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***ADRB2* Haplotypes and Asthma Exacerbations in Children and Young Adults: An Individual Participant Data Meta-Analysis**

Running Title: *ADRB2* Haplotypes and Asthma Exacerbations

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Graphical Abstract Text

Asthmatic children and young adults treated with inhaled corticosteroid (ICS) plus long-acting β_2 -agonists (LABA) were more prone to asthma exacerbations if they were carriers of *ADRB2* haplotype (Arg16Gln27) compared to non-carriers. The *ADRB2* Arg16 haplotype, presumably mainly driven by the Arg16, increased the risk of asthma exacerbations in patients treated with ICS plus LABA. This finding could be beneficial in *ADRB2* genotype-guided asthma treatment and might improve patient outcomes.

KEY MESSAGE:

- Response to treatment with inhaled corticosteroids (ICS) and long-acting β_2 -agonists (LABA) varies inter-individually in asthmatic patients.
- The *ADRB2* Arg16 haplotype increased the risk of asthma exacerbations in patients treated with ICS plus LABA.
- This finding could be beneficial in *ADRB2* genotype-guided treatment in asthmatic patients.

1 ABSTRACT

2 **Background:** The polymorphism Arg16 in β_2 -adrenergic receptor (*ADRB2*) gene has been
3 associated with an increased risk of exacerbations in asthmatic children treated with long-acting
4 β_2 -agonists (LABA). However, it remains unclear whether this increased risk is mainly attributed
5 to this single variant or the combined effect of the haplotypes of polymorphisms at codons 16 and
6 27.

7 **Objective:** We assessed whether the haplotype analysis could explain the association between the
8 polymorphisms at codons 16 (Arg16Gly) and 27 (Gln27Glu) in *ADRB2* and risk of asthma
9 exacerbations in patients treated with inhaled corticosteroids (ICS) plus LABA.

10 **Methods:** The study was undertaken using data from ten independent studies ($n = 5,903$) of the
11 multi-ethnic Pharmacogenomics in Childhood Asthma (PiCA) consortium. Asthma exacerbations
12 were defined as asthma-related use of oral corticosteroids or hospitalizations/emergency
13 department visits in the past 6 or 12 months prior to the study visit/enrolment. The association
14 between the haplotypes and the risk of asthma exacerbations was performed per study using
15 haplo.stats package adjusted for age and sex. Results were meta-analyzed using the inverse
16 variance weighting method assuming random-effects.

17 **Results:** In subjects treated with ICS and LABA ($n = 832$, age: 3-21 years), Arg16/Gln27 vs.
18 Gly16/Glu27 (OR: 1.40, 95% CI: 1.05-1.87, $I^2 = 0.0\%$) and Arg16/Gln27 vs. Gly16/Gln27 (OR:
19 1.43, 95% CI: 1.05-1.94, $I^2 = 0.0\%$), but not Gly16/Gln27 vs. Gly16/Glu27 (OR: 0.99, 95% CI:
20 0.71-1.39, $I^2 = 0.0\%$), were significantly associated with an increased risk of asthma exacerbations.
21 The sensitivity analyses indicated no significant association between the *ADRB2* haplotypes and
22 asthma exacerbations in the other treatment categories i.e., as-required short-acting β_2 -agonists (n
23 = 973), ICS monotherapy ($n = 2,623$), ICS plus leukotriene receptor antagonists (LTRA; $n = 338$),
24 or ICS plus LABA plus LTRA ($n = 686$).

25 **Conclusion and clinical relevance:** The *ADRB2* Arg16 haplotype, presumably mainly driven by
26 the Arg16, increased the risk of asthma exacerbations in patients treated with ICS plus LABA.
27 This finding could be beneficial in *ADRB2* genotype-guided treatment which might improve
28 clinical outcomes in asthmatic patients.

29 **Keywords:** asthma exacerbations; long-acting β_2 -agonists; inhaled corticosteroids; *ADRB2*;
30 haplotypes

31 INTRODUCTION

32 Asthma is a common, heterogeneous, and chronic respiratory disease. Despite treatment, patients
33 might experience exacerbations that can be life-threatening. The combination therapy of inhaled
34 corticosteroids (ICS) and long-acting β_2 -agonists (LABA) is one of the recommended treatments
35 for the control of asthma in children.¹ However, response to treatment with LABA varies inter-
36 individually and this might be partly mediated by genetic variation.²

37 The β_2 -adrenergic receptor is a member of the G protein-coupled transmembrane receptors broadly
38 located on airway smooth muscle cells.³ The β_2 -adrenergic receptor (*ADRB2*) gene, a small intron-
39 less gene on chromosome 5q31.32, encodes the receptor and contains different single nucleotide
40 polymorphisms (SNPs). Of these SNPs, the coding non-synonymous variants rs1042713
41 (Arg16Gly), a Glycine-to-Arginine amino acid substitution at codon 16, and rs1042714
42 (Gln27Glu), a Glutamine-to-Glutamic acid amino acid substitution at codon 27, that are in linkage
43 disequilibrium, have been found to be associated with asthma and asthma phenotypes.⁴⁻⁶

44 Although various studies have investigated the association between the *ADRB2* polymorphisms
45 and response to LABA, the results are conflicting and inconclusive.⁷⁻¹¹ A recent meta-analysis in
46 the Pharmacogenomics in Childhood Asthma¹² (PiCA) consortium showed that asthmatic children
47 carrying 1 or 2 Arg allele(s) at rs1042713 and treated with ICS plus LABA have an increased risk
48 of exacerbations.¹⁰ Previous studies showed that the Gln allele at rs1042714 was a risk factor for
49 asthma and associated with a less effective response to treatment with inhaled β_2 -agonists during
50 an acute asthma exacerbation.^{6,13} Furthermore, most studies, as well as the recent meta-analysis in
51 the PiCA consortium,¹⁰ evaluated the effect of each variant independently but not the combined
52 effect of their haplotypes that might yield additional insight into the association between the
53 *ADRB2* variants and asthma exacerbations. Therefore, it is still unclear whether the combined
54 effect of the *ADRB2* polymorphisms at codons 16 and 27 is associated with an increased risk of
55 asthma exacerbations or whether the association is driven by just the single polymorphism at
56 codon 16.

57 Therefore, we aimed to assess whether the haplotype analysis could explain the association
58 between the polymorphisms at codons 16 and 27 of *ADRB2* and the risk of asthma exacerbations
59 in patients treated with ICS plus LABA.

60 **METHODS**

61 **Study population**

62 Data from ten independent studies participating in the PiCA consortium¹² were analyzed.

63 BREATHE is an observational study that includes children and young adults (age: 3-22 years)¹⁴
64 with physician-diagnosed asthma recruited from primary and secondary care units in Tayside,
65 Scotland, and Brighton, United Kingdom. The Effectiveness and Safety of Treatment with Asthma
66 Therapy in children (ESTATe) is a case-control study that includes children and young adults (4-
67 19 years) with physician-diagnosed asthma recruited from primary care units in the Netherlands.

