

# PIEZOELECTRIC ELEMENTS SUBJECTED TO LOW FREQUENCY EXCITATION. EMPIRICAL DETERMINATION OF STRESS AND FREQUENCY INFLUENCE ON PIEZOELECTRIC PARAMETRES

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## Abstract

Designing optimum energy harvesting devices is the aim of several developments based on numerical or analytical studies of different piezoelectric configurations that usually consider constant piezoelectric properties. Experimental tests on bending piezoelectric patches showed that the electrical response depended on the frequency and amplitude of the mechanical excitation for displacement-imposed systems. Analytical and numerical calculations required adapting piezoelectric parameters to properly represent experimental results. A novel formulation to calculate piezoelectric parameters using the mechanical stress and the excitation frequency as inputs is proposed and discussed. A linear dependency on the mechanical stress of the piezoelectric ceramic and a logarithmic dependency on the excitation frequency have been combined to propose a unique calculation procedure. Later, this procedure was applied to compute different piezoelectric parameters to set numerical (2% error) and analytical (1% error) calculations that accurately represented experimental results. Finally, the practical implications of considering or not considering the frequency and stress dependency of the piezoelectric properties was evaluated for a theoretical bimorph cantilever configuration, whose excitation frequency decreased whereas the amplitude was kept constant. Results showed that only 1/3 of the energy production that was predicted with constant piezoelectric properties can be expected when considering frequency and stress influence.

## Keywords

Unimorph bending piezoelectric, Analytical approach, Numerical simulations, Frequency, Stress

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*Availability of data and material.* Full data is available under request.

*Authors' contributions.* First author has planned, executed and analysed the results of the experimental campaign. First author has written the article and proposed analytical methodology. Second author performed data post-processing, collaborated in discussion and revised the manuscript.

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## 44 1. Introduction

45 Piezoelectric energy harvesting is one of the most prolific research fields in the recent years. It  
46 has moved from 94 publications in 2010 to 948 publications in 2019 only considering Elsevier  
47 publication lists containing both concepts “energy harvesting” and “piezoelectric”.

48 Direct piezoelectric effect has been deeply explored in sensor development field. This  
49 application has turn into health and bio-chemical sensors (Platt et al. 2005; Park et al. 2008; Lu  
50 et al. 2013; Wu et al. 2019) in the recent years. Complementary, the faster development of  
51 nanotechnology applications, whose power supply requirements are in the range of mW or  $\mu$ W,  
52 has allowed using piezoelectric elements as energy suppliers. Examples of these low-  
53 consumption autonomous devices are micropumps (Ma et al. 2008), internal drug delivery  
54 systems (Staples et al. 2006), self-powered strain sensors (Huo et al. 2020), or asphalt self-  
55 powered temperature sensors (Hwang et al. 2019).

56 Two approaches gather most of the recent developments on piezoelectric energy harvesting:  
57 piezoelectric ceramic disks subjected to mechanical compressive efforts and piezoelectric  
58 ceramic patches subjected to bending efforts. The compressive approach is typically produced  
59 by piling piezoelectric disks (Wang et al. 2019), resulting in specific design options depending on  
60 the particular application, e.g. pavement energy harvesting (Wang et al. 2018). The compressive  
61 configuration is characterised by its elevated stiffness (Zhao et al. 2012) that promotes stress  
62 concentration problems that can be overcome by centring load devices (Guo and Lu 2019).  
63 Regarding the bending configuration, most of the proposed systems (Mitcheson et al. 2004;  
64 Moon et al. 2014; Yang et al. 2017a) are based on placing a vibrational mass at the end of a  
65 cantilever beam with piezoelectric patches installed. Combining bending and compressive  
66 piezoelectric configurations (Pérez-Lepe et al. 2016) led to Mooney, Cymbal or Bridge  
67 configurations. Among them, some authors pointed out that bridge configuration is the most  
68 effective one (Zhao et al. 2012). Possible improvements of these last energy harvesting systems  
69 are piling bridge devices (Jasim et al. 2018) or designing asymmetric cymbals (Goh et al. 2017).

70 Piezoelectric properties of a wide range of piezoelectric materials have been characterised. Caliò  
71 et al. (Caliò et al. 2014) comprehensively summarised part this information in a significative  
72 work. Many other researchers focused on studying specific properties, like the electro-  
73 mechanical coupling coefficient (Shu and Lien 2006), or the properties of specific piezoelectric  
74 materials like PMN-PT (Pramanik et al. 2019) or composites (Banerjee et al. 2015). However,  
75 only a few researchers have pointed out the idea that these properties are not constant and  
76 they depended on the frequency of the mechanical excitation (Damjanovic 1997; Fernandes et  
77 al. 2002) or the mechanical stress (Gusarov et al. 2016). Thus, an adaptative calibration of the  
78 mechanical-electrical response may be required for realistic design of piezoelectric energy  
79 harvesting devices.

80 The optimisation of piezoelectric energy harvesting devices tend to search for mechanical  
81 designs that amplify the excitation frequency (Umeda et al. 1996) or the mechanical stress on  
82 the piezoelectric elements (Saxena et al. 2017; Yildirim et al. 2017). Although these are  
83 intrinsically correct approaches, not including the influence of these parameters in the  
84 calculation of piezoelectric properties may cause deviations on the assessment of the  
85 effectiveness of the system respect to the theoretical designs.

86 In general, numerical simulations of piezoelectric devices did not consider the influence of the  
87 excitation frequency or the mechanical stress level on piezoelectric parameters, as per authors  
88 knowledge. In this line, Guo et al. (Guo and Lu 2019) and Jasim et al. (Jasim et al. 2018) compared

89 laboratory tests with simulations of road energy harvester systems. Toyabur et al. (Toyabur et al.  
90 al. 2017) simulated cantilever systems with multiple degrees of freedom and Nowak et al.  
91 (Nowak et al. 2020) numerically studied the influence of design parameters of a bimorph  
92 cantilever system.

93 Most of the existing analytical models do not consider the influence of the mechanical stress  
94 and the frequency of the mechanical excitation on the definition of **the piezoelectric parameters**  
95 **either**. Eggborn (Eggborn 2003) combined Euler-Bernoulli's beam theory with fundamental  
96 piezoelectric definitions to obtain a simple analytical model for predicting voltage output. Yang  
97 et al. (Yang et al. 2017b) focused on the analytical modelling of the energy conversion and  
98 Townley (Andrew Townley 2009) aimed to properly model experimental vibrational tests on  
99 cantilever generators. In the recent years researchers focused on the analytical modelling of the  
100 connection of piezoelectric elements (Basutkar 2019) or the study of composite configurations  
101 (Keshmiri et al. 2019).

102 According with the literature review there are a lot of studies proposing different energy  
103 harvesting designs (Caliò et al. 2014) but only a few studies pointing out that piezoelectric  
104 properties depend on the frequency of the mechanical excitation and mechanical stress in  
105 piezoelectric materials (Damjanovic 1997; Fernandes et al. 2002; Gusarov et al. 2016). There is  
106 no previous publication, as per authors knowledge, that combined the dependency of the  
107 piezoelectric properties on the excitation frequency and the mechanical stress in a single  
108 formulation as it is intended in this work. Hence, the research presented herein was aimed: (i)  
109 to provide additional experimental evidences on this dependency; (ii) to propose a novel and  
110 easy to implement formulation to represent it and (iii) to use the proposed formulation to  
111 foresee the influence of considering or not considering the influence of stress and excitation  
112 frequency on the evaluation of a theoretical design of **an** energy harvesting device.  
113 Experimental tests, numerical simulations and analytical calculations were carried out.

