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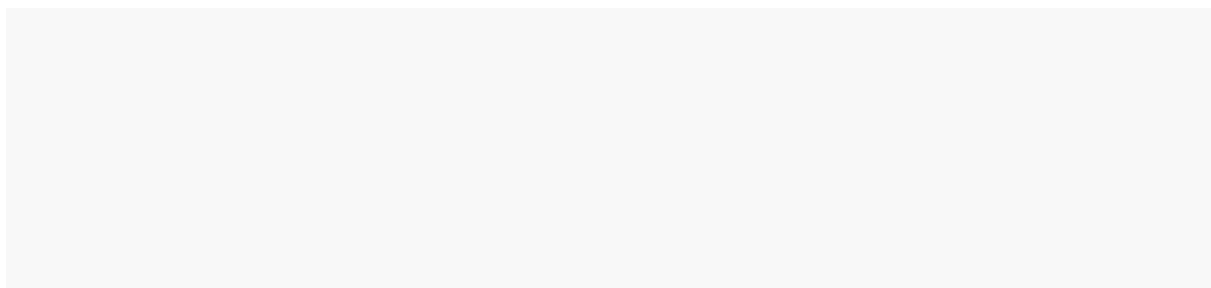
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**Freeze-dried mucoadhesive polymeric system containing pegylated lipoplexes:
towards a vaginal sustained released system for siRNA**

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Abstract

Topical vaginal sustained delivery of siRNA presents a significant challenge due to the short residence time of formulations. Therefore, a drug delivery system capable to adhere to the vaginal mucosa is desirable, as it could allow a prolonged delivery and increase the effectiveness of the therapy. The aim of this project is to develop a polymeric solid mucoadhesive system, loaded with lipoplexes, able to be progressively rehydrated by the vaginal fluids to form a hydrogel and to deliver siRNA to vaginal tissues.

To minimize adhesive interactions with vaginal mucus components, lipoplexes were coated with different derivatives of polyethylene glycol: DPSE-PEG₂₀₀₀, DPSE-PEG₇₅₀ and ceramide-PEG₂₀₀₀. Based on stability and diffusion properties in simulated vaginal fluids, lipoplexes containing DSPE-PEG₂₀₀₀ were selected and incorporated in hydroxyethyl cellulose (HEC) hydrogels. Solid systems, called sponges, were then obtained by freeze-drying. Sponges meet acceptable mechanical characteristics and their hardness, deformability and mucoadhesive properties are not influenced by the presence of lipoplexes. Finally, mobility and stability of lipoplexes inside sponges rehydrated with vaginal mucus, mimicking *in situ* conditions, were evaluated by advanced fluorescence microscopy. The release rate was found to be influenced by the HEC concentration and consequently by the viscosity after rehydration.

This study demonstrates the feasibility of entrapping pegylated lipoplexes into a solid matrix system for a prolonged delivery of siRNA into the vagina.

Keywords:

Vaginal drug delivery; freeze-drying; mucoadhesion; lipoplexes; diffusion; stability.

Abbreviations:

Ceramide-PEG₂₀₀₀: N-octanoyl-sphingosine-1-{succinyl[methoxy(polyethylene glycol)2000]}

DSPE-PEG₂₀₀₀: 1,2-distearoyl-sn-glycero-3-phosphoethanolamine-N-[methoxy(polyethylene glycol)-2000

DSPE-PEG₇₅₀: 1,2-distearoyl-sn-glycero-3-phosphoethanolamine-N-[methoxy(polyethylene glycol)-750]

