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# Active disturbance rejection control of three-phase LCL power conversion system under non-ideal grid conditions

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Under non-ideal grid operating conditions such as unbalanced grid voltage and harmonic grid that are commonly found in microgrid conditions, the negative sequence components of the microgrid voltage interfere with the active and reactive current controls in the power conversion system, leading to an increase in the harmonic content of the grid-side current and affecting the power quality of the microgrid system. To solve these problems, firstly, the mathematical model of the LCL-type power conversion system is analyzed, and a linear active disturbance rejection control based on model compensation is designed. Secondly, the influence of non-ideal power grid conditions on the control of the LCL-type power conversion system is analyzed, and the active disturbance rejection control strategy of the LCL-type power conversion system based on frequency-locked loops with harmonic cancellation modules (HCM-FLL) is proposed, which speeds up the system, improves the system's robustness, and reduces the harmonic content of the network measurement current under the condition of power grid voltage unbalance and harmonic power grid. Finally, by using the verification of MATLAB/Simulink simulation, the current power quality obviously under the condition of voltage unbalance and harmonic power grid is evidently improved by the proposed control strategy. When compared to the traditional control methods, the control strategy proposed in this study features a simple control structure, making it easy to implement in engineering without requiring high controller performance or additional circuits. This reduces design costs and provides a wide range of controller parameters, ensuring strong antiinterference performance without the need for frequent controller parameter adjustments.

#### KEYWORDS

microgrid system, non-ideal grid, LCL-type power conversion system, LADRC, DSOGI-FLL

# **1** Introduction

The microgrid system realizes the efficient utilization and flexible control of distributed energy resources. As an important subsystem of the microgrid system, the energy storage system provides continuous and reliable power supply for the load in the microgrid system and ensures good power quality (Che et al., 2015; Xin et al., 2015; Wu et al., 2019). The LCL-type power conversion system (LCL-type PCS) is becoming more and more popular in the construction of the microgrid due to its effective filtering and small size.

Under ideal grid conditions, the control of the LCL-type PCS is relatively mature, and the output power quality can be significantly improved by adjusting the PI parameters (Gao

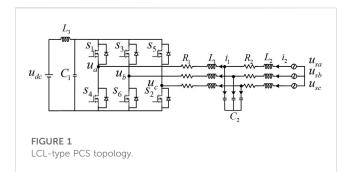
et al., 2021) or improving the current controller (Errouissi and Al-Durra, 2018) by reasonable methods. However, in the actual operation, the microgrid often has non-ideal phenomena such as grid voltage unbalance and harmonic pollution. If the traditional vector control is adopted, the PCS output current will have serious unbalance and harmonic distortion, while the output active power and reactive power will also fluctuate, which will further pollute the microgrid and reduce the reliability of the operation of the microgrid system. Therefore, it is necessary to study the high-performance control strategy of the PCS under non-ideal power grids.

Li et al. (2021) adopted the traditional PI controller, using the positive- and negative-sequence rotating coordinate system (frame) and multiple filters to separate the positive and negative components for separate control, so as to suppress the influence of the non-ideal power grid on the inverter. The method is easy to implement, a mature technology that is widely used in engineering, but its control structure is complex, the amount of calculation is large, and it is easy to cause deterioration of the dynamic performance of the system. Jin et al. (2016)studied the model predictive control strategy of a threephase three-level neutral point clamped (NPC) grid-connected inverter under unbalanced power grid, which improves the dynamic performance of the system. Li Z. et al. (2020) proposed a deadbeat predictive power control (DPPC) based on fuzzy PI composite controller and power prediction corrector, which realizes the stable control of a PWM rectifier under grid unbalance. The scheme proposed in Jin et al. (2016) and Li Z. et al. (2020) can achieve better control effects under the non-ideal power grid, but the controller designed by these two methods has a large amount of computation during the operation, which requires high hardware computing power that increases the system design cost. Xiong et al. (2021) proposed a strategy based on the frequency trajectory planning to improve the frequency stability of the inverter droop control in non-ideal power grids, but it is less robust to voltage-amplitude transformation and harmonic distortion. Ma et al. (2020) proposed an LCL-type grid-connected inverter control scheme based on the active disturbance rejection control and proposed a system parameter design method based on root locus analysis, which improves the stability of the grid-connected inverter under high-inductive anti-grid conditions but lacks the analysis of voltage unbalance and harmonic distortion. Li S. et al. (2020) proposed a linear/non-linear active disturbance rejection switching control phase lock loop and its pre-synchronous control strategy for virtual synchronous generator grid-connected control technology, which achieves good grid-connected effects under non-ideal conditions such as grid unbalance and frequency and phase transition, but the control structure is complex, the setting parameters are large, and the engineering implementation is difficult.

In addition, under non-ideal power grids, if the phase-locked loop cannot eliminate the harmonic interference of the power grid, it will cause the PCS to run out of sync, and in severe cases, it will even lead to system shock instability. Therefore, the influence of nonideal power grids on phase-locked loops have to be considered simultaneously when designing control strategies. Pan et al. (2019) proposed a new type of phase-locked loop, which improves an improved-dual adaptive notch filter (IDANF) and multivariable filter (MVF), which can well eliminate the negative sequence components and fundamental frequency oscillations in non-ideal power grids, but the proposed phase-locked loop structure is complex and difficult to design. Shi et al. (2021) proposed a fixed-length transfer delay-based adaptive frequency-locked loop (TD-AFLL) to improve the detection accuracy of phase angle and amplitude under power grid distortion, but the phase-locked loop is computationally intensive and requires high hardware computing power. Din et al. (2020) described an improved phase-locked loop structure that ensures high phase margin and reduces the likelihood of resonant frequency in DFIG systems under weak grids. However, the phase-locked loop of this structure is less resistant to grid voltage unbalance and harmonic distortion. Liu et al. (2022b) improved the conventional synchronous reference frame (SRF)-PLL, which solves the problem of the large attenuation of DC components introduced by loads and grid disturbances in high-inductance and high-voltage transmission systems that causes the performance of PLL to degrade during transients but lacks the analysis of grid disturbances during steady-state periods. Liu et al. (2022a) proposed a robust real-time algorithm that can quickly separate the positive sequence component (PSC) from multiple decaying DC (DDC) components, DC bias component, negative-sequence component, and harmonics. This approach ensures a unit grid cycle response time while suppressing many types of interference. However, the disadvantage is that the algorithm has a complex structure and is difficult to design and set parameters.

