

# The hyperplanes of $DQ^-(7, \mathbb{K})$ arising from embedding

Bart De Bruyn

Ghent University, Department of Pure Mathematics and Computer Algebra,  
Krijgslaan 281 (S22), B-9000 Gent, Belgium, E-mail: [bdb@cage.ugent.be](mailto:bdb@cage.ugent.be)

## Abstract

We determine all hyperplanes of the dual polar space  $DQ^-(7, \mathbb{K})$  which arise from embedding. This extends one of the results of [5] to the infinite case.

**Keywords:** dual polar space, hyperplane, spin embedding

**MSC2000:** 51A45, 51A50

## 1 Introduction

Let  $\Pi$  be a nondegenerate polar space of rank  $n \geq 2$ . With  $\Pi$  there is associated a point-line geometry  $\Delta$  whose points are the maximal singular subspaces of  $\Pi$ , whose lines are the next-to-maximal singular subspaces of  $\Pi$  and whose incidence relation is reverse containment. The geometry  $\Delta$  is called a *dual polar space of rank  $n$*  ([1]). The dual polar spaces of rank 2 are precisely the nondegenerate generalized quadrangles ([9]). Every dual polar space  $\Delta$  is a so-called *near polygon*. This means that for every point  $x$  and every line  $L$ , there exists a unique point on  $L$  nearest to  $x$ . Here, distances are measured in the collinearity graph of  $\Delta$ . If  $i \in \mathbb{N}$  and  $x$  is a point of  $\Delta$ , then  $\Delta_i(x)$  denotes the set of points at distance  $i$  from  $x$ . We also define  $x^\perp := \Delta_0(x) \cup \Delta_1(x)$  for every point  $x$  of  $\Delta$ . A set  $S$  of points of  $\Delta$  is called a *subspace* if every line which has at least two points in  $S$  has all its points in  $S$ . A subspace  $S$  is called *convex* if every point on a shortest path between two points of  $S$  is also contained in  $S$ .

There exists a bijective correspondence between the non-empty convex subspaces of  $\Delta$  and the possibly empty singular subspaces of  $\Pi$ . If  $\alpha$  is a possibly empty singular subspace of  $\Pi$ , then the set of all maximal singular subspaces containing  $\alpha$  is a convex subspace of  $\Delta$ . Conversely, every convex subspace of  $\Delta$  is obtained in this way. The maximal distance between two points of a convex subspace  $F$  of  $\Delta$  is called the *diameter* of  $F$ . The convex subspaces of diameter 2, 3, respectively  $n - 1$ , are called the *quads*, *hexes*, respectively *maxes*, of  $\Delta$ . If  $F$  is a convex subspace of diameter  $\delta \in \{2, \dots, n\}$ , then the point-line geometry  $\Delta_F$  induced on  $F$  is a dual polar space of rank  $\delta$ . In particular, if  $Q$  is a quad, then  $\Delta_Q$  is a generalized quadrangle.

If  $F$  is a convex subspace of  $\Delta$ , then for every point  $x$  of  $\Delta$ , there exists a unique point  $\pi_F(x) \in F$  such that  $d(x, y) = d(x, \pi_F(x)) + d(\pi_F(x), y)$  for every point  $y$  of  $F$ . The point  $\pi_F(x)$  is called the *projection* of  $x$  onto  $F$ . If  $F$  is a max and  $x \notin F$ , then  $\pi_F(x)$  is collinear with  $x$ . If  $F_1$  and  $F_2$  are two disjoint maxes, then the restriction of  $\pi_{F_2}$  to  $F_1$  is an isomorphism from  $\Delta_{F_1}$  to  $\Delta_{F_2}$ . If  $F_1$  and  $F_2$  are two distinct maxes, then either  $F_1 \cap F_2 = \emptyset$  or  $F_1 \cap F_2$  is a convex subspace of diameter  $n - 2$ . The set of convex subspaces through a point  $x$  of  $\Delta$  define a projective space of dimension  $n - 1$  which we will denote by  $Res_\Delta(x)$ .

A *hyperplane* of a dual polar space is a proper subspace which meets each line. Suppose  $H$  is a hyperplane of a thick dual polar space  $\Delta$  and  $Q$  is a quad of  $\Delta$ . Then either  $Q \subset H$  or  $Q \cap H$  is a hyperplane of  $Q$ . So, by Theorem 2.3.1 of Payne and Thas [9], one of the following cases occurs: (i)  $Q \subseteq H$ ; (ii)  $Q \cap H = x^\perp \cap Q$  for a certain point  $x \in Q$ ; (iii)  $Q \cap H$  is an ovoid of  $Q$ ; (iv)  $Q \cap H$  is a subquadrangle of  $Q$ . (We recall here that an *ovoid* is a set of points meeting each line in a unique point.) If case (i), case (ii), case (iii), respectively case (iv) occurs, then  $Q$  is called *deep*, *singular*, *ovoidal*, respectively *subquadrangular (with respect to  $H$ )*. If case (ii) occurs, then  $x$  is called the deep point of  $Q$ .

