

1 Title: Musculoskeletal architecture of the prey capture apparatus in salamandrid newts with
2 multiphasic lifestyle: Does anatomy change during the seasonal habitat switches?

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17

18 Abstract

19 Some newt species change seasonally between an aquatic and a terrestrial life as adults and are
20 therefore repeatedly faced with different physical circumstances that affect a wide range of
21 functions of the organism. For example, it has been observed that seasonally habitat-changing newts
22 display notable changes in skin texture and tailfin anatomy, allowing one to distinguish an aquatic
23 and a terrestrial morphotype. One of the main functional challenges is the switch between efficient
24 aquatic and terrestrial prey capture modes. Recent studies have shown that newts adapt quickly by
25 showing a high degree of behavioral flexibility, using suction feeding in their aquatic stage and
26 tongue prehension in their terrestrial stage. As suction feeding and tongue prehension place
27 different functional demands on the prey capture apparatus, this behavioral flexibility may clearly
28 benefit from an associated morphological plasticity. In this study, we provide a detailed
29 morphological analysis of the musculoskeletal system of the prey capture apparatus in the two
30 multiphasic newt species *Ichthyosaura alpestris* and *Lissotriton vulgaris* by using histological sections
31 and micro-computed tomography. We then test for quantitative changes of the
32 hyobranchial musculoskeletal system between aquatic and terrestrial morphotypes. The descriptive
33 morphology of the cranio-cervical musculoskeletal system provides new insights on form and
34 function of the prey capture apparatus in newts and the quantitative approach shows hypertrophy of
35 the hyolingual musculoskeletal system in the terrestrial morphotype of *L. vulgaris* but muscle
36 atrophy in the terrestrial morphotype of *I. alpestris*. We therefore conclude that the seasonal habitat
37 shifts are accompanied by a species-dependent muscular plasticity. of which the potential effect on
38 multiphasic feeding performance in newts remains unclear.

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42 Some newt species (salamandrids) show a multiphasic lifestyle where adults change seasonally
43 between aquatic and terrestrial habitats (Matthes, 1934; Denoël, 2004). These multiple
44 environmental transitions are challenging for the whole organism and are associated with major
45 morphological, physiological and behavioral changes to account for the different physical properties
46 of water and air (Griffiths, 1997; Thiesmeier & Schulte, 2010). Accordingly, these seasonal shifts
47 between two very different habitats induce notable changes of the whole organism and result in an
48 aquatic and a terrestrial stage with a distinct aquatic and terrestrial morphotype (Matthes, 1934;
49 Halliday, 1974; Nöllert & Nöllert, 1992; Griffiths, 1997; Warburg and Rosenberg, 1997; Denoël, 2004).
50 For example, tail fins grow out in the aquatic stage to increase undulatory swimming performance
51 and are reduced in the terrestrial stage when animals change to quadrupedal locomotion (Nöllert &
52 Nöllert, 1992). Similarly, labial lobes (oral skin folds) are large and well developed in the aquatic stage
53 but are reduced in the terrestrial stage to adapt to the different prey capture modes used in the
54 respective medium (Matthes, 1934). When feeding under water, newts always use suction feeding,
55 involving a fast oropharyngeal expansion that drives prey and surrounding water body to flow into
56 the gaping mouth. In suction feeding, labial lobes occluding the lateral margins of the mouth increase
57 flow velocities and therefore suction feeding performance (Skorczewski et al., 2012; Van
58 Wassenbergh & Heiss, unpublished) but are useless on land. For capturing prey on land, newts use a
59 slightly modified suction feeding mode (jaw prehension) and grasp prey by the jaws in their aquatic
60 stage but they use tongue prehension when in the terrestrial stage. In tongue prehension, the tongue
61 is accelerated out of the mouth to catch prey and to bring it back into the mouth. Accordingly,
62 suction feeding is the prevalent feeding mode in the aquatic stage and tongue prehension the
63 prevalent capture mode used in the terrestrial stage (Heiss et al., 2013; 2015).

64 However, suction feeding and tongue prehension are fairly different mechanisms and require
65 different specializations of the musculoskeletal system that makes up the prey capture apparatus
66 (Deban, 2003). For example, many hyobranchial muscles that are the main motors powering tongue
67 prehension play a minor role in suction feeding and vice versa. Similarly, the demands on the skeletal
68 elements of the prey capture apparatus, which redirect the muscular forces, differ considerably
69 between suction feeding and tongue prehension (Deban & Wake, 2000; Deban, 2003). In fact, the
70 musculoskeletal arrangement of the prey capture apparatus is different between aquatic and
71 terrestrial salamanders (Deban & Wake, 2000; Wake and Deban, 2000; Deban, 2003). Accordingly,
72 the seasonal switches between habitats in newts that are associated with different prey capture

73 behaviors might demand structural plasticity of the musculoskeletal system to account for the
74 different functional needs in the two different environments.

75 This hypothesis is based on the fact that adult newts do show structural plasticity exemplified by
76 their seasonal switch between the distinct aquatic and terrestrial morphotypes. For example, fin
77 folds and labial lobes grow when newts change to their aquatic stage and disappear when they leave
78 the water again (Matthes, 1934). Similarly, the structure of the skin changes as the stratum corneum
79 increases in thickness in the terrestrial morphotype (Warburg & Rosenberg, 1997). Structural
80 seasonal changes in the musculoskeletal system, however, have not been studied in any salamanders
81 to date. Nevertheless, such changes are not unlikely since seasonal muscle plasticity was
82 documented previously in other vertebrates where they are associated with seasonally changing
83 functional demands (Flück, 2006; Gerth et al., 2009; Nowell et al., 2011).

84 In order to improve our understanding of form, function and plasticity of the feeding apparatus in
85 newts in the context of their unique multiphasic lifestyle, our study has the following aims: building
86 upon former published work on postmetamorphic salamandrid morphology (Drüner, 1902, 1904;
87 Francis, 1934; Özeti & Wake, 1969; Findeis & Bemis, 1990) we first aim to provide a detailed analysis
88 of the 3D architecture of the complex musculoskeletal system of the prey capture apparatus in two
89 newt species with a multiphasic lifestyle and parallel changing prey capture behavior (Heiss et al.,
90 2013; 2015): *Ichthyosaura alpestris* and *Lissotriton vulgaris*. Though excellent studies on salamandrid
91 morphology exist, the extensive diversity among salamandrids justifies not only a re-examination,
92 but also revise the functional anatomy of the musculoskeletal feeding system using modern 3D
93 approaches in the light of a multiphasic lifestyle and associated changes of prey capture modes. Our
94 “in situ” analysis of the craniocervical morphology will provide new insights into the integration of
95 skeletal and muscle systems to better understand the complex movement patterns during prey
96 capture. Second, we test for quantitative differences in the musculoskeletal hyobranchial system
97 between the aquatic and the terrestrial morphotypes of *I. alpestris* and *L. vulgaris*. Given that
98 salamanders in general are known for their extraordinary capability of structural plasticity and
99 regeneration capacity (Piatt, 1955; Stocum & Dearlove, 1972; Yokoyama, 2008), quantitative changes
100 as a response to changes of functional demands (Boonyarom & Inui, 2006; Flück, 2006) might occur
101 during the habitat-switches. For example, skeletal muscles increase in volume (hypertrophy) as a
102 response to exercise and decrease their volume (atrophy) in response to immobility or extensive rest
103 (Akima et al., 2000; Boonyarom & Inui, 2006; Kouzaki et al., 2007; Hanson et al., 2010).
104 Accordingly your third aim is to quantify potential changes in volume, fiber length and physiological
105 cross sectional area of the two main muscles powering suction feeding (M. rectus cervicis) and
106 tongue protraction (M. subarcualis rectus). Given that under natural conditions suction feeding is the

107 prevalent prey capture mode of the aquatic morphotype and tongue protraction the prevalent prey
108 capture mode of the terrestrial morphotype in newts, we test whether *Mm. subarcualis rectus* and
109 *rectus cervicis* hypertrophy / atrophy in a reciprocal manner as a response to changes in functional
110 demands in the two morphotypes. Specifically, we predict muscle hypertrophy of the *M. rectus*
111 *cervicis* and atrophy of the *M. subarcualis rectus* in the aquatic morphotype and hypertrophy of the
112 *M. subarcualis rectus* and atrophy of the *M. rectus cervicis* in the terrestrial morphotype.

113 Similar to muscle plasticity, different loading conditions on skeletal elements can cause structural
114 changes (e.g. Matsuda et al., 1986; Lieberman et al., 2003). While in suction feeding, the elements of
115 the hyobranchial skeleton act as a lever system to cause fast oropharyngeal volume expansion in a
116 viscous medium, in lingual prehension the hyobranchial elements are slid in an anteroposterior
117 direction to cause lingual pro- and retraction (Deban, 2003). As a consequence, suction feeding and
118 lingual prehension pose different loading conditions on the hyobranchial skeleton. The fourth aim of
119 this study is therefore to test for volumetric changes of representative skeletal elements across
120 habitat shifts. Specifically, we predict increase in diameter and consequently predict higher volumes
121 of the hyobranchial skeletal elements in the aquatic morphotypes where the hyobranchial skeleton
122 forcefully pushes down on the floor of the oropharyngeal cavity for rapid volume expansion in a
123 viscous medium which demands higher robustness of the skeletal elements compared to the sliding
124 movements in tongue prehension.

125

126

127 Material & Methods

128 Fourteen adult Alpine newts (*Ichthyosaura alpestris*) and fourteen adult smooth newts (*Lissotriton*
129 *vulgaris*) were collected during their aquatic stage between May-June 2011 in Lower Austria, Austria
130 with collection permission RU5-BE-18/022-2011 granted by the local government of Lower Austria.
131 Animal husbandry and experiments were in strict accordance with national and international laws.
132 Seven individuals for both species were immediately euthanized and fixed as described below to
133 preserve their aquatic morphotype. The remaining animals were kept in a 150 liter tank with water
134 levels of 15 cm and an easily accessible land part. Food was offered both in water and on land and
135 animals were fed twice a week with a variety of red mosquito larvae (chironomids), firebrats
136 (*Thermobia domestica*), and maggots (*Lucilia* sp.). Forty days after each individual newt had left the
137 water and changed to the terrestrial habitat, it was anesthetized in 0.05% aqueous MS222 solution
138 and killed by an intraperitoneal injection of Nembutal, cut in two pieces approximately 1cm caudal to
139 the shoulder girdle and immersed into fixation solution as described below. Individual mass was
140 measured before euthanasia under anesthesia using a AS60 precision balance (Ohaus, Germany).

141

142 Histology

143 For histological analyses, two newts (all female) for each morphotype and species were immersed in
144 Bouin's solution (Romeis, 1989; Kiernan, 2003) for 2 months, changing the solution every week.
145 When decalcification was completed, samples were dehydrated in a graded ethanol-isopropanol
146 series and embedded in paraffin. Next, 7 µm serial-sections were made on a Reichert-Jung 2030
147 rotatory microtome (Reichert-Jung, Bensheim, Germany). The sections were mounted on glass slides
148 and, after removing the paraffin, stained with Azan (see standard protocols after Romeis (1989) and
149 Kiernan (2003)). The preparations were documented by digital photography on a Nikon Eclipse E800
150 light microscope (Nikon, Tokyo, Japan).

151

152 Micro Computed Tomography (µCT)

153 For µCT scanning, five newts (all male) for each morphotype and species were fixed in 4%
154 formaldehyde for one month, changing the solution once a week. Then, specimens were dehydrated
155 in a graded series of ethanol. In order to increase x-ray density of soft tissues, specimens were
156 contrasted in a solution of 1% elemental iodine in absolute ethanol for two weeks (Metscher, 2009).

