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4 **Bi-directional Modulation of Hyperpolarization-Activated Cation Currents ( $I_h$ ) by Ethanol in**  
5 **Rat Hippocampal CA3 Pyramidal Neurons**

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24 **Highlights**

- 25       • HCN channels regulate synaptic signaling, firing discharge, and membrane excitability.
- 26       • EtOH bi-directionally modulate the function of HCN in rat CA3 pyramidal neurons.
- 27       • Lower (20 mM) concentrations of EtOH enhance and higher (80 mM) reduce HCN-
- 28       mediated  $I_h$ .
- 29       • Modulation of  $I_h$  by EtOH is mimicked by forskolin and antagonized by drugs that interfere
- 30       with the AC/cAMP/PKA intracellular pathway.
- 31       • These data support the notion that HCN represent an important molecular target through
- 32       which EtOH may alter neuronal activity.

33

34 **Abstract**

35 It is widely acknowledged that ethanol (EtOH) can alter many neuronal functions, including synaptic  
36 signaling, firing discharge, and membrane excitability, through its interaction with multiple  
37 membrane proteins and intracellular pathways. Recently, it has been demonstrated that EtOH  
38 enhances the firing rate of hippocampal GABAergic interneurons and thus the presynaptic GABA  
39 release at CA1 and CA3 inhibitory synapses through a positive modulation of the hyperpolarization-  
40 activated cyclic nucleotide-gated cation channels (HCN). Activation of HCN produce an inward  
41 current, commonly called  $I_h$ , which plays an essential role in generating/regulating specific neuronal  
42 activities in GABAergic interneurons and principal glutamatergic pyramidal neurons such as those in  
43 the CA3 subregion. Since the direct effect of EtOH on HCN expressed in CA3 pyramidal neurons  
44 was not thoroughly elucidated, we investigated the possible interaction between EtOH and HCN and  
45 the impact on excitability and postsynaptic integration of these neurons. Patch-clamp recordings were  
46 performed in single CA3 pyramidal neurons from acute male rat coronal hippocampal slices. Our  
47 results show that EtOH modulates HCN-mediated  $I_h$  in a concentration-dependent and bi-directional  
48 manner, with a positive modulation at lower (20 mM) and an inhibitory action at higher (70-80 mM)  
49 concentrations. The modulation of  $I_h$  by EtOH was mimicked by forskolin and antagonized by  
50 different drugs that selectively interfere with the AC/cAMP/PKA intracellular pathway. Altogether,  
51 these data further support the evidence that HCN may represent an important molecular target through  
52 which EtOH may regulate neuronal activity.

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54 **Keywords:** HCN,  $I_h$ , ethanol, CA3 pyramidal neurons, rat hippocampus

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56  
57 **1. Introduction**

58 Ethanol (EtOH) is among the most widely consumed drugs of abuse. It can produce a complex array  
59 of neurobiological effects resulting in marked behavioral alterations in humans and laboratory

60 animals (Abrahamo et al., 2017). The actions of EtOH are time- and dose-dependent, and, following  
61 its acute exposure, they may range from euphoria and mild stimulation to sedation, motor  
62 incoordination, and cognitive impairment (Diamond and Messing, 1994; Zorumski et al., 2014; Van  
63 Skike et al., 2019). Although the mechanisms underlying ethanol's actions are not fully understood,  
64 it is believed that they might result from its capacity to interact directly and indirectly with multiple  
65 molecular targets in the central nervous system (CNS). These include neuronal membrane proteins  
66 such as ligand- and voltage-gated ion channels and intracellular signaling proteins which play relevant  
67 roles in neuronal physiology and synaptic transmission (Harris et al., 2008; Abrahamo et al., 2017;  
68 Egervari et al., 2021).

69 Recently, the hyperpolarization-activated and cyclic nucleotide-gated channels (HCN) have been  
70 suggested as a potential new molecular target for EtOH action in rodents (Tateno and Robinson, 2011)  
71 and humans (Chen et al., 2012).

72 HCN activation mediates the so-called hyperpolarization-activated current ( $I_h$ ), which was first  
73 discovered in the sinoatrial node tissue (Noma and Irisawa, 1976), where it was named "funny  
74 current" ( $I_f$ ); thereafter, a similar  $I_h$  was characterized in rod photoreceptors and hippocampal  
75 pyramidal neurons (Bader et al., 1979; Halliwell and Adams, 1982). It is a mixed cation current  
76 mediated by HCN channels that are permeable to both  $K^+$  and  $Na^+$  ions under physiological conditions  
77 (Biel et al., 2009; Wahl-Schott and Biel, 2009). HCN channels exert a crucial role in membrane  
78 excitability (see Kase and Imoto, 2012 for review) and are involved in the main control of resting  
79 membrane potential (RMP) (Pape et al., 1996; Doan et al., 1999; Lupica et al., 2001; Nolan et al.,  
80 2007). Furthermore,  $I_h$  may modulate several other neuronal processes, such as dendritic integration  
81 (Magee, 1998; 1999; 2000) and synaptic transmission (Beaumont and Zucker, 2000; Beaumont et al.,  
82 2002). HCN activity may be regulated by cyclic nucleotides, such as cAMP (DiFrancesco and  
83 Tortora, 1991) and GIRK channels (Kim and Johnston, 2015).

84 Consistent with its rewarding property, EtOH enhances the amplitude of  $I_h$  in dopaminergic neurons  
85 of the ventral tegmental area (VTA) with an associated increase in firing rate and neuronal excitation

86 (Brodie and Appel, 1998; Bassareo et al., 2019), an effect reversed by the selective blocker of HCN,  
87 ZD7288 (Okamoto et al., 2006). Furthermore, Yan et al. (2009) demonstrated that EtOH increases  
88 the firing rate also in hippocampal GABAergic interneurons, in a concentration-dependent manner,  
89 through the positive modulation of HCN function.

90 In addition, a preclinical study performed using the intermittent alcohol consumption paradigm during  
91 early adolescence shows a significant reduction of  $I_h$  and altered excitability in medial prefrontal  
92 cortex pyramidal neurons (Salling et al., 2018). Interestingly, the involvement of HCN channels in  
93 the upregulation of alcohol consumption has been demonstrated in HCN1 constitutive knockout mice  
94 (Salling and Harrison, 2020).

95 Conversely, gene knockdown of HCN2 ion channels in the VTA markedly reduces voluntary EtOH  
96 intake in alcohol-preferring rats, supporting the notion that these channels may be potential  
97 therapeutic targets for alcohol use disorders (Salinas-Luypaert et al., 2022).

98 Since the effect of EtOH on HCN was characterized mainly in hippocampal GABAergic interneurons  
99 but not on principal neurons, which also highly express HCN, the main goal of the present study was  
100 to outline the potential modulatory effects of pharmacologically active concentrations (10 - 80 mM)  
101 of EtOH on HCN-mediated  $I_h$  recorded in rat CA3 principal neurons. We also evaluated whether  
102 EtOH modulation of  $I_h$  could be relevant for membrane excitability and synaptic integration and  
103 attempted to identify a possible mechanism of action for this drug of abuse.

104

## 105 **2. Methods**

106

### 107 *2.1. Animals*

108 Male Sprague Dawley CD rats (30-40 days of age) (Charles River, Como, Italy) were bred in our  
109 animal facility and maintained under an artificial 12 h light, 12 h dark cycle (light on from 08:00 to  
110 20:00 hours), at constant temperature of  $22^\circ \pm 2^\circ\text{C}$ , and a relative humidity of 65%. They always had  
111 free access to water and standard laboratory food.

112 Animal care and handling throughout the experimental procedures were following the guidelines for  
113 care and use of experimental animals of the European Community Council (2010/63/UE L 276  
114 20/10/2010) and the Italian law (DL 04/03/2014, no. 26). The study was also approved by the  
115 Organization for Animal Care of the University of Cagliari (OPBA-UniCA). Every needed effort was  
116 made to minimize animal pain and discomfort and to reduce the number of experimental subjects.

