

1 **Brief communication**

2 **Surprise-induced enhancements in the associability of Pavlovian** 3 **cues facilitate learning across behavior systems**

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Abstract

Surprising violations of outcome expectancies have long been known to enhance the *associability* of Pavlovian cues; that is, the rate at which the cue enters into further associations. The adaptive value of such enhancements resides in promoting new learning in the face of uncertainty. However, it is unclear whether associability enhancements reflect increased associative plasticity within a particular behavior system, or whether they can facilitate learning between a cue and any arbitrary outcome, as suggested by attentional models of conditioning. Here, we show evidence consistent with the latter hypothesis. Violating the outcome expectancies generated by a cue in an appetitive setting (feeding behavior system) facilitated subsequent learning about the cue in an aversive setting (defense behavior system). In addition to shedding light on the nature of associability enhancements, our findings offer the neuroscientist a behavioral tool to dissociate their neural substrates from those of other, behavior system- or valence-specific changes. Moreover, our results present an opportunity to utilize associability enhancements to the advantage of counterconditioning procedures in therapeutic contexts.

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64 In an ever-changing world, brain mechanisms have evolved to modulate the associability of
65 Pavlovian cues in order to meet the learning demands of the environment (e.g., Mitchell & Le
66 Pelley, 2010). One form of modulation is captured by the so-called *uncertainty principle*,
67 according to which a cue's associability increases whenever its consequences are surprising
68 (Pearce & Hall, 1980; Pearce et al., 1982). In support of this notion, cues that predict an
69 outcome inconsistently (i.e., partial reinforcement) are subsequently learned about more rapidly
70 than cues that predict the outcome consistently (i.e., continuous reinforcement; Haselgrove et
71 al., 2010, Collins & Pearce, 1985). Similarly, repeated confirmation of outcome expectancies
72 decreases a cue's associability (Pearce & Hall, 1979; Griffiths et al., 2011; Mackintosh & Turner,
73 1971), whereas a sudden violation of those expectancies restores it (Hall & Pearce, 1982;
74 Dickinson et al., 1976; Holland, 1984). Surprise-induced associability enhancements have been
75 documented both in appetitive (e.g., Holland, 1984) and aversive (e.g., Dickinson et al., 1976)
76 procedures as well as across phylogenetically distant species (e.g., rats: Kaye & Pearce, 1984;
77 pigeons: Collins & Pearce, 1985; humans: Hogarth et al., 2008; Russo et al., 2019), suggesting
78 they might constitute a widespread, if not universal property of learning systems.

79 While these findings have fostered important neurobiological discoveries (reviewed in:
80 Holland & Schiffino, 2016; Roesch et al., 2012; Holland & Maddux, 2010) and theoretical
81 developments (Dayan et al., 2000; Le Pelley, 2004; Courville et al., 2006; Pearce & Mackintosh,
82 2010; Esber & Haselgrove, 2011), the nature and scope of associability enhancements remains
83 poorly understood. On the one hand, such enhancements might reflect a state of heightened
84 associative plasticity involving a specific association or behavior system (e.g., feeding, mating,
85 defense, etc.; Timberlake, 1993; 1994; Cabrera et al., 2019). Such a labile state would facilitate
86 the updating of associative representations involving the cue and outcomes within that behavior
87 system. It follows from this view that a surprise-induced associability enhancement by a food-

88 predictive cue, for instance, should translate as more rapid learning between that cue and food-
89 related outcomes (including food omission), but not necessarily outcomes related to other
90 behavior systems, such as the presence of a sexual partner (Domjan & Gutiérrez, 2019). On the
91 other hand, associability enhancements might arise from increased attentional processing of the
92 cue, as assumed by attentional models of associative learning (Mackintosh, 1975; Pearce &
93 Hall, 1980). If so, those enhancements should manifest as faster learning regardless of the
94 nature of the outcome and the behavior system engaged. Since studies on associability
95 modulation have traditionally employed a single reinforcer or reinforcer class (thus engaging a
96 single behavior system), this fundamental issue remains unresolved.