157 After staining, samples were rinsed in absolute ethanol for several hours and mounted in Falcon
158 tubes again in absolute ethanol. A scan of the whole head was acquired using a SkyScan 1174
159 (Bruker, Belgium) micro CT scanner with a source voltage of 50kV and an isovolumetric voxel
160 resolution of 7.39 μ m.

161

162 3D reconstruction

163 After image acquisition, image stacks were imported into the 3D software package Amira 4 (FEI
164 Visualization Sciences Group, Merignac Cedex, France). Based on tomographic image data, relevant
165 structures were segmented either manually (cartilages, muscles) or by threshold segmentation
166 (bones), and visualized via surface renderings. Volumes of the manually segmented muscles and
167 skeletal elements of the hyobranchial apparatus were measured via Amira Material Statistics tool.

168 We calculated the muscle volumes of two representative muscles with putative divergent functions
169 in prey capture: M. rectus cervicis and m. subarcualis rectus. While the subarcualis rectus had a clear
170 outline, the rectus cervicis is an extension of the rectus abdominis muscle of the ventral trunk
171 musculature. Accordingly, given its “blurry” and not always detectable origin (i.e. the first tendinous
172 inscription) in μ CT scans, we defined the anterior margin of the pericardium as posterior margin of
173 the “functional rectus cervicis”. This is justified with the fact that, according to previously published
174 studies (Drüner, 1902; Francis, 1934; Özeti & Wake, 1969; Findeis & Bemis, 1990), the first tendinous
175 inscription is located close to the anterior margin of the pericardium.

176 Determining fiber length and physiological cross sectional area (PCSA)

177 To measure the mean muscle fiber length, all 20 individuals were dissected after μ Ct scans were
178 performed and individual muscles carefully removed. After dissection, muscles were immersed in
179 30% hydrous nitric acid solution to dissolve the collagenous tissue surrounding the muscle fibers
180 (Nauwelaerts et al., 2007). After 24 hours, muscles were rinsed in tap water for 5 minutes and
181 immersed in a drop of 50% hydrous solution of glycerine on a glass slide. Muscle fibers were then
182 carefully separated using two fine pins under a Karl Zeiss GSZ stereo microscope (Karl Zeiss Jena,
183 Germany) and covered with a coverslip (24 x 60mm). Next, digital micrographs were taken using a
184 Olympus BX21 light microscope (Olympus, Japan) and the length of 20 randomly selected muscle
185 fibers for each muscle were measured. The PCSAs of rectus cervicis and subarcualis rectus muscles
186 were calculated from muscle volume divided by mean fiber length (Maughan et al., 1983). Muscle
187 volume was measured from the μ CT scans as described above.

188

189 Statistics

190 To quantify muscular changes, we bilaterally measured muscle volume, mean fiber lengths and
191 calculated the physiological cross sectional areas (PCSA) of the rectus cervicis and subarcualis rectus
192 muscles in five individuals in both morphs of both species, resulting in a total of 80 measurements for
193 each of the three factors. After positively testing for normal distribution of the residuals of the
194 dependent variables, we performed a Multivariate analysis of covariance (MANCOVA) where muscle
195 (rectus cervicis, subarcualis rectus), side (left, right), morphotype (aquatic, terrestrial) and species (*I.*
196 *alpestris*, *L. vulgaris*) were treated as fixed factors, volume, fiber length and PCSA as dependent
197 variables, and the individual's total body mass as co-factor. By entering the interaction effect of
198 weight and morphotype into the MANCOVA, we also modeled different effects (regression
199 coefficient of body mass between morphotypes) of weight and morphotype.

200 To quantify skeletal changes, we measured the volumes of selected skeletal elements of the
201 hyobranchial apparatus in both species, namely the unpaired basibranchial, the ceratohyals (left and
202 right) and the ceratobranchials 1 (left and right), resulting in a total of 100 measurements. Then, we
203 tested for normal distribution of the residuals of the dependent variables. As the residuals were not
204 normally distributed we log₁₀-transformed the data after which they gained normal distribution.
205 Next, we performed an ANCOVA where skeletal element (basibranchial, ceratohyal and
206 ceratobranchial 1), side (left, right, unpaired), morphotype (aquatic, terrestrial) and species (*I.*
207 *alpestris*, *L. vulgaris*) were treated as fixed factors, volume as dependent variable, and the
208 individual's total body mass as co-factor. By entering the interaction effect of weight and
209 morphotype into the ANCOVA, we modeled different effects (regression coefficient of body mass
210 between morphotypes) of weight and morphotype.

211 (i) All statistical analyses were performed with Microsoft Excel 2010 and SPSS Statistics 20
212 software package

213

214 Results

215 1. The architecture of the cranio-cervical musculoskeletal system

216 Qualitative differences between aquatic and terrestrial stages were not detected and differences
217 between species were marginal, though the heads in *I. alpestris* appeared to be broader compared to
218 *L. vulgaris* (compare Fig. 1 and Fig. 2). Accordingly, the generalized morphology is described below.
219 Description and terminology largely follows Drüner (1902, 1904), Francis (1934), Özeti and Wake
220 (1969) and Findeis & Bemis (1990).

221

222 1.1. The skeletal system

223 1.1.1. The skull

224 The upper jaw consists of the premaxilla and the maxilla (Fig. 1A, B and Fig. 2A, B), both of which
225 bear teeth. Dorsally, behind the premaxilla lie the paired nasals, frontals and parietals that together
226 build up the roof of the braincase (Fig. 1A, B and Fig. 2A, B). Posterior to the parietals lie the
227 exoccipitals, which enclose the braincase posteriorly. The exoccipitals also bear the exoccipital
228 condyles that articulate with the atlas (Fig. 1A, B, C and Fig. 2A, B, C). The floor of the braincase is
229 built up by the paired vomers anteriorly and the large unpaired parasphenoid posteriorly (Fig. 1B, C
230 and Fig. 2B, C). The vomers bear a longitudinally arranged row of teeth which overlap the
231 parasphenoid that is connected posteriorly to the exoccipitals. The pterygoids have a broad base on
232 the ventral exoccipital as well as on the mesial squamosal and extend anteroventrally with their
233 elongated process (Fig. 1B, Fig. 2B). The squamosal is connected laterally on the skull, between the
234 parietal and the exoccipital bones and bears on its distal side the quadrate, which articulates with the
235 articular of the mandible (Fig. 1B, 2B). The articular is attached anteriorly on the tooth bearing
236 dentary (Fig. 1B, 2B).

237

238 1.1.2. The hyolingual system

239 The hyolingual system in both newt species mainly lies between the mandibular rami and extends up
240 to the posterior pharynx (Fig. 1B, C, D and Fig. 2B, C, D). The unpaired bony basibranchial lies
241 centrally in the floor of the mouth and forms the main axis of the hyolingual apparatus (Fig. 1B, C, D
242 and Fig. 2B, C, D). The very anterior tip of the basibranchial is cartilaginous and articulates with the
243 paired radii, which are connected through a cartilaginous bow, the interrarial cartilage (Fig. 1D, 2D).
244 Posteriorly, the unpaired basibranchial element articulates with the first and second hypobranchials
245 (Fig. 1C, D and Fig. 2C, D). The first hypobranchials are thick, bony and articulate posteriorly with the
246 first ceratobranchials, which are also bony in nature (Fig. 1B, C, D and Fig. 2B, C, D). The second

247 hypobranchials are slender and cartilaginous and their posterior end attaches to the articulation of
248 the first hypobranchial and the first ceratobranchial (Fig. 1C, D and Fig. 2C, D). The ceratohyals lie
249 medial to the mandibular rami and consist of a cartilaginous spade-like-shaped anterior part and a
250 bony posterior part that is posterodorsally flexed and becomes gradually roundish towards its
251 posterior end (Fig. 1B, C, D and Fig. 2B, C, D).

252

253 1.2. The muscular system

254 1.2.1. Epaxial musculature

255 The epaxial musculature in both *I. alpestris* and *L. vulgaris* insert on the posterior skull by three main
256 portions: The dorsal-most portion forms the bulk of the epaxial musculature and is represented by
257 the M. dorsalis trunci which attaches to the posterior exoccipital and squamosal (Fig. 1A', B' and Fig.
258 2A', B'). Beneath the M. dorsalis trunci lies the relatively slender M. intertransversarius capitis
259 superior that attaches beneath the M. dorsalis trunci on the exoccipital and squamosal (Fig. 3F). The
260 third main portion of the anterior epaxial musculature is represented by the M. intertransversarius
261 capitis inferior which runs beneath both Mm. dorsalis trunci and intertransversarius capitis superior
262 to insert on the ventral exoccipital region (Fig. 1B', 2B', 3F), beneath the articulation of skull and
263 atlas.

264

265 1.2.2. Jaw muscles

266 The jaw muscles consist of the jaw depressor and the jaw adductor systems. The jaw depressor
267 system is represented by the M. depressor mandibulae that originates on the posterior squamosal
268 and runs ventrally to insert on the articular, posterior to the jaw joint (Figs. 1A', 1B', 2A', 2B', 3E). The
269 jaw adductor system is represented by the M. adductor mandibulae complex which is composed of
270 several portions. The M. adductor mandibulae internus can be subdivided into a superficial and a
271 deep portion (Figs. 1A', 1B', 2A', 2B', 3D). The superficial portion originates on the spinal process of
272 the first vertebra and on the fasciae of the epaxial musculature and runs antero-ventrally to insert
273 on the articular bone anterior to the jaw joint (Figs. 1A', 1B', 2A', 2B', 3D). The deep portion has a
274 broader origin, extending from the frontal to the parietal and runs ventrally to insert on the articular,
275 anterior to the jaw joint (Figs. 1A', 1B', 2A', 2B', 3D). The M. adductor mandibulae externus originates
276 on the anterior proximal squamosal, follows the squamosal ventrally and inserts on the articular,
277 anterior to the jaw joint (Figs. 1A', 1B', 2A', 2B', 3D).

278

279 1.2.3. Muscles of the hyoid (throat) region

280 Three main hyoid (throat) muscles were distinguished in *I. alpestris* and *L. vulgaris*. Anteriorly, the M.
281 intermandibularis posterior originates medially on the dentaries, runs transversely and both
282 contralateral parts are connected by the median aponeurosis, which accordingly represents the
283 insertion site (Figs. 3A-C). The M. intermandibularis posterior overlaps more posteriorly with the
284 interossa quadrata muscle. The M. interossa quadrata originates on a cartilage between proximal
285 quadrate bone and pterygoid and runs transversally where it becomes significantly broader towards
286 its insertion site, the median aponeurosis (Figs. 3E, 3D). The interhyoideus posterior originates from
287 the posterior quadrate and distal posterior squamosal and runs in a postero-ventral direction to
288 broadly insert on the pectoral girdle (not shown).