117

## 118 *2.2. Preparation of rat hippocampal slices*

119 Animals were deeply anesthetized with 5% isoflurane and decapitated. Brains were rapidly removed  
120 from the skull and transferred into an ice-cold modified artificial cerebrospinal fluid (ACSF)  
121 containing (in mM): 220 sucrose, 3 KCl, 6 MgSO<sub>4</sub>, 0.2 CaCl<sub>2</sub>, 26 NaHCO<sub>3</sub>, 1.25 NaH<sub>2</sub>PO<sub>4</sub>, 10 D-  
122 glucose; pH 7.4 equilibrated with bubbling of 95% O<sub>2</sub> and 5% CO<sub>2</sub>. Coronal brain slices (thickness  
123 of 250 μm) were prepared using a Leica VT1200s vibratome (Leica Microsystems, Milan, Italy).  
124 Slices were then transferred immediately to a nylon net submerged in normal ACSF containing (in  
125 mM): 126 NaCl, 3 KCl, 1.25 NaH<sub>2</sub>PO<sub>4</sub>, 1 MgSO<sub>4</sub>, 2 CaCl<sub>2</sub>, 10 glucose, and 26 NaHCO<sub>3</sub>, pH 7.4,  
126 continuously bubbled with 95% O<sub>2</sub> and 5% CO<sub>2</sub>. Slices were first kept at 34°C for 40 min and then  
127 for at least 30 min at room temperature before beginning of the experiments. For all recordings, the  
128 temperature of the bath was maintained at 34 °C.

129

## 130 *2.3. Whole-cell patch-clamp recordings*

131 Each hemi-slice was transferred to the recording chamber, which was constantly perfused with ACSF  
132 at a flow rate of 2 ml/min. Neurons were visualized with an infrared-differential interference contrast  
133 microscope (Olympus). Recording pipettes were prepared from borosilicate glass (outer diameter, 1.5  
134 mm; inside diameter 0.86 mm, Sutter instruments, Novato, CA, USA) using a Flaming/Brown  
135 micropipette puller (Model P-97, Molecular Devices, Novato, CA, USA). The resistance of the  
136 pipettes ranged from 2.5 to 4.5 MΩ when they were filled with an internal solution containing (in  
137 mM): 140 potassium gluconate, 4 KCl, 0.1 EGTA, 10 HEPES, 2 MgATP, pH adjusted to 7.3 with

138 KOH. To elicit the hyperpolarization-activated currents ( $I_h$ ), voltage-clamp recordings were  
139 performed with incremental hyperpolarizing steps of 10 mV of the membrane from -65 to -115 mV.  
140 For experiments involving the full activation of HCN channels, the first step from -40 mV to -120  
141 mV was given, and subsequently, the membrane voltage was stepped back to potentials ranging from  
142 -120 to -40 mV (with 10 mV intervals). Membrane currents were recorded with an Axopatch 200-B  
143 amplifier (Axon Instruments, Foster City, CA, USA), filtered at 2 kHz, and digitized at 5 kHz, and  
144 pClamp 10.2 software (Molecular Devices, Union City, CA, USA) was used for acquisition, which  
145 allowed us to measure various characteristics of the neuronal membrane. Only recordings with access  
146 resistance of  $< 25\text{-}30\text{ M}\Omega$  were used for analysis. Series resistance was not compensated, and cells  
147 were excluded from further analysis if access resistance changed by  $>20\%$  during the recording. Off-  
148 line analysis of  $I_h$  was performed with Clampfit 10.2 software (Molecular Devices, Union City, CA,  
149 USA). The amplitude of  $I_h$  was measured as the difference between the maximum sag reached by  
150 membrane current during every voltage step compared with the steady-state level at the end of every  
151 step. In a different set of experiments, to evaluate synaptic integration, a bipolar concentric  
152 stimulation electrode (FHC, Bowdoin, ME, USA) was placed on the stratum radiatum of hippocampal  
153 CA3 subregion, and whole-cell recordings were performed in response to a train of four stimuli at 20  
154 Hz. Membrane potential was maintained at a value ranging from -75 to -90 mV to prevent action  
155 potentials. These experiments were performed in the presence of low  $[\text{Ca}^{2+}]$  to suppress facilitation  
156 from repeated stimulation, 3 mM  $\text{MgSO}_4$  to block NMDA receptors, 20  $\mu\text{M}$  bicuculline and 10  $\mu\text{M}$   
157 SCH50911 to block GABAergic transmission.

158

#### 159 *2.4. Statistics*

160 Statistical analysis was performed using Graph Pad Prism 7 (GraphPad Software, San Diego, CA).  
161 Results were analyzed using columns statistics test and one-way analysis of variance (ANOVA) with  
162 Bonferroni post hoc test. A  $P$  value  $< 0.05$  was considered statistically significant. Data are expressed  
163 as means  $\pm$  SEM.

164

## 165 2.5. Drugs

166 All drugs were bath-applied unless otherwise indicated and were purchased from Sigma (Sigma-  
167 Aldrich, Milan, Italy). Most of them were hydrochloride salts and were dissolved in ACSF to make  
168 stock solutions. Bicuculline was dissolved in dimethylsulfoxide (DMSO) >99.9%, as stock solution,  
169 and after dilution, the DMSO concentration was less than 0.1%.

170

## 171 3. Results

172

### 173 3.1. Characterization of $I_h$ in rat CA3 pyramidal neurons

174 We began our study by characterizing some of the neurophysiological properties of  $I_h$  in rat CA3  
175 pyramidal neurons. Under voltage-clamp conditions, the application of voltage steps (each of 2 s  
176 duration) from -40 to -120 mV (with 10 mV increments) elicited a slowly activating inward current  
177 whose amplitude increased as the membrane voltage was progressively raised to -120 mV (Fig. 1A).  
178 Averaged steady-state  $I_h$  amplitude measured by hyperpolarizing the cell membrane at -120 mV was  
179  $-158.3 \pm 8.96$  pA ( $n = 87$ ) which, after normalizing to the membrane capacitance, resulted in a value  
180 of  $-0.79 \pm 0.04$  pA/pF ( $n = 87$ ). I-V curve revealed that activation of  $I_h$  occurred at an apparent  
181 membrane potential higher than -70 mV, with a linear relationship between -70 to -120 mV having a  
182 calculated slope value of  $60 \pm 1.3$  pS/pF ( $n = 4$ ) (Fig. 1B). By applying the protocol proposed by  
183 Maccaferri and McBain (1996), the fully activated  $I_h$  I-V relationship and the  $I_h$  reversal potential  
184 were established and calculated as depicted in Fig. 1C and D. In detail, following a voltage step from  
185 -40 to -120 mV (2 s duration), the membrane voltage was stepped to potentials ranging from -120 to  
186 -40 mV (with 10 mV intervals). The resulting I-V relationship was linear and had a slope value of  
187  $107 \pm 4.7$  pS/pF ( $n = 4$ ). The extrapolated reversal potential was  $-31 \pm 0.8$  mV ( $n = 4$ ) (Fig. 1D). The  
188 raise phase of  $I_h$  could be fitted by a two-component exponential equation, with a fast component of  
189  $82 \pm 11$  ms ( $n = 10$ ) and a slow component of  $393 \pm 56$  ms ( $n = 10$ ). In agreement with previous



190 reports (Maccaferri and McBain, 1996; Biel et al., 2009),  $I_h$ -mediated sags were suppressed by  
191 applying either the non-selective channel blocker CsCl (5 mM) or the selective blocker ZD7288 (20  
192  $\mu$ M) (Fig. 1E).

