IMPACTing SHOP: Foundations for integrating HTN Planning and Multi-Agency

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Abstract

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In this paper we describe a formalism for integrating the SHOP HTN planning system with the IMPACT multi-agent environment. Our formalism provides an agentized adaptation of the SHOP planning algorithm that takes advantage of IMPACT's capabilities for interacting with external agents, performing mixed symbolic/numeric computations, and making queries to distributed, heterogeneous information sources (such as arbitrary legacy and/or specialized data structures or external databases). We show that this agentized version of SHOP will preserve soundness and completeness if certain conditions are met.

1 Introduction

In order to apply AI planning systems to complex real-world planning problems, here are some of the challenges that must be addressed:

• The need to interact with external information sources [Chien et al., 1995]. The problem tends to be complicated by the fact that frequently the information sources are heterogeneous and not necessarily centralized. For example, in an information integration project developed for the US Army, the information sources included a US Army route planner over free terrain [Benton and Subrahmanian, 1994], a variety of US Army logistics data including specialized Oracle and nested multi-record TAADS

data [Schafer et al., 1998], a variety of US Army simulation data from a massive program called JANUS, Training and Instrumentation Command, a face recognition program, and so forth.

- The need to perform mixed symbolic/numeric reasoning. For example, [Nau et al., 1998] describes the need to reason about a variety of numeric and symbolic conditions in order to do manufacturing planning and to plan declarer play in the game of bridge.
- The need to coordinate multiple agents. For example, in planning the movement of a cargo container from its point of origin to its ultimate destination, a number of agents might participate in the control of the container: for example, agents that load ships, higher level managers that react to unusual incidents and so forth. The container agent would need to take these into account in plan development.

Although a variety of approaches have been proposed for several of these challenges, none of them has yet been completely solved—and no current theory of planning addresses all of these challenges simultaneously.

In this paper we describe a formalism that addresses all of the above challenges simultaneously, by integrating the SHOP planning system with the IM-PACT multi-agent environment. SHOP [Nau et al., 1999] is a very efficient HTN planner. IMPACT [Subrahmanian et al., 2000, Eiter et al., 1999] provides facilities for interacting with heterogeneous, distributed information sources (including arbitrary legacy and/or specialized data structures or external databases), combining symbolic and numerical information, and coordinating multiple agents. Although we have developed our formalism only for SHOP, we believe that a similar approach could be used to integrate other AI planners into IMPACT as well.

Our work includes the following:

- a definition of the A-SHOP planning algorithm, an agentized version of SHOP that runs in the IMPACT environment;
- formulation of the conditions needed for A-SHOP to be sound and complete;
- proofs that A-SHOP is sound and complete if those conditions are met;
- an implementation of this formalism (work currently in progress).

2 Related Work

Several AI planning systems (most notably HTN planning systems such as SIPE [Wilkins, 1988] and O-Plan [Currie and Tate, 1991], and SHOP [Nau et al., 1999]) have the ability to evaluate numeric conditions as attached procedures. However, the lack of a formal semantics for these attached procedures makes it

difficult to guarantee soundness and completeness. Integer Programming (IP) models appear to have excellent potential as a uniform formalism for reasoning about complex numeric and symbolic constraints during planning, and some work is already being done on the use of IP for reasoning about resources [Koehler, 1998, Kautz and Walser, 1999, Wolfman and Weld, 1999]. However, that work is still work in progress, and a number of fundamental problems still remain to be solved.

Approaches for planning with external information sources typically have in common that the information extracted from the external information sources is introduced in the planning system through built-in predicates [Etzioni et al., 1992, Golden et al., 1994, Knoblock, 1996, Friedman and Weld, 1997]. For example, a modified version of UCPOP uses information gathering goals to extract information from the external information sources [Knoblock, 1996]. The information gathering goals are used as preconditions of the operators. The primary difficulty with this approach is that since it is not clear what the semantic of the built-in predicates is, this makes it difficult to guarantee soundness and completeness.

Distributed problem-solving has been the focus of research for many years (e.g., [Davis and Smith, 1983]). With the advances in agent research (see for example [Wooldridge and Jennings, 1995]), attention has been driven towards the coordination of the decision making process between multiple agents. However, much work is still needed in developing well-founded reasoning and negotiating techniques, in particular in environments in which the agent must constantly be on the lookout for changes (see [desJardins et al., 1999] for a recent survey).

3 HTN Planning with Ordered Task Decompositions: SHOP

Below we formalize the idea of ordered task decomposition that forms the basis of SHOP's planning Hierarchical Task Network (HTN) algorithm. HTN planning is a planning paradigm frequently used in applied research projects [Wilkins, 1988, Currie and Tate, 1991]. One of the main reasons for the use of HTN planning is its expressiveness, which allows it to encode not only knowledge about the domain but also problem-solving strategies. HTN planning has been shown to be more expressive than STRIPS planning [Erol et al., 1994]. Recently, an HTN planning system, SHOP, has been developed that uses a new approach to HTN planning called ordered-task decomposition. SHOP outperforms several planning systems by orders of magnitude [Nau et al., 1999].

Definition 1 (State) A state \mathcal{O} is a finite set of ground logical atoms. A conjunct is a finite set of logical atoms. A state \mathcal{O} satisfies a conjunct \mathcal{C} modulo a substitution ν if, by definition, all ground instances of $\mathcal{C}\nu$ are subsets of \mathcal{O} .

Definition 2 (Primitive and Compound Tasks) A task is a list of the form $(s \ t_1, ..., t_n)$, where s is a symbol, called the task name, and $t_1, ..., t_n$ are terms,

called the task's arguments. A task is primitive if its task name is a primitive task symbol Conversely, a task is compound if its task name is a compound task symbol. abbreviated by t, is a list of tasks.

Definition 3 (Operator) An operator is an expression (: **Op** h Add Del), where h (the head) is a primitive task and Add and Del are conjuncts (called the add and delete lists). The set of variables in the tasks in Del and Add is a subset of the set of variables in h.

An operator indicates how the state is modified when an operator is applied: if ν is a substitution such that $h\nu$ is ground, the state, $h^{\nu}(\mathcal{O})$, resulting from applying the operator to the state \mathcal{O} , is defined by $h^{\nu}(\mathcal{O}) =_{def} (\mathcal{O} - Del \nu) \cup Add \nu$ (i.e., removing every atom in Del and adding every atom in Add).