289

290 1.2.4. Tongue- and hyobranchial musculature

291 The muscles of the tongue and the hyobranchial system are responsible for the complex movements
292 of the hyobranchial elements relative to each other and the hyobranchial apparatus relative to the
293 lower jaw. The M. genioglossus originates on the dentary, close to the mandibular symphysis and its
294 fibers fan out into the tongue pad and the floor of the mouth where the fibers diffusely insert on the
295 mucosa (Figs. 1D', 2D', 3A). The M. geniohyoideus attaches together with the M. genioglossus lateral
296 to the mandibular symphysis (Figs. 1C', 1D', 2C' 2D') on the dentary and runs caudally, just above the
297 slender superficial throat muscles (Figs. 3A-E). Remarkably, most of the geniohyoideus fibers
298 originate on the anterior pericardium (Figs. 1C', 1D', 2C', 2D', 3F, 4F, 5). The M. subhyoideus muscle
299 originates on the posterior end of the ceratohyal, follows its shaft ventrally and laterally up to the
300 very anterior floor of the mouth to insert on the fasciae between Mm. geniohyoideus and
301 intermandibularis posterior (Figs. 1C', 1D', 2C', 2D', 3A-E, 4A-E). The M. subarcualis rectus originates
302 on the posterior portion of the first ceratobranchial (Figs. 1B'-D', 2B'-D', 3B-F, 4B-F). Its fibers run
303 anteriorly, following the course of first ceratobranchial and first hypobranchial by literally
304 enwrapping them and finally inserts on the ventral surface of the anterior portion of the ceratohyal
305 (Figs. 1B'-D', 2B'-D', 3B-F, 4B-F). The rectus cervicis system is a direct continuation of the rectus
306 abdominis muscle but separated from it by the first tendinous inscription which represents its origin.
307 The superficial portion, the M. rectus cervicis superficialis, is thin and extends anteriorly to insert on
308 the posterior-most part of the basibranchial where the first ceratobranchial attaches to the
309 basibranchial bone (Figs. 1B'-D', 2B'-D', 3D-F, 4D-F). The deeper portion, the M. rectus cervicis
310 profundus, represents the main body of the rectus cervicis muscle. From its origin (the first tendinous
311 inscription) its fibers run anteriorly, above (i.e. dorsally) along the M. rectus cervicis superficialis and
312 inserts both on the anterior-most basibranchial and the interrarial cartilage that connects left and
313 right radii (Figs. 1B'-D', 2B'-D', 3B-F, 4B-F). Anterior to the radii lies the M. basiradialis muscle which

314 originates on the anterior basibranchial to run posteriorly and insert on the anterior faces of the radii
315 (Figs. 1D', 2D', 3A, 4A).

316

317 2. Quantitative changes of the hyobranchial myoskeletal system across morphotypes

318

319 Descriptive statistics of muscle volumes, PCSAs and fiber lengths are shown in Tab 1. The MANCOVA
320 designed to test for muscle volume, fiber length and PCSA differences across muscle, side,

321 morphotype and species yielded significant differences between morphotypes (Wilks' lambda

322 $F_{3,60}=3.766$, $P=0.015$) and muscle (Wilks' lambda $F_{3,60}=21.32$, $P<0.001$), but no significant differences

323 between side (Wilks' lambda $F_{3,61}=0.03$, $P=0.99$) and species (Wilks' lambda $F_{3,60}=0.68$, $P=0.57$).

324 Because of a significant interaction effect between species and morphotypes (Wilks' lambda

325 $F_{3,60}=7.26$, $P<0.001$) we performed subsequent posthoc tests for morphotypes for both species with

326 bonferroni correction. Pairwise comparison revealed significant differences between muscle volume

327 in the two morphotypes in *L. vulgaris* ($P=0.023$) and *I. alpestris* ($P=0.027$), between PCSA in the two

328 morphotypes in *L. vulgaris* ($p=0.001$) and *I. alpestris* ($P=0.009$), but no significant differences between

329 fiber length in the two morphotypes in both species. The significant interaction effect between

330 species and morphotypes in the MANCOVA was based on the fact that values for PCSA and muscle

331 volume were higher in the terrestrial compared to the aquatic morphotype in *L. vulgaris* but

332 reversely higher in the aquatic compared to the terrestrial morphotype in *I. alpestris* as shown by the

333 values of the estimated marginal means.

334 Descriptive statistics of hyobranchial skeletal element volumes are shown in Tab 2. The ANCOVA

335 designed to test for volumetric differences of the hyobranchial skeletal elements revealed highly

336 significant differences between the three elements ($F_{1,78}=139.92$; $P<0.001$) but no differences

337 between sides ($F_{1,78}=0.003$; $P=0.96$), morphotypes ($F_{1,78}=1.183$; $P=0.28$), or species ($F_{1,78}=0.005$;

338 $P=0.941$). Because of a significant interaction effect between species and morphotype ($F_{1,78}=8.571$;

339 $P=0.004$), we performed subsequent posthoc tests for morphotypes for both species with bonferroni

340 correction. Pairwise comparison revealed significant differences between skeletal element volumes

341 in the two morphotypes in *L. vulgaris* ($P=0.027$) and *I. alpestris* ($P=0.027$). The significant interaction

342 effect between species and morphotypes in the ANCOVA was based on the fact that values for

343 volume were higher in the terrestrial compared to the aquatic morphotype in *L. vulgaris* but

344 reversely higher in the aquatic compared to the terrestrial morphotype in *I. alpestris* as shown by the

345 estimated marginal means.

346

347 Discussion

348 Form and function of the feeding apparatus

349 The newt species *I. alpestris* and *L. vulgaris* exhibit seasonal habitat changes and typically use one of
350 two different prey capture strategies, namely suction feeding in the aquatic stage and tongue
351 prehension in the terrestrial stage (Heiss et al., 2013; Heiss et al., 2015). Suction feeding and tongue
352 prehension are conflicting functions as both strategies place different demands on the prey capture
353 apparatus (Deban, 2003). Newts belong to the very few (if not only) vertebrates that can use both
354 strategies in an effective way. Accordingly, they are faced with different demands on the
355 musculoskeletal system to effectively capture prey in both environments.

356 So how does the musculoskeletal system operate to perform the different functions successfully?
357 The exact muscle functions are not known yet but can be predicted based on their line-of-action.
358 Accordingly, we reconstruct the function of the prey capture apparatus based on previously
359 published work (Drüner, 1902; Drüner, 1904; Francis, 1934; Özeti & Wake, 1969; Lauder & Reilly,
360 1988, 1994; Findeis & Bemis, 1990, Reilly & Lauder, 1992; Deban & Wake, 2000, Wake & Deban,
361 2000, Deban, 2003) and the anatomical descriptions of the present study. For aquatic prey capture,
362 newts use fast opening of the jaws, followed by hyobranchial depression. Jaw opening is achieved
363 first by ventral rotation of the lower jaw, presumably driven by action of the M. depressor
364 mandibulae and second by dorsal rotation of the skull by contraction of the M. dorsalis trunci and M.
365 intertransversarius capitis superior (epaxial muscles). Mouth closure is achieved by dorsal rotation of
366 the lower jaw through the adductor mandibulae complex and ventral rotation of the skull by
367 contraction of the M. intertransversarius capitis inferior of the epaxial musculature which inserts on
368 the exoccipital, ventral to the articulation of the skull with the atlas. Posteroventral rotation of the
369 hyobranchial system is achieved by action of the rectus cervicis system and stabilized by a set of
370 smaller muscles and ligaments which were not shown in this study. Contraction of the genioglossus
371 muscle, together with the transversally running intermandibularis posterior and interosssa quadrata
372 might bring the hyobranchial system to its resting position. For terrestrial feeding in the aquatic
373 stage, both *I. alpestris* and *L. vulgaris* use jaw prehension (Heiss et al., 2013; 2015). The movement
374 pattern of jaw prehension and suction feeding are very similar and accordingly, the same set of
375 muscles might be involved in driving jaw and hyobranchial movements in jaw prehension with
376 slightly modified activation patterns (Heiss et al., 2013; 2015). However, jaw and hyobranchial
377 movement patterns for terrestrial feeding change significantly when newts change to their terrestrial
378 stage. In their terrestrial stage, newts use tongue prehension to capture prey which requires
379 different, well-coordinated movement patterns and presumably muscle functions (Heiss et al., 2015).
380 Mouth opening and closing are achieved as described above, though the kinematic gape profile in

381 the tongue prehension mode (two peaks) shows distinct differences to the suction feeding- and the
382 jaw prehension mode (one magnitude peak) (Heiss et al., 2013; 2015). Protraction of the tongue is
383 presumably achieved by complex interplay of several musculoskeletal elements. First, contraction of
384 the subhyoideus muscle moves the whole hyobranchial system forwards. This movement might be
385 assisted by contraction of the genioglossus muscle, which fans from its origin site on the lower jaw
386 into the tongue. Next, the ceratohyals act as anchor structures and contraction of the M. subarcualis
387 rectus, which runs from the posterior tip of the ceratobranchial 1 to the anterior ceratohyal, pulls the
388 brachial system anteriorly, relative to the ceratohyals. During tongue protraction, the tongue pad is
389 flipped anteroventrally by contraction of the basiradialis muscle which runs between the anterior-
390 most basibranchial and the anterior face of the interradial cartilages. Retraction of the whole
391 hyobranchial system is finally achieved by contraction of the rectus cervicis system. Elevation of the
392 throat by action of the transversally running muscles intermandibularis posterior and interossa
393 quadrata brings the whole hyobranchial system back to its resting position.

394

395 The special case of the M. geniohyoideus in newts

396 The geniohyoideus muscle is one of the main hyobranchial muscles in virtually all tetrapods and
397 ancestrally connects the hyobranchial skeletal system with the lower jaw (Deban & Wake, 2000;
398 Hiiemae, 2000; Nishikawa, 2000; O'Reilly, 2000; Schwenk, 2000a, 2000b; Wake & Deban, 2000; Heiss
399 et al., 2011). Accordingly, its function is associated with lower jaw depression (when the
400 hyobranchium is fixed by action of rectus cervicis / sternohyoideus muscle) and hyobranchial
401 protraction (with relaxed rectus cervicis / sternohyoideus). However, in metamorphosed newts,
402 though the M. geniohyoideus insertion on the lower jaw remains in place, its origin and accordingly
403 its course differ substantially from other tetrapods. In salamander larvae, the M. geniohyoideus runs
404 from the lower jaw posteriorly and attaches on the urohyal: the posteriormost hyobranchial skeletal
405 element which is attached to the rest of the hyobranchial system (Drüner, 1902; Reilly, 1987, Reilly
406 and Lauder, 1990; Deban & Wake, 2000, Kleinteich et al., 2014). During metamorphosis in
407 salamandrids, the urohyal loses its connection with the hyobranchial system or is completely lost in
408 some salamandrids (Francis, 1934) along with the origin of the M. geniohyoideus. As already shown
409 by Drüner (1902) and Özeti and Wake (1969) in several salamandrids, as well as Francis (1934) in the
410 fire salamander, though most of the geniohyoideus fibers originate on the "os triangularis" (i.e. the
411 rest of the urohyal) or the first tendinous inscription, some of its lateral fibers originate on the
412 "capsule of the thyroid gland" (Francis, 1934), just anterior to the pericardium. As shown by Findeis &
413 Bemis (1990) in *Taricha torosa* and in this study in *I. alpestris* and *L. vulgaris*, after reduction of its
414 primary origin (the urohyal) during metamorphosis, the M. geniohyoideus originates on the anterior

415 pericardium and only few fibers attach to the first tendinous inscription. Interpreting the function of
416 a muscle that basically connects the lower jaw with the pericardium might be problematic at first
417 sight. Findeis & Bemis (1990) hypothesized that contraction of the geniohyoideus muscle assists
418 action of the M. depressor mandibulae in depressing the lower jaw. When the adductor system
419 would be activated at the same time, however, Francis (1934) hypothesized that contraction of the
420 geniohyoideus might pull the pericardium with the heart and associated structures anteriorly. To our
421 current knowledge the latter function seems unlikely. However, given that the pericardium in *I.*
422 *alpestris* and *L. vulgaris* is embedded in the hypaxial musculature between the shoulder girdles, it
423 might well be that the pericardium is fixed in its position and mechanically stable enough to allow
424 contraction of the geniohyoideus in assisting throat elevation (with activated adductor system) and
425 lower jaw depression (with relaxed adductor system). Future integrative experimental approaches,
426 such as combined kinematic and electromyographic studies are needed to unravel the function of
427 the extraordinary geniohyoideus muscle in newts.