Although there are many studies on PCS control strategies under non-ideal power grids, most of the control strategies are still very difficult in engineering applications because there are many interference factors in non-ideal power grids, and most of the related research can only propose solutions for some of them, and often the control structure is complex, the calculation amount is redundant, and the controller performance requirements are high. In order to overcome the above technical challenges, this article analyzes the influence of positive- and negative-sequence components of grid voltage on PCS current control under non-ideal grid conditions by establishing a three-phase LCL-type PCS mathematical model, a control strategy based on improved linear active disturbance rejection control (LADRC), and frequency-locked loop with harmonic cancellation module (HCM-FLL). When compared with the conventional control strategies, the control strategy proposed in this paper has the following advantages:

- (1) The proposed control strategy combines the characteristics of LADRC with simple structure, easy implementation, and strong applicability. LADRC is introduced to improve the PCS current controller, and the active and reactive currents of the PCS are effectively controlled by using the LADRC immunity compensation idea to improve the immunity performance of the controller and enhance the robustness of the system.
- (2) HCM-FLL eliminates low-frequency harmonics in harmonic grids through the pre-harmonic cancellation module and improves the frequency and phase information acquisition accuracy in harmonic power grids. At the same time, the positive- and negative-sequence calculator is used to eliminate the interaction of positive and negative components in the unbalanced power grid by controlling the positive- and negative-sequence components, respectively, and then eliminating the influence of the coupling of positive- and negative-sequence components on the output power.



# 2 Mathematical model of power conversion system for microgrid

The topological structure of the LCL-type PCS studied in this article is shown in Figure 1. The LCL-type PCS adopts a unipolar transformation topology.  $S_1 - S_6$  are six switching devices of the three-phase inverter.  $R_1$  and  $R_2$  are equivalent internal resistances of filter inductors  $L_1$  and  $L_2$ , respectively.  $L_1$ ,  $L_2$ , and  $C_2$  constitute the LCL filters of the PCS.  $L_3$  is the DC filter inductance and  $C_1$  is the DC filter capacitor.

The inductance currents  $i_{1a}$ ,  $i_{1b}$ , and  $i_{1c}$ ; grid-connected currents  $i_{2a}$ ,  $i_{2b}$ , and  $i_{2c}$ ; capacitor voltages  $u_{ca}$ ,  $u_{cb}$ , and  $u_{cc}$ ; and inverter grid-connected voltages  $u_{sa}$ ,  $u_{sb}$ , and  $u_{sc}$  are selected as the state variables, and the state equation in the dq coordinate system is obtained through coordinate transformation, as shown in Eq. 1:

$$\begin{cases} \frac{di_{1d}}{dt} = -\frac{R_1}{L_1}i_{1d} + \omega i_{1q} - \frac{1}{L_1}u_{cd} + \frac{1}{L_1}u_d \\ \frac{di_{1q}}{dt} = -\frac{R_1}{L_1}i_{1q} - \omega i_{1d} - \frac{1}{L_1}u_{cq} + \frac{1}{L_1}u_q \\ \frac{di_{2d}}{dt} = -\frac{R_2}{L_2}i_{2d} + \omega i_{2q} - \frac{1}{L_2}u_{cd} + \frac{1}{L_2}u_{sd} \\ \frac{di_{2q}}{dt} = -\frac{R_2}{L_2}i_{2q} - \omega i_{2d} - \frac{1}{L_2}u_{cq} + \frac{1}{L_2}u_{sq} \\ \frac{du_{cd}}{dt} = \frac{1}{C_2}i_{1d} - \frac{1}{C_2}i_{2d} + \omega u_{cq} \\ \frac{du_{cq}}{dt} = \frac{1}{C_2}i_{2d} - \frac{1}{C_2}i_{2d} - \omega u_{cd} \end{cases}$$
(1)

where  $i_{1d}$  and  $i_{1q}$  are the inductive current vector dq-axis components;  $i_{2d}$  and  $i_{2q}$  are the grid-connected current dq-axis components;  $u_{cd}$  and  $u_{cq}$  are the voltage dq-axis components;  $u_{sd}$  and  $u_{sq}$  are grid-connected voltage dq-axis components; and  $u_d$  and  $u_q$  are the AC bus voltage dq-axis components.  $\omega$  is the AC bus voltage fundamental angular frequency of the microgrid.

It can be seen from Eq. 1 that the LCL-type PCS is a highorder, non-linear, strongly coupled, multivariable system with resonance characteristics. The quality of the incoming current will be affected if the controller is not decoupled and the resonance suppression strategy is not adopted properly. At present, the common decoupling and resonance suppression strategies have to obtain more parameters in the system. In practical engineering, multiple sensors are required to obtain the values of each variable, which increases the design cost. Meanwhile, affected by temperature, humidity, and service time, the PCS parameters change, thus affecting the actual control effect.

In an ideal power grid, reasonable designing of decoupling and resonance suppression strategies can control the LCL-type PCS well. In a non-ideal power grid, the positive- and negative-sequence components of the power grid will cause output power fluctuation and affect the PCS control effect.

When the three-phase power grid voltage is unbalanced according to the symmetric component method (Shigenobu et al., 2020), power grid voltage can be expressed as

$$\begin{bmatrix} u_{sa} \\ u_{sb} \\ u_{sc} \end{bmatrix} = V_s^+ \begin{bmatrix} \cos(\omega t + \varphi^+) \\ \cos(\omega t + \varphi^+ - 120^\circ) \\ \cos(\omega t + \varphi^+ + 120^\circ) \end{bmatrix} + V_s^- \begin{bmatrix} \cos(-\omega t + \varphi^-) \\ \cos(-\omega t + \varphi^- - 120^\circ) \\ \cos(-\omega t + \varphi^- + 120^\circ) \end{bmatrix},$$
(2)

where  $V_s^+$  and  $V_s^-$  are the amplitudes of the positive and negative fundamental components, respectively;  $\varphi^+$  and  $\varphi^-$  are the phases of the positive and negative fundamental components, respectively; and  $\theta$  is the phase angle of the grid voltage. The coordinate transformation of Eq. 2 yields the expression of the grid voltage in the dq coordinate system.