193

### 194 3.2. Ethanol bi-directionally modulates HCN-mediated $I_h$ in CA3 pyramidal neurons

195 To investigate whether HCN-mediated  $I_h$  recorded in CA3 pyramidal neurons might be modulated  
196 acutely by the bath-perfusion of slices with EtOH, we initially tested a wide range (10 – 80 mM) of  
197 concentrations, as illustrated in Fig. 2A. Each concentration of EtOH was bath-applied for 10-15  
198 minutes during continuous voltage-clamp recordings, and  $I_h$  was evoked every 20 s by  
199 hyperpolarizing the cell membrane from the holding potential (-65 mV) to -115 mV. A bi-directional  
200 and significant concentration-dependent effect of EtOH was found [ $F_{(8,209)} = 8.332$ ;  $P < 0.0001$ ].  
201 Perfusion of 20 mM EtOH resulted in a significant increase in the amplitude of  $I_h$  ( $34.2 \pm 5.9\%$ ,  $n =$   
202  $22$ ,  $P < 0.001$ ) with respect to the baseline response (Fig. 2A-C). Conversely, 80 mM EtOH produced  
203 an opposite effect, with a significant reduction ( $53.1 \pm 9.5\%$ ;  $n = 13$ ,  $P < 0.001$ ) of  $I_h$  amplitude (Fig  
204 2A-C). All these effects appeared evident after about 10-15 minutes of continuous bath perfusion of  
205 EtOH and were relatively slowly reversible after at least 10 min of drug washout (Fig. 2D). Based on  
206 the concentration-response curve, all the subsequent experiments were conducted by testing only the  
207 concentrations of 20 and 80 mM EtOH as the most representative for the dual modulatory effect of  
208 this drug on  $I_h$ .

209 Analysis of the effects of EtOH on the kinetics of  $I_h$  showed that 20 mM EtOH slightly accelerated,  
210 whereas 80 mM significantly slowed down, the fast component of the  $I_h$  raising phase (Fig. 2E).  
211 Furthermore, neither of the two concentrations of EtOH altered the membrane potential at which  $I_h$   
212 was activated when membrane potential was stepped progressively from -40 to -120 mV (Fig. 2F).  
213 In the fully activated I-V curve, where membrane potential was first hyperpolarized to -120 mV (for  
214 2 s), and then brought to potentials from -120 to -40 mV (with 10 mV intervals), bath perfusion of 20  
215 and 80 mM EtOH altered again in an opposite manner the slope of the I-V relationship but did not

216 modify the  $I_h$  reversal potential (Control, -30 mV; 20 mM EtOH, -28 mV; 80 mM EtOH, -34 mV)  
217 (Fig. 2G).

218 In the attempt to determine whether EtOH might directly interact with HCN to bi-directionally  
219 modulate  $I_h$ , we tested the two concentrations (20 and 80 mM) of EtOH in the presence of a relatively  
220 low concentration (1  $\mu$ M) of the selective HCN blocker ZD7288. At this concentration, ZD7288  
221 reduced baseline  $I_h$  amplitude by  $65 \pm 9.7\%$  ( $n = 6$ ) (Fig. 2H). After a pre-incubation of 5 min with  
222 ZD7288, to reach its steady state effect on HCN channels, both low and high concentration of EtOH  
223 failed to significantly alter the amplitude of  $I_h$  suggesting that ZD7288 may interfere with EtOH for  
224 a direct interaction with the channel (Fig. 2H).

225

### 226 3.3. Effect of EtOH on postsynaptic integration in CA3 pyramidal neurons

227 Previous studies have well documented that HCN channels play an important role in controlling the  
228 temporal summation of post-synaptic potentials (PSPs) in different brain regions contributing actively  
229 to the integration of neuronal excitatory signals (Magee, 1999; Sheets et al., 2011; Masi et al., 2013).  
230 To elucidate the effects of EtOH on PSP summation and synaptic integration, we delivered a train of  
231 4 electrical stimuli of the same intensity and with a frequency of 20 Hz, to distal dendrites of CA3  
232 pyramidal neurons. For isolating EPSPs that were mediated specifically by the AMPA/kainate  
233 subtype of glutamate receptors, the extracellular ACSF solution was modified as to contain an  
234 increased concentration of  $Mg^{2+}$  (3 mM) to block NMDA receptors, bicuculline (20  $\mu$ M), and SCH-  
235 50911 (10  $\mu$ M), antagonists of the  $GABA_A$  and  $GABA_B$  receptor, respectively. In addition, the  $Ca^{2+}$   
236 concentration in the ACSF was lowered to 0.5 mM to prevent the event of post-synaptic facilitation  
237 inducible by repeated stimulations. Like results obtained previously in other brain regions (Masi et  
238 al., 2013), this protocol produced in CA3 pyramidal neurons a marked temporal summation of the  
239 responses, as measured by the ratio of the amplitude of the fourth related to the first EPSP (Fig. 3A).  
240 Bath application of the selective HCN blocker ZD7288 (20  $\mu$ M) induced a significant ( $P < 0.05$ ;  $n =$   
241 3) increase in temporal summation compared to baseline (Fig. 3A and B). Perfusion of EtOH (20

242 mM) led to a significant ( $P < 0.05$ ;  $n = 9$ ) decrease of the temporal EPSP summation compared to  
243 baseline (Fig. 3C, D) whereas bath application of 80 mM EtOH, similarly to the effect of ZD7288,  
244 significantly ( $P < 0.001$ ;  $n = 6$ ) increased the temporal summation ratio (Fig. 3E, F).

245

#### 246 *3.4. Effect of EtOH on neuronal firing in CA3 pyramidal neurons*

247 HCN channel activity plays an essential control in neuronal action potential firing rate, as shown  
248 previously in both midbrain dopamine and hippocampal CA3 interneurons (Cobb et al., 2003;  
249 Okamoto et al., 2006). We thus investigated whether EtOH might be capable of altering neuronal  
250 firing in CA3 pyramidal neurons through a mechanism involving the HCN channels. In our  
251 experimental conditions, when we bath-perfused hippocampal slices with normal ACSF, CA3  
252 pyramidal neurons displayed virtually no spontaneous firing activity (Jochems and Yoshida, 2013).  
253 This was expected since in acute slices, a significant portion of the original neuronal dendritic network  
254 is lost, allowing the relatively intact GABAergic axons to exert a dominant inhibitory tone against  
255 glutamatergic excitation (Okamoto et al., 2014), with the result that the level of spontaneous activity  
256 can be dramatically reduced. Consequently, action potential firing was evoked by perfusing  
257 hippocampal slices with ACSF containing 10 mM KCl, together with bicuculline (20  $\mu$ M) and  
258 kynurenic acid (1 mM) to block inhibitory GABA-A and excitatory glutamate receptors, respectively.  
259 The resulting firing activity ( $3.1 \pm 0.8$  Hz,  $n = 6$ ) was almost completely suppressed ( $-85.4 \pm 3.4\%$ ,  $n$   
260  $= 3$ ) by 20  $\mu$ M ZD 7288 (Fig. 4A and B) and again bi-directionally modulated by 20 ( $58.3 \pm 16.1\%$ ,  
261  $n = 5$ ) and 80 mM ( $-44.6 \pm 13.2\%$ ,  $n = 5$ ) EtOH as illustrated in Fig. 4A and C.

262

#### 263 *3.5. Modulation of $I_h$ by the dopamine/cAMP/PKA intracellular pathway*

264 The function of HCN is physiologically modulated by different intracellular cyclic nucleotides,  
265 including cAMP (DiFrancesco and Tortora, 1991; Chen et al., 2001; Santoro et al., 1998). It has been  
266 reported that, in the entorhinal cortex, dopamine D1 receptor-mediated elevation of cAMP and  
267 activation of the cAMP-dependent protein kinase A (PKA) can modulate neuronal excitability

268 through the interaction with HCN channels (Rosenkranz and Johnston, 2006). Consequently, we  
269 conducted a series of experiments on establishing whether the modulatory action of EtOH on  $I_h$   
270 recorded in CA3 pyramidal neurons might interact with or involve the cAMP/PKA intracellular  
271 pathway.

