

Multisite event discrimination for the MAJORANA DEMONSTRATOR

S. I. Alvis,¹ I. J. Arnquist,² F. T. Avignone, III,^{3,4} A. S. Barabash,⁵ C. J. Barton,⁶ V. Basu,⁷ F. E. Bertrand,⁴ B. Bos,⁸ M. Buuck,¹ T. S. Caldwell,^{11,10} Y-D. Chan,¹² C. D. Christofferson,⁸ P.-H. Chu,¹³ C. Cuesta,^{1,*} J. A. Detwiler,¹ H. Ejiri,¹⁵ S. R. Elliott,¹³ T. Gilliss,^{11,10} G. K. Giovanetti,¹⁶ M. P. Green,^{17,10,4} J. Gruszko,¹⁸ I. S. Guinn,¹ V. E. Guiseppe,³ C. R. Haufe,^{11,10} R. J. Hegedus,^{11,10} L. Hehn,¹² R. Henning,^{11,10} D. Hervas Aguilar,^{11,10} E. W. Hoppe,² M. A. Howe,^{11,10} K. J. Keeter,¹⁹ M. F. Kidd,²⁰ S. I. Konovalov,⁵ R. T. Kouzes,² A. M. Lopez,¹⁴ R. D. Martin,⁷ R. Massarczyk,¹³ S. J. Meijer,^{11,10} S. Mertens,^{21,22} J. Myslik,¹² G. Othman,^{11,10} W. Pettus,^{1,†} A. Piliounis,⁷ A. W. P. Poon,¹² D. C. Radford,⁴ J. Rager,^{11,10} A. L. Reine,^{11,10} K. Rielage,¹³ N. W. Ruof,¹ B. Shanks,⁴ M. Shirchenko,⁹ D. Tedeschi,³ R. L. Varner,⁴ S. Vasilyev,⁹ B. R. White,¹³ J. F. Wilkerson,^{11,10,4} C. Wiseman,¹ W. Xu,⁶ E. Yakushev,⁹ C.-H. Yu,⁴ V. Yumatov,⁵ I. Zhitnikov,⁹ and B. X. Zhu¹³
(Majorana Collaboration)

¹Center for Experimental Nuclear Physics and Astrophysics, and Department of Physics, University of Washington, Seattle, Washington, USA

²Pacific Northwest National Laboratory, Richland, Washington, USA

³Department of Physics and Astronomy, University of South Carolina, Columbia, South Carolina, USA

⁴Oak Ridge National Laboratory, Oak Ridge, Tennessee, USA

⁵National Research Center “Kurchatov Institute” Institute for Theoretical and Experimental Physics, Moscow, Russia

⁶Department of Physics, University of South Dakota, Vermillion, South Dakota, USA

⁷Department of Physics, Engineering Physics and Astronomy, Queen’s University, Kingston, Ontario, Canada

⁸South Dakota School of Mines and Technology, Rapid City, South Dakota, USA

⁹Joint Institute for Nuclear Research, Dubna, Russia

¹⁰Triangle Universities Nuclear Laboratory, Durham, North Carolina, USA

¹¹Department of Physics and Astronomy, University of North Carolina, Chapel Hill, North Carolina, USA

¹²Nuclear Science Division, Lawrence Berkeley National Laboratory, Berkeley, California, USA

¹³Los Alamos National Laboratory, Los Alamos, New Mexico, USA

¹⁴Department of Physics and Astronomy, University of Tennessee, Knoxville, Tennessee, USA

¹⁵Research Center for Nuclear Physics, Osaka University, Ibaraki, Osaka, Japan

¹⁶Department of Physics, Princeton University, Princeton, New Jersey, USA

¹⁷Department of Physics, North Carolina State University, Raleigh, North Carolina, USA

¹⁸Department of Physics, Massachusetts Institute of Technology, Cambridge, Massachusetts, USA

¹⁹Department of Physics, Black Hills State University, Spearfish, South Dakota, USA

²⁰Tennessee Tech University, Cookeville, Tennessee, USA

²¹Max-Planck-Institut für Physik, München, Germany

²²Physik Department, Technische Universität, München, Germany



(Received 17 January 2019; published 7 June 2019)

The MAJORANA DEMONSTRATOR is searching for neutrinoless double-beta decay ($0\nu\beta\beta$) in ^{76}Ge using arrays of point-contact germanium detectors operating at the Sanford Underground Research Facility. Background results in the $0\nu\beta\beta$ region of interest from data taken during construction, commissioning, and the start of full operations have been recently published. A pulse shape analysis cut applied to achieve this result, named *AusE*, is described in this paper. This cut is developed to remove events whose waveforms are typical of multisite energy deposits while retaining $(90 \pm 3.5)\%$ of single-site events. This pulse shape discrimination is based on the relationship between the maximum current and energy, and tuned using ^{228}Th calibration source data. The efficiency uncertainty accounts for variation across detectors, energy, and time, as well as for the position distribution difference between calibration and $0\nu\beta\beta$ events, established using simulations.

DOI: [10.1103/PhysRevC.99.065501](https://doi.org/10.1103/PhysRevC.99.065501)

I. INTRODUCTION

The MAJORANA Collaboration is operating an array of high purity Ge (HPGe) detectors to search for neutrinoless double-beta decay ($0\nu\beta\beta$) in ^{76}Ge [1,2]. The MAJORANA DEMONSTRATOR is comprised of HPGe detectors with a total mass of 44.1 kg, 29.7 kg of which is enriched to 88% in ^{76}Ge and the remaining 14.4 kg is natural Ge (7.8% ^{76}Ge). P-type

*Present address: Centro de Investigaciones Energéticas, Medioambientales y Tecnológicas, CIEMAT 28040, Madrid, Spain; clara.cuesta@ciemat.es

[†]pettus@uw.edu

point contact (PPC) detectors [3,4] were chosen after extensive research and development by the collaboration for their powerful background rejection capabilities. The detectors are operated near liquid nitrogen temperature (77 K) in independent vacuum cryostats, named Modules 1 and 2. A low-mass front-end (LMFE) electronic board is situated adjacent to the each detector inside the vacuum cryostat to minimize the readout noise [1,5]. A 2.15 m signal cable connects the LMFE with the preamplifiers located outside the cryostat. The signals are then digitized at 100 MHz by a 14-bit ADC. The modules are operated in a low-background passive shield that is surrounded by a 4π active muon veto. To mitigate the effect of cosmic rays and prevent cosmogenic activation of detectors and materials, the experiment is operating at a depth of 4850 ft (4260 m.w.e. overburden) at the Sanford Underground Research Facility in Lead, South Dakota, USA [6].