FCS: fluorescence correlation spectroscopy

fluo-siRNA: fluorescent small interfering RNA

fSPT: fluorescence single particle tracking

HEC: hydroxyethyl cellulose

PdI: polydispersity index

PEG: polyethylene glycol

PEG400: polyethylene glycol 400

SEM-EDX: Scanning Electron Microscopy and Energy Dispersive X-Ray Analysis

siRNA: small interfering RNA

SVF: simulated vaginal fluid

TA: texture analyser

TAE: Tris Acetate EDTA buffer

1 **1. Introduction**

2 In the context of genital diseases, the vaginal route of administration has gained great attention
3 for drug delivery and has been extensively studied for effective delivery of different drug
4 molecules [1-3]. Advantages over other routes of drug administration include low drug doses,
5 reduced risk of systemic immune activation, site-specific delivery, and most importantly,
6 circumvention of first-pass hepatic clearance [4]. The ease of administration and low toxicity
7 profile make the vaginal route an excellent site for the delivery of many drugs and particularly
8 for siRNA delivery for the treatment and prevention of vaginal and/or cervical diseases [5-7].
9 However, naked siRNAs have difficulties in achieving efficient mucosal uptake if administered
10 directly into the vagina due to degradation, poor cellular uptake, low mucus diffusion and high
11 clearance. In order to overcome these obstacles, siRNA need to be encapsulated in a vector,
12 such as liposomes.

13 Liposomes have been largely investigated as vaginal drug delivery system [8-11]. However,
14 vaginal conditions are subject to changes because of numerous physiological and non-
15 physiological factors that can lead to variations in the bioavailability of drugs. Moreover, a
16 vaginal administration of lipoplexes (liposomes encapsulating siRNA) encounters important
17 barriers such as the penetration through the mucus to reach the epithelial tissue and a short
18 residence time. One strategy to improve the particle diffusion through the mucus and to create
19 "mucopenetrating" lipoplexes is to densely coat their surface with polyethylene glycol (PEG)
20 [1, 12, 13]. PEG is a neutral hydrophilic polymer that has been described to minimize adhesive
21 interactions between nanoparticles and mucus components, allowing them to penetrate rapidly
22 through viscoelastic human mucus secretions [14, 15]. The size of the lipoplexes is also
23 another important parameter to consider for the diffusion. It has been shown that particles with
24 a diameter around 200 - 300 nm can diffuse more rapidly through undiluted human vaginal
25 mucus, than smaller ones (100 nm) and bigger ones (> 500 nm) [16, 17].

26 Unfortunately, even if lipoplexes are mucopenetrating, they have a short residence time, which
27 conducts them to be quickly eliminated. In order to improve the vaginal retention, lipoplexes
28 should be incorporated in an appropriate depot system with a desirable viscosity and with

29 mucoadhesive properties. For this purpose, a polymeric hydrogel can be a good solution [18].
30 Among the different mucoadhesive polymers used for vaginal administration and based on
31 previous results [19-21], cellulosic derivatives and particularly hydroxyethyl cellulose (HEC)
32 are attractive candidates. It has also been described that lipid vesicles are compatible with
33 HEC hydrogels [22].
34 Finally, in order to avoid drug degradation and to obtain a solid and easy to handle system, the
35 hydrogels containing pegylated lipoplexes should be freeze-dried. The obtained system, called
36 sponge, has been previously described and characterized [19].
37 Taken together, increasing the residence time with the vaginal mucosa by introducing
38 pegylated lipoplexes inside a mucoadhesive solid system can be crucial for efficient vaginal
39 siRNA delivery. Combining mucoadhesion and prolonged drug delivery possesses the
40 advantages to improve patient's compliance and to reduce the frequency of application.
41 Here, we develop a novel solid matrix system able to adhere to the vaginal mucosa, to be *in*
42 *situ* rehydrated by the vaginal fluids to form a hydrogel and to deliver in a sustained manner
43 mucopenetrating pegylated lipoplexes and consequently siRNA to vaginal tissues under
44 pathological conditions.