97 To decide between these alternatives, we violated the outcome expectancies generated
98 by a cue in an appetitive setting (feeding system) and tested the associability of the cue in an
99 aversive setting (defense system). To achieve this, we modified a serial prediction task (Wilson
100 et al., 1992) that has been extensively used to investigate the neural substrates of surprise-
101 induced associability changes in rats (e.g., Holland & Gallagher, 1993, 2006; Chiba et al., 1995;
102 Bucci & MacLeod, 2007; Esber et al., 2015). In the original task, a light stimulus is initially
103 followed by a tone that is partially reinforced with food ($L \rightarrow T \rightarrow \text{food}$, $L \rightarrow T \rightarrow \text{nothing}$). After
104 developing an expectancy of the tone during light presentations, animals in the Surprise
105 condition experience the unexpected omission of the tone on nonreinforced trials ($L \rightarrow T \rightarrow \text{food}$,
106 $L \rightarrow \text{nothing}$), whereas control subjects continue to receive the initial training ($L \rightarrow T \rightarrow \text{food}$,
107 $L \rightarrow T \rightarrow \text{nothing}$). The omission of the tone is intended to increase the associability of the light
108 without fundamentally changing its predictive or incentive properties (which, if anything, should
109 decrease during tone omission). This increase in associability is typically revealed in a
110 subsequent test in which the light is paired with food ($L \rightarrow \text{food}$) and more rapid learning is
111 observed in Surprise than control animals. [The fact that greater associability can be detected](#)

112 days after the end of the Surprise phase rules out transient increases in arousal and suggests
113 more enduring changes in the mnemonic representation of the cue.

114 Here, we tested the associability of the light by pairing it with foot shock in order to
115 determine whether associability increases can be expressed across behavior systems. Our
116 results disconfirmed the hypothesis that associability enhancements reflect heightened plasticity
117 within a particular behavior system. Rather, they are consistent with the view that such
118 enhancements result from increased attentional processing of the cue (Mackintosh, 1975;
119 Pearce & Hall, 1980; Pearce et al., 1982). Our procedure will provide neuroscientists with a tool
120 to dissociate the neural bases of associability changes from those of other, behavior system- or
121 valence-specific changes that a cue representation may undergo during learning. In the clinical
122 setting, our findings suggest the possibility of administering associability-boosting treatments to
123 bolster counterconditioning-based interventions.

124 **Methods**

125 *Subjects.* Thirty-four experimentally naïve, male Wistar rats were used in the study, run in three
126 cohorts that included animals from all three groups. They were obtained from the Animal
127 Production and Experimentation Center at the University of Seville. Upon arrival, rats were
128 acclimated to the colony room for two weeks with free access to food and water. The colony
129 room was maintained on a 14:10 light/dark cycle schedule at a constant temperature of 21°C.
130 Rats were housed individually in standard clear-plastic tubs (35×20×20 cm) with woodchip
131 bedding. At the start of the experiment, they were 7–9 weeks old and weighed 230–280 g. One
132 week prior to the beginning of the study, they were food deprived by progressively restricting
133 their diet until they reached 90% of their original body weight and maintained at that weight
134 thereafter. Once training began, they were fed a restricted amount immediately after the
135 experimental sessions. They had free access to water in their home chambers at all times. All
136 procedures and methods were carried out in accordance with the European Directive

137 2010/69/EU for the maintenance and use of laboratory animals and following Spanish
138 regulations (R.D. 53/02013). The protocol was approved by the Ethics Committee for Animal
139 Research of the University of Seville (Protocol Number: CEEA-US2015-27/4).

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141 *Apparatus.* Rats were trained in four identical, modular conditioning chambers (31.8 × 25.4 ×
142 34.3 cm, Med Associates, Inc.) enclosed in a ventilated light- and sound-attenuating cubicle
143 (63.5×41.9×49.4 cm, Med Associates, Inc.). An extractor fan was fitted on the right wall of the
144 cubicle and produced a ~60-dB background noise in the conditioning chamber. The side walls
145 of the conditioning chambers were made of aluminum, while the front and back walls and the
146 roof were made of transparent acrylic plastic. The floor consisted of 0.4 mm-diameter steel bars
147 oriented perpendicular to the front wall and spaced 1.4 cm apart as measured from their
148 centers. This floor grid was connected to a shock dispenser capable of delivering a foot shock
149 unconditioned stimulus (US). Each conditioning chamber housed a 6-W white jewel lamp
150 mounted 20 cm above the floor on the center panel of the left wall. Illumination of this lamp
151 provided the visual stimulus used during behavioral training. A speaker was mounted 20 cm
152 above the floor on the left panel of the left wall. This speaker was connected to a tone generator
153 set to deliver a 1500-Hz, 80-dB tone which served as the auditory stimulus used during training.
154 Each chamber also housed a recessed food cup located 2 cm above the floor on the center
155 panel of the right wall. This food cup was equipped with an infrared sensor for detecting head
156 entries and connected to a pellet dispenser capable of delivering 45-g sucrose pellets (DietTM;
157 Mlab Rodent Tablet-45mg; St Andrews University). The chambers remained dark throughout
158 the experimental session except during presentations of the light stimulus. In the same
159 experimental room was a computer running Med PC IV software (Med Associates Inc., St.
160 Albans, VT, USA) on Windows OS which controlled and automatically recorded all experimental
161 events via a Fader Control Interface.