114

## 115 **2. Materials and methods**

### 116 *2.1. Materials and specimens*

117 Experimental tests were conducted on "cantilever" **unimorph** specimens cyclically excited at a  
118 fixed frequency (3 different frequencies per test configuration) and amplitude (20mm). **This**  
119 **amplitude was translated into different stress levels because of considering different lengths**  
120 **(test configuration) of the beams where the piezoelectric patches were mounted on.** Thus,  
121 cantilever free vibration was not considered but motion was externally imposed instead **to keep**  
122 **the peak stress values constant during tests.** Two substrate pieces were used: an aluminium  
123 6082 plate with a rectangular transversal section of 40mm width and 2mm thickness; and a high  
124 impact polystyrene plate with the same sectional dimensions. Young's modulus of polystyrene  
125 was experimentally determined by tensile tests (3 repetitions) resulting to be 1.5GPa. Young's  
126 modulus of aluminium plates was 68.9GPa according with **producer's** datasheet.

127 **Cantilever** configuration was considered because of its clear boundary conditions and because  
128 it is easy to be modelled with analytical tools. Those facts allowed to focus the research on the  
129 study of the influence of the frequency and stress of the mechanical excitation on the  
130 piezoelectric parameters.

131 **Piezoelectric P-876.A12 patches were purchased. This patches used PIC255 piezoelectric**  
132 **ceramic, which was made of modified lead zirconate-lead titanate and was classified type 200**

133 (Soft PZT) according with EN 50324-1 (European Committee for Electrotechnical Standardization  
 134 2002). Patches and ceramic details are included in Table 1. Extended information about  
 135 properties or production procedures may be accessed in (Physik Instrumente (PI) GmbH & Co.  
 136 2008, 2016). A piezoelectric patch P-876.A12 was bonded with cyanoacrylate to the substrate  
 137 plate at 50mm from one of the endings to leave the required free space in order to properly  
 138 restrain the movement of this end of the plate by clamping it. Pressure and constant  
 139 temperature were maintained during the curing of this adhesive (4h). The restrained end of the  
 140 plate was clamped to a fixed support. The connection state was checked before and after every  
 141 test to assure cantilever configuration. Two length dimensions (free length was measured  
 142 between the restrained edge and the displacement application point) were considered for  
 143 aluminium plate (250mm and 350mm, corresponding to free end rotation angles of 0.120 and  
 144 0.086 respectively) and three for polystyrene plate (250mm, 350mm and 450mm, corresponding  
 145 to free end rotation angles of 0.120, 0.086 and 0.067 respectively). Tests were carried out at  
 146 indoor environment with constant temperature ( $21^{\circ}\text{C} \pm 2^{\circ}\text{C}$ ) and relative humidity ( $65\% \pm 5\%$ ).

147

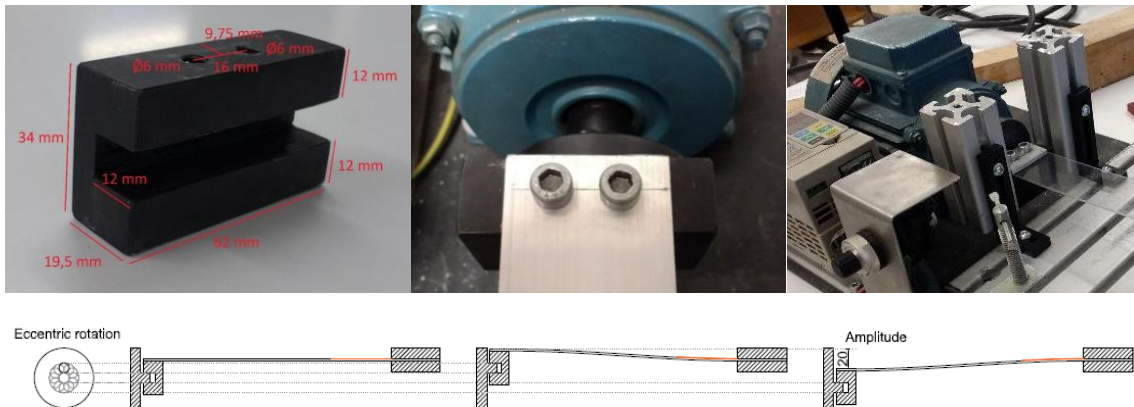
148 *Table 1. Properties of the piezoelectric patch (P-876.A12) and the piezoelectric ceramic (PIC255). From (Physik*  
 149 *Instrumente (PI) GmbH & Co. 2008, 2016)*

<b>P-876.A12 Patch</b>			
<b>Property</b>		<b>Unit</b>	<b>Value</b>
Operating voltage		V	-100 to 400
Lateral contraction, open-loop		$\mu\text{m}/\text{m}/\text{V}$	1.3
Blocking force		N	265
Electrical capacitance		nF	90
Dimensions		mm	61 x 35 x 0.5
Bending radius		mm	20
Thickness of the ceramic layer		$\mu\text{m}$	200
Type of piezoelectric ceramic		-	PIC255
<b>PIC 255 piezoelectric ceramic</b>			
<b>Property</b>		<b>Unit</b>	<b>Value</b>
Curie temperature		$^{\circ}\text{C}$	350
Relative permittivity in polarization direction		-	1750
Coupling factors	$K_p$		0.62
	$K_t$		0.47
	$K_{31}$		0.35
	$K_{33}$		0.69
	$K_{15}$		0.66
Frequency coefficients	$N_p$		2000
	$N_1$	Hz·m	1420
	$N_t$		2000
Elastic compliance coefficient	$S_{11}^E$	$\text{m}^2/\text{N}$	16.1E-12
	$S_{33}^E$		20.7E-12
Mechanical quality factor		-	80

150

151 2.2. Experimental tests

152 The displacement at the “free” end of the plates was imposed by the eccentric rotation  
153 movement provided by an electric motor, whose rotation speed could be regulated. This  
154 rotation was transformed into a vertical linear movement (20mm amplitude for all tests) by a  
155 steel tool (Fig. 1, left). Horizontal movement of the “free” end was restrained by vertical  
156 aluminium profiles at both sides of the plate (Fig. 1, right). Thus, the motion at the extreme of  
157 the beam was imposed to be a sinusoidal displacement of 20mm amplitude. The corresponding  
158 frequency was regulated. The mechanical device of the testing setup is shown in Fig. 1, top and  
159 the testing configuration scheme is shown in Fig. 1, bottom.



162 Fig. 1. (Top Left) Steel tool to turn circular movement into linear movement. (Top Centre) Loading System with  
163 eccentric rotation to linear vertical movement transformation. (Top Right) Loading System with lateral displacement  
164 restraint of the free end of the plate. (Bottom) Sketch of the testing setup. Dimensions in mm.

165 All tests were repeated for 4 different electrical loads (120k $\Omega$ , 230k $\Omega$ , 402k $\Omega$  and 570k $\Omega$ ) to  
166 empirically select the optimum one (maximum energy generation). The optimum electrical load  
167 was set to 230k $\Omega$  for the combination of tested frequencies (below 10Hz) and amplitude  
168 (20mm). This load was over the typical value assumed to represent the open circuit condition:  
169  $1/\omega C = 177k\Omega$ , calculated for the maximum excitation frequency initially planned  
170 ( $\omega=10\text{Hz}=20\pi\text{rad/s}$ ) and the capacitance of the piezoelectric ( $C=90\text{nF}$ ) reported by the  
171 manufacturer. The results of the tests with electrical loads that were different from 230k $\Omega$  are  
172 not discussed in this document. All of them showed the same qualitatively response than the  
173 one described in detail for 230k $\Omega$  load, but generated less energy output, so lower resolution of  
174 the analysed dependency.