428

429 Do seasonal habitat shifts induce quantitative changes of the hyobranchial musculoskeletal system?

430 Skeletal muscles in vertebrates respond with structural plasticity to changing functional demands
431 (Boonyarom and Inui, 2006; Flück, 2006). For example, many studies have shown that exercise
432 induces skeletal muscle growth, which is mainly achieved by an increase of individual myofiber size
433 (Goldberg et al., 1974; Lüthi et al., 1986; Boonyarom & Inui, 2006; Folland & Williams, 2007). In
434 seasonally habitat-changing newts, aquatic and terrestrial morphotypes rely on different prey
435 capture strategies that are powered by different muscles. Specifically, the rectus cervicis system is
436 the main muscle system powering fast posteroventral rotation of the hyobranchial elements which is
437 used for suction feeding, while the subarcualis rectus system accelerates the tongue out of the
438 mouth for tongue prehension (Özeti & Wake, 1969; Larsen & Guthrie, 1975; Findeis & Bemis, 1990;
439 Wake & Deban, 2000; Deban, 2003). Accordingly, we hypothesized that volume and PCSA-values of
440 rectus cervicis and subarcualis rectus change across habitat shifts as response to the changed
441 functional demands. Specifically, we expected a reciprocal scenario: muscle hypertrophy of the
442 rectus cervicis and atrophy of the subarcualis rectus in the aquatic morphotype and hypertrophy of
443 the subarcualis rectus and atrophy of the rectus cervicis in the terrestrial morphotype. Similarly,
444 suction feeding and lingual prehension pose different loading conditions on the hyobranchial
445 skeleton and we expected volumetric changes of representative skeletal elements across habitat
446 shifts and consequently, morphotypes

447 This hypothesized pattern was not found in our study Both muscle volumes and PCSAs of rectus
448 cervicis and subarcualis rectus as well as the volumes of the hyobranchial skeletal elements were
449 significantly higher in the terrestrial compared with the aquatic morphotype in *L. vulgaris*. Reversely,
450 in *I. alpestris*, muscle volumes, PCSAs and the volumes of the hyobranchial skeletal elements were
451 significantly higher in the aquatic compared to the terrestrial morphotype. Accordingly, the changes
452 of the hyobranchial system across morphotypes in the seasonally habitat changing newts were
453 different as predicted. We expected a similar pattern of quantitative morphological changes in both

454 species based on diverging functional demands in aquatic and terrestrial morphotypes but all tested
455 musculoskeletal hyobranchial elements hypertrophied in the terrestrial morphotype in *L. vulgaris* but
456 hypertrophied in the aquatic morphotype in *I. alpestris* and no evidence for a function-based
457 reciprocal change was evident. If a reciprocal change would have been the case, the rectus cervicis
458 muscle and the hyobranchial skeletal elements should have hypertrophied in the aquatic
459 morphotype and at the same time, the subarcualis rectus should have hypertrophied in the
460 terrestrial morphotype in both species.

461 So, why isn't there a general pattern of hypertrophy / atrophy in both newt species and why do
462 newts not reciprocally hypertrophy / atrophy the rectus cervicis and subarcualis rectus muscle
463 systems despite different functional demands between prey capture on land and in water? The
464 present study raises these questions but won't be able to finally untangle them. However, a possible
465 answer to the first question could be that both newt species are not equally well adapted to both
466 aquatic and terrestrial lifestyles and that the whole prey capture apparatus might show hypertrophy
467 in the prevalent aquatic (*I. alpestris*) or terrestrial (*L. vulgaris*) lifestyle. To tackle the second question
468 why there are no reciprocal changes of the muscular system across morphotypes, it might be argued
469 that both muscle systems are active during both feeding strategies despite performing different
470 functions. For example, the rectus cervicis, besides powering suction feeding in the aquatic
471 morphotype, is also responsible for tongue retraction in the terrestrial morphotype. Similarly, former
472 studies on ambystomatid salamanders have shown that the subarcualis rectus is activated during the
473 initial phase of suction feeding (Lauder & Shaffer, 1988). Accordingly, one interpretation of our
474 results is that though functional demands change between aquatic and terrestrial morphotypes in
475 newts, this only results in small changes of the neuromotor recruitment and consequently in the
476 muscle activity pattern. This means that even a small change in the muscle activity pattern that
477 involves the same set of cranial muscles can result in two very different functions, namely suction
478 feeding and tongue prehension (Shaffer & Lauder, 1988). In other words, despite the changing
479 demands on maximal power production between muscle groups in one of the two feeding modes, all
480 main cranial muscles are active in both feeding modes and this might circumvent reciprocal muscle
481 hypertrophy / atrophy when newts switch habitat. However, muscle plasticity does not exclusively
482 rely on muscle volume or PCSA changes and other factors, such as changes in the capillary network
483 and supply area of the muscles, changes in myofibril ultrastructure or molecular mechanisms
484 (Boonyarom & Inui, 2006; Flück, 2006; Gerth et al., 2009) may be considered in future studies.

485

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493

494 Table 1. Descriptive statistics of rectus cervicis and subarcualis rectus muscle volume, fiber length and
 495 physiological cross sectional area (PCSA) in in the two newt species *I. alpestris* and *L. vulgaris* with two
 496 distinct morphotypes.

species	morphotype	muscle	mean volume \pm se (mm ³)	mean fiber length \pm se (mm)	PCSA \pm se (mm ²)
<i>I. alpestris</i>	aquatic	rectus cervicis	2.71 \pm 0.31	5.55 \pm 0.08	0.49 \pm 0.05
		subarcualis rectus	2.63 \pm 0.31	4.7 \pm 0.17	0.55 \pm 0.05
	terrestrial	rectus cervicis	2.48 \pm 0.25	5.72 \pm 0.26	0.43 \pm 0.03
		subarcualis rectus	2.45 \pm 0.28	5.05 \pm 0.24	0.47 \pm 0.04
<i>L. vulgaris</i>	aquatic	rectus cervicis	1.61 \pm 0.16	5.51 \pm 0.2	0.29 \pm 0.02
		subarcualis rectus	1.83 \pm 0.21	4.78 \pm 0.15	0.37 \pm 0.03
	terrestrial	rectus cervicis	1.91 \pm 0.20	5.57 \pm 0.1	0.35 \pm 0.03
		subarcualis rectus	2.15 \pm 0.26	4.95 \pm 0.14	0.43 \pm 0.04

497

498

499 Table 2. Descriptive statistics of basibranchial, ceratobranchial 1 and ceratohyal volume in *I. alpestris*
 500 and *L. vulgaris* with two distinct morphotypes.

species	morphotype	skeletal element	mean volume \pm se (mm ³)
<i>I. alpestris</i>	aquatic	basibranchial	0.35 \pm 0.06
		ceratobranchial 1	0.41 \pm 0.05
		ceratohyal	1.04 \pm 0.13
	terrestrial	basibranchial	0.26 \pm 0.04
		ceratobranchial 1	0.36 \pm 0.02
		ceratohyal	1.02 \pm 0.04
<i>L. vulgaris</i>	aquatic	basibranchial	0.22 \pm 0.04
		ceratobranchial 1	0.36 \pm 0.04
		ceratohyal	0.77 \pm 0.12
	terrestrial	basibranchial	0.24 \pm 0.04

ceratobranchial 1	0.36 ± 0.05
ceratohyal	0.9 ± 0.09

501

502

503 Figure legends:

504

505 Fig. 1. 3d reconstructions of the skeletal (A-D) and the corresponding musculoskeletal (A'-D')
506 architecture of the cranio-cervical system in *L. vulgaris* from dorsal (A and A'), lateral (B and B') and
507 ventral views (C and C'). D and D' show the hyobranchial apparatus from dorsally after virtual
508 removal the skull. Abbreviations: (i) Skeletal elements: Ar, articular; At, atlas; Bb, basibranchial; Cb1,
509 ceratobranchial 1; Chy, ceratohyal; De, dentary; Ex, exoccipital; Fr, frontal; Hb1, hypobranchial 1;
510 Hb2, hypobranchial 2; Ir, interradiial cartilage; Mx, maxillary; Na, nasal; Os, orbitospenoid; Pa,
511 parietal; Par, parasphenoid; Pm, premaxillary; Pt, pterygoid; Q, quadrate; Ra, radial; Sg, shoulder
512 girdle; Sq, squamosal; V2, second vertebra; V3, third vertebra; Vo, vomer. (ii) Muscles: 1, adductor
513 mandibulae internus (deep portion); 2, adductor mandibulae internus (superficial portion); 3,
514 adductor mandibulae externus; 4, depressor mandibulae; 5, dorsalis trunci; 7, intertransversarius
515 capitis inferior; 8, subarcualis rectus; 9, subhyoideus; 10, rectus cervicis (both superficialis and
516 profundus); 11, geniohyoideus; 12, genioglossus; 13, basiradialis. (iii) Other structures: L, lens; Pe,
517 pericardium. Scale bars: 5mm. The vertical lines in A' indicate the area of the histological cross
518 sections shown in Fig. 3.

519

520 Fig. 2. 3d architecture of the skeletal (A-D) and the corresponding musculoskeletal (A'-D') cranio-
521 cervical system in *I. alpestris* from dorsal (A and A'), lateral (B and B') and ventral views (C and C'). D
522 and D' show the hyobranchial system from dorsal view after virtual removal the skull. Abbreviations:
523 (i) Skeletal elements: Ar, articular; At, atlas; Bb, basibranchial; Cb1, ceratobranchial 1; Chy,
524 ceratohyal; De, dentary; Ex, exoccipital; Fr, frontal; Hb1, hypobranchial 1; Hb2, hypobranchial 2; Ir,
525 interradiial cartilage; Mx, maxillary; Na, nasal; Os, orbitospenoid; Pa, parietal; Par, parasphenoid; Pm,
526 premaxillary; Pt, pterygoid; Q, quadrate; Ra, radial; Sg, shoulder girdle; Sq, squamosal; V2, second
527 vertebra; Vo, vomer. (ii) Muscles: 1, adductor mandibulae internus (deep portion); 2, adductor
528 mandibulae internus (superficial portion); 3, adductor mandibulae externus; 4, depressor
529 mandibulae; 5, dorsalis trunci; 7, intertransversarius capitis inferior; 8, subarcualis rectus; 9,
530 subhyoideus; 10, rectus cervicis (both superficialis and profundus); 11, geniohyoideus; 12,
531 genioglossus; 13, basiradialis. (iii) Other structures: H, heart; L, lens; Pe, pericardium.

532 Fig. 3. Light micrographs of histological cross sections through the head of *L. vulgaris* in its aquatic
533 stage. For a better orientation, vertical lines in Fig. 1A' indicate the regions of the sections.
534 Abbreviations: Ar, articular; Bb, basibranchial; Cb1, ceratobranchial 1; Ch, choana; Chy, ceratohyal;
535 Hb1, hypobranchial 1; Hb2, hypobranchial 2; Nc, nasal cavity; Q, quadrate. Muscles: 1, adductor

536 mandibulae internus (deep portion); 2, adductor mandibulae internus (superficial portion); 3,
537 adductor mandibulae externus; 4, depressor mandibulae; 5, dorsalis trunci; 6, intertransversarius
538 capitis superior 7, intertransversarius capitis inferior; 8, subarcualis rectus; 9, subhyoideus; 10, rectus
539 cervicis profundus; 10*, rectus cervicis superficialis; 11, geniohyoideus; 12, genioglossus; 13,
540 basiradialis; 14, intermandibularis posterior; 15, interossa quadrata; 16, interhyoideus posterior (the
541 numbering of the muscles corresponds with Fig. 1 where appropriate) .Azan staining; scale bars:
542 1mm.