68 The followMAGICS study is the follow-up study of the observational Multicenter Asthma
69 Genetics in Childhood Study (MAGICS), which includes physician-diagnosed asthmatic children
70 and young adults (age: 7-25 years)¹⁵ recruited from secondary and tertiary centers in Germany and
71 Austria. The Genes-Environment and Admixture in Latino Americans (GALA II) and the Study of
72 African Americans, Asthma, Genes, and Environments (SAGE) studies are two independent case-
73 control asthma cohorts (age: 8-21 years) that focus on two different racial/ethnic groups based on
74 the self-identified ethnicity of the four grandparents of each subject: Hispanics/Latinos (GALA II)
75 and African Americans (SAGE) in the United States and Puerto Rico.^{16,17} The Pharmacogenetics
76 of Asthma Medication in Children: Medication with Anti-inflammatory effects (PACMAN) study
77 in the Netherlands,¹⁸ is an observational cohort study that included children (age: 4-12 years) with
78 self-reported regular use of asthma medication recruited through community pharmacies. Children
79 were selected from community pharmacies in the Netherlands that belonged to the Utrecht
80 Pharmacy Practice Network for Education and Research (UPPER).¹⁹ The Pediatric Asthma Gene
81 Environment Study (PAGES) is a cross sectional observational study designed to relate asthma
82 outcomes to environmental and genetic factors. Children (age: 5-16 years) with physician-
83 diagnosed asthma were recruited from primary and secondary care centers across Scotland.²⁰ The
84 Pharmacogenetics of Adrenal Suppression Study (PASS) in the United Kingdom (age: 5-18 years)
85 is a multicenter cohort of asthmatic children. The study initially aimed to explore the association
86 between use of corticosteroids and adrenal suppression, and how genetic factors influence this
87 association.^{21,22} The Singapore Cross Sectional Genetic Epidemiology Study (SCSGES)²³ (age: 6-
88 31 years) is an ongoing cross-sectional genetic epidemiology study on allergic diseases among
89 Singapore Chinese individuals. The ethnicity of subjects was self-reported Chinese and confirmed
90 by principal component analysis. Asthma was defined by having a physician-diagnosis of
91 symptoms prior to recruitment.^{23,24} The SLOVENIA study is a case-control cohort (age: 5-18) and

92 includes asthmatic children and young adults recruited from tertiary health centers from Murska
93 Sobota, Slovenia.²⁵ Further details on the study population are described in the Supporting
94 Information.

95 All studies have been approved by their local medical ethics committees/institutional review
96 boards and parents or participants provided written consent. The Tayside Committee on Medical
97 Research Ethics (Dundee, United Kingdom) approved BREATHE (reference number:
98 NFB/FB/106/03). ESTATE was approved by the Medische Ethische Toetsings Commissie,
99 Erasmus Medical Center (Rotterdam, the Netherlands) (reference number: MEC-2011-474).
100 GALA II and SAGE were approved by the Human Research Protection Program Institutional
101 Review Board of the University of California, San Francisco (San Francisco, United States)
102 (reference numbers: 10-00889 and 10-02877 , respectively). PACMAN was approved by the
103 Medical Ethics Committee of the University Medical Centre Utrecht (Utrecht, the Netherlands
104 reference number: NL2124.021.08). PAGES has been approved by the Cornwall and Plymouth
105 Research Ethics Committee (reference number: 07/H0203/204). PASS was approved by the
106 Liverpool Pediatric Research Ethics Committee (Liverpool, United Kingdom, reference number:
107 08/H1002/56). SLOVENIA was approved by the Slovenian National Medical Ethics Committee
108 (Ljubljana, Slovenia, reference number: 0120-569/2017/4). The Ethik- Kommission der
109 Bayerischen Landesärztekammer (Munich, Germany) (reference number: 01218) and ethics
110 committee of the medical University of Hannover (reference number: 1021-2011) approved
111 followMAGICS. The ethical approval for the SCSGES cohort was obtained from the Institutional
112 Review Board of the National University of Singapore (NUS-IRB), reference numbers: 07-023,
113 09-256, 10-343, 10-445 and 13-075 for the large scale epidemiology and genetics study and the
114 Institutional Review Board of the National Healthcare Group Domain, Specific Review Board -
115 B/04/055.

116 **Medication data**

117 Data on asthma treatment was collected either from pharmacy records, parent/patient-reported
118 medication use, or completed study questionnaires (PACMAN, followMAGICS, BREATHE,
119 GALA II, PAGES, SAGE, and SCSGES) or physician prescriptions and pharmacy records
120 (ESTATE, PASS, and SLOVENIA). Asthma treatment was categorized as follows: (1) as-required
121 short-acting β_2 -agonists (SABA) (2) inhaled corticosteroids (ICS) monotherapy, (3) ICS in
122 combination with LABA, (4) ICS in combination with leukotriene receptor antagonists (LTRA),

123 and (5) ICS in combination with LABA and LTRA. All children in categories 2-5 used as-required
124 SABA.

125 **Main outcome**

126 Asthma exacerbations, the main outcome, were defined based on the American Thoracic Society
127 (ATS)/European Respiratory Society (ERS) guidelines as episodes of worsening of asthma
128 symptoms which require a short course (3-5 days) of oral systemic corticosteroids (OCS) use,
129 hospitalizations or emergency department (ED) visits.²⁶ Cases were determined if subjects had at
130 least one asthma exacerbation (described above) in the past 6 or 12 months prior to the study visit
131 or enrolment.

132 Data on asthma exacerbations, asthma-related OCS use or hospitalizations/ED visits, were
133 reported by the parent/child at the study visit or based on study questionnaires or physician
134 records: 1) BREATHE, and PASS: hospitalizations or OCS use in the past six months preceding
135 the study visit; 2) PACMAN: ED visits or OCS use in the past 12 months preceding the study
136 visit; 3) GALA II, SLOVENIA, ESTATE, SAGE, PAGES, and SCSGES: hospitalizations/ED
137 visits or OCS use in the past 12 months preceding the study visit. In followMAGICS, only data on
138 asthma-related hospitalizations or ED visits were available in the past 12 months preceding the
139 study visit.¹²

140 **Genotyping**

141 In BREATHE and PAGES, genotypes were determined by using Taqman-based allelic
142 discrimination assays on an ABI 7,700 sequence detection system (Applied Biosystems, Foster
143 City, Calif)^{4,27} In followMAGICS, samples were genotyped using Illumina Sentrix HumanHap300
144 BeadChip array (Illumina, Inc.)¹⁵ In both GALA II and SAGE, samples were genotyped using the
145 Axiom® LAT1 array (Affymetrix Inc.), and quality control (QC) procedures were performed as
146 described previously.^{28,29} In PACMAN and ESTATE, samples were genotyped using the Illumina
147 Infinium CoreExome-24 BeadChip (Illumina, Inc.).³⁰ In PASS, genotyping was performed using
148 the Illumina Omni Express 8v1 array (Illumina, Inc.). QC procedures and imputation are described
149 elsewhere.²² In SCSGES, genotyping was conducted using Kompetitive Allele Specific PCR
150 (KASP) genotyping platform (LGC, Inc). QC was performed based on the quality of clustering.²³
151 In the SLOVENIA study, genotyping of 336 samples was performed with the Illumina Global

152 Screening Array-24 v1.0 BeadChip (Illumina). QC procedures and imputation described
153 elsewhere.³⁰

154 **Functional annotation of variants and expression quantitative trait loci (eQTL) analysis**

155 We used HaploRegv4.1 (<http://www.broadinstitute.org/mammals/haploreg/haploreg.php>)³¹ to
156 retrieve all proxy SNPs in strong linkage disequilibrium (LD) (r^2 threshold > 0.8 , limit distance
157 100 kb, and population panel CEU using 1000 Genomes project) with rs1042713 and rs1042714
158 in *ADRB2* and to assess the predicted functions of the variants including protein structure, effects
159 on gene regulation, and splicing. We also checked the correlation of the SNPs and their proxies
160 with the expression level of *ADRB2* in whole blood using expression quantitative trait loci (eQTL)
161 data from Genenetwork.³²