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163 *Behavioral Procedure.* Rats initially received a single session of magazine training in which a
164 pellet was delivered in the food cup once every minute for a total of 30 minutes. They were then
165 randomly assigned to three groups (Figure 1, table). In the first, Appetitive serial conditioning
166 phase, the No-surprise and Surprise groups received Pavlovian magazine-approach training
167 with a serial compound consisting of a 10-s light immediately followed by a 10-s tone. On half
168 the trials, two pellets were delivered immediately after the termination of the tone
169 (light→tone→food, light→tone→nothing). This training was intended to establish the light as a
170 predictor of the tone. A Naïve group also received partial reinforcement training with the tone,
171 but the latter was not preceded by the light (tone→food, tone→nothing). In each session, 10
172 trials were presented in pseudorandom order (reinforced and nonreinforced), with the constraint
173 that no more than 2 reinforced trials could occur in succession. The mean intertrial interval (ITI)
174 was 300 s. In this and the remainder of the phases, the total number of magazine head-entries
175 during the cues was taken as a measure of appetitive conditioning. That is, we summed the
176 number of head entries for each animal and for each cue across all trials in a session, and
177 calculated the group means based on those sums. Training continued for 10 sessions
178 conducted over a period of 5 days, with two daily sessions run at 8 am and 3 pm.

179 In the next, Surprise phase, the No-surprise and Naïve groups continued to receive the
180 same training for an additional 4 sessions (conducted over 2 days as in the first phase). In the
181 Surprise group, however, the tone was omitted on nonreinforced trials (light→tone→food,
182 light→nothing) in order to boost the associability of the light (Wilson et al., 1992). All other
183 procedural details remained the same in this phase.

184 On the next day, at 9 am, all groups received a threat conditioning session in which a
185 single presentation of the light was followed by a 0.25-mA foot shock (L→shock). We arrived at
186 the use of a single 0.25 mA shock after piloting our experimental design with two 0.5-mA and
187 one 0.5-mA shocks and finding a floor effect; that is, almost complete suppression during the
188 final test in all three groups. This single threat conditioning trial was preceded and followed by a

189 300-s period. No responses were recorded on this session. Later in the day, at 4 pm, rats were
190 placed back in the conditioning chambers to receive an appetitive session consisting of 5
191 reinforced trials with the tone. The mean ITI was 300 s. The purpose of the latter session was to
192 extinguish contextual threat conditioning and provide a baseline of magazine approach to the
193 tone across the groups ahead of the final test.

194 On the following day, at 9 am, all groups received a suppression test consisting of 4
195 trials with the light and the tone presented simultaneously and reinforced with the delivery of two
196 pellets (LT→food). The purpose of this test was to measure threat conditioning to the light by
197 assessing the extent to which it was capable of suppressing magazine approach during the tone
198 relative to the tone baseline taken on the previous day. If the unexpected omission of the tone in
199 the Surprise group enhances the light's associability, and if associability changes can cross
200 behavior systems, then greater suppression of responding to the tone should be observed in
201 that group relative to the No-surprise group. The Naïve group provided a positive control for
202 associability since those animals experienced the light as a novel stimulus on the threat
203 conditioning session. Thus, we expected threat conditioning to be the strongest in Naïve rats.