A *full embedding* of a dual polar space  $\Delta$  into a projective space  $\Sigma$  is an injective mapping  $e$  from the point-set  $P$  of  $\Delta$  to the point-set of  $\Sigma$  satisfying (i)  $\langle e(P) \rangle = \Sigma$  and (ii)  $e(L)$  is a line of  $\Sigma$  for every line  $L$  of  $\Delta$ . If  $e : \Delta \rightarrow \Sigma$  is a full embedding of  $\Delta$  into  $\Sigma$ , then for every hyperplane  $\alpha$  of  $\Sigma$ , the set  $e^{-1}(e(P) \cap \alpha)$  is a hyperplane of  $\Delta$ . We say that the hyperplane  $e^{-1}(e(P) \cap \alpha)$  *arises from the embedding  $e$* .

We now describe the class of dual polar spaces under consideration in this paper. Let  $n \geq 2$  and let  $\mathbb{K}, \mathbb{K}'$  be fields such that  $\mathbb{K}'$  is quadratic Galois

extension of  $\mathbb{K}$ . Let  $\theta$  denote the unique nontrivial element in the Galois group  $Gal(\mathbb{K}'/\mathbb{K})$ . For all  $i, j \in \{0, \dots, 2n+1\}$  with  $i \leq j$ , let  $a_{ij} \in \mathbb{K}$  such that  $q(\overline{X}) = \sum_{0 \leq i \leq j \leq 2n+1} a_{ij} X_i X_j$  is a quadratic form defining a quadric  $Q^-(2n+1, \mathbb{K})$  of Witt index  $n$  in  $PG(2n+1, \mathbb{K})$  and a quadric  $Q^+(2n+1, \mathbb{K}')$  of Witt index  $n+1$  in  $PG(2n+1, \mathbb{K}')$ . Let  $DQ^-(2n+1, \mathbb{K})$  denote the dual polar space associated with  $Q^-(2n+1, \mathbb{K})$ . So, the points and lines of  $DQ^-(2n+1, \mathbb{K})$  are the  $(n-1)$ -dimensional, respectively  $(n-2)$ -dimensional, subspaces of  $Q^-(2n+1, \mathbb{K})$  and incidence is reverse containment. If  $F$  is a convex subspace of  $\Delta = DQ^-(2n+1, \mathbb{K})$  of diameter  $\delta \in \{2, \dots, n\}$ , then  $\Delta_F \cong DQ^-(2\delta+1, \mathbb{K})$ . If  $n=2$ , then  $DQ^-(2n+1, \mathbb{K}) = DQ^-(5, \mathbb{K})$  is a generalized quadrangle which is isomorphic to the generalized quadrangle  $H(3, \mathbb{K}', \theta)$  of the points and lines of a nonsingular  $\theta$ -Hermitian variety of Witt index 2 in  $PG(3, \mathbb{K}')$ .

The dual polar space  $DQ^-(2n+1, \mathbb{K})$  admits up to isomorphism a unique full embedding  $e$  into the projective space  $PG(2^n-1, \mathbb{K}')$ , see Cooperstein and Shult [4] (for the finite case) and De Bruyn [8] (general case). This embedding is called the *spin embedding* of  $DQ^-(2n+1, \mathbb{K})$ . If  $F$  is a convex subspace of diameter  $\delta \in \{2, \dots, n\}$  of  $DQ^-(2n+1, \mathbb{K})$ , then  $e$  induces an embedding  $e_F$  of  $\Delta_F \cong DQ^-(2\delta+1, \mathbb{K})$  into a subspace of  $PG(2^n-1, \mathbb{K}')$ . This embedding is isomorphic to the spin embedding of  $DQ^-(2\delta+1, \mathbb{K})$ , see e.g. Theorem 1.6 of Cardinali, De Bruyn and Pasini [2]. If  $n=2$ , then  $DQ^-(2n+1, \mathbb{K}) = DQ^-(5, \mathbb{K})$  and the image of  $e$  is just a nonsingular  $\theta$ -Hermitian variety of Witt index 2 in  $PG(3, \mathbb{K}')$ . (This explains the isomorphism  $DQ^-(5, \mathbb{K}) \cong H(3, \mathbb{K}', \theta)$ .) An ovoid of  $DQ^-(5, \mathbb{K})$  is called *classical* if it arises from the spin embedding of  $DQ^-(5, \mathbb{K})$ .

Suppose now  $n=3$  and consider the dual polar space  $DQ^-(7, \mathbb{K})$ .

If  $x$  is a point of  $DQ^-(7, \mathbb{K})$ , then the set  $H_x$  of points of  $DQ^-(7, \mathbb{K})$  at distance at most 2 from  $x$  is a hyperplane of  $DQ^-(7, \mathbb{K})$ . This hyperplane is called the *singular hyperplane* of  $DQ^-(7, \mathbb{K})$  with *deepest point*  $x$ .

Let  $Q$  be a quad of  $DQ^-(7, \mathbb{K})$  and  $O$  an ovoid of  $Q$ . Then the set of points of  $DQ^-(7, \mathbb{K})$  at distance at most 1 from  $O$  is a hyperplane of  $DQ^-(7, \mathbb{K})$ . We call this hyperplane the *extension* of  $O$ .

Now, let  $\alpha_1$  and  $\alpha_2$  be two disjoint planes of  $Q^-(7, \mathbb{K})$  and let  $\alpha$  be a hyperplane of  $PG(7, \mathbb{K})$  containing  $\alpha_1$  and  $\alpha_2$ . Then  $\alpha \cap Q^-(7, \mathbb{K})$  is a nonsingular quadric of Witt index 3 of  $\alpha$ . Denote this quadric by  $Q(6, \mathbb{K})$  and its associated dual polar space by  $DQ(6, \mathbb{K})$ . The following proposition was proved by Shult [11] (for the finite case) and Pralle [10] (for the general

case).