162 **Statistical analyses**

163 Descriptive statistics were used to calculate means and standard deviations for continuous
164 variables and percentages for categorical variables. Hardy-Weinberg equilibrium (HWE) was
165 assessed for each SNP using a web program (<http://www.oege.org/software/hwe-mr-calc.shtml>)
166 which uses the Pearson chi-squared test for HWE testing.³³ In our main analysis, we analyzed the
167 association between haplotype combinations of polymorphisms at codons 16 and 27 of the *ADRB2*
168 gene and asthma exacerbations in the category of children treated with ICS plus LABA. We used
169 the haplo.stats package (version 1.7.7)³⁴ in R adjusting for age and sex in each study separately,
170 and the resulting odds ratios (ORs) were meta-analyzed. The statistical methods of the haplo.stats
171 package assume that all subjects are unrelated and linkage phase of the genetic markers is
172 unknown.³⁴ To address potential heterogeneity between studies, we used the inverse variance
173 weighting method assuming random-effects. We also reported I^2 and Cochran's Q-test of the
174 meta-analysis.³⁵ Forest plots were made using the 'metafor' package in R (version 3.3.3).³⁶

175 Data on asthma-related OCS use were not available in followMAGICS. Therefore, in a sensitivity
176 analysis, we repeated the haplotype analysis (as described above) separately for asthma-related
177 hospitalizations/ED visits outcome as well as for asthma-related OCS use outcome. Furthermore,
178 to test the robustness of our result in the treatment category of ICS plus LABA, we repeated the
179 haplotype analysis (as described above) in the other treatment categories as follows; as-required
180 SABA, ICS monotherapy, ICS plus LTRA, and ICS plus LTRA plus LABA. Since we

181 investigated the association of haplotype combinations of two polymorphisms and asthma
182 exacerbations, we considered a P-value less than 0.025 (0.05/2) for our main meta-analysis to be
183 statistically significant.

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184 RESULTS

185 Study characteristics

186 The characteristics of the study populations (for each study) are presented in Table 1. Data on age,
187 sex, and treatment were available for 5,903 children and young adults. Out of these 5,903 subjects,
188 data on asthma exacerbations were available in 5,726 subjects.

189 Asthma exacerbations occurred in 2,494 patients (43.5%) and the proportion of asthma
190 exacerbations ranged from 9.7% (PACMAN) to 86.2% (PASS) across the studies. The mean age
191 (SD) of the patients ranged between 8.7 (2.3) years for PACMAN and 17.3 (3.0) years for
192 followMAGICS, and in all studies, the majority of patients were male. The percentage of subjects
193 treated with ICS plus LABA differed across the studies and ranged from 10.2% in GALA II to
194 50.3% in followMAGICS. In addition, all patients in SLOVENIA and SCSGES were treated with
195 ICS monotherapy.

196 Table 2 shows the *ADRB2* genotype and haplotype data. The risk allele (Arg) frequency for
197 rs1042713 was highest in SCSGES, (0.55) followed by SAGE, (0.51). The risk allele (Arg)
198 frequency for rs1072713 ranged between (0.34) for ESTATe and (0.41) for PACMAN across the
199 European studies. The risk allele (Gln) frequency for rs1042714 was highest in SCSGES (0.93)
200 followed by SAGE, (0.82). The risk allele (Gln) frequency for rs1042714 was similar across the
201 European studies and ranged between (0.54) for PASS and (0.60) for ESTATe and SLOVENIA.
202 Both SNPs were in HWE in all studies (in each cohort) and they showed a complete LD ($D' \sim 1$)
203 with r^2 that ranged from 0.10 in SCSGES to 0.50 in PASS.

204 Three haplotypes were determined at positions 16 and 27, and haplotype frequencies were as
205 follows: Arg16/Gln27 (ranged from 0.34 to 0.55), Gly16/Gln27 (ranged from 0.17 to 0.37), and
206 Gly16/Glu27 (ranged from 0.08 to 0.46), (Table 2).

207 Risk of asthma exacerbations in children treated with ICS plus LABA

208 Data on the outcome, asthma exacerbations (asthma-related OCS use or hospitalizations/ED
209 visits), haplotypes, and ICS plus LABA treatment were available in seven studies ($n = 832$, age =
210 3-21 years). The meta-analysis indicated that Arg16/Gln27 vs. Gly16/Glu27 (OR: 1.40, 95% CI:
211 1.05-1.87, $I^2 = 0.00\%$, $P = 0.022$) and Arg16/Gln27 vs. Gly16/Gln27 (OR: 1.43, 95% CI: 1.05-
212 1.94, $I^2 = 0.00\%$, $P = 0.023$), were significantly associated with an increased risk of asthma

213 exacerbations (Figure 1). However, Gly16/Gln27 vs. Gly16/Glu27 (OR: 0.99, 95% CI: 0.71-1.39,
214 $I^2 = 0.00\%$, $P = 0.946$), was not associated with the risk of asthma exacerbations.

215 **Sensitivity analyses**

216 In patients treated with ICS plus LABA, we repeated the haplotype analysis separately for asthma-
217 related OCS use and for asthma-related hospitalizations/ED visits. We observed the similar trends
218 as the main analysis (Figure 2 and Figure 3). Furthermore, no association between the *ADRB2*
219 haplotypes and the risk of asthma exacerbations was observed in any of the other treatment groups
220 (Table 3).

221 **Functional annotation and eQTL analysis of the *ADRB2* variants**

222 Functional annotation, using Haploreg v4.1 data,³¹ showed that rs1042713 and rs1042714 had
223 several proxy variants in strong LD ($D' = 1$ and $r^2 > 0.8$), but none of them was a non-synonymous
224 proxy (Table S1 and Table S2 in the Supporting Information). Furthermore, the cis-eQTL data
225 from Genenetwork showed that not only the Arg allele of rs1042713 but also the Gln allele of
226 rs1042714 was associated with reduced levels expression of *ADRB2* in whole blood.³² Therefore,
227 these data indicated that the variants alters the *ADRB2* expression and function.

229 DISCUSSION

230 In this large multi-ethnic meta-analysis, we observed that the Arg16/Gln27 haplotype vs. the
231 Gly16/Glu27 haplotype and the Arg16/Gln27 haplotype vs. the Gly16/Gln27 haplotype were
232 associated with an increased risk of asthma exacerbations in children and young adults treated
233 with ICS plus LABA. Considering that no statistically significant association was observed
234 between the Gly16/Gln27 haplotype vs. the Gly16/Glu27 haplotype and the risk of asthma
235 exacerbations, we could conclude that the combined effect of two polymorphisms at codons 16
236 and 27 on asthma exacerbations is presumably mainly driven by the Arg16. Furthermore, we did
237 not find an increased risk for exacerbations in asthmatic children carrying the Arg16 haplotype in
238 any of the other treatment categories. The lack of association in the treatment category containing
239 ICS, LABA, and LTRA might be due to both the bronchodilation and anti-inflammation effects of
240 LTRA³⁷, as well as to the relatively small sample size.