175 This optimum loading resistance was set by installing two electric resistances, 220k $\Omega$  and 10k $\Omega$ ,  
176 in series. This configuration (voltage divider) was set to limit the input voltage range into the  
177 data acquisition system at  $\pm 10\text{V}$  by measuring the electric signal on the smaller resistance  
178 (10k $\Omega$ ). Piezoelectric voltage output was continuously recorded at 200Hz using a general-  
179 purpose data acquisition system (HBM Spider 8).

180 Mechanical excitation frequency was limited to 10Hz because tests were part of a larger  
181 research project aimed to develop a new energy harvesting device to be installed in roads. The  
182 frequency of vehicles passing on it was calculated to be in the range of 1-2Hz. In addition, this  
183 particular application is characterised by the fact that the resonance frequency of the system is  
184 far greater than the mechanical excitation frequency. Nevertheless, cantilever beam did not  
185 vibrate freely but an imposed and controlled oscillation was externally applied. Precise

186 mechanical frequency excitation was obtained from voltage waveforms output signal for every  
 187 testing case.

188 Table 2 summarises the tests carried out. Test name, plate material, free cantilever length,  
 189 excitation frequency and output voltage amplitude are included. Test name is in the form X\_Y\_Z,  
 190 where X represents the plate material (A for aluminium and P for polystyrene), Y represents the  
 191 cantilever free length (250mm, 350mm or 450mm) and Z represents the different testing  
 192 frequency (F1 to F3 from the lower value to the greater one).

193

194 *Table 2. Experimental tests including specimen name, plate material, free length, excitation frequency and voltage*  
 195 *output*

<b>Test</b>	<b>Plate material</b>	<b>Free length (mm)</b>	<b>Frequency (Hz)</b>	<b>Voltage (V)</b>
A_250_F1	Aluminium	250	3.85	46.3
A_250_F2			5.81	50.9
A_250_F3			7.68	54.0
A_350_F1		350	3.66	25.9
A_350_F2			5.60	33.3
A_350_F3			7.63	34.5
P_250_F1	Polystyrene	250	1.54	2.35
P_250_F2			2.44	3.32
P_250_F3			3.38	4.38
P_350_F1		350	1.42	1.65
P_350_F2			2.50	2.60
P_350_F3			3.41	3.42
P_450_F1		450	1.45	1.43
P_450_F2			2.55	1.93
P_450_F3			3.43	2.51

196

### 197 2.3. Numerical simulations

198 Numerical simulations were carried out using commercial software ANSYS 19.2 with MEMS Add-  
 199 in. The geometric definition included the substrate plate and the piezoelectric patch,  
 200 distinguishing the foil cover (Dupont Kapton Polyimide Film) from the ceramic material in the  
 201 patch definition. That allowed to consider the realistic volume of the piezoelectric ceramic  
 202 placed in the correct position. Dimensions of the piezoelectric patch and the piezoelectric  
 203 ceramic were provided by the manufacturer. A three-dimensional model was used.

204 Linear elastic response was considered for the mechanical simulation of all materials. Ceramic  
 205 volume was modelled with a simplified piezoelectric body whose properties were provided by  
 206 the manufacturer and also included in some researches (Krommer et al. 2012; Physik  
 207 Instrumente (PI) GmbH & Co. 2016). All material properties used in numerical simulations are  
 208 summarised in Table 3.  $e_{31}$ ,  $e_{33}$ ,  $e_{15}$ ,  $ep_{11}$  and  $ep_{33}$  were only used in numerical simulations.  
 209  $g_{31}$  was the only parameter used in the proposed simplified analytical calculations.

210  
211

Table 3. Material properties for numerical model including Young's modulus, Poisson's ratio and piezoelectric constants for the piezoelectric ceramics. Data provided by piezoelectric manufacturer.

Material	Young's modulus (GPa)	Poisson's ratio	e31 (C/m <sup>2</sup> )	e33 (C/m <sup>2</sup> )	e15 (C/m <sup>2</sup> )	ep11	ep33	g31 (Vm/N)
Piezoelectric ceramic	48.3	0.20	-7.15	13.7	11.9	930	857	0.0113
Foil	2.5	0.32	-	-	-	-	-	-
Aluminium	68.9	0.33	-	-	-	-	-	-
Polyestylene	1.5	0.41	-	-	-	-	-	-

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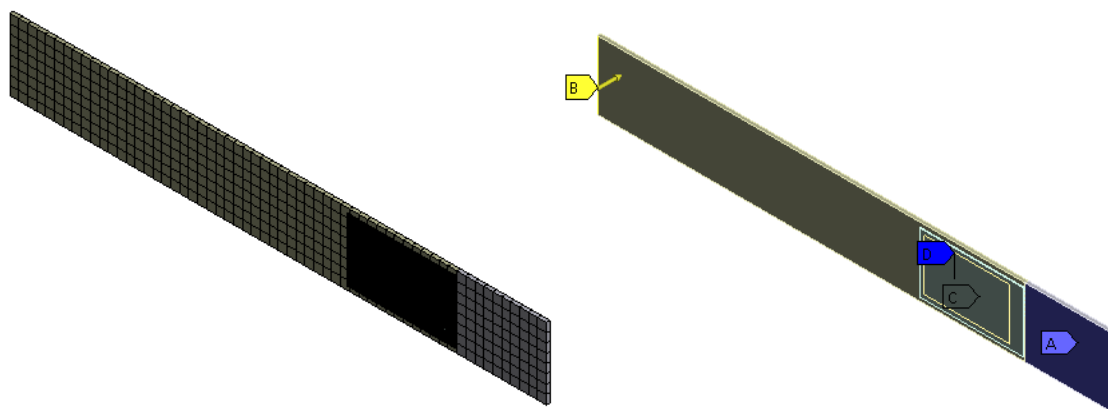
213 Contacts between every pair of parts (substrate-foil and foil-piezoelectric ceramic) were  
214 assumed to be completely bonded, so no separation, sliding neither penetration were allowed.

215 Mesh was composed of hexahedral (SOLID186) and tetrahedral (SOLID187) elements to  
216 discretize the substrate (5mm size), hexahedral elements (SOLID226) for the piezoelectric  
217 ceramic (0.5mm size) and tetrahedral elements (SOLID187) for the foil part around the ceramic  
218 (1mm size). Convergence analysis on the mesh size was performed to set the sizing. A variation  
219 of strain below 5% between the used size and the following refinement (half size) was checked.  
220 Meshes for 250mm, 350mm and 450mm plate cases had 30670, 30830 and 30990 elements  
221 respectively.

222 The mechanical boundary condition was set by fixing the top and bottom faces of the plate  
223 substrate in a length of 50mm to accurately represent experimental tests. Load was applied on  
224 the opposite edge as an imposed displacement orthogonal to the substrate plate plane with a  
225 value of 20mm. Voltage of the bottom face of the piezoelectric ceramic (the closest to the  
226 substrate) face was set to 0V and the voltage of the top face of the piezoelectric ceramic (the  
227 furthest from the substrate face) was coupled in all nodes. The voltage value on this face is the  
228 output result considered for discussion.

229 Static structural analysis was performed on the defined model. Calculation time was between 1'  
230 and 2' using an Intel® Core™ i7-9700K CPU @3.60GHz and 16.0GB RAM memory.