Definition 4 (Method) A method is an expression (: Meth h Pre t), where h (the method's head) is a compound task, Pre (the method's preconditions) is a conjunct and t is a task list.

A method expression has the following meaning: to solve a compound task \mathbf{t} matching h with a substitution μ it suffices to solve the tasks in $t\mu$ provided that the method is *applicable* to the current state, \mathcal{O} . Formally, a method, m, is *applicable* to \mathbf{t} relative to \mathcal{O} if h matches \mathbf{t} with a substitution μ and \mathcal{O} satisfies $\text{Pre}\mu$. We denote with $\text{App}(\mathbf{t}, \mathcal{O})$, the set of all applicable methods to \mathbf{t} relative to \mathcal{O} .

Definition 5 (ordered reduction tasks lists) The set of ordered reduction tasks lists, $red(t, \mathcal{O})$, is defined as follows:

$$red(\mathbf{t}, \mathcal{O}) = \bigcup_{m \in Ann(\mathbf{t}, \mathcal{O})} red_{simple}(\mathbf{t}, \mathcal{O}, m)$$

where $\operatorname{red}_{simple}(\mathbf{t}, \mathcal{O}, m)$ is a simple ordered reduction of \mathbf{t} by m relative to \mathcal{O} . If $\mathbf{t} = (t_1...t_n)$ is a task list and t_j is the first compound task in \mathbf{t} , we define $\operatorname{red}_{simple}(\mathbf{t}, \mathcal{O}, m)$ as the set of all tasks lists of the form $(t_1...t_{j-1}r_jt_{j+1}...t_n)\mu\nu$ where r_i are the subtasks of m. The substitution μ is the most general unifier of the head of m and \mathbf{t} and the substitution ν is such that the precondition of m satisfies the current state with ν . The operation of replacing \mathbf{t} with an element of $\operatorname{red}_{simple}(\mathbf{t}, \mathcal{O}, m)$ is called an application of the method m and replacing \mathbf{t} with an element of $\operatorname{red}(\mathbf{t}, \mathcal{O})$ is called an ordered reduction or ordered decomposition of \mathbf{t} .

We use the term reduction (decomposition) instead of ordered reduction (ordered decomposition). The definition of ordered reduction tasks lists formalizes the notion of ordered task decomposition; essentially, the first task in the task list will be decomposed to primitive tasks, then the second one and so forth. Ordered task decompositions are an especial form of HTNs as defined in [Erol et al., 1994]; in ordered task decompositions the tasks in the task lists are totally ordered and no truth constraints are defined.¹

¹A truth constraint indicates condition commitments such as (t, l, t') indicating that the condition l needs to be true between the tasks t and t'.

Definition 6 (Plan, Planning Problem) A planning problem is a triple $(\mathcal{O}, \mathbf{t}, \mathcal{D})$, where \mathcal{O} is a state, \mathbf{t} is a task list, and \mathcal{D} is the list of methods and operators, called the domain. A plan σ is a completion of \mathbf{t} at \mathcal{O} , denoted by $comp(\mathbf{t}, \mathcal{O}, \mathcal{D})$, if σ is a total ordering of the ground instances of \mathbf{t} , provided that \mathbf{t} is a primitive task list (i.e., if all tasks in \mathbf{t} are primitive). Otherwise, $comp(\mathbf{t}, \mathcal{O}, \mathcal{D})$ is the empty set \emptyset .

We can now define the set of all valid solution plans, $sol(\mathbf{t}, \mathcal{O}, \mathcal{D})$, as characterized in [Erol et al., 1994]:

Definition 7 (Solutions $sol(\mathbf{t}, \mathcal{O}, \mathcal{D})$) Let \mathcal{D} be a planning domain, \mathcal{O} an initial state and \mathbf{t} a task list. $sol_0(\mathbf{t}, \mathcal{O}, \mathcal{D})$ is defined inductively using the following two rules

Rule 1: If $\sigma \in comp(\mathbf{t}, \mathcal{O}, \mathcal{D})$ then $\sigma \in sol(\mathbf{t}, \mathcal{O}, \mathcal{D})$.

Rule 2: If $\mathbf{t}' \in red(\mathbf{t}, \mathcal{O}, \mathcal{D})$ and $\sigma \in comp(\mathbf{t}', \mathcal{O}, \mathcal{D})$ then $\sigma \in sol(\mathbf{t}, \mathcal{O}, \mathcal{D})$.

The set of plans $sol(\mathbf{t}, \mathcal{O}, \mathcal{D})$ is defined as follows:

$$\mathsf{sol}_0(\mathbf{t}, \mathcal{O}, \mathcal{D}) = \{()\},$$

$$\mathsf{sol}_1(\mathbf{t}, \mathcal{O}, \mathcal{D}) = \mathit{comp}(\mathbf{t}, \mathcal{O}, \mathcal{D})$$

$$\mathsf{sol}_{n+1}(\mathbf{t}, \mathcal{O}, \mathcal{D}) = \bigcup_{\mathbf{t}' \in \mathit{red}(\mathbf{t}, \mathcal{O}, \mathcal{D})} \mathsf{sol}_n(\mathbf{t}', \mathcal{O}, \mathcal{D})$$

$$\operatorname{sol}(\mathbf{t},\mathcal{O},\mathcal{D}) = \bigcup_{n<\omega} \operatorname{sol}_n(\mathbf{t},\mathcal{O},\mathcal{D})$$

Now we present the SHOP ordered task decomposition algorithm. We then show that it is sound and complete wrt. Definition 7.

The SHOP algorithm is depicted in Figure 1. The first subtask is analyzed (step 2). If the task is primitive (step 3), a simple plan is obtained if possible (steps 4 and 5) and the process continues with the remaining tasks (step 6). If the task is compound (step 8), sub-tasking is performed if possible (steps 9-14). The procedure setSimpleReductions computes the set of ordered simple reductions of \mathbf{t} in \mathcal{O} . The procedure simplePlan finds an applicable operator and applies it.