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205 *Statistical analysis.* Analyses were conducted in R version 3.6.1. Generalized Linear Models
206 (GLMs) were conducted using the *stats* package, Generalized Linear Mixed Models (GLMMs)
207 were conducted using *lme4* package. To assess magazine approach performance in the
208 Appetitive Serial Conditioning and Surprise phases, we collapsed (summed responses) across
209 the last two sessions of each phase in order to probe asymptotic behavior. Before running any
210 statistical inference, we selected to proceed with this contrast (the sum of the last two sessions)
211 since the progression of responding across sessions was not of interest. We conducted all
212 analyses with a single Generalized Linear Mixed Effects Model, adopting a Poisson as the
213 conditional distribution of our outcome given the random effects and the covariates. We
214 included a random intercept for each rat. [We proceeded with analyses that adopted a count](#)

215 distribution for the outcome because all responses were head entries and thus treating the
216 outcome as continuous (e.g., using ANOVA, repeated measures ANOVAs or t-tests) would not
217 yield valid inference given the sample sizes in this study. For the Surprise phase, animals in the
218 Surprise group received 5 trials in a session, whereas animals in the No-Surprise and Naïve
219 groups received 10. To compare rates of responding between conditions with different numbers
220 of trials, we included in the model an offset of the log number of trials that each animal received.
221 For all statistical analyses in which post-hocs were necessary, we used Bonferroni corrections
222 to account for multiple comparisons. Post-hocs analyses were conducted with the *glht* function
223 in the *multcomp* package in R. All statistical tables are shown in the Supplementary Materials.
224 Data as well as code to reproduce statistical analyses and tables are available at the github
225 repository: <https://github.com/gloewing/marquez-et-al-2021>.

226 To assess magazine approach performance on the Tone baseline and Suppression test
227 phases, we proceeded by collapsing across trials. We opted not to conduct a repeated
228 measures analysis and no analyses of that kind were ever inspected. This was motivated by the
229 fact that the progression of responding across trials within the test day was not of interest and
230 thus the associated loss in statistical power from the increase in parameters we would need to
231 estimate was not justifiable. The temporal nature of the data (i.e., the trial-specific structure) was
232 a nuisance needed to probe the impact of the behavioral task, but did not provide any
233 meaningful or interpretable information. Before conducting any statistical inference, all analyses
234 were planned to avoid having to conduct any adjustment for multiple comparisons and to ensure
235 analysis results were not selected to maximize statistical significance. Any comparisons of
236 models were conducted without viewing p-values, confidence intervals or otherwise. Moreover,
237 models were parameterized to provide the comparisons/contrasts of interest and thus no post-
238 hocs were necessary. As such, Naive - No-surprise comparisons were not conducted. To
239 assess whether there were differences in observed rates of head entries across the entire test
240 day between the three groups, we conducted a GLM adjusting for baseline responding.