**Proposition 1.1** ([10], [11]) *The dual polar space  $DQ(6, \mathbb{K})$  has hyperplanes with respect to which every quad is singular. The points and lines contained in any such hyperplane define a split-Cayley generalized hexagon  $H(\mathbb{K})$ .*

*Conversely, if  $H$  is a hyperplane of a thick dual polar space  $\Delta$  of rank 3 such that every quad of  $\Delta$  is singular with respect to  $H$ , then  $\Delta$  is the dual polar space associated with a nonsingular quadric of Witt index 3 in a 6-dimensional projective space over a field.*

If  $H$  is a hyperplane of  $DQ(6, \mathbb{K})$  such that every quad of  $DQ(6, \mathbb{K})$  is singular with respect to  $H$ , then  $H$  is called a *hexagonal hyperplane* of  $DQ(6, \mathbb{K})$ . Now, for a hexagonal hyperplane  $H$  of  $DQ(6, \mathbb{K})$ , put  $\overline{H} := H \cup U$ , where  $U$  is the set of generators of  $Q^-(7, \mathbb{K})$  intersecting  $\alpha$  in a line  $L$ , which regarded as line of  $DQ(6, \mathbb{K})$  is contained in  $H$ . Then by Pralle [10],  $\overline{H}$  is a hyperplane of  $DQ^-(7, \mathbb{K})$ . We call any such hyperplane of  $DQ^-(7, \mathbb{K})$  a *hexagonal hyperplane of  $DQ^-(7, \mathbb{K})$* .

The following is the main result of this paper.

**Theorem 1.2** *The hyperplanes of the dual polar space  $DQ^-(7, \mathbb{K})$  which arise from its spin embedding are precisely the following hyperplanes:*

- (1) *the singular hyperplanes of  $DQ^-(7, \mathbb{K})$ ;*
- (2) *the extensions of the classical ovoids of the quads of  $DQ^-(7, \mathbb{K})$ ;*
- (3) *the hexagonal hyperplanes of  $DQ^-(7, \mathbb{K})$ .*

Since the spin embedding of  $DQ^-(7, \mathbb{K})$  is the so-called absolutely universal embedding of  $DQ^-(7, \mathbb{K})$  (see De Bruyn [8, Corollary 1.4]), we have

**Corollary 1.3** *The hyperplanes of the dual polar space  $DQ^-(7, \mathbb{K})$  which arise from some projective embedding are precisely the singular hyperplanes, the extensions of the classical ovoids of the quads and the hexagonal hyperplanes.*

Along our way, we will also prove the following result regarding the structure of hyperplanes of  $DQ^-(2n+1, \mathbb{K})$ ,  $n \geq 2$ , which arise from its spin embedding.

**Theorem 1.4** *Let  $H$  be a hyperplane of the dual polar space  $\Delta = DQ^-(2n+1, \mathbb{K})$ ,  $n \geq 2$ , arising from its spin embedding, and let  $x$  be a point of  $H$ . Then the set of lines through  $x$  contained in  $H$  is a subspace of co-dimension at most 2 of the projective space  $\text{Res}_\Delta(x) \cong \text{PG}(n-1, \mathbb{K})$ .*

**Remark.** For finite fields  $\mathbb{K}$ , Theorem 1.2 was already proved in De Bruyn [5, Theorem 1.5]. Several arguments in the proof of [5] however only work in the finite case (counting arguments; a line and a Hermitian variety of  $\text{PG}(3, q^2)$  always meet).

## 2 Proof of Theorem 1.2

**Lemma 2.1** *Let  $\mathbb{K}$  and  $\mathbb{K}'$  be fields such that  $\mathbb{K}'$  is a quadratic extension of  $\mathbb{K}$ . Let  $\text{PG}(2, \mathbb{K}')$  be the Desarguesian projective plane coordinatized in the natural way by the field  $\mathbb{K}'$ . Let  $\text{PG}(2, \mathbb{K})$  denote the subplane of  $\text{PG}(2, \mathbb{K}')$  consisting of those points of  $\text{PG}(2, \mathbb{K}')$  whose coordinates can be chosen in the subfield  $\mathbb{K}$ . Then any line of  $\text{PG}(2, \mathbb{K}')$  intersects  $\text{PG}(2, \mathbb{K})$  in either a point or a line of  $\text{PG}(2, \mathbb{K})$ .*

**Proof.** Let  $\epsilon$  be an arbitrary element of  $\mathbb{K}' \setminus \mathbb{K}$ . Then  $\{1, \epsilon\}$  is a basis of  $\mathbb{K}'$  regarded as two-dimensional vector space over  $\mathbb{K}$ . Let  $L$  be an arbitrary line of  $\text{PG}(2, \mathbb{K}')$ . Then there exist  $\alpha_1, \alpha_2, \beta_1, \beta_2, \gamma_1, \gamma_2 \in \mathbb{K}$ , not all zero, such that  $L$  consists of all points  $(X_0, X_1, X_2)$  satisfying

$$(\alpha_1 + \alpha_2\epsilon)X_0 + (\beta_1 + \beta_2\epsilon)X_1 + (\gamma_1 + \gamma_2\epsilon)X_2 = 0.$$

