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Effects of GABAergic and glutamatergic inputs on temporal prediction signals in the primate cerebellar nucleus

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40 Highlights

- 41 Neurons in the cerebellar nuclei show entrained activity to repetitive visual stimuli
- 42 Periodic predictive activity appears to be mostly regulated by GABAergic input
- 43 Balance between GABAergic and glutamatergic signals determines baseline activity
- 44 Blockade of GABAergic input decreases neuronal variation
- 45 Cerebellar output is shaped by the interplay of GABAergic and glutamatergic signals
- 46

47 Abstract

48 The cerebellum has been shown to be involved in temporal information processing. We recently 49 demonstrated that neurons in the cerebellar dentate nucleus exhibited periodic activity 50 predicting stimulus timing when monkeys attempted to detect a single omission of isochronous 51 repetitive visual stimulus. In this study, we assessed the relative contribution of signals from 52 Purkinje cells and mossy and climbing fibers to the periodic activity by comparing single 53 neuronal firing before and during local infusion of GABA or glutamate receptor antagonists 54 (gabazine or a mixture of NBQX and CPP). Gabazine application reduced the magnitude of 55 periodic activity and increased the baseline firing rate in most neurons. In contrast, during the 56 blockade of glutamate receptors, both the magnitude of periodic firing modulation and the 57 baseline activity remained unchanged in the population, while a minority of neurons 58 significantly altered their activity. Furthermore, the amounts of changes in the baseline activity 59 and the magnitude of periodic activity were inversely correlated in the gabazine experiments 60 but not in the NBQX+CPP experiments. We also found that the variation of baseline activity 61 decreased during gabazine application but sometimes increased during the blockade of 62 glutamate receptors. These changes were not observed during prolonged recording without drug administration. These results suggest that the predictive neuronal activity in the dentate nucleus 63 64 may mainly attribute to the inputs from the cerebellar cortex, while the signals from both mossy 65 fibers and Purkinje cells may play a role in setting the level and variance of baseline activity 66 during the task.

67

68 **Keywords:** sensory prediction, deep cerebellar nucleus, Purkinje cell, mossy fiber, monkey

- 69
- 70 **Abbreviations:** CPP, (±)-3-(2-carboxypiperazin-4-yl)-propyl-1-phosphonic acid;
- 71 NBQX, 1,2,3,4-Tetrahydro-6-nitro-2,3-dioxo-benzo[f]quinoxaline-7-sulfonamide hydrate

72 Introduction

73 In motor control, the cerebellum coordinates the timing of contractions of individual muscles 74 and helps the cerebral cortex generate strong driving signals for movement initiation (Thach et 75 al., 1992; Nashef et al., 2019). It is also believed that the cerebellum forms forward models that 76 allow for predictive motor control and generate prediction error signals for learning (Wolpert 77 et al., 1998; Shadmehr, 2017). Although neurons in the output node of the cerebellum—the 78 cerebellar nuclei-often exhibit a transient activity during movements (Harvey et al., 1979; 79 Fortier et al., 1989), its generation mechanism remains uncertain. In addition to 80 neuromodulatory signals originated from the raphe nuclei and the locus coeruleus, the 81 cerebellar nuclei receive drive signals from two different sources: glutamatergic input via 82 mossy and climbing fibers from the brainstem, and GABAergic input from Purkinje cells in the 83 cerebellar cortex. A possible mechanism for the generation of the movement-related transient 84 activity in the nucleus is the direct excitation from the brainstem (Holdefer et al., 2005). Other possible mechanisms are either disinhibition from sustained inhibitory inputs from the 85 86 cerebellar cortex (van Kan et al., 1993; Steuber et al., 2011; Heiney et al., 2014; Ishikawa et al., 87 2014; Chabrol et al., 2019), or post-inhibitory rebound following transient inhibition that has 88 been observed in cerebellar slices (Aizenman and Linden, 1999; Person and Raman, 2012), 89 although the role of the latter in behaving animals is controversial (Alviña et al., 2008). It has 90 also been proposed that the synchronous activity of Purkinje cells may play a role (Person and 91 Raman, 2012; Tang et al., 2019).

92 Besides movement execution, the cerebellum is also known to be involved in motor 93 planning and relevant cognitive functions (Bellebaum et al., 2012; Koziol et al., 2014; Sokolov 94 et al., 2017; Tanaka et al., 2021). Anatomical studies have indicated a close link between the 95 lateral cerebellum and the association areas in the cerebral cortex (Ramnani, 2006; Strick et al., 96 2009), and functional imaging studies have shown that the cerebellum is strongly activated 97 during a variety of cognitive tasks (Stoodley and Schmahmann, 2009; E et al., 2014). In 98 particular, recent studies suggest that the cerebellum plays a role in temporal information 99 processing, regardless of the execution of movement (Ivry et al., 1988; Sakai et al., 1999; Teki 100 and Griffiths, 2016; Breska and Ivry, 2020). In fact, subjects with cerebellar damage have been 101 shown to have difficulty in initiating movements at the correct time (Perrett et al., 1993; Spencer 102 et al., 2003; Bares et al., 2011; Matsuda et al., 2015; Breska and Ivry, 2016) and in predicting 103 the timing of future sensory events (Roth et al., 2013).