241 Specifically, we included

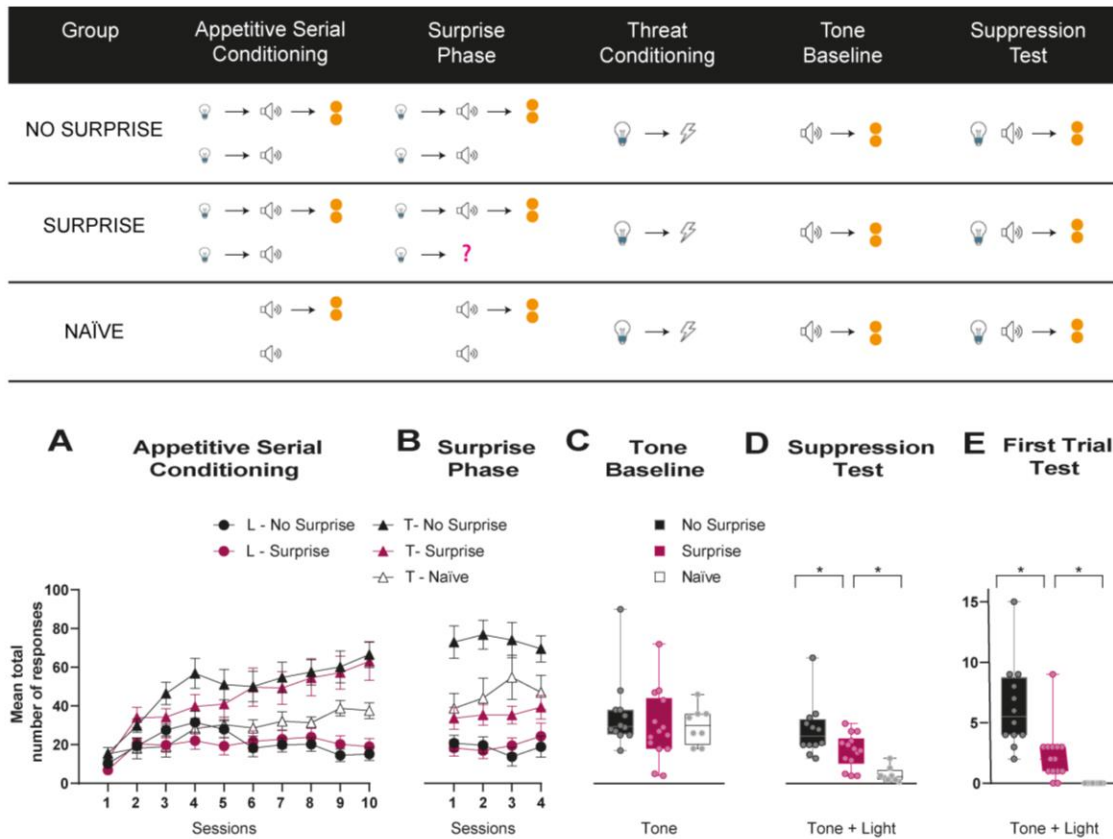


Figure 1. The table at the top shows the experimental design, a modified version of the Wilson et al. (1992) serial prediction task. Two groups of rats, Surprise and No Surprise, received appetitive serial conditioning in which a light was followed by a tone that signaled the delivery of food on a partial-reinforcement basis. As expected given their temporal arrangement, the tone evoked more conditioned responding than the light in both the Surprise and No-Surprise groups (**Panel A**). A third, Naïve group also received partial reinforcement with the tone, but the latter was never preceded by the light. Following this phase, the No-surprise and Naïve groups continued to receive identical training, but in the Surprise group the tone was unexpectedly omitted on nonreinforced trials—a treatment that has repeatedly been shown to enhance the associability of the light. Performance during this phase is shown in **Panel B**. All groups then received a threat conditioning session in which the light was paired with foot shock. Later that day, all rats were placed back in the conditioning boxes and given reinforced presentations with the tone alone to provide a measure of baseline responding (**Panel C**). A subsequent Suppression test in which the light was presented simultaneously with the tone in extinction revealed greater suppression (i.e., more threat conditioning to the light) in the Surprise than No-surprise group, indicating that surprise-induced associability enhancements can cross behavior system boundaries. As expected, the greatest level of suppression was observed in Naïve rats, for whom the light was novel during threat conditioning (**Panel D**). This pattern was apparent on the very first test trial (**Panel E**).

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baseline responding as a covariate in the model so that the interpretation of the parameter

244 estimates corresponding to group differences were all conditional on fixed levels of baseline
245 responding. A negative binomial with a log link was employed to account for potential
246 overdispersion. During model building, we fit Poisson, negative binomial and quasi-Likelihood
247 approach (quasi-Poisson) models and before examining p-values, compared models based
248 upon the degree to which it accounted for overdispersion. To determine whether the model
249 accounted for potential overdispersion we inspected fitted values vs. squared Pearson residual
250 plots and conducted the appropriate likelihood ratio test using Pearson residuals. The only
251 model that did not reach a statistically significant test for the presence of overdispersion was the
252 negative-binomial model (Tone baseline: $p=0.255$; Suppression test: $p=0.0996$) and thus we
253 based inference off this model for both phases.

254 **Results**

255 Panel A of Figure 1 depicts the mean total (i.e., summed across trials) number of responses to
256 the light (L) and tone (T) cues across the 10 sessions of the Appetitive serial conditioning
257 phase. As expected, based on the serial arrangement of the cues, asymptotic responding to the
258 tone (i.e., last two sessions) was significantly higher in the Surprise and No Surprise groups
259 than that to the light. Surprise and No-surprise rats responded to the light at a rate that was,
260 respectively, 67.4% (95% CI: [64.1%, 70.4%], $p<0.001$) and 76.5% (95% CI: [73.6%, 79.1%],
261 $p<0.001$) less than they did to the tone, conditional on animal specific random intercepts. There
262 were no statistically significant differences between Surprise and No-surprise animals in their
263 rate of responding to the light ($p=1$) or the tone ($p=1$), conditional on the random effects.
264 Likewise, no significant differences in the rate of responding to the tone were detected between
265 the Surprise and Naïve ($z=1.563$; $p=0.709$), or the No-surprise and Naïve groups, conditional on
266 the random effects ($z=-1.987$; $p=0.282$). The numerically greater rate of responding to the tone
267 in the Surprise and No-surprise groups relative to the Naïve group, however, is likely explained

268 by the fact that the tone was signalled by the light in the former groups, allowing the animals to
 269 prepare for its arrival and respond at the magazine at cue onset.