272 To test whether, in our experimental conditions, the cAMP/PKA pathway may regulate HCN-  
273 mediated  $I_h$  currents, dopamine (10  $\mu$ M) was bath-applied acutely for 5 min to hippocampal slices,  
274 and  $I_h$  amplitude (evoked by a step of membrane potential to -115 mV) was continuously monitored.  
275 The  $I_h$  amplitude increased upon the termination of dopamine perfusion with a significant effect ( $47$   
276  $\pm 9.5\%$  vs. baseline;  $P < 0.05$ ,  $n = 10$ ) that was apparent 15 min after dopamine perfusion and that  
277 further increased during the 40 min of recording, reaching a maximal value around 70% (Fig. 5A, B).  
278 The enhancing effect of dopamine of  $I_h$  amplitude was prevented by the pre-application for 15 min  
279 of either the D1 receptor antagonist SCH23390 (5  $\mu$ M), or the selective adenylyl cyclase inhibitor  
280 2',3'-dideoxyadenosine (DDA) (Kim et al., 1994; Ribas Pereira et al., 2015) at the concentration of  
281 10  $\mu$ M. The latter compound also induced per se a modest, not statistically significant, reduction of  
282  $I_h$  amplitude, suggesting a relatively low adenylyl cyclase basal activity (Fig. 5A, B).

283 The activity of adenylyl cyclase can be directly stimulated with forskolin (Alasbahi and Melzig,  
284 2012), and this effect results in an enhancement of HCN activity (Dibattista et al., 2008). Forskolin  
285 was then tested in CA3 pyramidal neurons at different concentrations, ranging from 0.1 to 30  $\mu$ M. As  
286 shown in Fig. 6, bath-application of 0.1  $\mu$ M forskolin increased by  $36 \pm 11.2\%$  ( $P < 0.05$ ,  $n = 5$ ) the  
287 amplitude of  $I_h$  above baseline value. On the contrary, at the concentration of 30  $\mu$ M, forskolin had  
288 an opposite effect, reducing the same parameter by  $28 \pm 7.4\%$  ( $P < 0.05$ ,  $n = 4$ ) (Fig. 6A). The  
289 bidirectional effect of forskolin was abolished in the presence of the selective inhibitor of PKA, H89  
290 (Marunaka et al., 2003). Interestingly, pre-application for 15 min of 10  $\mu$ M H89, which did not affect  
291 the amplitude of  $I_h$  by itself was able to block the modulatory action of low concentration (0.1  $\mu$ M)  
292 of forskolin but failed to modify that produced by higher (30  $\mu$ M) concentrations (Fig. 6C).

293 We then tested whether the modulatory actions of EtOH on  $I_h$  would be modified during the co-  
294 application of DDA or H89. As shown in the Fig 7, the effect of 20 mM EtOH ( $29 \pm 7.8\%$  vs. baseline,  
295  $n = 4$ ,  $P < 0.05$ ), and 80 mM EtOH ( $-44 \pm 11.8$  vs. baseline,  $n = 6$ ,  $P < 0.001$ ) were completely  
296 occluded by both DDA and H89.

297

#### 298 **4. Discussion**

299 Previous studies have suggested that HCN channels expressed in mouse midbrain dopamine neurons  
300 (Brodie and Appel, 1998) and rat hippocampal interneurons (Yan et al., 2009) are potential molecular  
301 targets for the EtOH actions at pharmacological concentrations (Okamoto et al., 2006; Yan et al.,  
302 2009). In the present study, we have assessed the acute effects of EtOH on HCN-mediated  $I_h$  in rat  
303 CA3 pyramidal neurons, which have been shown to express HCN (Zhang et al., 2016), and attempted  
304 to pinpoint the possible molecular mechanisms underlying such action.

305 Our results show that at the relatively lower concentration of 20 mM, EtOH significantly increases  $I_h$   
306 amplitude, whereas concentrations above 60 mM modulate the  $I_h$  sag negatively, suggesting a  
307 potential biphasic modulatory activity of this drug on the function of HCN channels. The positive  
308 modulation induced by the 20 mM EtOH observed in our study agrees with other reports performed  
309 in hippocampal and VTA neurons (Okamoto et al. 2006; Yan et al., 2009). While we are not in line  
310 comparing the effects of higher EtOH, which in those same reports was shown that 100 mM EtOH  
311 increased  $I_h$  amplitude in both midbrain dopaminergic neurons and hippocampal GABAergic  
312 interneurons (Okamoto et al., 2006; Yan et al., 2009). These observations suggest the hypothesis that  
313 the effects of EtOH on HCN function might be dependent on the brain area as well as the neuronal  
314 subpopulation studied and be also related, at least in part, to the differential expression of HCN  
315 isoforms in different neuronal types, such as those reported in the hippocampal formation (Robinson  
316 and Siegelbaum, 2003). In particular, the HCN1 subunit is mainly expressed in CA1 pyramidal  
317 neurons, while CA3 pyramidal neurons show a higher expression of the HCN2 isoform, differently

318 by GABAergic interneurons that indistinctly express both isoforms (Robinson and Siegelbaum,  
319 2003).

320 HCN channels are strongly involved in the control of synaptic integration and EPSP summation  
321 of both inhibitory and excitatory signals (Magee, 1999). EPSP summation is a process that is  
322 regulated by the remarkable distribution of HCN channels along the neuronal dendritic arborization.  
323 This critical role of HCN has been demonstrated in several neuronal subpopulations, such as the  
324 hippocampal pyramidal CA1 pyramidal cells (Magee, 1999). It also has been reported that a decrease  
325 in HCN expression and function leads to an enhancement of EPSP summation (Magee, 1999). In our  
326 data collected in CA3 pyramidal neurons, the summation of 4 electrically evoked AMPAR-mediated  
327 EPSPs was markedly enhanced in the presence of the HCN selective blocker ZD7288, an effect that  
328 is mimicked by the perfusion of higher concentrations of EtOH, suggesting a common antagonistic  
329 action of both drugs on HCN channels. Interestingly, consistent with the increase in  $I_h$  amplitude, 20  
330 mM EtOH produced a parallel decrease in EPSP summation. Furthermore, because our recordings  
331 were performed in the presence of antagonists of GABAA and GABAB receptors, as well as in the  
332 presence of high  $Mg^{2+}$  concentrations to block NMDA-receptors, we can exclude that these  
333 modulatory effects are dependent on the interaction between EtOH and inhibitory or additional  
334 glutamatergic excitatory inputs. This finding supports the idea that pharmacological or molecular  
335 ablation of HCN-mediated  $I_h$  may lead to a facilitation of dendritic temporal summation (Kim et al.,  
336 2012). In line with our findings, Masi and coworkers demonstrated that 1-methyl-4-phenylpyridinium  
337 (MPP+), which markedly reduces HCN function, enhances the summation of EPSP in dopamine  
338 neurons onto substantia nigra pars compacta (Masi et al., 2013).

339 Since the activity of HCN is regarded as a contributing factor in the fine-tuning of neuronal  
340 excitability (Magee, 1998;1999; Chen et al., 2001; Beck and Yaari, 2008; Brager and Johnston, 2007),  
341 we tested whether the effect of EtOH on action potential firing in hippocampal CA3 pyramidal  
342 neurons might involve its interaction with HCN channels. The idea that HCN channels exert a fine-  
343 tuning of neuronal firing rate was here further confirmed, as the perfusion of the selective HCN

344 blocker, ZD-7288 (20  $\mu$ M), almost completely inhibited the  $K^+$ -induced action potential firing activity  
345 recorded in CA3 neurons. The use of ACSF containing high  $K^+$  (10 mM) was crucial because in our  
346 experimental condition, and in line with other reports (Jochems and Yoshida, 2013), CA3 pyramidal  
347 cells do not present a spontaneous and persistent firing activity in slice recordings. In such conditions,  
348 EtOH (20 mM) increased the  $K^+$ -induced firing frequency, while higher concentrations (80 mM)  
349 reduced this parameter. The modulatory activity of EtOH on neuronal firing is well established by  
350 previous experimental evidence. More precisely, EtOH reduces the firing rate of pyramidal neurons  
351 with regular activity in the somatosensory cortex (Sessler et al., 1998) and inhibits neuronal activity  
352 in pyramidal cells of the prefrontal cortex (Tu et al., 2007). Other evidence demonstrated that acute  
353 EtOH perfusion enhanced neuronal firing in dopaminergic cells in VTA (Brodie and Appel, 1998;  
354 Appel et al., 2003; Okamoto et al., 2006; Bassareo et al., 2019), in which HCN channels are strongly  
355 expressed and can regulate the firing properties of these neurons. Because EtOH can modulate the  
356 firing rate of principal neurons also by interacting with the GABAergic system (Wakita et al., 2012),  
357 in our experimental conditions we excluded this effect using the GABAA receptor antagonist  
358 bicuculline, together with the ionotropic glutamate receptor antagonist kynurenic acid, to isolate the  
359 potential effect of EtOH onto HCN channels.