231



232

233 Fig. 2. Mesh for 250mm length model (left) and boundary conditions (right). A: label for the fixed areas. B: label for  
234 the imposed displacement edge. C: label for voltage boundary condition (V=0) on the bottom face of the  
235 piezoelectric ceramic and D: label for voltage coupling condition at the top face of the piezoelectric ceramic.

236 2.4. Analytical calculations

237 Analytical calculations were performed on the hypothesis of: (i) Euler-Bernoulli's beam theory,  
238 (ii) the well-known concepts of hybrid beams subjected to bending efforts and (iii) the linear  
239 relationship between the average axial stress in the piezoelectric ceramic and the output voltage  
240 that is defined through the piezoelectric voltage coefficient ( $g_{31}$ ). The following procedure was  
241 implemented:

- 242 1) Defining the ratio of the Young's modulus of the foil and Young's modulus of the  
243 piezoelectric ceramic respect to the substrate one ( $n_f, n_p$  respectively).
- 244 2) Calculating the equivalent width of foil and the equivalent width of the piezoelectric  
245 ceramic by homogenising the section to the substrate material.
- 246 3) Calculating mechanical properties (area and moment of inertia) of the equivalent  
247 homogenised section.
- 248 4) Calculating the force that was necessary to cause the imposed displacement considering  
249 the cantilever configuration and the equivalent mechanical properties. The  
250 corresponding bending moment was also calculated.
- 251 5) Calculating the mechanical axial stress in the piezoelectric assuming a linear stress  
252 distribution on the homogenised section.
- 253 6) Calculating the output voltage considering the mechanical stress and the piezoelectric  
254 voltage coefficient ( $g_{31}$ ).

255

256 **3. Results and discussion**

257 Previously described procedures and properties are used to obtain experimental, numerical and  
258 analytical results. These are presented and discussed in this section. Next, piezoelectric  
259 parameters are adjusted to fit the numerical and the analytical calculations to the experimental  
260 results. This empirical fitting is analysed on the basis of the existing literature about the  
261 dependence of piezoelectric properties on mechanical excitation frequency and mechanical  
262 stress. Finally, a theoretical design of a piezoelectric energy harvesting device is evaluated with  
263 the initial piezoelectric parameters indicated by the provider and with the ones calculated  
264 considering frequency and stress dependency. This comparison allowed to quantify the  
265 influence of considering these effects of piezoelectric properties on energy harvesting.

266 3.1. Results

267 The frequency of the mechanical excitation and the output voltage amplitude are provided in  
268 the last two columns of Table 2. Table 4 summarises the numerical (FEA) and analytical results  
269 and it provides the corresponding relative errors respect to experimental results. These first  
270 calculations did not consider the influence of the frequency of the mechanical excitation or the  
271 mechanical stress.

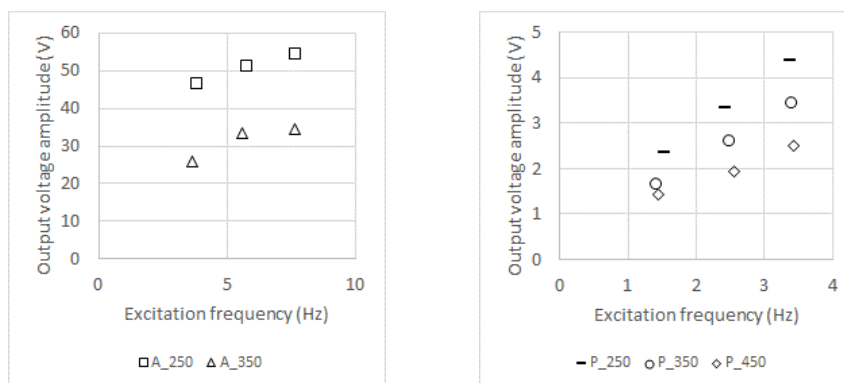
272 *Table 4. Comparison of experimental (frequency and voltage), numerical – FEA (voltage and relative error respect to*  
273 *experimental) and analytical (voltage and relative error respect to experimental) results*

Test	Experimental		FEA		Analytical	
	Frequency (Hz)	Voltage (V)	Voltage (V)	Error (%)	Voltage (V)	Error (%)
A_250_F1	3.85	46.3	110.0	58	15.98	-190
A_250_F2	5.81	50.9		54		-219



A_250_F3	7.68	54.0		51		-238
A_350_F1	3.66	25.9		54		-214
A_350_F2	5.60	33.3	56.7	41	8.25	-304
A_350_F3	7.63	34.5		39		-318
P_250_F1	1.54	2.35		81		-18
P_250_F2	2.44	3.32	12.5	73	1.99	-67
P_250_F3	3.38	4.38		65		-120
P_350_F1	1.42	1.65		71		-83
P_350_F2	2.50	2.60	5.7	54	0.9	-189
P_350_F3	3.41	3.42		40		-280
P_450_F1	1.45	1.43		55		-180
P_450_F2	2.55	1.93	3.2	40	0.51	-278
P_450_F3	3.43	2.51		22		-392

274



275

Fig. 3. Experimental results for Aluminium substrate tests (left) and Polystyrene substrate tests (right)

276 Experimental results are plotted in Fig. 3. It was observed that the piezoelectric output voltage  
 277 depended on the excitation frequency for all tests. Voltage output increased when excitation  
 278 frequency did, even for a fixed displacement amplitude and maintaining the same vibration  
 279 shape, which was forced by the imposed external movement. Thus, it was expected that the  
 280 calculation approaches that did not consider the influence of the frequency of the mechanical  
 281 excitation of the piezoelectric patch did not represent their electrical response properly. It was  
 282 the case of both numerical and analytical methods previously proposed, whose voltage output  
 283 prediction was unique per each test configuration. Relative error moved from 22% to 81% in the  
 284 case of numerical simulations, which tended to overestimate the output voltage. Analytical  
 285 calculations tended to underestimate the output voltage in a range between 18% and 392%.

286 Both numerical and analytical calculation procedures showed their voltage output results were  
 287 influenced by the substrate plate length in the same sense than experimental results were but  
 288 with different magnitude. This fact proved that mechanical stress calculation of the piezoelectric  
 289 ceramic, which was independent from the excitation frequency, was coherent but mechanical-  
 290 electrical coupling piezoelectric properties were not.

### 291 3.2. Fitting calculation parameters

292 A four-steps procedure was followed to adjust the piezoelectric input parameters. This input  
 293 parameters were different variables for analytical than for finite element calculations. First, the  
 294 best-fitting value of these parameters was obtained for every study case. Second, the tendency  
 295 of the value of the considered parameter was fitted with a suitable formulation for each  
 296 substrate type (aluminium or polystyrene). Third, this formulation was used to calculate the

297 corresponding input parameters for all studied cases. Finally, calculations of the output voltage  
 298 were repeated considering these new set of parameters and the new results were analysed. This  
 299 procedure was implemented twice: for numerical and for analytical analyse because different  
 300 input parameters were required.

### 301 3.2.1. Fitting input parameters for numerical simulations

302 A previous initial step was added to the procedure described in section 3.2 for the numerical  
 303 simulations approach. The influence of the different input piezoelectric parameters ( $e_{31}$ ,  $e_{33}$ ,  
 304  $e_{15}$ ,  $ep_{11}$  and  $ep_{33}$ ) was analysed through a numerical sensitivity analysis. Results showed that  
 305 modifying the values of  $e_{33}$ ,  $e_{15}$  and  $ep_{11}$  (100%, 300% and 40% respectively) had little  
 306 influence (5%, 0% and 0% respectively) on the simulated output voltage.  $e_{31}$  and  $ep_{33}$  showed  
 307 significant influence for the used piezoelectric configuration and both them were adjusted.