270 In the Surprise phase, conditioned responding to the cues proceeded in similar fashion
 271 in all groups (Panel B, Figure 1). Inspection of Panel B suggests that Surprise rats responded to
 272 the tone much less than in the previous stage, but this is of course an artifact of those animals
 273 receiving half of the tone presentations relative to the prior stage and the other two groups. To
 274 account for this difference, we included an offset in the model (see Methods). With this
 275 adjustment, we found that, as in the previous stage, the Surprise and No-surprise groups
 276 responded to the light at a rate that was substantially less than that to the tone (71.4% in
 277 Surprise rats, 95% CI: [68.4%, 74.1%]), $p < 0.001$, and 77.2% in No-surprise rats, 95% CI:
 278 [74.6%, 79.6%], $p < 0.001$). There was no significant difference between these groups in their
 279 rates of responding to the light ($z = 1.096$; $p = 1$). Likewise, no significant differences were
 280 detected between these groups, or between either of them and the Naïve group, in their rate of
 281 responding to the tone (Surprise vs. No-surprise, $z = 0.068$; $p = 1$; Surprise vs. Naïve, $z = 1.685$;
 282 $p = 0.552$; No-surprise vs. Naïve, $z = -1.584$; $p = 0.679$). All interpretations for this phase are all
 283 conditional on rat-specific random intercepts.

284 Following the threat conditioning session with the light, reinforced presentations with the
 285 tone during the Tone-baseline phase (Panel C, Figure 1) produced no statistically significant
 286 differences between the Surprise and No-surprise groups ($z = 0.992$; $p = 0.321$), or between the
 287 Surprise and Naïve and groups ($z = 0.076$; $p = 0.940$). Crucially, in the subsequent Suppression
 288 test, greater suppression of magazine activity during the light/tone compound was observed in
 289 the Surprise than the No-surprise group (Panel D, Figure 1). Indeed, adjusting for baseline
 290 responding to the tone, the No-surprise group responded 41.8% (95% CI: [7.6%, 86.9%]) more
 291 than the Surprise group ($z = 2.479$; $p = 0.013$) across the entire test session. This difference
 292 suggests that the unexpected omission of the tone was effective in increasing the associability

293 of the light, and that such an increase facilitated threat conditioning with that stimulus.
294 Interestingly, the surprising omission of the tone did not fully restore the light's associability to its
295 original (novelty) levels, as suggested by the even greater suppression of magazine activity
296 observed in the Naïve group in the test. Adjusting for baseline responding to the tone, the Naïve
297 group responded 71.9% less (95% CI: [57.1%, 81.5%]) than the Surprise group ($z=-5.886$;
298 $p<0.001$) across the test session. In addition to differences in preexposure history, a potential
299 source for the latter outcome is the pre-existing association of the light with the positive-valence
300 tone in the Surprise group, which may have interfered with the acquisition or expression of
301 threat conditioning at test.

302 To bolster these findings, we conducted two additional analyses of our test results. The
303 first of these analyses was motivated by the presence of an outlier in the No-surprise group
304 whose responses were unusually high on the test day, as revealed by inspection of Panel D.
305 To rule out the possibility that this outlier may have driven the critical difference between the
306 Surprise and No-Surprise groups, we repeated the above analysis in its absence. This analysis
307 confirmed a significance difference between these groups ($z=2.429$; $p=0.015$), with the No-
308 surprise group responding 43.4% (95% CI: [7.2%, 91.8%]) more than the Surprise group.