We presented results from data taken over June 2015–April 2018, a 26 kg yr exposure, including construction, commissioning, and stable full operation. An unprecedented energy resolution of 2.5 keV FWHM at the $0\nu\beta\beta$ Q value ($Q_{\beta\beta} = 2039$ keV for ^{76}Ge) was achieved. Also, a very low background was reached with a single-candidate event in the optimal region of interest (ROI) resulting in a lower limit on the half-life of 2.7×10^{25} yr (90% CL) [2,7]. In our experimental configuration with the lowest background, the background is 11.9 ± 2.0 counts/(FWHM t yr). In order to achieve this low background, multisite background events are rejected with the method and efficiency described in this paper.

The data presented in the $0\nu\beta\beta$ results are subdivided into data sets, referred to as DS0 through DS6, distinguished by significant experimental configuration changes. DS0 was a set of commissioning runs of Module 1. DS1 had the inner 2-in electroformed copper shield installed. DS2 was devoted to test multisampling of the digitized waveforms, providing extended signal capture following an event for improved α background rejection. DS3 and DS4 consist of data taken from Module 1 and Module 2, respectively, with separate DAQ systems. DS5 consists of three subranges corresponding to minor configuration changes. DS5a was marked by combined data taking with both modules after the DAQ systems were merged. DS5b corresponds to data taken after the detector was fully enclosed within the layer of poly shielding, allowing the establishment of a robust grounding scheme that reduced the electronic noise. DS5c implemented blindness and was excluded from the first result analysis. Finally, in DS6 multisampling is in place.

II. MULTISITE EVENT DISCRIMINATION IN PPC DETECTORS

The experimental sensitivity is improved by pulse shape analysis (PSA) of the detector signals to reject background events. In particular, the $0\nu\beta\beta$ event topology consists of the two electrons carrying the entire decay energy. This results in a monoenergetic peak at the $Q_{\beta\beta}$, with all the energy being deposited within ≈ 1 mm in a single-site energy deposit. Therefore, single-site events (SSEs) must be retained, but multisite events (MSE) should be rejected. The point contact

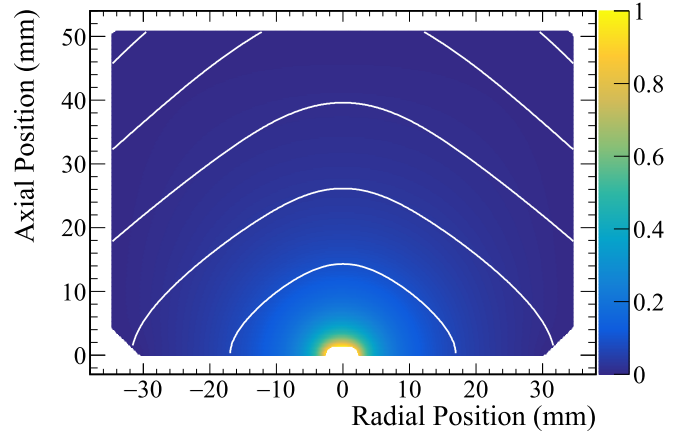


FIG. 1. Weighting potential for the point contact (bottom center) in a PPC detector. White lines are isochrones of equal drift time for holes to reach the point contact spaced by 200 ns.

detector technology was chosen for the strong weighting potential in the vicinity of the point contact readout and the relatively low weighting potential elsewhere throughout the detector, see Fig. 1. This forces the majority of the charge to be collected only at the very end of the trajectory of the charge drift within the detector resulting in a signal that has a rise time that is much shorter than the drift time of charge through the detector. If charge is deposited at multiple locations within the crystal, the drift times may differ up to $\approx 1 \mu\text{s}$ and the individual charge collections can be resolved. This leads to a signal with a current pulse that is degraded in amplitude with respect to the current pulse relative to that of a SSE of the same energy. Examples of current and charge pulses for SSE and MSE are shown in Fig. 2. By comparing the maximum amplitude of the current pulse (A) with the energy (E) we can reject events that have a spread-out current pulse and are likely multisite as indicated by low values of A relative to E [8].

As a reference population of SSE, we use the double escape peak (DEP) of the 2615 keV ^{208}Tl γ ray. This peak is generated by the creation of an electron positron pair during the photon interaction with a nucleus of the detector. The photons from the positron annihilation both escape the

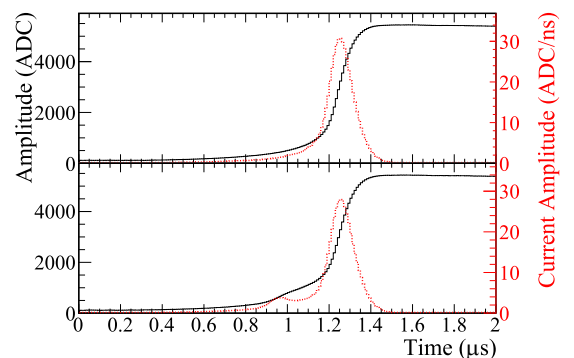


FIG. 2. Charge (black, solid) and current (red, dashed) signals formed by SSE (top) and MSE (bottom) in a PPC detector. Both events have near $Q_{\beta\beta}$ energy and are from experimental data.