If  $(k_0, k_1, k_2) \in \text{PG}(2, \mathbb{K}) \cap L$ , then

$$\begin{cases} \alpha_1 k_0 + \beta_1 k_1 + \gamma_1 k_2 = 0, \\ \alpha_2 k_0 + \beta_2 k_1 + \gamma_2 k_2 = 0. \end{cases}$$

Let  $r$  be the rank of the matrix:

$$\begin{bmatrix} \alpha_1 & \beta_1 & \gamma_1 \\ \alpha_2 & \beta_2 & \gamma_2 \end{bmatrix}.$$

If  $r = 1$ , then  $L$  intersects  $\text{PG}(2, \mathbb{K})$  in a line of  $\text{PG}(2, \mathbb{K})$ . If  $r = 2$ , then  $L$  intersects  $\text{PG}(2, \mathbb{K})$  in a point. ■

**Lemma 2.2** *Let  $\Delta$  be a dual polar space of type  $DQ^-(2n+1, \mathbb{K})$ ,  $n \geq 2$ , and let  $H$  be a hyperplane of  $\Delta$ . Then there are no quads of  $\Delta$  which are subquadrangular with respect to  $H$ .*

**Proof.** In De Bruyn [8, Lemma 3.1] it was proved that a generalized quadrangle of type  $DQ^-(5, \mathbb{K})$  does not have proper subquadrangles. Notice also that every quad of  $\Delta$  is isomorphic to a generalized quadrangle of type  $DQ^-(5, \mathbb{K})$ . ■

**Lemma 2.3** *Let  $\Delta$  be a dual polar space of type  $DQ^-(2n+1, \mathbb{K})$ ,  $n \geq 2$ , and let  $H$  be a hyperplane of  $\Delta$ . For every point  $x$  of  $H$ , the set  $\Lambda_H(x)$  of lines of  $\Delta$  through  $x$  contained in  $H$  is a set of points of the  $(n-1)$ -dimensional projective space  $Res_\Delta(x)$ . This set  $\Lambda_H(x)$  is a possibly empty subspace of  $Res_\Delta(x)$ .*

**Proof.** Suppose  $L_1$  and  $L_2$  are two distinct lines through  $x$  contained in  $H$ . Let  $Q$  denote the unique quad through  $L_1$  and  $L_2$ . By Lemma 2.2, there are two possibilities.

- (1)  $Q$  is singular with respect to  $H$ . Then  $Q \cap H = x^\perp \cap Q$ .
- (2)  $Q$  is deep with respect to  $H$ .

In either case, any line of  $Q$  through  $x$  is contained in  $H$ . It follows that  $\Lambda_H(x)$  is a subspace of  $Res_\Delta(x)$ . ■

**Lemma 2.4** *Let  $\Delta$  be a dual polar space of type  $DQ^-(7, \mathbb{K})$ , let  $Q$  be a quad of  $\Delta$  and let  $H$  be a hyperplane of  $DQ^-(7, \mathbb{K})$  containing  $Q$ . Then  $H$  is either a singular hyperplane or the extension of an ovoid of  $Q$ .*

**Proof.** By Lemma 2.3, there are two possibilities for a point  $x$  of  $Q$ : either  $x^\perp \cap H = x^\perp$  or  $x^\perp \cap H = x^\perp \cap Q$ . Let  $A$  denote the set of all points  $x \in Q$  for which  $x^\perp \subseteq H$ . Since every point outside  $Q$  is collinear with a unique point of  $Q$ , we have

$$H = Q \cup \left( \bigcup_{x \in A} x^\perp \right).$$

Since  $H$  does not coincide with the whole point-set of  $\Delta$ ,  $A \neq Q$ . Now, let  $Q'$  denote an arbitrary quad of  $\Delta$  disjoint from  $Q$ . Then  $\pi_{Q'}(A) = H \cap Q'$  is a hyperplane of  $Q'$  which is either a singular hyperplane or an ovoid of  $Q'$  by Lemma 2.2.

Suppose  $H \cap Q' = y^\perp \cap Q'$  for a certain point  $y$  of  $Q'$ . Then  $A = \pi_Q(H \cap Q') = z^\perp \cap Q$  where  $z := \pi_Q(y)$ . It readily follows that  $H = Q \cup \left( \bigcup_{x \in A} x^\perp \right)$  is the singular hyperplane of  $\Delta$  with deepest point  $z$ .

Suppose  $H \cap Q' = O'$  for some ovoid  $O'$  of  $Q'$ . Then  $A = \pi_Q(O')$  is an ovoid of  $Q$  isomorphic to the ovoid  $O'$  of  $Q'$ . Clearly,  $H = Q \cup \left( \bigcup_{x \in A} x^\perp \right)$  is the extension of the ovoid  $A$  of  $Q$ . ■

**Proposition 2.5** *Let  $\Delta$  be a dual polar space of type  $DQ^-(7, \mathbb{K})$  and let  $H$  be one of the following hyperplanes of  $DQ^-(7, \mathbb{K})$ : (i) a singular hyperplane; (ii) the extension of a classical ovoid in a quad; (iii) a hexagonal hyperplane. Then  $H$  arises from the spin embedding of  $\Delta$ .*