308 An additional numerical simulation was implemented considering  $e_{31}=-3C/m^2$  and  $ep_{33}=2042$ ,  
 309 which underestimated the output voltage and it was used as the second point for the linear  
 310 interpolation. A pair of values for the parameters  $e^i_{31}$  and  $ep^i_{33}$  were obtained by linear  
 311 interpolation between the initial numerical results (overestimated output voltage) and these  
 312 additional numerical simulations (underestimated output voltage), to fit to the experimental  
 313 results for every test. Interpolated optimum  $e^i_{31}$  and  $ep^i_{33}$  are summarised in 4<sup>th</sup> and 5<sup>th</sup>  
 314 columns of Table 5.

315 *Table 5. Adjustment of input parameters of the numerical model. Parameters interpolated from numerical results to*  
 316 *fit experimental ones; parameters adjusted to fit Equation 1 and voltage output of the adjusted numerical*  
 317 *simulation and the corresponding relative error respect to experimental results*

Test	Experimental		Interpolated parameters		Equation 1 adjusted parameters		Adjusted numerical	
	Frequency (Hz)	Voltage (V)	$e^i_{31}$ (C/m <sup>2</sup> )	$ep^i_{33}$	$e^a_{31}$ (C/m <sup>2</sup> )	$ep^a_{33}$	$v^{SIM}$ (V)	Error (%)
A_250_F1	3.85	46.3	-3.56	1723	-3.49	1756	44.2	-4.5
A_250_F2	5.81	50.9	-3.82	1605	-3.81	1606	49.6	-2.5
A_250_F3	7.68	54.0	-3.99	1536	-4.04	1518	53.4	-1.1
A_350_F1	3.66	25.9	-3.78	1622	-3.92	1561	26.6	2.5
A_350_F2	5.60	33.3	-4.58	1337	-4.42	1388	30.9	-7.1
A_350_F3	7.63	34.5	-4.72	1297	-4.77	1284	34.1	-1.2
P_250_F1	1.54	2.35	-1.59	3849	-1.86	3296	2.6	12.3
P_250_F2	2.44	3.32	-2.12	2889	-2.19	2800	3.2	-2.9
P_250_F3	3.38	4.38	-2.70	2267	-2.42	2532	3.6	-16.9
P_350_F1	1.42	1.65	-2.29	2670	-2.43	2517	1.7	0.7
P_350_F2	2.50	2.60	-3.44	1781	-3.84	1595	2.9	11.3
P_350_F3	3.41	3.42	-4.44	1381	-4.62	1326	3.6	4.9
P_450_F1	1.45	1.43	-3.36	1823	-2.72	2255	1.1	-24.4
P_450_F2	2.55	1.93	-4.44	1382	-4.50	1361	2.0	2.0
P_450_F3	3.43	2.51	-5.68	1079	-5.43	1128	2.4	-3.3

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319 According with (Damjanovic 1997),  $d_{33}$  piezoelectric coefficient showed a linear relationship  
 320 with the logarithm of the mechanical excitation frequency. In addition, (Gusarov et al. 2016)  
 321 proved that  $g_{31}$  piezoelectric voltage coefficient linearly depended on the mechanical stress of

322 the piezoelectric ceramic. The following formulation is newly proposed for the calculation of  $e^{31}$   
 323 piezoelectric coefficient by combining both previous literature approaches:

$$e^{a31} = \left( k_1^e \frac{\sigma}{\sigma_N} + k_2^e \right) \ln(\omega) + k_3^e = k_4^e \ln(\omega) + k_3^e \quad \text{Equation 1}$$

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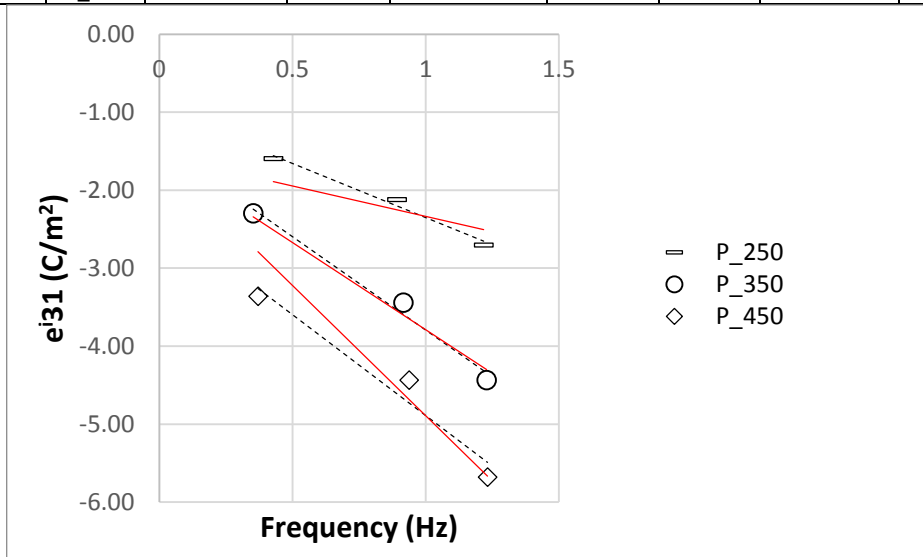
325 The ratio between  $e^{31}$  value provided by the piezoelectric patch manufacturer and the adjusted  
 326 one,  $e^{a31}$ , was used to calculate the adjusted value of  $ep^{a33}$  imposing the inverse proportion:

$$ep^{a33} = \frac{e^{31}}{e^{a31}} ep^{33} \quad \text{Equation 2}$$

327 The plot of  $e^{a31}$  vs.  $\ln(\omega)$  (Fig. 4 is an example of the procedure) was used to fit a linear equation  
 328 for each specific plate (particular length and substrate material combination), resulting in  $k_4^{e*}$   
 329 (slope) and  $k_3^{e*}$  (independent term) values summarised in Table 6. Then the linear equations for  
 330 the same substrate plate material (but different lengths) were modified by imposing a unique  
 331 independent term per material,  $k_3^e$ . This independent term was obtained as the average of the  
 332 independent terms of the previous linear fitting equations for the same substrate (aluminium or  
 333 polystyrene). Slope coefficient,  $k_4$ , was modified accordingly. At this point, different plate  
 334 lengths of the same material had the same  $k_3^e$  coefficient but different  $k_4^e$  coefficients. Then,  $k_4^e$   
 335 coefficients were plot against  $\frac{\sigma}{\sigma_N}$  dimensionless value (Fig. 5 is an example of the procedure)  
 336 where  $\sigma$  was the average mechanical stress in the piezoelectric ceramic in the poling direction  
 337 (this is a result of the first numerical simulations) and  $\sigma_N = 44.2\text{MPa}$  is the uniformly distributed  
 338 equivalent stress associated to the blocking force (265N for P-876.A12 piezoelectric patch).  
 339 Linear fitting of  $k_4^e$  vs.  $\frac{\sigma}{\sigma_N}$  allowed to obtain  $k_1^e$  and  $k_2^e$  values completing the definition of the  
 340 terms in Equation 1.

341 Table 6. Equation 1 fitting for numerical simulations. Parameters used for the fitting of the piezoelectric properties in  
 342 the numerical model.