45 **2. Material and Methods**

46

47 **2.1. Material**

48 1,2-Dioleoyl-3-trimethylammonium-propane (chloride salt) (DOTAP), 1,2-dioleoyl-sn-glycero-
49 3-phosphoethanolamine (DOPE), cholesterol, 1,2-distearoyl-sn-glycero-3-
50 phosphoethanolamine-N-[methoxy(polyethylene glycol)-2000] (ammonium salt) (DSPE-
51 PEG₂₀₀₀), 1,2-distearoyl-sn-glycero-3-phosphoethanolamine-N-[methoxy(polyethylene glycol)-
52 750] (ammonium salt) (DSPE-PEG₇₅₀) and N-octanoyl-sphingosine-1-
53 {succinyl[methoxy(polyethylene glycol)2000]} (ceramide-PEG₂₀₀₀) were purchased from Avanti
54 Polar Lipids, Inc. (Alabaster, Alabama, USA). Scramble siRNA (siRNA) and fluorescent
55 scramble siRNA with alexa Fluor[®] 647 (fluo-siRNA) were provided by Eurogentec[®]
56 (Eurogentec SA, Liège, Belgium) with the following sequence: sense strand: 5'-
57 AGAGUUCAAAAGCCCUUCdTdT-3' and antisense strand: 5'-
58 GAAGGGCUUUUGAACUCUdTdT-3' (alexa Fluor 647 in position 5'). TAE buffer (50X pH 8.0)
59 was obtained from VWR (Leuven, Belgium). D-(+)-trehalose dehydrate (from *Saccharomyces*
60 *cerevisiae*, ≥ 99%) was purchased from Sigma - Aldrich (Schnelldorf, Germany). Hydroxyethyl
61 cellulose 250M (HEC) was purchased from Ashland (Covington, USA) and polyethylene glycol
62 400 (PEG400) was purchased from Fagron (Waregem, Belgium). All the components used to
63 prepare synthetic vaginal mucus were purchased from Sigma - Aldrich (Schnelldorf, Germany).

64

65 **2.2. Lipoplexes formulations**

66 **2.2.1. Preparation of lipoplexes**

67 Liposomes were prepared from a mixture of DOTAP, cholesterol and DOPE at the molar ratio
68 1/0.75/0.5, by the hydration of lipid film method, as described previously [23]. Briefly, lipids
69 were dissolved in chloroform at a total concentration of 5.6 mM. The organic solvent was
70 removed using a rotary evaporator. The resulting thin lipid film was hydrated with 2 mL of
71 RNase free water and vigorously vortexed. Finally, the suspension was repeatedly extruded
72 through polycarbonate membranes with 200 nm pore size.

73 Lipoplexes were obtained in RNase free water by electrostatic interaction between liposomes
74 and siRNA at the N/P ratio of 2.5 [19].

75 Lipoplexes were pegylated by addition of 30% of DSPE-PEG₂₀₀₀, DSPE-PEG₇₅₀ or ceramide-
76 PEG₂₀₀₀ (% mol / DOTAP) by the post-insertion technique. In brief, the PEG in RNase free
77 water (1 mM) were added to preformed lipoplexes and the resulting suspension was vortexed
78 and maintained 1 h at 37°C under continuous stirring.

79

80 **2.2.2. Freeze-drying of lipoplexes**

81 Samples were prepared at 300 nM siRNA concentration in a final volume of 1 mL. Different
82 amounts of trehalose were added (from 1 to 10% m/v) to the lipoplexes. Lipoplexes were then
83 freeze-dried using a vacuum freeze-dryer (Heto-Holten DW 8030, Vacuubrand RZ8 pump) with
84 a freeze-drying cycle previously described [19].

85

86 **2.2.3. Particles characterization**

87 The physicochemical characteristics of the lipoplexes were evaluated before and after freeze-
88 drying. Freeze-dried lipoplexes were rehydrated with 1 mL of RNase free water and stirred for
89 30 min at room temperature.

90 **a. Particle size, polydispersity, zeta potential**

91 The mean diameter (nm) and the polydispersity index (PDI) of the lipoplexes (100 nM siRNA,
92 1 mL) were determined by Dynamic Light Scattering method. The charge density was
93 evaluated by examining the zeta potential (mV). Both measures were made at 25°C, using a
94 Malvern Zetasizer® (Nano ZS, Malvern Instruments, UK) [23].