**Proof.** Let  $e : \Delta \rightarrow \Sigma$  denote the spin embedding of  $\Delta$  into the projective space  $\Sigma \cong \text{PG}(7, \mathbb{K}')$ , where  $\mathbb{K}'$  is the quadratic extension of  $\mathbb{K}$  associated with  $DQ^-(7, \mathbb{K})$ . In De Bruyn [8, Theorem 1.2], it was proved that every singular hyperplane of  $\Delta$  arises from  $e$ . By Theorem 1.2 of De Bruyn [7], also every hexagonal hyperplane of  $DQ^-(7, \mathbb{K})$  arises from  $e$ . Suppose now that  $H$  is the extension of a classical ovoid  $O$  of a quad  $Q$ . Let  $Q'$  denote an arbitrary quad of  $\Delta$  disjoint from  $Q$ . Then  $O' := \pi_{Q'}(O)$  is a classical ovoid of  $Q'$ . By De Bruyn [6, Theorem 1.1 (5)],  $\Sigma_1 := \langle e(Q) \rangle$  and  $\Sigma_2 := \langle e(Q') \rangle$  are two disjoint 3-spaces of  $\Sigma$ . Moreover, the embedding  $e$  induces a full embedding  $e_1$  of  $Q$  into  $\Sigma_1$  and a full embedding  $e_2$  of  $Q'$  into  $\Sigma_2$ . These embeddings are isomorphic to the spin embedding of  $DQ^-(5, \mathbb{K})$ . So, there exists a plane  $\alpha$  in  $\Sigma_2$  such that  $e_2^{-1}(e_2(Q') \cap \alpha) = O'$ . Now, let  $H'$  denote the hyperplane of  $\Delta$  arising from the hyperplane  $\langle \Sigma_1, \alpha \rangle$  of  $\Sigma$ . Then  $H'$  contains  $Q$  and  $O'$ . By (the proof of) Lemma 2.4,  $H'$  coincides with the extension of  $O$ , i.e.  $H' = H$ . This proves the proposition. ■

**Lemma 2.6** *Let  $\Delta$  be a dual polar space of type  $DQ^-(7, \mathbb{K})$  and let  $H$  be a hyperplane of  $\Delta$  arising from its spin embedding. Then for every point  $x$  of  $H$ ,  $\Lambda_H(x)$  is a nonempty subspace of the projective plane  $\text{Res}_\Delta(x) \cong \text{PG}(2, \mathbb{K})$ .*

**Proof.** Let  $e : \Delta \rightarrow \Sigma$  denote the spin embedding of  $\Delta$  and let  $x$  be a point of  $\Delta$ . Then  $\dim \langle e(x^\perp) \rangle = 3$  by De Bruyn [6, Theorem 1.6]. So, the quotient space  $\Sigma_x = \langle e(x^\perp) \rangle / e(x)$  has dimension 2. For every line  $L$  of  $\Delta$  through  $x$ , let  $f(L)$  denote the point  $e(L)/e(x)$  of  $\Sigma_x$ . Clearly,  $f$  is an injection from the set of points of  $\text{Res}_\Delta(x)$  to the set of points of  $\Sigma_x$ . Let  $Q$  be a quad through  $x$  and let  $\mathcal{L}_Q$  be the set of lines of  $Q$  through  $x$ . The embedding  $e$

induces an embedding of  $Q$  into a 3-space of  $\Sigma$  which is isomorphic to the spin embedding of  $\Delta_Q$ . Hence,  $\dim(\langle e(x^\perp \cap Q) \rangle) = 2$ . It follows that  $f$  maps the lines of  $\mathcal{L}_Q$  into a line  $f(Q)$  of  $\Sigma_x$ . If  $L$  is a line through  $x$  not contained in  $Q$ , then  $f(L)$  is not contained in  $f(Q)$  by Theorem 1.1 (3) of De Bruyn [6]. Hence,  $f$  defines an embedding of  $Res_\Delta(x) \cong \text{PG}(2, \mathbb{K})$  into a subgeometry of  $\Sigma_x \cong \text{PG}(2, \mathbb{K}')$ .

Let  $V$  be an 8-dimensional vector space over  $\mathbb{K}'$  such that  $\Sigma = \text{PG}(V)$ . Choose a nonzero vector  $\bar{e}_1 \in V$  and a 3-dimensional subspace  $W$  of  $V$  such that  $e(x) = \langle \bar{e}_1 \rangle$  and  $\langle e(x^\perp) \rangle = \text{PG}(\langle \bar{e}_1, W \rangle)$ . Let  $Q^*$  be a given quad through  $x$ . The embedding of  $Q^*$  into  $\langle e(Q^*) \rangle$  induced by  $e$  is isomorphic to the spin embedding of  $DQ^-(5, \mathbb{K})$ . So,  $e(Q^*)$  is a nondegenerate  $\theta$ -Hermitian variety of Witt index 2 of  $\langle e(Q^*) \rangle \cong \text{PG}(3, \mathbb{K}')$ . Notice that there exists a natural bijective correspondence between the points of  $\Sigma_x$  and those of  $\text{PG}(W)$ . Since  $e(Q^*)$  is a nondegenerate  $\theta$ -Hermitian variety of Witt index 2 of  $\langle e(Q^*) \rangle \cong \text{PG}(3, \mathbb{K}')$ , we may suppose that we have chosen  $V$ ,  $\bar{e}_1$  and  $W$  in such a way that  $f(\mathcal{L}_{Q^*})$  corresponds to a Baer- $\mathbb{K}$ -subline of  $\text{PG}(W)$ , i.e. we may suppose that there exist vectors  $\bar{f}'_1, \bar{f}'_2 \in W$  such that

- (1)  $f(\mathcal{L}_{Q^*})$  corresponds to the set of all points of  $\text{PG}(W)$  of the form  $\langle k_1 \bar{f}'_1 + k_2 \bar{f}'_2 \rangle$  where  $k_1, k_2 \in \mathbb{K}$  with  $(k_1, k_2) \neq (0, 0)$ .