104 Recently, the neuronal mechanisms underlying temporal information processing in the 105 cerebellum have been investigated using experimental animals trained to monitor elapsed time

(Ashmore and Sommer, 2013; Ohmae et al., 2017) and predict the timing of stimulus 106 107 appearance (Cerminara et al., 2009). Our recent studies also demonstrated that neurons in the 108 cerebellar dentate nucleus exhibited periodic activity predicting stimulus timing when monkeys 109 attempted to detect an omission of repetitive visual stimulus (Ohmae et al., 2013; Uematsu et 110 al., 2017). Since neural activity synchronized to periodic events has been reported in the 111 cerebellum (Fujioka et al., 2012; Kotz et al., 2014), the basal ganglia (Merchant et al., 2011; 112 Hove et al., 2013; Kameda et al., 2019), the motor thalamus (Matsuyama and Tanaka, 2021) and the cerebral cortex (Bartolo and Merchant, 2009; Comstok et al., 2021), the temporally 113 114 specific signals found in the deep cerebellar nuclei may reflect external signals transmitted via 115 mossy fibers or might be generated within the cerebellar cortex.

116 To examine these possibilities, we pharmacologically manipulated the glutamatergic and 117 GABAergic inputs during single neuronal recordings from the cerebellar dentate nuclei in 118 behaving monkeys. We found that local application of a GABA_A receptor antagonist decreased 119 the magnitude of periodic activity during sensory prediction and increased the baseline firing 120 rate, while the effects of glutamate receptor antagonists were inconsistent and smaller. These 121 results suggest that the predictive periodic activity in the cerebellar nucleus is shaped by the 122 interplay between the inputs from the cerebellar cortex and the brainstem, while the former may 123 play a dominant role. These inputs may also cooperatively regulate the level and variance of 124 baseline activity in the dentate nucleus.

125

126 **Experimental procedures**

127 Animal preparation

128 Three Japanese monkeys (Macaca fuscata, 6-9 kg, one female and two males, monkeys A, H 129 and Z) were used. All experimental protocols were evaluated and approved by the Hokkaido 130 University Animal Care and Use Committee and were in accordance with the Guidelines for 131 Proper Conduct of Animal Experiments (Science Council of Japan, 2006). After the animals 132 were trained to voluntarily sit in the primate chair, a pair of head holders and an eye coil were 133 implanted in separate surgeries under general isoflurane anesthesia using the same procedures 134 described in previous studies in our laboratory (Tanaka, 2005). Analgesics were administered 135 during each surgery and the following few days. After full recovery from the surgery, the 136 animals were further trained on behavioral tasks with their heads restrained to the primate chair. 137 Horizontal and vertical eye position were recorded using the search coil technique (MEL-25, 138 Enzanshi Kogyo). After several months of training, a recording chamber was placed above the 139 burr hole centered 6–8 mm posterior to the interaural line to allow vertical electrode penetration 140 targeting the cerebellum. The chamber location with respect to the deep cerebellar nuclei was

141 verified using magnetic resonance imaging (MRI).

142 Visual stimuli and behavioral tasks

Experiments were controlled by a Windows-based stimulus presentation and data acquisition system (TEMPO, Reflective Computing). Visual stimuli were presented on either a 24-inch cathode ray tube monitor (refresh rate, 60 Hz; GDM-FW900, Sony) or a 27-inch liquid crystal display (refresh rate: 144 Hz; XL2720Z, BenQ) that were placed in a darkened booth. The monitors were located 38 or 40 cm away from the eyes and subtended $64 \times 44^{\circ}$ (Sony) or $73 \times$ 45° (BenQ) of visual angle.

149 We used the missing oddball paradigm developed previously (Ohmae et al., 2013; 150 Uematsu et al., 2017). In this task (Fig. 1A), each trial began with the appearance of a central 151 fixation point (FP, red 0.5° square). After eye fixation, a saccade target (gray 1.0° square) 152 appeared either 16° left or right of the FP. During the maintenance of fixation, a brief visual 153 stimulus (white unfilled 2° square, 35 ms in duration) surrounding the FP was repeatedly 154 presented at a fixed interstimulus interval (ISI) of either 150 or 400 ms. After a random 2000-155 4800 ms period, one of the repetitive stimulus was omitted (missing oddball). To receive a juice 156 reward, the animals were required to make a saccade to the target in response to the stimulus 157 omission within 600 ms. A previous study in humans has shown that the detection of stimulus 158 omission with an ISI longer than 250 ms relies on temporal prediction, while that for a shorter 159 ISI relies on the low-level temporal grouping of sensory events (Ohmae and Tanaka, 2016).

160 **Physiological procedures during local drug injection**

161 We recorded from single neurons in the posterior part of the dentate nucleus before and during 162 drug infusion at the recording site using a homemade injectrode consisting of a tungsten 163 microelectrode (shank diameter 150 µm, FHC Inc.) and a silica tube (105 µm o.d., Polymicro 164 Technologies Inc.; Tachibana et al., 2008; Chiken and Nambu, 2013). The distance from the 165 opening of the injection tube to the electrode tip was 600-750 µm, which was within the 166 effective radius of drugs delivered using this technique (Kita et al., 2004). The location of 167 injectrode penetration was adjusted using a grid (Crist Instruments) attached to the recording 168 chamber. The injectrode was inserted into the brain through a 23-gauge stainless steel tube and 169 was advanced remotely using a micromanipulator (MO-97S, Narishige). We searched for 170 neurons exhibiting the task-related firing modulation, as described in detail previously (Ohmae 171 et al., 2013). Briefly, these neurons exhibited an oscillatory activity in response to repetitive 172 visual stimuli with the peak activity around the time of each stimulus (Figs. 2A and C). Signals

obtained from the electrodes were amplified, filtered (300 Hz–10 kHz), sampled at 50 kHz, and
analyzed online using a spike sorter with a template-matching algorithm (ASD, Alpha Omega
Engineering) to isolate single neurons.