95 **b. Complexation efficiency**

96 The level of siRNA complexation was evaluated by agarose (4%) gel electrophoresis. In brief,
97 lipoplexes (300 nM, 30 µL) were loaded onto the agarose gel in TAE buffer and the
98 electrophoresis was performed at 100 V for 1 h in a Horizon 11.14 horizontal gel
99 electrophoresis apparatus (Biometra, Goettingen, Germany). Gel was visualized by exposure
100 to UV-illumination by a Molecular Imager Gel Doc XR System (Bio-Rad, Hercules, CA, USA).

101 **c. Stability**

102 Freeze-dried lipoplexes were stored in closed glass vials at 4°C for 25 days. The integrity of
103 complexed siRNA was assessed by agarose gel electrophoresis. Triton X-100 (0.5% w/v) was
104 used to break vesicles and release the complexed siRNA [23]. Gel retardation assay was
105 performed in the same conditions as described in section 2.2.3.b.

106 The mean diameter, the Pdl and the zeta potential of the freeze-dried lipoplexes were also
107 measured, as described in section 2.2.3.a.

108

109 **2.3. Sponges formulations**

110 **2.3.1. Preparation of placebo sponges**

111 Hydrogels (6 g) were prepared by gradual dispersion in water of HEC polymer (0.83% or
112 1.67%) and PEG400 (0.41%), at room temperature and under magnetic stirring. Once
113 homogeneous aqueous dispersions were obtained, the hydrogels were then freeze-dried to
114 form sponges [19].

115

116 **2.3.2. Preparation of sponges loaded with lipoplexes**

117 HEC (0.83% or 1.67%), PEG400 (0.41%) and trehalose (1%) were gradually dispersed in
118 lipoplexes (300 nM, 6 mL) suspension at room temperature and under magnetic stirring. The
119 obtained hydrogels containing lipoplexes were then freeze-dried as described above.

120

121 **2.3.3. Preparation of artificial vaginal mucus**

122 Simulated vaginal mucus (SVF) was prepared with NaCl (0.351 g), KOH (0.140 g), Ca(OH)₂
123 (0.022 g), bovine serum albumin (0.002 g), lactic acid (0.200 g), acetic acid (0.100 g), glycerol
124 (0.016 g), urea (0.040 g), glucose (0.500 g) and dried porcine gastric mucin (type 3) (1.5% w/v)
125 mixed to 90 mL of milliQ water [24, 25]. SVF was stirred until complete dispersion of the
126 components. The pH was adjusted to 6 using HCl (0.1 M) and the final volume was adjusted
127 to 100 mL with milliQ water.

128

129 **2.3.4. Characterization**

130 **a. Mucoadhesion**

131 The mucoadhesive strength (N) was determined using a Texture Analyzer (TA) (Lloyd
132 Instruments, Ametek Company) in compression mode and with hydrated mucin disc, as
133 described previously [19, 22]. Briefly, the sponges were attached with both side adhesive tape
134 on the table of the TA. The mucin disc fixed to the probe (13 mm diameter) was brought into
135 contact with the sponge with a preload of 0.1 N and was maintained for 60s to ensure intimate
136 contact. The probe was then elevated and the mucoadhesive strength was determined from
137 the detachment force between the disc and the sponge. The mucoadhesion of different
138 commercialized pharmaceutical products for vaginal administration (Gynodaktarin[®], Lubrilan[®],
139 Mithra Intim gel[®], Gynoxin[®], Lubexxx[®], Canestene[®], Crinone[®], Preventex[®]) was also measured
140 in the same condition in order to compare them to prepared sponges.

141 **b. Hardness and deformability**

142 The hardness (N) and the deformability (%) of the sponges were determined with a TA in
143 compression and cyclic mode. The sponges were attached with both side adhesive tape on
144 the table of the TA. A cylindrical probe (25 mm diameter) was compressed four times into each
145 sample with a preload of 0.5 N, at a defined rate (1 mm/s) and to a defined depth (0.2 mm).
146 The hardness is the force measured after the first compression. The deformability is the ratio
147 of the force obtained after the first compression and the force measured after each cycle.

