., 2013). According  
184 to the reported data, coffee silverskin can be considered a good candidate for the synthesis  
185 of FOS at an industrial level.

186 FOS have been also produced from date by-products from *Deglet Nour* flesh (Smaali et  
187 al., 2012). During the production and commercialization of date products, a considerable  
188 amount of fruit waste is generated and often discarded. Dates hold a high content of  
189 sucrose in their composition, 50 g of sucrose was quantified per 100 g of flesh. Aqueous  
190 extracts containing sucrose from date by-products were prepared by diffusion in hot  
191 water. An aqueous extract containing 231.94 g/L sucrose was used as a substrate source  
192 for enzymatic FOS synthesis. Crude extract from *A. awamori* was used without enzyme  
193 purification. The FFase in the crude extract was immobilized into chitosan. The  
194 immobilized FFase converted 84.14 % of sucrose into 123 g/L of FOS, with a yield of  
195 53.26 % and a productivity of 18.5 g/(h·100 g). Being a cheap waste material and having



196 shown the possibility of reusing the enzyme up to 11 cycles, this type of process could be  
197 easily used at an industrial scale for the low-cost production of FOS.

198 Tofu whey permeate, an industrial by-product from the soybean industry, contains  
199 substantial levels of sucrose (163 g/L), and, for that reason, it was tested for the enzymatic  
200 synthesis of FOS. A commercial enzyme from *Aspergillus* sp., Pectinex<sup>®</sup> Ultra SP-L, was  
201 capable of transfructosylate the carbohydrates present in the tofu whey permeate.  
202 Maximum production of 164.2 g/L FOS and fructosylated  $\alpha$ -galactosides was achieved  
203 after 8 h of reaction, yielding 57 % (w/w) of FOS. 1-Kestose, nystose, and  
204 1<sup>F</sup>-fructofuranosylnystose accounted for  $37.0 \pm 1.0$ ,  $45.6 \pm 1.1$ , and  $5.4 \pm 0.1$  g/L,  
205 respectively, of the total produced oligosaccharides (Corzo-Martínez et al., 2016).

206 Cane molasse waste is a high source of sucrose for FOS synthesis. The whole cells of  
207 *Aureobasidium melanogenum*, with glucose repression in disruptant D28 relieved, were  
208 applied in cane molasses waste to produce FOS in submerged fermentation. The FFase  
209 showed improved  $\beta$ -fructofuranosidase activity, and after only 4 h reaction, 0.58 g FOS  
210 per g of molasses were produced. The final FOS mixture consisted of 38.7 % 1-kestose,  
211 49.3 % nystose, and 12.0 % 1<sup>F</sup>-fructofuranosylnystose (S. Zhang et al., 2019).

212

## 213 **2.2. Galacto-oligosaccharides, Lactosucrose, and Lactulose**

214 Galacto-oligosaccharides (GalOS), galactose oligomers, are mainly synthesized by a  
215 transgalactosylation reaction catalyzed by  $\beta$ -galactosidase enzymes, as well as the di- and  
216 trisaccharide lactulose and lactosucrose (Gonçalves et al., 2022). Lactosucrose can also  
217 be synthesized through a transfructosylation reaction catalyzed by levansucrases (C. Wu  
218 et al., 2015), and lactulose by lactose isomerization using cellobiose 2-epimerase (CEs)  
219 enzymes (Kuschel et al., 2016). Lactose is one of the substrates needed for the production  
220 of these prebiotics, acting as an acceptor and/or donor of galactosyl moieties. For GalOS  
221 synthesis, only lactose is required. Nevertheless, the synthesis of lactosucrose and  
222 lactulose only occurs when sucrose or fructose acts as galactosyl moiety acceptors,  
223 respectively (Gonçalves et al., 2022).

224 Whey, a by-product of the dairy industry, contains approximately 4.5–6.0 % lactose  
225 (Kaur et al., 2020). Due to the abundant concentration of lactose in this by-product, its  
226 valorization has been evaluated over the past years (see **Table 2**). Besides lactose, whey  
227 contains a substantial amount of proteins. To prevent their precipitation during the

228 prebiotic synthesis, the whey proteins may be previously digested by proteases (T. S.  
229 Song et al., 2013; Bolognesi et al., 2021).

230

231 *Please insert here Table 2.*

232

233 Up to 80 % of whey-lactose was converted into GalOS using a crude recombinant  $\beta$ -  
234 galactosidase from *Streptococcus thermophilus* DSM 20259. The reaction yielded 34.2 %  
235 (w/w) galactose-oligomers with  $\beta$ -(1,3) and  $\beta$ -(1,4) linkages. When using concentrated  
236 whey, GalOS yield increased up to 50 % (w/w), with  $\sim$ 90 % lactose conversion within  
237 5 h (Geiger et al., 2016). According to the author's findings, it is possible to synthesize  
238 approximately 1 kg of GalOS from 3 kg of whey permeate powder. Mano et al. (2019)  
239 reported that shorter reaction times should be considered to obtain a better production  
240 yield and productivity of GalOS. Proof of that was the lower GalOS production yield  
241 achieved using Lactozyme™ 2600 L (25 % (w/w)). The commercial enzyme preparation  
242 was found to be the most suitable for GalOS synthesis but ended up hydrolyzing the  
243 produced oligomers at longer reaction times (Mano et al., 2019). The presence of  
244 monomers in whey concentrates can also be a problem when trying to develop strategies  
245 for GalOS production. A maximum yield of  $14.8 \pm 0.9$  % (w/w) was reached after a 5 h  
246 reaction using a concentrated acid whey containing 9.3 % of initial lactose. Similar values  
247 ( $15.26 \pm 0.05$  % (w/w)) were attained at 2 h reaction using pure lactose solution. The  
248 delayed GalOS synthesis may be related to enzyme activity inhibition (Zerva et al., 2021).  
249 Apart from the poor results, the use of acid whey can be further explored for the synthesis  
250 of GalOS with a degree of polymerization (DP)  $\leq$  4.

251 *Porungo* cheese whey contains approximately 4.3 % of lactose (Marim et al., 2021).  
252 Contrary to the results obtained by Zerva et al. (2021), GalOS production using this  
253 industrial by-product resulted in higher synthesis than that observed when used pure  
254 lactose solution. A yield of 63.1 % (w/w) and a productivity of 13.6 g/(h·L) were  
255 achieved, compared to the control lactose solution of 41.1 % (w/w) and 8.2 g/(h·L),  
256 respectively. It seems that immobilization techniques may improve the galacto-oligomers  
257 synthesis and somehow avoid enzyme inhibition (Bolognesi et al., 2021). This confirms  
258 that when aiming for waste valorization all aspects should be considered for the  
259 development of a suitable and economical process. It has been shown that immobilized

260  $\beta$ -galactosidase enzymes (onto glutaraldehyde-activated chitosan beads) can be used up  
261 to 10 consecutive cycles, losing only 26 % of the relative activity. When applying this  
262 methodology, a maximal GalOS concentration of 159.4 and 168.8 g/L was achieved using  
263 milk whey and milk whey permeate, respectively, with an initial lactose concentration of  
264 40 % (w/v) (Hackenhaar et al., 2021).

265 More studies have been focused on the conversion of lactose-whey into GalOS.  
266 *Cryptococcus laurentii* whole-cells producing  $\beta$ -galactosidase produced GalOS from  
267 Greek yogurt whey and concentrated whey in a yield of  $34.6 \pm 0.4$  % and  $36.1 \pm 0.6$   
268 % (w/w), respectively. In both cases, ~50 % of the initial lactose was converted, and a  
269 specific productivity of 2.2 and 2.3 mg/(U·h) was attained (Fischer & Kleinschmidt,  
270 2021). An advantage of the proposed strategy is that the enzyme is able to use the  
271 galactose present in the whey (up to  $42.52 \pm 0.52$  g/L) as an acceptor substrate. Even so,  
272 GalOS synthesis can be limited by the lower lactose concentrations found in the whey-  
273 based medium. Because of that, only 19.41 % (w/v) of GalOS were synthesized by crude  
274  $\beta$ -galactosidase enzyme from *Lactobacillus paracasei* YSM0308 using a sweet whey  
275 medium (T. S. Song et al., 2013). However, 32 % (w/v) of GalOS could be obtained if  
276 the initial lactose concentration was increased from 300 to 500 g/L. Lastly, 38 % (w/w)  
277 of GalOS were synthesized after 12 h in a reaction catalyzed by a recombinant  $\beta$ -  
278 galactosidase (Yañez-Ñeco et al., 2021). Unexpectedly, the same production yield and  
279 lactose conversion (60 %) were obtained using either whey-lactose or pure lactose  
280 solutions. The main difference found was that cations present in whey favored the  
281 transgalactosylation reaction, encouraging the reuse of these wastes.

282 The use of stachyose and raffinose as fructose donors for the synthesis of lactosucrose  
283 has been proposed. In addition to sucrose (21 %), tofu whey has been evaluated as a  
284 source of fructose. Tofu whey contains substantial amounts of stachyose (16.3 %) and  
285 smaller amounts of raffinose (3.7 %). A levansucrase from *Bacillus subtilis* CECT 39 was  
286 capable of producing 74 g/L of lactosucrose within a 120 min reaction time using pure  
287 lactose and tofu whey as substrates. A corresponding yield of 64.9 % (w/w) was achieved  
288 with a productivity of 37.0 g/(L·h). The combination of tofu whey and cheese whey  
289 permeate as an alternative to pure lactose has been also evaluated, since cheese whey is  
290 composed of 89.9 % lactose. Applying this strategy, a maximum yield and productivity  
291 of 60.8 % and 35.8 g/(L·h) were obtained with a production of 71.5 g/L lactosucrose  
292 (Corzo-Martinez et al., 2015). Both alternatives yielded good lactosucrose synthesis.

293 However, as pure lactose is expensive, the last represents the most attractive solution for  
294 waste valorization as it combines the use of two industrial by-products.

295 Cheese whey powder has been tested as a possible substrate for the synthesis of lactulose  
296 by enzymatic isomerization processes due to its richness in lactose. The cellobiose 2-  
297 epimerase (CsCE) enzyme from *Caldicellulosiruptor saccharolyticus* has been reported  
298 as the most efficient enzyme to catalyze the isomerization of lactose into lactulose.  
299 Evidence of that is the results observed by L. Wu et al. (2017) in which a maximum  
300 lactulose yield of 58.5 % (w/w) was attained, after a 2 h reaction. Moreover, the proposed  
301 EMR system was able to promote a steady lactose conversion up to 10 batches, resulting  
302 in a final production yield of 42.4 % and 84.5 g/L lactulose (L. Wu et al., 2017).

303 The synthesis of lactulose from lactose by  $\beta$ -galactosidases requires fructose as a  
304 galactosyl acceptor. To overcome the need for this co-substrate, a dual-enzyme system of  
305  $\beta$ -galactosidase and glucose isomerase enzymes was used to convert pure lactose  
306 solutions into lactulose in the absence of fructose. The use of lactose-whey instead of pure  
307 lactose has been shown as a more economical alternative. Reaction conducted with the  
308 dual-enzymes using milk-whey attained a lactulose concentration of 7.68 g/L and a  
309 productivity of 0.32 mg/(U·h). The immobilized enzymes were reusable up to 7 times,  
310 maintaining 57.1 % of their catalytic activity and synthesizing 4.31 g/L of lactulose (Y.  
311 S. Song et al., 2013).

312

### 313 2.3. *Xylo-oligosaccharides*

314 Xylan, the major constituent of hemicellulose, is one of the most abundant  
315 polysaccharides found in plant cell walls (Kallel et al., 2015). The hydrolysis of xylan by  
316 autohydrolysis, chemical (acid or alkaline), and/or enzymatic methods results in the  
317 production of xylo-oligomers, also referred to as xylo-oligosaccharides (XOS). These  
318 prebiotics are mainly composed of xylose residues, but some side groups can be found.  
319 Enzymatic methods are desired over the other methods since they do not produce toxic  
320 substances nor require specific equipment (Jnawali et al., 2018). Also, XOS can be  
321 extracted from hemicellulose-rich biomass, which is part of the majority of the agro-  
322 industrial wastes and agricultural by-products (see **Table 3**). For that purpose, *endo*-  
323 xylanase enzymes are usually used after xylan recovery from the raw materials (Jayapal  
324 et al., 2013).