$$\begin{bmatrix} u_{gd+1} \\ u_{gq+1} \end{bmatrix} = V_s^{+1} \begin{bmatrix} \cos\left(\omega t + \varphi^{+1} - \theta\right) \\ \sin\left(\omega t + \varphi^{+1} - \theta\right) \end{bmatrix} + V_s^{-1} \begin{bmatrix} \cos\left(-\omega t + \varphi^{-1} - \theta\right) \\ \sin\left(-\omega t + \varphi^{-1} - \theta\right) \end{bmatrix},$$

$$\begin{bmatrix} u_{gd-1} \\ u_{gq-1} \end{bmatrix} = V_s^{+1} \begin{bmatrix} \cos\left(\omega t + \varphi^{+1} + \theta\right) \\ \sin\left(\omega t + \varphi^{+1} + \theta\right) \end{bmatrix} + V_s^{-1} \begin{bmatrix} \cos\left(-\omega t + \varphi^{-1} + \theta\right) \\ \sin\left(-\omega t + \varphi^{-1} + \theta\right) \end{bmatrix}.$$
(4)

Using voltage and current components in the dq coordinate system, the expression of instantaneous power on the grid side can be obtained

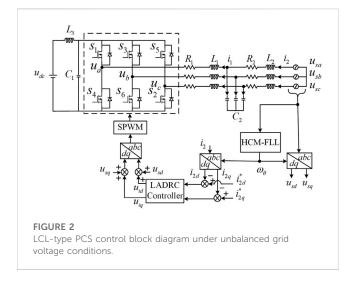
$$\begin{cases} p(t) = p_0 + p_{c2}\cos(2\omega t) + p_{s2}\sin(2\omega t) \\ q(t) = q_0 + q_{c2}\cos(2\omega t) + q_{s2}\sin(2\omega t), \end{cases}$$
(5)

where  $p_0$  is the average value of the instantaneous active power and  $q_0$  is the average value of the instantaneous reactive power.  $p_{c2}$ ,  $p_{s2}$ ,  $q_{c2}$ , and  $q_{s2}$  are the second harmonic power amplitudes. The amplitude of these powers can be expressed as

$$\begin{bmatrix} p_{0} \\ p_{c2} \\ p_{s2} \\ q_{0} \\ q_{c2} \\ q_{s2} \end{bmatrix} = \frac{3}{2} \begin{bmatrix} u_{gd}^{+} & u_{gq}^{+} & u_{gd}^{-} & u_{gq}^{-} \\ u_{gq}^{-} & u_{gd}^{-} & u_{gq}^{+} & u_{gq}^{+} \\ u_{gq}^{-} & -u_{gd}^{-} & -u_{gq}^{-} & u_{gq}^{-} \\ u_{gq}^{-} & -u_{gd}^{-} & u_{gq}^{-} & -u_{gd}^{-} \\ u_{gq}^{-} & -u_{gd}^{-} & u_{gq}^{-} & -u_{gd}^{-} \\ -u_{gd}^{-} & -u_{gq}^{-} & u_{gd}^{+} & u_{gq}^{+} \end{bmatrix} \begin{bmatrix} i_{gd}^{+} \\ i_{gq}^{+} \\ i_{gd}^{-} \\ i_{gd}^{-} \end{bmatrix},$$
(6)

where  $u_{gd}^+$ ,  $u_{gd}^-$ ,  $u_{gq}^+$ , and  $u_{gq}^-$  are the positive- and negative-sequence components of the voltage, respectively.  $i_{gd}^+$ ,  $i_{gd}^-$ ,  $i_{gq}^+$ , and  $i_{gq}^-$  are the positive- and negative-sequence components of the current, respectively. According to Formula 6, the instantaneous active and reactive powers in the three-phase unbalanced power grid will fluctuate, and the converter will output the grid-connected current with high harmonics (Wang et al., 2020).

In view of the above problems, the LCL-type PCS requires a control strategy with decoupling and damping effects that is easy to be applied in engineering in a non-ideal power grid environment.

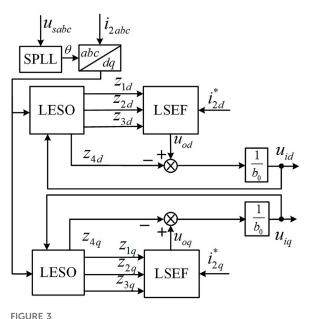


## 3 LCL-type PCS control strategy under non-ideal power grid condition

In view of the problems of the LCL-type PCS proposed in Section 1 under the condition of power grid voltage unbalance, an active disturbance rejection control strategy based on an improved DSOGI-FLL was proposed, as shown in Figure 2. In this article, the DSOGI-FLL with harmonic elimination function is proposed to accurately obtain the grid voltage phase under nonideal conditions, and the current component in the coordinate system is obtained through coordinate transformation. The given current and sampled current are passed through a third-order LADRC current controller, which is then added to the voltage component. After the coordinate transformation, a drive signal is obtained through SPWM modulation to control the action of the power switching device and realize system control.

# 3.1 Current controller based on third-order LADRC

The basic idea of active disturbance rejection control (ADRC) is to compensate the non-linear uncertain objects with unknown interference into integrator series-type through non-linear state feedback and combine the control rate into the ideal controller through non-linear state feedback (Chang et al., 2014). Since an extended state variable is designed by using an extended state observer to track the un-modeled and inaccurate parts of the model, as well as the disturbance and coupling parts of the model caused by external environment changes, and observe and compensate them in real time, the ADRC has the characteristics of strong robustness and anti-interference ability. However, the traditional ADRC has many parameters to adjust, and the nonlinear function is difficult to determine and popularize. In order to simplify parameter settings for engineering applications, Gao (2006) proposed the linear active disturbance rejection control (LADRC), which greatly reduces the parameters that have to be adjusted and promotes the development and application of the active disturbance rejection control.



Block diagram of third-order LADRC control structure.

The LCL-type PCS is a high-order, non-linear, strongly coupled multivariable system with resonance characteristics. There are three coupling channels between the active current control axis and reactive current control axis in the dq coordinate system, which cannot realize independent control of the two axes. The traditional modeling-dependent current loop control method, whether in decoupling or in resonance suppression, has a high degree of dependence on the model and a complex controller design. In this article, the LADRC technique is introduced to treat all the factors that make the system output deviate from a given value as "total disturbances," and estimates using the linear extended state observer (LESO), and finally, implements feed-forward compensation. The "total disturbances" include external disturbances and internal disturbances, and the external disturbances mainly refer to the environmental disturbances caused by the non-ideal grid such as grid voltage distortion, harmonic grid, and the disturbances caused by the changes in PCS system parameters, whereas the internal disturbances refer to the coupling disturbances between the dq axes, LCL resonance disturbances, and the disturbances caused by inaccurate modeling. This method provides a better decoupling performance while simplifying the design and also has a strong suppression effect on resonance, providing better parameter robustness for the system.

