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# Bottom-up fabrication of FeSb<sub>2</sub> nanowires on crystalline GaAs substrates with ion-induced pre-patterning

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In recent decades, nanostructuring has become one of the most important techniques to design and engineer functional materials. The properties of nanostructured materials are influenced by the interplay of its instrinsic bulk properties and the properties of its surface - the relative importance of the latter being enhanced by the increased surface-to-volume ratio in nanostructures. For instance, nanostructuring of a thermoelectric material can reduce the thermal conductivity while maintaining constant electrical conductivity and the Seebeck coefficient, which would improve the thermoelectric properties. For that reason, this study investigated the possibility of preparing nanowires of iron antimonide (FeSb<sub>2</sub>), a thermoelectric material, on single-crystalline gallium arsenide GaAs (001) substrates with ion-induced surface nanoscale prepatterning and characterized the structure of the prepared FeSb<sub>2</sub> nanowires. The GaAs (001) substrates were pre-patterned using 1 keV Ar<sup>+</sup> ion irradiation. By using an ion source with a broad, unfocused ion beam at normal incidence, the patterned area can be scaled to nearly any size. The self-organized surface morphology is formed by reverse epitaxy and is characterized by almost perfectly parallel-aligned ripples at the nanometer scale. For the fabrication of FeSb<sub>2</sub> nanowires, iron and antimony were successively deposited on the prepatterned GaAs substrates at grazing incidence and then annealed. They were characterized using transmission electron microscopy (TEM), in particular highresolution TEM imaging for structure analysis and spectrum imaging analysis based on energy-dispersive X-ray spectroscopy for element characterization. With the presented fabrication method, FeSb<sub>2</sub> nanowires were produced successfully on GaAs(001) substrates with an ion-induced nanopatterned surface. The nanowires have a polycristalline structure and a cross-sectional area which is scalable up to  $22 \times 22 \text{ nm}^2$ . Due to the high order nanostructures on the GaAs substrate, the nanowires have a length of several micrometer. This bottom-up nanofabrication process based on ion-induced patterning can be a viable alternative to top-down procedures regarding to efficiency and costs.

### KEYWORDS

bottom-up nanofabrication, ion-induced nanopatterning, physical vapor deposition, transmission electron microscopy, energy-dispersive X-ray spectroscopy

# 1 Introduction

Ion irradiation offers a great possibility to modify the surface morphology of materials. Exposed to a broad ion beam, the surface of a solid is either smoothed or various nanoscale patterns emerge depending on the irradiation conditions and the material properties [1]. Ion irradiation induces erosive, redistributive, and diffusive processes on the material surface. As a result of these concurrent processes, hexagonally ordered dot or pit patterns [2], checkerboard patterns [3–5], as well as periodic ripple [6] or sawtooth patterns [5] are formed spontaneously on the irradiated surface. The surface temperature plays a crucial role in this pattern formation. Below the recrystallization temperature of the material, the surface is quickly amorphized and the formation of periodic patterns results from the interplay of curvature dependent sputtering [7, 8], ballistic mass redistribution [9], smoothing by surface diffusion [10] or viscous flow [11], and altered surface stoichiometry in the case of binary materials [12]. In this case, the patterns follow the symmetry given by the ion beam direction, i.e., hexagonal short-range order at or near normal incidence and two-fold symmetry with the ripple direction oriented perpendicular or parallel to the ion beam direction at off-normal incidence [8]. At temperatures above the recrystallization temperature, ion induced defects are dynamically annealed and amorphization of a crystalline solid is prevented. Like in molecular beam epitaxy, the diffusion of vacancies and ad-atoms on the crystalline surface is now additionally affected by the Ehrlich-Schwoebel barrier, the diffusion barrier at terrace edges and kinks [13, 14]. Ion-induced vacancies and ad-atoms which diffuse on the surface are therefore trapped on terraces and can cluster to form pits or mounds with shaped and orientations which are energetically favorable in the given crystalline material. In this regime, termed "reverse epitaxy", the resulting pattern symmetry reflects the symmetry of the crystal structure of the irradiated surface [3, 5, 13, 15]. On Ge or Si surfaces, pyramidal structures with specific crystal facets have been observed whereas on GaAs(001) a rippled surface morphology is formed due to the twofold-symmetry of the (100) surface of the zinc-blende crystal [5, 16]. The structured GaAs surface is characterized by an almost perfect alignment of the ripples along the  $\langle 1\overline{10} \rangle$  direction with a period length of 46 nm. It is thus a promising candidate as a template for a bottom-up fabrication of parallel-aligned nanowires and has been used in this work for the bottom-up fabrication of FeSb2 nanowires.