325

326 *Please insert here Table 3.*

327

328 A variety of pre-treatment technologies are available to aid the recovery of xylan from  
329 agro-industrial by-products. If possible, autohydrolysis should be preferably applied to  
330 break hemicellulose backbone owing to its high efficiency and relatively low cost (Singh  
331 et al., 2019). Steam explosion also results in high sugar recoveries and low generation of  
332 noxious compounds. For instance, hemicellulose from wheat straw and corncobs has been  
333 extracted using this pre-treatment method (Álvarez et al., 2017; Liu et al., 2018). Yet,  
334 chemical processes, including alkali and acid-based processes, have been the most  
335 exploited. Normally, extraction of xylan is accomplished using a sodium hydroxide  
336 (NaOH) alkaline solution (Kallel et al., 2015; Aachary & Prapulla, 2009). To improve the  
337 recovery of xylan, alkali hydrolysis coupled with steam treatment has been performed,  
338 for instance in coconut husk, rice husk and sugarcane bagasse residues (Jnawali et al.,  
339 2018; Khat-udomkiri et al., 2018; Jayapal et al., 2013). To help delignify and increase the  
340 efficiency of the enzymatic hydrolysis process of the extracted xylans, the holocellulose  
341 fraction has been removed with sodium chlorite (NaClO<sub>2</sub>) under acidic conditions (Ávila  
342 et al., 2020). The xylan rich fractions are further enzymatically hydrolyzed to increase the  
343 content of XOS.

344 Liquors obtained after biomass pre-treatments already contain lower amounts of XOS,  
345 due to partial hydrolysis of the xylan polymer. Enzymatic treatments with *endo*-xylanase  
346 enzymes can be used to further increase XOS concentration in the hydrolysate mixture.  
347 A XOS concentration of  $3.3 \pm 0.1$  (w/w), previously produced by autohydrolysis, was  
348 augmented to a final value of  $8.2 \pm 0.1$  % (w/w) after enzyme treatment. Xylobiose  
349 accounted for  $5.3 \pm 0.1$  % (w/w) of the produced low-DP XOS, whilst xylotriose  
350 represented  $3.0 \pm 0.1$  % (w/w) (R. D. Singh et al., 2019). Similarly, hydrolysis of a  
351 banana's pseudostem pulp pre-hydrolysate by an *endo*-xylanase increased XOS content  
352 from 19.7 % to 76.7 %. This coupled process resulted in the production of 6.6 g of XOS  
353 per 100 g of biomass with a high proportion of xylobiose and xylotriose (Q. Wang et al.,  
354 2022). The combination of alkaline pre-treatment and enzymatic hydrolysis has been also  
355 evaluated to maximize the yield of XOS from rice husk. Up to  $54.49 \pm 0.61$  % of xylan  
356 were recovered, and after enzymatic treatment, a maximum XOS production of

357 17.35 ± 0.31 mg/mL was attained (Khat-udomkiri et al., 2018). Feruloylated xylo-  
358 oligosaccharides (FXOS) were recovered after the digestion of the precipitated material  
359 obtained from alkali-pretreated pearl millet bran byproduct. The xylanase from  
360 *Trichoderma viride* could recover 1.4 % g<sub>FXOS</sub> per gram of starting material, and 7.2 %  
361 of other XOS (A. Singh & Eligar, 2021).

362 A combined extraction with sodium hydroxide and steam lead to high xylan extraction  
363 (85 %) from sugarcane bagasse. The consequent enzymatic treatment of the xylan  
364 hydrolysate, by an *endo*-xylanase from *Trichoderma viridae*, generated low-DP XOS.  
365 The maximum concentration of xylobiose and xylotriose was found to be  
366 1.15 ± 0.13 mg/mL, and 0.57 ± 0.21 mg/mL, respectively (Jayapal et al., 2013). A similar  
367 process was used to recover xylan from coconut husk. After that, the hydrolysis was  
368 catalyzed by crude xylanase yielding 1.69 mg/mL of xylobiose (Jnawali et al., 2018).  
369 Furthermore, steam explosion using acidic electrolyzed water was efficiently used to  
370 extract 74.8 % of xylan from corncobs. The following enzymatic hydrolysis of the xylan-  
371 rich solution yielded mainly XOS with a DP 2–5, with xylobiose and xylotriose  
372 accounting up to 90 %.

373 XOS (81.0 ± 3.9 %) have been also enzymatically extracted from alkali pre-treated  
374 corncobs. A final oligosaccharide concentration of 10.31 ± 0.42 mg/mL was attained in  
375 the final hydrolysate. According to the reported results, XOS with a DP 2–7 were  
376 detected, however, xylobiose accounted for 73.5 % of the produced oligosaccharides  
377 (Aachary & Prapulla, 2009). Similarly, a xylan-rich mixture obtained from garlic straw  
378 was subject to hydrolysis by xylanase secreted by *Bacillus mojavensis* UEB-FK. The  
379 process resulted in the production of XOS with DP 2–6. A maximum yield of 29 ± 1.74  
380 % (w/w) was attained after 8 h hydrolysis, which was kept almost unchanged up to 24 h  
381 reaction (Kallel et al., 2015). XOS with a DP 2–4 were the mainly produced, while longer  
382 oligomers accounted for smaller amounts. A similar XOS profile was observed after  
383 enzymatic hydrolysis of sugarcane straw and coffee husk. A cocktail of enzymes was able  
384 to produce 10.23 and 8.45 g/L of XOS from the indicated sources (Ávila et al., 2020).  
385 Furthermore, xylotetraose and xylopentaose were the main identified products from the  
386 hydrolysis of coffee peel xylan. According to the authors, the treated sample contained  
387 3.26 mg/mL of xylopentaose (Ratnadewi et al., 2020).

388 The bioconversion of xylan from agricultural residues into XOS without prior pre-  
389 treatment has shown to be a potentially cost-effective alternative for industrial  
390 application. Crude xylanase from *Aspergillus fumigatus* R1 was able to yield 1.08 %  
391 (w/w) of XOS from untreated wheat husk xylan. XOS with a DP up to 5 were detected in  
392 the final hydrolysate, being xylobiose the most predominant oligosaccharide throughout  
393 the entire reaction time (Jagtap et al., 2017). This type of enzymatic procedure also avoids  
394 the generation of undesirable compounds commonly linked to conventional chemical  
395 extraction alternatives (Jnawali et al., 2018). Brazilian Syrah grape pomace was found to  
396 be a good source of xylan (91.6 % (w/w)), and the extraction of XOS either by enzymatic  
397 or chemical pathways was analyzed. The authors found out that the enzymatic extraction  
398 of XOS was as efficient as chemical extraction. The alkaline process allowed the recovery  
399 of up to 96.3 % of XOS. On the other hand, using xylanase produced by *Aspergillus niger*  
400 3T5B8, it was attained a final oligosaccharide concentration of  $88.68 \pm 0.13$  %, whilst  
401  $84.09 \pm 2.40$  % was obtained using the Viscozyme<sup>®</sup> L cocktail. XOS with a  $DP \leq 4$  were  
402 detected, and a minimum amount of xylose monomers were generated (Costa et al., 2019).

403 The use of enzyme systems is another suitable alternative to increase XOS yield and  
404 reduce the catalysis time whilst using lignocellulosic wastes as a xylan source. While  
405 using a  $\beta$ -glucosidase and an *endo*-xylanase enzyme, approximately 8.9 % (w/w) of XOS  
406 ( $DP \leq 6$ ) were obtained from wheat straw xylan after 5 h, accounting for 90.6 % of the  
407 products in the final mixture. A similar amount of XOS (87.4 %) was obtained using  
408 *endo*-xylanase as a solo catalyst, however, the time required was much longer (8 h)  
409 (Álvarez et al., 2017). In both cases, xylobiose, and xylotriose were the main  
410 oligosaccharides produced.

411

#### 412 **2.4. Other prebiotics**

413 Apart from the previously mentioned, many other prebiotic compounds can be extracted  
414 or produced from several waste sources (see **Table 4**). In further sections, the strategies  
415 used in the conversion of the wastes to manno-oligosaccharides, isomalto-  
416 oligosaccharides, and pectin oligosaccharides will be discussed.

417

418 *Please insert here Table 4.*

419 **2.4.1. Manno-oligosaccharides**

420 Like other prebiotics, manno-oligosaccharides (MOS) can be produced by enzymatic  
421 hydrolysis. MOS are generated by the degradation of mannans using *endo*- $\beta$ -(1,4)-  
422 mannanase enzymes (Jana & Kango, 2020). Mannan is abundantly found in softwoods  
423 and plant structures like seeds (Bangoria et al., 2021). Hence, wastes from those sources  
424 can be used as substrates for the production of MOS (see **Table 4**). Chemical pre-  
425 treatments are the most used techniques in the extraction of mannans from industrial  
426 wastes. However, alkali treatment with NaOH appears to be more favorable than acidic  
427 methods, as they are less corrosive and polluting (Zhang et al., 2021; Wongsiridetchai et  
428 al., 2018).

429 Mannans from different mannan-rich agro-wastes were treated with  $\beta$ -mannanase  
430 (ManAo) purified from *Aspergillus oryzae* MTCC 1846. From guar gum, a higher amount  
431 of MOS (11.24 mg/mL) was released, followed by copra meal (7.14 mg/mL). In this  
432 process, mannobiose and mannotriose were the major oligosaccharides produced (Jana &  
433 Kango, 2020). Five agricultural wastes, including potato peel, soybean meal, coffee  
434 residue, sugarcane bagasse, and copra meal, were also evaluated for their potential as  
435 substrates for the production of MOS. The crude *Penicillium oxalicum* KUB-SN2-1  
436 mannanase was able to produce MOS with a  $DP \leq 6$ . Even so, the main generated products  
437 were mannotetraose, mannotriose, and mannobiose (Chantorn et al., 2018). Mannan from  
438 locust bean gum and palm kernel cake were converted to MOS with up to 6 mannose  
439 units. Two different mannanases, Man5HJ14 and ManAJB13, were employed. Each  
440 yields a different type and concentration of MOS (R. Zhang et al., 2021). These results  
441 proved that the choice of catalyst represents a crucial step when aiming at the production  
442 of prebiotics.

443 Similarly, mannobiose and mannotriose were obtained by enzymatic hydrolysis of spent  
444 coffee grounds using a crude  $\beta$ -mannanase from *Bacillus* sp. GA2(1) (Wongsiridetchai et  
445 al., 2018). The same substrate has been used to produce  $58.22 \pm 2.04$  mg<sub>MOS</sub>/100 mg by  
446 a mannanase from *Aureobasidium pullulans* NRRL 58524 (Ibrahim et al., 2022).  
447 Mannobiose accounted for  $16.27 \pm 0.84$  mg and mannotriose for  $2.85 \pm 0.20$  mg of the  
448 total MOS content ( $DP > 2$ ). *Aspergillus niger* ATCC 10864 *endo*-mannanase was able  
449 to produce MOS with a  $DP \leq 6$  using guar gum and locust bean gum as raw materials.  
450 From the mannans found in both substrates, 5.11 and 4.45 mg/mL of MOS were  
451 attained, respectively (Magengelele et al., 2021). Locust bean gum hydrolysis led to the



452 release of galactosyl substituted MOS (with DP > 6). In both cases, mannohexaose was  
453 generated in higher concentrations. These results were similar to those obtained by  
454 Pangestu et al. (2019). Manno-oligomers with the same polymerization were obtained  
455 from sugar palm fruit hydrolysis by *Kitasatospora* sp. KY57667  $\beta$ -mannanase. High  
456 production yields were expected as treated sugar palm fruit contained about 42 %  
457 galactomannan per dried weight biomass. Once more, mannohexaose was the main  
458 product obtained after enzymatic hydrolysis (Pangestu et al., 2019).

459 It has been found that crude  $\beta$ -mannanase from *Penicillium aculeatum* APS1 can  
460 hydrolyze galactomannan from locust bean gum and guar gum, and glucomannan from  
461 konjac gum. The enzyme generates low molecular weight (Mw) MOS (DP  $\leq$  4). Even so,  
462 mannotetraose was not detected in guar gum hydrolysate. From the substrates evaluated,  
463 konjac gum yielded the highest MOS concentration (4.626 mg/mL), followed by guar  
464 gum (2.958 mg/mL) and locust bean gum (1.364 mg/mL) (Bangoria et al., 2021). A  
465 mannanase enzyme from *Streptomyces cyaeus* hydrolyzed palm cake kernel yielding  
466 distinct oligo-mannans (DP  $\leq$  7). Mannobiose and mannotriose were detected throughout  
467 all reaction time (up to 8 h), decreasing over time. A similar pattern was observed for  
468 mannotetraose and mannopentaose. Mannoheptaose production increased over time,  
469 reaching its maximum at 8 h. Mannoheptaose could only be detected between 2 and 4 h  
470 reaction (Purnawan et al., 2017). Hence, depending on the desired application, the process  
471 can be further optimized for a suitable production of specific MOS.

472

#### 473 2.4.2. Isomalto-oligosaccharides

474 Isomalto-oligosaccharides (IMOs) are non-digestible oligomers constituted of glucose  
475 residues. IMOs are synthesized using enzymes with transglucosidase activity such as  
476 transglucosidases or  $\alpha$ -glucosidases (J. A. Gómez et al., 2021). Even so, the IMOs  
477 production involves the hydrolysis of starch using  $\alpha$ -amylase, pullulanase, and  $\beta$ -amylase  
478 in a first stage (Chockchaisawasdee & Poosaran, 2013).