543 Fig. 4. More detailed views of the micrographs in Fig. 3, showing in detail elements of the right
544 hyobranchial musculoskeletal system in *L. vulgaris*. Abbreviations: Ar, articular; Bb, basibranchial;
545 Cb1, ceratobranchial 1; Chy, ceratohyal; De, dentary; Hb1, hyobranchial 1; Hb2, hypobranchial 2; Ra,
546 radial. Muscles: 8, subarcualis rectus; 9, subhyoideus; 10, rectus cervicis profundus; 10*, rectus
547 cervicis superficialis; 11, geniohyoideus; 12, genioglossus; 13, basiradialis; 14, intermandibularis
548 posterior; 15, interossa quadrata; 16, interhyoideus posterior. Azan staining; scale bars: 1mm.

549

550 Fig. 5. 3D reconstructions showing the special arrangement of the geniohyoideus muscle which
551 connects lower jaw with the pericardium in *I. alpestris* (A and C) and *L. vulgaris* (B and D). All
552 structures except lower jaw, geniohyoideus, pericardium and heart were virtually removed. A and B:
553 dorsal views; C and D, lateral views. Scale bars: 5mm.

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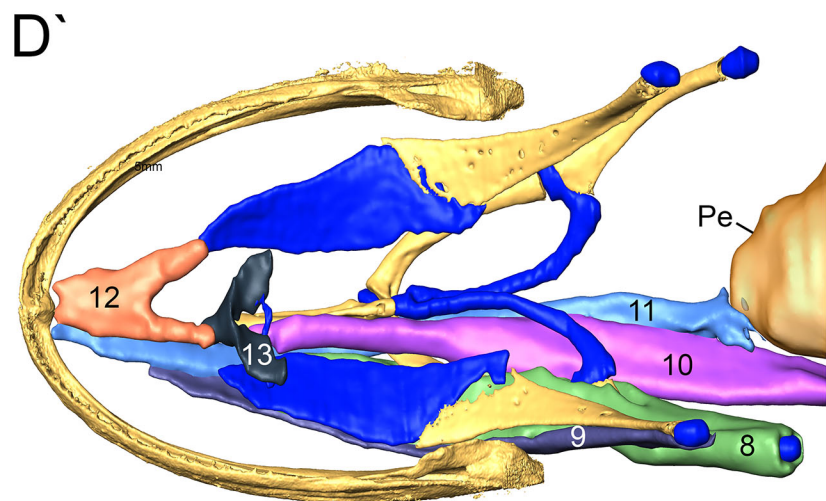
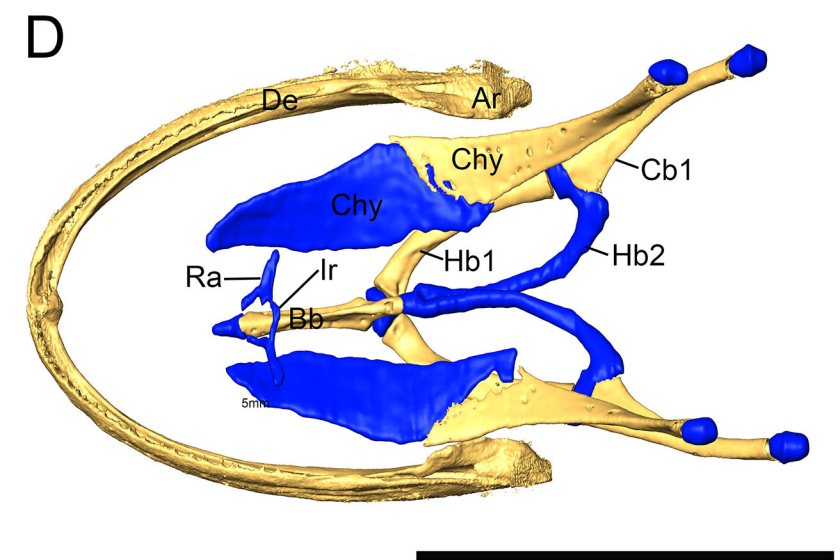
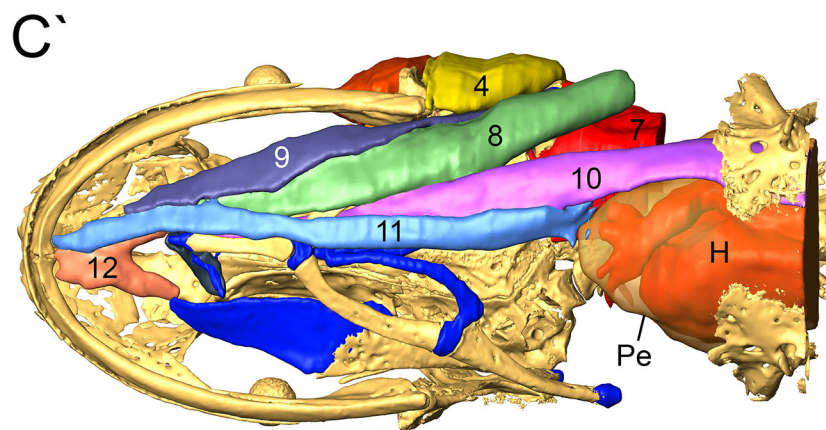
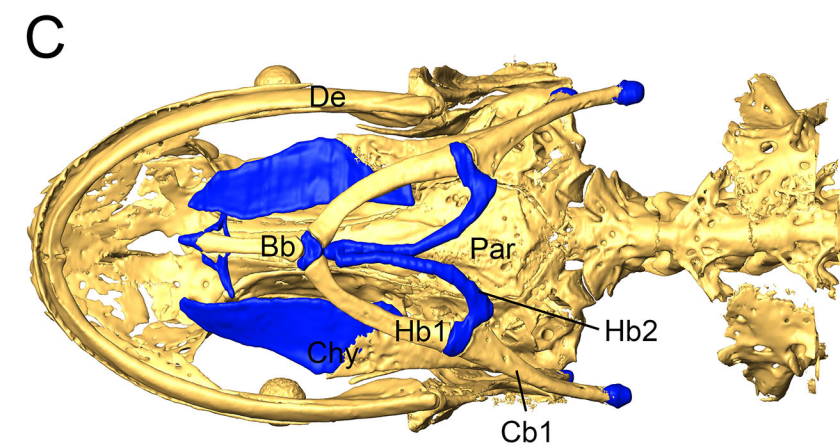
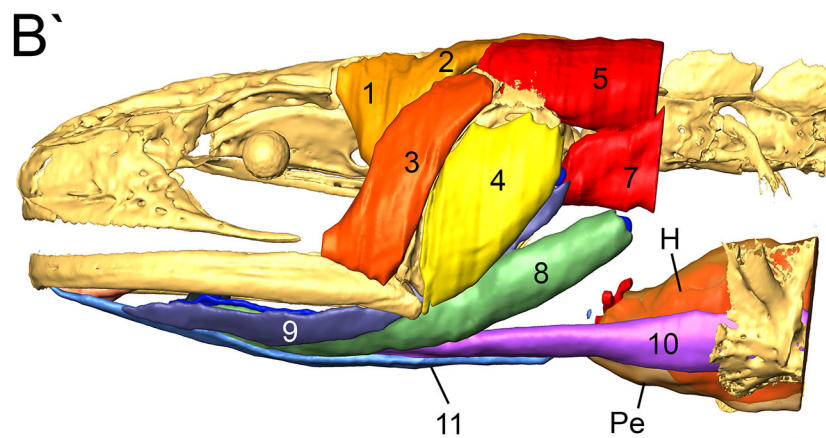
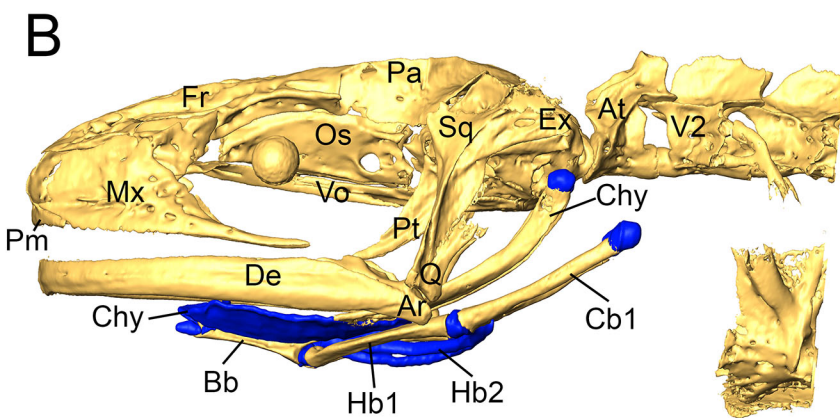
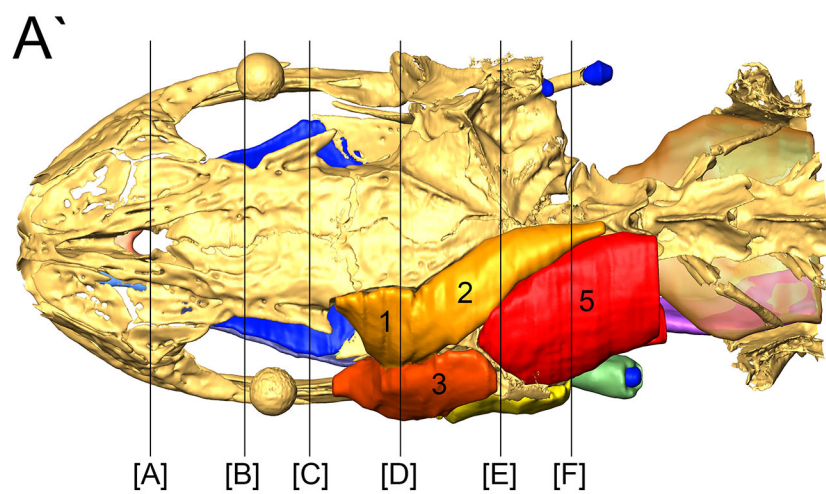