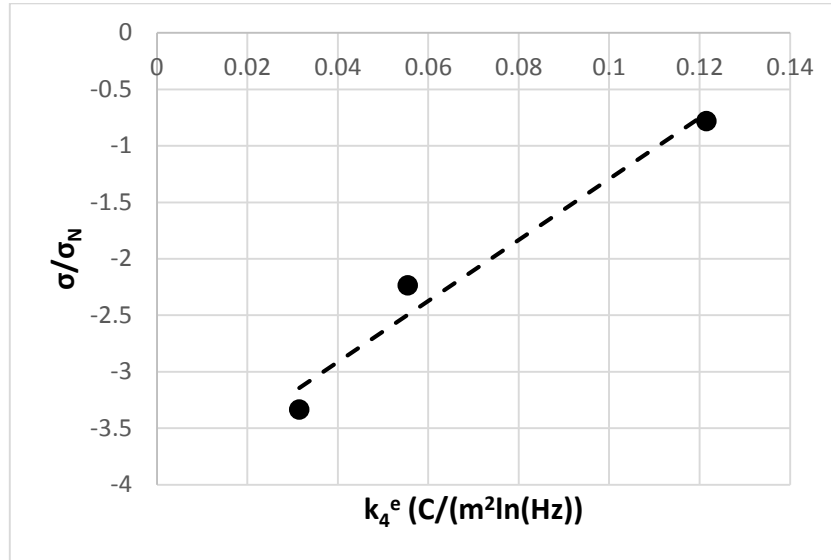
Material	Plate type	$k_4^{e*}$ $\left(\frac{C}{m^2 \ln(Hz)}\right)$	$k_3^{e*}$ $(C/m^2)$	$k_4^e$ $\left(\frac{C}{m^2 \ln(Hz)}\right)$	$k_3^e$ $(C/m^2)$	$\frac{\sigma}{\sigma_N}$	$k_1^e$ $\left(\frac{C}{m^2 \ln(Hz)}\right)$	$k_2^e$ $\left(\frac{C}{m^2 \ln(Hz)}\right)$
Aluminium	A_250	-0.625	-2.716	-0.7914	-2.4226	1.00	0.7545	-1.5459
	A_350	-1.326	-2.129	-1.1567		0.52		
Polystyrene	P_250	-1.391	-0.963	-0.7799	-1.5547	0.1215	-0.7131	-2.6126
	P_350	-2.397	-1.395	-2.2365		0.0554		
	P_450	-2.582	-2.306	-3.3347		0.0314		



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Fig. 4. Interpolated optimum  $e^{a31}$  values (symbols), linear fitting to obtain  $k_3^*$  and  $k_4^*$  values (dashed lines) and modified linear fitting to obtain  $k_3^e$  and  $k_4^e$  values (solid line). Polystyrene specimens.



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Fig. 5. Plot of  $\sigma/\sigma_N$  vs.  $k_4^e$  to fit  $k_1$  (slope) and  $k_2$  (independent term) values of Equation 1. Polystyrene specimens.

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With the  $k_1^e$ ,  $k_2^e$  and  $k_3^e$  parameters adjusted (see Table 6), piezoelectric coefficients,  $e^{a31}$  and  $e^{p33}$  were calculated using Equation 1 and Equation 2 for every specific testing case (see 6<sup>th</sup> and 7<sup>th</sup> columns in Table 5). Simulations were run again considering these piezoelectric parameters, which were calculated as a function of the stress in the piezoelectric ceramic ( $\sigma$ ) and the frequency of mechanical excitation. Output voltages are summarised in the 8<sup>th</sup> column of Table 5,  $V^{SIM}$ . The average error of these simulations in terms of output voltage was -2.3% for aluminium plates and -1.8% for polystyrene plates (last column in Table 5). The average error (with no sign) was 6.5%. It was significantly lower than the value of 143.3% that was obtained with the numerical simulations that considered producer's properties. Thus, it was proved that the proposed methodology for adjusting piezoelectric properties as function of mechanical excitation frequency and stress level increased the accuracy of the numerical simulations.

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### 3.2.2. Fitting input parameter for analytical calculations

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Results of the analytical calculations performed using manufacturer's piezoelectric  $g^{31}$  parameter are summarised in the 4<sup>th</sup> column of Table 7. These values showed an average relative error (with no sign) of 91.3%. The optimum value of  $g^{31}$  parameter,  $g^{i31}$ , was interpolated for every case on the basis that the output voltage is proportional to it. Then, the same procedure that was described to compute  $k_4^{e*}$ ,  $k_3^{e*}$ ,  $k_4^e$ ,  $k_3^e$ ,  $k_2^e$  and  $k_1^e$  coefficients for  $e^{a31}$  calculation was followed but to calculate  $g^{a31}$  parameter according with Equation 3. The same dependency on mechanical excitation frequency and mechanical stress level was set. Results of the fitting procedure ( $k_1^g$ ,  $k_2^g$  and  $k_3^g$  coefficients to calculate  $g^{a31}$  for every tested case) and the output voltage of the adjusted numerical model,  $V^{Ana}$ , are summarised Table 7 and Table 8.

$$g^{a31} = \left( k_1^g \frac{\sigma}{\sigma_N} + k_2^g \right) \ln(\omega) + k_3^g = k_4^g \ln(\omega) + k_3^g \quad \text{Equation 3}$$

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Table 7. Adjustment of input parameters of the analytical model. Experimental frequency and voltage reference, initial analytical output voltage, interpolated and adjusted piezoelectric constant and results of the adjusted analytical procedure and the corresponding relative error respect to experimental results.

Test	Experimental		Initial analytical	Interpolated parameter	Adjusted parameter	Adjusted analytical	
	Frequency (Hz)	Voltage (V)	Voltage (V)	$g^{31}$ (Vm/N)·10 <sup>-3</sup>	$g^{a31}$ (Vm/N)·10 <sup>-3</sup>	$V^{Ana}$ (V)	Error (%)
A_250_F1	3.85	46.3		5.46	5.35	45.4	-2.0
A_250_F2	5.81	50.9	11.1	6.00	6.04	51.2	0.6
A_250_F3	7.68	54.0		6.36	6.50	55.1	2.2
A_350_F1	3.66	25.9		5.91	6.29	27.6	6.4
A_350_F2	5.60	33.3	4.9	7.59	7.33	32.1	-3.4
A_350_F3	7.63	34.5		7.88	8.09	35.5	2.7
P_250_F1	1.54	2.35		0.28	0.32	2.7	14.8
P_250_F2	2.44	3.32	0.078	0.39	0.41	3.5	4.8
P_250_F3	3.38	4.38		0.52	0.47	4.0	-8.2
P_350_F1	1.42	1.65		0.38	0.39	1.7	4.3
P_350_F2	2.50	2.60	0.039	0.59	0.65	2.8	9.1
P_350_F3	3.41	3.42		0.78	0.79	3.5	0.8
P_450_F1	1.45	1.43		0.54	0.44	1.2	-18.4
P_450_F2	2.55	1.93	0.023	0.73	0.75	2.0	3.8
P_450_F3	3.43	2.51		0.94	0.92	2.4	-2.9

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Table 8. Equation 3 fitting for analytical calculations. Parameters used for the fitting of the piezoelectric properties in the analytical calculations.