On the other hand, since  $f$  defines an embedding of  $Res_\Delta(x) \cong \text{PG}(2, \mathbb{K})$  into a subgeometry of  $\Sigma_x \cong \text{PG}(2, \mathbb{K}')$ , then there exists a basis  $\{\bar{e}_2, \bar{e}_3, \bar{e}_4\}$  of  $W$  and a subfield  $\mathbb{K}_0$  of  $\mathbb{K}'$  isomorphic to  $\mathbb{K}$  such that the image of  $f$  corresponds to the set of all points of  $\text{PG}(W)$  of the form  $\langle k_2 \bar{e}_2 + k_3 \bar{e}_3 + k_4 \bar{e}_4 \rangle$ , where  $k_2, k_3$  and  $k_4$  are elements of  $\mathbb{K}_0$  not all equal to 0. Hence, there exist vectors  $\bar{f}_1, \bar{f}_2 \in W$  such that

- (2)  $f(\mathcal{L}_{Q^*})$  corresponds to the set of all points of  $\text{PG}(W)$  of the form  $\langle k_1 \bar{f}_1 + k_2 \bar{f}_2 \rangle$  where  $k_1, k_2 \in \mathbb{K}_0$  with  $(k_1, k_2) \neq (0, 0)$ .

The statements (1) and (2) force the subfields  $\mathbb{K}_0$  and  $\mathbb{K}$  of  $\mathbb{K}'$  to coincide. It follows that the image of  $f$  corresponds to the set of all points of  $\text{PG}(W)$  of the form  $\langle k_2 \bar{e}_2 + k_3 \bar{e}_3 + k_4 \bar{e}_4 \rangle$  where  $k_2, k_3$  and  $k_4$  are elements of  $\mathbb{K}$  not all equal to 0.

Now, suppose that  $H$  is a hyperplane of  $\Delta$  through  $x$  arising from the embedding  $e$ . So,  $H = e^{-1}(e(\Delta) \cap \alpha)$  for a certain hyperplane  $\alpha$  of  $\Sigma$  through  $e(x)$ . If  $\alpha$  contains  $\langle e(x^\perp) \rangle$ , then  $x^\perp \subseteq H$ . If  $\alpha$  does not contain  $\langle e(x^\perp) \rangle$ , then



$\alpha$  defines a hyperplane of  $\Sigma_x$ . By Lemma 2.1 and the previous paragraph, it then readily follows that one of the following two cases occurs: (i) there exists a unique line through  $x$  contained in  $H$ ; (ii) there exists a quad  $R$  through  $x$  such that the set of lines through  $x$  contained in  $H$  coincides with the set of lines through  $x$  contained in  $R$ . This proves the lemma. ■

The following proposition is precisely Theorem 1.4.

**Proposition 2.7** *Let  $\Delta$  be a dual polar space of type  $DQ^-(2n+1, \mathbb{K})$ ,  $n \geq 2$ , and let  $H$  be a hyperplane of  $\Delta$  arising from its spin embedding. Then for every  $x \in H$ ,  $\Lambda_H(x)$  is a subspace of co-dimension at most 2 of  $\text{Res}_\Delta(x) \cong \text{PG}(n-1, \mathbb{K})$ .*

**Proof.** By Lemma 2.3,  $\Lambda_H(x)$  is a subspace of  $\text{Res}_\Delta(x)$ . Suppose the co-dimension of  $\Lambda_H(x)$  is at least 3. Then there exists a hex  $F$  through  $x$  such that  $x^\perp \cap (H \cap F) = \{x\}$ . The hyperplane  $H \cap F$  of  $F$  arises from the embedding  $e_F$  of  $\Delta_F \cong DQ^-(7, \mathbb{K})$  induced by the spin embedding of  $\Delta$ . Recall that  $e_F$  is isomorphic to the spin embedding of  $DQ^-(7, \mathbb{K})$ . A contradiction is now obtained by applying Lemma 2.6 to the hyperplane  $H \cap F$  of  $F$  and the point  $x \in H \cap F$ . So, the co-dimension of  $\Lambda_H(x)$  is at most 2. ■

The following proposition in combination with Proposition 2.5 finishes the proof of Theorem 1.2.