479 A wide range of starches can be used for IMOs synthesis, including those from agro-  
480 residues (see **Table 4**). Most of the wastes used in the production of IMOs do not require  
481 a complex pre-treatment process. The residues mainly need to be dried and sieved to a  
482 desirable particle size in order to obtain a starch-rich powder (Chockchaisawasdee &  
483 Poosaran, 2013). Yet, some waste residues may require extra treatment steps. Soybean

484 molasses for example have been treated with sulfuric acid ( $\text{H}_2\text{SO}_4$ ) and calcium hydroxide  
485 ( $\text{Ca}(\text{OH})_2$ ) for the removal of viscous substances and heavy metal ions (Zhi-Peng et al.,  
486 2019). To prevent browning of pulp mixtures of rejected plantain fruits, an aqueous  
487 solution of ascorbic acid has been added (J. A. Gómez et al., 2021). In these cases, the  
488 obtained hydrolysates have been used as substrate for the synthesis of IMOs.

489 A maximum isomaltulose concentration and yield of 96.7 g/L and 0.96 (w/w) were  
490 achieved using pre-treated cane molasses. The high sucrose concentration present in cane  
491 molasses makes it a perfect substrate for isomaltulose synthesis. Corn steep liquor was  
492 employed as a yeast extract alternative to improve isomaltulose production and purity  
493 while lowering operational costs. As a result, most sucrose in pre-treated cane molasses  
494 was converted, improving the prebiotic production by 5.5 %. The organic nitrogen found  
495 in corn steep liquor boosted biomass formation, enhancing sucrose isomerase production  
496 and, consequently, isomaltulose synthesis. After performing a fed-batch fermentation, a  
497 maximum concentration of 161.2 g/L was achieved, with a purity of 97.4 % (Z. P. Wang  
498 et al., 2019).

499 Due to overproduction, many fruits at all stages of maturity go to waste. Unripe fruits  
500 have a high starch content (700–800 g/kg), and therefore are suitable sources for IMOs  
501 production (Chockchaisawasdee & Poosaran, 2013). For instance, the potential use of the  
502 flour of rejected unripe plantain fruits for IMOs production has been evaluated.  
503 Approximately 0.16 moles of maltose were obtained from 100 g of plantain fruits. The  
504 process design showed that 24.48 g of IMOs ( $\text{DP} \leq 5$ ) could theoretically be obtained.  
505 The proposed process may be a good alternative for the valorization of agro-industrial  
506 plantain residues for IMOs synthesis (J. A. Gómez et al., 2021). Also, after liquefaction  
507 and saccharification stages, high levels of maltose were released (45 % (w/w)) from  
508 banana slurries, which were further used for IMOs synthesis by transglucosylation. After  
509 12 h, a maximum amount of  $76.67 \pm 2.71$  g/L IMOs was achieved, being isomaltotriose  
510 and isomaltotetraose the main produced oligosaccharides. Hence, banana raw waste can  
511 be used as a substrate for IMOs synthesis (Chockchaisawasdee & Poosaran, 2013).

512 The production of IMOs by simultaneous saccharification and transglucosylation  
513 approach yielded  $92.17 \pm 3.43$  g/L and  $85.11 \pm 4.30$  g/L IMOs ( $\text{DP} \geq 6$ ) using broken rice  
514 and potato processing waste as substrate sources. Both wastes contain high concentrations  
515 of starch (up to 70 %) which makes them a suitable source for IMOs synthesis. This  
516 approach allows a shorter reaction time, increasing overall productivity. Also, the

517 operational cost can be significantly reduced (Basu et al., 2016). Furthermore, the sugar  
518 content of soy molasses accounts for more than 30 % (w/w), including stachyose and  
519 raffinose. An  $\alpha$ -galactosidase was used for their hydrolysis to sucrose aiming for the  
520 maximization of IMOs synthesis. In the soy molasse hydrolysate, the final sucrose  
521 concentration was raised 1.64-fold. After 72 h of fermentation, a maximum isomaltulose  
522 concentration of 209.4 g/L was achieved, with a yield of 0.95 (w/w). By using whole-  
523 cells of an engineered *Yarrowia lipolytica* strain, the developed bioprocess presented an  
524 interesting alternative for low-cost and efficient isomaltulose synthesis from soybean  
525 molasses (Zhi-Peng et al., 2019).

526

### 527 **2.4.3. Pectic oligosaccharides**

528 Pectic oligosaccharides (POS) are oligosaccharides that can be obtained by the  
529 depolymerization of pectin-rich feedstocks (see **Table 4**). These include oligo-  
530 galacturonides (OGalA), arabinogalacto-oligosaccharides (AraGalOS), arabinoxylo-  
531 oligosaccharides (AraXOS), arabino-oligosaccharides (AraOS), rhamnogalacturon-  
532 oligosaccharides (RhaGalAOS), and GalOS (Babbar, Dejonghe, et al., 2016). The use of  
533 agricultural residues may be an interesting path in the production of these types of  
534 prebiotics, as some agricultural by-products contain significant amounts of pectin  
535 (Wilkowska et al., 2019).

536 The simplest method employed for the extraction of pectin from wastes is the use of water  
537 at room temperature (Sabajanes et al., 2012). Other commonly strategy used is the acid  
538 or base assisted extraction. For instance, water acidified with hydrochloric acid (HCl) was  
539 used to extract pectin from sugar beet wastes (Combo et al., 2013). The extraction with  
540 chelating agents has also been evaluated. The release of pectin from onion skins was  
541 successfully achieved using a sodium hexametaphosphate ((NaPO<sub>3</sub>)<sub>6</sub>) solution (Babbar,  
542 Baldassarre, et al., 2016; Baldassarre et al., 2018). The obtained crude extracts could be  
543 further converted into POS by the breakdown of pectin chains using either hydrolase  
544 (hydrolysis) or lyase ( $\beta$ -elimination) enzymes (B. Gullón et al., 2013).

545 A crude pectin extract, obtained from onion skins, was used as a substrate to produce POS  
546 in an enzyme membrane reactor. By circulating the enzyme (Viscozyme<sup>®</sup> L) and substrate  
547 continuously, POS were removed from the reaction mixture by permeation and the  
548 retentate was recycled back to the reactor for further hydrolysis. Under optimum

549 conditions, 4.5 g/g of POS/monosaccharide ratio was produced, with a POS productivity  
550 and yield of 22.0 g/(L·h) and 57 % (w/w), respectively (Baldassarre et al., 2018). In  
551 another study, an *endo*-polygalacturonase was able to catalyze the conversion of pectin  
552 into POS with a DP 2–10. Depending on the enzyme concentration, different POS  
553 fractions were obtained. For instance, the highest amount of oligomers with a DP equal  
554 to 4 was achieved using 5.2 IU/mL of the enzyme. If aiming for longer oligomers, a lower  
555 enzyme load must be chosen. Hence, enzymatic production of POS with a targeted DP  
556 can be achieved using onion skins as raw material (Babbar, Baldassarre, et al., 2016).

557 Oligomers with DP 2–9, described as OGalA, were produced using dried sugar beet  
558 pectin. The concentration of shorter-length POS was higher than longer-chain ones. After  
559 15 min reaction using the *endo*-polygalacturonase-M2 enzyme, OGalA with DP2 and  
560 DP3 accounted for 3.1 and 3.7 % (w/w) of the total reaction products, respectively  
561 (Combo et al., 2013). After enzymatic treatment, 31.3 kg of POS were recovered from  
562 100 kg of liquor containing water-soluble compounds extracted from orange peel wastes.  
563 From those, 7.5 kg were gluco-oligosaccharides (GlcOS), 4.5 kg GalOS, 6.3 kg AraOS,  
564 and 13 kg OGalA. For the enzymatic hydrolysis of the polysaccharides into  
565 oligosaccharides, two enzymes were applied, namely Viscozyme<sup>®</sup> L and Celluclast<sup>®</sup> 1.5L  
566 (Sabajanes et al., 2012). Both residues, sugarbeet and orange peel, proved to be suitable  
567 candidates for prebiotic extraction giving added-value to the wastes. Also, different types  
568 of oligosaccharides can be extracted from the different feedstock types.

569

## 570 **2.5. Overview of the advances in the enzymatic production of prebiotics from** 571 **agro-industrial wastes**

572 One of the main advantages of using agricultural by-products is their variety and  
573 abundance. As a cheap source, agro-industrial wastes can be industrially exploited as  
574 alternative sources to produce prebiotics at reduced production costs. For these reasons,  
575 various by-products derived from agro-industrial processes have been tested either for  
576 extraction or synthesis of prebiotics, using enzymatic approaches. The residue's  
577 composition defines the prebiotic which may be synthesised. The process must be  
578 optimized to overcome possible technical challenges, including the correct choice of the  
579 catalyst. The enzyme to be used depends on the substrate available, as well as the prebiotic  
580 intended to be produced. Some examples of the binomial “prebiotic produced – enzyme

581 applied” found in the literature are: FOS – FTase, FFase; GOS –  $\beta$ -galactosidase;  
582 Lactosucrose – levansucrase; Lactulose – Cellobiose 2-epimerase; XOS – *endo*-xylanase;  
583 MOS –  $\beta$ -mannanase; IMOs –  $\alpha$ -glucosidase; and POS – *endo*-polygalacturonas.  
584 Prebiotic synthesis is also highly dependent on the applied reaction conditions. Incubation  
585 temperature, incubation time, pH, and enzyme dosage are the most critical operating  
586 conditions that can influence production yields (**Tables 1 to 4**). Therefore, it is critical to  
587 establish optimal conditions for maximal prebiotic production. Nonetheless, each residue  
588 has a unique composition, and some may contain nutrients that can influence the catalytic  
589 activity of the enzyme. Thus, ideal conditions may change depending on the waste used.

590 The economic viability of the process may be compromised by the need of pre-treatment  
591 steps. Prebiotic production yields may be low if there is not enough available substrate  
592 for synthesis. Ideally, no extraction methods should be applied. Chemical methods are  
593 problematic, often resulting in the production of contaminant chemicals and in the  
594 formation of undesired noxious by-products (*e.g.*, furfural). On the other hand, the use of  
595 enzymes is more environment-friendly. However, the high cost of enzymes used, either  
596 related to its production costs or by the acquisition of commercial enzymes, may be  
597 problematic. Therefore, the huge importance of using appropriate approaches capable of  
598 maximizing the production of the prebiotics and their recovery yield and to make the  
599 process economically attractive.

600

### 601 **3. Functionality tests**

602 The production of prebiotics from agro-industrial wastes has shown to be effective, and  
603 the use of such procedures can be a low-cost option for producing high-value-added  
604 products. However, it is vital to demonstrate that the compounds generated have the  
605 intended beneficial effect. Prebiotics must be resistant to gastric pH, be unable to undergo  
606 hydrolysis by human digestive enzymes, not be absorbed in the gastrointestinal tract and  
607 reach the colon almost intact to be fermented by the intestinal microbiota, and selectively  
608 stimulate the growth and/or activity of probiotic bacteria to improve the health of the host  
609 (Davani-Davari et al., 2019).

610 For this purpose, both *in vivo* and *in vitro* evaluation strategies have been used to test the  
611 functionality, safety, and efficacy of new prebiotic substances for human health and well-

612 being. Current studies conducted *in vivo* and *in vitro* on the digestibility and  
613 fermentability of prebiotic candidates enzymatically produced from agro-industrial  
614 wastes are discussed in the following sections.

615

### 616 **3.1. *In vitro* gastrointestinal digestion of prebiotics**

617 Food digestion involves a complex series of dynamic processes involving the passage  
618 through the different compartments of the gastrointestinal tract and the interaction with  
619 the epithelium and the immune system. These processes include pH changes along the  
620 digestion, gastric emptying dynamics, intestinal motility, production and release of  
621 digestive enzymes and bile, as well as other processes, such as degradation by brush  
622 border enzymes in the membrane of the intestinal epithelia. *In vitro* models must be  
623 flexible, accurate, and reproducible although, it is not easy to simulate the complex  
624 conditions of the digestive system.

625 A wide variety of gastrointestinal models have been designed to simulate the process of  
626 food digestion, from simple static models to complex computer-controlled dynamic  
627 multi-compartment models (Shani-Levi et al., 2017). However, due to their simplicity,  
628 static models are still the most commonly used approach. Static models are used to  
629 recreate the physicochemical and enzymatic environment of each single digestive phase.  
630 The food product is incubated at body temperature (37 °C) for a certain time depending  
631 on the digestion stage, and enzymatic solutions that simulate digestive fluids are added  
632 (Ferreira-Lazarte et al., 2021). A large number of protocols have been applied to simulate  
633 food digestion, which differs on many experimental conditions such as pH, duration of  
634 each phase, amount of food and enzymes, source of digestive enzymes, among many  
635 other parameters, which makes it difficult to compare results between studies. To  
636 standardize the results, the INFOGEST network of scientists developed a harmonized and  
637 consensus-based *in vitro* static digestion protocol, which aims to aid the production of  
638 more consistent and comparable data (Brodkorb et al., 2019). **Table 5** shows several  
639 studies in which the *in vitro* digestibility of various prebiotics obtained enzymatically  
640 from agro-industrial wastes was assessed using static models.