Material	Plate type	$\frac{k_4^{g*}}{N \ln(Hz)} \cdot \frac{Vm}{10^{-4}}$	$k_3^{g*} \cdot \frac{Vm}{N} \cdot 10^{-4}$	$\frac{k_4^g}{N \ln(Hz)} \cdot \frac{Vm}{10^{-4}}$	$k_3^g \cdot \frac{Vm}{N} \cdot 10^{-4}$	$\frac{\sigma}{\sigma_N}$	$\frac{k_1^g}{N \ln(Hz)} \cdot \frac{Vm}{10^{-3}}$	$\frac{k_2^g}{N \ln(Hz)} \cdot \frac{Vm}{10^{-4}}$
Aluminium	A_250	13.00	37.00	16.00	31.00	0.96	-1.70	33.00
	A_350	28.00	25.00	24.00		0.50		
Polystyrene	P_250	2.99	1.42	2.05	2.33	0.00078	-65.60	7.08
	P_350	4.53	2.06	4.26		0.00039		
	P_450	4.53	3.52	5.72		0.00023		

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The average relative error (with no sign) was reduced from 91.3% (obtained using manufacturer's piezoelectric properties) to 5.6%. Relative error was 1.1% for aluminium plates and 0.9% for polystyrene plates when considering error sign. Thus, it was proved that the proposed methodology for adjusting  $g_{31}$  variable as function of the vibration frequency and the stress level increased the accuracy of the analytical calculations. It is worthy to note that the implemented procedure was exactly the same than for the parameters used in the numerical simulations, so this procedure has been generalised for both calculation tools.

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Finally, it was observed that the accuracy of the numerical simulations and the analytical calculations was in the same range. This fact proved that easier analytical calculation tools are powerful enough to deal with the modelling of cantilever piezoelectric devices.

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### 3.3. Extrapolation for a theoretical case. Analytical approach and literature comparison

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Although the proposed procedure for calculating piezoelectric parameters from mechanical stress level and mechanical excitation frequency is limited to two substrate materials and low

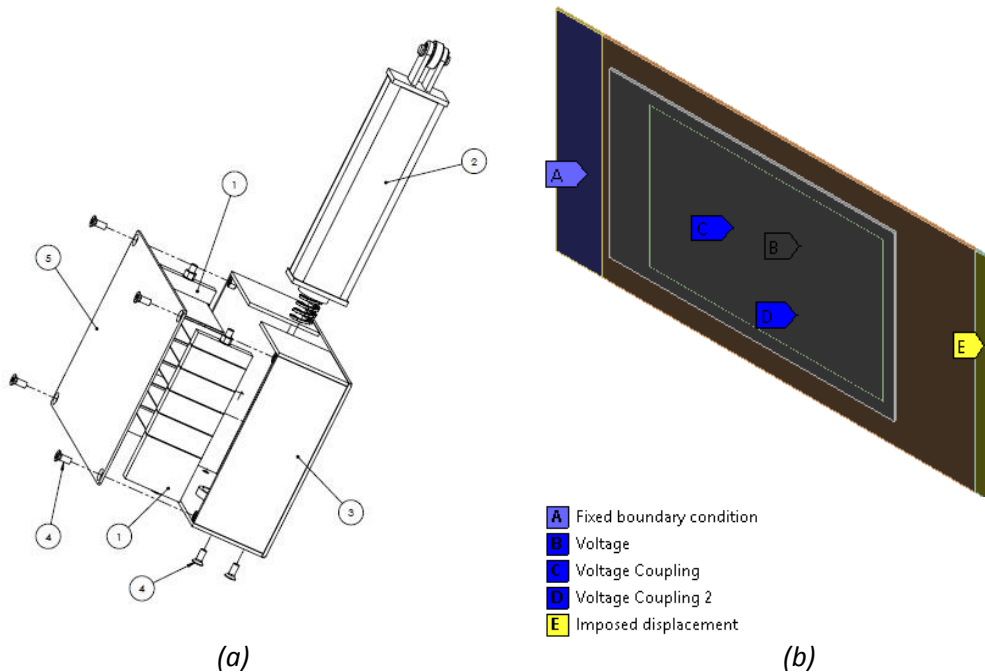
390 vibration frequencies in this research, its practical implications have to be highlighted to better  
391 understand its significance and to promote using it.

392 The proposed analytical methodology was applied to a theoretical case of energy harvester to  
393 evaluate the practical consequences of considering or not considering the stress and frequency  
394 influence on the values of the piezoelectric parameters for the calculation of electric energy  
395 production.

396 The considered theoretical case was a piezoelectric generator constituted by 10 bimorph  
397 cantilever devices cyclically activated by an imposed displacement whose amplitude was  
398 constant (1mm) but excitation frequency decreased along time following an exponential curve.  
399 This generator was part of a complex energy harvesting device under development, whose  
400 conceptual design is shown in Fig. 6 (a). Every bimorph cantilever was constituted by a 93mm x  
401 45mm x 0.5mm aluminium ( $E=68.9\text{GPa}$ ) plate. P-876.A12 piezoelectric patches were bonded on  
402 each side at 12mm from the fixed plate ending. The fixed area covered a length of 10mm. A  
403 2mm gap between the border of the fixed area and the piezoelectric patch were kept free for  
404 durability reasons. The imposed displacement was applied on a 3mm length surface at the  
405 opposite edge of the plate. This configuration set a free cantilever length of 80mm. These  
406 boundary conditions are represented in Fig. 6 (b).

407 Analytical and numerical approaches reached similar output accuracy in the previous analysis.  
408 Hence, only analytical calculation was used for the theoretical evaluation of the energy harvester  
409 because of the lower complexity.

410 Imposed displacement was characterised by the theoretical curve presented in Fig. 7, which  
411 started with a frequency of  $f_0 = 8\text{Hz}$  and finished when the frequency reached 1Hz after 10s.  
412 The frequency reduction followed the exponential law:  $f = f_0 e^{-0.208t}$  where t is time in  
413 seconds.



414 Fig. 6. (a) Conceptual design of a piezoelectric generator with 10 bimorph cantilever plates (5 per side) activated by  
415 an imposed displacement of a central column. (b) Geometry and boundary conditions of one bimorph plate to be  
416 simulated.