**Proposition 2.8** *Let  $\Delta$  be a dual polar space of type  $DQ^-(7, \mathbb{K})$ . If  $H$  is a hyperplane of  $\Delta$  arising from its spin embedding  $e$ , then  $H$  is either a singular hyperplane, the extension of a classical ovoid in a quad or a hexagonal hyperplane.*

**Proof.** Suppose first that  $H$  contains a quad  $Q$ . Then by Lemma 2.4,  $H$  is either a singular hyperplane or the extension of an ovoid in  $Q$ . Suppose  $H$  is the extension of the ovoid  $O$  in  $Q$ . Let  $Q'$  be a quad of  $\Delta$  disjoint from  $Q$  and put  $O' := \pi_{Q'}(O)$ . Then  $H \cap Q' = O'$ . Since  $H$  arises from the embedding  $e$ , the ovoid  $O'$  of  $Q'$  arises from the embedding of  $Q'$  induced by  $e$  and hence is classical. As a consequence, also the ovoid  $O = \pi_Q(O')$  of  $Q$  is classical.

Suppose now that  $H$  does not contain quads. We show that  $H$  does not contain points  $x$  for which  $x^\perp \subseteq H$ . Suppose to the contrary that  $x^\perp \subseteq H$ . If  $y \in \Delta_2(x) \cap H$ , then the unique quad  $Q$  through  $x$  and  $y$  contains  $x^\perp \cap Q$

and  $y$  and hence is completely contained in  $H$ , a contradiction. Hence,  $\Delta_2(x) \cap H = \emptyset$ . Obviously,  $\Delta_3(x) \cap H \neq \emptyset$ . (A line containing a point of  $\Delta_3(x)$  meets  $H$  necessarily in a point of  $\Delta_3(x)$ .) If  $y \in \Delta_3(x) \cap H$ , then by Lemma 2.6, there exists a line through  $y$  contained in  $H$ . This line contains a point at distance 2 from  $x$ , a contradiction. Hence,  $H$  does not contain points  $x$  for which  $x^\perp \subseteq H$ .

Since  $H$  does not contain quads, every quad of  $\Delta$  is singular or ovoidal with respect to  $H$  (recall Lemma 2.2).

**Claim I.** *If  $L$  is a line of  $\Delta$ , then either all quads through  $L$  are singular or precisely one quad through  $L$  is singular. So, there exist singular quads.*

PROOF. If  $L \subseteq H$ , then all quads through  $L$  are singular. Suppose therefore that  $L \cap H$  is a singleton  $\{x\}$ . By Lemma 2.6, there are two possibilities:

(1) there exists a unique line  $M$  through  $x$  which is contained in  $H$ . Then the unique quad through  $L$  and  $M$  is the unique singular quad through  $L$ .

(2) There exists a quad  $Q$  through  $x$  such that the lines through  $x$  contained in  $H$  are precisely the lines through  $x$  contained in  $Q$ . Every quad through  $L$  intersects  $Q$  in a line through  $x$  and hence is singular. (eop)

**Claim II.** *For every quad  $Q$  which is singular with respect to  $H$ , there exists a quad disjoint from  $Q$  which is singular with respect to  $H$ .*

PROOF. Let  $x \in Q$  such that  $Q \cap H = x^\perp \cap Q$ . Let  $Q_1$  denote an arbitrary quad through  $x$  distinct from  $Q$ . Since  $Q_1 \cap Q$  is a line contained in  $H$ ,  $Q_1$  is singular. Hence,  $Q_1 \cap H = x_1^\perp \cap Q_1$  for a certain point  $x_1 \in Q_1$ . Obviously,  $x_1 \in Q_1 \cap Q$ . Since  $x^\perp \cap H = x^\perp \cap Q$ ,  $x_1 \neq x$ . Now, let  $Q_2$  denote a quad through  $x_1$  not containing  $Q_1 \cap Q$ . Since  $Q_1 \cap Q_2$  is a line contained in  $H$ ,  $Q_2$  is singular with respect to  $H$ . So,  $Q_2 \cap H = x_2^\perp \cap Q_2$  for a certain point  $x_2 \in Q_2$ . Since  $Q_2 \cap Q \not\subseteq H$ ,  $x_2 \notin Q$ . Now, there exists a line in  $Q_2$  through  $x_2$  not meeting  $Q$ . Any quad through that line disjoint from  $Q$  is singular, proving the claim. (eop)

**Claim III.** *If  $x$  is a point of  $\Delta$  such that there exists a quad  $Q$  through  $x$  such that  $Q \cap H = x^\perp \cap H$ , then every quad through  $x$  is singular.*

PROOF. This follows from the fact that any quad through  $x$  contains a line (of  $Q$ ) which is completely contained in  $H$ . (eop)

Let  $S$  denote the set of quads of  $\Delta$  which are singular with respect to  $H$ . Let  $\tilde{S}$  denote the set of points of  $Q^-(7, \mathbb{K})$  corresponding to the elements of  $S$ . By Claim I,  $\tilde{S}$  is a subspace of  $Q^-(7, \mathbb{K})$  and hence carries the structure of a polar

space  $\Pi_0$ . It is important to notice that this polar space  $\Pi_0$  is nondegenerate by Claim II. By Claim III, we know that the rank of  $\Pi_0$  is equal to 3. By Claim I,  $\Pi_0$  is either  $Q^-(7, \mathbb{K})$  or a hyperplane of  $Q^-(7, \mathbb{K})$ . In the latter case, it follows from Cohen and Shult [3, Theorem 5.12] that  $\Pi_0$  is obtained by intersecting  $Q^-(7, \mathbb{K})$  with a hyperplane of the ambient projective space of  $Q^-(7, \mathbb{K})$ ; hence  $\Pi_0 \cong Q(6, \mathbb{K})$ . (Also in [10], it was shown that  $\Pi_0$  is a polar space (see Proposition 15), but the possible complication that  $\Pi_0$  might be degenerate - which is impossible by Claim II - seems not to be discussed there.)