641

642 *Please insert here Table 5.*

643

644 Zidan et al. (2021) evaluated the digestibility of XOS from sugarcane pith and rind in the  
645 oral and gastric phases. Sugarcane pith and rind XOS were hydrolyzed at 5.21 and 12.66  
646 % after oral digestion, and 2.03 and 3.22 % after gastric digestion. Sugarcane pith XOS  
647 showed higher resistance to oral/gastric digestion. Although both XOS have shown low  
648 digestibility, inulin (used as a control) showed even lower digestibility values (2.58 at the  
649 oral phase and 1.19 % at the gastric phase). In another study, the gastric and intestinal  
650 digestibility of oligosaccharides obtained from sugarcane molasses was assessed. The  
651 oligosaccharides were poorly hydrolyzed in the stomach and small intestine (10–15 %  
652 and 8–10 %, respectively), which was assigned to the  $\alpha$ -(1,6) bonds between its glucose  
653 molecules. As they show resistance to low pH, they may be suitable for use in acidic  
654 foods (Sharma et al., 2016). The methodologies used in both studies are, although,  
655 limited, including only two stages of digestion without physiological residence time, and  
656 the digestibility was not evaluated sequentially but as independent tests.

657 An increasing number of published research assessing the digestibility of food have been  
658 conducted using the harmonized INFOGEST protocol. Some of these studies are  
659 described below. XOS produced enzymatically from sugarcane straws and coffee husks  
660 were tested for their resistance to the gastrointestinal digestion. XOS from both sources,  
661 with DP 2–6, did not undergo degradation during the oral phase. After intestinal digestion,  
662 XOS were hydrolyzed 4.55 % (straw) and 5.62 % (coffee husks), with fractions of DP2  
663 and DP3 being the most resistant (Ávila et al., 2020). J. A. Gómez et al. (2021) evaluated  
664 the digestive behavior of raw and cooked flour from discarded unripe plantain fruits. It  
665 was observed a faster hydrolysis of cooked plantain flour, as compared to the raw one, in  
666 the oral and intestinal phases. At the end of the digestion, 71.7 % and 52.6 % of the starch  
667 of raw and cooked plantain flour, respectively, were resistant to hydrolysis, meaning that  
668 a significant amount of undigested starch may reach the colon. The slower and limited  
669 digestibility of the starch from the raw flour resulted also in a lower predicted glycemic  
670 index, showing that the raw flour could be more suitable for people with insulin resistance  
671 and diabetes than the cooked flour (J. A. Gómez et al., 2021).

672 The simulation of the upper gastrointestinal digestion of potential prebiotic carbohydrates  
673 is therefore of huge importance not only to understand the resistance of the food to the  
674 harsh conditions of the digestion but also to evaluate chemical and/or structural  
675 modifications along the gastrointestinal tract, such as the release of glucose from  
676 polysaccharides inducing a glycemic response in the consumer, allowing to assess the

677 impact of these compounds in the colonic microbiota, since even small alterations may  
678 affect substantially their properties (Ferreira-Lazarte et al., 2021).

679

### 680 **3.2. Gut microbial fermentation**

681 The conversion of prebiotics into smaller molecules by microbial activity involves  
682 biochemical pathways mediated by the enzymatic activities of microorganisms. The type  
683 of prebiotic and its physicochemical characteristics will affect the rate of fermentation,  
684 selectivity of microorganisms to multiply, and the type and concentration of metabolites  
685 released (Ashaolu et al., 2021). To verify the selectivity of a prebiotic and to follow its  
686 chemical transformation, changes in the fecal microbiota, as well as the consumption and  
687 production of compounds during its fermentation should be accurately monitored both *in*  
688 *vitro* and *in vivo* (Al-Sheraji et al., 2013).

689 Animal models have long been used to contribute to the understanding and resolution of  
690 biomedical and biotechnological challenges. *In vivo* prebiotic intestinal fermentation  
691 studies are generally based on the oral administration of a certain compound over a  
692 defined period. Before, throughout and/or after the study, stool samples are collected and  
693 the changes in microbial composition, as well as the metabolites produced as a result of  
694 the fermentation, are usually analyzed. **Table 6** presents two studies using rodents to  
695 evaluate the fermentation of prebiotics obtained from agro-industrial wastes in the  
696 intestinal ecosystem.

697 The beneficial effects of prebiotics on the intestinal microbiota are usually assessed by  
698 the growth of probiotic bacteria, although the decrease of pathogenic microorganisms can  
699 also be considered. The oral administration of 0.5 and 1.0 g/kg/day of XOS (obtained  
700 from xylan of cassava dregs hydrolyzed by *endo*- $\beta$ -(1,4)-xylanase) to Balb/c mice  
701 resulted in significant colonic growth of the probiotic species *Lactobacillus* spp. and  
702 *Bifidobacterium* spp., as well as the inhibition of the proliferation of *Escherichia coli*, a  
703 potentially pathogenic bacterium (Hafidah et al., 2018). The main metabolites produced  
704 by the bacteria during XOS fermentation were the short-chain fatty acids (SCFA) acetate  
705 and butyrate. Acetate plays an important role in metabolism by modulating mitochondrial  
706 function, and fatty acid oxidation (Sahuri-Arisoylu et al., 2016), while butyrate is an  
707 important energy source for intestinal epithelial cells, as well, it has been reported to have



708 anti-inflammatory and anti-carcinogenic properties (Hamer et al., 2008). The effect of  
709 dietary fiber obtained from apple by-products was also tested in rats fed a high-fat diet,  
710 in which a remarkable bifidogenic, butyrogenic, and lipid-lowering effect was observed  
711 compared to the control group (Mateos-Aparicio et al., 2020).

712 Animal studies provide quite valuable information, but they often involve ethical  
713 concerns. It is also important to consider the physiological variations that the animal  
714 model may have with the target population. On the other hand, *in vitro* methods have  
715 much fewer bioethical constraints (Shani-Levi et al., 2017) and can be designed  
716 considering the characteristics of the study subject. They have also the advantage of being  
717 faster, less laborious, and therefore cheaper. *In vitro* fermentation models are a promising  
718 tool to study the impact of prebiotics on the intestinal microbiota under strictly controlled  
719 conditions (Roupar et al., 2021). These systems enable the study of the fermentation  
720 profile of prebiotics, and its effect on gut microbial composition (Pham & Mohajeri,  
721 2018).

722 Although pure cultures of representative beneficial bacteria (mainly *Lactobacillus* and  
723 *Bifidobacterium*) have been used to determine the functionality of potential prebiotics,  
724 inoculation with fecal material is a more accurate method (Bajury et al., 2018) as the  
725 human intestinal microbiota is a highly complex ecosystem composed of a great variety  
726 of microorganisms living in symbiosis with each other (Cockburn & Koropatkin, 2016).  
727 Therefore, the *in vivo* effects of prebiotic intake may be significantly different from those  
728 determined in selected culture based experiments (Ashaolu et al., 2021). **Table 6** shows  
729 several studies in which the effect of various prebiotics obtained enzymatically from agro-  
730 industrial wastes were evaluated *in vitro*, using either fermentation with selected bacteria  
731 or with human fecal microbiota.

732

733 *Please insert here Table 6.*

734

735 The prebiotic potential is generally determined by the growth of bacteria known to be  
736 beneficial to human health, being lactobacilli and bifidobacteria the most popular. Jana  
737 and Kango (2020) tested the growth of *Lactobacillus delbrueckii* and *Lactobacillus*  
738 *acidophilus* in media with MOS (1 mg/mL) produced from palm kernel cake, guar gum,  
739 and copra meal. MOS from copra meal promoted the best growth, while MOS from locust  
740 bean gum obtained the lowest growth rate. R. Zhang et al. (2021) also studied the

741 prebiotic activity of MOS (0.5 % (w/v)) from locust bean gum and palm kernel cake in  
742 the growth of *Lactobacillus plantarum*. Results showed that this bacterium could utilize  
743 mannose, mannobiose, and mannotriose, in MOS from both sources, but not MOS with  
744 high DP, such as mannotetroses.

745 Sugar cane by-products represent an important waste in the food industry. In fact, for  
746 every 100 tons of sugar cane processed, 30 to 40 tons of residues are generated (Jayapal  
747 et al., 2013). Zidan et al. (2021) obtained XOS from sugarcane pith (inner layer) and rind  
748 (outer layer) and evaluated its gastrointestinal digestibility and its fermentability with  
749 *Lactobacillus casei* Shirota and *Bifidobacterium animalis*. Sugarcane rind XOS promoted  
750 a higher probiotic growth, which might be related to their lower DP end products (X<sub>2</sub> and  
751 X<sub>3</sub>) as compared to sugarcane pith, which had more content of X<sub>4</sub>. The results also showed  
752 that *L. casei* Shirota was able to consume more sugarcane pith and rind XOS than the *B.*  
753 *animalis*. Bifidobacteria have been reported for their limited growth on substituted  
754 branched structures, as well as their lack of  $\beta$ -xylosidase activity (Aachary & Prapulla,  
755 2011), which may explain their limited growth in XOS observed in this study. Acetic acid  
756 was the predominant SCFA produced by bacterial fermentation of both XOS, followed  
757 by propionic and lactic acid. As already mentioned, acetic acid modulates mitochondrial  
758 function, and fatty acid oxidation (Sahuri-Arisoylu et al., 2016) while propionic acid is a  
759 precursor for the synthesis of glucose in the liver and has anti-inflammatory properties  
760 (Havenaar, 2011). On the other hand, the low production of lactic acid, when compared  
761 with the glucose control, indicates a slow fermentation, which is advantageous as it may  
762 minimize the undesirable effects of intestinal carbohydrate fermentation such as bloating  
763 and flatulence (Zidan et al., 2021).

764 Ávila et al. (2020) also used sugarcane by-products to produce XOS, in this case,  
765 sugarcane straw and coffee husks. Its fermentability by two *Bifidobacterium* species (*B.*  
766 *longum* and *B. lactis*) and two species of *Lactobacillus* (*L. acidophilus* and *L. paracasei*)  
767 was assessed. All the probiotic cultures were able to utilize XOS produced from  
768 agricultural wastes as the only carbon source, showing remarkable growth, except for *B.*  
769 *lactis*, which lack  $\beta$ -xylosidase. As mentioned above, the lack of this enzyme is common  
770 for some bifidobacteria strains.

771 Another coffee-related waste that has been used for the production of xylo-oligomers is  
772 its peel, which is rich in xylan. Ratnadewi et al. (2020) tested the fermentability of XOS

773 obtained from coffee peel enzymatic hydrolysate by the probiotic *L. casei*. Acetate and  
774 butyrate were the main fermentation products. Reductions in media sugar levels were  
775 observed over time showing that *L. casei* utilized XOS for cell metabolism.

776 Cereal husks are another agro-industrial waste with great potential for XOS production.  
777 Jagtap et al. (2017) obtained XOS from wheat husks, using the enzymatic complex of  
778 *Aspergillus fumigatus*. Their prebiotic potential was evaluated using 10 probiotic strains,  
779 of which only 6 were able to use the waste derived-XOS as the only carbon source (*i.e.*,  
780 *Bifidobacterium bifidum* and *Bifidobacterium adolescentis*, *Lactobacillus rhamnosus*,  
781 *Lactobacillus fermentum*, *Lactobacillus paraplantum* and *L. plantarum*). Results  
782 indicated that the successful growth of the six tested probiotics was related to their  $\beta$ -  
783 xylosidase activity since the highest amount of enzyme was identified for the *L.*  
784 *rhamnosus* strain which coincided with the highest optical density values obtained. A  
785 synergistic effect of different enzymes including  $\beta$ -xylosidase,  $\alpha$ -glucuronidase,  $\beta$ -L-  
786 arabinofuranosidase, and acetyl xylan esterase along with xylanase is required for an  
787 efficient and complete degradation of XOS. Therefore, the capacity of a microorganism  
788 to consume XOS is linked to its xylanolytic enzyme system efficacy.  $\beta$ -xylosidase and a  
789 few other enzymes have been reported in some strains of *Bifidobacterium* and  
790 *Lactobacillus* (Jagtap et al., 2017). Another study evaluated the prebiotic potential of  
791 XOS produced from spent barley grains and husks (with different purity and Mw) by *in*  
792 *vitro* fermentation with fecal microbiota. Results showed that samples with shorter Mw  
793 have faster fermentation kinetics. Succinate, lactate, formate, acetate, propionate, and  
794 butyrate were produced within the first 8 h of fermentation, using all tested samples,  
795 demonstrating the prebiotic potential of the XOS (P. Gullón et al., 2011). Still, changes  
796 in the fecal microbiota composition were not assessed in this study.

797 In a recent study, XOS were produced by the enzymatic fermentation of banana  
798 pseudostems by *Aspergillus versicolor* endo-xylanase. The prebiotic effect of the XOS  
799 produced was tested in two probiotic bacteria, namely *L. plantarum* and *L. fermentum*.  
800 The growth of the potentially pathogenic bacteria *E. coli* was also tested. Both lactobacilli  
801 were able to utilize XOS for their growth and remained in the exponential phase after 48  
802 h in media containing XOS, as compared to the control media (containing glucose). *E.*  
803 *coli* also consumed XOS as a carbon source, however, it reached the stationary phase after  
804 24 h (de Freitas et al., 2021). Although both probiotic and pathogenic bacteria grew in the  
805 presence of XOS, a competition by the substrate is established as they coexist in the gut.