360 Intracellular messengers, such as cyclic nucleotides and protein kinases, are recognized as  
361 potent modulators of HCN function, and PKA-dependent phosphorylation sites are present in HCN  
362 channel structure (Santoro et al., 1998). In agreement with these findings, bath perfusion of dopamine  
363 increased the amplitude of  $I_h$  also in CA3 pyramidal neurons, and this effect was blocked by the  
364 selective D1R antagonist SCH23390, suggesting that the dopamine-induced modulation of HCN  
365 occurs through the activation of D1Rs. Our data are in accordance with previous reports  
366 demonstrating that dopamine positively enhances  $I_h$  amplitude in mPFC pyramidal neurons through  
367 D1R stimulation (Gamo et al., 2015). In agreement, the dopamine effect on  $I_h$  amplitude is prevented  
368 by bath application of adenylyl cyclase inhibitor DDA. Interestingly, DDA failed to induce any  
369 significant effect when perfused alone, suggesting that the basal activity of adenylyl cyclase maintains

370 intracellular levels of cAMP that may not be sufficient for tonic activation of HCN function.  
371 Furthermore, as expected, the adenylyl cyclase stimulator forskolin mimics the effect showed by  
372 dopamine when low concentration (0.1  $\mu$ M) was tested. However, as similarly observed with EtOH,  
373 when a higher concentration (up to 30  $\mu$ M) of forskolin was applied to the slice, an opposite inhibitory  
374 action on  $I_h$  was detected. The pharmacological profile showed by EtOH was thus like that observed  
375 for forskolin. In addition, perfusion of the selective inhibitor of cAMP-dependent protein kinases A  
376 (PKA), H89, completely suppressed the modulatory activity on HCN channels evoked by forskolin  
377 suggesting that the inhibition of forskolin-triggered intracellular cascade may prevent its action on  
378 HCN. Furthermore, we also found that DDA and H89 could antagonize the modulatory effects of  
379 EtOH at lower and higher concentrations in a similar way as forskolin, suggesting that the  
380 cAMP/AC/PKA intracellular pathway may represent a potential target for EtOH-induced modulation  
381 of HCN channels. It is well known that adenylyl cyclase is a relevant molecular target of EtOH actions  
382 (Tabakoff et al., 2001; Kou and Yoshimura, 2007). In line with our data, EtOH showed two opposing  
383 effects on the activity of adenylyl cyclase, exerting an enhancement at lower concentrations and an  
384 inhibition at higher (Gupta et al., 2013). This evidence strongly supports our results where low EtOH  
385 concentrations enhance HCN-mediated  $I_h$  currents, whereas high concentrations inhibit them with a  
386 mechanism that involves AC. These data prompted us to speculate that the absolute intracellular  
387 levels of cAMP may be crucial in the modulatory action of HCN function, where lower concentrations  
388 facilitate HCN activity and higher exert opposite effects.

389

## 390 **5. Conclusions**

391 Overall, our findings demonstrate that EtOH may exert its modulatory effect on CA3 pyramidal  
392 neurons by interacting with HCN channels and, in turn, with the downstream intracellular pathways,  
393 an effect that is dependent on the concentration. This dual pharmacological profile showed by EtOH  
394 (low vs high concentrations) appears to be relevant in many neurophysiological aspects that involve  
395 the activity of HCN channels, such as neuronal firing and temporal summation of postsynaptic



396 potentials. Finally, although our results lack to demonstrate a direct interaction of EtOH with HCN  
397 channels, EtOH may regulate HCN function indirectly through a molecular mechanism that appears  
398 to involve its interaction with the AC/cAMP/PKA intracellular pathway.

399

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404

#### 405 **CRedit autorship contribution statement**

406 **Valentina Licheri**: Investigation - writing – original draft. **Giuseppe Talani**: data curation - methodology.

407 **Giovanni Biggio**: supervision. **Enrico Sanna**: conceptualization – writing & editing

408

#### 409 **Declaration of competing interest**

410 The authors have no competing interests to declare that are relevant to the content of this article.

411

#### 412 **Data availability**

413 Data will be made available on request.

414

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417 **References**

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## 690 **Figure captions**

691

692 **Figure 1. Neurophysiological and pharmacological properties of HCN-mediated  $I_h$  recorded in**  
693 **rat CA3 pyramidal neurons. (A)** Representative traces of  $I_h$  evoked in response to 2-s steps of  
694 increasing membrane hyperpolarization from the holding potential of -40 mV to -120 mV. **(B)**  
695 Activation curve of  $I_h$ . The data, derived from experiments as in (A), are expressed as  $I_h$  amplitude  
696 normalized for cell capacitance, and are averaged from 4 different neurons  $\pm$  SEM. **(C)** Representative  
697  $I_h$  traces evoked by the fully activated protocol applied to a single neuron. The open channel  $I$ - $V$  curve  
698 is obtained by first hyperpolarizing the cell membrane to -120 mV for 2 s, to fully activate  $I_h$ , and  
699 subsequently setting the holding potential to the different test potentials (from -120 to -40 mV). **(D)**  
700 The fully activated  $I_h$   $I$ - $V$  curve, as in (C), was used to extrapolate the reversal potential, indicated by  
701 the arrow. **(E)** Representative traces showing the inhibitory effects of CsCl (5 mM) and the selective  
702 HCN blocker ZD7288 (20  $\mu$ M) on  $I_h$ . The protocol of stimulation is indicated below the traces in the  
703 middle.

704

705 **Figure 2. Concentration-dependent effects of EtOH on  $I_h$  recorded in rat CA3 pyramidal**  
706 **neurons. (A)** Bar graph summarizing the changes in  $I_h$  amplitude produced by different  
707 concentrations of EtOH (10 - 80 mM) bath-perfused for 10 min. Data are expressed as the mean  
708 percent of baseline  $\pm$  SEM. **(B)** Representative traces showing the effects of 20 and 80 mM EtOH on  
709 the amplitude of  $I_h$  evoked by a hyperpolarizing step to -115 mV. **(C)** The scatter graph illustrates

710 the distribution of individual values relative to the effects of 20 and 80 mM EtOH, as in (A). **(D)** The  
711 graph shows the time-dependent effect of the bath-perfusion of 80 mM EtOH on  $I_h$  amplitude and its  
712 reversal during washout. **(E)** Effects of 20 and 80 mM EtOH on  $I_h$  rise time. Data are expressed as  
713 mean percent of baseline  $\pm$  SEM. **(F-G)** Effects of 20 and 80 mM EtOH on the  $I_h$  activation curve (F)  
714 and fully activated  $I_h$   $I$ - $V$  curve (G). **(H)** Bar graph summarizing the effects of 20 and 80 mM EtOH  
715 in the absence and presence of the HCN antagonist ZD7288 (20  $\mu$ M). The number of cells analyzed  
716 is indicated in each bar. \* $P$  < 0.05, \*\* $P$  < 0.01, \*\*\* $P$  < 0.001 vs. baseline.