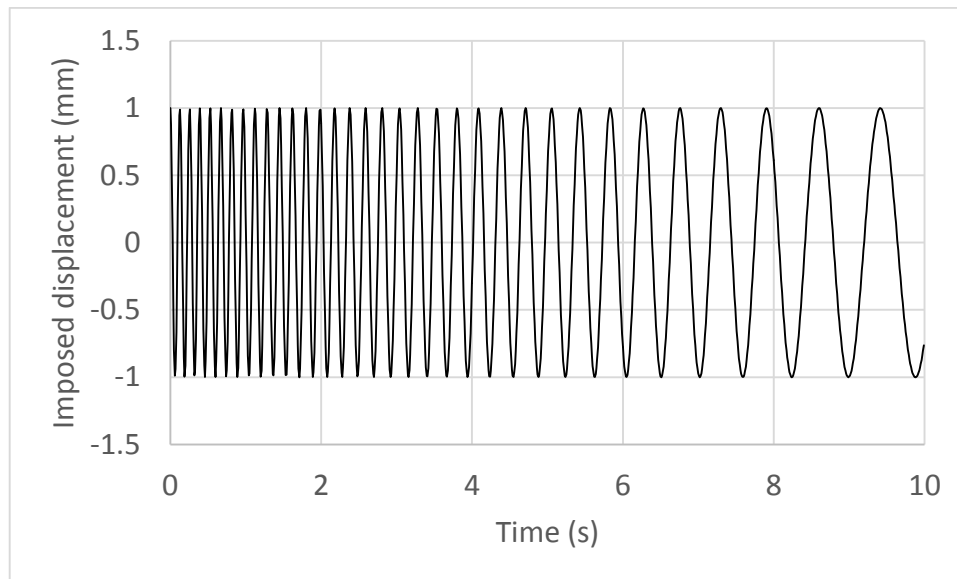


Fig. 7. Theoretical imposed displacement

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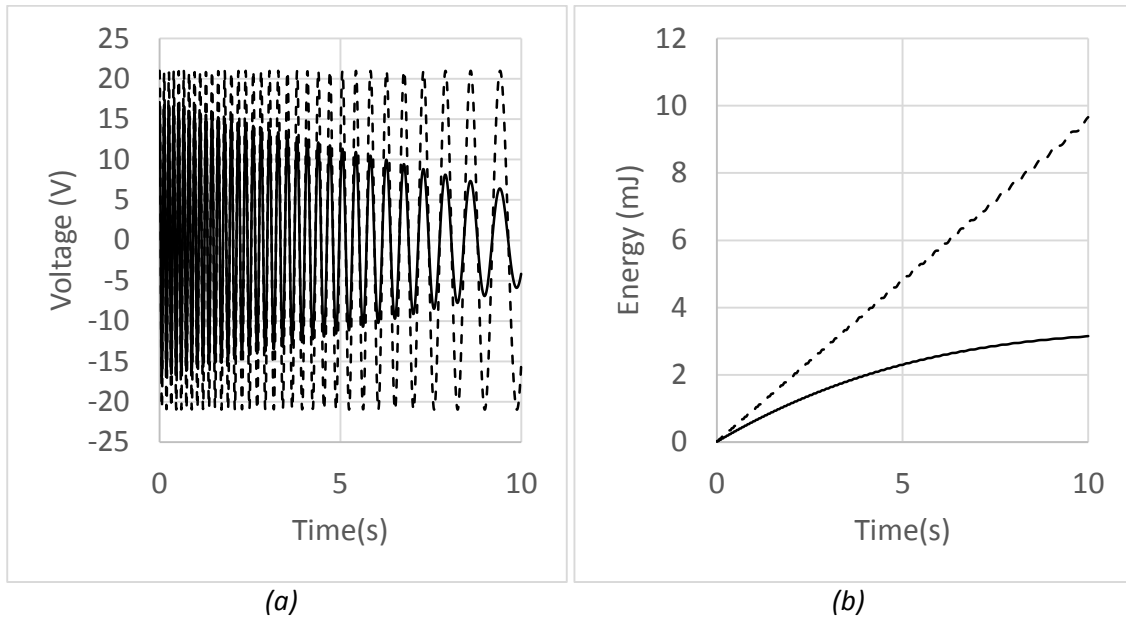
419 The previous model adjusted for cantilever aluminium plate tests ( $k_1^g = -1.7E -$   
 420  $3 \frac{Vm}{\ln(Hz)}$ ;  $k_2^g = 3.3E - 3 \frac{Vm}{\ln(Hz)}$ ;  $k_3^g = 3.1E - 3 \frac{Vm}{N}$ ) was used to estimate and to  
 421 automatically update the value of the piezoelectric voltage coefficient ( $g31$ ) along the analytical  
 422 calculation. An analytical calculation without considering the frequency and the stress influence  
 423 and using piezoelectric voltage coefficient,  $g31=11.3E-3$  Vm/N, provided by the manufacturer,  
 424 was also carried out to compare with. Calculations considered bimorph configuration, which  
 425 influenced beam stiffness, but the voltage of only one piezoelectric patch was calculated. The  
 426 energy production of the full generator would be 20 times the one presented below.

427 Results of analytical calculations of both considered situations (manufacturer's  $g31$  value and  
 428 time-adaptative  $g^a31$  value depending on frequency change and stress level) are presented in  
 429 Fig. 8. It was observed that the voltage output amplitude was constant when a constant value  
 430 of  $g31$  coefficient was used (dashed line in Fig. 8 (a)). In this case, the cumulative energy  
 431 generated by one piezoelectric patch increased linearly with time (dashed line in Fig. 8 (b)). In  
 432 contrast, the results that considered the adaptative calculation of the  $g^a31$  coefficient associated  
 433 to stress and frequency dependency showed a decreasing voltage amplitude along time (solid  
 434 line in Fig. 8 (a)) resulting in a logarithmic like cumulative energy evolution (solid line in Fig. 8  
 435 (b)).

436 For the considered reference time (10s) of this theoretical case, cumulative energy production  
 437 considering adaptative piezoelectric voltage coefficient reached 1/3 of the energy production  
 438 estimated with the constant value of this variable provided by piezoelectric patch manufacturer.

439 To complete the analysis, it would be interesting to compare the proposed model with existing  
 440 evidences. However, there are not publications, as per authors knowledge, that combine the  
 441 analysis of different stress levels and different excitation frequencies below 10Hz and provide  
 442 the required data for applying the proposed model. Thus, a scientifically sound comparison was  
 443 not possible. In addition, only a few recent researches dealt with the problem of characterising  
 444 piezoelectric parameters under different stress or excitation frequencies. Some of them are  
 445 (Daneshpajoo et al. 2020) for the stress influence and (Fernandes et al. 2002) for the frequency  
 446 influence. Recently, other authors have realized on the potential non-stability of piezoelectric

447 parameters, like (Li et al. 2016), or have noticed that direct application of analytical models with  
448 constant parameters did not work accurately, like (Costa de Oliveira et al. 2021).



449 *Fig. 8. (a) Theoretical voltage evolution along time for adaptative piezoelectric voltage coefficient (solid line) and*  
450 *constant manufacturer's piezoelectric voltage coefficient (dashed line). (b) Theoretical cumulative energy output for*  
451 *adapted piezoelectric voltage coefficient (solid line) and constant manufacturer's piezoelectric voltage coefficient*  
452 *(dashed line).*

#### 453 4. Conclusions

454 After performing experimental tests, analytical calculations and numerical simulations on  
455 cantilever bending piezoelectric devices with imposed cyclic displacement **applied** at  
456 frequencies below 10Hz, the following conclusions were obtained:

- 457 • Experimental output voltage amplitude increased when increasing the mechanical  
458 excitation frequency with fixed displacement amplitude and fixed deformation shape.  
459 Thus, properties that control mechanical-electrical relationship depended on vibration  
460 frequency.
- 461 • Calculations considering constant piezoelectric parameters did not properly represent  
462 experimental evidences and brought relative errors up to 81% for numerical simulations  
463 and 392% for analytical calculations.
- 464 • The newly proposed formulation to calculate piezoelectric parameters, which  
465 considered linear dependency on mechanical stress level and logarithmic dependency  
466 of imposed displacement frequency, accurately represented experimental results with  
467 a relative error around 2% for numerical simulations and 1% for the analytical approach.
- 468 • This novel proposed formulation meets previously published evidences and it has been  
469 successfully generalised for two calculation tools: numerical simulations (adjusting  
470 parameters  $e_{31}$ ,  $ep_{33}$ ) and analytical calculations (adjusting  $g_{31}$ ).
- 471 • In the case of low frequency vibration devices, considering the dependency of  
472 piezoelectric properties on the mechanical excitation frequency and the mechanical  
473 stress leads to significantly lower energy production estimation in comparison with the  
474 results obtained using the constant parameters suggested by piezoelectric  
475 manufacturers.



476 Finally, it is proposed to encourage researchers to perform tests at different stress levels and  
477 different low-range excitation frequencies so to collect evidences of the influence of these  
478 parameters on piezoelectric properties.

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