309 The second analysis focused exclusively on the first trial of the Suppression test (Panel
310 E, Figure 1). We reasoned that the light's increased associability in the Surprise group would
311 facilitate the acquisition of a light→food association across tone/light→food test trials, thereby
312 weakening the light's enhanced ability to suppress responding to the tone relative to the No-
313 Surprise group. For this reason, we predicted the difference between these two groups on the
314 first test trial to be particularly pronounced. Consistent with this prediction, the No-surprise
315 group responded 145.3% (95% CI: [60.8%, 274.2%]) more than the Surprise group ($z=4.164$; p
316 < 0.001). Eliminating the No-Surprise group's outlier produced similar results ($z=3.804$; $p <$

317 0.001), with the No-surprise group responding 146.4% (95% CI: [54.8%,292.1%]) more than the
318 Surprise group.

319 **Discussion**

320 Here, we employed a modified version of a serial prediction task (Wilson et al., 1992) to
321 examine the scope of surprise-induced associability changes to predictive cues during learning.
322 Specifically, we violated the expectancies generated by a light serially conditioned with food
323 (feeding system) and tested the associability of this cue by pairing it with foot shock, an aversive
324 exteroceptive stimulus engaging the defense system. To our knowledge, this is the first
325 demonstration that associability enhancements can be expressed across behavior systems.
326 Before proceeding, it is worth noting that the associability of Pavlovian cues is not only
327 modulated by how uncertain the outcome is (the uncertainty principle) but also—and
328 paradoxically—by how well the cue predicts it (the *predictiveness principle*) (Mackintosh, 1975;
329 Luque et al., 2017; for an attempt at resolving this paradox, see Esber & Haselgrove, 2011).
330 According to Mackintosh's theory, the associability of a cue increases to the extent that the cue
331 proves to be a better predictor of the outcome than all other stimuli present (Mackintosh, 1975;
332 Haselgrove et al., 2010). In the serial prediction task employed here, the theory predicts that, if
333 anything, the associability of the light should decline during the Surprise phase as the cue
334 becomes a relatively worse predictor of the tone—a secondary reinforcer. If, as proposed by
335 some (LePelley, 2004; Pearce & Mackintosh, 2010), the uncertainty and predictiveness
336 principles reflect two competing mechanisms of associability modulation under the control of
337 distinct neural circuits (Holland & Maddux, 2010), then it is unclear whether our findings would
338 generalize to predictiveness-driven associability increases.

339 With that caveat in mind, our findings carry important implications regarding the nature of
340 associability changes. From a behavior system's approach (Timberlake, 1993; 1994), the results
341 may be explained in terms of the close relationship between the feeding and defense systems.

342 For many animals, foraging for food implies increasing their exposure to predators, making it
343 essential to simultaneously attend to signals for food and threat. Crucially, preys and predators
344 may share common predictive cues (e.g., a glimpse of a moving object, a rustle in the
345 undergrowth), and thus it makes adaptive sense for associability increases to food cues to also
346 benefit learning in connection with threat. *It remains to be established, however, whether*
347 *associability increases occurring within the defensive system can in turn be expressed in the*
348 *feeding system, or indeed whether associability changes can universally transfer across any*
349 *arbitrary pair of behavior systems.* Such is of course the prediction of attentional theories of
350 associative learning (e.g., Pearce & Hall, 1980; Mackintosh, 1975; Esber & Haselgrove, 2011).
351 From this perspective, associability increments reflect greater attentional processing of the cue
352 rather than enhanced associative plasticity within a particular behavior system. This assumption
353 is consistent with evidence that the same uncertainty conditions that facilitate learning also
354 promote stronger overt attentional responses to the cue (Kaye & Pearce, 1984; Swan & Pearce,
355 1988; Beesley et al., 2015; Luque et al., 2017; Easdale et al., 2019).

356 Critically, our assertion that associability enhancements can be expressed across
357 behavior systems hinges on the assumption that the light—the target cue in the current study—
358 was able to gain access to both the feeding and defense systems. One question raised by the
359 low level of magazine approach evoked by the light in the Surprise and No-surprise groups
360 during the first two phases of the study is whether this stimulus was capable of engaging the
361 feeding system at all. That it did so is suggested by the greater level of responding to the tone in
362 these groups relative to the Naïve group, which, as mentioned above, is readily explained if the
363 light alerted the rats of the imminence of the tone and prepared them to respond at the
364 magazine. A related question is whether the greater suppression of magazine activity observed
365 at test in Surprise than No-surprise animals truly reflects a stronger activation of the defense
366 system by the light (e.g., more freezing) or simply its greater proclivity to elicit orienting

367 responses that compete with magazine approach. While our study does not directly address this
368 possibility, it should be noted that, by the same token, one would expect orienting responses to
369 the light to increase similarly in the Surprise group of a standard serial prediction task, where
370 the associability of the light is tested by directly pairing it with food. If so, those orienting
371 responses should be expected to compete with magazine approach there as well, thus
372 hindering rather than facilitating conditioning, which is of course the opposite result to that
373 typically observed.