806 Also, as lactobacilli and other probiotic bacterial species possess antimicrobial activity  
807 and produce organic acids, the proliferation of disease-causing bacteria is inhibited  
808 (Shokryazdan et al., 2014).

809 Pectic oligosaccharides have been identified as potential prebiotic candidates and have  
810 been emerging as novel prebiotics (Scott et al., 2020). Citric peels are a great source of  
811 pectin, and therefore may be exploited for POS production. B. Gómez et al. (2014)  
812 obtained POS from orange peel and tested its fermentability *in vitro* using fecal samples  
813 from three healthy adult volunteers. The POS mixture contained several types of  
814 oligosaccharides, which were fermented in the following order: GlcOS > GalOS > AraOS  
815 > OGalA. Since each species of microorganism has a preference for specific substrates,  
816 the fermentation rate is highly influenced by the oligosaccharides' chemical structure  
817 (*i.e.*, degree of polymerization and esterification, type of linkages, and molecular  
818 composition) (Cockburn & Koropatkin, 2016). Bacterial growth and production of SCFA,  
819 lactate, gas, and other organic acids were observed. Higher microbial growth was  
820 observed, particularly of lactobacilli species. Due to POS fermentation, there was an  
821 increase from 0.17 to 0.27 in the ratio between the cell counts of lactobacilli and  
822 bifidobacteria and the overall cell number. The prebiotic potential of POS from lemon  
823 peel and beet pulp has been also investigated by the same research group (B. Gómez et  
824 al., 2016). Results showed that sugar-beet POS were fermented slower than lemon-peel  
825 POS, suggesting that sugar-beet POS could reach more distal parts of the colon. Both  
826 substrates promoted bifidobacteria and lactobacilli growth. Sugar-beet POS showed the  
827 strongest bifidogenic effect (from 11.8 % up to 23.4 % of total counts) while lemon-peel  
828 POS especially boosted lactobacilli population (from 6.8 % up to 14.4 % of total counts).

829 Besides POS, whose length range from 3 to 10 units, a growing interest in water-soluble  
830 pectin derivatives, particularly the lower Mw modified pectins, have been emerging in  
831 the past few years. Ferreira-Lazarte et al. (2018) produced modified pectins from  
832 artichoke and sunflower by-products and tested its fermentation properties and prebiotic  
833 activity by *in vitro* batch fecal fermentation. Among all assayed samples, artichoke-  
834 modified pectins was the substrate that promoted the most significant growth in  
835 bifidobacteria. These findings could be related to the high arabinose and galactose content  
836 found in artichoke-modified pectins. For all the tested substrates, acetate was the most  
837 abundant SCFA produced, followed by propionate and butyrate. The presence of high  
838 levels of acetate is consistent with the existing data found in literature since acetate is one

839 of the most abundant SCFA in the human gut. Its production has been linked to enteric  
840 bacteria, namely *Bifidobacterium* and *Lactobacillus* (Cook & Sellin, 1998), which often  
841 results in an increase of acetate levels and the population of these bacterial groups.  
842 Therefore, the experimental results are consistent with these prior observations.  
843 Furthermore, these end-products may be used as a substrate for other colonic bacteria and  
844 converted into other SCFA, which can be explained by cross-feeding interactions  
845 (Cockburn & Koropatkin, 2016).

846 Other prebiotic oligosaccharides have been obtained from various agro-industrial wastes,  
847 such as tea leaves, soybean Okara, or artichoke by-products, and its prebiotic activity has  
848 been evaluated by *in vitro* batch fermentations. Oligosaccharides obtained from spent tea  
849 leaves were able to support the growth *L. acidophilus* and simultaneously inhibit the  
850 growth of *E. coli* (Chimtung et al., 2016). The growth of beneficial bacteria, including  
851 bifidobacteria and lactobacilli, was promoted by enzymatically treated Okara, whilst  
852 pathogenic bacteria, such as clostridia and *Bacteroides*, were inhibited (Pérez-López et  
853 al., 2016). The fermentation of artichoke by-product by fecal bacteria promoted the  
854 growth of beneficial lactic acid bacteria and bifidobacteria, while the growth of potential  
855 pathogens such as coliforms and clostridia remained lower. Moreover, the fecal  
856 microorganisms were able to consume 54.6 % of the substrate and their main  
857 fermentation products were the beneficial SCFA, acetic, propionic, and butyric acid,  
858 while the production of lactic acid was lower than in the controls (inulin and sucrose)  
859 indicating a slow fermentation (Holgado et al., 2022).

860 The aforementioned studies used batch fermentation systems (either with fecal inoculum  
861 or isolated bacteria) for the evaluation of the prebiotic potential of the various  
862 oligosaccharides obtained from agro-industrial wastes. These systems are the simplest  
863 and most frequently used, although they have disadvantages. When the substrate is  
864 limited and quickly consumed there is usually an excessive accumulation of microbial  
865 metabolites that acidify the pH and hinder subsequent microbial activity, so that the  
866 experiments are short-lived (Bajury et al., 2018; Roupar et al., 2021). On the other hand,  
867 continuous cultures allow long-term fermentation and adjustment of parameters to  
868 simulate *in vivo* conditions (Pham & Mohajeri, 2018). Continuous fermentation models  
869 representing one or more regions of the human colon are currently available and under  
870 development, in which parameters such as temperature, flow rate, pH, retention time, and  
871 anaerobiosis of the medium are carefully controlled to accurately represent each region

872 (Bajury et al., 2018; Roupar et al., 2021). However, the literature on studies evaluating  
873 the prebiotic potential of novel products obtained from agro-industrial wastes using these  
874 models is still quite limited. The appropriate dose to promote beneficial health effects  
875 depends on several factors such as the nature and the purity of the produced prebiotic, as  
876 well as on the consumer individual tolerability, since an excessive dose of the prebiotic  
877 can result in uncomfortable side effects such as bloating, flatulence and diarrhea.  
878 Therefore, a continuous intake at moderate amounts would be advisable.

879

#### 880 **4. Conclusion and future outlook**

881 The reuse of agro-industrial by-products has been proved to be a feasible alternative for  
882 the production of prebiotic ingredients. The combination of enzymatic processes and the  
883 use of waste materials can generate high production yields. The raw material and the  
884 catalyst used will determine the type of oligomers produced, which will have different  
885 physicochemical and biological properties. It is important to optimize the processing  
886 conditions to maximize the production of prebiotics by enzymatic mechanisms. The use  
887 of immobilization approaches and enzyme systems can be helpful to increase the yield  
888 and reduce the catalysis time, making it a cost-effective process for industrial  
889 applications.

890 The evaluation of the digestibility of the produced prebiotics confirms that they reach the  
891 colon without being hydrolyzed, while fermentability assays allow verifying their  
892 selectivity to promote the growth of beneficial microorganisms and to follow its  
893 conversion into bioactive metabolites, such as SCFA, which play very important roles in  
894 human physiology and metabolism. Each species and strain of gut microorganisms prefer  
895 specific substrates and have different enzymatic complexes, influencing the type and  
896 proportion of metabolite produced. Also, the chemical structure and Mw of the prebiotic  
897 will have a significant impact on its utilization by the microbiota.

898 Overall, agro-industrial wastes are raw materials of low or no cost with great potential for  
899 obtaining compounds of high commercial value, namely prebiotics, and enzymatic  
900 processes have demonstrated their efficiency for this conversion. Still, it is important to  
901 point out that the biological activity of potential prebiotics must be evaluated before  
902 commercialization and before making any health claim on these products. A step that is  
903 missing for most emerging prebiotics being produced from agro-industrial wastes.

**904 Declaration of competing interest**

905 The authors declare no competing interests.

906

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1 **Tables**2  
3**Table 1.** Examples of enzymatic production of fructo-oligosaccharides from agro-industrial wastes.

Source(s)	Microorganism(s)	Enzyme(s)	Pre-treatment	Reaction Type and Conditions	Main Results	Reference
Sugar cane bagasse (SCB)	<i>Aspergillus flavus</i> NFCCI 2364	Fructosyltransferase	(i) SCB was washed with distilled water; (ii) Bleached by immersing in hot water (70–80 °C, for 10 min); (iii) Material was dried overnight and further grinded	SSF – Two stages: (i) 5 g substrate and 0.1 g/gds yeast extract were added to 5 mL water, and $1 \times 10^7$ spores were inoculated, for 72 h; (ii) 50 mL water was added to the fermented medium, for 24 h at 150 rpm; 28 °C, and pH 4.8	73.42 % (w/w)	(Ganaie et al., 2017)
Aguamiel	<i>Aspergillus oryzae</i> DIA-MF	Fructosyltransferase	Aguamiel was sterilized at 121 °C for 15 min	SSF: Polyurethane foam was used as inert support; aguamiel with 35–40 g/L sucrose content was inoculated with $2 \times 10^7$ spores/g of support; 30 °C, pH 5.0, for 120 min	0.30 (w/w); 0.097 g/(L·min)	(Muñiz-Márquez et al., 2016)
Coffee silverskin (CS)	<i>Aspergillus japonicus</i> ATCC 20236	$\beta$ -fructofuranosidase	CS was sterilized at 121 °C for 20 min	SSF: 3 g of CS (moistened with a 200 g/L sucrose solution to attain 70 % moisture content), and of $2 \times 10^6$ spores/g of inoculum; 28 °C	128.7 g/L; $0.70 \pm 0.04$ (w/w); $8.05 \pm 0.49$ g/(L·h)	(Mussatto & Teixeira, 2010)
Coffee silverskin (CS)	<i>Aspergillus japonicus</i> ATCC 20236	$\beta$ -fructofuranosidase	CS was sterilized at 121 °C for 20 min	SSF: 2.5 g of CS (moistened with a 240 g/L sucrose solution to attain 60 % moisture content), and $1.7 \times 10^7$ spores/g of inoculum; 28 °C	206 g/L; 10.44 g/(L·h)	(Mussatto et al., 2013)
Date by-products	<i>Aspergillus awamori</i> NBRC4033	$\beta$ -fructofuranosidase	(i) Fruit flesh was collected and cut into small pieces; (ii) Aqueous extracts were obtained by diffusion in hot water	SmF: 1 g of enzyme per 10 mL of substrate (containing 403.5 g/L sucrose); 50 °C, pH 5.0, for 1 h	123 g/L; 53.26 % (w/w); 18.5 g/(h·100 g)	(Smaali et al., 2012)
Tofu whey permeate (TWP)	<i>Aspergillus aculeatus</i>	Pectinex® Ultra SP-L	(i) TWP was centrifuged at $4,000 \times g$ for 90 min; (ii) Supernatant was ultrafiltered through hydrophilic membranes (removal of proteins)	SmF: 60 % (w/v) TWP, and 9 U/mL of enzyme; 60 °C, pH 5.5, 1350 rpm, for 8 h	GF <sub>2</sub> : $37.0 \pm 1.0$ g/L; GF <sub>3</sub> : $45.6 \pm 1.1$ g/L; and GF <sub>4</sub> : $5.4 \pm 0.1$ g/L.	(Corzo-Martínez et al., 2016)
Cane molasses (CM)	<i>Aureobasidium melanogenum</i> D28	$\beta$ -fructofuranosidase	(i) 1 mM of EDTA solution was added to the CM solution to bind heavy metals; (ii) Treated CM was centrifuged at $12,000 \times g$ for 0.5 h; (iii) Color for the supernatant was removed by adding activated charcoal	SmF: 375 U/g of enzyme and 35 % (w/v) molasses sugar; 50 °C, pH 4.5, and 100 rpm	0.58 (w/w)	(S. Zhang et al., 2019)

4 GF<sub>2</sub> – 1-Kestose; GF<sub>3</sub> – Nystose; GF<sub>4</sub> – 1<sup>F</sup>-fructofuranosylnystose; SSF – Solid-state fermentation; SmF – Submerged fermentation.



5 **Table 2.** Examples of enzymatic production of lactose-derived prebiotics from agro-industrial wastes.