Nanostructures are important for the development of new technologies. Bottom-up processes for nanostructure fabrication are fast and easily scalable in comparison with to top-down processes. However, bottom-up processes result in less controllable surface patterns with a lower degree of long-range order. The main difference of nanostructures compared to the bulk material is the strong influence of surface effects on the properties, due to their high surface-to-volume ratio. This creates possibilities for enhancing the efficiency or modifying the functionality, for example, of optical [17-21], catalytic [22-24], biological [25, 26], magnetic [27-30], or thermoelectric [31-33] devices. To improve the thermoelectric efficiency, it is necessary to increase the thermoelectric figure of merit (ZT, Eq. 1), which includes the temperature (T) and the important material properties for thermoelectricity: Seebeck coefficient (S), electrical conductivity ( $\sigma$ ), and thermal conductivity ( $\kappa$ ), which can be divided into the electronic thermal conductivity ( $\kappa_e$ ) and the phononic thermal conductivity ( $\kappa_p$ ). In contrast to S,  $\sigma$ , and  $\kappa_e$ , which are mostly determined by the material,  $\kappa_p$  can be influenced by the structure of the material. It is defined by Eq. 2 with the heat capacity  $c_V$ , the sound velocity  $\nu$ , and the phonon mean free path l [31].

$$ZT = \frac{S^2 \sigma T}{\kappa} = \frac{S^2 \sigma T}{\kappa_e + \kappa_p} \tag{1}$$

$$\kappa_p = \frac{1}{3} c_V \nu l \tag{2}$$

One approach to reduce  $\kappa_p$  is the reduction of the phonon mean free path by phonon scattering at the surface or interface. Therefore, the structure size has to be smaller than the phonon mean free path of about 10 nm-100 nm. Boukai et al. [33] showed that for Si nanowires, it is possible to increase ZT by almost two orders of magnitude compared to the bulk material. Another interesting for thermoelectric nanostructures material is FeSb<sub>2</sub>. Monocrystalline FeSb<sub>2</sub> is characterized by its high Seebeck coefficient of  $-45 \text{ mV K}^{-1}$  [34] and its high power factor (S<sup>2</sup> $\sigma$ ) of about 8,000  $\mu$ W K<sup>-2</sup> cm<sup>-1</sup> [35] at low temperatures (10–100 K). These values are two orders of magnitude higher than those of the state-of-the-art thermoelectric material Bi<sub>2</sub>Te<sub>3</sub> [31]. FeSb<sub>2</sub> can be synthesized using physical vapor deposition (PVD). One option is to deposit Fe and Sb in the correct stiochiometric ratio followed by an annealing process above 200 °C [36].

In this paper, we present the bottom-up fabrication of  $\text{FeSb}_2$  nanowires on GaAs(001) substrates pre-structured *via* ion-induced nanopatterning. We describe the fabrication process and compare the different outcomes with regard to nanowire morphology, elemental distribution, and lattice structure for different deposition sequences of Fe and Sb.

### 2 Materials and methods

The bottom-up fabrication of  $FeSb_2$  nanowires on pre-patterned single-crystalline GaAs(001) substrates was carried out in three steps, which are schematically shown in Figure 1. First, the GaAs substrates are irradiated with  $Ar^+$ -ions, resulting in a crystalline, rippled GaAs surface (Figure 1A). On these pre-patterned substrates, Fe and Sb are successively deposited at grazing incidence (Figure 1B). Finally, the nanowires with layered Fe and Sb are annealed to form  $FeSb_2$  nanowires (Figure 1C). In the following, the individual steps are described in more detail.