To prove soundness and completeness of the SHOP algorithm, we will show that $sol(\mathbf{t}, I, \mathcal{D})$ is equal to the set of all plans generated by SHOP, $sol_{SHOP}(\mathbf{t}, I, \mathcal{D})$.

Theorem 1 (Soundness) SHOP is sound, i.e.

$$\mathsf{sol}_{SHOP}(\mathbf{t}, \mathcal{O}, \mathcal{D}) \subset \mathsf{sol}(\mathbf{t}, \mathcal{O}, \mathcal{D}).$$

Proof: We will show by induction that if $\theta \in \mathsf{sol}_{SHOP}(\mathbf{t}, \mathcal{O}, \mathcal{D})$ holds and θ is generated in n iterations, then $\theta \in \mathsf{sol}_n(\mathbf{t}, \mathcal{O}, \mathcal{D})$.

```
procedure find-Plan(\mathcal{O}, \mathbf{t}, \mathcal{D})
 return seek-plan(\mathcal{O}, \mathbf{t}, \mathcal{D}, nil)
end find-Plan
procedure seek-Plan(\mathcal{O}, \mathbf{t}, \mathcal{D}, p)
 1. if t = nil then return the list (p)
 2. t := the first task in t; R := the remaining tasks
 3. if t is primitive then
    4. q := simplePlan(t, \mathcal{O})
    5. if q \neq FAIL
      6. return seek-plan(q(\mathcal{O}), R, \mathcal{D}, append(p, q))
    7. else return FAIL
  8. else
    9. Reds = setSimpleReductions(t, \mathcal{O})
     10. for every r in Reds
      11. ans = seek-plan(\mathcal{O}, append(r, R), \mathcal{D}, p)
      12. if ans \neq FAIL then return ans
     13. end for
  14. return FAIL
end if
end
```

Figure 1: SHOP's ordered task decomposition algorithm.

Base step (n = 0): Thus, $\mathbf{t} = \emptyset$ and SHOP returns the empty plan (step 1), which is in $\mathsf{sol}_0(\mathbf{t}, \mathcal{O}, \mathcal{D})$.

Induction step (n = k+1): Let θ the plan returned by SHOP after n+1 iterations for t. Let t and R be the values of the assignments in step 2 at iteration 1 of SHOP.

Case 1. if **t** is primitive, then $\theta = q\theta'$, where q is a simple plan for **t** (steps 3-6) and θ' is a plan for R. By induction hypothesis $\theta' \in \mathsf{sol}_k(R, \mathcal{O}, \mathcal{D}) \mathsf{sol}_k(\mathbf{R}, \mathcal{O}, \mathcal{D})$ and because **t** is primitive $\{q\} = comp(t, \mathcal{O}, \mathcal{D}) = \mathsf{sol}_1(\mathbf{t}, \mathcal{O}, \mathcal{D})$. Thus, $q\theta' \in \mathsf{sol}_{k+1}(\mathbf{R}, \mathcal{O}, \mathcal{D})$.

Case 2. If t is compound, then let ans be the successful plan meeting the condition of step 12 and let r be the corresponding reduction. Because ans is generated in k steps and ans is in $\mathsf{sol}_{SHOP}(\mathbf{R}, \mathcal{O}, \mathcal{D})$, then, $ans \in \mathsf{sol}_k(\mathbf{R}, \mathcal{O}, \mathcal{D})$ holds. Thus, $ans \in \mathsf{sol}_{k+1}(R, \mathcal{O}, \mathcal{D})$. Clearly, ans is equal to θ because $\mathsf{sol}_{SHOP}((\mathbf{tR}), \mathcal{O}, \mathcal{D})$ is equal to $\mathsf{sol}_{SHOP}((\mathbf{rR}), \mathcal{O}, \mathcal{D})$.

Theorem 2 (Completeness) SHOP is complete, i.e.

$$sol(\mathbf{t}, \mathcal{O}, \mathcal{D}) \subseteq sol_{SHOP}(\mathbf{t}, \mathcal{O}, \mathcal{D}).$$

```
procedure setSimpleReductions(\mathbf{t},\mathcal{O})
Assign the empty set to Res
for every method m, (: Meth h Pre \mathbf{t}), with \mu the most general unifier of h and \mathbf{t} and m applicable to \mathbf{t} relative to \mathcal{O}
for every substitution \theta in instances(Pre,\mathcal{O})
add \mathbf{t}\mu\theta into Res
end for end for return Res
end setSimpleReductions
```

Figure 2: Algorithm for simple reductions.

```
procedure simplePlan(\mathbf{t}, \mathcal{O})
if there is an operator op = (: \mathbf{Op} \ h \ \mathcal{D} \ A) with \nu
the most general unifier of h and \mathbf{t} then
apply(op \ \nu, \mathcal{O})
return op \ \nu
else
return FAIL
end if
end simplePlan
```

Figure 3: Algorithm for simple plan.

Proof: We will show by induction that if $\theta \in \mathsf{sol}_n(\mathsf{t}, \mathcal{O}, \mathcal{D})$ holds, then θ is generated by SHOP in at most n iterations.

Base step (n = 0): Thus, θ is the empty plan which is generated in 0 iterations of SHOP (step 1).

Induction step (n = k+1): Let θ be a plan in $sol_{k+1}(\mathbf{t}, \mathcal{O}, \mathcal{D})$.

Case 1. If θ is in $sol_k(\mathbf{t}, \mathcal{O}, \mathcal{D})$, θ is generated by SHOP in at most k steps by induction hypothesis.

Case 2. If θ is not in $\operatorname{sol}_k(\mathbf{t}, \mathcal{O}, \mathcal{D})$, there exists \mathbf{t}' in $\operatorname{red}(\mathbf{t}, \mathcal{O}, \mathcal{D})$ such that θ is in $\operatorname{sol}_k(\mathbf{t}', \mathcal{O}, \mathcal{D})$. By induction hypothesis θ is generated in at most k steps by SHOP to solve d'. Let $\mathbf{t} = (tR)$, where \mathbf{t} is a compound task reduced with \mathbf{t}' . Thus, \mathbf{t}' must be one of the simple reductions for \mathbf{t} generated at step 9. As a result, θ is one of the possible answers of step 11 meeting the condition of step 12 and, thus, an answer of SHOP at k+1 iterations for \mathbf{t} .