detector leaving an energy deposit 1592 keV, two electron masses less than the incident γ -ray energy. This physics requires these events to have single-site structure similar to that expected of $0\nu\beta\beta$. Monte Carlo simulations including x-ray excitations and bremsstrahlung predict the $0\nu\beta\beta$ signal events to be 90% single site. Defining a cut to leave this fraction of events in the DEP yields the near-optimal rejection efficiencies for the single escape peak (SEP) at 2103 keV (mostly MSE) and the Compton continuum in the ROI. A cut to remove high values of A relative to E , which is functionally a fiducial volume cut targeting around the point contact, is not applied to the data as it performs a largely redundant function to the delayed charge recovery (DCR) cut [9], but with lower signal efficiency.

III. A vs E PARAMETER

In order to create an energy-independent parameter, the A vs E parameter is calculated considering the energy dependence of A . Event energies are reconstructed from the pulse amplitudes, using a trapezoidal filter algorithm whose parameters are tuned to minimize calibration source γ line widths [10]. The current estimator is an algorithm that performs a linear fit to a small range of the waveform. Since the preamplifiers used to record the waveforms in our detectors are charge sensitive, it is critical to this analysis to have an accurate estimate of the current from the digitized charge waveform. Three differentiation time constants (50 ns, 100 ns, and 200 ns) were considered. Very similar performance was observed for each parameter and 100 ns was selected as the time constant for the A estimator.

The energy dependence of A is observed to be second-order polynomial that is mostly linear with a small quadratic component. A vs E is thus defined,

$$A \text{ vs } E \equiv -1(A \times E / E_{\text{unc}} - p_0 - p_1 \times E - p_2 \times E^2) / j, \quad (1)$$

where p_0 , p_1 , and p_2 are the energy dependence parameters, E and E_{unc} are calibrated and uncalibrated energy, and j sets the cut value. Events with $A \text{ vs } E > -1$ have SSE character and are accepted.

As A is uncalibrated, it is multiplied by E / E_{unc} to account for gain shifts and to be able to compare it to E . A is based on a slope across ten waveform samples, so its distribution naturally has larger width ($\approx 1\%$) than energy ($\approx 0.1\%$). A nonlinear A dependence with E is expected to arise from the spatial energy deposition (higher energy β s having a larger range and thus larger initial ionization distribution), space charge effects (repulsion of charges broaden the distribution during drift to the electrodes), and response of the electronics. All these effects work to introduce a negative quadratic term, which is equivalent to the negative linear term in the A/E study of [8].

To calculate the cut parameters, the following methodology is applied. First, 22 Compton-continuum regions from 200–2300 keV, each 25 keV wide, are considered. For each region, the mode of the AE/E_{unc} distribution is obtained. A quadratic fit to these 22 points is applied to get p_0 , p_1 , and p_2 , see Fig. 3. Finally, the j parameter is varied until 90% of background-subtracted DEP events pass the cut. The corrected A value,

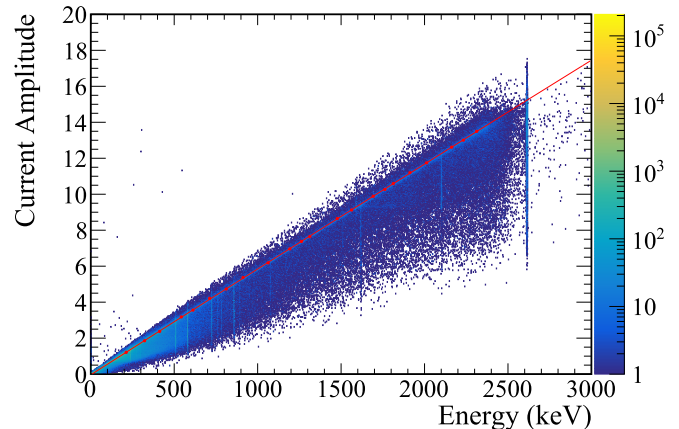


FIG. 3. The distribution of the calibrated maximum amplitude of the current pulse (AE/E_{unc}) vs energy (E) for a detector. The red dots are the mode of AE/E_{unc} at the evaluated energies and the red line is the quadratic polynomial fit $AE/E_{\text{unc}} = -3.904 \times 10^{-2} + 5.908 \times 10^{-3} \times E - 2.665 \times 10^{-8} \times E^2$.

also known as $A \text{ vs } E$, is shown in Fig. 4(a) and as a function of the energy in Fig. 4(b).

The possibility of using the peak amplitude to total energy, A/E , as the cut parameter (instead of $A \text{ vs } E$) [8] was also explored. However, the width of A/E increases significantly at lower energies, reducing the efficiency for SSE [8]. A 1 MeV energy cut had to be applied to achieve a constant A/E cut performance. Although this threshold is far below the $0\nu\beta\beta$ region of interest, other spectral analyses require a lower threshold for the multisite event cut. The $A \text{ vs } E$ cut has demonstrated performance in the MAJORANA DEMONSTRATOR down to 100 keV, below which noise events become the dominant background requiring other cuts [11]. A comparison of both cuts is shown in Fig. 5.

IV. EFFICIENCY DETERMINATION USING ^{228}Th CALIBRATION DATA

We calibrate the detectors with a ^{228}Th line source [12]. At least one long (≈ 12 h) ^{228}Th calibration is taken during each data set to ensure enough statistics [$O(1000)$ DEP events/detector] to individually calibrate the $A \text{ vs } E$ parameters for each detector. More frequent short ≈ 1 hr calibrations are used to monitor time stability, but have insufficient statistics to individually calibrate the $A \text{ vs } E$ parameters. For each data set, the $A \text{ vs } E$ acceptance value is set so that the survival efficiency of the DEP is 90%. Then, the survival efficiencies are calculated for the SEP and for the 100 keV region surrounding $Q_{\beta\beta}$ where most events are Compton scattered recoil electrons. Multiple long calibration runs were taken in DS0, DS1, and DS6, and the cut is recalculated for each. The same long calibration is used for DS5a, DS5b, and DS5c, as no different results are expected.