176 Once a task-related neuron was isolated, we collected the pre-injection control data for 177 more than 10 trials for each condition (ranging from 11–46 trials, mean \pm SD, 24.8 \pm 6.9, n =178 51; n = 18, 17, and 16 for monkeys A, H, and Z, respectively). Thereafter, we attempted to 179 locally infuse drugs while the same single neuron remained isolated. The silica tube composing 180 the injectrode was connected to a 10-µL Hamilton microsyringe that contained either 6-Imino-181 3-(4-methoxyphenyl)-1(6H)-pyridazinebutanoic acid hydrobromide (gabazine; Sigma-Aldrich 182 and Tocris-Bioscience, 1-5 mM dissolved in saline) or a mixture of (±)-3-(2-carboxypiperazin-183 4-yl)-propyl-1-phosphonic acid (CPP, Sigma-Aldrich, 0.5 mM) and 1,2,3,4-Tetrahydro-6-nitro-184 2,3-dioxo-benzo[f]quinoxaline-7-sulfonamide hydrate (NBQX, Sigma Aldrich, 0.5 mM). 185 Gabazine, CPP, and NBQX are GABAA, NMDA, and AMPA receptor antagonists, respectively. 186 These drugs were pressure injected remotely at a rate of 5-15 nL/min using a micropump 187 (Nanojet CXY-1, Chemyx Inc.). Total injection volume at each site was typically 0.1–0.4 µL. 188 In some experiments we maintained a negative pressure during the initial pre-injection 189 recording to avoid drug leakage that could cause underestimation of drug effects. Specifically, 190 among 28 neurons examined quantitively, baseline activity (500 ms before the stimulus 191 presentation, see below) measured in the first and second half of pre-injection trials was 192 significantly different for only five neurons (unpaired *t* test, p < 0.05).

193 Data acquisition and analysis

194 The eye movement data and the timing of each spike were sampled at 1 kHz, and were saved 195 in files during experiments. Data were analyzed offline using Matlab (Mathworks). We included 196 data from neurons that exhibited significant firing modulation in response to each repetitive 197 stimulus and were tested for at least twelve trials in each condition during drug application (ranging from 12–100 trials, mean \pm SD, 43.7 \pm 27.9, n = 28; gabazine, n = 9, 5 and 1, CPP + 198 199 NBQX, n = 2, 3 and 8 for monkeys A, H and Z, respectively). After each experiment, we moved 200 the plunger of the Hamilton syringe and visually checked if any drug solution was released 201 from the injectrode. Data were discarded if we failed to confirm drug ejection and did not find 202 any change in neuronal activity during drug infusion. For each neuron, the spike waveforms 203 before and during drug infusion were saved online at 50 kHz using the spike sorting system 204 (ASD, Alpha Omega Engineering).

205 To analyze data, each experiment from the three monkeys was treated as an independent

206 variable and a fixed-effect model was used. For the quantitative analyses, the data obtained just 207 before drug infusion were compared with those obtained > 4 min after the start of drug infusion. 208 To examine the time courses of neuronal activity, we computed spike density by convolving a 209 Gaussian kernel ($\sigma = 30$ ms) to the millisecond-to-millisecond mean firing rate for each 210 condition. The effects of each drug were assessed by comparing the spike densities during 400-211 2000 ms from the first stimulus in the sequence in trials with a 400-ms ISI. This interval was 212 chosen because the stimulus omission occurred at or later than 2000 ms from the first stimulus 213 and therefore the alignment of the data at the time of stimulus omission results in a mixture of 214 trials with different number of stimulus repetition that can change the size of firing modulation 215 (Ohmae et al., 2013). To quantify the changes in baseline activity and the task-related firing 216 modulation simultaneously, we performed a regression analysis on the pair of data obtained 217 before and during drug infusion, calculating the shift (offset) and gain (slope) components for 218 each experiment. The regression analysis allowed us to properly evaluate the changes in 219 neuronal activity without any bias resulting from the selection of measurement interval within 220 each ISI. We also measured baseline activity during 500 ms before the first stimulus onset 221 (baseline period).

To test for statistical differences, a two-tailed *t* test was used for normally distributed samples (Lilliefors test, p > 0.01), and the nonparametric Wilcoxon rank sum test was used otherwise. When comparing data between different drug conditions, the Welch's method was used, which is applicable to non-equivariate data samples. For statistical evaluation of the shift and gain components in individual neurons, we computed bootstrap 95% confidence intervals for each value (1000 iterations) and reported the number of neurons showing a significant difference from zero (shift component) or unity (gain component) in the Results.

229 Histological procedures

230 The recoding sites in monkey Z were reconstructed from histological sections (Fig. 1B). After 231 completion of the experiments, several marking lesions were made by passing direct current (tip negative, 10–20 μ A for ~1 min, 800–1000 μ C) through the electrodes. After sedation with 232 233 midazolam and medetomidine, the animal was deeply anesthetized with pentobarbital sodium 234 (> 60 mg/kg, i.p.). Several landmark pins were inserted using the grid system. The monkey was 235 then perfused transcardially with 0.1 mM phosphate buffered saline followed by 4% paraformaldehyde. The brain was removed, fixated overnight, blocked, and equilibrated with 236 237 40% sucrose. Coronal sections (50 µm thick) were cut on a freezing microtome, and histological

238 sections were stained with cresyl violet.