Prebiotic Produced	Source(s)	Microorganism(s)	Enzyme(s)	Pre-treatment	Reaction Type and Conditions	Results	Reference
GalOS	Whey permeate (WP)	<i>Streptococcus thermophilus</i> DSM 20259	$\beta$ -Galactosidase (expressed in <i>Lactobacillus plantarum</i> WCFS1)	WP powder (containing 65 % (w/w) lactose) was dissolved in 50 mM sodium phosphate buffer with 10 mM MgCl <sub>2</sub>	SmF: WP solution (equivalent to 50 g/L lactose) and 1.35 U/mL of enzyme; 37 °C and pH 6.5	34.2 % (w/w); $\beta$ -(1,6) and $\beta$ -(1,3)-linked	(Geiger et al., 2016)
	Whey permeate (WP)	<i>Kluyveromyces lactis</i>	Lactozyme™ 2600 L	5.4 g whey powder was dissolved in 12.6 g of 200 mM potassium phosphate	SmF: WP with 30 % (w/w) lactose concentration and 2 g of enzyme solution (50 U/g); 35 °C, pH 7.0, 200 rpm, for 12 h	25 % (w/w); 51 g/(g <sub>enzyme</sub> ·h)	(Mano et al., 2019)
	Acid whey	<i>Thermothielavioides terrestris</i>	$\beta$ -galactosidase (expressed in <i>Pichia pastoris</i> )	An evaporator was used for the concentration of whey lactose (52 °C and 120 rpm)	SmF: 9.3 % (w/v) lactose (concentrated whey), and 0.5 U/mL of enzyme; 50 °C, for 5 h	14.9 ± 0.08 g/L; 1.48 ± 0.08 % (w/v); 0.17 ± 0.04 (w/(v·h))	(Zerva et al., 2021)
	Acid whey	<i>Cryptococcus laurentii</i> DSM 27153	$\beta$ -galactosidase	—	SmF: enzyme/substrate ratio of 0.94 U <sub>ONPG</sub> /g; 55 °C, and no pH adjustment	NCW: 34.6 ± 0.4 % (w/w) and 2.3 mg/(U·h); CW: 36.1 ± 0.6 % (w/w) and 2.3 mg/(U·h)	(Fischer & Kleinschmidt, 2021)
	Sweet whey	<i>Lactobacillus paracasei</i> YSM0308	$\beta$ -galactosidase	(i) Whey powder was reconstituted at 10 % (w/v); (ii) Proteins from the whey were partially digested by protease	SmF: 30 % (w/v) sweet whey and crude enzyme; 30 °C, pH 6.5–7.0, for 4 h	19.41 % (w/v)	(T. S. Song et al., 2013)
	Sweet whey	<i>Pantoea anthophila</i>	$\beta$ -galactosidase (expressed in <i>Escherichia coli</i> )	Whey powder was dissolved in a 50 mM sodium phosphate	SmF: 15 U/mL of enzyme, and 300 g/L lactose (whey concentrate equivalent); 50 °C, pH 7.0, and 150 rpm	38 % (w/w)	(Yañez-Ñeco et al., 2021)
	Porungo cheese whey (PCW)	<i>Kluyveromyces lactis</i>	Maxilact LGi 5000	(i) PCW was diluted in 0.1 M sodium phosphate buffer; (ii) Proteins from the whey were partially digested by a protease	SmF: 8 mL of immobilized enzyme and 20 mL of PCW; 37 °C, pH 7.0, 150 rpm, for 180 min	63.1 % (w/w); 13.6 g/(h·L)	(Bolognesi et al., 2021)
	Milk whey (MW) and Milk whey permeate (MWP)	<i>Bacillus circulans</i>	$\beta$ -galactosidase	—	SmF: 5 mL of substrate (with a lactose concentration equivalent to 40 % (w/v)), and 100 beads of immobilized enzyme; 50 °C, and pH 7	159.4 g/L for MW; 168.8 g/L for MWP	(Hackenhaar et al., 2021)

Lactosucrose	Tofu whey (TW)	<i>Bacillus subtilis</i> CECT 39	Levansucrase	—	SmF: 0.5 U/mL enzyme; TW:Lac ratio of 47.3 %:12.5 %; 37 °C, pH 6.0, for 120 min	74 g/L; 64.9 % (w/w); 37.0 g/(L·h)	(Corzo-Martinez et al., 2015)
	Tofu whey (TWP) and Cheese whey permeate (CWP)	<i>Bacillus subtilis</i> CECT 39	Levansucrase	—	SmF: 0.5 U/mL enzyme; TW:CWP ratio of 47.3 %:13.9 %; 37 °C, pH 6.0, for 120 min	71.5 g/L; 60.8 % (w/w); 35.8 g/(L·h)	(Corzo-Martinez et al., 2015)
Lactulose	Cheese whey powder	<i>Caldicellulosiruptor saccharolyticus</i>	Cellobiose 2-epimerase (expressed in <i>Bacillus subtilis</i> WB800)	(i) Spray-dried powder was added to phosphate buffer (pH 7.0) and mixed; (ii) Mixture was centrifuged at 8,000×g for 20 min	SmF: 3 L of whey powder solution and 7.5 U/mL of enzyme; 70 °C, pH 7.0, for 2 h	58.5 % (w/w) after 2 h; 42.4 % (w/w) after 10 batches (EMR)	(L. Wu et al., 2017)
	Whey powder	<i>Kluyveromyces lactis</i> and <i>Streptomyces rubiginosus</i>	$\beta$ -galactosidase and Glucose isomerase	(i) Whey powder was added to sodium phosphate buffer (pH 7.5) and was mixed; (ii) Mixture was centrifuged at 13,000×g for 20 min, at 25 °C	SmF: 20 % (w/v) of whey preparation, 12 U/mL of immobilized $\beta$ -galactosidase, and 60 U/mL of immobilized glucose isomerase; 53.5 °C, and pH 7.5	7.68 g/L; 0.32 mg/(U·h)	(Y. S. Song et al., 2013)

6 GalOS – Galacto-oligosaccharides; SSF – Solid-state fermentation; SmF – Submerged fermentation.

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8 **Table 3.** Examples of enzymatic production of xylo-oligosaccharides from agro-industrial wastes.

Source(s)	Microorganism(s)	Enzyme(s)	Pre-treatment	Reaction Type and Conditions	Results	Reference
Garlic straw (GS)	<i>Bacillus mojavensis</i> UEB-FK	Xylanase	(i) Xylan from GS was recovered using alkali extraction (15 % NaOH 1M, 90 °C, 90 min); (ii) Xylan was precipitated in ethanol and separated by filtration. The precipitate was dissolved in distilled water, dialyzed, and lyophilized. (iii) The purified mixture was dissolved in 50 mM sodium acetate buffer (pH 4.0)	SmF: 2 % (w/v) of the xylan mixture and 12 U/g of enzyme; 50 °C, pH 4.0, 100 rpm, for 8 h	DP ≤ 6: 29 ± 1.74 % (w/w)	(Kallel et al., 2015)
Pearl millet bran (PMB)	<i>Bacillus licheniformis</i> , <i>Bacillus licheniformis</i> , <i>Aspergillus niger</i> and <i>Trichoderma viride</i>	α-amylase (Termamyl® 120L), protease, amyloglucosidase and xylanase	(i) The defatted PMB was autoclaved for 45 min at 121 °C; (ii) Material was de-starched and deproteinized; (iii) The precipitated material was recovered for further digestion	SmF: Precipitated residue of PMB, and 10 U/g xylanase, at 30 °C, pH 4.5, 200 rpm, for 24 h; Reaction terminated by adding three volumes of EtOH 95 %; Samples were centrifuged at 8000 rpm (4 °C), for 20 min	1.4 % g <sub>XOS</sub> and 7.2 % g <sub>XOS</sub> per g of starting material	(A. Singh & Eligar, 2021)
Bamboo shoot shell (BSS)	—	Endo-xylanase	(i) BSS autohydrolysis (100 mL water and 10.0 g of dry BSS) at 170 °C, 150 rpm, for 50 min in an oil bath; (ii) The reactor was soaked in a cold-water bath for 4 h; (iii) The pre-hydrolysate was separated by filtration and stored at 4 °C	SmF: 15 % (v/v) endo-xylanase (3 IU/mL), at 50 °C, 150 rpm, for 12 h; Enzymes were heat inactivated (100 °C, 5 min) and the aliquots were kept at 4 °C	6.6 g <sub>XOS</sub> per 100 g <sub>BSS</sub> ; 76.7 % of X <sub>2</sub> and X <sub>3</sub>	(Q. Wang et al., 2022)
Sugarcane straw (SS)	<i>Aspergillus niger</i> and <i>Clostridium thermocellum</i>	Endo-xylanase (GH11), α-L-arabinofuranosidase (GH51), and Feruloyl Esterase (CE1)	(i) SS was pre-incubated (70 °C, 16 h) in deionized water, and further drained; (ii) Holocellulose was extracted with sodium chlorite under acidic conditions; (iii) The mixture was filtered and precipitated. The precipitated material was centrifuged at 4,000×g for 15 min, washed and dried	SmF: 0.63 mg/g of CE1, 5 mg/g of GH11, 2.70 mg/g of GH5, and 50 mg of substrate; 50 °C, pH 5.0, and 1000 rpm	DP ≤ 6: 10.23 ± 0.56 g/L; 205 mg/g; 4.27 mg/(g·h)	(Ávila et al., 2020)
Coffee husk (CH)	<i>Aspergillus niger</i> and <i>Clostridium thermocellum</i>	Endo-xylanase (GH11), α-L-arabinofuranosidase (GH51), and Feruloyl Esterase (CE1)	(i) CH was pre-incubated (70 °C, 16 h) in deionized water, and further drained; (ii) Holocellulose was extracted with sodium chlorite under acidic conditions; (iii) The mixture was filtered and precipitated. The precipitated material was centrifuged at 4,000×g for 15 min, washed and dried	SmF: 0.63 mg/g of CE1, 6 mg/g of GH11, 0.80 mg/g of GH51, and 50 mg of substrate; 50 °C, pH 5.0, and 1000 rpm	DP ≤ 6: 8.45 ± 0.65 g/L; 169 mg/g; 3.52 mg/(g·h)	(Ávila et al., 2020)
Grape pomace (GP)	<i>Aspergillus niger</i> 3T5B8	Xylanase	GP was dried (45 °C for 24 h) and milled	SmF: 100 mg of substrate with a substrate:water ratio of 1:18, and 10 IU/g of enzyme; 40 °C, pH 5.0, 200 rpm, for 6 h	88.68 ± 0.12 %	(Costa et al., 2019)

Grape pomace (GP)	<i>Aspergillus aculeatus</i>	Viscozyme® L	GP was dried (45 °C for 24) and milled	SmF: 100 mg of the substrate with a substrate:water ratio of 1:18, and 10 IU/g of enzyme; 40 °C, pH 5.0, 200 rpm, for 4 h	84.09 ± 2.40 %	(Costa et al., 2019)
Coffee peel (CP)	<i>Bacillus subtilis</i>	Endo-xylanase	(i) CP was grounded and sieved; (ii) The powder was extracted and stored	SmF: 0.8 % (w/v) substrate solution, 4.53 U/mg of enzyme, with an enzyme:substrate ratio of 1:1; 40 °C, for 24 h	3.26 mg/mL X <sub>5</sub>	(Ratnadewi et al., 2020)
Coconut husk (CH)	—	Crude xylanase preparation	(i) CH was shredded dried; (ii) The dried husk was ground into powder; (iii) Alkali treatment (20 % NaOH) coupled with steam treatment for 60 min	SmF: 2 % of solubilized xylan in 10 mL of sodium citrate buffer, and 4.50 % of crude xylanase; 55 °C, pH 5.0, for 18 h	1.69 mg/mL X <sub>2</sub>	(Jnawali et al., 2018)
Almond shell (AS)	<i>Thermomyces lanuginosus</i>	Endo-xylanase (expressed in <i>Aspergillus oryzae</i> )	(i) Autohydrolysis pretreatment of AS powder (200 °C, 5 min); (ii) The mixture was filtered; (iii) The autohydrolysate was centrifuged at 5,000 rpm for 10 min. The supernatant was stored at 4 °C	SmF: Material was loaded into the reactor at a 1:10 (w/v) ratio, with 10 U of enzyme; 50 °C, pH 5.5, for 36 h	5.3 ± 0.1 % (w/w) X <sub>2</sub> ; 3.0 ± 0.1 % (w/w) X <sub>3</sub>	(Singh et al., 2019)
Rice husk (RH)	<i>Thermomyces lanuginosus</i>	Pentopan™ MonoBG	(i) Material was dried, powdered, and sieved; (ii) Alkaline treatment coupled with steam; (iii) The treated solution was centrifuged at 5,000 rpm for 20 min. The supernatant was acidified (pH 5.0); (iv) Xylan fraction was recovered after precipitation with ethanol and centrifuged at 4,480×g for 10 min. The xylan precipitate was collected and dried	SmF: 5 % of xylan solution, and 6.25 mg of enzyme per g of xylan; 50 °C, pH 6.0, for 9 h	17.35 ± 0.31 mg/mL	(Khatudomkiri et al., 2018)
Wheat husk (WH)	<i>Aspergillus fumigatus</i> R1	Xylanase	Dried WH was dissolved in 50 mM phosphate buffer (pH 7.0)	SmF: 2 % (w/v) wheat husk xylan, and 42 IU/g of enzyme; 37 °C, pH 7.0, 100 rpm, for 6 h	1.08 g/(100 g)	(Jagtap et al., 2017)
Wheat straw (WS)	—	Endo-xylanase	(i) WS was milled; (ii) Hydrothermal pre-treatment (Steam explosion, 200 °C) was applied; (iii) Slurry was filtrated and the liquid fraction was recovered	SmF: 7.2 U of enzyme per mL of liquid fraction; 50 °C, pH 4.8, and 150 rpm	87.4 % (w/w): 59.6 % X <sub>2</sub> , 30.7 % X <sub>3</sub> , 6.8 % X <sub>4</sub> , 1.9 % X <sub>5</sub> and 1.0 % X <sub>6</sub>	(Álvarez et al., 2017)
Wheat straw (WS)	—	Endo-xylanase and β-glucosidase	(i) WS was milled; (ii) Hydrothermal pre-treatment (Steam explosion, 200 °C) was applied; (iii) Slurry was filtrated and the liquid fraction was recovered	SmF: 7.2 U of endo -xylanase and 1.2 U of β-glucosidase enzyme per mL of liquid fraction; 50 °C, pH 4.8, and 150 rpm	90.6 % (w/w): 44.4 % X <sub>2</sub> , 32.0 % X <sub>3</sub> , 10.7 % X <sub>4</sub> , 2.4 % X <sub>5</sub> and 1.2 % X <sub>6</sub> ; 8.9 g/(100 g)	(Álvarez et al., 2017)