If  $\Pi_0 = Q^-(7, \mathbb{K})$ , then every quad of  $DQ^-(7, \mathbb{K})$  is singular with respect to  $H$ . This is impossible by Proposition 1.1. So,  $\Pi_0 = Q(6, \mathbb{K})$ . Then  $H$  is a hexagonal hyperplane of  $DQ^-(7, \mathbb{K})$  by Pralle [10, Theorem 2 + Corollary 1], Lemma 2.6 and the fact that  $H$  does not contain quads. For reasons of completeness, we give here a complete proof of this fact.

**Claim IV.** *For every line  $L$  of  $Q(6, \mathbb{K})$ , there exists a generator of  $Q(6, \mathbb{K})$  through  $L$  belonging to  $H$ .*

PROOF. We regard  $L$  as a line of  $DQ(6, \mathbb{K})$ . We must show that there exists a point  $x$  on  $L$  every quad through which is singular, or equivalently (see Lemma 2.6), a point  $x$  on  $L$  for which  $\Lambda_H(x)$  is a line of  $Res_\Delta(x)$ . If  $L$  is not contained in  $H$ , then the unique point of  $L \cap H$  satisfies this property (Recall the proof of Claim I and the fact that every quad through  $L$  is singular). If  $L$  is contained in  $H$ , then we can take for  $x$  the deepest point of any singular quad through  $L$ . (eop)

Now, let  $\tilde{H}$  denote the set of points of  $DQ(6, \mathbb{K})$  which are also points of  $H$ . The following claim follows from Claim IV and the fact that  $H$  is a subspace.

**Claim V.** *A generator  $\alpha$  of  $Q^-(7, \mathbb{K})$  not contained in  $Q(6, \mathbb{K})$  belongs to  $\tilde{H}$  if and only if every generator of  $Q(6, \mathbb{K})$  through  $\alpha \cap Q(6, \mathbb{K})$  belongs to  $\tilde{H}$ .*

Since  $H$  is a proper subspace of  $DQ^-(7, \mathbb{K})$ ,  $\tilde{H}$  is a proper subset of the point set of  $DQ(6, \mathbb{K})$ . By Claim IV and the fact that  $H$  is a subspace,  $\tilde{H}$  is a hyperplane of  $DQ(6, \mathbb{K})$ . Every quad  $Q$  of  $DQ(6, \mathbb{K})$  is properly contained in a singular quad  $\tilde{Q}$  of  $DQ^-(7, \mathbb{K})$ . Every quad of  $DQ^-(7, \mathbb{K})$  through the deep point of  $\tilde{Q}$  is singular and hence the deep point of  $\tilde{Q}$  is a point of  $DQ(6, \mathbb{K})$ , i.e. a point of  $Q$ . It follows that  $Q$  is singular with respect to  $\tilde{H}$ . So,  $\tilde{H}$  is a hexagonal hyperplane of  $DQ(6, \mathbb{K})$ . By Claim V, it now follows that  $H$  is a hexagonal hyperplane of  $DQ^-(7, \mathbb{K})$ . ■

## Acknowledgment

The author is a Postdoctoral Fellow of the Research Foundation - Flanders (Belgium).

## References

- [1] P. J. Cameron. Dual polar spaces. *Geom. Dedicata* 12 (1982), 75–85.
- [2] I. Cardinali, B. De Bruyn and A. Pasini. Minimal full polarized embeddings of dual polar spaces. *J. Algebraic Combin.* 25 (2007), 7–23.
- [3] A. M. Cohen and E. E. Shult. Affine polar spaces. *Geom. Dedicata* 35 (1990), 43–76.
- [4] B. N. Cooperstein and E. E. Shult. A note on embedding and generating dual polar spaces. *Adv. Geom.* 1 (2001), 37–48.
- [5] B. De Bruyn. The hyperplanes of  $DQ(2n, \mathbb{K})$  and  $DQ^-(2n+1, q)$  which arise from their spin-embeddings. *J. Combin. Theory Ser. A* 114 (2007), 681–691.
- [6] B. De Bruyn. The structure of the spin-embeddings of dual polar spaces and related geometries. *European J. Combin.* 29 (2008), 1242–1256.
- [7] B. De Bruyn. Two classes of hyperplanes of dual polar spaces without subquadrangular quads. *J. Combin. Theory Ser. A* 115 (2008), 893–902.
- [8] B. De Bruyn. A note on the spin-embedding of the dual polar space  $DQ^-(2n+1, \mathbb{K})$ . *Ars Combin.*, to appear.
- [9] S. E. Payne and J. A. Thas. *Finite Generalized Quadrangles*. Research Notes in Mathematics 110. Pitman, Boston, 1984.
- [10] H. Pralle. Hyperplanes of dual polar spaces of rank 3 with no subquadrangular quad. *Adv. Geom.* 2 (2002), 107–122.
- [11] E. E. Shult. *Generalized hexagons as geometric hyperplanes of near hexagons*. In “Groups, Combinatorics and Geometry” (eds. M. Liebeck and J. Saxl), Cambridge Univ. Press (1992), 229–239.