Notice that in the soundness and completeness of the SHOP algorithm we implicitly assumed that the centralized state requirement was satisfied: this assumption was present in the use of the function $instances(Pre, \mathcal{O})$ in Figure 2

as well as for applying an operator to transform the state (i.e., $apply(op\nu, \mathcal{O})$ in Figure 3). This requirement is clearly satisfied in typical AI planning systems where the instances are found by examining the state, which is stored in local memory together with the planning algorithm. In our framework, however, the knowledge about the state is distributed between several information sources that are not even required to use a uniform language. Thus, we need to re-define how SHOP evaluates its conditions and applies its operators. More concretely, in the section after the next, we will state conditions to guarantee that $instances(Pre, \mathcal{O})$ and $apply(op\nu, \mathcal{O})$ can be evaluated.

4 An Architecture for Agent Integration: *IM-PACT*

Most existing work on agents has assumed a purely logical description of agents. This is very similar to most planning systems: the underlying representation language is often first order logic (or a subset thereof) and other data can not be incorporated easily.

The IMPACT project (see http://www.cs.umd.edu/projects/impact/) [Subrahmanian et al., 2000, Eiter et al., 1999, Eiter and Subrahmanian, 1999]) aims at developing a powerful and flexible, yet easy to handle framework for the interoperability of distributed heterogeneous sources of information. A methodology for transforming arbitrary software (legacy code) into an agent has been developed. Agents act in their environment according to a well defined decision policy. The actions an agent can take and the program to encode the agent's behavior are specified by the user through the IMPACT Agent Development Environment. The main cycle an IMPACT agent goes through depends on the notion of state of an agent. An IMPACT agent takes actions based on its current state, its agent program and a chosen semantics. It then executes these actions concurrently according to a specified notion of concurrency.

IMPACT agents are built on top of arbitrary software code. We view such code formally as a triple $S =_{def} (\mathcal{T}_{\mathcal{S}}, \mathcal{F}_{\mathcal{S}}, \mathcal{C}_{\mathcal{S}})$ where:

- 1. $\mathcal{T}_{\mathcal{S}}$ is the set of all data types managed by \mathcal{S} ,
- 2. $\mathcal{F}_{\mathcal{S}}$ is a set of predefined functions which makes access to the data objects managed by the agent available to external processes, and
- 3. C_S is a set of type composition operations. A type composition operator is a partial n-ary function c which takes as input types τ_1, \ldots, τ_n and yields as a result a type $c(\tau_1, \ldots, \tau_n)$. As c is a partial function, c may only be defined for certain arguments τ_1, \ldots, τ_n , i.e., c is not necessarily applicable on arbitrary types.

Intuitively, $\mathcal{T}_{\mathcal{S}}$ is the set of all data types that are managed by the agent. $\mathcal{F}_{\mathcal{S}}$ intuitively represents the set of all function calls supported by the package \mathcal{S} 's application programmer interface (API). $\mathcal{C}_{\mathcal{S}}$ is the set of ways of creating new data types from existing data types.

Definition 8 (State of an Agent) At any given point t in time, the state of an agent, denoted $\mathcal{O}_{\mathcal{S}}(t)$, is the set of all objects the agent currently has—the types of these objects must be either in the base set of types in $\mathcal{T}_{\mathcal{S}}$ or must be a type obtained by composition using the composition operations in $\mathcal{T}_{\mathcal{S}}$.

Suppose we consider a body $S = (\mathcal{T}_S, \mathcal{F}_S)$ of software code that an agent is built on top of. For the agent to perform logical reasoning on top of such third party data structures and code, the agent must have a language within which it can reason about the agent state. In this section, we introduce the important concept of a *code call atom*—this is the basic syntactic object used to access multiple heterogeneous data sources.

Given any type $\tau \in \mathcal{T}_{\mathcal{S}}$, we assume that there is a set $Var(\tau)$ of variable symbols ranging over τ . If $\mathbf{X} \in Var(\tau)$ is such a variable symbol, and if τ is a complex record type having fields $\mathbf{f_1}, \ldots, \mathbf{f_n}$, then we require that $\mathbf{X}.\mathbf{f_i}$ be a variable of type τ_i where τ_i is the type of field $\mathbf{f_i}$. In the same vein, if $\mathbf{f_i}$ itself has a sub-field g of type γ , then $\mathbf{X}.\mathbf{f_i}.\mathbf{g}$ is a variable of type γ , and so on. In this case, we call \mathbf{X} a root-variable, and the variables $\mathbf{X}.\mathbf{f_i}, \mathbf{X}.\mathbf{f_i}.\mathbf{g}$, etc. path-variables. For any path variable \mathbf{Y} of the form $\mathbf{X}.\mathbf{path}$, where \mathbf{X} is a root variable, we refer to \mathbf{X} as the root of \mathbf{Y} , denoted by $root(\mathbf{Y})$; for technical convenience, $root(\mathbf{X})$, where \mathbf{X} is a root variable, refers to itself.

Definition 9 (Code Call $S: f(d_1, \ldots, d_n)$) Suppose $S =_{def} (\mathcal{T}_S, \mathcal{F}_S, \mathcal{C}_S)$ is some software code and $f \in \mathcal{F}_S$ is a predefined function with n arguments, and d_1, \ldots, d_n are objects or variables such that each d_i respects the type requirements of the i'th argument of f. Then,

$$S: f(d_1, \ldots, d_n)$$

is a code call. A code call is ground if all the di's are objects.

Intuitively, the syntactic string $\mathcal{S}: f(d_1, \ldots, d_n)$ may be read as: execute function f as defined in package \mathcal{S} on the arguments d_1, \ldots, d_n .

We assume that the output signature of any code call is an object of type set.

In general, as we will see later, code calls are executable when they are ground. Thus, non-ground code calls must be *instantiated* prior to attempts to execute them.

A code call according to Definition 9 executes an API function and returns as output a set of objects of the appropriate output type. Code-call atoms are logical atoms that are layered on top of code-calls. They are defined through the following inductive definition.

Definition 10 (Code Call Atom in(X, cc)) If cc is a code call, and X is either a variable symbol, or an object of the output type of cc, then in(X, cc) and $not_in(X, cc)$ are code call atoms.