241 There was no heterogeneity ($I^2 = 0.00\%$) in the main analysis between studies (Figure 1);
242 however, the ORs were slightly different across the studies. The proportion of asthma
243 exacerbations largely varied between the studies, lowest in PACMAN (recruiting from primary
244 care and community pharmacies) and highest in PASS (recruiting from tertiary care), which might
245 be due to the recruitment of patients from different health care settings (i.e., primary, secondary,
246 and tertiary care, or community pharmacies) and thus reflect differences in asthma severity. Also,
247 asthma treatment policy that affects doctors' underlying tendencies to prescribe OCS varies in
248 different countries, which in turn could influence the proportion of asthma exacerbations.³⁸ In all
249 studies, both SNPs were in complete linkage disequilibrium ($D' \sim 1$) with each other; as a result, we
250 determined three haplotypes of the four possible haplotypes (Arg16/Glu27 was not reported),
251 which is in line with previous findings.^{39,40} Furthermore, considering ethnicity variability in our
252 study populations, we observed different minor allele frequencies in each SNP that resulted in
253 considerable variations in r^2 , which indicates the correlation coefficient of the allele frequencies.
254 We also observed the highest risk allele frequencies (the Arg allele at rs1042713 and the Gln allele
255 at rs1042714) in SCSGES, SAGE, and GALA II, whereas the Gly16/Glu 27 haplotype frequency
256 was substantially the lowest in these three studies, consistent with previous works.⁴¹⁻⁴⁶
257 A recent systematic review² reported studies that investigated the association between the *ADRB2*
258 variants and response to LABA in children and adults with asthma. In children, most studies
259 reported an increased risk of asthma exacerbations in carriers of Arg 16, whereas no association

260 was found in adults.^{4,7,8,10,47} So far, only two studies investigated the effect of rs1042714 on
261 asthma exacerbations in children treated with ICS plus LABA and did not report significant
262 associations.^{4,9} Similarly, in adults, no association between rs1042714 and response to LABA
263 concerning asthma exacerbations has been shown in a post hoc analysis from a randomized
264 clinical trial.⁸

265 A few studies examined the association between these *ADRB2* haplotypes in subjects with asthma.
266 However, they mainly focused on changes in forced expiratory volume in 1 second (FEV₁),⁴²
267 forced vital capacity (FVC), FEV₁/FVC ratio,⁴³ and overall mean changes in morning peak flow as
268 primary outcomes.⁴⁸ To the best of our knowledge, this is the first large meta-analysis
269 investigating the association between the *ADRB2* haplotypes and the risk of asthma exacerbations
270 in patients treated with ICS plus LABA to this date. We know from the literature that Arg16 at
271 rs1042713 is associated with an increased risk for asthma exacerbations; however, this association
272 has not yet been investigated in the Arg haplotype carriers.^{4,5,10}

273 The exact mechanism by which *ADRB2* polymorphisms confer risk for asthma exacerbations in
274 patients treated with ICS plus LABA is still unknown. The mechanism(s) underlying the
275 association between the Arg16 allele and an increased risk of exacerbations in asthmatic patients
276 treated with ICS plus LABA might involve an enhanced agonist-induced downregulation and
277 uncoupling of airway β_2 -receptor, resulting in subsensitivity of bronchoprotective response.⁴⁹
278 There is some evidence from the literature that *ADRB2* haplotypes regulate receptor transcript and
279 protein expression.⁴² Previous *in-vitro* findings indicated that the expression of the Arg16/Gln27
280 haplotype was significantly lower than the Gly16/Glu27 haplotype.⁴² The latter results⁴² are in line
281 with eQTL data,³² demonstrating decreased expression levels of *ADRB2* in the carriers of Arg16
282 and Gln27. Another possible explanation, based on the dynamic baseline receptor model proposed
283 by Liggett,⁵⁰ could be that the Arg16 genotype would be slightly more resistant than the Gly16
284 genotype to endogenous downregulation and desensitization. Thus the Arg 16 genotype would
285 remain more susceptible to further subsensitivity to the chronic use of exogenous agonists.⁵⁰
286 Hence, the observed weakened response to LABA in carriers of the Arg16/Gln27 haplotype is
287 plausible.

288 As for all observational research, our study has strengths and limitations. The current study is to be
289 the largest meta-analysis investigating the combined effect of the *ADRB2* variants in asthmatic

290 patients treated with ICS plus LABA. Also, we used quality-controlled genotyping data, physician
291 diagnosed-asthma, and relevant clinical outcomes (asthma exacerbations). As the first limitation,
292 we did not determine haplotype frequency using gene-counting estimates based on phase-known
293 data. Instead, we obtained haplotype frequency estimates using the expectation-maximization (E-
294 M) algorithm that previous studies have demonstrated the usefulness of this approach (E-M
295 method),⁵¹ and the validity of the statistical technique of this method.⁵² Second, although the
296 *ADRB2* rare variants could affect treatment response to LABA therapy,⁵³ our study was not
297 powered to conduct rare variant analysis. Third, as we lacked information on treatment adherence
298 and dosing in some of the PiCA cohorts, we could not adjust for these factors in our analyses.
299 Fourth, as gene expression and eQTL are tissue-specific, ideally, they should be examined in the
300 lung tissue of patients with asthma, treated with ICS plus LABA. Finally, in our meta-analysis, we
301 observed a significant OR (1.40), 95% CI (1.05-1.87) with a $P = 0.022$, applying a multiple testing
302 correction ($P < 0.025$) to define statistically significant results. We also calculated a prediction
303 interval (PI); the PI in a random-effects model contains a highly probable effect estimate (OR) for
304 a future observation if a new setting is similar to those included in the meta-analysis.^{54,55} In this
305 case, the 95% PI is (0.96-2.04), and thus indeed broader than the 95% CI.

306 In conclusion, we found that the Arg16 haplotype in *ADRB2*, presumably mainly driven by the
307 Arg16, increased the risk of asthma exacerbations among users of ICS and LABA. The clinical
308 benefits and risks associated with the use of LABA in patients with the Arg16 haplotype and
309 genotypes need to be evaluated in randomized clinical trials such as the ongoing precision
310 medicine clinical trial (the PUFFIN trial) investigating *ADRB2* genotype-guided (the Arg16
311 genotype) treatment in children with asthma.⁵⁶

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Table 1: Characteristics of the study populations

