

Barriers to recycling plastics from the perspectives of industry stakeholders

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1 Title: Barriers to recycling plastics from the perspectives of industry stakeholders:

2	qualitative	study
-	quantitative	Searce

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- 5 6

Abstract

7 Previous research exploring the psychological, social, and environmental barriers to recycling 8 has predominantly focused on consumer attitude and behaviour. However, the plastics system 9 involves a chain of stakeholders with a role in decision-making and actions in relation to plastic 10 production and management post-use, affirming the need to explore the barriers to recycle across various other stakeholders implicated in the lifecycle of plastic product and packaging. 11 12 To expand this evidence-base, N=12 in-depth qualitative semi-structured interviews explored the perspectives of some of the stakeholders responsible for various aspects of the plastic life 13 cycle (fast moving consumer goods industry, retailers, and waste management professionals). 14 Using a semi-directed content analysis approach via NVivo, three overarching themes were 15 extracted from the data: 1) Disempowerment and lost opportunities 2) Solutions and 16 opportunities reside with use of legislation 3) The circular economy stakeholders need 17 motivation, and to be more knowledgeable. The themes suggest that stakeholders implicated 18 in the plastics lifecycle lack the drive and perceived personal and organisational efficacy to 19 generate meaningful change in the plastics system. These barriers are exacerbated by a lack of 20 collegial partnerships between stakeholders to facilitate knowledge transfer and collective 21 22 action. This study recommends greater collaboration and communication between stakeholders implicated in the end-to-end plastic 'chain', and makes a renewed call for further legislation, 23 24 having shed light on important socio-political and pragmatic barriers to reducing plastic waste.

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26 Key words

Plastic waste, Circular economy, Recycling Behaviour, Qualitative research, Knowledge
Exchange

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1 1. Introduction

It is estimated that annually, eight million tonnes of plastic waste find their way into our oceans 2 across the globe (Ellen MacArthur Foundation, 2017). Despite recognition of the harmful 3 impact of plastic waste, strategies to address this challenge are often circumscribed by 4 5 idiosyncratic policy, which lack generalisability (Dhanshyam & Srivastava, 2021). It has been estimated that G20 countries cause 66 per cent of plastic waste globally, but a review of their 6 7 policy initiatives was found to be insufficient in scope (Fadeeva, & Van Berkel, 2021), with little attention paid to reduction of waste, something necessary to achieve a circular economy 8 9 i.e., no waste (Jaeger & Upahhyay, 2020). A circular plastic economy is posited by G20 countries (Fadeeva, & Van Berkel, 2021). Such an economy aims to: Reduce, Redesign, 10 Remove, Reuse, Recycle and Recover plastic waste (UNEP, 2016). If we look to the end of the 11 plastic life cycle, processing will be dependent on the degree to which plastic materials have 12 been separated from other waste correctly (by consumers and organisations/industries), and the 13 14 extent to which the plastic materials made can be recycled, both in terms of the materials used in products/packaging, and the infrastructure available to recycle i.e., recycling plants and 15 16 processors. There is a view held by some economists such as Siderious and Zink (2022) that a circular economy cannot succeed, because it continues to try to adhere to a free market system, 17 18 the goals and principles of which are directly opposed to the ideals of the circular economy, and its pro-environmental goals. This is exacerbated by the existence of further obstacles, such 19 20 as the Circular Economy Business Model (CEBM) being more multi-faceted compared to a linear business model LBM); a lack of confidence in the finances; consumer leanings; a lack 21 of suitable regulatory restrictions and infrastructure; and organisations not having suitably 22 knowledgeable and skilled managers to execute a CEBM (Hina, Chauhan, Kaur, Kraus, & 23 24 Dhir, 2022). The problem is therefore complex and may also require a shift in political thinking at the high levels in governments. Government decision-making has huge implications on the 25 26 extent to which Extended Producer Responsibility (EPR) can be effectively implemented. Such decisions are tied up with other competing socio-political circumstances and therefore 27 governments need also to be incentivised to endorse change at the level of the supplier and 28 industries. Future research studies would benefit from focusing on government perspectives 29 30 towards the barriers to implementing EPR systems.