The order of LADRC can be judged by the number of integrators that pass through the "shortest" path from input to output of the system block diagram of the controlled object (Han, 2007). The order of the LCL-type PCS is 3, therefore it is necessary to design a third-order LADRC controller. The LADRC control structure diagram of the LCL-type PCS is shown in Figure 3.

In Figure 3, the definition of the main circuit variable is the same as that provided in Figure 1. The fundamental wave angular frequency  $\omega$  and the phase  $\theta$  of the AC bus voltage in the common coupling point microgrid are provided by DSOGI-FLL for participating in the dq

coordinate transformation. The active power and reactive power exchanged between the PCS and microgrid system are determined by the current reference value  $i_{2d}^*$  of the d-axis current value and the current reference value  $i_{2d}^*$  of the q-axis current value, respectively.  $z_{1d} \sim z_{4d}$  and  $z_{1q} \sim z_{4q}$  represent the observed quantities of the LESO in the d-axis current and q-axis current LADRC controllers, respectively.

The dynamic characteristics of the grid current  $i_{2d}$  in the rotating coordinate system can be expressed by the third-order differential equation shown in Eq. 7:

$$\frac{d^{(3)}i_{2d}}{dt} = b_0 u_{id} + f_d \begin{pmatrix} u_{sd}, u_{sq}, i_{2d}, i_{2q}, \Delta L_1, \\ \Delta C_2, \Delta L_2, \omega, R_1, R_2 \end{pmatrix}$$

$$\frac{d^{(3)}i_{2q}}{dt} = b_0 u_{iq} + f_q \begin{pmatrix} u_{sd}, u_{sq}, i_{2d}, i_{2q}, \Delta L_1, \\ \Delta C_2, \Delta L_2, \omega, R_1, R_2 \end{pmatrix},$$
(7)

where  $b_0 = 1/L_1L_2C_2$ , and functions  $f_d$  and  $f_q$  represent the total perturbation on the d-axis and q-axis current values, respectively.

LADRC mainly includes LESO and linear state error feedback (LSEF), and their design methods are explained in the following section.

#### 3.1.1 Design of LESO

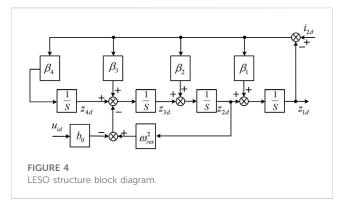
LESO in the third-order LARC belonging to the higher-order LESO, which has a significantly enhanced disturbance suppression ability in the low-frequency band and is conducive to better the tracking system state and interference. In the middle-frequency band, the peak value of the amplitude-frequency curve of the higher-order LESO increases, and the step response produces a large overshoot or even oscillation, which is not conducive to the stability of the system. As the gain of high frequency increases, the suppression ability of high-frequency noise is significantly weakened (Zhang et al., 2015). To solve this problem, a model compensation method was proposed to introduce the resonance information  $\omega_{res}$ in the LCL-type PCS model into the LESO equation. By improving the LESO structure, the resonance information is not included in the "total disturbance" to be estimated by LESO, such that the amplitude of the "total disturbance" to be estimated by LESO is reduced and the convergence speed and observation accuracy of LESO is improved, as well as the performance of the controller are improved.

The "total disturbance" represented by  $f_d$  and  $f_q$  is denoted as  $f_d = f_{\omega d} + f_{0d}$  and  $f_d = f_{\omega q} + f_{0q}$ , respectively, where  $f_{\omega d}$  and  $f_{\omega q}$  represent the known disturbances containing the model information  $\omega_{res}$  and,  $f_{0d}$  and  $f_{0q}$  represent the disturbances of the unknown part of the "total disturbance," therefore Eq. 7 can be rewritten as

$$\begin{cases} \frac{d^{(3)}i_{2d}}{dt} = b_0 u_{id} + f_{\omega d} + f_{0d} = b_0 u_{id} - \omega_{res}^2 \frac{di_{2d}}{dt} + f_{0d} \\ \frac{d^{(3)}i_{2q}}{dt} = b_0 u_{iq} + f_{\omega q} + f_{0q} = b_0 u_{iq} - \omega_{res}^2 \frac{di_{2q}}{dt} + f_{0d}. \end{cases}$$
(8)

The LESO design method is the same for the d-axis and q-axis current values. Taking the d-axis current value as an example, the d-axis current differential equation in Eq. 8 is written in the form of a state-space expression.

$$\begin{cases} \dot{x} = Ax + Bu_{id} + Eh\\ y = Cx. \end{cases}$$
(9)



In the formula  $x = [x_1 \ x_2 \ x_3 \ x_4]^T$ ,  $x_1 = i_{2d}$ ,  $x_2 = \dot{x}_1$ , and  $x_3 = \dot{x}_2$ .  $x_4 = f_{0d}$  is the expansion state variable.  $h = \dot{f}_{0d}$  is the output of the d-axis current active disturbance rejection controller, and each coefficient matrix is

$$\begin{cases} A = \begin{bmatrix} 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & -\omega_{res}^2 & 0 & 1 \\ 0 & 0 & 0 & 0 \end{bmatrix}, B = \begin{bmatrix} 0 \\ 0 \\ b_0 \\ 0 \end{bmatrix}, E = \begin{bmatrix} 0 \\ 0 \\ 1 \\ 1 \end{bmatrix}, \quad (10)$$
$$C = \begin{bmatrix} 1 & 0 & 0 & 0 \end{bmatrix}$$

According to the design idea of LADRC, LESO can be established as

$$\begin{cases} \dot{z} = Az + Bu_{id} + L(y - \hat{y}), \\ \hat{y} = Cz \end{cases}$$
(11)

where  $z = \begin{bmatrix} z_{1d} & z_{2d} & z_{3d} & z_{4d} \end{bmatrix}^T$  is the observed quantity of LESO,  $L = \begin{bmatrix} \beta_1 & \beta_2 & \beta_3 & \beta_4 \end{bmatrix}^T$  is the gain matrix of LESO, and  $\hat{y} = z_{1d}$  is the output of LESO.

To sum up, the structural block diagram of LESO is drawn as shown in Figure 4.