239

240 **Results**

241 Effects of GABA receptor antagonist

242 Figure 2A compares the activity of a representative neuron before and during infusion of a 243 GABA_A receptor antagonist (gabazine) in the missing oddball task (400-ms ISI). During 244 gabazine administration, the baseline firing rate measured during 500 ms before the start of 245 stimulus sequence (Fig. 2A, left panel) greatly increased from 92.1 \pm 18.0 spikes/s to 133.8 \pm 9.1 spikes/s (mean \pm SD, Welch's t test, $t_{27.0} = -8.97$, $p < 10^{-8}$), while the magnitude of firing 246 modulation for each repetitive stimulus slightly decreased. When we computed the gain and 247 248 shift components, the values were 0.78 (bootstrap 95% CI [0.61, 0.91]) and 67.5 ([53.7, 87.3]), respectively ($r^2 = 0.92$). Although the sample spike waveforms (Fig. 2B) slightly changed 249 during gabazine application, the neuron remained well isolated throughout the recording period. 250 251 On the other hand, saccadic reaction time did not change significantly during drug application $(202 \pm 36 \text{ ms versus } 213 \pm 56 \text{ ms, mean} \pm \text{SD}$, Wilcoxon rank sum test, Z = -0.29, p = 0.77), 252 253 likely because the amount of drug infusion was small (approximately 100 nL for this example). 254 Similar results were also obtained from the other two example neurons shown in Figure 2C. 255 The gain and shift components of the neuron in the left panel were 0.61 ([0.41, 0.73]) and 106.2 ([93.4, 126.2]), respectively ($r^2 = 0.75$). Those in the right panel were 0.60 ([0.35, 0.70]) and 256 22.1 ([14.2, 41.0]), respectively ($r^2 = 0.67$). 257

Figure 3A summarizes the data from fifteen gabazine experiments (red circles). When gabazine was administered, the magnitude of firing modulation for each repetitive stimulus generally decreased, and the mean of the gain component was significantly less than unity (mean \pm SD, 0.82 \pm 0.23, n = 15, one-sample t test, $t_{14} = -2.98$, p = 0.0099). According to the bootstrap analysis for individual neurons (Experimental procedures), nine out of 15 neurons showed a significant decrease in the magnitude of the oscillatory activity (Fig. 3C, filled red bars).

265 In contrast, the baseline firing rate measured before the stimulus presentation increased 266 significantly (mean \pm SD, 59.4 \pm 24.2 spikes/s and 73.8 \pm 35.3 spikes/s for the trials before and during gabazine application, respectively; paired t test, $t_{14} = -2.30$, p = 0.037). Likewise, the 267 268 shift component computed from the time course of neuronal activity during 400-2000 ms after 269 the first stimulus averaged 23.4 ± 34.1 (SD) and was significantly greater than zero (one-sample t test, $t_{14} = 2.66$, p = 0.019). For individual neurons, the shift component was significantly 270 greater than zero for 11 out of 15 neurons (bootstrap, p < 0.05) and no neuron showed a 271 272 significant decrement of the value. Changes in the baseline activity measured before the stimulus presentation correlated with the shift component calculated for the activity during stimulus repetition (Spearman's rank correlation coefficient, *rho* = 0.87, $p < 10^{-15}$, Fig. 3B), although the former tended to be smaller than the latter (paired *t* test, $t_{14} = -2.19$, p = 0.046).

The shift component and the gain component inversely correlated (Spearman's rank correlation coefficient, rho = -0.68, p = 0.0065), indicating that these changes were linked with each other and likely resulted from specific pharmacological effects. On the other hand, we failed to find any significant correlation between dosage (concentration × injection volume) and each component (Spearman's rank correlation coefficient, gain component: rho = -0.12, p =0.66, shift component: rho = 0.42, p = 0.15).

282 During gabazine administration, neuronal activity immediately after stimulus omission 283 (measured during 200 ms) increased significantly in seven of fifteen neurons (unpaired t test, p 284 < 0.05) but not in the population as a whole (76.6 ± 46.9 spikes/s versus 91.8 ± 57.9 spikes/s, 285 paired t test, $t_{14} = -2.07$, p = 0.06). These changes in individual neurons were mostly due to the 286 increased activity for each repetitive stimulus, rather than due to the enhancement of the 287 response to stimulus omission. When the firing modulation for the stimulus omission was 288 measured by subtracting the activity around the time of the preceding stimulus (\pm 50 ms), only 289 one neuron showed a significant drug effect (unpaired t test, p < 0.05) but not in the population 290 $(4.6 \pm 18.3 \text{ spikes/s versus } 5.5 \pm 17.4 \text{ spikes/s, paired } t \text{ test, } t_{14} = -0.64, p = 0.53).$

Overall, reaction time did not change significantly during gabazine application (mean \pm SD, 285 \pm 74 ms versus 287 \pm 72 ms, paired *t* test, $t_{14} = -0.24$, p = 0.81). In individual experiments, only one out of 15 experiments revealed a significant difference in reaction time during drug infusion (Wilcoxon rank sum test, Z = -3.11, p < 0.05).

295 We also found that gabazine reduced the variance of baseline neuronal activity. Figure 3D 296 compares the coefficient of variation (CV) of inter-spike intervals during the baseline period 297 (500 ms before the first stimulus) between the trials before and during drug infusion. In the 298 population, the CV significantly decreased during gabazine application (mean \pm SD, 0.83 \pm 0.25 versus 0.69 \pm 0.25, paired t test, $t_{14} = 2.49$, p = 0.026). For individual neurons, the CV 299 300 changed significantly in eleven out of 15 neurons (9 decreased and 2 increased, one-tailed F 301 test, p < 0.01). In contrast, we did not find any significant change in the width of individual 302 spikes during experiments (paired *t* test, $t_{14} = -1.16$, p = 0.27).