In the United Kingdom (UK) a plastic circular economy is less visible, despite growing concern
about the escalating environmental and economic costs of excessive plastic waste. However,
UK government is resolved to tackle this issue, with ambitions to obliterate disposable (i.e.,
non-recyclable plastic) packaging by 2042 (Defra, 2018). A Plastics Packaging Tax (Hirsh,

2019) is also in operation in the UK. The European Commission (2019) is working to
operationalize its Single Use Plastics Directive, initially tabled in May 2018. In the UK, while
campaigns such as the plastic bag tax resulted in large scale behaviour change at the level of
the consumer (DAERA, 2021), maintenance of the use of re-usable plastic bags has gradually
receded, and other streams of plastic pollution remain (Siderius & Zink 2022).

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7 In Northern Ireland, the current producer responsibility scheme for packaging has existed for 25 years. The full cost of disposing of packaging waste has historically been the responsibility 8 9 of local taxpayers and local councils. Current overall recycling rates sit at around 50%. However, plastic waste rates are much lower. The Government department in Northern Ireland 10 who has overall responsibility for legislation in this matter is Department of Agriculture, 11 Environment and Rural Affairs (DAERA). They are currently developing an EPR Strategy, but 12 implementation is not due until 2024. The goal is to shift the costs of packaging throughout 13 their lifecycle to companies who produce the packaging, referred to as the "polluter pays" 14 principle. Also less sustainable materials will become more expensive to obtain, and new 15 challenging recycling targets will be set for plastic. 16

17

18 It is acknowledged that the plastics system involves a chain of stakeholders with a role in19 decision-making and actions in relation to plastic production and management post-use.

20 Previous research, primarily with manufacturers, identified the behaviour of consumers and the inadequate policies and incentives of government as the main barriers to implementing a 21 22 circular economy (Kumar et al., 2019). Many stakeholders believe that they are already playing their part in attempting to deliver the circular economy and consequently they are identifying 23 24 the other areas where change is required. Stakeholders often deflect responsibility from one 25 cohort to another (to include policymakers, manufacturers, retailers, recyclers, consumers), 26 when in fact the responsibility is cross-cutting and requires behavioural and procedural change 27 across these diverse groups (Heidbreder Bablok, Drews, & Menzel, 2019). The introduction of EPR to Northern Ireland will begin to help in this regard. 28

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Research by McNicholas and Cotton (2019) used qualitative interviews to explore professional
and consumer stakeholder perceptions of the perpetuating factors and prospective solutions
toward marine plastic waste. The overarching message was that it is crucial to engage various
stakeholders such as policy makers and legislators, as well as supporting consumers as they
navigate a plastic-abundant environment (McNicholas & Cotton, 2019). Similar conclusions

were drawn in Nepal in relation to the need for better communications among stakeholders producing plastics (Bharadwaj & Rai, 2021). In addition, Heidbreder and colleagues (2019) explored different intervention strategies to mitigate waste from beverage bottles and plastic bags, and the take-home message once again, emphasised the importance of collaboration across stakeholder groups to ensure interventions are effective. Beyond this research, less attention has been placed on understanding the occupational and organisational barriers that confront key stakeholders and therefore a gap in our understanding of this remains.

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9 Much of the previous psychological and social scientific evidence has focused on the psychological, social, and environmental factors influencing recycling behaviour in consumers 10 (e.g., Hage, Söderholm, & Berglund, 2008) and explored behaviour change interventions at the 11 level of the consumer (e.g., Heidbreder, et al., 2019). Recent relevant insights come from 12 exploratory research with consumers, which implicates a number of barriers created by 13 stakeholders to improve plastic collection rates, such as the abundance and variety of plastic 14 packaging generated, which results in choice fatigue (e.g. Roy et al., 2021). Consumers' believe 15 16 that stakeholders involved in decision-making around the manufacturing of plastic packaging should pioneer the change needed in our relationships with plastics (Roy et al., 2021). 17

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To expand the current evidence base beyond the consumer, it is necessary to further explore 19 20 and understand how systems perpetuate the production and waste of plastic products. If the focus continues to be on one part of the plastic value chain, or circular economy, the latter end 21 22 of the stakeholder chain will continue to be overlooked, where professionals are involved collecting and processing any discarded or recycled plastic materials from householders and 23 24 businesses. This is a significant part of the chain, because, if plastic waste mis-managed, or 25 sent to landfill, the opportunity to recycle is lost. Thus, consulting with representative 26 stakeholders involved in decision-making related to production and handling of plastic products is an important subsequent step in this research area, so that we can understand 27 different stakeholder perspectives, and obstacles faced; perceived or real. The development of 28 a deeper understanding of the challenges perceived and experienced by professional 29 30 stakeholders is a useful starting point in the development of more cohesive and achievable, sustainable plastic waste reduction strategies. In turn, this understanding can support 31 consumers as they navigate a plastic-abundant environment by informing organisational 32 decision-making and influencing consumer purchasing environments (Bharadwaj & Rai, 33 2021). 34