Characteristics	BREATHE	ESTATe	Follow MAGICS	GALA II	PACMAN	PAGES	PASS	SAGE	SLOVENIA	SCSGES
n	998	101	167	1,618	791	722	384	740	212	170
Male sex, %	60.0	58.0	62.3	55.7	62.3	57.6	56.0	52.3	56.1	68.2
Mean age, y (SD)	10.2 (4.0)	10.6 (4.2)	17.3 (3.0)	12.4 (3.2)	8.7 (2.3)	9.8 (3.7)	11 (3.3)	13.8 (3.5)	10.8 (3.4)	14.0 (6.4)
Ethnicity, n. (%)										
Caucasian	998 (100)	96 (95)	167 (100)	N/A	711 (89.9)	360 (50)	384 (100)	N/A	212 (100)	N/A
Hispanic	N/A	N/A	N/A	1,618.(100)	3 (0.4)	N/A	N/A	744 (100)	N/A	N/A
Asian	N/A	1 (1)	N/A	N/A	6 (0.8)	11 (1.5)	N/A	N/A	N/A	170 (100)
African	N/A	0 (0)	N/A	N/A	9 (1.1)	N/A	N/A	N/A	N/A	N/A
Mixed	N/A	2 (2)	N/A	N/A	53 (6.7)	15 (2)	N/A	N/A	N/A	N/A
Unknown (missing)	N/A	2 (2)	N/A	N/A	9 (1.1)	336 (46.5)	N/A	N/A	N/A	N/A
Treatment group, n. (%)										
SABA alone	173 (17.3)	0 (0.0)	25 (15.0)	576 (35.6)	80 (10.1)	79 (10.9)	0 (0.0)	207 (27.9)	N/A	N/A
ICS alone	562 (56.3)	65 (64.0)	39 (23.3)	538 (33.2)	497 (62.8)	271 (37.6)	29 (7.5)	367 (49.6)	212 (100)	170 (100)
ICS + LABA	142 (14.3)	34 (34.0)	84 (50.3)	165 (10.2)	148 (18.7)	135 (18.7)	126 (33.0)	98 (13.2)	N/A	N/A
ICS + LTRA	37 (3.7)	0 (0.0)	4 (2.4)	208 (12.9)	21 (2.7)	65 (9.0)	0 (0.0)	35 (4.7)	N/A	N/A
ICS + LABA + LTRA	84 (8.4)	2 (2.0)	15 (9.0)	131 (8.1)	45 (5.7)	172 (23.8)	229 (59.5)	33 (4.6)	N/A	N/A
Asthma exacerbations in the past year or in the last six months prior to the study visit/enrolment										
Hospitalizations/ED*, n. (%)#	147 (14.7)	13 (12.9)	11 (6.6)	865 (54.8)	42 (5.5)	151 (21.7)	290 (75.5)	272 (39.0)	49 (27.7)	34 (20.0)
OCS use*, n. (%)#	234 (23.4)	36 (35.6)	N/A	587 (37.4)	46 (5.8)	316 (45.7)	198 (51.6)	162 (22.4)	23 (12.9)	36 (21.2)
Asthma exacerbations*, n. (%)#	250 (25.0)	49 (48.5)	N/A	1,013 (64.3)	75 (9.7)	346 (50.0)	331 (86.2)	317 (45.8)	54 (30.3)	59 (34.7)

N/A. Not Applicable; *ED, emergency department visits; OCS use, oral corticosteroids use; Asthma exacerbations, asthma-related hospitalizations/ED visits or oral corticosteroids use. #Data on asthma-related hospitalizations/ED visits outcomes were missing in 40 subjects in GALA II, 24 subjects in PACMAN, 27 subjects in PAGES, 43 subjects in SAGE, and 35 subjects in SLOVENIA; data on asthma-related oral OCS use were missing in 49 subjects in GALA II, 30 subjects in PAGES, 16 subjects in SAGE, and 34 subjects in

SLOVENIA, data on asthma exacerbations were missing in 44 subjects in GALA II, 21 subjects in PACMAN, 30 subjects in PAGES, 48 subjects in SAGE, and 34 subjects in SLOVENIA. In followMAGICS, only data on asthma-related hospitalizations/ED visits were available.

Table 2: *ADRB2* genotype and haplotype data

Characteristics	BREATHE	ESTATe	Follow MAGICS	GALAH	PACMAN	PAGES	PASS	SAGE	SLOVENIA	SCSGES
Subjects with data on rs1042713. n.	998	101	167	1,618	791	720	384	740	212	170
Risk allele (Arg) frequency	0.37	0.34	0.38	0.44	0.41	0.37	0.37	0.51	0.37	0.55
rs1042713 genotype, no. (%)										
Arg/Arg	154 (15.4)	14 (13.9)	25 (15.0)	306 (18.9)	124 (15.7)	101 (14.1)	59 (15.4)	198 (26.7)	35 (16.5)	46 (27.0)
Arg/Gly	436 (43.7)	40 (39.6)	78 (46.7)	819 (50.6)	402 (50.8)	330 (45.8)	167 (43.5)	355 (48.0)	87 (41.0)	96 (56.5)
Gly/Gly	408 (40.9)	47 (46.5)	64 (38.3)	493 (30.5)	265 (33.5)	289 (40.1)	158 (41.1)	187 (25.3)	90 (42.5)	28 (16.5)
Subjects with data on rs1042714. n.	998	101	167	1,622	791	722	384	744	212	169
Risk allele (Gln) frequency	0.56	0.60	0.58	0.78	0.63	0.56	0.54	0.82	0.60	0.93
rs1042714 genotype, no. (%)										
Gln/Gln	307 (30.8)	36 (35.6)	57 (34.1)	971 (59.9)	313 (39.6)	232 (32.1)	115 (30.0)	497 (66.8)	81 (38.2)	144 (85.2)
Gln/Glu	495 (49.6)	50 (49.5)	79 (47.3)	576 (35.5)	376 (47.5)	349 (48.4)	184 (47.9)	223 (30.0)	91 (42.9)	25 (14.8)
Glu/Glu	196 (19.6)	15 (14.9)	31 (18.6)	75 (4.6)	102 (12.9)	141 (19.5)	85 (22.1)	24 (3.2)	40 (18.9)	0 (0.0)
Subjects with data on both SNPs. n.	998	101	167	1,618	791	714	384	740	212	169
Haplotype frequency										
Arg16/Gln27	0.37	0.34	0.38	0.44	0.41	0.37	0.37	0.51	0.37	0.55
Gly16/Gln27	0.18	0.27	0.20	0.34	0.22	0.19	0.17	0.31	0.23	0.37
Gly16/Glu27	0.45	0.39	0.42	0.22	0.37	0.44	0.46	0.18	0.40	0.08
Linkage disequilibrium between rs1042713 and rs1042714										
r² (D')	0.47 (~1)	0.33 (1)	0.43 (0.98)	0.23 (1)	0.40 (~1)	0.46 (~1)	0.50 (~1)	0.23 (1)	0.40 (1)	0.10 (1)

Table 3: Risk of asthma exacerbations* across the other treatment groups.