The observation error of LESO can be obtained by subtracting Eq. 11 from Eq. 9, which can be written as

$$\dot{e}_{id} = A_e e_d + Eh$$

$$e_d = \begin{bmatrix} x_1 - z_1 & x_2 - z_2 & x_3 - z_3 & x_4 - z_4 \end{bmatrix}$$

$$A_e = A - LC = \begin{bmatrix} -\beta_1 & 1 & 0 & 0 \\ -\beta_2 & 0 & 1 & 0 \\ -\beta_3 & -\omega_{res}^2 & 0 & 1 \\ -\beta_4 & 0 & 0 & 0 \end{bmatrix},$$
(12)

According to Eq. 12, the characteristic equation is

$$D(\lambda) = |\lambda I - (A - LC)|$$
  
=  $\lambda^4 + \beta_1 \lambda^3 + (\beta_2 + \omega_{res}^2)\lambda^2 + (\beta_1 \omega_{res}^2 + \beta_3)\lambda + \beta_4.$  (13)

According to the modern control theory, when all characteristic roots have negative real parts, the initial bias of the observer will decay exponentially, and the decay rate depends on the position of the observer pole. The farther the imaginary axis, the faster will be the decay rate. In order to facilitate implementation, all poles of the observer are generally chosen to be the same negative real number, such that LESO converges in a finite period. Observation  $z_{1d}, z_{2d}, z_{3d}, z_{4d}$  tends to the state variable  $x_1, x_2, x_3, x_4$  being observed. *h* represents the derivative of the unknown perturbation in the "total disturbance," which must be bound. Therefore, a stable LESO system can be obtained only by determining the appropriate value of  $L = [\beta_1 \ \beta_2 \ \beta_3 \ \beta_4]^T$ .

According to the abovementioned ideas, all the characteristic roots of the state observer are set as  $-\omega_0$ , then the characteristic equation can be expressed as

$$D^*(\lambda) = (\lambda + \omega_o)^4 = \lambda^4 + 4\omega_o\lambda^3 + 6\omega_o^2\lambda^2 + 4\omega_o^3\lambda + \omega_o^4.$$
(14)

Let  $D(\lambda) = D^*(\lambda)$ , that is,

$$\lambda^{4} + 4\omega_{o}\lambda^{3} + 6\omega_{o}^{2}\lambda^{2} + 4\omega_{o}^{3}\lambda + \omega_{o}^{4}$$
$$= \lambda^{4} + \beta_{1}\lambda^{3} + (\beta_{2} + \omega_{res}^{2})\lambda^{2} + (\beta_{1}\omega_{res}^{2} + \beta_{3})\lambda + \beta_{4}.$$
 (15)

Therefore,

$$\begin{cases} \beta_1 = 4\omega_o \\ \beta_2 = 6\omega_o^2 - \omega_{\rm res}^2 \\ \beta_3 = 4\omega_o^3 - \beta_1\omega_{\rm res}^2 \\ \beta_4 = \omega_o^4. \end{cases}$$
(16)

#### 3.1.2 Design of LSEF

For third-order LADRC, the LSEF control rate is designed as follows:

$$u_{0d} = k_p \left( i_{2d}^* - z_{1d} \right) - k_1 z_{2d} - k_2 z_{3d}, \tag{17}$$

where  $i_{2d}$  is the d-axis current setting value represented in Figure 2.  $k_p$ ,  $k_1$ , and  $k_2$  are the feedback gains of the LSEF. The control law of the d-axis current active disturbance rejection controller is as follows:

$$u_{id} = \frac{u_{0d} - f_{\omega d} - \hat{f}_{0d}}{b_0} = \frac{u_{0d} - f_{\omega d} - z_{4d}}{b_0},$$
(18)

where  $f_{\omega d}$  is part of the disturbance in the "total disturbance" known according to model information and  $\hat{f}_{0d}$  is the estimated value of  $f_{0d}$ , representing the total disturbance in the unknown part of the "total disturbance" observed by LESO  $z_{4d}$ . Ignoring the observation error of LESO, the following equation is obtained:

$$\frac{d^{(3)}i_{2d}}{dt} = u_{0d} - \omega_{res}^2 \frac{di_{2d}}{dt} + \left(f'_d - \hat{f}_d\right)$$

$$\approx u_{0d} - \omega_{res}^2 \frac{di_{2d}}{dt}.$$
(19)

By substituting Eqs 17, 19, we then use Laplace transform to obtain the transfer function of the system on the d-axis current value as

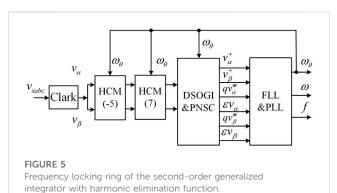
$$\frac{u_{id}(s)}{i_{2d}^{*}(s)} = \frac{k_p}{s^3 + k_2 s^2 + (k_1 + \omega_{res}^2)s + k_p}.$$
 (20)

The characteristic equation is

$$s^{3} + k_{2}s^{2} + (k_{1} + \omega_{res}^{2})s + k_{p} = 0.$$
(21)

According to the "bandwidth method" proposed by Zhang et al.( 2015), the closed-loop pole of the system is located at  $-\omega_c$ , and the following results are obtained:

$$(s + \omega_c)^3 = s^3 + 3\omega_c s^2 + 3\omega_c^2 s + \omega_c^3.$$
(22)



Comparing the coefficients of Eqs 20, 21, we get

$$\begin{cases} k_p = \omega_c^2 \\ k_1 = 3\omega_c^2 - \omega_{res}^2 \\ k_2 = 3\omega_c. \end{cases}$$
(23)

During the actual designing, it is ensured that the LESO bandwidth  $\omega_0$  is greater than the LSEF gain  $\omega_c$ , .i.e.,  $\omega_0 = 3 \sim 10\omega_c$  to achieve an LESO response speed greater than the control speed of the LSEF.

The design method of the q-axis LSEF is exactly the same as that of the d-axis LSEF, which is designed in the same way.

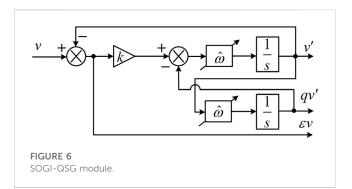
## 3.2 HCM-FLL structure and design

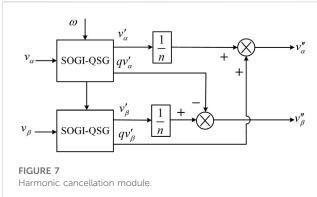
The conventional synchronous reference frame PLL (SRF-PLL) has a good response speed under the ideal grid. However, under the non-ideal grid, SRF-PLL will generate large errors in determining the grid voltage frequency and phase information due to harmonics, voltage dips, frequency variations, etc., which will cause errors in synchronizing the converter with the grid and affect the quality of the grid-connected current.