This study aims to build on previous stakeholder research (e.g. McNicholas & Cotton, 2019) and recent relevant consumer-centred research (Roy et al., 2021), by exploring in-depth, the perceived barriers and facilitators to addressing plastic waste from the perspective of various stakeholder representatives (manufacturers, retailers, waste management specialists and local government). By doing so, we will provide a more holistic overview of the psychosocial, environmental, and structural factors influencing the end-to-end plastic waste management system.

- 8 **3.** Materials and methods
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10 **3.1. Design**

This qualitative study adopted a semi-structured interview design which was deemed most appropriate to address the complex research question. Ethics approval was obtained through the authors' institution prior to recruitment (Queen's University, Faculty of Engineering and Physical Sciences; EPS 19_318). This study is written in line with the Consolidated Criteria for Reporting Qualitative research (COREQ) guidelines to support the sound reporting of methods and findings (Tong, Sainsbury, & Craig, 2007). This report has been pre-registered as a pre-print on Open Science Framework [insert identifier].

18

19 3.2. Participants

Recruitment took place during Autumn 2019, using convenience sampling. The researchers
invited several important stakeholders in the plastic's circular economy within a single region
of the UK to participate. The 12 participants represented manufacturers of plastics, retailers,
waste managers, a large University, recycling companies and local and central government.

24

The interviews took place at a mutually acceptable venue, either on University premises or at the participant's place of work. Before the interviews began, the participants were given the information sheet and offered the chance to ask questions. The participants were also advised that they could stop at any time during the interview. If they still wished to proceed, they completed the consent form. Age and sex and role of participants are displayed in Table 1.

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31 [INSERT TABLE 1 HERE]

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1 3.3. Data Collection

All the researchers involved in the coding and analysis (DR, MD, EB, KO) were experienced
in the use of qualitative methods, and adopted a phenomenological approach (Smith, 1996).
Semi-structured questions and the interview schedule were designed to gain an understanding
of existing plastic consumption and plastic waste disposal services, and any challenges and
opportunities being experienced by each stakeholder.

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8 **3.4. Conceptual Framework**

9 The interview schedule was set within the context of a broad behavioural theoretical framework 10 that encapsulates the multiple factors involved in plastic waste production and disposal; the 11 Capability, Opportunity and Motivation (COM-B) model of behaviour (Michie, Hyder, Walia &West, 2011; Michie, van Stralen, & West, 2011). Given the inter-disciplinary and multi-12 13 dimensional nature of the plastic materials economy, part of the discussion is set in the context of the Ecological Systems Theory developed by Bronfenbrenner (1974). The semi-structured 14 15 interview schedule included example scenarios to elicit current beliefs, attitudes, and feelings towards policy implementation (see supplementary file 1 (S1) for interview schedule). DR 16 17 conducted the interviews and is an experienced qualitative researcher with a phenomenological orientation, but who also integrates this naturalistic enquiry with a realist stance (Pistrang, & 18 19 Barker, 2012). This is because of her experience and knowledge of applying socio-cognitive 20 models to explain sustainable behaviour and attitudes towards the environment. Biased interpretation was mitigated, by other members of the research team reading the transcripts to 21 check for consistency in the emerging codes, and continued reviews of the analysis (Korstjens 22 & Moser, 2018). The interviews lasted 45 minutes on average, and these were audio recorded. 23 The participants were unknown to DR before the interviews took place. The core research team 24 (DR, MD, EB) held coding meetings regularly, and concluded, after 12 interviews, that no new 25 themes of note were emerging and consequently were satisfied that saturation point had been 26 27 reached (Namey, Guest, McKenna & Chen, 2016).