Haplotypes	BREATHE	ESTATE	follow-MAGICS	GALA II	PACMAN	PAGES	PASS	SAGE	SLOVENIA	SCSGES	Total Combined Results
OR (95% CI) for asthma exacerbations in patients treated with as-required SABA											
	n = 173	N/A	N/A	n = 557	N/A	n = 51	N/A	n = 192	N/A	N/A	n = 973
Arg16Gln27 vs. Gly16Glu27	1.28 (0.61, 2.70)	N/A	N/A	1.17 (0.84, 1.62)	N/A	0.54 (0.13, 2.31)	N/A	0.67 (0.36, 1.24)	N/A	N/A	1.00 (0.71, 1.40) I ² = 21.50%
Arg16Gln27 vs. Gly16Gln27	0.92 (0.33, 2.60)	N/A	N/A	1.13 (0.80, 1.60)	N/A	2.10 (0.26, 17.03)	N/A	0.80 (0.42, 1.55)	N/A	N/A	1.05 (0.79, 1.41) I ² = 0.00%
Gly16Gln27 vs. Gly16Glu27	1.40 (0.50, 3.97)	N/A	N/A	1.03 (0.73, 1.45)	N/A	0.26 (0.03, 2.09)	N/A	0.83 (0.43, 1.61)	N/A	N/A	0.99 (0.74, 1.32) I ² = 0.00%
OR (95% CI) for asthma exacerbations in patients treated with ICS monotherapy											
	n = 562	n = 65	N/A	n = 527	n = 484	n = 268	n = 29	n = 341	n = 178	n = 169	n = 2,623
Arg16Gln27 vs. Gly16Glu27	1.21 (0.88, 1.65)	0.74 (0.31, 1.76)	N/A	1.47 (1.00, 2.16)	0.74 (0.45, 1.21)	1.21 (0.80, 1.82)	N/A	0.70 (0.45, 1.09)	0.72 (0.44, 1.18)	1.00 (0.38, 2.62)	0.98 (0.78, 1.23) I ² = 46.37%
Arg16Gln27 vs. Gly16Gln27	1.06 (0.72, 1.56)	1.58 (0.58, 4.30)	N/A	1.22 (0.88, 1.70)	0.96 (0.54, 1.71)	0.99 (0.61, 1.60)	N/A	1.01 (0.99, 1.02)	0.93 (0.50, 1.70)	0.67 (0.39, 1.15)	1.01 (0.99, 1.02) I ² = 0.00%
Gly16Gln27 vs. Gly16Glu27	1.15 (0.78, 1.70)	0.47 (0.16, 1.37)	N/A	1.74 (1.15, 2.62)	0.77 (0.43, 1.36)	1.25 (0.78, 2.00)	0.67 (0.34, 4.97)	0.70 (0.43, 1.14)	0.78 (0.43, 1.42)	1.49 (0.55, 4.04)	1.01 (0.77, 1.33) I ² = 46.05%
OR (95% CI) for asthma exacerbations in patients treated with ICS+LTRA											
	n = 37	N/A	N/A	n = 203	N/A	n = 64	N/A	n = 34	N/A	N/A	n = 338
Arg16Gln27 vs. Gly16Glu27	0.96 (0.29, 3.24)	N/A	N/A	1.33 (0.72, 2.45)	N/A	1.01 (0.44, 2.27)	N/A	1.11 (0.19, 6.55)	N/A	N/A	1.16 (0.75, 1.80) I ² = 0.00%
Arg16Gln27 vs. Gly16Gln27	1.14 (0.32, 4.07)	N/A	N/A	0.96 (0.60, 1.52)	N/A	0.43 (0.14, 1.25)	N/A	1.50 (0.41, 5.54)	N/A	N/A	0.91 (0.62, 1.34) I ² = 0.00%
Gly16Gln27 vs. Gly16Glu27	0.84 (0.25, 2.90)	N/A	N/A	1.39 (0.75, 2.60)	N/A	2.36 (0.72, 7.78)	N/A	0.74 (0.11, 5.04)	N/A	N/A	1.35 (0.83, 2.20) I ² = 0.00%
OR (95% CI) for asthma exacerbations in patients treated with ICS+LABA+LTRA											
	n = 84	N/A	N/A	n = 129	n = 43	n = 168	n = 229	n = 33	N/A	N/A	n = 686
Arg16Gln27 vs. Gly16Glu27	0.81 (0.42, 1.58)	N/A	N/A	0.96 (0.38, 2.44)	0.41 (0.08, 2.14)	0.97 (0.56, 1.68)	1.58 (0.89, 2.83)	0.65 (0.10, 4.31)	N/A	N/A	1.03 (0.75, 1.41) I ² = 2.57%
Arg16Gln27 vs. Gly16Gln27	1.53 (0.63, 3.72)	N/A	N/A	0.84 (0.40, 1.79)	0.27 (0.04, 1.63)	1.82 (0.93, 3.57)	1.40 (0.65, 3.01)	0.26 (0.02, 3.31)	N/A	N/A	1.22 (0.83, 1.79) I ² = 5.91%
Gly16Gln27 vs. Gly16Glu27	0.53 (0.21, 1.35)	N/A	N/A	1.13 (0.45, 2.87)	1.52 (0.36, 6.47)	0.53 (0.28, 1.00)	1.13 (0.56, 2.29)	2.48 (0.18, 34.37)	N/A	N/A	0.83 (0.54, 1.26) I ² = 17.70%

*Asthma exacerbations, asthma-related hospitalizations/emergency department visit or oral corticosteroids use. SABA, short-acting β_2 -agonists; ICS, inhaled corticosteroids; LABA, long-acting β_2 -agonists; LTRA, leukotriene receptor antagonists. Odds Ratio (ORs) and corresponding 95% Confidence Intervals (CIs) were reported, adjusted for age and sex. N/A, Not applicable.

FIGURE LEGENDS

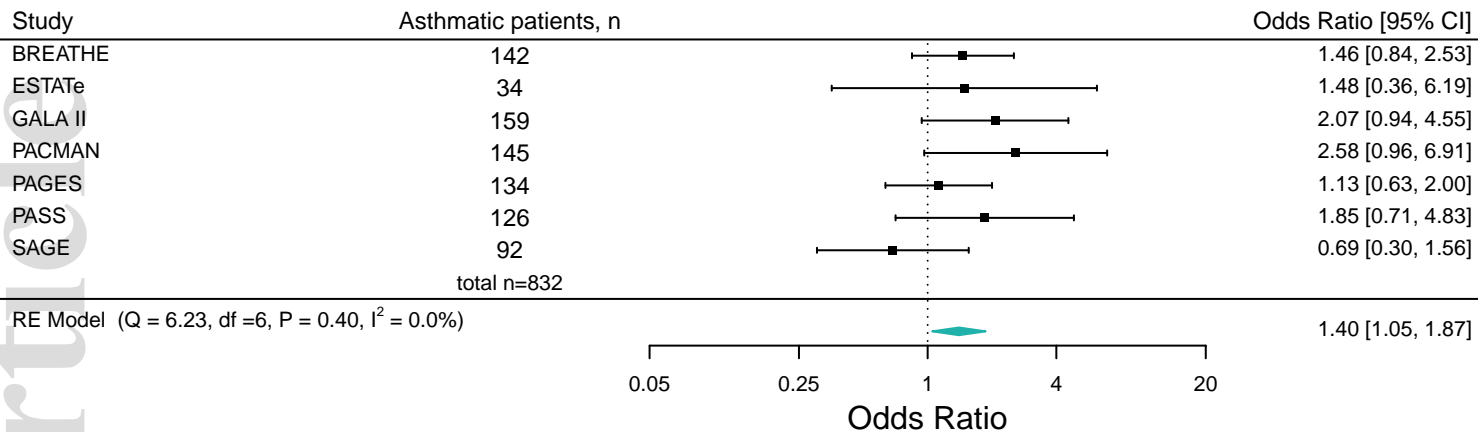
Figure 1: Forest plots of the association between the *ADRB2* haplotypes and the risk of asthma exacerbations (asthma-related hospitalizations/emergency department visits or oral corticosteroids use) in ICS plus LABA treatment group across studies. These plots describe Odds Ratios (ORs) and corresponding 95% Confidence Intervals (CIs), adjusted for age and sex.

Figure 2: Forest plots of the association between the *ADRB2* haplotypes and the risk of asthma-related oral corticosteroids use in ICS plus LABA treatment group across studies. These plots describe Odds Ratios (ORs) and corresponding 95% Confidence Intervals (CIs), adjusted for age and sex.