To determine the efficiency, we compute the total number of events in the DEP (SEP) window (N) and in the background window (B) and the number of events passing (N_c , B_c) the $A \text{ vs } E$ cut ($A \text{ vs } E > -1$). The signal energy windows for the DEP (SEP) are 1590–1595 keV (2101–2106 keV)

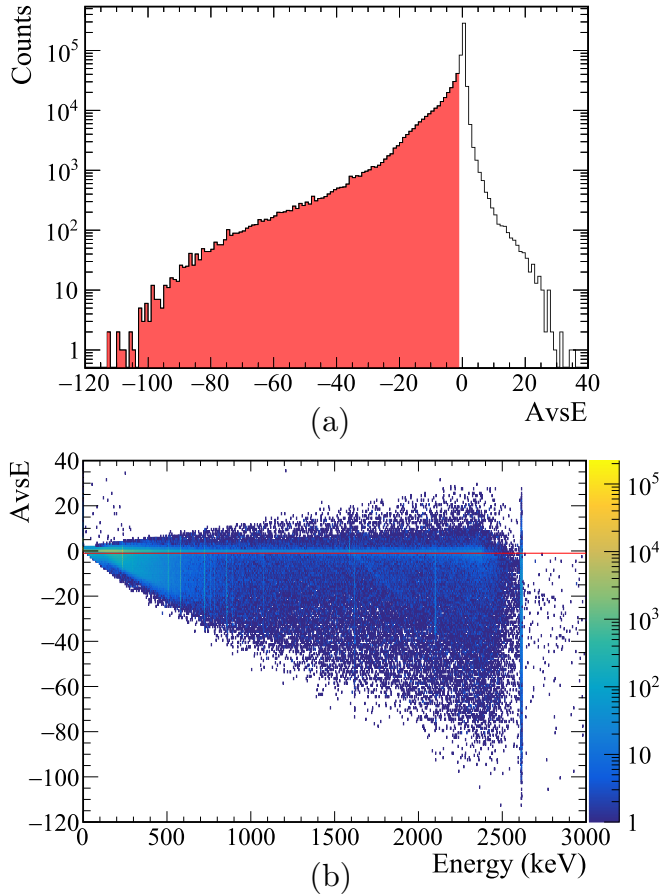


FIG. 4. (a) The corrected A value, also known as $AvsE$, for $100 < E < 3000$ keV with the excluded MSE shaded in red. (b) $AvsE$ vs energy with excluded MSE below the red line.

giving N and N_c , and the background energy windows are 1570–1580 keV and 1600–1610 keV (2080–2090 keV and 2115–2125 keV) giving B and B_c . We compute the efficiency via background subtraction:

$$\epsilon = \frac{N_c - \tau B_c}{N - \tau B}, \quad (2)$$

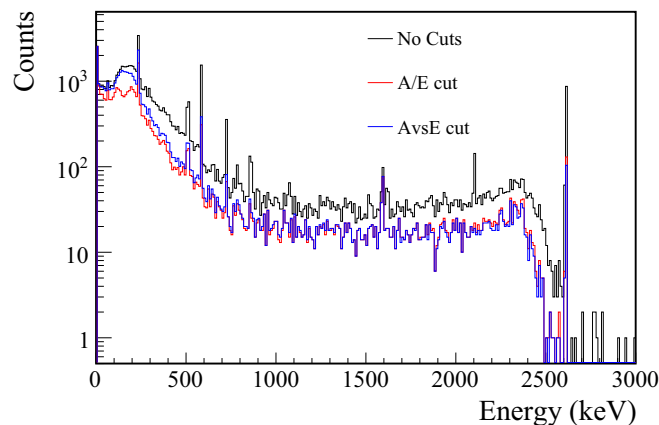


FIG. 5. Comparison of the A/E and the $AvsE$ cuts applied to ^{228}Th calibration data.

where τ is the energy width ratio between the signal and background windows. The uncertainty σ_ϵ is computed by standard error propagation, accounting for the covariance between N and N_c , and B and B_c :

$$\left(\frac{\sigma_\epsilon}{\epsilon}\right)^2 = \frac{N + \tau^2 B}{(N - \tau B)^2} + \frac{N_c + \tau^2 B_c}{(N_c - \tau B_c)^2} - 2 \frac{N_c + \tau^2 B_c}{(N - \tau B)(N_c - \tau B_c)}. \quad (3)$$

For the ROI where no background subtraction is relevant, the efficiency is calculated as the ratio of the integral of the 1989–2089 keV energy region after and before the $AvsE$ cut.

The percentage of accepted events by detector is shown in Fig. 6 for DS5 where both modules were first operative. The average survival efficiency for all data sets are shown in Table I. The small deviation in the DEP survival efficiency from the 90% prescription is mainly due to the statistical uncertainty. The survival efficiency in the SEP and the ROI are also computed. It has to be noted that events in the ROI are from the Compton continuum taken with the ^{228}Th source, resulting in a mixed population of both SSE and MSE, consistent with simulations.

The cut is tuned to produce uniform 90% efficiency in all detectors, see Fig. 6, but varies in the background rejection efficiency by detector. These variations arise from differing physical characteristics of the detectors and their electronics, which translate into differing operating characteristics (e.g., gain, charge trapping, rise time), which impacts the PSA performance, i.e., the width of the A distribution. The cut value, j , is allowed to vary significantly between detectors to accommodate for these differences in the PSA performance, which results in the nonuniform acceptance of background events across the array.