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29 **3.5. Data analysis**

The analysis was based on a semi-directed content analysis approach (Hsieh & Shannon, 2005) along with a search for emerging themes. The researchers therefore allowed for the possibility of novel patterns to emerge while also considering the findings within the context of the COM-B framework, and other relevant theoretical frameworks. This also preserved the flexibility to

offer interpretations of all the data, and to allow themes to be derived from the data. DR initially 1 familiarised herself with the data and used NVivo 12 software to assist with coding by category 2 and consistency, and then looked for any patterns that presented. This was an iterative process. 3 A reflexive record was kept of the decision-making of the researcher as she coded and searched 4 5 for patterns in the data. A subset of the transcripts was initially coded by other members of the team (MD and EB) to ensure reliability and to ensure that findings were trustworthy and 6 7 practically sound. Overarching themes were finally identified and checked by the whole research team to confirm they provided a good representation of the findings (Braun & Clarke, 8 9 2006). This process ensured that reflexivity was maintained throughout the analysis to support credibility and confirmability, particularly with recognition of the potential influence of 10 researcher bias (Korstjens & Moser, 2017). 11

12

13 4. Results

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15 Three overarching themes were extracted from the interview data: 1) Disempowerment and 16 lost opportunities; 2) Solutions and opportunities reside with use of legislation; 3) The circular 17 economy stakeholders need motivation, and to be more knowledgeable.

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19 4.1. Sense of disempowerment and lost opportunities

There is a sense of disempowerment, that opportunities are being lost, due in part to a perceived lack of investment in the core infrastructure to increase recycling rates and appropriate facilities that could help to maximise the repurposing of the varieties of plastics in the marketplace. This is felt particularly among those responsible for developing recycling capacity and service and within both local institutions and local authorities.

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"[Our region] has got an infrastructure deficit of about 30 years behind the rest of the UK and further behind Europe. We just do not have the facilities to dispose of materials and so much of our waste is being offshored; its being sent to Europe for incineration". (Local Authority leads)

29 30

31 There are considerable financial benefits to be made by local authorities if recycling rates

32 could be improved and it is seen a lost opportunity, only adding to sense of frustration.

33

34 "If everybody did everything today, we could save £2million using the existing architecture that
35 is in [name of City] right how... "If we look at some of the most recent studies there is easily £50
36 million gross value to be added to the [name of region] economy through better recycling, and

- that is from one particular recycling agent, who is prepared to put their head about the parapet
 and say it" (Local Authority lead)
- 3
- The use of social media was also a subject of debate, as using this medium for educating the 4 public only reaches those who are able, and comfortable, with using social media. It was felt 5 that the medium for dissemination of knowledge needs to be capable of reaching all age groups. 6 7 "There's an awful lot - the default at the minute is social media, just stick it on social media, and we've done our bit, but there's a hell of a lot of people out there that do not 8 care, there's people my age group who don't have Facebook or Twitter because they 9 don't like it and there's my mum and dad who never have, and never will, and it's a lot 10 of the older generations as well really..they don't realize how it's going to hit wee Mrs. 11 Jones's, who is 70 years old and doesn't have Wi-Fi" [Local Authority lead]. 12 13 14 An enhanced level of knowledge among producers of plastic packaging about what happens

15 at the end of the cycle is essential, as it appear that gaps still exist, and producing food

16 retailers are prioritizing the use of attractive packing for marketing purposes, over the needs

- 17 to protect the environment.
- 18

"And we have companies, that say they are going to minimize packaging and [Name of company] did they, where they are minimizing packaging? They go on and put it in a purple box, then in a film bag thing. and then - how is that recyclable?" [University Sustainability Representative]

23

24 Those responsible for providing recycling services also took the view that profits have a higher

25 priority than the environment among manufacturers, and this was part of the problem, creating

- 26 feelings of pessimism about how much can be achieved.
- 27 "The only way that it's going to change for them [manufacturers], is if they're told that
 28 they have to ... there's other companies that feel, we need to make so many millions this
 29 year and so, to hell with the environment!" (Recycling Company Representative).
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4.2. Solutions and opportunities reside with use of legislation

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There was an acknowledgement that nations are placing a greater value on environmental issues, albeit sometimes from economic necessity, but some policy changes have now been introduced aimed at reducing plastic exports:

1 2 3 4 5 6	"The politicians would never have had environmental stuff at the front of their manifestos. But if you look at the manifestos both labour and conservative have said, we are going to ban plastic exports, and you know that is quite a radical thing to do, because the infrastructure and the technology isn't here for us to deal with it". (Government Representative).	
7	Some stakeholders acknowledged that it would make their job easier if augmented pro	
8	conservation legislation was in place, as there is an imbalance between what they could	
9	potentially do, compared to what is manageable, determined by availability of resources. And	
10	conversely, politicians are reluctant to introduce changes that would be unpopular with voter	
11	thus negatively impacting on any motivation they may have to enforce the very policies that	
12	could increase recycling rates.	
13		
14	One principle that is being adopted by the UK Government across all its nations in a few years,	
15	is the 'polluter pays' principle and so producer responsibility schemes are being enhanced	
16	(Dawson, 2019).	
17 18 19	"A Circular Economy Waste Package, again from the EU, and at moment that's to be introduced next year, so that's already in the pipeline, I think July next year it's to be introduced by so that's on the cards" (Government lead).	
20		
21	Despite these initiatives and enabling new legislation, there are still many people who have a	
22	role to play in the circular economy who are not cooperating with each other.	
23 24 25	"So there is a barrier between manufacturers and retailers. There are barriers is all over the place when you speak to them, the whole way up the value chain" (Government Lead).	
26		
27	4.3. The circular economy stakeholders need motivation and improved knowledge	
28		
29	Production of virgin plastic is not slowing down, as oil companies are looking to the plastic	
30	market for their future survival, given the car industry is moving to using more sustainable	
31	sources of fuel.	
32 33 34	"Shell is investing, 18 billion dollars in terms of new plastic production because they are looking to the future, they are going to have to retain market value". (Local Authority Representative)	
35 36	Without some sort of incentive for plastics industry to reduce production of virgin plastic, not	
37	all plastic can be repurposed and recycled. This is because the existence of open loop recycling	

internationally means a lack of an effective circular economy, and plastic packages not yet
 being designed-for-recycling to ensure plastic materials will be processed downstream.
 Consequently, this is keeping recycling rates drastically below what they should be.

- 4 "The real failing in our existing system, in recycling and manufacturing systems, is we
 5 have open-source recycling. So there is not a clear-cut home for all the materials we are
 6 placing into the marketplace, and they can leak from the system. 91% is leaking into the
 7 system... so it means it is going for a large part, somewhere else" (Local Authority Lead).
- 8
- 9 Large corporations have a narrow scope, and their efforts comprise of working with 10 environmental charities, primarily to change behaviour around littering and recycling.
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"We have partnerships with [environmental organisations]. So, we really work with them
quite closely to understand how we can change behaviour in relation to littering,
recycling" (Large Corporation)

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16 It is very possible that food packaging manufacturers may have good intentions to create less 17 food packaging, but these fail by add-ons of attractive marketing covers, on top of the plastic 18 tubs etc.

"And we have companies, that say they are going to minimize packaging [Name of company] did they, where they are minimizing packaging? They go on and put it in a purple box, then in a film bag thing.. and then how is that recyclable?" (University Sustainability Representative).

Improved understanding among manufacturers is needed, in terms of the type of collection arrangements that are provided by relevant local authorities, and also the types of bins that are given to householders to sort plastics and other waste and, importantly, which plastics, recycling companies can re-purpose. The perceived disconnect between consumers' behavior, and its wider environmental impact may also come from a poor understanding among individual consumers about how their unwillingness to separate plastics at point of disposal affects the environment directly or indirectly.

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- "I think there needs to be some kind of mechanism to make people make the link between their own consumption and the potential effect that it has on the environment". (Government Representative).
- 34 35

Also, the effortful nature of recycling, coupled with a lack of clarity and confusion around
how to recycle properly was recognized as a challenge. The most confusion lay with the
recycling of plastics.

"It's plastics...it's the clarity of what can and can't be...what we find is that if you get too specific, it overwhelms people" Recycling Company)

It is not all bad news; there has been an increase in the amount of plastic waste that is
separated by householders for collection over the last few years, and the recycling company
suggested that this may be a result of consciousness driven by, for example, the impact of the
'Blue Planet Effect' seen first-hand.

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> "If we tracked our material sales of plastic, to indicate what came into us, when that program was first shown, what it, about one and a half or two years ago? That's where you'll see a spike because people went, shit, really, is that what happens to that poor wee bird with all the plastics in it, that really has had a massive effect... It's trendy now to be environmentally aware". (Recycling Company)

15 5. Discussion

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This exploratory research sought to investigate the beliefs and attitudes of a range of 17 18 stakeholders (manufacturers, retailers, waste management specialists and local government) on the challenges and opportunities related to the management of plastic waste. Using the COM-19 20 B model of behaviour (Michie, et al., 2011) as scaffolding for an interview topic guide facilitated an in-depth exploration of the extent to which stakeholders feel capable of 21 contributing to the change needed for a circular plastics system, perceive that there is 22 opportunity and resource available to influence organisational change, and the extent to which 23 they feel *motivated* and empowered to take action. 24