303

304 Effects of glutamate receptor antagonists

Figure 2D illustrates the activity of a representative neuron before and during administration ofglutamate receptor antagonists (a mixture of CPP and NBQX, Experimental procedures).

307 Following infusion of CPP + NBQX compound, the baseline activity 500 ms before the stimulus 308 presentation slightly but significantly decreased from 49.1 ± 9.8 spikes/s to 41.5 ± 12.0 spikes/s 309 (mean \pm SD, Welch's t test, $t_{39,0} = 2.28$, p = 0.028). The gain and shift components computed 310 during the stimulus repetition were 1.05 (95% CI [0.71, 1.19]) and -11.98 ([-19.61, 8.24]), respectively ($r^2 = 0.93$), indicating that this neuron only exhibited a change in the level of 311 312 activity without change in the magnitude of periodic activity. The neuron remained well isolated 313 throughout the recording period, and the spike waveforms during drug infusion were similar to 314 those before injection (Fig. 2E). The other two example neurons shown in Figure 2F exhibited the gain component of 0.96 (95% CI [0.65, 1.14], $r^2 = 0.86$, left panel) and 1.38 ([1.05, 1.63], 315 $r^2 = 0.84$, right), and the shift component of -13.05 ([-22.11, -1.37], left) and -35.53 ([-49.30, 316 317 -16.36], right).

318 Figure 3A shows the data from thirteen CPP + NBQX sessions (blue triangles). In the 319 population, both the gain and shift components remained unchanged during drug infusion (gain: 0.95 ± 0.15 , one-sample t test, $t_{12} = -1.26$, p = 0.23; shift: 2.5 ± 19.8 , $t_{12} = 0.45$, p = 0.66). For 320 321 individual neurons, eight out of 13 neurons exhibited a significant change in the baseline 322 activity measured before the stimulus onset (3 increased and 5 decreased, Welch's t test, p < 1323 0.05). A significant change in the gain component was found in four neurons (Fig. 3C, filled 324 blue bars, bootstrap, p < 0.05), while the baseline value significantly altered in five neurons 325 (either increase or decrease).

We found that these two components did not correlate significantly (Spearman's rank correlation coefficient, rho = -0.47, p = 0.11). Thus, although the glutamatergic inputs might contribute to both the adjustment of the level of baseline activity and the magnitude of periodic activity for each repetitive stimulus, these effects varied from neuron to neuron. This was in contrast to the fact that the blockade of GABAergic inputs decreased the periodic activity (Fig. 3C) and increased the baseline firing rate (Fig. 3B) in most neurons.

332 In all 13 experiments, we failed to detect any significant change in the saccadic reaction time during drug infusion (Wilcoxon rank sum test, p > 0.05). There was no significant 333 334 correlation between dosage and each component (Spearman's rank correlation coefficient, gain component: rho = 0.42, p = 0.15, shift component: rho = 0.10, p = 0.76). We found that the 335 336 blockade of glutamate receptors significantly changed the activity immediately after stimulus 337 omission (200 ms) in eight of 13 neurons (unpaired t test, p < 0.05), but the direction of the 338 modulation varied from neuron to neuron (two increased and six decreased). In the population, the drug effect was not statistically significant (paired t test, $t_{12} = 0.067$, p = 0.95) even when 339 the response to stimulus omission was extracted by subtracting the activity around the time of 340

341 the preceding stimulus ($t_{12} = -0.23$, p = 0.82).

In contrast to gabazine administration, infusion of a CPP + NBQX compound did not change the variance of baseline activity (Fig. 3D, blue triangles). The CV of inter-spike intervals averaged 0.91 \pm 0.17 (SD) before drug administration and 0.99 \pm 0.27 during drug administration (paired *t* test, $t_{12} = -1.33$, p = 0.21). For individual neurons, the CV changed significantly in nine out of 13 neurons (6 increased and 3 decreased, one-tailed F test, p < 0.01). Again, we did not find any significant drug effect on the width of individual spikes (paired *t* test, $t_{12} = 0.90$, p = 0.39).

349

350 Further consideration of drug effects on neuronal activity

The results shown so far indicate that each drug altered different components of neuronal activity during stimulus repetition. To further confirm these findings, additional analyses were performed.

354 First, since we continued recording until the isolated neuron was lost during drug 355 administration, the pharmacological experiments sometimes lasted long. To ensure that the 356 changes in neuronal activity during drug administration were not due to prolonged recording, 357 we also examined the activity of neurons reported in the previous studies (Ohmae et al., 2013; 358 Uematsu et al., 2017). We selected 17 well isolated neurons that were recorded 50 or more 359 missing oddball trials (ranged from 50–129 trials, mean \pm SD, 74.6 \pm 24.7) and compared their 360 activity during the first 30 trials with that during the remaining trials. The gain and shift 361 components calculated for these neurons recorded without drug administration averaged 0.97 362 \pm 0.15 (SD) and 5.47 \pm 10.76, respectively, which were not significantly different from unity 363 (gain component, one-sample t test, $t_{16} = 0.77$, p = 0.45) and zero (shift component, $t_{16} = 2.10$, p = 0.052), respectively. In addition, the baseline activity did not alter during the prolonged 364 365 recording without drug administration (72.4 \pm 30.4 spikes/s vs. 75.6 \pm 29.3 spikes/s, paired t 366 test, $t_{16} = -2.02$, p = 0.06). These results indicate that the changes in neuronal activity during 367 drug infusion described above were not simply due to prolonged recording.