V. EFFICIENCY UNCERTAINTY

A careful study has been carried out in order to determine the uncertainty associated with the efficiency values calculated in Sec. IV. The uncertainty is the quadratic sum of the following components: statistical uncertainty of the DEP survival fraction ($stat$), uncertainty due to $AvsE$ energy dependence (roi), uncertainty due to the residual differences between calibration and physics data ($2\nu\beta\beta$), uncertainty due

TABLE I. Average survival efficiencies of events in the DEP (SSE), SEP (MSE), and ROI (Compton continuum) when subjected to the recommended $AvsE$ cut based on ^{228}Th calibration. Only the statistical uncertainty is shown.

Data set	DEP (%)	SEP (%)	ROI (%)
DS0	90.09 ± 0.52	5.30 ± 0.38	38.69 ± 0.33
DS1	90.14 ± 0.33	5.51 ± 0.23	39.31 ± 0.21
DS2	90.34 ± 0.75	6.52 ± 0.56	42.41 ± 0.50
DS3	89.99 ± 0.25	5.63 ± 0.18	39.04 ± 0.16
DS4	89.87 ± 0.30	7.67 ± 0.28	41.65 ± 0.22
DS5	90.00 ± 0.29	6.24 ± 0.23	40.26 ± 0.15
DS6	90.14 ± 0.11	6.12 ± 0.09	40.21 ± 0.06

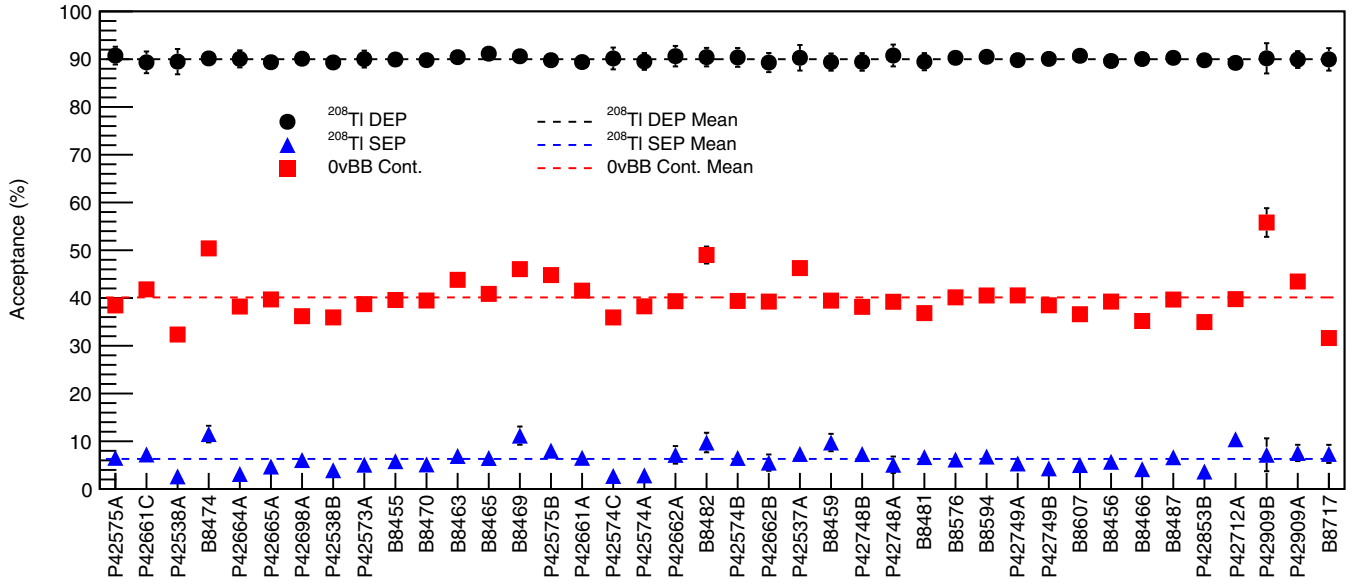


FIG. 6. $AvsE$ PSA performance of the operating detectors in DS5. The single-site ^{208}Ti DEP events are fixed to 90% (black), the multisite SEP events (blue) are reduced to 6% by the cut.

to the difference between $0\nu\beta\beta$ and DEP events, and uncertainty due to time stability ($stab$). The different component contributions are summarized in Table II and detailed in the following sections.

A. Statistical uncertainty

The statistical uncertainty of the DEP survival fraction is calculated channel by channel and then averaged, as explained in Sec. IV. Results are shown in Table I. The magnitude changes among data sets because not all have the same number of events. For instance, the DS2 calibration is shorter than the others while DS6 includes averaging across five long calibrations.

B. Uncertainty due to $AvsE$ energy dependence

The uncertainty from the $AvsE$ energy dependence accounts for the shift in the $AvsE$ distribution between the DEP and the ROI. First, the $AvsE$ mode is calculated for events at

the DEP, μ_{DEP} , and events at the ROI, μ_{ROI} . Then, the $AvsE$ cut is varied positively and negatively by the difference of these two values ($\Delta\mu = |\mu_{ROI} - \mu_{DEP}|$), which is typically <0.1 (with the cut value at -1). Finally, the difference in the efficiency is considered as the systematic uncertainty. This systematic is calculated from calibration data channel by channel and then averaged.

C. Uncertainty due to the residual differences between calibration and physics data

The uncertainty due to the residual differences between calibration and physics data accounts for the shift in the $AvsE$ distribution between the calibration DEP and the physics data $2\nu\beta\beta$ continuum. The $2\nu\beta\beta$ decay is homogeneously distributed allowing for a cross check of the signal detection efficiency. The $2\nu\beta\beta$ region considered is 950–1400 keV to avoid peaks or DCR events. There are not enough background statistics to perform a channel by channel estimation, so the $AvsE$ cut is varied by the difference between the fitted mean

TABLE II. $AvsE$ cut efficiency and uncertainty contributions for every data set.