In order to solve this problem, a harmonic cancellation frequency-locked loop is proposed, which is composed of the harmonic cancellation module (HCM), second-order generalized integrator decoupling module (DSOGI-PNSC), and frequency-locked loop and phase-locked loop (FLL + PLL), as shown in Figure 5.

The advantages of this structure are as follows:

- (1) The front harmonic elimination module can effectively eliminate low-frequency harmonics in the harmonic grid and improve the accuracy of frequency and phase information acquisition in the harmonic grid.
- (2) DSOGI-PNSC adopts the second-order generalized integrator quadrature signal generator (SOGI-QSG), and the positive- and negative-sequence fundamental frequency components of the power grid voltage are extracted when the power grid is disturbed and the power grid voltage is unbalanced. The positive-/negative-sequence calculator (PNSC) is used to eliminate the interaction between the positive- and negativesequence components of the voltage, to achieve the separation of





positive- and negative-sequence components, by controlling the positive- and negative-sequence components, respectively, and to eliminate the influence of positive- and negative-sequence component coupling on the output power.

(3) The frequency of the adaptive filter in SOGI-QSG is provided by FLL, which depends on frequency feedback and can realize frequency adaptation of the input signal. When compared with PLL, FLL has a better stability than does PLL because the frequency information is more stable than the phase information, and the frequency oscillation is smaller and the smoothness is higher in the dynamic process.

### 3.2.1 SOGI-QSG module

When the power grid voltage is unbalanced, it is necessary to separate the positive- and negative-sequence components and phase lock the positive-sequence component. The orthogonal signal generator (SOGI-QSG) based on the second-order generalized integrator is shown in Figure 6. By constructing an adaptive filter based on the principle of the internal mode, it can generate signals with a difference of 90° from the input signal to achieve positive and negative sequence separation.

In Figure 6, v is the system input signal, v' is the system output signal,  $\varepsilon v$  is the frequency error signal required by FLL,  $\hat{\omega}$  is the resonant angular frequency of the adaptive filter, and  $q = e^{-(j\pi/2)}$ .

Xu et al. (2021) reported that the second-order generalized integrator has band-pass filter characteristics. The smaller the *k* value is, the better the filtering performance will be, but the dynamic performance of the system will be affected. In order to ensure both the filtering and dynamic performances,  $k = \sqrt{2}$  is selected. At the same time, because of the characteristics of its band-pass filter, when the harmonic content is too high, it cannot filter harmonics well, and so a harmonic cancellation module has to be designed.

## 3.2.2 Harmonic cancellation module

Considering the power grid voltage unbalance containing the *Nth* harmonics, the power grid voltage after the Clarke transformation can be expressed as

$$\begin{cases} v_{\alpha} = v_{\alpha}^{+} + v_{\alpha}^{-} + v_{\alpha}^{n} \\ v_{\beta} = v_{\beta}^{+} + v_{\beta}^{-} + v_{\beta}^{n}, \end{cases}$$
(24)

where  $v_{\alpha}^{+}$  and  $v_{\beta}^{+}$  are positive-sequence fundamental wave components of the power grid voltage,  $v_{\alpha}^{-}$  and  $v_{\beta}^{-}$  are negative-

sequence fundamental wave components of the power grid voltage, and  $v_{\alpha}^{n}$  and  $v_{\beta}^{n}$  are the *N*th harmonic components of the power grid voltage.

When the resonant frequency of SOGI-QSG is equal to the grid voltage frequency, i.e.,  $\tilde{\omega} = \omega$ , SOGI-QSG can track the positive- and negative-sequence fundamental components of the grid voltage without static differences and, at the same time, have attenuation and phase shift effects on the *N*th harmonic, which is as follows:

$$\begin{cases} v'_{\beta} = v^{+}_{\beta} + v^{-}_{\beta} + v^{*n}_{\beta} \\ qv'_{\beta} = -v^{+}_{\alpha} + v^{-}_{\alpha} - \frac{1}{n}v^{*n}_{\alpha}, \end{cases}$$
(26)

where  $v'_{\alpha}$  and  $v'_{\beta}$  are in the phase with the input signals  $v^{+}_{\alpha}$  and  $v^{+}_{\beta}$ , and  $qv'_{\alpha}$  and  $qv'_{\beta}$  are orthogonal to the input signals  $v^{+}_{\alpha}$  and  $v^{+}_{\beta}$ .  $v^{*n}_{\alpha}$  and  $v^{*n}_{\beta}$  are the output harmonic components with the same amplitude and with the different phases are  $v^{n}_{\alpha}$  and  $v^{n}_{\beta}$ .

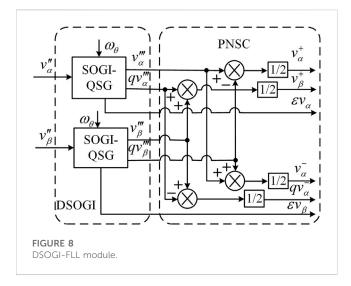
Eqs 25, 26 show that although both the amplitude and phase of the harmonic components change, the amplitude of the harmonics only changes with the number of harmonics. From the two equations, the *N*th harmonic term  $(1/n)v_{\alpha}^{*n}$  on the  $\alpha$  axis can be eliminated by multiplying  $v'_{\alpha}$  by the coefficient (1/n) and adding it to  $qv'_{\beta}$ . Similarly, the *N*th harmonic term  $(1/n)v_{\beta}^{*n}$  on the  $\beta$  axis can be eliminated. The voltage in the two-phase stationary coordinate system after harmonic elimination can be expressed as

$$v_{\alpha\beta}^{'} = \left[v_{\alpha}^{''} \quad v_{\beta}^{''}\right]^{\mathrm{T}} = \left(\frac{1}{n} - 1\right)v_{\alpha\beta}^{+} + \left(\frac{1}{n} + 1\right)v_{\alpha\beta}^{-}.$$
 (27)

In the formula

$$\begin{cases} v''_{\alpha} = \frac{1}{n}v'_{\alpha} + qv'_{\beta} = \left(\frac{1}{n} - 1\right)v^{+}_{\alpha} + \left(\frac{1}{n} + 1\right)v^{-}_{\alpha} \\ v''_{\beta} = \frac{1}{n}v'_{\beta} - qv'_{\alpha} = \left(\frac{1}{n} - 1\right)v^{+}_{\beta} + \left(\frac{1}{n} + 1\right)v^{-}_{\beta}, \end{cases}$$
(28)

it can be seen that the two-phase voltage obtained after the transformation eliminates the Nth harmonic component. The harmonic cancellation module (HCM) is shown in Figure 7.