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It is understandable that those charged with collecting and recycling the nation's waste feel 26 disempowered. One reason for this is simply the absence of an EPR scheme in Northern 27 Ireland, making its absence fairly unique in the wider European context. The Local Authority 28 29 waste management services cited years of underinvestment in both household collection services, and recycling facilities, as one of the main obstacles to increasing recycling to optimal 30 levels. This speaks to existence of barriers to CE highlighted by Hina et al., (2022) at the 31 beginning of this paper. This is a sticking point, because it is less expensive to export plastic 32 33 waste to other countries, and it is cheaper to make new virgin plastic rather than try and repurpose discarded plastics (Border, 2018). In smaller regions of the UK, the challenge, even 34 with investment, will be harmonising what are now, conflicted political agendas. Adding to 35 this sense of disempowerment is the perception among professionals involved in collecting and 36

recycling plastics, that large retailers lack insight into the extent to which their marketing 1 activities exacerbate the problem, not least in their use of colour in packaging. Among many 2 of the stakeholders interviewed, consumers are perceived to be at the crux the plastic waste 3 problem through lack of appropriate plastic waste sorting behaviour, which is a further barrier 4 5 posited by Hina et al., (2022). There is no doubt that consumers' (in general) lack sufficient 6 knowledge and lack motivation to seek information about the types of plastics that can be 7 repurposed and sorted, so that recycling companies can collect them and convert to valuable recycled plastics.. But arguably there is reluctance and lack of perceived incentives among 8 9 manufacturers and retailers to reduce plastic production and simplify the materials being used by large food retailers. The findings by Roy et al (2021) suggest that the disorganised 10 abundance of plastic materials that have to be sorted for recycling is but one important reason 11 (out of many) as to why many consumers find recycling a challenge. This implies the need for 12 change at the level of plastic packaging design (to include a strong multi-national legal 13 framework on use of complex, coloured, and mixed material packaging) as well as increasing 14 the opportunity to recycle by means of environmental restructuring (more consistent bin 15 16 availability and visual cues to help consumers navigate the recycling system). This echoes Hina et al's (2022) assertion that a lack of suitable regulatory is a barrier to a CE, and also Jaeger 17 18 and Upahhyay's (2020) findings that manufacturers need to move away from current norms and engage in more innovative product design to contribute to the development of a circular 19 20 economy. Di Foggia, Giacomo; Beccarello, & Massimo (2022) suggest that a way to support this change is to adopt a waste sector manager or systems operator (SO) with an overarching 21 22 co-ordination responsibility, but will rely upon an EPR being put in place. Creating such posts will ensure environmental goals are the focus across the waste management sector. This multi-23 24 level regulator could provide information, report plans, monitor and report goals and results, 25 support and co-ordinate local councils activities, and engage local residents (DiFoggia et al, 26 2022).

Other research has reinforced the notion that improved packaging can be achieved through innovation, and collaboration with the supply chains, and use of pilots to test different collection processes that could handle the various types of plastics (Gong, Putnam, You & Zhao, 2020). If however the CE continues to work within a free market system, a continued lack of overarching administrative or government control, will mean efforts to collaborate more, but also efforts to bring changes to plastic packaging design, will fail (Siderious & Zink (2022). But assuming an EPR will soon be in place in NI, knowledge transfer and training among leaders in each sector will be of value as a risk averse company culture is one of the main barriers to the implementation of a circular economy (Hina et al., 2022; Kirchherr et al., 2018). When managers in an organisation have an internal rather than an external locus of control, they are more likely to display perseverance, applying new efficient procedures in making decisions, within technical limits (Kerdlap, Low & Ramakrishna, 2019), organizing their own work and that of their subordinates (Dumitriu et al., 2014).