Sugarcane bagasse (SB)	<i>Trichoderma viridae</i>	Endo-xylanase	(i) Xylan from SB was alkali extracted (12 % NaOH) combined with steam; (ii) The soluble xylan was recovered and dried	SmF: 2.65 U of enzyme, and 2 % (w/v) substrate concentration; 40 °C, pH 4.0, for 8 h	1.153 ± 0.13 mg/mL X <sub>2</sub> ; 0.565 ± 0.21 mg/mL X <sub>3</sub>	(Jayapal et al., 2013)
Corn cob (CC)	<i>Aspergillus oryzae</i> MTCC 5154	Endo-xylanase	(i) CC was grounded and sieved; (ii) CC powder was pretreated by alkali treatment; (iii) Treated CC powder was dried	SmF: 14 U/mL of endo-xylanase, and 6 % corn cob powder; 50 °C, pH 5.4, for 14 h.	10.2 ± 0.14 mg/mL; 81.0 ± 3.9 % (w/v)	(Aachary & Prapulla, 2009)
Corn cob (CC)	<i>Paenibacillus barengoltzii</i> CAU904	Xylanase (expressed in <i>Escherichia coli</i> )	(i) Dry CC was powdered and soaked in water; (ii) The mixture was pretreated by a steam explosion at 165 °C for 35 min	SmF: 50 U/mL of enzyme was added into 25 mL of treated CC mixture; 60 °C, pH 6.5, 150 rpm, for 4 h	75 % (w/w); 90 % DP 2-4	(Liu et al., 2018)

9 X<sub>2</sub> – Xylobiose; X<sub>3</sub> – Xylotriose; X<sub>4</sub> – Xylotetraose; X<sub>5</sub> – Xylopentose; X<sub>6</sub> – Xylohexose; FXOS – Feruloylated xylo-oligosaccharides; SSF – Solid-state fermentation; SmF –  
10 Submerged fermentation.

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13 **Table 4.** Examples of enzymatic production of manno-oligosaccharides, isomalto-oligosaccharides, and pectic oligosaccharides from agro-industrial wastes.

Prebiotic Produced	Source(s)	Microorganism(s)	Enzyme(s)	Pre-treatment	Reaction Type and Conditions	Results	Reference
MOS	Guar gum (GG)	<i>Aspergillus oryzae</i> MTCC 1846	$\beta$ -mannanase (ManAo)	—	SmF: 100 mL of 2 % (w/v) waste, and 0.5 U/mL enzyme; 50 °C, pH 5.0, 150 rpm, for 12 h	DP 2–4; 11.24 mg/mL	(Jana & Kango, 2020)
	Copra meal (CM)	<i>Aspergillus oryzae</i> MTCC 1846	$\beta$ -mannanase (ManAo)	—	SmF: 100 mL of 2 % (w/v) waste, and 0.5 U/mL of enzyme; 50 °C, pH 5.0, 150 rpm, for 12 h	DP 2–4; 7.14 mg/mL	(Jana & Kango, 2020)
	Copra meal (CM), and Coffee residue (CR)	<i>Penicillium oxalicum</i> KUB-SN2-1	$\beta$ -mannanase	Wastes were dried (60 °C, for 48 h), blended, milled, and sieved	SmF: 80 mL of crude enzyme (36.01 $\pm$ 0.13 U/mL), and 80 mL of waste solution (10 mM citrate-phosphate buffer); 60 °C, pH 4.0, for 48 h	DP 2–4	(Chantorn et al., 2018)
	Locust bean gum (LBG) and palm kernel cake (PKC)	<i>Bacillus</i> sp. HJ14, and <i>Sphingomonas</i> sp. JB13	$\beta$ -mannanase (Man5HJ14 and ManAJB13)	(i) PKC (50 g) was pre-treated with 1 % (w/v) NaOH solution (121 °C, 15 lbs pressure, for 20 min); (ii) Biomass was washed with dilute HCl, harvested and dried	SmF: 0.5 % (w/v) LBG or 1:5 PKC solution, and 10 U/mL of enzyme; 60 °C (Man5HJ14) or 37 °C (ManAJB13), pH 6.5, for 8 h	DP 2–6 from LBG; DP 2–4 from PKC	(R. Zhang et al., 2021)
	Spent coffee grounds (SCGs)	<i>Bacillus</i> sp. GA2(1)	$\beta$ -mannanase	(i) The SCGs were dried (60 °C, for 24 h) and sieved; (ii) Biomass was subject to an alkaline pretreatment with 0.5 N NaOH (50 °C, for 6 h)	SmF: 5 mL of the crude enzyme, 0.05 g treated SCGs, and 5 mL of 50 mM citrate-phosphate buffer; 50 °C, pH 6.5, for 5 h	DP 2–3	(Wongsiridetchai et al., 2018)
	Spent coffee grounds (SCGs)	<i>Aureobasidium pullulans</i> NRRL 58524	$\beta$ -mannanase	(i) SCG was washed, dried at 60 °C, sieved (60-mesh size), and stored in vacuum desiccator; (ii) Alkali pretreatment was performed by incubation of SCG with 4 M sodium hydroxide and 0.02 M sodium borohydride (liquid:solid ratio of 10:1) at room temperature, overnight; (iv) The mixture was centrifuged at 4,000 $\times$ g, for 15 min, filtered and acidified to pH 5.0 (1 M acetic acid). The filtrate was concentrated by ultrafiltration, and freeze-dried at -60 °C, for 6 h; (v) Extracted mannan was dissolved in 50 mM citrate buffer (pH 4.0) to obtain the final concentration of 1% (w/v)	SmF: 84.87 U/g of enzyme, at 55 °C, pH 4.0, 150 rpm, for 41 h and 34 min; Reaction was terminated by boiling the mixture for 15 min	58.22 $\pm$ 2.04 mgMOS/(100 mg); 16.27 $\pm$ 0.84 M2 and 2.85 $\pm$ 0.20 mg M3	(Ibrahim et al., 2022)

	Guar gum (GG), and Locust bean gum (LBG)	<i>Aspergillus niger</i> ATCC 10864	Endo-mannanase (Man26A)	—	SmF: 2 % (w/v) mannans (LBG, and GG) were suspended in 10 mL of 50 mM citrate buffer, and the enzyme was loaded at a concentration of 0.625 mg/g; 50 °C, pH 5.0, 70 rpm, for 24 h	DP 2–6; 5.11 mg/mL from LBG, and 4.45 mg/mL from GG	(Magengelele et al., 2021)
	Sugar palm fruit (SPF)	<i>Kitasatospora</i> sp. KY576672	$\beta$ -mannanase	(i) SPF was sliced, dried (40–50 °C), and crushed; (ii) The powder was sieved; (iii) The fraction was diluted with 50 mM phosphate buffer	SmF: 200 mL SPF solution, and 200 mL crude enzyme; 40 °C, pH 6.0, 190 rpm	DP 2–6	(Pangestu et al., 2019)
	Locust bean gum (LBG), konjac glucomannan (KG), and Guar gum (GG)	<i>Penicillium aculeatum</i> APS1	$\beta$ -mannanase	—	SmF: 1 % substrate and 100 U/g crude enzyme in 50 mM sodium citrate buffer; 50 °C, pH 5.3, for 3 h	DP 2–4: 1.364 mg/mL from LBG, and 4.626 mg/mL from KG; DP 2–3: 2.958 mg/mL from GG	(Bangoria et al., 2021)
	Palm cake kernel (PKC)	<i>Streptomyces cyaeus</i>	$\beta$ -mannanase	—	SmF: Enzyme (1.706 U/mL) solution was reacted with 0.5 % PKC substrate (1:1); 37 °C, pH 6.0, 150 rpm, for 8 h	DP $\leq$ 7	(Purnawan et al., 2017)
IMOs	Cane molasses (PCM), and Corn steep liquor (CSL)	<i>Yarrowia lipolytica</i> S47	Sucrose Isomerase (SIase)	—	SmF: 3.6 U/mL enzyme, 350 g/L PCM, 1.0 g/L CSL; 30 °C, pH 6.0	102.6 g/L isomaltulose, 97.4 % purity, 0.96 (w/w)	(Z. P. Wang et al., 2019)
	Pulp of plantain fruits (PPF)	—	$\beta$ -amylase, pullulanase and $\alpha$ -glucosidase	(i) Rejected plantain fruits were disinfected and peeled; (ii) The pulp was cut into slices and immersed in a 5 % (w/v) ascorbic acid solution for 5 min; (iii) The slices were dehydrated (45 °C for 48 h), grounded and sieved. The plantain flour (PF) was stored under vacuum in laminated multilayer bags	SmF – Three stages: (i) 0.15 % (w/w) $\alpha$ -amylase and 30 % (w/v) substrate, for 3 h, (ii) 0.1 % (w/w) $\beta$ -amylase and 0.05 % (w/w) pullulanase, for 24 h, and (iii) 0.1 % (w/w) $\alpha$ -glucosidase, for 24 h; pH and temperature are adjusted to the optimal conditions of the enzymes in each stage; 150 rpm	24.48 g; 7.49 g IM <sub>2</sub> , 6.20 g IM <sub>3</sub> , 5.58 g IM <sub>4</sub> and 5.21 g IM <sub>5</sub>	(J. A. Gómez et al., 2021)
	Soybean molasses (SM)	<i>Rhizomucor miehei</i>	$\alpha$ -galactosidase (expressed in <i>Yarrowia lipolytica</i> S47)	Viscous substances were removed from soy molasses using H <sub>2</sub> SO <sub>4</sub> and Ca(OH) <sub>2</sub>	SmF – Three stages: (i) 700 g/L soy molasses hydrolysate (SMH), 200 mL strain culture, for 32 h, (ii) 700 g/L SMH added, for 16 h, and (iii) 300 g/L SMH added, for 24 h; 30 °C, pH	209.4 g/L isomaltulose, 0.95 (w/w)	(Zhi-Peng et al., 2019)