 $\mathbf{not_in}(X, cc)$ succeeds just in case X is \mathbf{not} in the set of objects returned by the code call cc.

Code call atoms, when evaluated, return boolean values, and thus may be thought of as special types of logical atoms. Intuitively, a code call atom of the form in(X, cc) succeeds just in case X can be set to a pointer to one of the objects in the set of objects returned by executing the code call.

We will now define *code call conditions*. Intuitively, a code call condition is nothing more than a conjunction of atomic code calls, with some additional syntax that "links" together variables occurring in the atomic code calls. The following definition expresses this intuition.

Definition 11 (Code Call Condition χ) A code call condition χ is defined as follows:

- 1. Every code call atom is a code call condition.
- 2. If s, t are either variables or objects, then s = t is a code call condition.
- 3. If s,t are either integer/real valued objects, or are variables over the integers/reals, then $s < t, s > t, s \ge t, s \le t$ are code call conditions.
- 4. If χ_1, χ_2 are code call conditions, then $\chi_1 \& \chi_2$ is a code call condition.

A code call condition satisfying any of the first three criteria above is an atomic code call condition.

The following definition specifies what a *solution* of a code call condition is. Intuitively, code call conditions are evaluated against an agent state—if the state of the agent changes, the solution to a code call condition may also undergo a change.

Definition 12 (Code Call Solution) Suppose χ is a code call condition involving the variables $\mathbf{X} =_{def} \{X_1, \ldots, X_n\}$, and suppose $\mathcal{S} =_{def} (\mathcal{T}_{\mathcal{S}}, \mathcal{F}_{\mathcal{S}}, \mathcal{C}_{\mathcal{S}})$ is some software code. A solution of χ w.r.t. $\mathcal{T}_{\mathcal{S}}$ in a state $\mathcal{O}_{\mathcal{S}}$ is a legal assignment of objects o_1, \ldots, o_n to the variables X_1, \ldots, X_n , written as a compound equation $\mathbf{X} := \mathbf{o}$, such that the application of the assignment makes χ true in state $\mathcal{O}_{\mathcal{S}}$.

We denote by $Sol(\chi)_{\mathcal{T}_S,\mathcal{O}_S}$ (omitting subscripts \mathcal{O}_S and \mathcal{T}_S when clear from the context), the set of all solutions of the code call condition χ in state \mathcal{O}_S , and by $\mathcal{O}_Sol(\chi)_{\mathcal{T}_S,\mathcal{O}_S}$ (where subscripts are occasionally omitted) the set of all objects appearing in $Sol(\chi)_{\mathcal{T}_S,\mathcal{O}_S}$

Definition 13 (Action; Action Atom) An action α consists of six components:

Name: A name, usually written $\alpha(X_1, \ldots, X_n)$, where the X_i 's are root variables.

Schema: A schema, usually written as (τ_1, \ldots, τ_n) , of types. Intuitively, this says that the variable X_i must be of type τ_i , for all $1 \le i \le n$.

Action Code: This is a body of code that executes the action.

Pre: A code-call condition χ , called the precondition of the action, denoted by $Pre(\alpha)$ ($Pre(\alpha)$ must be safe modulo the variables X_1, \ldots, X_n);

Add: a set $Add(\alpha)$ of code-call conditions;

Del: a set $Del(\alpha)$ of code-call conditions.

We close this section with the definition of action atom. An action atom is a formula $\alpha(t_1, \ldots, t_n)$, where t_i is a term, i.e., an object or a variable, of type τ_i , for all $i = 1, \ldots, n$.

5 IMPACTing SHOP

SHOP is an efficient HTN planner. SHOP works by applying methods to compound tasks to decompose them into simpler tasks, and keeps decomposing tasks until primitive tasks are reached that can be executed directly using operators (see [Nau et al., 1999] for details). The integration of SHOP with the IMPACT multi-agent environment requires three steps:

- 1. Replace the atoms in *SHOP*'s preconditions, add-lists, and delete-lists with code call conditions.
- 2. Agentize SHOP so that it can communicate with other IMPACT agents.
- 3. Make adjustments to SHOP's planning algorithm.

5.1 Modifying SHOP's Atoms

The first step is to modify the atoms in SHOP's preconditions and effects, so that SHOP's preconditions will be evaluated by IMPACT's code call mechanism and the effects will change the state of the IMPACT agents. This is a fundamental change in the representation of SHOP. In particular, it requires replacing SHOP's methods and operators with agentized methods and operators. These are defined below.

Definition. An agentized method is an expression (: **AgentMeth** $h \chi t$) where h (the method's head) is a compound task, χ (the method's preconditions) is a code call condition and t is a task list.

The definition of agentized method differs from the definition of method in SHOP in that the preconditions are IMPACT's code call conditions.

Definition. An agentized operator is an expression (: **AgentOp** $h \chi_{add} \chi_{del}$), where h (the head) is a primitive task and χ_{add} and χ_{del} are code call conditions (called the add- and delete-lists). The set of variables in the tasks in χ_{add} and χ_{del} is a subset of the set of variables in h.

Agentized operators differ from SHOP's operators in that the add- and delete-lists are code call conditions.

Figure 4 shows a method for our application to military logistics planning. The method indicates how to transport a cargo that has a certain weight between 2 locations. The method calls the statistics agent three times, in order to evaluate the distance between two geographic locations, the authorized range of a certain aircraft type (the authorized range is lower than the real distance that the aircraft can fly), and the authorized capability (in metric tones) of an aircraft. The method calls the supplier agent to evaluate the cargo planes that are available at a location.

```
Head:

AirTransport(LocFrom, LocTo, Cargo, CargoWeight)

Preconditions:

in(CargoPL, supplier: cargoPlane(locFrom))&
in(Dist, statistics: distance(locFrom, locTo))&
in(DCargoPL, statistics: authorRange(CargoPL))&
Dist ≤ DCargoPL&
in(CCargoPL, statistics: authorCapacity(CargoPL))&
CargoWeight ≤ CCargoPL&

Subtasks:
load(Cargo, locFrom)
fly(Cargo, locFrom, LocTo)
unload(Cargo, locTo)
```

Figure 4: Agentized method for a military logistics problem.