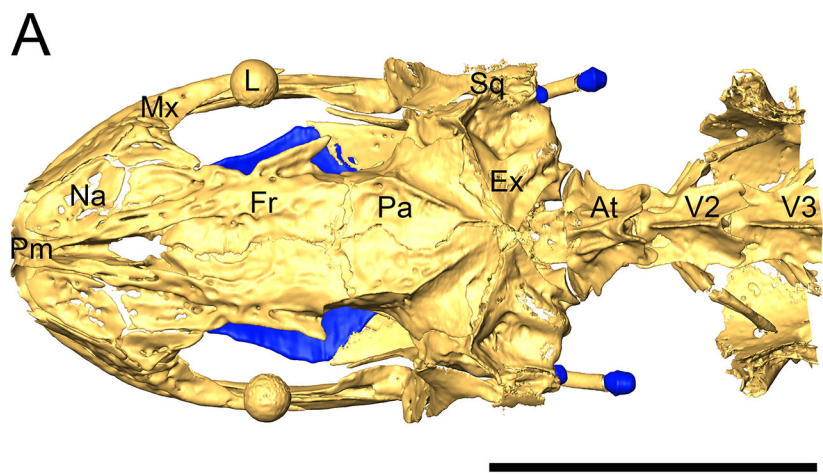
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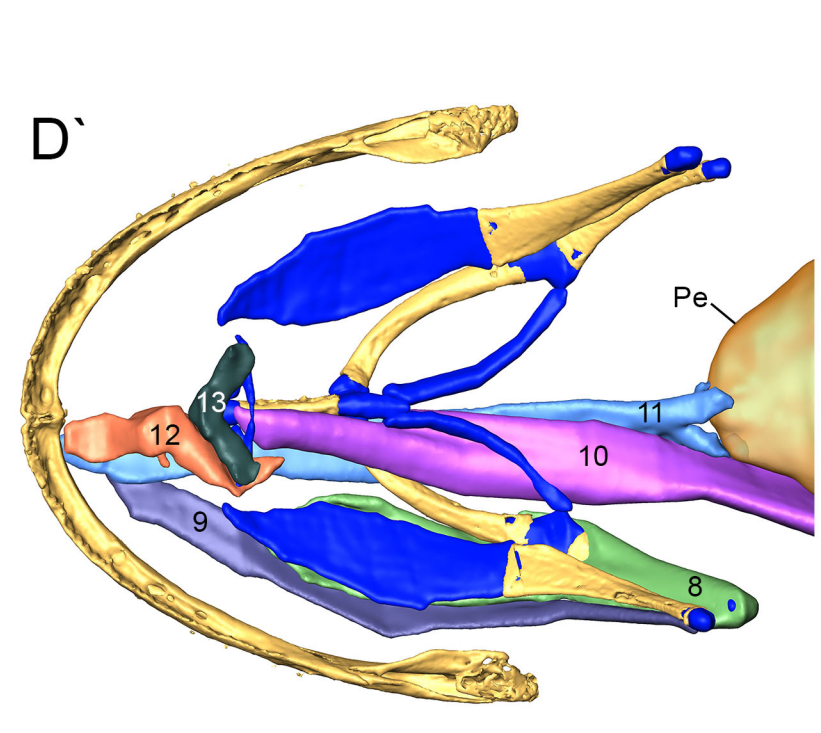
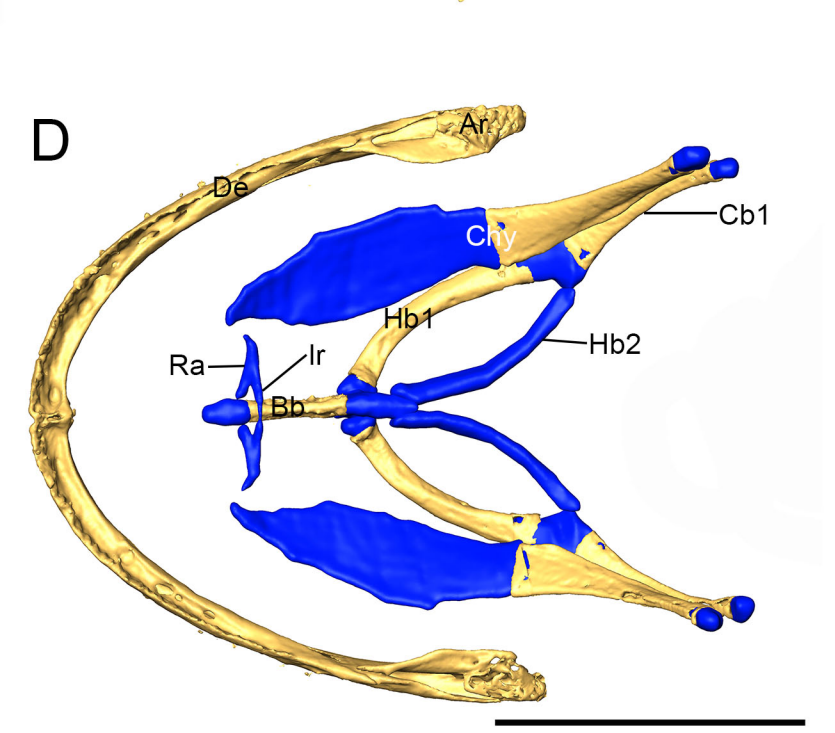
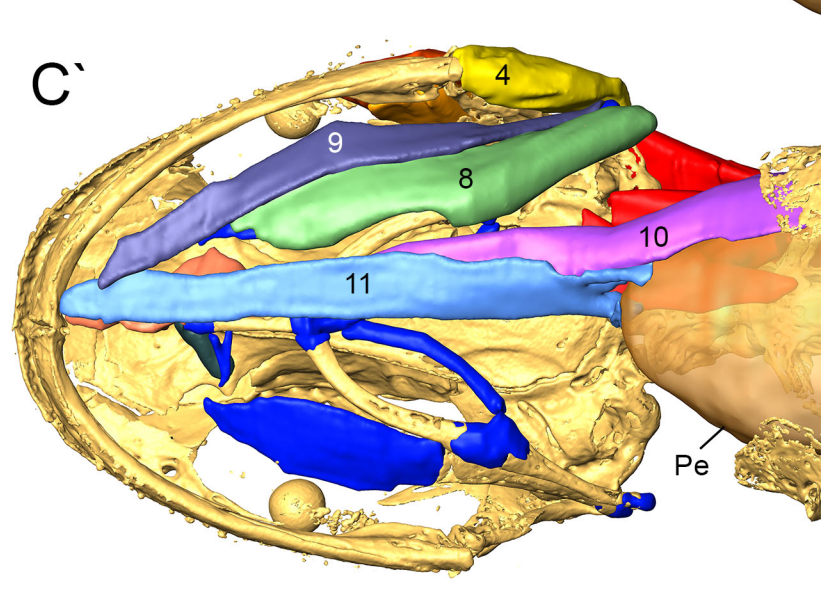
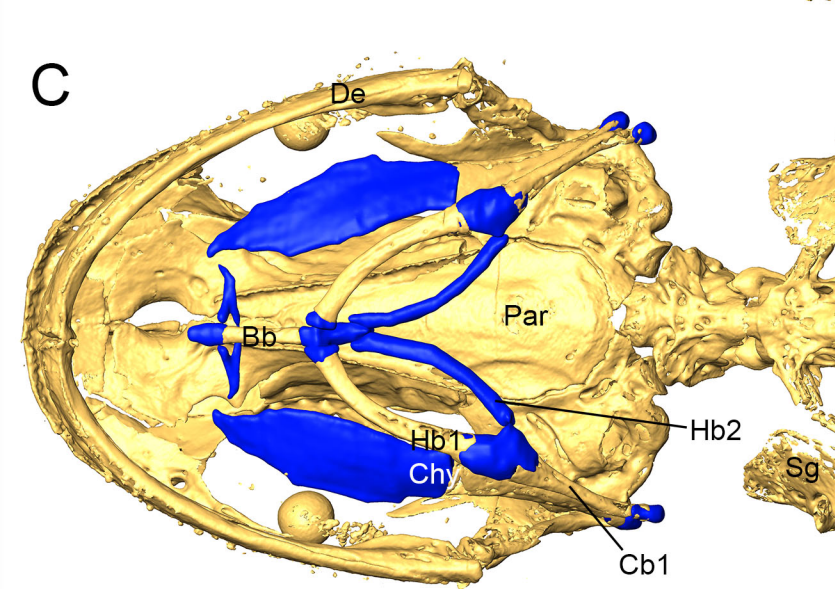
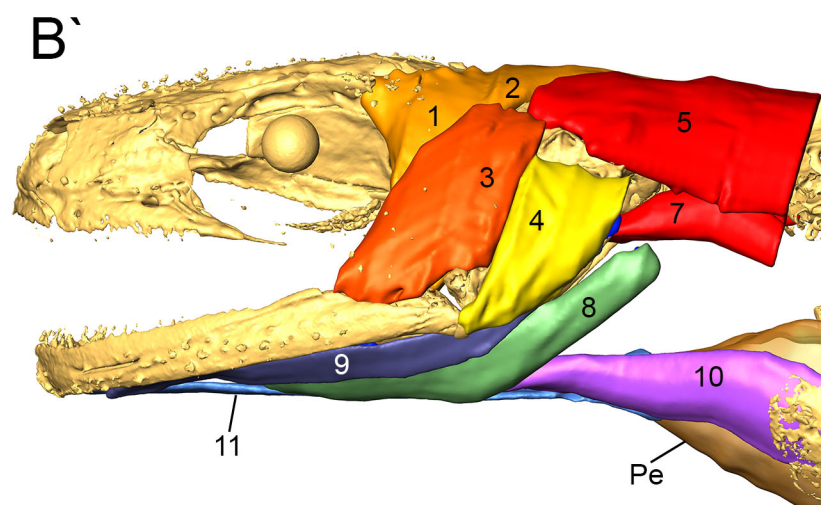
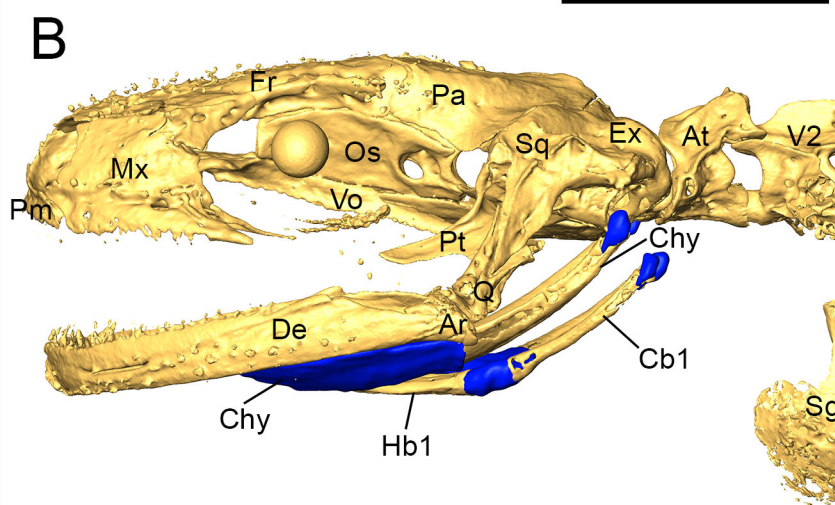
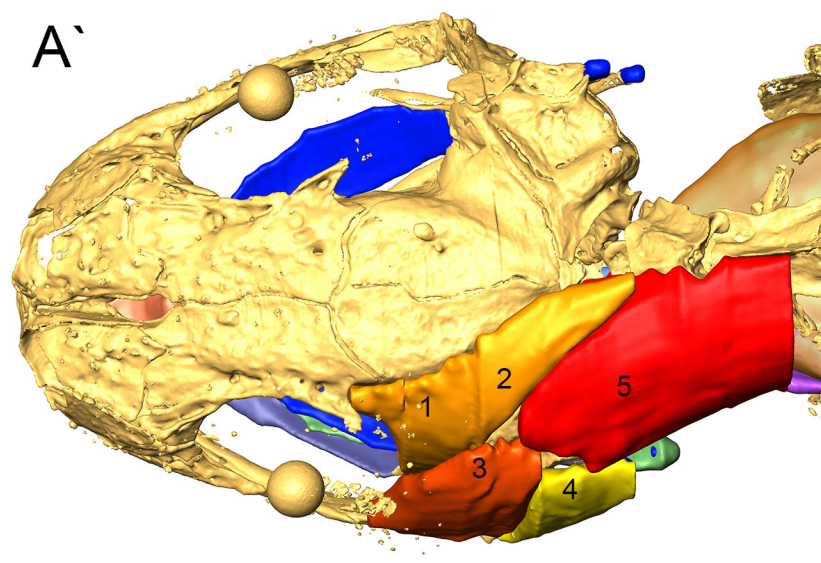
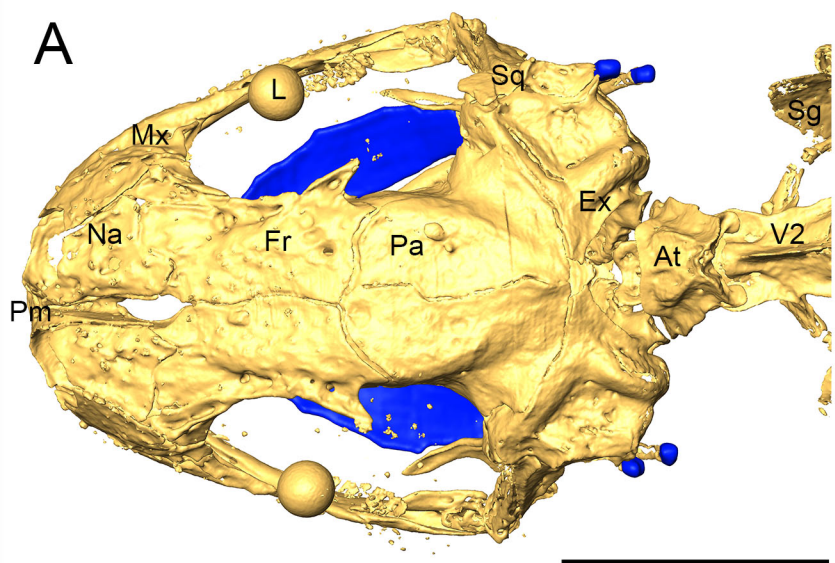
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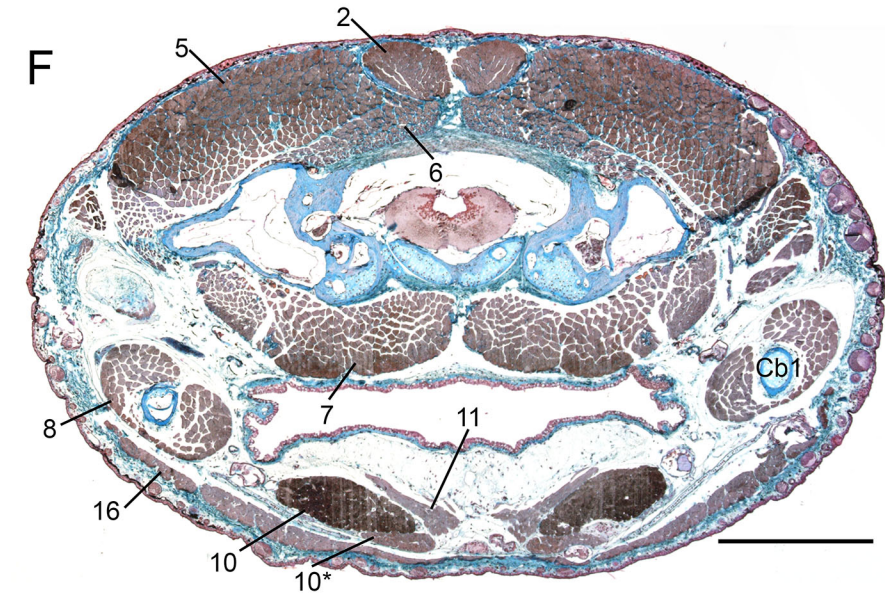
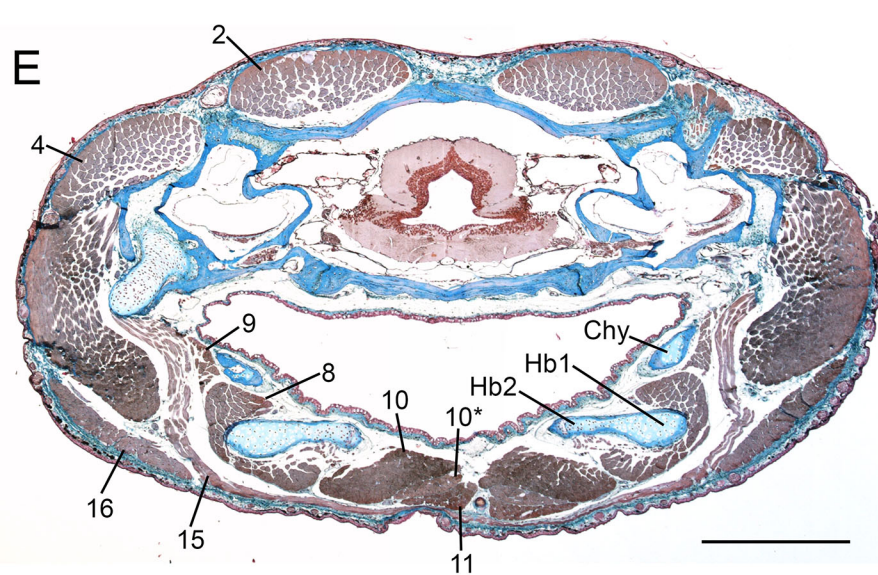
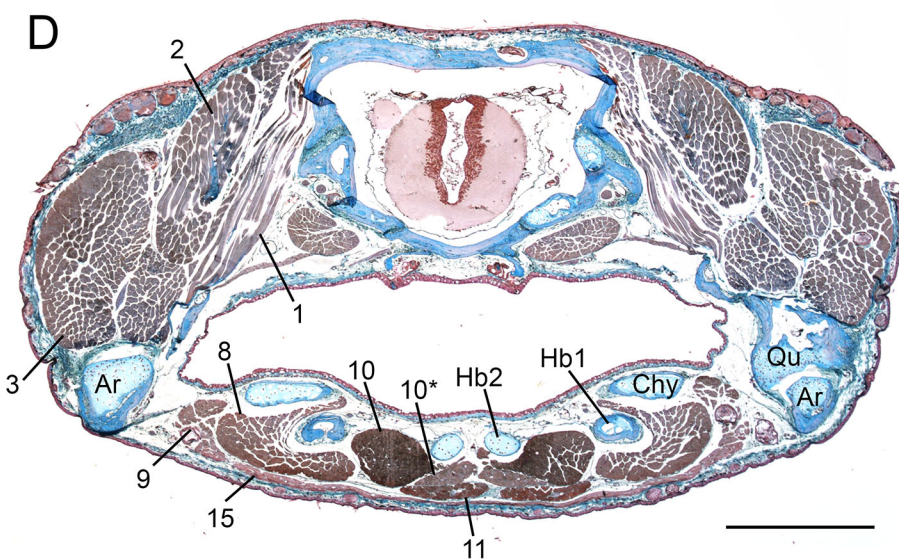
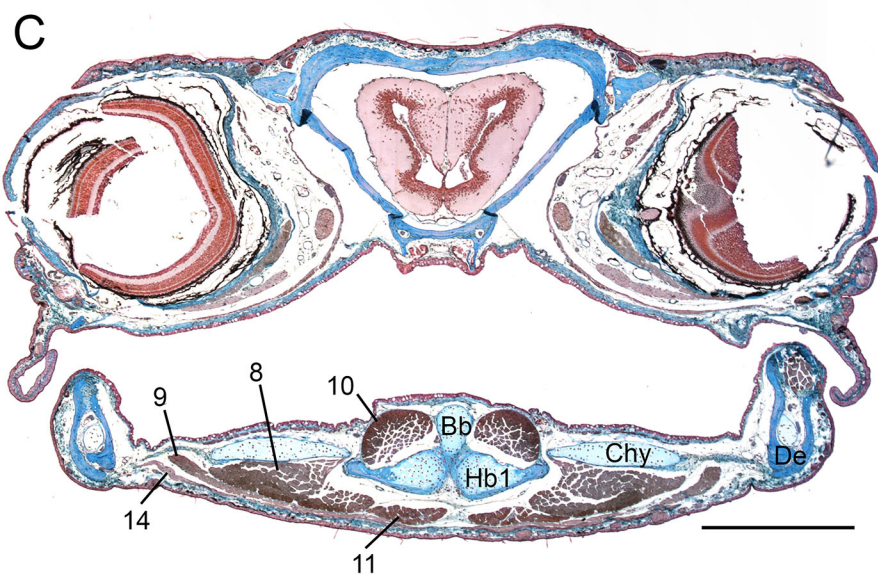
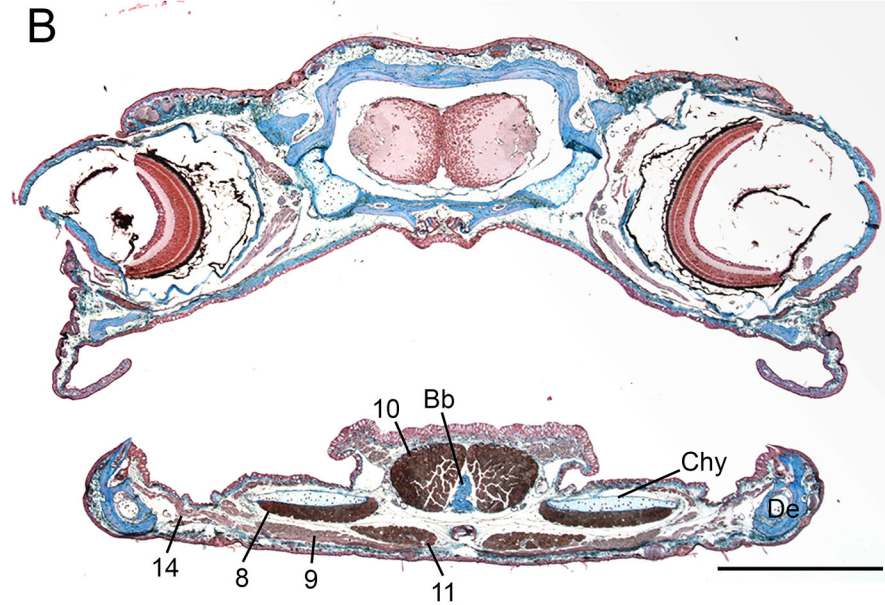