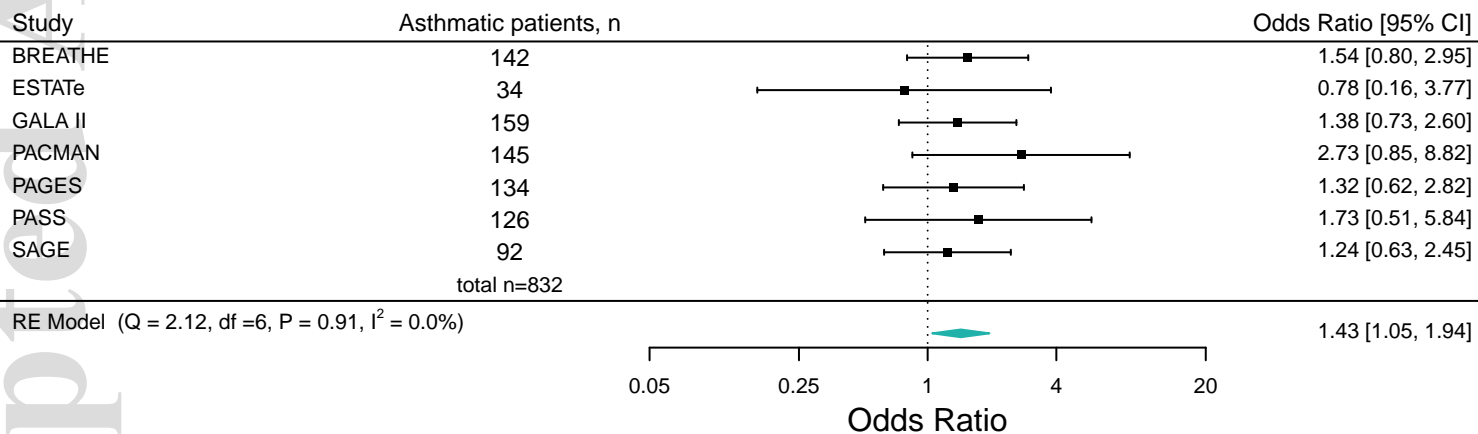
Figure 3: Forest plots of the association between the *ADRB2* haplotypes and the risk of asthma-related hospitalizations/emergency department visits in ICS plus LABA treatment group across studies. These plots describe Odds Ratios (ORs) and corresponding 95% Confidence Intervals (CIs), adjusted for age and sex.

a) Arg16/Gln27 vs. Gly16/Glu27

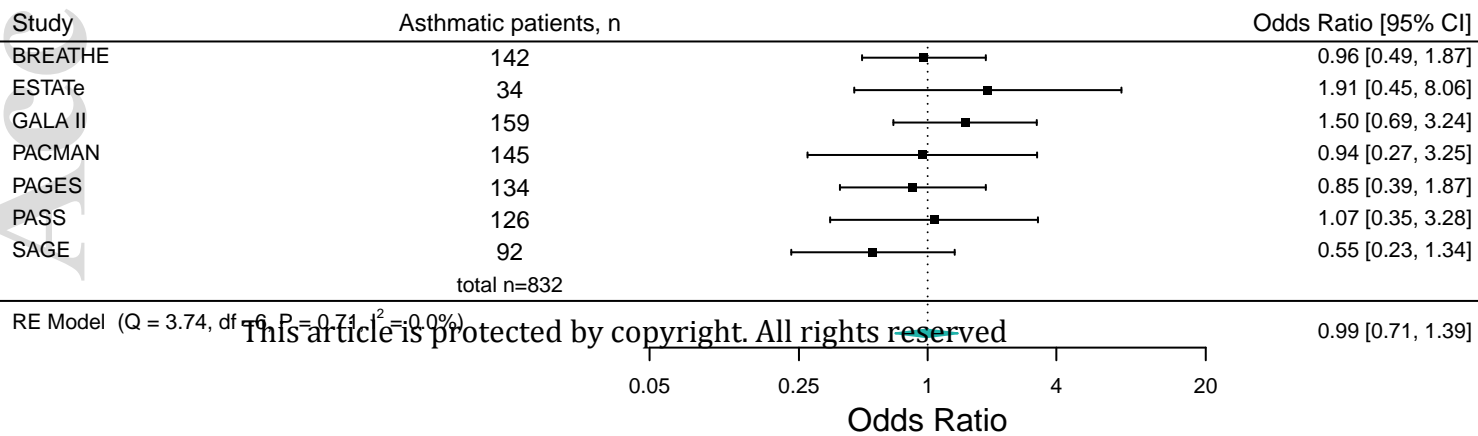
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b) Arg16/Gln27 vs. Gly16/Gln27