717

718 **Figure 3. Effects of EtOH on temporal summation of evoked postsynaptic potentials recorded**  
719 **in hippocampal CA3 pyramidal neurons.** Representative traces of evoked dendritic EPSP in the  
720 presence of ZD7288 (20  $\mu$ M) (A), EtOH (20 mM) (C), and EtOH (80 mM) (E). **B, D, and F** show  
721 the relative scatter plots of the quantitative effects of ZD7288 and EtOH on temporal summation.  
722 Data are expressed as ratio between EPSP4 and EPSP1. \* $P$  < 0.05, \*\* $P$  < 0.001 vs. control (n = 3 –  
723 9 cells).

724

725 **Figure 4. EtOH and ZD7288 modulation of K<sup>+</sup>-evoked firing activity in hippocampal CA3**  
726 **pyramidal neurons.** (A) Sample traces show the effect of the perfusion with ACSF containing 10  
727 mM KCl (control), 20  $\mu$ M bicuculline, and 1 mM kynurenic acid, on firing rate of CA3 pyramidal  
728 neurons in the cell-attached configuration, and subsequently the changes produced by ZD7288 (20  
729  $\mu$ M), EtOH (20 mM), and EtOH (80 mM). **(B)** and **(C)** Time-course of the effects of ZD7288 and the  
730 two concentrations of EtOH on K<sup>+</sup>-evoked firing rate. Data are expressed as percent change from  
731 control. (n = 3 – 5 cells).

732

733 **Figure 5. Dopamine enhances  $I_h$  amplitude in CA3 pyramidal neurons.** (A) Representative traces  
734 of  $I_h$  recorded at -115 mV during bath-perfusion of the adenylate cyclase inhibitor DDA (10  $\mu$ M),  
735 dopamine (DA, 10  $\mu$ M), and the co-application of DA with the selective D1 receptor antagonist

736 SCH23390 (5  $\mu$ M), or DDA. **(B)** The graph illustrates the time-dependent changes in  $I_h$  amplitude in  
737 the presence of the different drugs. DDA or SCH23390 were pre-incubated for 15 min before the co-  
738 application with DA for further 5 min. Data are expressed as mean percent of the baseline value  $\pm$   
739 SEM (n = 3-10). \* $P$  < 0.01.

740

741 **Figure 6. Modulation of  $I_h$  amplitude by forskolin in CA pyramidal neurons.** **(A)** Representative  
742 traces of  $I_h$  in the absence and presence of 0.1 and 30  $\mu$ M forskolin. **(B)** Bar graphs showing the dose-  
743 dependent effects of forskolin on  $I_h$  modulation; data are expressed as mean percent of the baseline  
744 value  $\pm$  SEM. **(C)** Representative traces of  $I_h$  illustrating the effects of the PKA inhibitor H89 on 0.1  
745 and 30  $\mu$ M forskolin. **(D)** Bar graph summarizing the effects of the two concentrations of forskolin  
746 in the absence and presence of H89. The number of cells analyzed is indicated in each bar. \* $P$  < 0.05  
747 vs. baseline; # $P$  < 0.001.

748

749 **Figure 7. The biphasic modulation of  $I_h$  by EtOH is blocked by DDA and H89.** Representative  
750 traces showing the effects of DDA and H89 on the modulatory action exerted by 20 mM EtOH **(A)**  
751 and 80 mM EtOH **(B)** on  $I_h$  amplitude. **(C)** Bar graph summarizing the effects of 20 and 80 mM EtOH  
752 in the absence or presence of DDA and H89. The number of cells analyzed is indicated in each bar.  
753 \* $P$  < 0.05; \*\* $P$  < 0.01 vs. control.