### 3.2.3 DSOGI-FLL module

The structure of DSOGI-FLL module is composed of SOGI-QSG, positive-/negative-sequence calculator (PNSC), SOGI-FLL, and SRF-PLL. The quadrature output characteristic of SOGI-QSG can be used to achieve orthogonal phase separation of the input grid voltage signals, and then the positive- and negative-sequence components of the grid voltage in the two-phase stationary coordinate system can be calculated by PNSC, as shown in Figure 8.

The SOGI-QSG resonant frequency  $\omega_{\theta}$  in the DSOGI is obtained from the frequency-locked loop (FLL), and SOGI-QSG + PNSC outputs the positive- and negative-sequence components with a stable frequency by using the phase-locked loop (PLL). The FLL and PLL structures are shown in Figure 9.

## 4 Simulation and verification

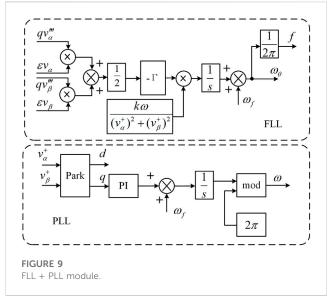
According to Figure 2, a three-phase LCL-type PCS simulation model using the MATLAB/Simulink was established. By analyzing the steady-state and dynamic performance of the three-phase LCLtype PCS system under the unbalanced power grid and harmonic power grid, the control performance of the proposed control strategy was verified.

The simulation parameters are set as follows: transformer-side inductor  $L_1 = 2$  mH, grid-side inductor  $L_1 = 1$  mH, filter capacitor  $C_2 = 100 \mu$ F, DC-side voltage  $u_{dc} = 650$  V, grid-side voltage  $u_{sabc} = 220$  V, grid voltage frequency f = 50 Hz, and switching frequency  $f_{sw} = 20$  KHz.

The setting of the LADRC controller parameters are as follows: LESO bandwidth  $\omega_0 = 27,000$ , controller bandwidth  $\omega_c = 6,000$ , LESO parameters  $\beta_1 = 108,000$ ,  $\beta_2 = 4.359 \times 10^9$ ,  $\beta_3 = 7.1112 \times 10^{13}$ ,  $\beta_4 = 5.3114 \times 10^{17}$ , LSEF parameters  $K_P = 2.16 \times 10^{11}$ ,  $K_1 = 9.3 \times 10^7$ , and  $K_2 = 18,000$ .

## 4.1 Improved FLL performance verification

In order to verify the performance of the HCM-FLL proposed in this article, the Simulink platform is used to build a system simulation



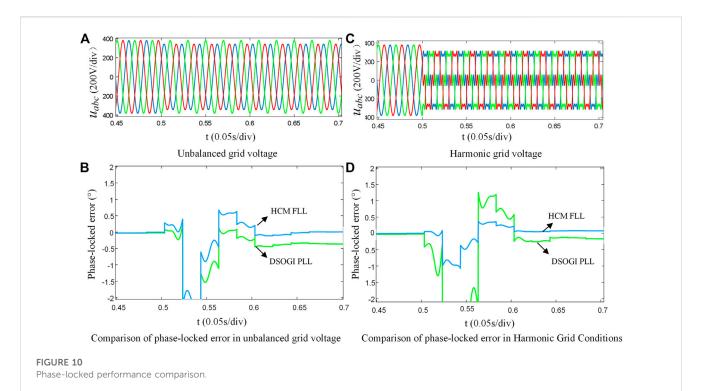
model, and the HCM-FLL and traditional DSOGI-PLL synchronization schemes are simulated and compared under the non-ideal grid, and the grid voltage frequency is set to 50 Hz.

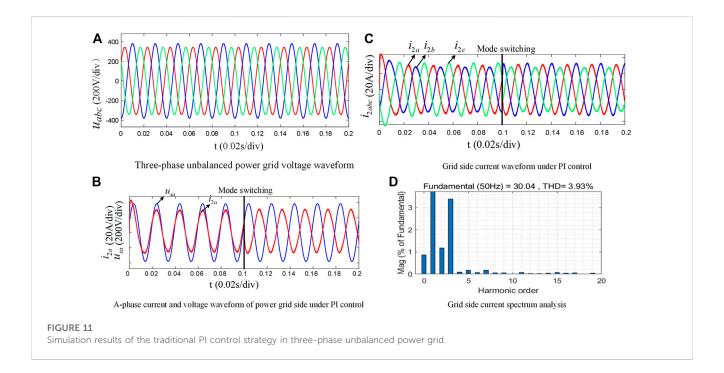
In order to compare the phase-locking performance of DSOGI-PLL HCM-FLL and traditional under the unbalanced power grid, the grid voltage unbalance is set at 0.5°s, as shown in Figure 10A, and the phase-locking error of DSOGI-PLL and HCM-FLL is shown in Figure 10B. The comparison found that after introducing the unbalanced voltage after 0.5 s, the phase-locking errors of the two structures fluctuated to a certain extent and remained stable after several cycles. The phase-locking error of DSOGI-PLL was -0.3°, and the phase-locking error of HCM-FLL is close to 0. HCM-FLL can completely eliminate the influence of the unbalanced power grid and accurately track the phase information of the power grid under the unbalanced power grid.

In order to verify the performance of HCM-FLL and DSOGI-PLL under the harmonic grid, three-phase balance is maintained and 20% negative-sequence fifth harmonic and 14% positivesequence seventh harmonic are injected into the grid at 0.5 s, as shown in Figures 10C;Figure 11D, which is a phase-locking error comparison between HCM-FLL and DSOGI-PLL under the harmonic grid. It can be seen from the figures that after adding harmonics in 0.5 s, DSOGI-PLL produces a relatively large phaselocking error, the fluctuation range is  $+1.5^{\circ} - 3^{\circ}$ , and the phaselocking error is still -0.2 after stabilization. By contrast, the fluctuation range of HCM-FLL is about  $-1^{\circ} - 40.5^{\circ}$ . After stabilization, the phase-locking error is close to 0. HCM-FLL can completely eliminate the influence of harmonics and accurately track the phase information of the grid under the harmonic grid.