5.2 Agentizing SHOP

To agentize SHOP, we can use the general-purpose agentizing algorithm described in [Subrahmanian et al., 2000]. This enables SHOP to communicate with other IMPACT agents and vice-versa. The algorithm basically outputs a protocol that presents the procedure calls of the software in a standardized format that allows other agents to communicate with it. For the particular situation of a planning system the protocol includes a call to a procedure that receives as input a problem description and outputs a solution plan (please refer to [Subrahmanian et al., 2000] for a detailed discussion of the algorithm).

5.3 A-SHOP: Modifying the SHOP Algorithm

On the top level, the A-SHOP algorithm is the same as the SHOP algorithm (see Figure 1). The first subtask is analyzed (step 2). If the task is primitive (step 3), a simple plan is obtained if possible (steps 4 and 5) and the process continues with the remaining tasks (step 6). If the task is compound (step 8), subtasking is performed if possible (steps 9–14).

There are two changes that must be made to the SHOP algorithm at a lower level when evaluating $simplePlan(\mathbf{t}, \mathcal{O})$ (Figure 3) and $setSimpleReductions(\mathbf{t}, \mathcal{O})$ (Figure 2). That is, when applying an operator and evaluating a method's preconditions:

Change to $simplePlan(t, \mathcal{O})$: $apply (op \nu, \mathcal{O})$ now means to apply the changes indicated in the actions. i.e. execute the code of each action (Definition 13) to make sure the add- and delete-lists are taken into account. Note that in the simplest case, such code could be just the update described by

$$h^{\nu}(\mathcal{O}) =_{def} (\mathcal{O} - Del \nu) \cup Add \nu,$$

whereas it could also be a sophisticated implementation for manipulating the data objects of the software the agent is built upon.

Change to $setSimpleReductions(t, \mathcal{O})$: the call to $instances(precs(m), \mathcal{O})$ now evaluates a code call condition in IMPACT instead of a collection of predicates directly in the state. As explained in the previous section, evaluating a code call condition reduces to evaluating code call atoms of the form in(X, cc), where cc is a code call accessing external data sources. These code calls are executed only as needed and we have to make sure (using appropriate syntactical conditions) that code calls (1) can be executed and (2) return a finite answer. We explain in the next section how we accomplish this for agents based on arbitrary software \mathcal{S} .

Completeness and Correctness of A-SHOP. The changes indicated above ensure that the proofs of soundness and correctness for SHOP are valid provided that we can establish conditions under which the evaluating IMPACT's actions and code call conditions can be evaluated. This will be discussed later.

6 Example of an Application Domain

Military logistics planning is an example of a domain where the SHOP-IMPACT framework can be very useful. In particular with respect to logistics planning for the US Armed Forces: first, information about the different assets is not centralized, second, the information sources are heterogeneous, comprising different database management systems (DBMS).

Figure 5 shows some of the code-calls for this application. The first three code-calls access the agent statistics and return the *distance* between two geographic locations, the *authorized range* of a certain aircraft type (the authorized range is lower than the real distance that the aircraft can fly), and the

```
statistics: distance(loc1,loc2)
statistics: authorRange(aircraft)
statistics: authorCapacity(aircraft)
supplier: cargoPlane(loc)
```

Figure 5: Code-calls in the military logistics domain.

authorized capability (in metric tones) of an aircraft. The last code-call accesses the agent supplier and returns the cargo planes that are available at a location.

Figure 4 illustrates a simple agentized method which mounts a cargo in an airplane provided that the airplane has the adequate range and capacity.

7 Evaluating Code-call Conditions and Applying Actions in *IMPACT*

We have seen that the code call mechanism of *IMPACT* transfers data in arbitrary format (in fact arbitrary software calls) into a logical representation, which can be used in the planning process for *SHOP*. This allows us to formulate code call conditions: as statements in a logical language referring to arbitrary software functions.

To evaluate code call conditions (i.e., to determine whether they hold or not), we have to impose various restrictions on the underlying code calls. We have to ensure that code calls are

- 1. executable (i.e., return an answer),
- 2. only return finitely many answers.

Let us illustrate these problems with suitable examples.

Example 1 (Executable Code Call, Part 1) We consider a software package math which provides several functions to handle integers (most agents will have available similar packages to do simple calculations). The code call math: geq(X) enumerates all integers greater or equal to X.

The code call math: geq(X) is not executable, because it is not ground. Only if the variable X is assigned a certain value, the code call can be executed.

To ensure that code calls are always ground, we introduce the following safety condition:

Definition 14 (Safe Code Call (Condition)) A code call $S: f(d_1, \ldots, d_n)$ is safe if and only if each d_i is ground. A code call condition $\chi_1 \& \ldots \& \chi_n$, $n \ge 1$, is safe if and only if there exists a permutation π of χ_1, \ldots, χ_n such that for every $i = 1, \ldots, n$ the following holds:

- 1. If $\chi_{\pi(i)}$ is a comparison s_1 op s_2 , then
 - 1.1 at least one of s_1 , s_2 is a constant or a variable X such that root(X) belongs to $RV_{\pi}(i) = _{def} \{ root(Y) \mid \exists j < i \text{ s.t. Y occurs in } \chi_{\pi(j)} \};$
 - 1.2 if s_i is neither a constant nor a variable X such that $root(X) \in RV_{\pi}(i)$, then s_i is a root variable.
- 2. If $\chi_{\pi(i)}$ is a code call atom of the form $\mathbf{in}(\mathbf{X}_{\pi(i)}, \mathtt{cc}_{\pi(i)})$ or $\mathbf{not_in}(\mathbf{X}_{\pi(i)}, \mathtt{cc}_{\pi(i)})$, then the root of each variable \mathbf{Y} occurring in $\mathtt{cc}_{\pi(i)}$ belongs to $RV_{\pi}(i)$, and either $\mathbf{X}_{\pi(i)}$ is a root variable, or $\mathrm{root}(\mathbf{X}_{\pi(i)})$ is from $RV_{\pi}(i)$.

Intuitively, a code call is safe if we can reorder the code call atoms occurring in it in such a way that we can evaluate these atoms left to right, assuming that root variables are incrementally bound to objects.