For the substrates, we used single-crystalline GaAs(001) (CrysTec GmbH, Germany). The samples had a size of 10 × 10 mm<sup>2</sup> and the  $\langle 1\bar{1}0 \rangle$  direction was marked on the backside for the correct alignment, since the rippled structure is aligned parallel to this crystallographic direction. The samples were cleaned with ethanol before introducing into the high-vacuum system with a base pressure of  $10^{-7}$  mbar. For the irradiation, 1 keV Ar<sup>+</sup> ions were generated with a Kaufman-type ion source at a working pressure of  $2.5 \times 10^{-4}$  mbar. The surface temperature of the sample was 400 °C during the irradiation. This was controlled with a pyrometer (Pyrospot DP10N, DIAS infrared GmbH, Germany). All samples were irradiated at normal incidence with an ion flux of 3 ×  $10^{15}$  cm<sup>-2</sup> s<sup>-1</sup> and an ion fluence of 3 ×  $10^{19}$  cm<sup>-2</sup>. After



#### FIGURE 1

with Ar<sup>+</sup> ions. (B) Successive deposition of Fe and Sb on the pre-patterned substrate. (C) Annealing of the deposited nanostructures.



irradiation, the samples were cooled down in vacuum. The resulting surface topography is shown in Figure 2.

The fabrication of the FeSb<sub>2</sub> nanowires was accomplished by successive physical vapor deposition (PVD) of Fe and Sb in the same vacuum system without exposing the nanostructured GaAs(001) surface to air. For the Fe deposition, we used an electron beam evaporator (UHV Evaporator EFM 3, Focus GmbH, Germany). The mounted Fe bar (MaTeck GmbH, Germany) had a diameter of 2 mm and a purity of 99.99%. For the Sb deposition, we used a low-temperature effusion cell (NTEZ 40-10-22-KS-2107228, Dr. Eberl MBE-Komponenten GmbH, Germany) and Sb granules (MaTeck GmbH, Germany) with 0.5-1.0 mm diameter and a purity of 99.9999%. To fabricate nanowires, it was necessary to perform

deposition under a glancing angle of >74°, since the rippled structure of GaAs(001) had an inclination angle of 16° [5]. Therefore, we deposited Fe and Sb at an angle of 80°. The deposition was done successively with a desired height ratio of deposited Fe-layer to deposited Sb-layer of about 1:5 to achieve the correct stoichimetric ratio for FeSb<sub>2</sub>. This was estimated by the density of Fe and Sb. The deposition rates were 0.14 nm min<sup>-1</sup> for Fe and 0.35 nm min<sup>-1</sup> for Sb, respectively. These rates were determined on a flat GaAs surface with removed native oxide layer at normal incidence. After deposition, the samples were annealed in vacuum at 250 °C in the same vacuum system.

To characterize the microstructure of the as-prepared and annealed samples, transmission electron microscopy (TEM) was performed. To this end, classical TEM cross-sections of the samples glued together in face-to-face geometry using G2 epoxy glue (Gatan Inc., United States) were prepared by sawing (Wire Saw WS 22, IBS GmbH, Germany), grinding (MetaServ 250, Bühler, Germany), polishing (Minimet 1,000, Bühler, Germany), dimpling (Dimple Grinder 656, Gatan Inc., United States), and final Ar ion milling (Precision Ion Polishing System PIPS 691, Gatan Inc., United States). Bright-field and high-resolution TEM (HR-TEM) imaging were done using an image-Cs-corrected Titan 80-300 microscope (FEI, United States) operated at an accelerating voltage of 300 kV. High-angle annular dark-field scanning transmission electron microscopy (HAADF-STEM) imaging and spectrum imaging analysis based on energydispersive X-ray spectroscopy (EDXS) were performed at 200 kV using a Talos F200X microscope equipped with a Super-X EDXS detector system (FEI, United States). Prior to (S)TEM analysis, each specimen mounted in a high-visibility low-background holder was placed for 8 s into a Model 1,020 Plasma Cleaner (Fischione Inc., United States) to remove potential contaminations. Determination of the formed crystalline Fe-Sb phases was done by fast Fourier transform (FFT) analysis of selected cross-sectional nanowire regions. In particular, potential zone axis diffractograms were calculated from the recorded HR-TEM images and compared with simulated diffraction patterns of hexagonal FeSb [37] and