148 **c. Scanning Electron Microscopy and Energy Dispersive X-Ray Analysis (SEM-EDX)**

149 Scanning electron microscopy (SEM) was performed on sponges loaded with lipoplexes using
150 a Field Emission Environmental microscope (Philips, model XL 30) after metallization with
151 platinum (30 nm). Elemental detection was also performed with this microscope without
152 preparation of the samples. The morphology of the lipoplexes was analysed. Lipoplexes were
153 identified by the phosphorus atom (P) of siRNA molecules.

154

155 **2.4. Diffusion and colloidal stability of lipoplexes**

156 Lipoplexes were prepared with fluo-siRNA (300 nM) and the sponges were loaded with
157 fluorescent lipoplexes, as described in sections 2.2.1. and 2.3.2. Sponges were previously
158 rehydrated with 2 mL of SVF at 37°C in order to mimic *in situ* vaginal conditions before
159 measuring the diffusion of lipoplexes.

160 **2.4.1. Fluorescence Single Particle Tracking (fSPT)**

161 For the analysis of lipoplexes inside mucus, 10 µL of lipoplexes were added to 40 µL of SVF
162 in a 8-well plate and for the analysis of lipoplexes loaded into rehydrated sponges, 50 µL were
163 sampled. Moreover, 10 µL of lipoplexes were added in 40 µL of RNase free water, as a control
164 condition. Each sample was allowed to equilibrate for 15 min at 37°C before being placed on
165 the swept-field microscope (Nikon, Brussels, Belgium) equipped with a 60x oil immersion lens
166 (Nikon) and with a stage top incubator kept at 37°C. Movies were recorded with NIS Elements
167 software (Nikon) driving the Andor ixon ultra 897 camera (Belfast, UK). Analysis of the videos
168 was performed using an house-developed particle tracking software [26].

169

170 **2.4.2. Fluorescence Correlation Spectroscopy (FCS)**

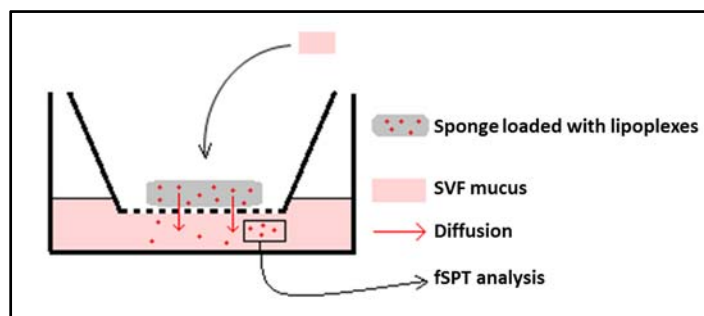
171 The samples were prepared in the same conditions as above (2.4.1.). They were placed in a
172 glass-bottom 96-well plate (Greiner bio-one, Frickenhausen, Germany) and the fluorescent
173 signal was measured respectively after 0 h, 2 h and 4 h of incubation at 37°C. FCS
174 measurements were performed on a C1si laser scanning confocal microscope (Nikon),
175 equipped with a time correlated single photon counting data acquisition module (Picoquant,
176 Berlin, Germany). The laser beam was held stationary and was focused through an oil
177 immersion objective lens (Plan Apo 60x, NA 1.2, collar rim correction, Nikon). The 647 nm
178 laser beam of krypton-argon laser (Bio-Rad, Cheshire, UK) was used and the red fluorescence
179 intensity fluctuations were recorded using Sympho-time (Picoquant, Berlin, Germany) for at
180 least 60 s.

181

182 **2.5. Release study**

183 The release rate of fluorescent lipoplexes from the sponges was monitored over time and
184 determined by fSPT technique. The sponges loaded with fluorescent lipoplexes were placed
185 on ThinCert® with 0.4 µm pores diameter (PET membrane, Greiner bio-one) in a 6-well TC
186 plate (Cellstar, Greiner bio-one) and were rehydrated with 2 mL of SVF (figure 1). The acceptor
187 compartment was filled with SVF (2.5 mL) and all the system was incubated at 37°C. Every
188 hour during 6 h, 100 µL were collected and fSPT movies were recorded, as described in section
189 2.4.1. in order to demonstrate the presence of lipoplexes in the acceptor compartment filled
190 with SVF.