Second, as seen in Figure 2A and D, the firing rate of some neurons greatly increased during gabazine administration, suggesting that neuronal activity might have reached its limit and therefore the modulation of periodic activity decreased. To examine the possibility of a ceiling effect on neuronal activity during drug infusion, we compared the maximum firing rate (measured during 30 ms) within the analysis interval (400–2000 ms from the first stimulus, Experimental procedures) during drug administration with that measured during the 800 ms before to 100 ms after stimulus omission before and during drug administration. Most neurons 375 normally increase their activity as the number of stimulus repetition (Fig. 2 and Ohmae et al. 376 2013), but the ceiling effect during drug injection will remove this modulation. However, as 377 shown in Figure 4A, the maximum firing rate during the analysis interval was less than that 378 during the late period in the trial for both gabazine (red circle, paired t test, $t_{14} = -2.53$, p =379 0.02) and CPP + NBQX (blue circle, $t_{12} = -3.30$, p = 0.0063) experiments. Furthermore, the 380 magnitude of periodic firing modulation increased significantly later in the trial (Fig. 4C, paired 381 t test, $t_{14} = -4.00$, p = 0.0013 for gabazine, $t_{12} = -3.79$, p = 0.0026 for CPP + NBQX). These 382 results indicate that the reduction of the gain component during drug injection seen in Fig. 3C 383 was not due to a ceiling effect on neuronal activity. In addition, as shown in Figure 4B, the peak 384 firing rate (in 30 ms) during the baseline period was much smaller than that measured later during the stimulus repetition (paired t test, $t_{14} = -3.86$, p = 0.0017 for gabazine, $t_{12} = -3.83$, p 385 = 0.0024 for CPP + NBQX), indicating that the changes in neuronal variability during the 386 387 baseline period (Fig. 3D) did not reflect a possible ceiling effect.

388 Finally, we also investigated whether rebound depolarization, a known phenomenon in the 389 cerebellar nucleus (Aizenman and Linden, 1999; Zhang et al., 2004; Zheng and Raman, 2011), 390 plays a role in shaping the firing patterns during stimulus repetition. Specifically, we compared 391 the firing rate during the 100 ms before a long pause (> 100 ms) with that during the subsequent 392 100 ms, for the interval from 500 ms before to 2000 ms after the first stimulus in sequence. Of 393 the 28 neurons, 15 showed sufficient number of long pauses (> 10) in trials before drug infusion, 394 two of them exhibited a significant increase in firing rate after the pause (paired t test, p < 0.05). 395 In the population, the ratio of activity after the pause to that before it averaged 1.03 ± 0.18 (SD, 396 n = 15), which was not different from unity (one-sample t test, $t_{14} = 0.66$, p = 0.52). These 397 values measured during drug administration were 0.98 ± 0.16 (n = 6) and 0.98 ± 0.11 (n = 11) 398 in the gabazine and the CPP + NBQX experiments, respectively. Again, the activity after the 399 pause was not significantly enhanced in both experiments (one-sample t test, $t_5 = -0.33$ and t_{10} = -0.68, p > 0.05). Similar results were obtained when the three spike intervals before and after 400 401 the pause were used to calculate the rebound activity (Alviña et al., 2008, Hoebeek et al., 2010).

402

403 Discussion

We examined the relative contributions of GABAergic and glutamatergic inputs to the neuronal activity in the cerebellar dentate nucleus. When monkeys attempted to detect an omission of isochronously presented visual stimuli, neurons in the posterior part of the dentate nucleus exhibited periodic activity, showing a transient suppression of firing rate followed by a rapid recovery of neuronal activity that peaked around the time of the next stimulus (Fig. 2A and D). 409 During local application of a selective GABAA antagonist (gabazine), the amplitude of 410 oscillatory activity decreased, the baseline firing rate increased, and these changes were 411 correlated (Fig. 3A). During infusion of a mixture of glutamate receptor antagonists (NBQX 412 and CPP), the firing modulation for each stimulus clearly decreased in some neurons while the 413 effects were not statistically significant in the population, and the changes in baseline activity 414 varied from neuron to neuron (Fig. 3B). Since the recorded neurons were capable of altering 415 their firing rate beyond the range used in the quantitative analysis, it is unlikely that a ceiling 416 effect influenced the present results (Fig. 4A and B). During both pharmacological 417 manipulations, we only found modulatory changes in neuronal activity; neither the baseline 418 activity nor the periodic firing modulation entirely disappeared. The modulatory effects of drugs 419 were likely because the injected volume was very small in the present study and neurons in the 420 dentate nucleus often extend large dendrites (Uusisaari et al., 2007). Furthermore, previous 421 studies using rodent cerebellar slices have shown that neurons in the cerebellar nuclei exhibit 422 spontaneous firing (Jahnsen, 1986; Aizenman and Linden, 1999; Uusisaari et al., 2007), even 423 when synaptic inputs have been removed (Raman et al., 2000).

424 We also found that the coefficient of variation of the baseline firing rate decreased during 425 blockade of GABAergic inputs but remained unchanged or even increased during 426 administration of glutamate receptor antagonists (Fig. 3D). Because both drugs did not alter 427 animals' behavior in all but one experiments, the changes in neuronal activity were likely to 428 have resulted from the direct pharmacological effects on the local circuits. These results suggest 429 that the GABAergic signals from the Purkinje cells and/or local inhibitory interneurons may 430 play a major role in both the generation of predictive, periodic neuronal firing and setting the 431 level and variance of baseline activity in the dentate nucleus.