					6.5, 300 rpm, aeration rate of 5 L/min		
	Broken rice (BR)	<i>Aspergillus niger</i> PFS08	Fungamyl® 800L and $\alpha$ -glucosidase	(i) Rice grains were milled and sieved; (ii) Powder was stored in an airtight plastic container; (iii) Samples were mixed with 0.7 M HCl and neutralized with 5 M NaOH (pH 7.0)	SmF: 0.06 U of Fungamyl® 800L and 1.05 U of $\alpha$ -glucosidase were added together to a total reaction volume of 5 mL; 55 °C, pH 5.0, 12 h	92.17 $\pm$ 3.43 g/L	(Basu et al., 2016)
	Potato processing waste (PPW)	<i>Aspergillus niger</i> PFS08	Fungamyl® 800L and $\alpha$ -glucosidase	(i) The solid in the waste was allowed to settle, and the sediments were collected and washed; (ii) Sediments were dried and sieved. The starch powder was stored in an airtight plastic container; (iii) Samples were mixed with 0.7 M HCl and neutralized with 5 M NaOH (pH 7.0)	SmF: 0.06 U of Fungamyl® 800L and 1.05 U of $\alpha$ -glucosidase were added together to a total reaction volume of 5 mL; 55 °C, pH 5.0, 12 h	85.11 $\pm$ 4.30 g/L	(Basu et al., 2016)
	Banana slurries (BS)	—	Termamyl® SC, Fungamyl® 800 L and Transglucosidase L	(i) Banana was dried and sieved; (ii) Banana slurry with a concentration of 250 g/kg was prepared	SmF – Three stages: (i) 0.15 mL Termamyl® SC and 500 g banana slurry, 93–95 °C, pH 5.5–6.0, (ii) 0.3 mL Fungamyl® 800 L, 50 °C, pH 5.5–6.0, for 24 h, and (iii) 0.3 mL Transglucosidase L, 60 °C, pH 5.5, for 12 h	76.67 $\pm$ 2.71 g/L; 57.66 $\pm$ 2.60 IM <sub>3</sub> ; 19.11 $\pm$ 1.24 IM <sub>4</sub>	(Chockchaisawasdee & Poosaran, 2013)
Pectic Oligosaccharides	Onion skins (OS)	<i>Aspergillus aculeatus</i>	Viscozyme® L	(i) OS were grinded; (ii) Pectin was extracted with 2 % sodium hexametaphosphate solution (95 °C, 0.5 h); (iii) The biomass was centrifuged at 4,500 $\times$ g for 10 min. The crude pectin was collected from the supernatant	SmF: 50 g/L substrate and 60 mL of enzyme (41.4 U/mL); 45 °C, pH 4.5, 200 rpm, for 15–30 min	22.0 g/(L·h); 57 % (w/w)	(Baldassarre et al., 2018)
	Onion skins (OS)	<i>Aspergillus aculeatus</i>	<i>Endo</i> -polygalacturonase M2	(i) Dried OS were milled and sieved; (ii) Powder was pretreated with 2 % sodium hexametaphosphate (95 °C, 0.5 h); (iii) Biomass was centrifuged at 5,000 $\times$ g for 10 min. The crude pectin was collected from the supernatant	SmF: 10 % (v/v) of the diluted enzyme/pectic solution (accounting to 5.2 U/mL enzyme); 45 °C, 150 rpm, for 2 h	2.5–3.0 % (w/w) DP2; 5.5–5.6 % (w/w) DP3; and 5.2 to 5.5 % (w/w) DP4	(Babbar, Baldassarre, et al., 2016)
	Sugar beet (SB)	—	<i>Endo</i> -polygalacturonase M2 or Rapidase Smart®	(i) SB was suspended in a solution with HCl (ratio of 1:29), heated, and stirred; (ii) The macerate was rapidly cooled and filtered; (iii) pH was adjusted with 0.2 M KOH; (iv) The extract was dispersed into ethanol; (v) Pectin gel was washed, hand-squeezed in nylon cloth, dried and finely grounded	SmF: 0.5 % (w/v) pectin, and 20 $\mu$ L of each enzyme; 50 °C, pH 5.0, for 15 min	3.1 % (w/w) DP2; and 3.7 % (w/w) DP3	(Combo et al., 2013)



	Orange peel waste (OPW)	<i>Trichoderma reesei</i> and <i>Aspergillus aculeatus</i>	Celluclast® 1.5L and Viscozyme® L	(i) OPWs were milled; (ii) Samples were mixed with water and stirred; (iii) Solids were recovered by centrifugation and extracted with water two additional times	SmF: 12 (w/w) liquor to solid ratio, 45 U/g of Viscozyme® L, and 5 FPU/g of Celluclast® 1.5L; 37 °C, pH 5, 150 rpm, for 20 h	31.3 kg/(100 kg): 7.5 kg GlcOS, 4.5 kg GalOS, 6.3 kg AraOS and 13 kg OGaA	(Sabajanes et al., 2012)
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14 MOS – Manno-oligosaccharides; M<sub>2</sub> – Mannobiose; M<sub>3</sub> – Mannotriose; IMOs – Isomalto-oligosaccharides; IM<sub>2</sub> – Isomaltose; IM<sub>3</sub> – Isomaltotriose; IM<sub>4</sub> – Isomaltotetraose;  
 15 IM<sub>5</sub> – Isomaltopentaose; GlcOS – Gluco-oligosaccharides; OGaA – Oligo-galacturonides; AraOS – Arabino-oligosaccharides; GalOS – Galacto-oligosaccharides; SSF – Solid-  
 16 state fermentation; SmF – Submerged fermentation.

17

18 **Table 5.** *In vitro* evaluation of gastrointestinal digestibility of several prebiotic ingredients enzymatically produced from agro-industrial wastes.

Waste used as raw material	Prebiotic produced	Main findings	Reference
Cane molasses	Prebiotic oligosaccharides	Hydrolysis of 8–10 % by $\alpha$ -amylase and 10–15 % by gastric juice (3,6 and 24 h incubation in each enzyme solution).	(Sharma et al., 2016)
Sugarcane pith and rind	XOS	Hydrolysis of 5.21 % of pith XOS and 12.66 % of rind XOS by $\alpha$ -amylase (6 h incubation). Hydrolysis of 2.03 % of pith XOS and 3.22 % of rind XOS under gastric simulation (6 h incubation).	(Zidan et al., 2021)
Sugarcane straw and coffee husks	XOS	Hydrolysis of 4.55 % for sugarcane XOS and 5.62 % for coffee husk XOS at the end of the intestinal phase.	(Ávila et al., 2020)
Rejected unripe plantain fruits	IMOs	The resistant starch content after small intestinal digestion of raw plantain flour was higher (71.7 %) than in the cooked flour (52.6 %).	(J. A. Gómez et al., 2021)

19 IMOs – Isomalto-oligosaccharides; XOS – Xylo-oligosaccharides.

20

21 **Table 6.** *In vivo* and *in vitro* gut fermentation of several prebiotics enzymatically produced from agro-industrial wastes.

Type of test	Source of prebiotic	Prebiotic produced	Dose tested	Main findings	Reference
<b>Animal studies</b>					
27 mice divided into 9 groups: 3 control groups, 3 low-dose groups and 3 high-dose groups for 14, 21 and 28 days	Cassava dregs	XOS	Low-dose groups: 0.5 g/kg of BW High-dose groups: 1.0 g/kg of BW	Feeding with XOS at 0.5 and 1.0 g/kg of BW increased <i>Bifidobacterium</i> and <i>Lactobacillus</i> and decreased <i>Escherichia.coli</i> . SCFA such as acetic and butyric acid were produced. A high acidic pH was determined in the treatment group.	(Hafidah et al., 2018)
24 rats divided in two groups: 1 experimental and 1 control group	Apple by-products	Dietary fibre	20 % of dietary fiber	The ingestion of a diet supplemented with dietary fiber for 5 weeks showed a potential bifidogenic, butyrogenic, and hypolipidemic effect.	(Mateos-Aparicio et al., 2020)
<b>In vitro studies</b>					
Fermentation with selected gut bacteria	Palm kernel cake, locust bean gum, and copra meal	MOS	1 mg/mL of medium	Copra meal hydrolysate obtained the highest growth of <i>Lactobacillus delbrueckii</i> and <i>L. acidophilus</i> among all the mannans.	(Jana & Kango, 2020)
	Locust bean gum and palm kernel cake	MOS	0.5 % (w/v)	<i>Lactobacillus plantarum</i> could utilize M <sub>1</sub> , M <sub>2</sub> , and M <sub>3</sub> , but not M <sub>4</sub> and above.	(R. Zhang et al., 2021)
	Spent tea leaves	Prebiotic oligosaccharides	150, 300, 400, 600, and 700 µg/mL	Oligosaccharides obtained from spent tea leaves inhibited the growth of pathogens and support the growth of beneficial bacteria. At higher concentrations, the effect was enhanced.	(Chimtung et al., 2016)
	Sugarcane straw and coffee husks	XOS	0.35 % (w/v)	Three of four probiotic cultures of <i>Lactobacillus</i> and <i>Bifidobacterium</i> tested were able to utilize the XOS and showed remarkable growth consuming preferentially the X <sub>2</sub> and X <sub>3</sub> fractions as the sole carbon source.	(Ávila et al., 2020)
	Wheat husk	XOS	0.35 % (w/v)	Six of ten probiotic cultures tested were able to utilize the XOS with a remarkable growth in the media containing XOS as only carbon source.	(Jagtap et al., 2017)
	Coffee peel	XOS	0, 10, 20 and 30 % (v/v) of XOS solution	Among the four concentrations tested (0, 10, 20 and 30 %) 20 % XOS stimulated the highest growth (8.75 log CFU/mL) of <i>Lactobacillus casei</i> after 12 h fermentation.	(Ratnadewi et al., 2020)
	Sugarcane pith and rind	XOS	1 % (w/v)	<i>Lactobacillus casei</i> Shirota (LcS) and <i>Bifidobacterium animalis</i> subsp. <i>Lactis</i> significantly grew in both XOS sources after 48 h of incubation. XOS obtained from rind promoted a higher growth. Both XOS were found to be more fermentable by LcS. Acetic acid was the main fermentation end-product.	(Zidan et al., 2021)

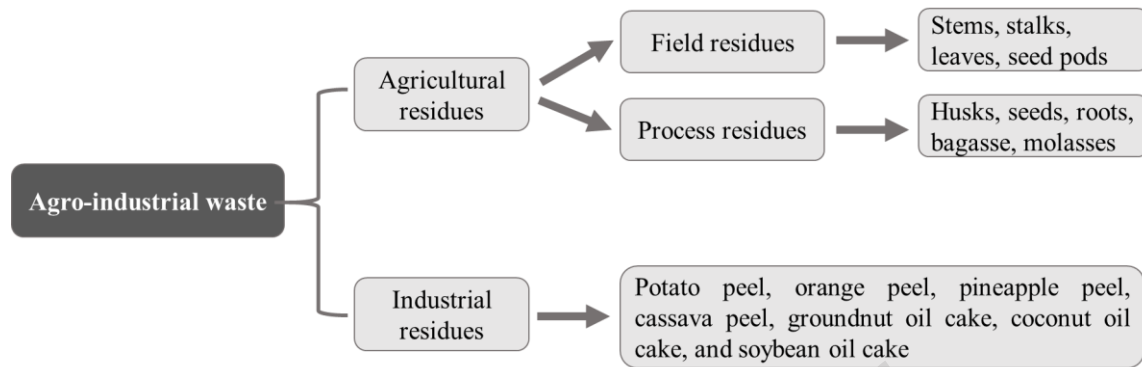
	Banana pseudostem	XOS	N.D.	The medium enriched with XOS stimulated the growth of the prebiotics <i>Lactobacillus plantarum</i> and <i>Lactobacillus fermentum</i> . Probiotic growth continued increasing after 48 h, while in the control (medium with glucose) it remained stationary by that time.	(de Freitas et al., 2021)
Fermentation with human stool	Lemon peel wastes and sugar beet pulp	Pectins and POS	N.D.	Populations of bifidobacteria and lactobacilli increased from 19 % up to 29 % and 34 % in cultures with oligosaccharides from lemon peel and sugar beet pulp, respectively. <i>Faecalibacterium</i> and <i>Roseburia</i> also grew with both substrates.	(B. Gómez et al., 2016)
	Orange peel wastes	POS	N.D.	POS increased bifidobacteria and lactobacilli counts, so their ratio among total bacteria increased from 17 % in the inocula to 27 % upon fermentation.	(B. Gómez et al., 2014)
	Artichoke and sunflower by-products	POS	1 % (w/v)	Reduction of the Mw of artichoke pectin resulted in greater stimulation of the growth of <i>Bifidobacterium</i> , <i>Lactobacillus</i> , and <i>Bacteroides/Prevotella</i> , whilst this effect was observed only in <i>Bacteroides/Prevotella</i> for sunflower samples.	(Ferreira-Lazarte et al., 2018)
	Artichoke by-product	Prebiotic oligosaccharides	1 % (w/v)	Growth of beneficial lactic acid bacteria and bifidobacteria. Lower counts of potentially pathogenic bacteria (up to 2 log UFC/mL). Higher production of acetic, propionic and butyric acids, and lower production of lactic acid than on controls. Consumption 54.6 % of the substrate by fecal bacteria.	(Holgado et al., 2022)
	Soyabean Okara	Prebiotic oligosaccharides	0.5 g per 45 mL	Treated Okara promoted higher growth of beneficial bacteria and inhibited potentially harmful bacterial groups.	(Pérez-López et al., 2016)
	Spent barley grains and husks	XOS	5 and 10 g/L	Samples with shorter Mw showed faster fermentation kinetics. Succinate, lactate, formate, acetate, propionate, and butyrate were produced during fermentation.	(P. Gullón et al., 2011)

22 BW – Body weight; MOS – Manno-oligosaccharides; M<sub>1</sub> – Mannose; M<sub>2</sub> – Mannobiose M<sub>3</sub> – Mannotriose; M<sub>4</sub> – Mannotetrose; N.D. – Not described; POS – Pectic-oligosaccharides; XOS –  
 23 Xylo-oligosaccharides; X<sub>2</sub> – Xylobiose; X<sub>3</sub> – Xylotriose; SCFA – Short-chain fatty acids; Mw – Molecular weight.  
 24

## Figure Captions

**Fig. 1.** Classification of agro-industrial wastes. Adapted from Sadh *et al.* (2018).

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**Figure 1.**

# How prebiotics have been produced from agro-industrial waste: an overview of the enzymatic technologies applied and the models used to validate their health claims

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## Article Highlights

- Agro-industrial wastes can be exploited to produce high-value prebiotic compounds.
- Enzymatic approaches are suitable to produce prebiotics from agro-industrial waste.
- Research efforts are still required to increase enzyme catalytic efficiency.
- Prebiotics functionality have been assessed by *in vitro* digestion.
- Waste-derived prebiotic functionality is poorly explored.