Data set	DEP efficiency and uncertainty			
DS0	$0.9009 \pm 0.0052(stat)$	$^{+0.0051}_{-0.0148}(roi)$	$^{+0.0026}_{-0.0046}(2\nu\beta\beta)$	$\pm 0.029(0\nu\beta\beta) \pm 0.0117(stab)$
DS1	$0.9014 \pm 0.0033(stat)$	$^{+0.0033}_{-0.0185}(roi)$	$^{+0.0025}_{-0.0029}(2\nu\beta\beta)$	$\pm 0.029(0\nu\beta\beta) \pm 0.0210(stab)$
DS2	$0.9034 \pm 0.0075(stat)$	$^{+0.0044}_{-0.0148}(roi)$	$^{+0.0068}_{-0.0040}(2\nu\beta\beta)$	$\pm 0.029(0\nu\beta\beta) \pm 0.0187(stab)$
DS3	$0.8999 \pm 0.0025(stat)$	$^{+0.0034}_{-0.0086}(roi)$	$^{+0.0010}_{-0.0012}(2\nu\beta\beta)$	$\pm 0.029(0\nu\beta\beta) \pm 0.0079(stab)$
DS4	$0.8997 \pm 0.0030(stat)$	$^{+0.0039}_{-0.0155}(roi)$	$^{+0.0111}_{-0.0138}(2\nu\beta\beta)$	$\pm 0.029(0\nu\beta\beta) \pm 0.0081(stab)$
DS5a	$0.9000 \pm 0.0029(stat)$	$^{+0.0030}_{-0.0113}(roi)$	$^{+0.0039}_{-0.0047}(2\nu\beta\beta)$	$\pm 0.029(0\nu\beta\beta) \pm 0.0177(stab)$
DS5b	$0.9000 \pm 0.0029(stat)$	$^{+0.0030}_{-0.0113}(roi)$	$^{+0.0039}_{-0.0047}(2\nu\beta\beta)$	$\pm 0.029(0\nu\beta\beta) \pm 0.0118(stab)$
DS5c	$0.9000 \pm 0.0029(stat)$	$^{+0.0030}_{-0.0113}(roi)$	$^{+0.0039}_{-0.0047}(2\nu\beta\beta)$	$\pm 0.029(0\nu\beta\beta) \pm 0.0098(stab)$
DS6	$0.9014 \pm 0.0011(stat)$	$^{+0.0069}_{-0.0081}(roi)$	$^{+0.0023}_{-0.0024}(2\nu\beta\beta)$	$\pm 0.029(0\nu\beta\beta) \pm 0.0090(stab)$

A_{vsE} value for calibration DEP events and background events in the $2\nu\beta\beta$ region for all the operating detectors in each data set ($\Delta\mu = |\mu_{2\nu\beta\beta} - \mu_{DEP}|$), which is typically <0.1 . Finally, the difference in the efficiency is considered as the systematic uncertainty. This is the only uncertainty contribution depending on physics data and was updated for the early data sets after data unblinding. The DS5 data subsets are quoted with the same uncertainty contribution because they reference the same long calibration data.

D. Uncertainty due to the differences between $0\nu\beta\beta$ and DEP events

The uncertainty due to the differences between $0\nu\beta\beta$ and DEP events is assessed from a pulse shape simulation. A full waveform simulation was used to validate the performance of the A_{vsE} PSA parameter and estimate the systematic uncertainty due to the difference in $0\nu\beta\beta$ and DEP event populations. This simulation is based on the standard MAGE [13] simulation running on GEANT4.10.3 [14]. We simulate ^{228}Th -chain calibration events in the calibration track geometry [12] and $0\nu\beta\beta$ events in the enriched detectors. The simulation postprocessing framework converts the MAGE output into waveforms using the SIGGEN [15] detector signal simulation and fit waveform shaping parameters [16]. The simulated waveforms are then processed with the same analysis as the data. The waveform simulation is only available for two detectors from DS1 as the computationally intensive data waveform fitting has not been expanded to the entire array or all data sets. Although the fits are based on a single calibration set, comparisons to other data sets help constrain the variability of the simulation; long calibration runs in DS1, DS3, and DS6 are therefore used as the data reference. The A_{vsE} parameter calibration is performed on the processed simulation data as in the experiment data, but the j cut value is varied between the experimental values from the different data sets.

The systematic uncertainty is estimated from two measurements: the agreement between data and simulation at the DEP and the agreement between DEP and $0\nu\beta\beta$ in simulation. For the first, we assess the difference between each data-set's DEP efficiency and the DEP simulation efficiency at the respective data set's cut value; these differences range from -1.8% to $+2.3\%$. For the second, we assess the difference between the DEP and $0\nu\beta\beta$ efficiency at each data set's cut value; these differences range from -0.4% at the simulation cut value to $+1.7\%$. Due to the limited number of detector and calibration data-set pairings available, the error we estimate is from our most conservative values. A 2.3% error for the DEP agreement and 1.7% for the simulation DEP to $0\nu\beta\beta$ agreement, added in quadrature for a total systematic uncertainty of 2.9%. With waveform shaping parameters fit for additional detectors and variation between data sets taken into account, this systematic error will be better understood and reduced in future analyses.