Sample	Bottom layer		Top layer		Annealing time
	material	deposition time	material	deposition time	
1	Fe	60 min	Sb	30 min	no heat treatment
2	Sb	30 min	Fe	60 min	no heat treatment
3	Fe	60 min	Sb	90 min	75 min
4	Sb	30 min	Fe	15 min	30 min

TABLE 1 Parameters for the deposition and heat treatment at 250 °C of the nanowire samples.



orthorhombic FeSb<sub>2</sub> [38] using the JEMS software package. Additionally, quantitative element analysis was performed on sum spectra obtained from selected nanowire cross-sections. In particular, spectrum quantification including bremsstrahlung background correction based on the physical TEM model, series fit peak deconvolution, and application of tabulated theoretical Cliff-Lorimer factors was done for the elements Fe (K line series) and Sb (L line series) using the ESPRIT software version 1.9 (Bruker, United States).

# 3 Results and discussion

We prepared four samples with different deposition order and heat treatment. The parameters for the sample preparation are summarized in Table 1. To prepare the samples, we first ionirradiated the GaAs(001) substrate to create a template for the nanowires. The ion-induced nanostructure of the GaAs(001) surface is characterized by almost perfectly parallel-aligned ripples besides some defects in the surface structure like bifurcation points, where two ripples are merged together. Such defects can be seen in Figure 2 in the upper right area, where a row of bifurcation points is visible. These bifurcation points are caused by the dynamics of the self-organized surface structuring process. Since we used a broad ion beam, the entire surface of the sample was irradiated and the growth of small ripples starts simultaneously in a random distribution. During this process, bifurcation points are created between phase-shifted ripple regions, which can move along the ripples while irradiating. With increasing irradiation time, oppositely orientated bifurcation points annihilate each other until isolated defects are formed. Thus, the bifurcation point density decreases with increasing irradiation time [39]. After



### FIGURE 4

Sample 2 cross-sectional overview bright-field TEM image in (A) and HR-TEM image of an individual nanowire in (B) with corresponding EDXSbased element distributions of Fe and Sb in (C), and of Fe, Sb, Ga, As, and O in (D). Sb was deposited before Fe, and the sample was not annealed. EDXS coloring: Fe - blue, Sb - magenta, Ga - red, As - green, oxygen - white (yellow due to additive color mixing of green and red).



### FIGURE 5

Sample 3 cross-sectional overview bright-field TEM image in (A) and HR-TEM image of an individual nanowire in (B) with corresponding EDXSbased element distributions of Fe and Sb in (C), and of Fe, Sb, Ga, As, and O in (D). Fe was deposited before Sb, and the sample was annealed for 75 min at 250 °C. EDXS coloring: Fe - blue, Sb - magenta, Ga - red, As - green, oxygen - white (yellow due to additive color mixing of green and red).



preparing the template for the nanowires, we successively deposited Fe and Sb under glancing incidence. Samples 1 and 2 were not annealed after deposition to investigate the as-deposited structure of the nanowires. To prepare  $FeSb_2$  nanowires, in the samples 3 and 4, we annealed them after the successive deposition of Fe and Sb. As described in Table 1, we changed the deposition order of Fe and Sb for the samples with and without annealing. For each sample, a representative cross-sectional overview bright-field TEM image, a HR-TEM image of one individual ripple with a deposited nanowire, and the corresponding EDXS-based element distribution are shown in Figures 3–6.

The cross-sectional overview bright-field TEM images in Figure 3A, Figure 4A, Figure 5A, Figure 6A confirm the rippled GaAs surface. Furthermore, they indicate the presence of nanowirelike structures, which-except for sample 3-are quite uniform and mainly located on the right ripple side (see Supplementary Figure S1, Supplementary Material for an SEM image of sample 2, confirming the uniformity of the nanowires over a length of more than  $1 \mu m$ ). All samples seem to be covered by a cap layer. On top of it, there is the glue used during TEM specimen preparation, which, for samples 3 and 4, ruptured from the cap layer during TEM analysis. The HR-TEM images (Figure 3B; Figure 4B; Figure 5B; Figure 6B) confirm the single-crystalline nature of the GaAs substrate. The internal structure of the nanowires will be discussed for each sample below. According to the EDXS-based element distributions in Figure 3D, Figure 4D, Figure 5D, Figure 6D, all samples are covered by an oxide cap layer. While the nanowire determines the type of the oxide on the right ripple sides, an amorphous Ga oxide forms on the left ripple sides.