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Figure 1

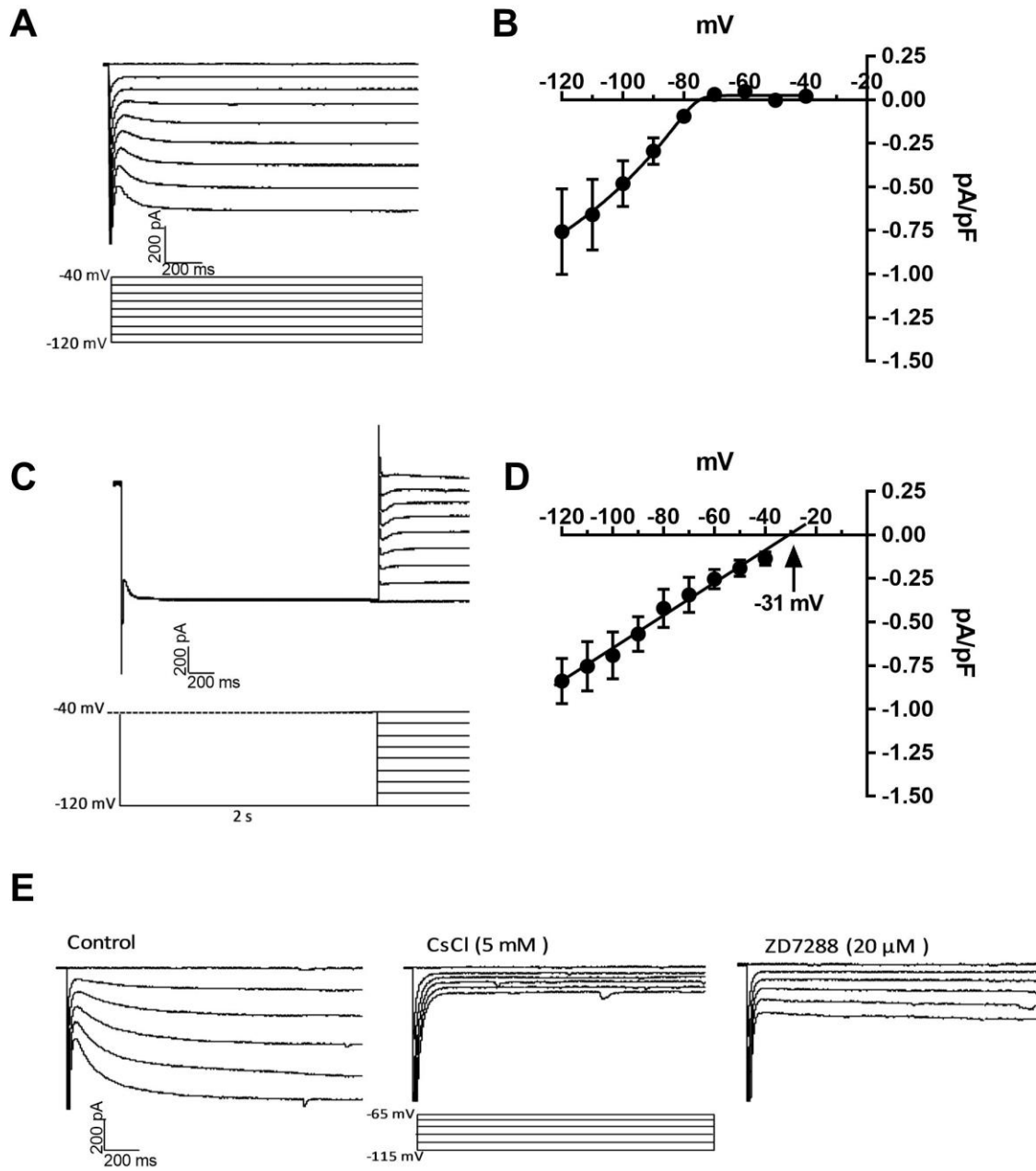


Figure 2

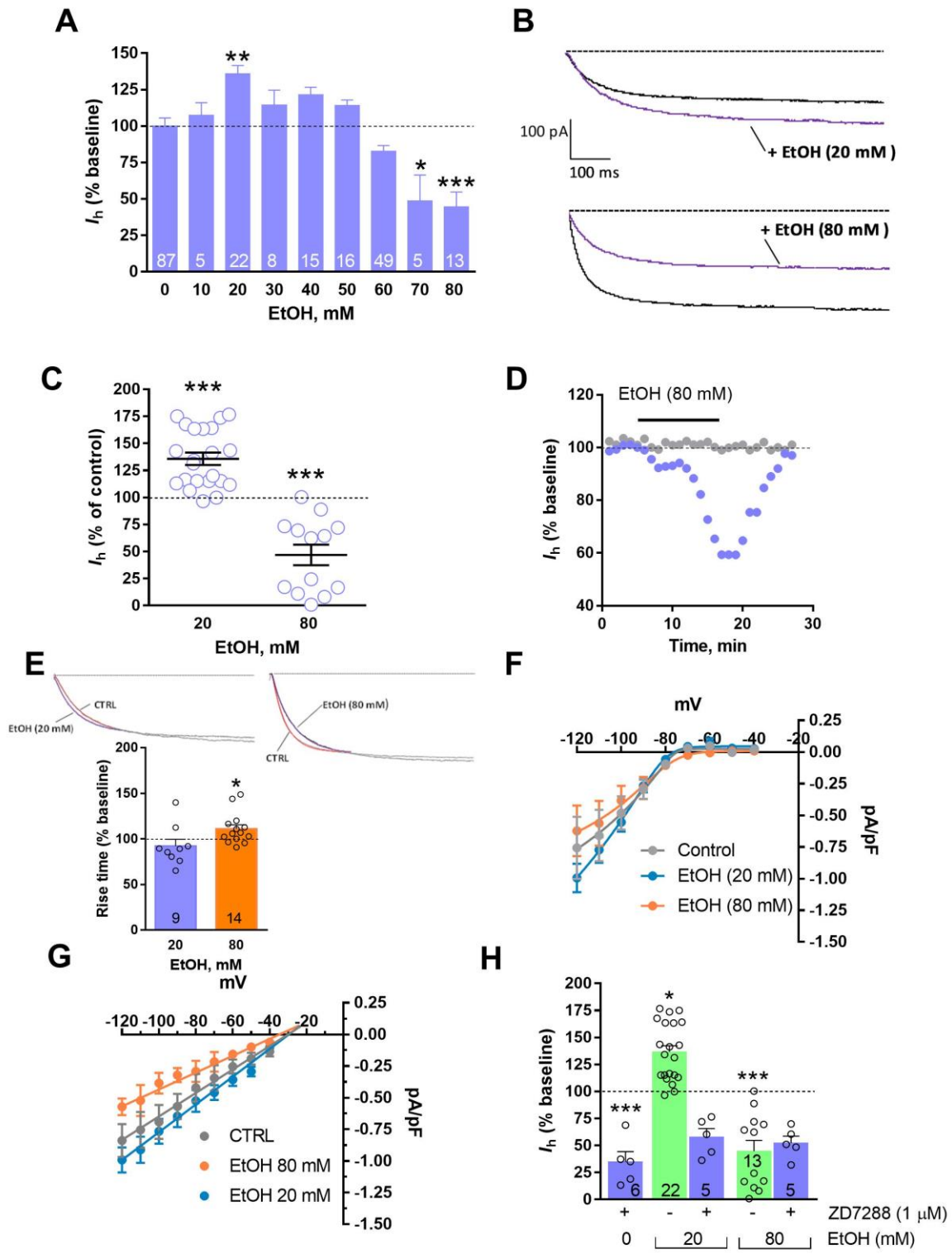
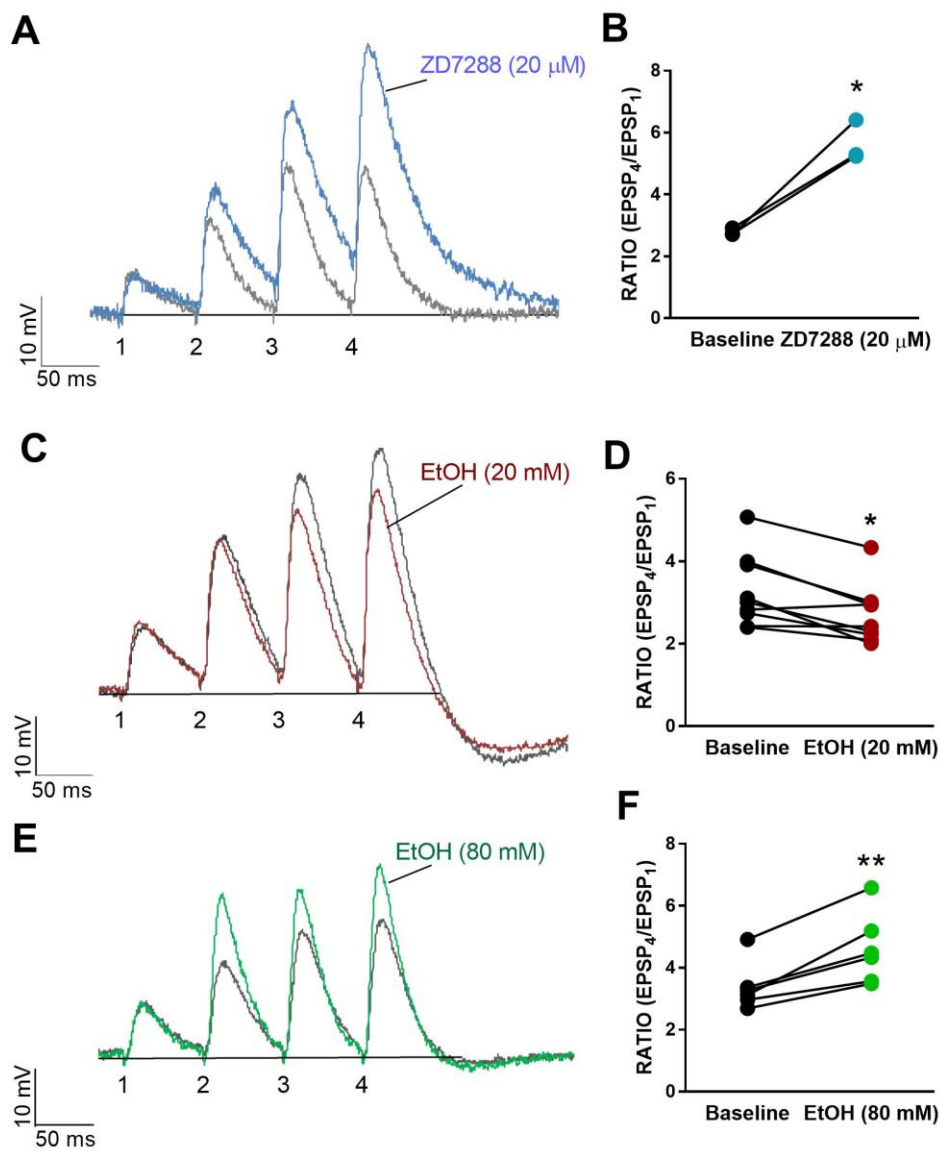