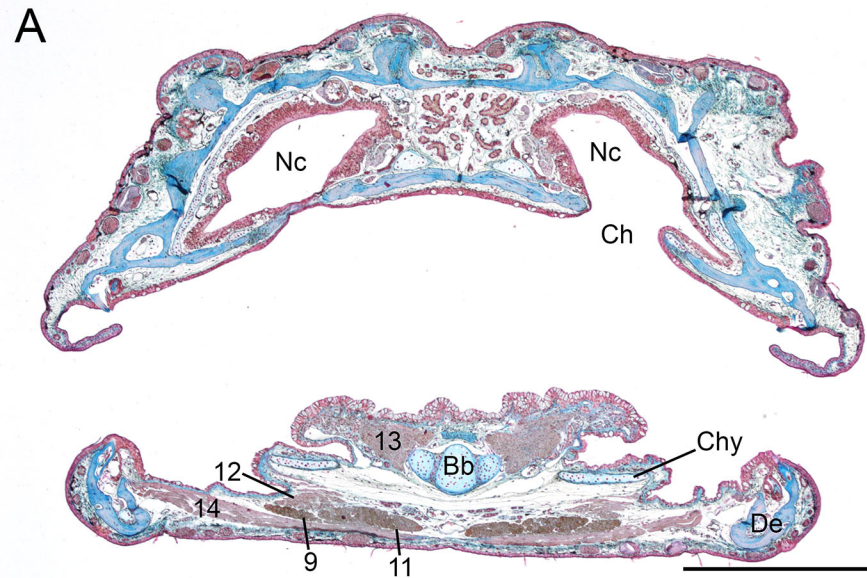
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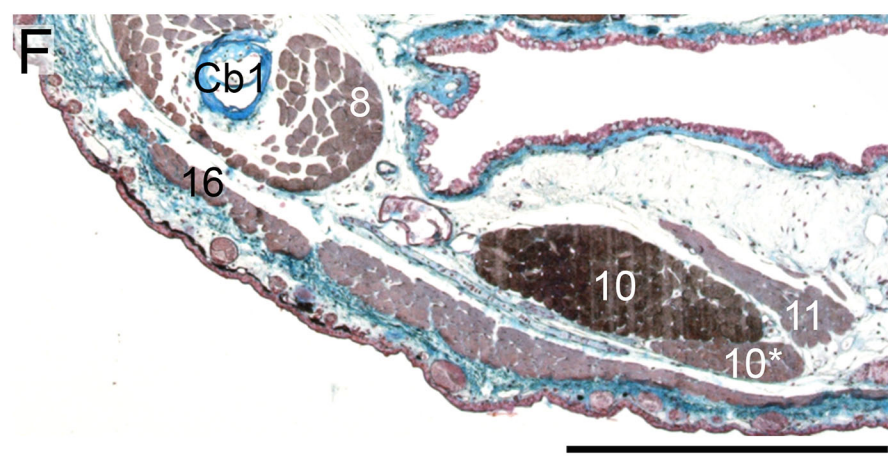
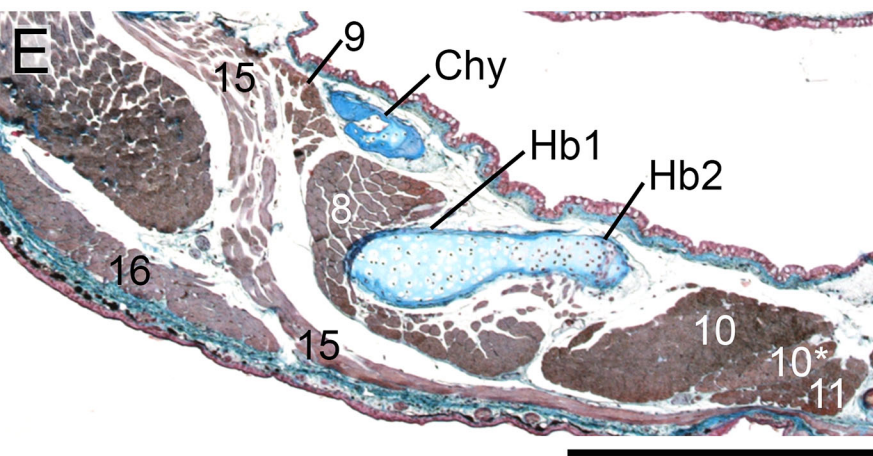
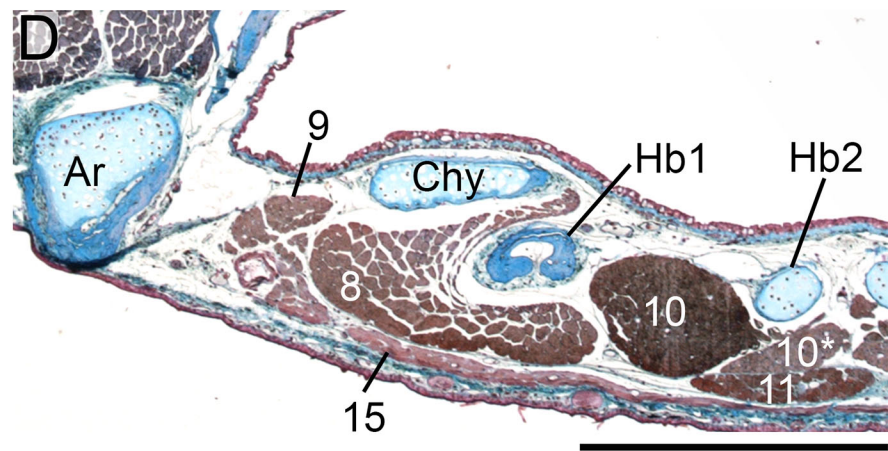
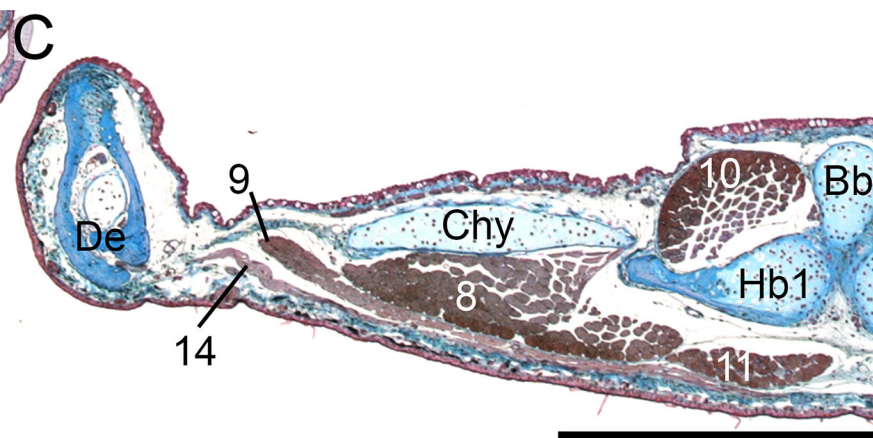
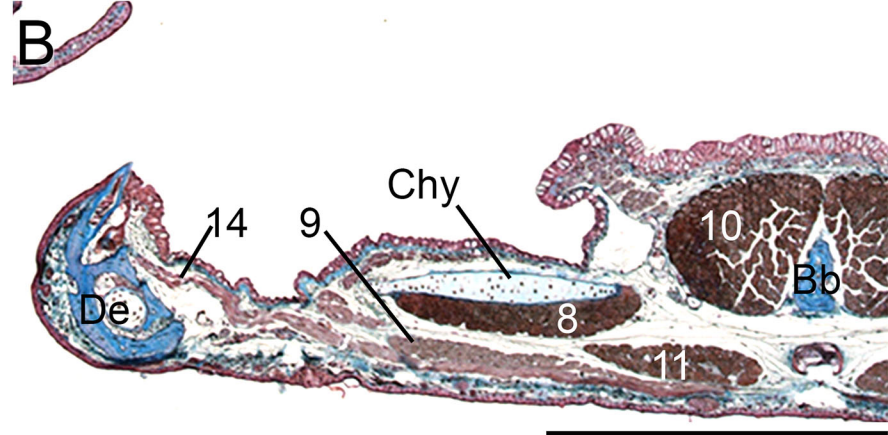
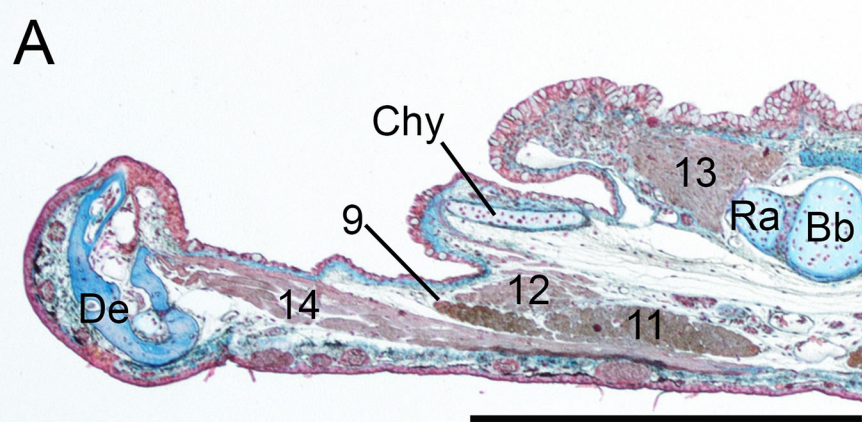
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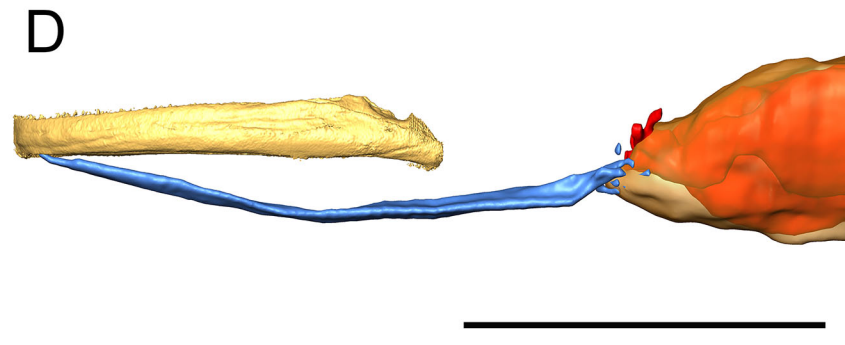
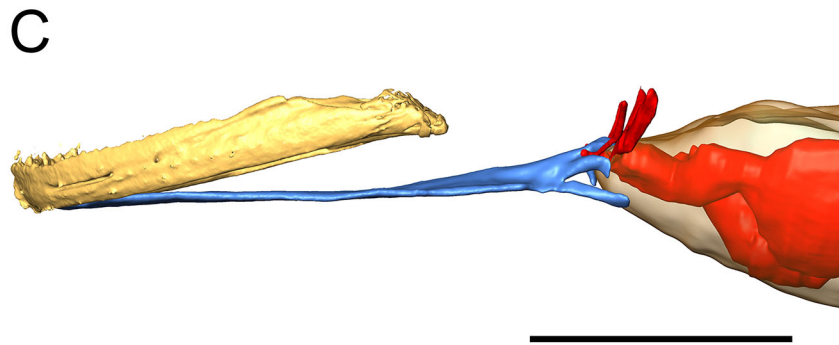
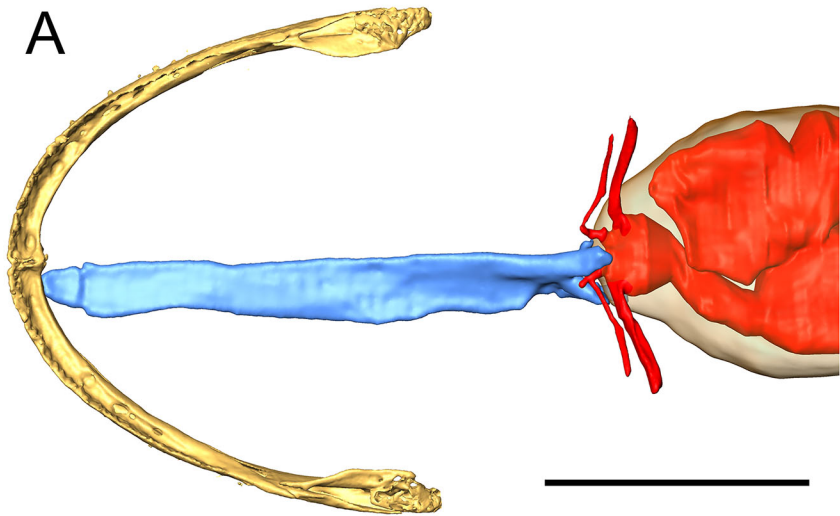
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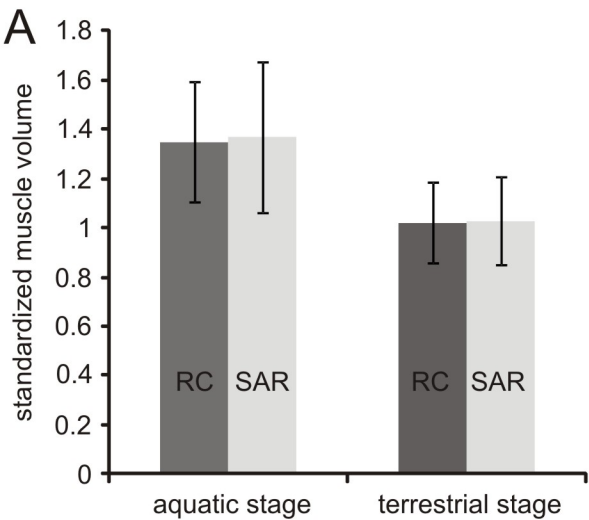








I. alpestris



L. vulgaris