374 A competing explanation for the greater suppression of magazine activity we observed
375 at test in Surprise relative to No-surprise animals is that the omission of the tone in the Surprise
376 phase may have extinguished some of the positive valence of the light rather than enhancing its
377 associability. Compounded with threat conditioning, this reduced positive valence would,
378 according to this account, produce less magazine approach at test relative to No-surprise
379 animals. The issue with this interpretation is that it predicts a drop in responding to the light (i.e.,
380 extinction) in Surprise animals during the Surprise phase, which was not observed. Given the
381 low level of responding to the light (a serially conditioned cue), it is possible that such extinction
382 was obscured by a floor effect. We regard it as more plausible, however, that the unaltered
383 relationship between light and food as well as the remaining tone presentations in the Surprise
384 group prevented a loss of positive valence substantial enough to produce a sizeable decrement
385 at test.

386 Due to various advantages, the standard serial prediction task has been extensively
387 used to characterize the neural substrates of surprise-induced associability enhancements. One
388 such advantage is that it permits decoupling the encoding of associability increases at the time
389 of surprise induction from the expression of those increases at the time of learning. This
390 advantage has permitted the discovery, for instance, that the central nucleus of the amygdala
391 (CeA; Holland & Gallagher, 2006) and the substantia nigra pars compacta (SNc; Lee et al.,

392 2008) are critical for the encoding, but not the expression of associability increases, although
393 this has only been demonstrated in appetitive conditioning with food. On the other hand, the
394 substantia innominata/nucleus basalis magnocellularis (SI/nBM; Holland & Gallagher, 2006), the
395 secondary visual cortex (V2; Schiffino & Holland, 2016), and the dorsolateral striatum (DLS;
396 Asem et al., 2015) are necessary for the expression, but not the encoding of associability
397 increases. Such associability expression, however, has only been tested within the same
398 behavior system (feeding), and thus it is unclear whether these regions would also be
399 necessary in the current version of the task. Interestingly, the posterior parietal cortex (PPC),
400 which has long been implicated in attention in humans and non-human primates (e.g., Mesulam,
401 1981; Posner & Petersen, 1990; Desimone & Duncan, 1995; Corbetta & Shulman, 2002) is so
402 far the only region identified as being critical to the encoding, consolidation and expression of
403 associability enhancements (Schiffino et al., 2014), suggesting it might constitute a locus for
404 storing the cue-specific associability memory. Whether this mnemonic representation in PPC is
405 fully detached from the motivational, emotional and behavior system-specific properties of the
406 cue (i.e., whether it provides a neural substrate for the results observed here) remains to be
407 established. Once again, the current procedure should help make this determination.

408 The present findings may also carry clinical significance. For instance, they suggest the
409 possibility of expediting counterconditioning procedures by coupling them with associability-
410 boosting manipulations (Keller et al., 2020). In behavioral therapy, counterconditioning refers to
411 a collection of procedures that seek to modify maladaptive behaviors by associating their
412 triggering events with an outcome of the opposite affective valence (Keller et al., 2020; Konorski
413 & Szwejkowska, 1956). Recent studies show that counterconditioning therapies have greater
414 efficacy (Engelhard et al., 2014; Kerkhof et al., 2011; Raes & De Raedt, 2012) and resistance to
415 relapse (Kang et al., 2018) than exposure therapies based on extinction procedures. This
416 relative advantage is thought to derive from the greater evaluative learning that takes place
417 when the triggering stimulus is experienced not merely in the absence of its associated outcome

418 (as in extinction), but in the presence of another of opposite affective sign. On the downside,
419 however, counterconditioning typically requires more training than de novo acquisition or
420 extinction (Scavio & Gormezano, 1980; Peck & Bouton, 1990; Bouton & Peck, 1992), and, to
421 that extent, it could benefit from prior manipulations that enhance the associability of the stimuli
422 and responses involved. The induction of surprise in a manner similar to that used here might
423 provide one such manipulation. While our findings remain to be extended and replicated in
424 humans, they point in a promising direction for future research.

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