E. Uncertainty due to time stability

The uncertainty due to time stability accounts for variation in the average DEP acceptance observed across all weekly

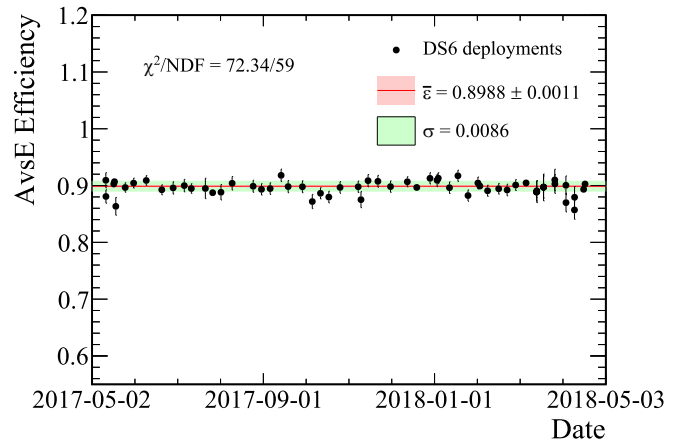


FIG. 7. A_{vsE} stability over the yearlong DS6 data set. The stability uncertainty uses the weighted standard deviation (σ), which is significantly larger than the uncertainty on the average.

calibration sets. The peak energy window used is 1585.5–1599.5 keV, the window with sidebands is 1575–1610 keV. For each calibration subset, we compute the efficiency as explained in Sec. IV.

The efficiencies over a yearlong period are shown in Fig. 7. A flat line was fit to the data to compute the weighted average efficiency. As all calibration data are used in this case, the efficiency values differ slightly from those reported in Table I; this difference ($\Delta\epsilon$) was taken to represent a component of the time stability systematic uncertainty. In all data sets a nonstatistical spread about the weighted average efficiency is observed. To account for such potentially large fluctuations, we conservatively use the weighted standard deviation of the deployment-by-deployment efficiencies (σ) as the second contribution to the time stability systematic instead of the uncertainty on the weighted average efficiency. Results are given in Table III.

F. Summary of uncertainties

The full uncertainties are detailed in Table II and summarized in Table IV. Note that DS5 is split into three subsets (5a, 5b, and 5c) with separate stability systematic uncertainties

TABLE III. Difference between average efficiencies ($\Delta\epsilon$), and scatter of efficiency measurements from all weekly calibrations (σ), which combine to make the total stability uncertainty (σ_{tot}).

Data set	$\Delta\epsilon(\%)$	$\sigma(\%)$	$\sigma_{\text{tot}}(\%)$
DS0	0.85	0.81	1.17
DS1	0.75	1.96	2.10
DS2	1.13	1.32	1.87
DS3	0.14	0.78	0.79
DS4	0.23	0.78	0.81
DS5a	0.79	1.58	1.77
DS5b	0.44	1.10	1.18
DS5c	0.16	0.97	0.98
DS6	0.26	0.86	0.90

TABLE IV. $AvsE$ cut efficiency (fraction of accepted events from the DEP after background subtraction) and statistical and systematic uncertainty from a quadrature sum of the different contributions.

Data set	DEP efficiency
DS0	$0.9009^{+0.0322}_{-0.0353}$
DS1	$0.9014^{+0.0362}_{-0.0405}$
DS2	$0.9034^{+0.0362}_{-0.0385}$
DS3	$0.8999^{+0.0304}_{-0.0314}$
DS4	$0.8997^{+0.0325}_{-0.0367}$
DS5a	$0.9000^{+0.0344}_{-0.0362}$
DS5b	$0.9000^{+0.0318}_{-0.0337}$
DS5c	$0.9000^{+0.0311}_{-0.0331}$
DS6	$0.9014^{+0.0312}_{-0.0315}$

for consistency with the first result [2], but the same cut can be applied in all three cases. Considering all data sets, the retaining efficiency of single-site events is $(90 \pm 3.5)\%$.

VI. BACKGROUND REDUCTION WITH THE $AvsE$ CUT

The $AvsE$ cut is applied to the background data in addition to a standard suite of cuts. Periods of high noise associated with liquid nitrogen fills or unstable operation are removed. Nonphysical waveforms and pulser events are then removed by data reduction cuts. Multidetector events caused by multisite backgrounds across the array are removed by event coincidence with triggers in other germanium detectors or the muon veto. Surface α backgrounds are removed with the DCR cut. Figure 8 shows the effect of the $AvsE$ cut on background data for the full 26 kg yr exposure after all these cuts. Table V shows the number of events that pass the cut in different energy regions.

The full energy γ lines throughout the spectrum are strongly suppressed with the application of the $AvsE$ cut. The acceptance of the $2\nu\beta\beta$ spectrum is near 90%, consistent with

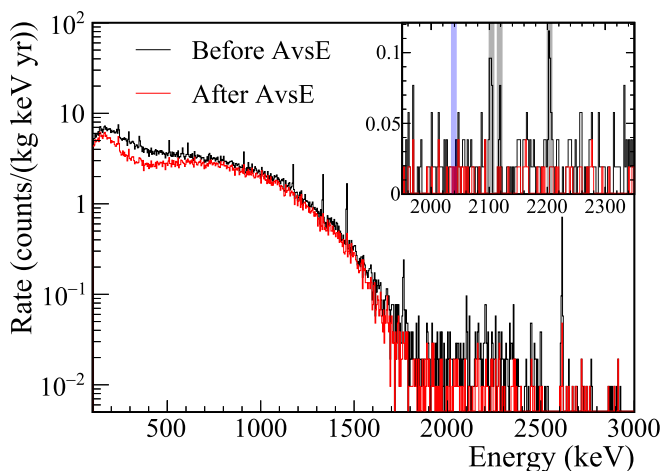


FIG. 8. DS0-6 energy spectrum corresponding to 26 kg yr exposure before and after the $AvsE$ cut.

TABLE V. Acceptance of $AvsE$ cut for γ lines and continuum regions in combined background spectrum. The acceptance around the γ lines is calculated with appropriate background subtraction to correct out the continuum contribution. The background window is a 360 keV window with 10 keV regions excluded around $Q_{\beta\beta}$ and known γ lines, as depicted in Fig. 8.