In [Eiter et al., 1999, Eiter and Subrahmanian, 1999, Subrahmanian et al., 2000] the authors developed algorithms to check safety for a given code call condition. Safety is a *compile-time* check that ensures that all code calls generated at *run-time* have instantiated parameters. However, executability of a code call condition does not depend solely on safety, as the next example shows.

Example 2 (Executable Code Call, Part 2) We consider the code call

```
\mathbf{in}(\mathbf{X}, \, \mathbf{math} : geq(25)) \, \& \\ \mathbf{in}(\mathbf{Y}, \, \mathbf{math} : square(\mathbf{X})) \, \& \\ \mathbf{Y} < 2000,
```

which constitutes all numbers that are less than 2000 and that are squares of an integer greater than or equal to 25.

Clearly, over the integers there are only finitely many ground substitutions that cause this code call condition to be true. Furthermore, this code call condition is safe. However, its evaluation may never terminate. The reason for this is that safety requires that we first compute the set of all integers that are greater than 25, leading to an infinite computation. This means that in general, we must impose some restrictions on code call conditions to ensure that they are finitely evaluable.

Indeed, it is well-known that deciding whether or not a function is finite is undecidable, therefore we assume that the developer of an agent examines the code calls supported by a given data structure and specifies which of them are finite and which are not.

Note that the following definitions are important for the general case, when an agent is build upon arbitrary code. For most applications, like our military logistics domain, the safety requirement is completely sufficient.

Definition 15 (Binding Pattern) Suppose we consider a code call $S: f(a_1, \ldots, a_n)$ where each a_i is of type τ_i . A binding pattern for $S: f(a_1, \ldots, a_n)$ is an n-tuple (bt_1, \ldots, bt_n) where each bt_i (called a binding term) is either:

- 1. A value of type τ_i , or
- 2. The expression b denoting that this argument is bound to an unknown value.

We require that the agent developer must specify a *finiteness* predicate that may be defined via a *finiteness table* having two columns—the first column is the name of the code call, while the second column is a binding pattern for the function in question. Intuitively, suppose we have a row of the form

$$\langle \mathcal{S}: f(\mathbf{a}_1, \mathbf{a}_2, \mathbf{a}_3), (\flat, 5, \flat) \rangle$$

in the finiteness table. Then this row says that the answer returned by any code call of the form S: f(-,5,-) is finite. In other words, as long as the second argument of this code call is 5, the answer returned is finite, irrespective of the values of the first and third arguments. Clearly, the same code call may occur many times in a finiteness table with different binding patterns.

Definition 16 (Ordering on Binding Patterns) We say a binding pattern (bt_1, \ldots, bt_n) is equally or less informative than another binding pattern (bt'_1, \ldots, bt'_n) if, by definition, for all $1 \le i \le n$, $bt_i \le bt'_i$.

We will say (bt_1, \ldots, bt_n) is less informative than (bt'_1, \ldots, bt'_n) if and only if it is equally or less informative than (bt'_1, \ldots, bt'_n) and (bt'_1, \ldots, bt'_n) is not equally or less informative than (bt_1, \ldots, bt_n) . If (bt'_1, \ldots, bt'_n) is less informative than (bt_1, \ldots, bt_n) , then we will say that (bt_1, \ldots, bt_n) is more informative than (bt'_1, \ldots, bt'_n) .

Suppose now that the developer of an agent specifies a finiteness table FINTAB. The following definition specifies what it means for a specific code call atom to be considered finite w.r.t. FINTAB.

Definition 17 (Finiteness) Suppose FINTAB is a finite finiteness table, and (bt_1, \ldots, bt_n) is a binding pattern associated with the code call $S: f(\cdots)$. Then FINTAB is said to entail the finiteness of $S: f(bt_1, \ldots, bt_n)$ if, by definition, there exists an entry of the form $\langle S: f(\ldots), (bt'_1, \ldots, bt'_n) \rangle$ in FINTAB such that (bt_1, \ldots, bt_n) is more informative than (bt'_1, \ldots, bt'_n) .

Definition 18 (Strong Safety) A safe code call condition $\chi = \chi_1 \& \dots \& \chi_n$ is strongly safe w.r.t. a list \vec{X} of root variables if, by definition, there is a permutation π witnessing the safety of χ modulo \vec{X} such that for each $1 \le i \le n$, $\chi_{\pi(i)}$ is strongly safe modulo \vec{X} , where strong safety of $\chi_{\pi(i)}$ is defined as follows:

- 1. $\chi_{\pi(i)}$ is a code call atom.
 - Here, let the code call of $\chi_{\pi(i)}$ be $\mathcal{S}: f(t_1, \ldots, t_n)$ and let the binding pattern
 - $S: f(bt_1, ..., bt_n)$ be defined as follows:
 - (a) If t_i is a value, then $bt_i = t_i$.

(b) Otherwise t_i must be a variable whose root occurs either in \vec{X} or in $\chi_{\pi(j)}$ for some j < i. In this case, $bt_i = \flat$.

Then, $\chi_{\pi(i)}$ is strongly safe if, by definition, FINTAB entails the finiteness of $S: f(bt_1, \ldots, bt_n)$.

- χ_{π(i)} is s ≠ t.
 In this case, χ_{π(i)} is strongly safe if, by definition, each of s and t is either a constant or a variable whose root occurs either in X or in χ_{π(j)} for some j < i.</p>
- 3. $\chi_{\pi(i)}$ is s < t or $s \le t$. In this case, $\chi_{\pi(i)}$ is strongly safe if, by definition, t is either a constant or a variable whose root occurs either in \vec{X} or somewhere in $\chi_{\pi(j)}$ for some j < i.
- 4. $\chi_{\pi(i)}$ is s > t or $s \ge t$. In this case, $\chi_{\pi(i)}$ is strongly safe if, by definition, t < s or $t \le s$, respectively, are strongly safe.