However, consumer-facing companies can empower themselves to be more circular, and 8 9 Bocken and Konietzko (2022) suggest one way is to this is to adjust their business models by developing strong visions of sustainability, and building their understanding of how customer 10 behaviour impacts upon the environment. All of which can be achieved by these companies 11 collaborating more with each other (Hull, Millette & Williams, 2021). Such consortia of like-12 minded individuals could be the environment where creative solutions, and consequently 13 feelings of empowerment may then emerge, underpinned with legislative support 14 (Langendahla, Mark-Herbert, & Cook (2022). 15

16

The responsibility is cross-cutting and requires behavioural and procedural change across these 17 18 diverse sectors (Heidbreder et al., 2019). Discussions about the circular economy within an organisation need to reach more influential departments such as operations or finance 19 20 (Kirchherr, et al., 2018). One suggestion could be to develop an accessible education program. Help could be sought from an organisation such as the UK Waste and Resources Action 21 22 Program (WRAP) which already works alongside industries involved in the manufacturing and retail of plastics and already, 1.5 billion un-recyclable black plastic ready-meal trays have been 23 replaced with recyclable alternatives by supermarkets since 2018 (Clear on plastics, 2021). The 24 use of the modified Ecological Systems Theory (EST) developed by Bronfenbrenner (1974) 25 26 (see Figure 1) could be visualised in an accessible education program or interdisciplinary workshop; given how it explains the impact context and its interaction with individual 27 behaviour. It could be used to highlight the importance of communication and collaboration 28 between the different systems and supply chain stakeholders to understand how their actions 29 30 impact upon each other i.e. the 'ripple-effect'. Figure 1 contextualises stakeholders interviewed to EST and frames them within the wider supply chain and product lifecycle, drawing upon 31 key findings elicited from the interviews. This modified EST visualises the respective roles of 32 stakeholders and highlights the need to co-create solutions to any implementation problems. 33 See Gasde et al. (2021) for a more comprehensive example of how these stakeholders fit within 34

a product life cycle. Crucially, industries involved in plastic manufacturing should learn how
waste is collected, sorted and recycled. But equally recycling companies would also benefit
from gaining a greater understanding of challenges and trade-offs that fast moving consumer
goods industries have to negotiate. Each section of the model can thus be used as scaffolding
to explore the internal idiosyncrasies related to plastic waste and recycling within systems as
well as exploring their intersectionality across different contexts and cultures.

7

8 [INSERT FIGURE 1 HERE]

9

10 **5.1 Conclusion**

This qualitative research captures the challenges perceived and experienced by professional 11 stakeholders including manufacturers, retailers, waste management specialists and 12 government. The perceived and experienced barriers discussed provides a sense of the 13 psychological, systemic, and pragmatic limitations experienced by stakeholders. It is important 14 to consider that some strong opinions overall could be the result of 75% of the sample being 15 16 female as previous research for example, Dilkes-Hoffman, Pratt, Laycock, Ashworth, and Lant (2019).found that males view the issues of plastic waste pollution to be less serious than 17 18 females, something to be considered when developing education progammes. Also, it is apparent that no stakeholder cohort bears, or should bear, sole responsibility for the plastics 19 20 dilemma. But a strong multi-sector legal and knowledge framework is essential, along with significant investment to develop the infrastructure enabling the processing and repurposing of 21 22 a broader range of plastics, including low grade plastics. Adopting an interdisciplinary approach to addressing complex societal challenges is certainly not a new concept; but it is 23 24 often overlooked, which can lead to any attempts to findings a solution to plastic waste exacerbating the waste problem. There is a reason to have some optimism, as further legal 25 26 frameworks stem the tide of non-recyclable plastic entering the plastic supply chain, but this must be accompanied by investment in infrastructure and collaborative education 27 programs/projects utilising helpful socio-ecological models (as discussed above). Engaging in 28 these interdisciplinary activities to inform decision-making, organisational processes, and 29 environmental change (e.g. architecture of purchasing environments) in relation to plastic 30 31 manufacturing, retail, and waste management, can support consumers as they navigate a 32 plastic-abundant environment.

Furthermore, the scapegoating of consumers to account for the suboptimal levels of recycling 1 is an example of responsibility deflection which should not be overlooked, particularly because 2 this belief generates feelings of disempowerment. It is vital that stakeholders such as 3 manufacturers and retailers (and the legislators involved in product development and sales) are 4 5 supported and encouraged to explore their role in helping consumers make better decisions. As eluded to above, one example of where manufacturers, retailers, and waste management 6 7 professionals' roles and capabilities can be galvanised more effectively include changes to plastic packaging design (which may necessitate legislation change on use of complex, 8 9 coloured, and mixed materials if change is to be adopted on a national and international scale). Another example includes increasing the opportunity to recycle by means of environmental 10 restructuring (e.g. making better use of visual cues to help consumers navigate the recycling 11 system and ensuring comprehensive availability of recycling resources). Again, such 12 innovations require interdisciplinary partnerships, collaboration, humility to recognise the 13 responsibilities of their role, and through partnership working recognition that they can 14 influence other systems implicated in the plastic lifecycle. 15

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22 Declaration of interest statement

This manuscript has not been previously published and is not currently under consideration elsewhere. The work is original and our own, and no copyright has been breached by the inclusion of any content drawn from another source. The submitted manuscript has been approved by all co-authors who have no conflicts of interest. The study followed strict ethical guidelines and was approved by the Ethics Committee of the Psychology Department at Queens University Belfast.