For the as-deposited samples 1 and 2, the different setup of the Fe and Sb layers is clearly observable. In sample 2, as expected, Sb is detected underneath the Fe layer which gets oxidized on top. In addition, small amounts of Sb are present on top of the Ga oxide on the opposite ripple side and Fe is located within the Sb part. Since Fe is not soluble in Sb [40], the detected Fe is more likely caused by a non-continuous Sb layer. In sample 1, however, Fe is detected near the top ridges of the ripples and Sb near the ripple troughs. Instead of the expected doublelayer formation, like in sample 2, Fe and Sb are located beside each other. On the other hand, Sb is detected again on top of the Ga oxide as well as on the Fe oxide and also in small amounts between Fe and its oxide. The enclosed Sb between Fe and its oxide suggests a non-continuous Sb layer, while the detected Sb on top of the oxides in samples 1 and 2 points to a high diffusivity, especially if Sb is not covered by Fe.

Despite the high diffusivity of Sb, the annealed samples 3 and 4 formed individual nanowires with a mostly parallelogramshaped cross-section. The height (respective to the deposited surface) is determined by the deposition time and is  $(10.0 \pm 1.7)$  nm for sample 3 and  $(6.6 \pm 0.8)$  nm for sample 4, respectively. The height is not completely homogeneous, which leads to occasionally connected nanowires for sample 3. While the nanowires of sample 4 show complete Fe-Sb phase formation, there is a remaining Fe-rich core for each of the nanowires in sample 3. For both samples, a Fe-Sb oxide forms on top of the nanowires.

In samples 1 and 3, the Fe component and the GaAs substrate have the same orientation. In particular, corresponding FFTs from

the cross-sectional HR-TEM images can be described with the bodycentered cubic structure of Fe and the zincblende structure of GaAs in  $[1\overline{10}]$  zone axis orientation. This indicates an epitaxial growth of Fe on GaAs(001) and agrees with the lattice parameters, where that one of Fe, 2.866 Å, is approximately half the lattice parameter of GaAs, 5.653 Å [41]. In sample 2, on the other hand, there is no epitaxial growth, because Fe was deposited on Sb with no matching lattice parameters. The Fe-Sb nanowires synthesized in samples 3 and 4 have a crystalline structure, too. However, in contrast to the epitaxially grown Fe, different crystalline phases with various grain orientations point to a polycrystalline structure of the Fe-Sb nanowires.

To identify the crystalline Fe-Sb phases in the samples 3 and 4, quantitative EDXS analysis was performed on 10 different nanowire cross-sections for each sample. Additionally, FFT analysis was carried out on appropriate cross-sectional nanowire regions, as described in the experimental section. In particular, we found one region for sample 3 and three regions for sample 4 suitable for FFT analysis (see Supplementary Figures S2-S5, Supplementary Material). Regarding sample 3, EDXS analysis shows a Fe content of  $(33.9 \pm 2.0)$  at.-% and a Sb content of  $(66.1 \pm 2.0)$  at.-%. This agrees well with the result of the FFT analysis, where the diffractogram could be described with the orthorhombic FeSb<sub>2</sub> structure in [001] zone axis orientation (Fig. S2). Thus, both EDXS and FFT analysis reveal FeSb<sub>2</sub> formation for sample 3. Regarding sample 4, EDXS analysis of 9 nanowires shows a Fe content of (32.5  $\pm$ 3.1) at.-% and a Sb content of (67.5  $\pm$  3.1) at.-%. The two corresponding diffractograms match with the orthorhombic FeSb<sub>2</sub> structure in [211] (Supplementary Figure S3) and [120] zone axis orientation (Supplementary Figure S4), respectively. The third diffractogram can be described with the hexagonal FeSb structure in [100] zone axis orientation (Supplementary Figure S5), and EDXS analysis of the same area shows a Fe:Sb ratio of 43:57. Thus, in the case of sample 4, EDXS and FFT analysis reveal predominant FeSb<sub>2</sub> formation besides the presence of small amounts of FeSb.