Algorithms to check strong safety are developed in [Subrahmanian et al., 2000]. We can now discuss the conditions for preserving soundness and completeness in A-SHOP. Consider the agentized method shown in Figure 4. It is reasonable to assume that the developer has defined FINTAB to entail code calls such as supplier: cargoPlane(locFrom). This means that the rows of FINTAB will have the form $\langle supplier: cargoPlane(X), \langle b \rangle \rangle$, which says that calling any of these code calls with any values will succeed. Under these circumstances, it is easy to see that the method's precondition is strongly safe provided that the arguments of the method's head are instantiated. The reason is that the variable CargoPL is instantiated before DCargoPl and CCargoPl. Thus the code call is always ground.

We close this section by stating the conditions under which we can ensure the soundness and correctness of SHOP in IMPACT's multi-agent environment. Our next theorem ensures the evaluation of agentized methods under the strongly safeness condition.

Theorem 3 Let \mathcal{O} be a state, (: **AgentMeth** $h \chi \mathbf{t}$) an agentized method and (: **AgentOp** $h \chi_{add} \chi_{del}$) an agentized operator. If the precondition χ is strongly safe, the problem of deciding whether χ holds in \mathcal{O} can be algorithmically solved. If the add and delete-lists χ_{add} and χ_{del} are strongly safe, the problem of applying the agentized operator to \mathcal{O} can be algorithmically solved.

The next result is the main theorem in this paper: it states the correctness and completeness of SHOP if code-calls in methods and operators are strongly safe.

Theorem 4 Let \mathcal{O} be a state and \mathcal{D} be a collection of agentized methods and operators. If all the preconditions in the agentized methods and add and deletelists in the agentized operators are strongly safe, then A-SHOP is correct and complete.

8 Implementation

The complete version of SHOP is built in LISP and includes the abilities to do Horn-clause inferencing and to make calls for the LISP evaluator. The former one is used to infer conditions from the current state and the latter one is used to add expressiveness during planning. For example, SHOP can compute numerical expressions. SHOP can be downloaded from the <URL:http://www.cs.umd.edu/projects/shop/>

A version of *IMPACT* is running on a Windows platform. This version has been built primarily in JAVA. The implementation of *IMPACT* uses a pre-existing software package developed at the University of Maryland called *Web-Hermes* [Adali, S., et al., 1997] which supports execution of code call conditions over a wide variety of data structures and software packages. These currently include (or have included in the past), relational database management systems (Oracle, Ingres, Dbase, Paradox), an object oriented system (ObjectStore), a multimedia system called MACS [Brink et al., 1995], a video information system called AVIS [Adali et al., 1996], a geographic data structure called a PR-quadtree, arbitrary flat files (as long as their schemas are specified), a US Army route planner over free terrain [Benton and Subrahmanian, 1994], a variety of US Army logistics data including specialized Oracle and nested multirecord TAADS data [Schafer et al., 1998], a variety of US Army simulation data from a massive program called JANUS deployed by the Simulation, Training and Instrumentation Command, a face recognition program, and so on.

To facilitate the integration of SHOP in IMPACT, we re-implemented SHOP in JAVA. The java version of SHOP include neither the Horn clause evaluator nor the calls to the LISP evaluator. However, such things could easily be added through the use of the IMPACT framework, without needing any modifications to the current JAVA implementation of SHOP. In particular, we could take a theorem prover, agentize it using IMPACT methods and call the agent using an appropriate code-call condition ([Subrahmanian et al., 2000] describes a step by step process to agentize a program and incorporate it as an agent into IMPACT). The same can be done for evaluations. In particular, a mathematical agent, math, is currently available in IMPACT to evaluate some numerical expressions.

We have built a communication module from SHOP to the IMPACT multiagent environment that allows the execution of code-call conditions in IMPACT starting from SHOP. We are currently building a second communication module that communicates the results of the execution of IMPACT's actions back into SHOP.

9 Discussion

Note that A-SHOP does not have any information about the state stored locally as it is usual in AI planning. However, we could if needed simulate having a local state by simply defining an agent that manages the state and having all code call conditions refer to that agentized state. Intermediate approaches such as Knoblock's (1996) which updates the current state by gathering information from external sources can also be subsumed in our integration: again we could have an specialized agent managing the partial state of the world.

The use of IMPACT's code call atoms differs from the built-in predicates of other approaches in that, first, code calls provide a well-defined semantics which allows us to state the conditions for preserving soundness and completeness of SHOP. Second, the integration of SHOP in the IMPACT environment allows us to address the challenges stated in the introduction:

- Mixed symbolic/numeric reasoning. Notice that the precondition of the method shown in Figure 4 supposes a combination symbolic and numeric reasoning. On the one hand, this method is used as a means for decomposing the task, AirTransport(LocFrom, LocTo, Cargo, CargoWeight), which is essentially a symbolic process. On the other hand, some of its preconditions are numerical comparisons (i.e., Dist ≤ DCargoPL&). This is a simple illustration of a greater potential: by decoupling the evaluation of preconditions from the planning process itself we are gaining flexibility. Specialized agents performing complex numerical information can be plugged in.
- Distributed, heterogeneous information sources. One important effect of integrating A-SHOP within IMPACT is that it allows to gather information from distributed, heterogeneous information sources without requiring knowledge about how and where these resources are located. For example, in the method shown in Figure 4 determining the statistics of a certain airplane may simply require access to a local database whereas determining if any such airplanes are available in a certain location may require access a remotely located spreadsheet. Recently, it has been observed that handling resources separate from the planning process may improve the performance [Srivastava and Kambhampati., 1999].
- Coordination of multiple agents. Every time A-SHOP does a code call, a request to contact an external agent is made, the IMPACT multiagent environment coordinates this process. In principle, this could be used not only to communicate A-SHOP with the other agents, but also to coordinate multiple versions of A-SHOP itself. We have not yet implemented multiple copies of A-SHOP running concurrently, but we hope to do so in the near future.

10 Conclusion

We have developed A-SHOP, a modified version of the SHOP planning algorithm that takes advantage of the capabilities provided by the IMPACT multiagent environment. A-SHOP can plan with heterogeneous, distributed information sources, combine symbolic and numerical information and interact with multiple agents.

In A-SHOP, SHOP's preconditions, add-lists and delete-lists are replaced with code call conditions. IMPACT's code call conditions provide a well-defined syntax and most important they also provide a well-defined semantics. In particular, we have shown that A-SHOP is sound and complete provided that the code calls are strongly safe.

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