432

433 Sources of sensory prediction signals in the deep cerebellar nucleus

434 Although neurons in the dentate nucleus have been shown to exhibit a transient activity during 435 limb movements (Holdefer and Miller, 2009; Ishikawa et al., 2014), its generation mechanism 436 remains elusive. In addition to the monoaminergic signals from the brainstem, there are three 437 major sources of signals to the deep cerebellar nuclei. The collaterals of climbing fibers provide 438 excitatory inputs of less than a few hertz and it is generally believed that they cannot be the 439 driving signals for movement-related activities in the cerebellar nuclei that need to be controlled 440 on a time scale of tens of milliseconds. However, recent studies have shown that the activity of 441 cerebellar nucleus neurons can be significantly altered when the input of multiple climbing 442 fibers is synchronized (Tang et al., 2019).

443 More likely to be relevant to the generation of movement signals are the inputs from mossy 444 fibers and Purkinje cells, which are mediated by glutamate and GABA, respectively. Using a 445 similar technique to the present study, Holdefer et al. (2005) demonstrated in monkeys that the 446 movement-related transient activity in the cerebellar dentate nucleus persisted even after local 447 injection of GABA receptor antagonists, suggesting that the direct mossy fiber inputs may play 448 a role. On the other hand, the pervious findings of the post-inhibitory rebound activity in 449 cerebellar slices suggest the possibility that transient inhibition from Purkinje cells may lead a 450 transient activity in the deep cerebellar nucleus (Aizenman and Linden, 1999; Zhang et al., 451 2004; Zheng and Raman, 2011), although it remains controversial whether this mechanism 452 works in vivo (Alviña et al., 2008; Hoebeek et al., 2010). In this study, we failed to find obvious 453 rebound activity, suggesting that the mechanism may not be responsible for the generation of 454 periodic activity during our behavioral task. A recent study comparing the time courses of 455 neuronal activity in Purkinje cells and the dentate nucleus in behaving monkeys showed that 456 the increased activity in Purkinje cells did not precede that in the dentate nucleus in the 457 population (Ishikawa et al., 2014). Instead, the timing of *pauses* of firing in Purkinje cells was 458 similar to the timing of burst firing in the dentate nucleus, suggesting that the release of 459 sustained inhibition exerted from the cerebellar cortex (i.e., disinhibition) might underlie the 460 transient activity in the cerebellar nucleus (Ishikawa et al., 2014). Furthermore, a recent study 461 in the primate cerebro-cerebellum has demonstrated that neuronal activity in the dentate nucleus 462 predicts mossy fiber inputs by tens of milliseconds, suggesting that cerebellar output may serve 463 as a forward model (Tanaka et al., 2019), which may be generated by multiple inputs (Tanaka 464 et al., 2020).

465 Although our results also suggest a major role for GABAergic inputs in the generation of 466 periodic activity in the dentate nucleus, it should be noted that the prediction signals examined 467 in this study differed from the movement-related burst of activity in two important ways. First, 468 the oscillatory activity during the missing oddball paradigm was observed in the absence of 469 movement, indicating that neuronal activity was sensory-driven in nature. Second, the initial 470 response to each stimulus was a transient suppression of firing rate that was followed by a 471 gradual ramp-up of activity. Our previous studies showed that the magnitude of suppressive 472 response was proportional to the time from the previous stimulus, resulting in different time 473 courses of ramping activity that peaked around the time of the next stimulus (Kameda et al., 474 2019; Figs. 2A and D). These signals may be causally related to temporal prediction, as the 475 detection of stimulus omission was delayed by local inactivation (Ohmae et al., 2013) and 476 facilitated by electrical stimulation (Uematsu et al., 2017), regardless of the direction of eye

477 movement generated. Since changes in the magnitude of suppressive response are essential for
478 the generation of predictive signals, time-dependent inhibition from the cerebellar cortex may
479 underlie these signals.

480 Nevertheless, our data also suggest a role for the glutamatergic signals. Changes in the 481 magnitude of periodic activity during infusion of glutamate receptor antagonists were not 482 statistically significant in the population, but three out of 13 neurons showed a significant 483 decrease in the periodic activity (Fig. 3C). Unexpectedly, the blockade of glutamatergic signals 484 sometimes elevated the baseline activity (Fig. 3B), suggesting that the glutamatergic signals 485 may indirectly regulate the firing of recorded neurons. For example, inhibitory interneurons 486 known in the deep cerebellar nuclei (Uusisaari et al., 2007) might mediate the mossy fiber 487 inputs, or alternatively, putative excitatory interneurons might transmit signals from Purkinje 488 cells. Contrary to the gabazine experiments, the alteration of periodic activity and baseline 489 firing rate during CPP+NBQX application did not closely correlate, indicating that different 490 glutamatergic inputs might regulate these parameters. In relation to this, the previous studies in 491 rodents have demonstrated that neurons in the dentate nucleus receive only a little input from 492 the pontine nuclei (Na et al., 2019) but receive strong projections of mossy fiber collaterals 493 from the brainstem (Wu et al., 1999), suggesting that the dentate nucleus might integrate 494 information from different functional modules (Tanaka et al., 2020). Thus, the effects of 495 glutamatergic signals were weaker and less consistent than those of GABAergic signals in our 496 experimental conditions, while they could also contribute to shape the temporally specific 497 predictive signals in the cerebellar nucleus.

498 Similar to the firing of neurons examined in this study, a transient suppression followed 499 by gradual rebound has been reported in the time course of beta coherence between the auditory 500 cortex and the cerebellum in humans passively listening to an isochronous auditory rhythm 501 (Fujioka et al., 2012). Such representation of periodic sensory events might be prevalent in the 502 brain when keeping rhythms (Matsuyama and Tanaka, 2021). Current results suggest that 503 computations in the cerebellar cortex might be crucial for the generation of these signals. For 504 example, time-specific transient activity or increased synchrony of individual Purkinje cells 505 might play a role. These possibilities are to be tested in future studies.