Energy (keV)	Source	Acceptance
511	e^+e^- , ^{208}Tl	0.322 ± 0.094
583	^{208}Tl	0.144 ± 0.179
609	^{214}Bi	0.175 ± 0.125
911	^{228}Ac	0.313 ± 0.113
1173	^{60}Co	0.020 ± 0.089
1333	^{60}Co	0.098 ± 0.047
1461	^{40}K	0.143 ± 0.041
1765	^{214}Bi	0.025 ± 0.077
2615	^{208}Tl	0.062 ± 0.028
1000–1400	$^{76}\text{Ge}(2\nu\beta\beta)$	0.860 ± 0.003
1950–2350*	background window	0.316 ± 0.035

expectation for this SSE sample. The known γ lines (2104, 2118, and 2204 keV) within the 1950–2350 keV background averaging window are clearly visible in the initial spectrum, but effectively removed by the $AvsE$ cut; this motivates the removal of these 10 keV windows from the background window. In the 360 keV background averaging window (additionally ± 5 keV around $Q_{\beta\beta}$ at 2039 keV is removed), the $AvsE$ cut provides a factor of 3 suppression of the background index. This reduces the expected background in the optimal window from ≈ 2 to the value obtained of 0.66. Two additional events are present in the $Q_{\beta\beta} \pm 5$ keV window before the cut.

VII. CONCLUSIONS

The MAJORANA Collaboration is operating an array of high-purity Ge detectors to search for $0\nu\beta\beta$ in ^{76}Ge . The PSA implemented to reject multisite events is known as $AvsE$ and profits from the point contact detector technology. By comparing the maximum amplitude of the current pulse with the energy, events that have a spread-out current pulse and are likely multisite are rejected by cutting low values of A relative to E . This cut is tuned with the DEP of ^{208}Tl , whose events have single-site structure such as that expected of $0\nu\beta\beta$. MSE are rejected with $>90\%$ efficiency by this cut while SSE are preserved with $(90 \pm 3.5)\%$ efficiency. For a mixed-site event sample such as the Compton continuum in the ROI for the ^{228}Th source we achieve $\approx 60\%$ rejection, and in the physics data we observe 68% rejection of backgrounds in the 360 keV background window after all other cuts. The efficiency uncertainty accounts for channel, energy, and time variation, as well as for the position distribution difference between calibration and $0\nu\beta\beta$ events, established using simulations.

ACKNOWLEDGMENTS

This material is based upon work supported by the U.S. Department of Energy, Office of Science, Office of Nuclear Physics under Awards No. DE-AC02-05CH11231,

No. DE-AC05-00OR22725, No. DE-AC05-76RL0130, No. DE-AC52-06NA25396, No. DE-FG02-97ER41020, No. DE-FG02-97ER41033, No. DE-FG02-97ER41041, No. DE-SC0010254, No. DE-SC0012612, No. DE-SC0014445, and No. DE-SC0018060. We acknowledge support from the Particle Astrophysics Program and Nuclear Physics Program of the National Science Foundation through Grants No. MRI-0923142, No. PHY-1003399, No. PHY-1102292, No. PHY-1206314, No. PHY-1614611, No. PHY-1812409, and No. PHY-1812356. We gratefully acknowledge the support of the U.S. Department of Energy through the LANL/LDRD Program and through the PNNL/LDRD Program for this

work. We acknowledge support from the Russian Foundation for Basic Research, Grant No. 15-02-02919. We acknowledge the support of the Natural Sciences and Engineering Research Council of Canada, funding Reference No. SAPIN-2017-00023, and from the Canada Foundation for Innovation John R. Evans Leaders Fund. This research used resources provided by the Oak Ridge Leadership Computing Facility at Oak Ridge National Laboratory and by the National Energy Research Scientific Computing Center, a U.S. Department of Energy Office of Science User Facility. We thank our hosts and colleagues at the Sanford Underground Research Facility for their support.

-
- [1] N. Abgrall *et al.*, *Adv. High Energy Phys.* **2014**, 365432 (2014).
[2] C. E. Aalseth *et al.*, *Phys. Rev. Lett.* **120**, 132502 (2018).
[3] P. S. Barbeau, J. I. Collar, and O. Tench, *J. Cosmol. Astropart. Phys.* **2007**, 009 (2007).
[4] P. N. Luke, F. S. Goulding, N. W. Madden, and R. H. Pehl, *IEEE Trans. Nucl. Sci.* **36**, 926 (1989).
[5] N. Abgrall *et al.*, *Phys. Proc.* **61**, 654 (2015).
[6] J. Heise, *J. Phys. Conf. Ser.* **606**, 012015 (2015).
[7] S. Alvis *et al.*, [arXiv:1902.02299](https://arxiv.org/abs/1902.02299) [Phys. Rev. C (to be published)].
[8] M. Agostini *et al.*, *Eur. Phys. J. C* **73**, 2583 (2013).
[9] J. Gruszko *et al.*, *J. Phys. Conf. Ser.* **888**, 012079 (2017).
[10] V. T. Jordanov and G. F. Knoll, *Nucl. Instrument. Methods Phys. Res. A* **345**, 337 (1994).
[11] N. Abgrall *et al.*, *Phys. Rev. Lett.* **118**, 161801 (2017).
[12] N. Abgrall *et al.*, *Nucl. Instrument. Methods Phys. Res. A* **872**, 16 (2017).
[13] M. Boswell *et al.*, *IEEE Trans. Nucl. Sci.* **58**, 1212 (2011).
[14] S. Agostinelli *et al.*, *Nucl. Ins. Meth. A* **506**, 250 (2003).
[15] D. Radford, MAJORANA SIGGEN, <https://indico.mpp.mpg.de/event/3121/session/6/contribution/33/material/slides/0.pdf>, 2015.
[16] B. Shanks, Ph.D. thesis, University of North Carolina - Chapel Hill, 2017.