

**IMPROVING SAFE OPERATION OF ORGANIC RANKINE CYCLE UNITS  
IN AUTOMOTIVE APPLICATIONS USING MODEL PREDICTIVE CONTROL****Andrés Hernández<sup>a,b</sup>, Shilp Dixit<sup>c</sup>, Chris Criens<sup>c</sup>, Ludovic Guillaume<sup>b</sup>, Davy Maes<sup>c</sup>,  
Ilja Siera<sup>c</sup>, Robin De Keyser<sup>a,c</sup>, and Vincent Lemort<sup>b</sup>**<sup>a</sup>Department of Electrical energy, Systems and Automation, Ghent University, Belgium<sup>b</sup>Thermodynamics Laboratory, Energy Systems Research Unit, University of Liege, Belgium<sup>c</sup>Flanders Make, Lommel, Belgium

**Highlights:** A major limitation for using organic Rankine cycle (ORC) power units, for waste heat recovery in automotive applications, is the difficulty to guarantee the optimal and safe operation of the unit for different driving cycles. In this study, it is illustrated that Model Predictive Control (MPC) can successfully satisfy these conditions for three typical driving conditions as encountered in heavy duty trucks.

**Key words:** Organic Rankine Cycle, Model Predictive Control, Automotive applications.

**EXTENDED ABSTRACT****Introduction**

Implementing organic Rankine cycle (ORC) systems to recover waste heat from exhaust gases, is a promising technology which could enable us to reduce fuel consumption and gas emissions. A possible limitation is however the challenge of keeping the cycle operating safely and optimally during different cycle conditions [1]. In this regard, Model Predictive Control (MPC) appears as a natural solution since it can handle multivariable constrained problems in a very efficient manner [2].

**Process description**

A reliable dynamic simulation model is implemented in Amesim for the Rankine cycle configuration in Fig.1a, where waste heat is recovered from exhaust, while using ethanol as working fluid. Three driving cycle conditions are chosen as typical examples of conditions encountered on long-haul truck applications: flat, rolling hill and hilly driving cycles [3]. Strong variations in the exhaust temperature and mass flow are expected, thus endangering the system safety and therefore representing the main challenge for the control strategy.

**Model Predictive Control (MPC)**

MPC refers to a family of control approaches, which makes explicit use of a model of the process to optimally obtain the control signal by minimizing an objective function:

$$\min J = \sum_{k=N_1}^{N_2} [r(t+k|t) - y(t+k|t)]_Q^2 + \sum_{k=0}^{N_u-1} [\delta u(t+k|t)]_R^2 \quad (1)$$

where  $r(t+k|t)$  represents the reference,  $y(t+k|t)$  the predicted output, and  $\delta u(t+k|t)$  the decision variable. The main tuning parameters of the MPC controller are the prediction horizons  $N_2$ ,  $N_1$ , the control horizon  $N_u$ , and the weighting factors  $Q$  and  $R$ .

The Extended Prediction Self-Adaptive Control (EPSAC) approach to MPC, is here selected

since it uses input/output models for prediction, thus avoiding the need of using state estimators. Discrete-time transfer functions representing the dynamics from inputs: pump speed  $N_{pp}$  and expander speed  $N_{exp}$  to outputs: superheating  $\Delta T_{sh}$  and expander inlet pressure  $p_{exp,in}$ , are identified. The effect of the temperature,  $T_{hf}$ , and mass flow,  $m_{hf}$ , of the waste heat hot fluid on the outputs are also identified, and further used by MPC as measured disturbances to enhance closed-loop performance. The main target for the controller is to operate as close as possible to the maximum expander inlet pressure, to maximize the *net* output power  $W_{exp,net}$ , while keeping superheating into the safe limits. Output constraints  $\Delta T_{sh} > 5$  °C and  $p_{exp,in} < 32$  bar are considered in the MPC formulation, to guarantee safe operation. Results obtained for a rolling hill driving cycle are depicted in Fig. 1b.

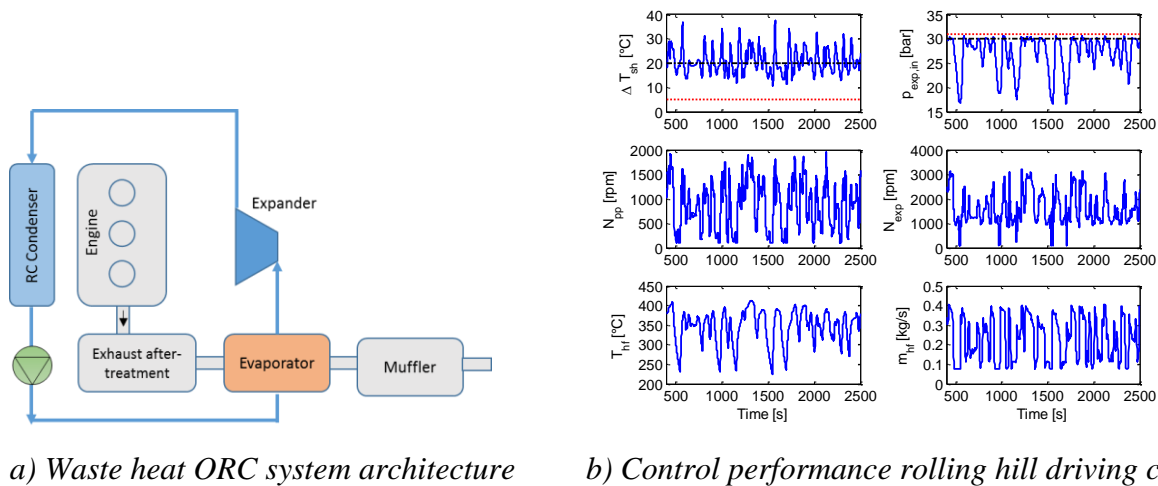


Fig. 1. Waste heat ORC system architecture and MPC performance for a typical driving cycle

## Conclusions

In this study a Model Predictive Control (MPC) strategy is designed and implemented in order to guarantee optimal and safe operation of an organic Rankine cycle power system mounted on a heavy duty truck. Simulation results on three typical driving cycles suggest that the proposed strategy is able to maximize the output power by operating close to the maximum expander inlet pressure, while keeping the cycle in safe conditions. Future work includes the development of a control strategy for parallel configuration, where the exhaust gas recirculation (EGR) is also considered.

## References

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## Background of the research and development team

*This research was supported by Flanders Make, the strategic research center for the manufacturing industry, Belgium.*

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Ludovic Guillaume is a PhD. student at the University of Liège (Belgium), where he is working within the Energy Systems Research Group (Thermodynamics Laboratory). He has been working on waste heat recovery from engines since 2011, in collaboration with Industry and in the frame of public funded projects (FP7 Nowaste project). He contributed to the simulation and the testing of many ORC systems and components.

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Robin De Keyser is currently full Professor of Control Engineering at the Faculty of Engineering, Ghent University. He is one of the pioneers who produced the original concepts of predictive control during the 1980's. His teaching and research activities include model predictive control, auto-tuning and adaptive control, modelling and simulation, system identification.

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