191



192

193

194 **Figure 1:** Schematic illustration of monitoring lipoplexes release from rehydrated sponges and
195 diffusion through the SVF mucus into the acceptor compartment by fSPT.

196

197 **2.6. Statistical analysis**

198 All values are expressed as the mean ± SEM. Statistical analyses were performed using
199 GraphPad Prism® software. A p value < 0.05 was considered significant (*).

200 **3. Results and Discussion**

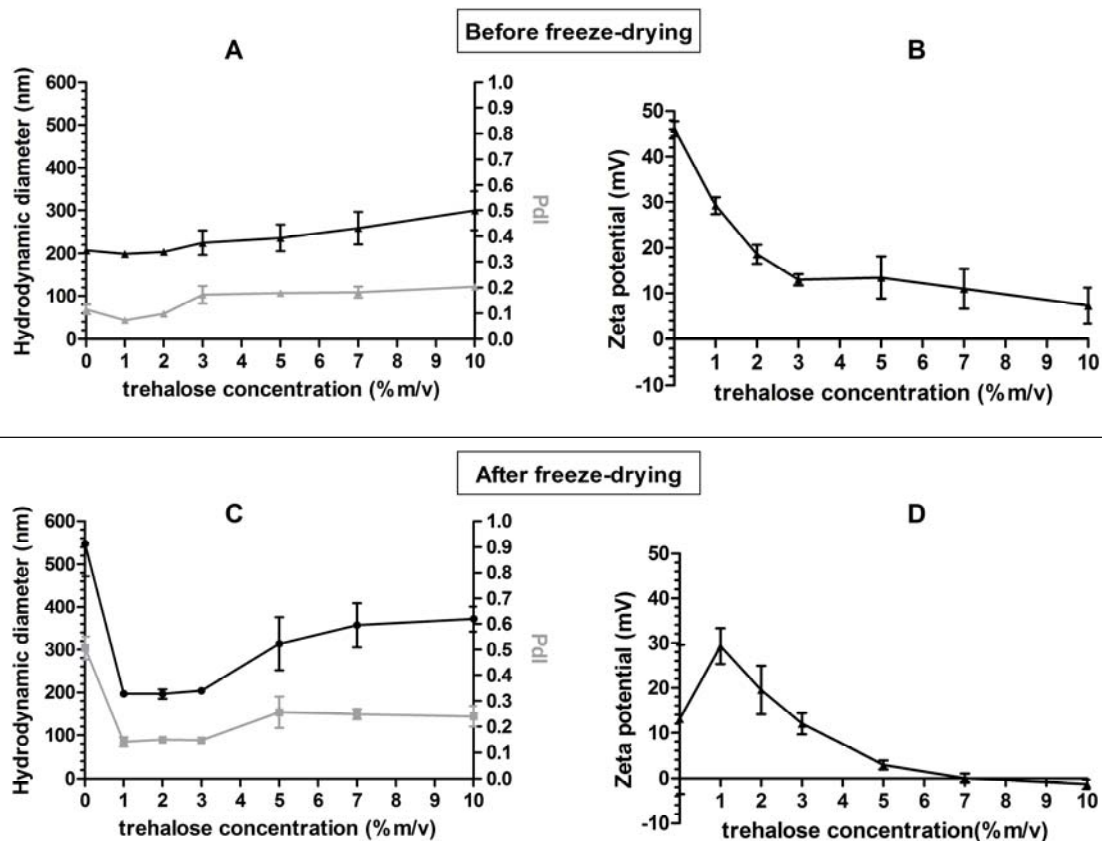
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202 **3.1. Preparation, characterization and freeze-drying of lipoplexes**