# 4.2 Three-phase voltage unbalance in power grid

The voltage waveform of the three-phase unbalanced power grid is shown in Figure 11A. The LCL-type energy storage converter is set



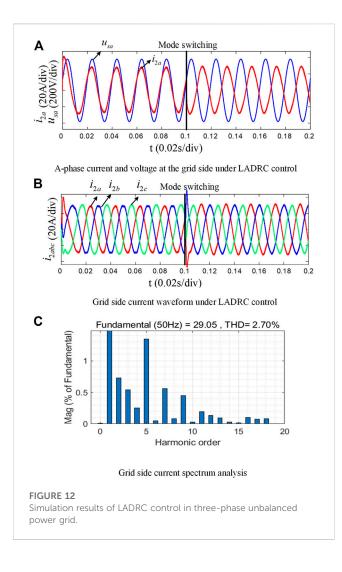


to work in two states: before 0.1 s, the PCS works in the grid discharge mode with 30 A power, while after 0.1 s, the PCS works in the grid charge mode with 30 A power, and the Fourier analysis is conducted on the grid current under these two operating states, respectively.

Figure 11 shows the simulation results under the traditional PI control strategy. Figure 12 shows the simulation results under the

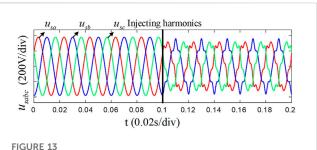
improved LADRC + HCM-FLL control strategy proposed in this article.

From Figure 11B, it can be seen that under the condition of threephase grid unbalance, the traditional PI control strategy has the current and voltage in phase when the PCS operates in the inverter mode, with less current distortion. After 0.1 s, the PCS switches to the rectifier mode, and the current and voltage are 180° out of phase, with energy

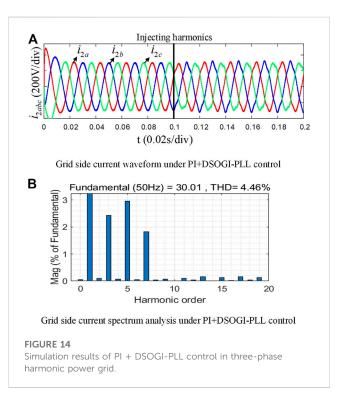


flowing from the grid side to the DC side. When compared with the inverter mode, the current waveform is significantly worse, and the third harmonic content of the grid-connected current is large, accounting for about 3.4%, and the Total harmonic distortion (THD) is 3.93%. This is because the same set of PI control parameters is used in both rectifier and inverter modes, and the PI controller is sensitive to changes in system parameters. After the system mode is switched, the control performance of the PI controller is reduced due to the changes in system parameters. From Figure 11C, it can be seen that under the condition of the three-phase grid voltage unbalance, the traditional PI control strategy outputs have unbalanced the three-phase grid-connected current with a large amplitude difference.

From Figure 12A, it can be seen that under the condition of three-phase grid voltage unbalance, the improved LADRC + HCM-FLL control strategy can maintain good current quality in both the rectifier and inverter modes, reflecting the good anti-parameter variation characteristics of the LADRC controller. Figure 12B shows that the three-phase grid-connected current output is balanced under the control of the improved strategy, and the amplitude difference is small. Figure 12C shows that when compared with the PI control strategy, the third- and fifth-harmonic content of the grid-connected current is significantly





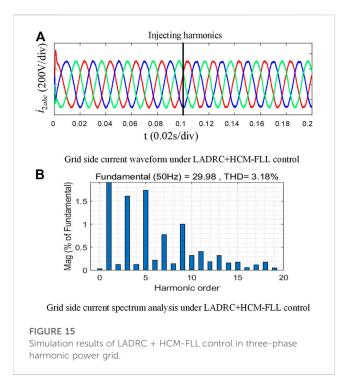


reduced, accounting for about 0.6% when the total THD is 2.7%, which is a significant improvement over the PI control.

## 4.3 Harmonic grid condition

In order to study the anti-disturbance performance of the LADRC + HCM-FLL control strategy under harmonic power grid conditions, assuming that the power grid voltage is balanced and frequency is unchanged, the fifth and seventh harmonic components are injected at 0.1 s corresponding to 10% and 5% of the amplitude of the power grid voltage base wave, respectively, as shown in Figure 13.

It can be seen from Figures 14, 15 that both PI and LADRC current control based on HCM-FLL can better control the gridside current under the harmonic grid. When compared with the PI control strategy, LADRC current control can better suppress the fifth and seventh harmonics of the grid-side current (about



1.3% and 1% reduction, respectively), resulting in a 1.28% reduction in the total THD and achieving better quality of the incoming grid current.

# 5 Conclusion

This article aims to address the issues of grid voltage unbalance and harmonic problems that the LCL-type PCS frequently encounters in microgrid environments. To achieve this, we analyzed the mathematical model of the LCL-type PCS, designed a linear active disturbance rejection controller based on model compensation, and investigated the influence of grid voltage unbalance and harmonics on the LCL-type PCS. Furthermore, we propose an active anti-disturbance control strategy for the LCL-type PCS based on a generalized second-order integrator frequencylocked loop. According to the simulation results presented in Section 3, our proposed control strategy offers the following benefits:

(1) The HCM-FLL structure based on the specified number of harmonic elimination can effectively realize the conversion and independent control of the positive- and negativesequence components of the power grid voltage and respond quickly and accurately to the working conditions such as power

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grid voltage unbalance and harmonic grid conditions that have been generated in the microgrid environment.

- (2) In the traditional PI control strategy in the state of the unbalanced power grid voltage, the three-phase output of the grid-side current is unbalanced, with a large amplitude difference and high thirdharmonic content. The LADRC current control strategy in this article can achieve good control of the grid-side current under the same conditions, with balanced three-phase current, small differences in amplitude, and the effective suppression of the third-harmonic content.
- (3) The traditional PI control strategy in the harmonic power grid has large current distortions on the grid side, unbalanced output current, and high harmonic content. The LADRC current control strategy in this article can effectively suppress the current harmonics on the grid side under the same conditions (when compared with PI, the fifth and seventh harmonics are reduced by about 1.3% and 1%, respectively), and the total THD is reduced by 1.28%. The current quality improvement effect is obvious.

# Data availability statement

The original contributions presented in the study are included in the article/Supplementary Material; further inquiries can be directed to the corresponding author.

## Author contributions

JY wrote the manuscript, SW provided the conceptual idea, and JY built the simulation environment.

# Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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