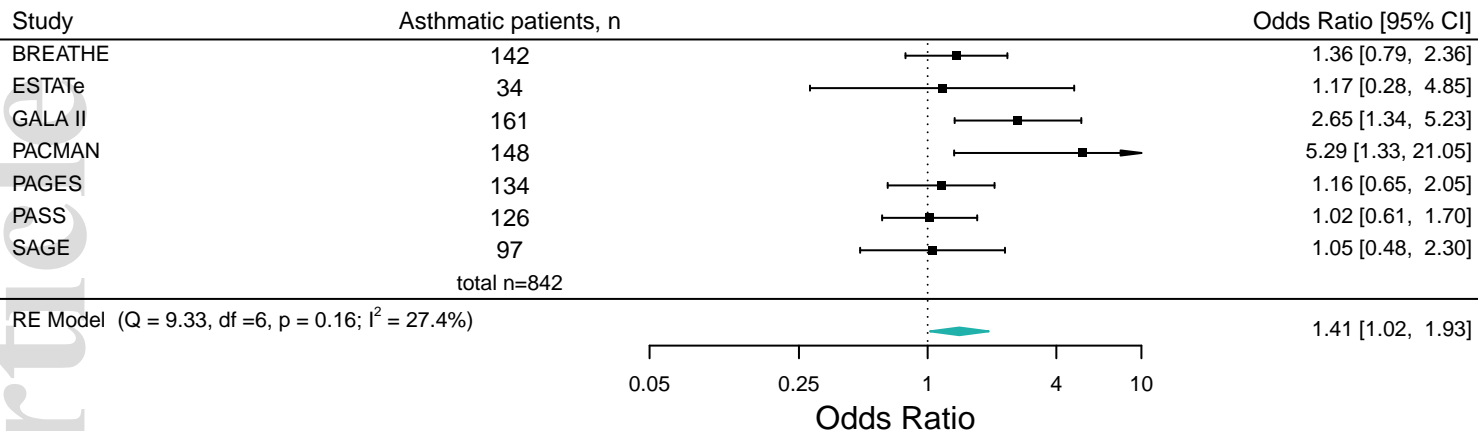


c) Gly16/Gln27 vs. Gly16/Glu27

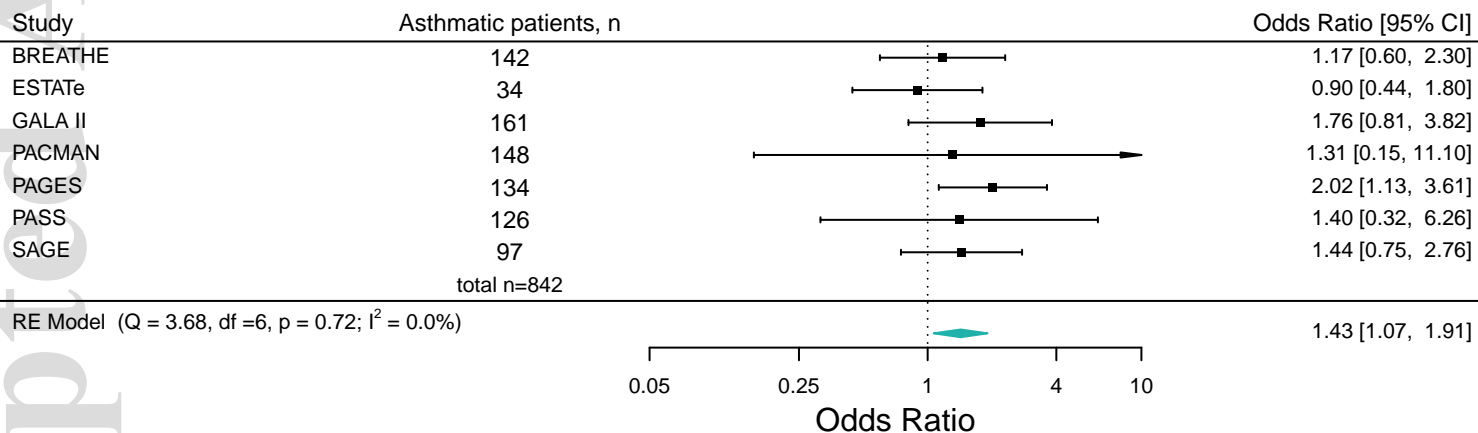


a) Arg16/Gln27 vs. Gly16/Glu27

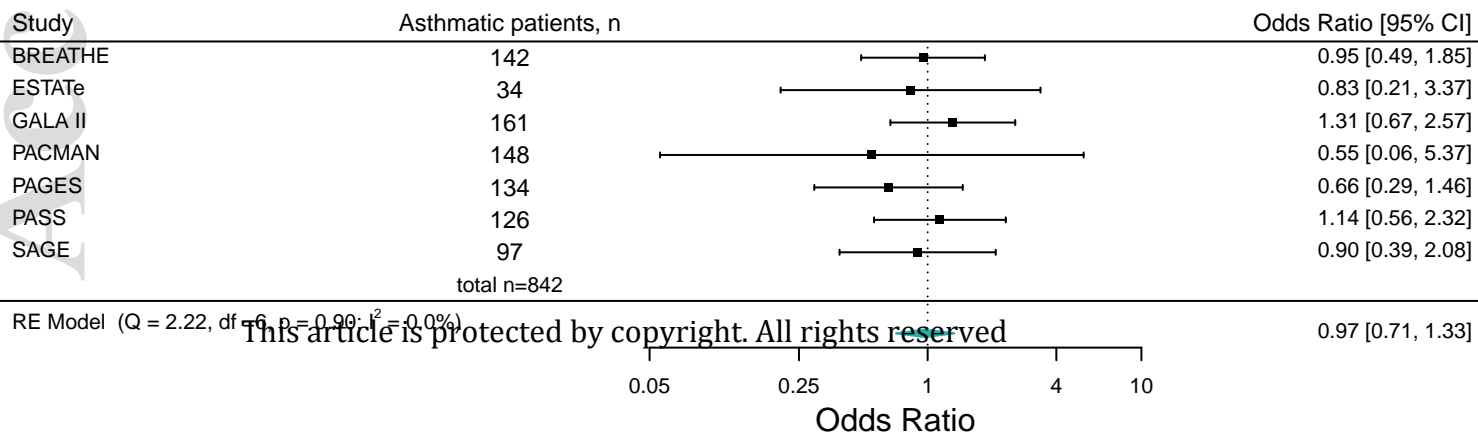
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b) Arg16/Gln27 vs. Gly16/Gln27

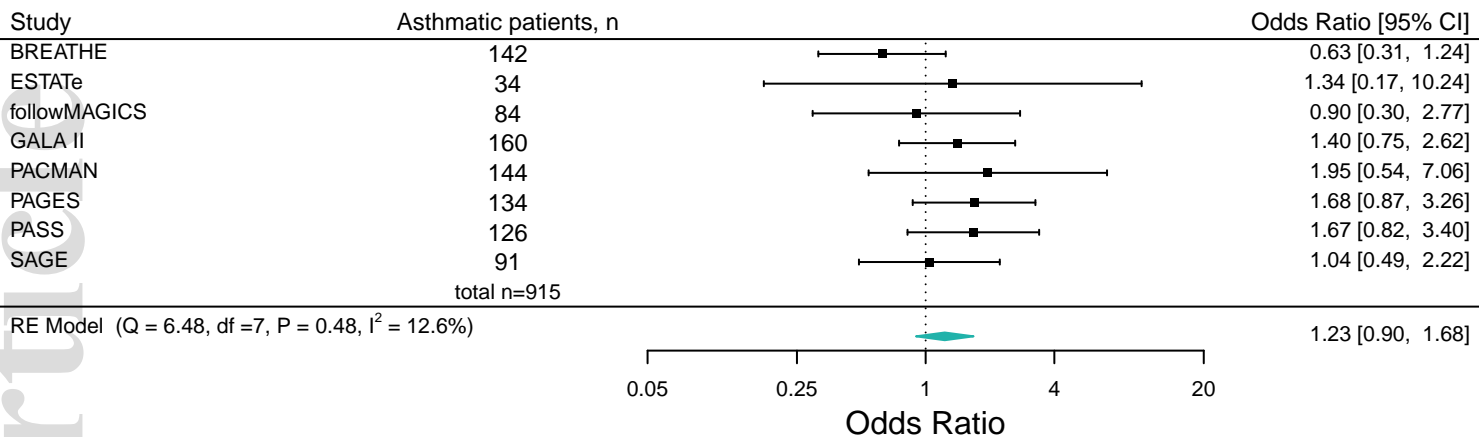


c) Gly16/Gln27 vs. Gly16/Glu27

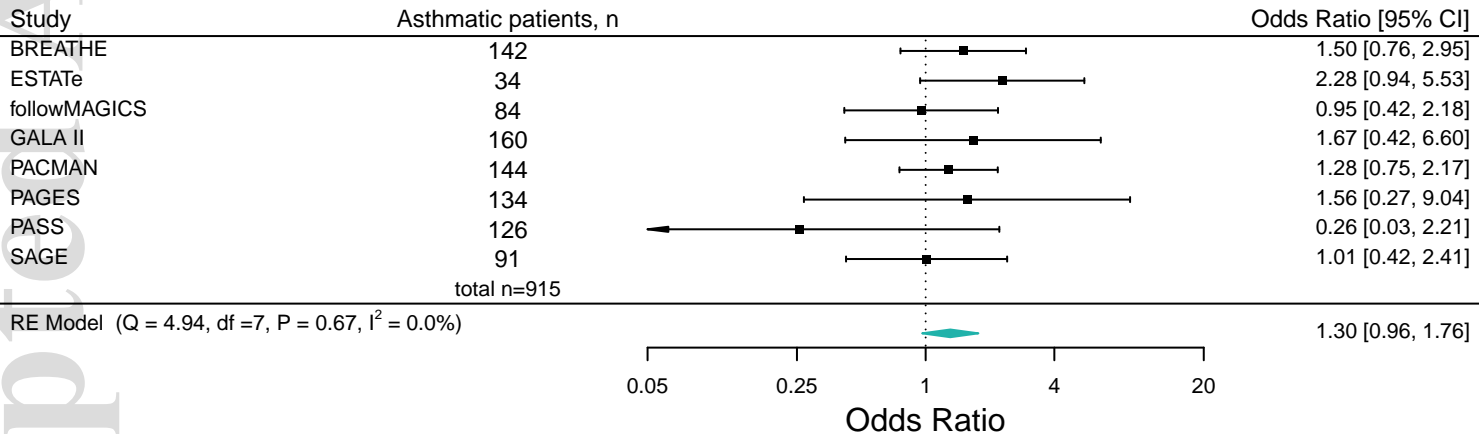


a) Arg16/Gln27 vs. Gly16/Glu27

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b) Arg16/Glu27 vs. Gly16/Gln27



c) Gly16/Gln27 vs. Gly16/Glu27