Figure 3



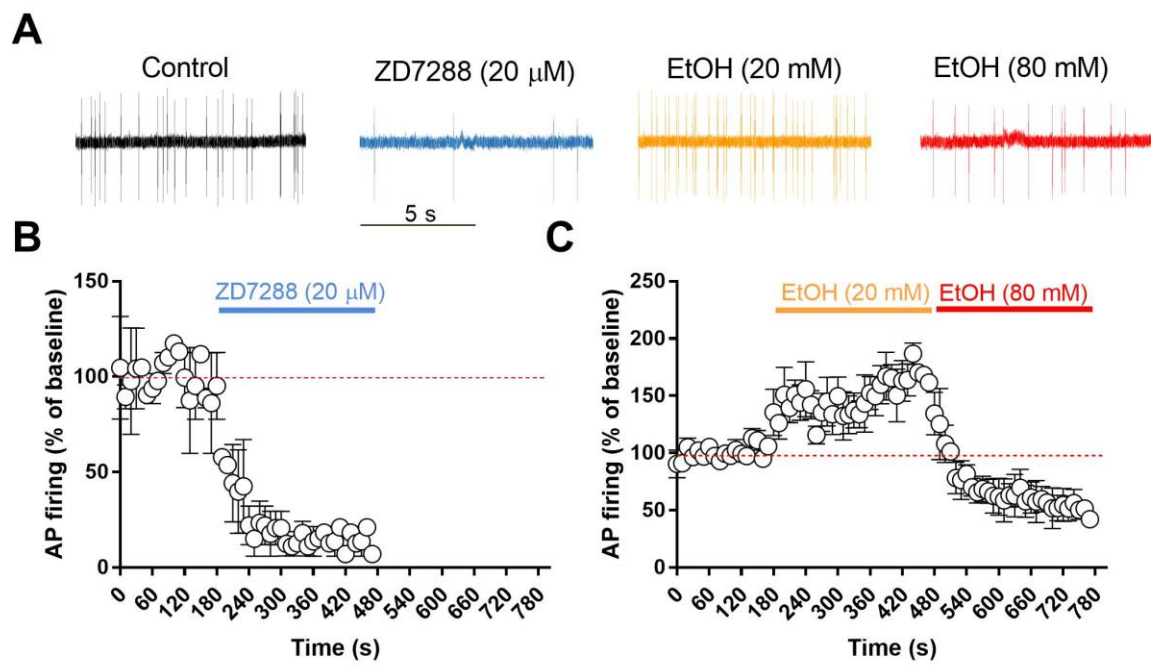




Figure 5

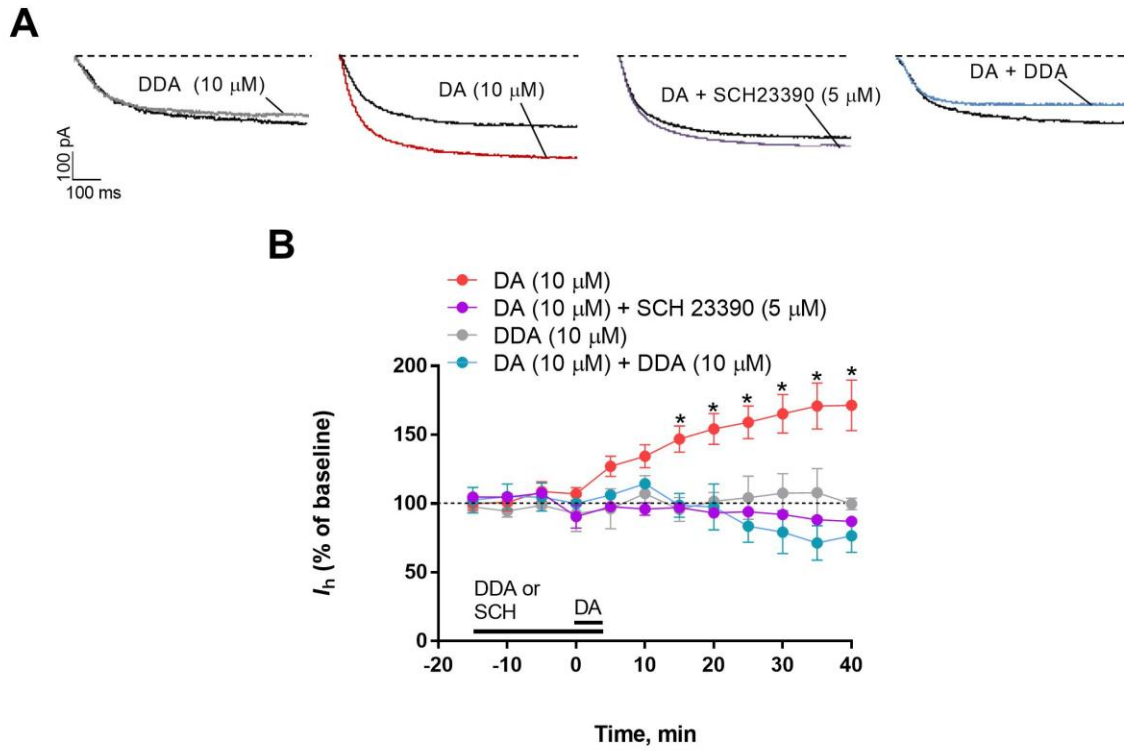
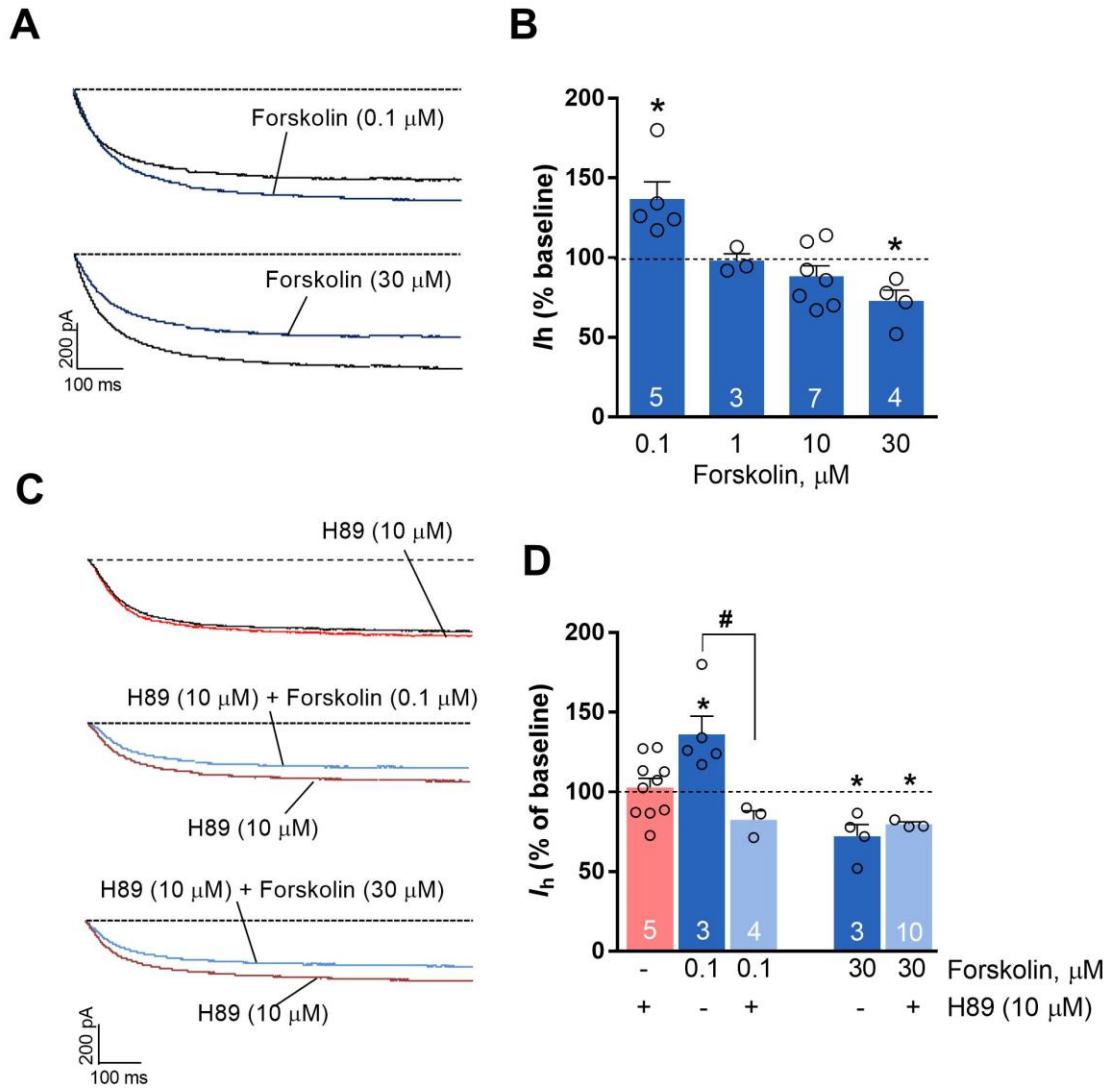


Figure 6



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Figure 7