506

507 **Possible roles of intrinsic circuits within the cerebellar nucleus**

508 The cerebellar nuclei contain four types of projection neurons and at least two types of 509 interneurons (Sultan et al., 2003, for reviews, see Uusisaari and Knöpfel, 2011; Canto et al., 510 2016). Because all neurons examined in this study were successfully isolated for tens of minutes 511 and exhibited high baseline firing rate (on average, 58.7 spikes/s), they were likely to be the 512 largest, glutamatergic projection neurons. Since these neurons receive massive projections 513 directly from Purkinje cells and collaterals of mossy and climbing fibers, local injection of 514 antagonists is expected to primarily block these external inputs. However, as discussed above, 515 some of pharmacological effects might also be attributed to the changes in the internal signals 516 mediated by interneurons. It should be noted that the previous study in cats showed that the 517 mossy fiber projections to the dentate nucleus were less than those to the other cerebellar nuclei 518 (Shinoda et al., 1992), although it remains unclear whether this is also true for the primates that 519 have much extensively evolved dentate nucleus (Kebschull et al., 2020). In the present 520 experiments, individual neurons changed the baseline activity in different directions during 521 local application of glutamate receptor antagonists (Fig. 3B). While the precise projection 522 patterns of small GABAergic and putative glutamatergic (non-GABAergic) interneurons are 523 not known, pharmacological manipulation of these neurons might alter the output signals from 524 the cerebellar nuclei (Uusisaari and De Schutter, 2011).

In relation to this issue, we were interested in the changes in variation of baseline activity during drug application. The decreased variation during blockade of GABAergic inputs (Fig. 3D) suggests that neuronal noise in the output node of the cerebellum might mainly come from the cerebellar cortex. These hypotheses are highly speculative and need to be tested in future studies with cell-type specific manipulation of neuronal activity.

530 Finally, it is important to point out the limitations of the present study. Although about half 531 of the periodic activity remained after blockade of GABAergic input, we were unable to 532 conclude that the signals from mossy and/or climbing fibers contributed to the remaining 533 activity. The weaker effect of CPP + NBQX than gabazine might be related to the fact that 534 excitatory inputs tend to terminate in the distal part of the dendrite and inhibitory inputs in the 535 proximal part. In addition, as discussed above, our pharmacological technique cannot 536 distinguish between direct external input and signals through interneurons. To resolve these 537 issues, pathway-specific inhibition using optogenetics, which has recently become available in 538 primates (Suzuki et al., 2021), may be helpful in future studies.

539

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698 Figure legends

699 Figure 1. (A) Sequence of events in the missing oddball paradigm. During central fixation, a 700 saccade target appeared either left or right of the fixation point (FP). Then a brief visual stimulus 701 (35 ms) surrounding the FP was repeatedly presented with an interstimulus interval of 400 ms. 702 After a random 2000–4800 ms period, one stimulus in the series was omitted, and monkeys 703 made a saccade to the target. (B) Recording sites reconstructed from histological sections in 704 monkey Z. Labels indicate the posterior locations of coronal sections (in millimeters) relative 705 to the interaural line. DN, dentate nucleus; IA, anterior interposed nucleus; IP, posterior 706 interposed nucleus.

707 Figure 2. Effects of drug administration on example neurons. (A) Effects of GABA receptor 708 antagonist (gabazine). Each panel shows neuronal activity and eye position aligned with either 709 the first stimulus in the sequence or stimulus omission. Black and red traces represent the data 710 obtained before and during gabazine infusion, respectively. Rasters are plotted for every third 711 spike for clarity. (B) Sample spike waveforms before (black) and during (red) drug application 712 for the neuron shown in (A). Scale bar denotes 200 µs. (C) Time courses of neuronal activity 713 800 to 2000 ms after the first stimulus before and during gabazine administration for the other 714 two neurons. (D) An example neuron activity with local infusion of glutamate receptor 715 antagonists (a mixture of CPP and NBQX). Black and blue traces indicate the data obtained before and during drug application, respectively. (E) Spike waveforms before (black) and 716 717 during (blue) drug infusion. (F) The spike density profiles for the other two neurons.

718 Figure 3. Summary of 15 gabazine and 13 CPP+NBQX experiments. (A) Comparison of the 719 gain and shift components measured from the spike density profiles (400-2000 ms after the 720 first stimulus) before and during drug infusion. Red and blue symbols indicate gabazine and 721 CPP+NBQX experiments, respectively. Bull's eyes denote the representative data shown in 722 Figure 2A and D. (B) Comparison of different measures of the changes in baseline activity. Δ 723 baseline is the difference in the mean firing rates during 500 ms before the first stimulus onset. 724 (C) Histograms of gain components for different drugs. Filled bars indicate data where a 725 significant drug effect was observed by bootstrap method (1000 iterations, p < 0.05). (D) 726 Comparison of coefficient of variation (CV) in baseline activity between trials before and 727 during drug administration.

Figure 4. Peak firing rate and the magnitude of activity modulation at different epochs. (A)
Comparison of peak firing rates (30 ms interval) measured within the analysis interval (400–

- 730 2000 ms after the first stimulus, *Early*) during drug administration with those measured during
- the later period in the trial (800 ms before to 100 ms after stimulus omission, Late). (B)
- 732 Comparison of peak activity between the late and the baseline periods (500 ms before the first
- 733 stimulus) during drug administration. (C) Comparison of the magnitude of activity modulation
- during the early and later periods during the stimulus repetition. In all panels, the color of
- 735 symbols indicates different drugs.