29

30 Data Accessibility Statement

- 31 The dataset is held in a secure repository at Queens University Belfast Psychology
- 32 Department. Interested researchers wishing further data should initially contact [insert details
- 33 removed in anonymous version].

2 Funding information

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7	Appendix
8	Supplementary document 1 (S1): Interview Schedule for Stakeholders

- 9
- 10 The interview schedule will used to give some focus to the discussions and encourage
- 11 reflections on current practices around beliefs, any barriers, any solutions, and consumer
- 12 behaviour. A small number of structured scenarios may be used to prompt their
- 13 understandings around **motivation**, **capability** (knowledgeable, being in control), and
- 14 **opportunity** of all stakeholders.
- 15 N.B. Not all questions will be relevant to each stakeholder, but we have included the full set
- 16 of questions in the schedule. We will skip any questions that are not relevant to the
- 17 respondent on the day.

Questions	Prompts
(1)Please tell us a little bit about your job.	What is their role in their company - are they scientists, retailers, managers, etc.
(2)When it comes to recycling, could we do more, what could we do?	Governments, businesses, industry, retailers like Sainsbury's etc. Probe knowledge–do you think it is better to bury than burn it?
(3)Who needs to take responsibility?	Government, manufacturers, businesses retailers, consumers, industry etc. Is it a consumer responsibility or shared responsibility?
(4)What are opportunities and best ways to encourage re-use and recycling more?	<i>Probe where do they think the opportunities lie.</i>

	Probe knowledge and understanding of plastics and best ways to reduce plastic waste.
(5)What do you understand about sustainable plastics should we use them more?	Ask about the benefits and also any barriers to more widespread manufacturing and consumption of sustainable plastics.
(Glass bottles, cloth bags for shopping, food packaging made from sugar cane products, tapioca or paper etc.	Think of food packaging, containers, or children's toys, or shoes, building houses, window frames, plastic containers for cleaning fluids etc.
(6)If you haven't already, why have you not implemented new, more sustainable plastics (eg. bio-based plastics)?	What are the barriers/facilitators to doing this? (for manufacturers)
(7)What would make it easier to recycle the materials you collect? (Recycling businesses and collectors, e.g. Bryson, Council)	How they are separated, or the materials used, better advice and education for consumer etc?

Questions	Prompts	
(8) What could consumers do to make this easier? (Recycling businesses and collectors, e.g. Bryson, Council)	Do you think they need help to do this – who is best placed to help them?	
(9) Is there anything that other people could do to make your recycling business more viable? <i>(Recycling businesses and collectors)</i>	In addition to the consumer – what else could be done, by whom?	
(10) How flexible can your recycling business be – can it easily adapt to new products? (Recycling businesses and collectors)	<i>What are the barriers / facilitators to this?</i>	
(11) What level of communication do you have with manufacturers/retailers about new products and any adaptations you need to make? <i>(Recycling businesses and collectors)</i>	Any opportunities to meet, any associations you all are part of; Any tensions/ barriers to this happening?	
(12) Anything you would like to add that we haven't covered?	Is anything you are currently doing to tackle the issue but we haven't touched on?	

What are the biggest hurdles for yourstakeholder/company?
Something around the relevance and importance for that stakeholder/company – is it an important business objective (the need to recycle plastics/reduce plastic waste etc.) ?

3 Table 1. Demographic Breakdown of Interviewees by Age and Sex

	SEX	
AGE	Male	Female
<30	0	1 (Sustainability management representative in a
		university)
30-40	0	2 (Representatives of a company that produces, bottles and
		distributes soft drinks).
		1(Representative from large recycling services provider).
		1(Environmental management representative in a
		university).
41-50	0	1 (Owner of company selling refills and eco-friendly
		products).
		1 (Policy lead, Central Government).
		1(Policy lead, Central Government).
51+	3 (x 1 Senior	1 (Policy lead, Central Government).
	manager, x2 Policy	
	leads in Local	
	Authorities/Local	
	Government.	