Although a stoichiometric ratio for FeSb<sub>2</sub> was aspired for samples 3 and 4, the deposited ratio was wrong. In sample 3, the Fe phase is present in every observed nanowire, while in sample 4, the Fe-richer FeSb phase was detected besides FeSb<sub>2</sub>. This indicates a total Fe:Sb ratio shifted to a higher Fe content, probably caused by a lower deposition rate of Sb on a structured surface under glancing incidence than on a flat surface under normal incidence, as it was used to determine the deposition rate in advance. As described earlier, Sb seems to form a non-continuous layer on GaAs(001) and Fe. During the determination of the deposition rate, Sb island formation was observed. This points to a quite weak Sb-surface interaction, leading to low adhesion of Sb and a lower deposition rate on a structured surface under glancing incidence. However, it seems to be more favorable to prepare FeSb<sub>2</sub> nanowires on GaAs(001) by annealing Sb on top of epitaxially grown Fe. With this deposition order, excess Fe remains present, indicating that it is energetically more favorable for the system than forming the undesired FeSb phase like in sample 4. However, FeSb<sub>2</sub> nanowires with a Sb deficiency could be favorable for thermoelectric applications. Sanchela et al. [42] and Li et al. [43] reported the improvement of the thermoelectric properties for polycrystalline  $FeSb_2$  with a Sb deficiency. This is based on the reduction of the phononic thermal conductivity as well as the increase of the Seebeck coefficient. Additionally, Li et al. [43] described a two orders of magnitude higher electrical conductivity for thin films of  $FeSb_{2-x}$  (x = 0, 0.1, 0.2, 0.3). In view of these results, it would be interesting to advance our approach for nanostructuring  $FeSb_2$  with an improved control of the deposited Fe:Sb ratio.

# 4 Conclusion and outlook

We successfully prepared FeSb<sub>2</sub> nanowires in a bottom-up process. To this end, we used low-energy ion irradiation of GaAs(001) at normal incidence to create a template with an ordered parallel-aligned rippled surface structure. The nanowire preparation was done with PVD of Fe and Sb under glancing angle and annealing in vacuum. This enables to prepare nanowires with a variable cross-sectional size up to  $22 \times 22 \text{ nm}^2$ , which is the maximum size for separated nanowires on GaAs(001) with an ioninduced nanopatterned surface, before interconnection of the individual nanowires occurs. We showed that it is possible to prepare single-phase nanowires as well as nanowires with a layered structure, which are almost perfectly parallel-aligned, like the template. This is particularly advantageous for electrical contacting. The HR-TEM images we used for the characterization showed that Fe growth is epitaxial on nanostructured GaAs(001). These epitaxially grown Fe nanowires could be an approach for the further development of single-crystalline FeSb<sub>2</sub> nanowires with an optimized deposition and annealing regime. In the case of FeSb<sub>2</sub>, single-crystalline nanowires as well as polycrysatlline nanowires with a defined Sb deficiency could lead to a possible improvement of thermoelctric devices for cryogenic applications.

This bottum-up fabrication method for nanowires is, due to the used PVD process, quite independent of the material, and therefore, it is suitable for several application possibilities, e.g., thermoelectric, catalytic, magnetic, optical, or biological devices. For an even wider range of possible applications, it is necessary to find a solution to remove the nanowires from the substrate. In summary, the presented bottom-up nanofabrication offers a simple and robust method to create parallel-ordered nanowires.

### Data availability statement

The raw data supporting the conclusion of this article will be made available by the authors, without undue reservation.

### Author contributions

TW prepared the samples and conducted the AFM measurements. RH performed the TEM-based investigations. DE conceptualized the study, designed it with TW, and supervised the experimental work. SF lead the project. All authors contributed to data interpretation and jointly wrote the manuscript.

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# Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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### Supplementary material

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/fphy.2023.1149608/ full#supplementary-material

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