203 **3.1.1. Unpegylated lipoplexes**

204 As shown in figure 2 , the mean size of the lipoplexes before freeze-drying (A and B) is around
205 200 nm in diameter with a low Pdl (< 0.2) and a zeta potential around +50 mV. After freeze-
206 drying and rehydration with water (figure 2 C and D), the physicochemical characteristics of
207 these lipoplexes are completely different: the size and Pdl increase (> 500 nm and > 0.5
208 respectively) and the zeta potential decreases (up to +10 mV). In order to prevent these
209 variations, different percentages of trehalose were added. Indeed, as described by Chen et al.
210 [27], the addition of a lyoprotectant, such as trehalose, is necessary to protect the membrane
211 integrity of the lipoplexes during freeze-drying. It avoids a phase transition and can also
212 improve drug retention by reducing the damages by ice crystals and inhibiting vesicles
213 aggregation and/or fusion. It also favours the reversibility of nanoparticles rehydration after
214 freeze-drying and the encapsulated drug protection [28-31]. Addition of increased amounts of
215 trehalose (1 - 10%) only slightly modifies the size (~ 300 nm with 10% trehalose) and the Pdl
216 (~ 0.2) of lipoplexes (figure 2.A). However, the zeta potential decreases with increased
217 concentration of trehalose (figure 2.B). After freeze-drying with trehalose and rehydration,
218 lipoplexes recover their original size (~ 200 nm), particularly when small concentrations of
219 trehalose were used (1 - 3%) (figure 2.C). Higher trehalose concentrations seem to slightly
220 increase the size. Concerning the zeta potential, figure 2.D depicts that the surface charge
221 decreases up to neutrality in the presence of 10% trehalose. In order to keep as close as
222 possible the initial physicochemical characteristics of the lipoplexes, 1% of trehalose was
223 selected for following experiments.

224



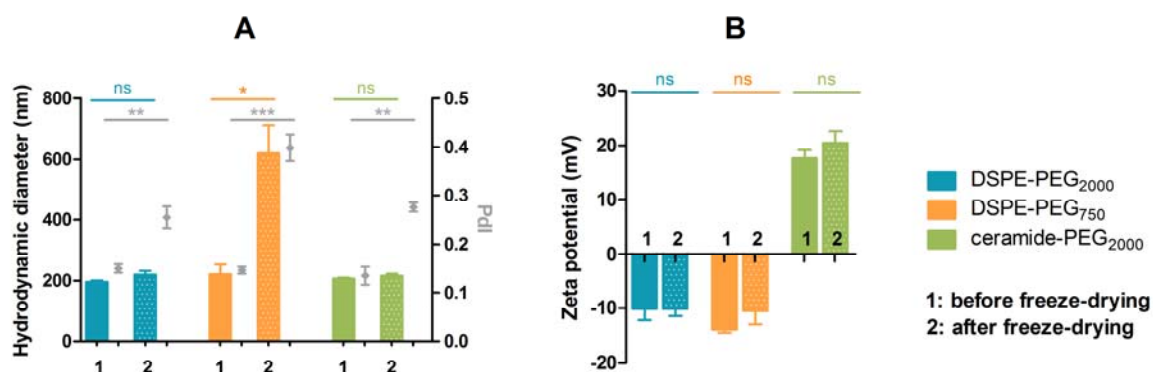
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 226 **Figure 2:** Hydrodynamic diameter (nm), PDI (A) (C) and zeta potential (mV) (B) (D) of
 227 lipoplexes with increased percentages of trehalose (1 to 10 % m/v) before and after freeze-
 228 drying (n=4).

229
 230 **3.1.2. Pegylated lipoplexes**

231 Lipoplexes were then pegylated by addition of 30% of DSPE-PEG₂₀₀₀, DSPE-PEG₇₅₀ or
 232 ceramide-PEG₂₀₀₀. Indeed, it is well known that particles can easily diffuse through a mucosal
 233 vaginal layer given that they are coated with PEG [2, 32, 33]. For this reason, three different
 234 PEG derivatives were evaluated and compared. As highlights figure 3.A, lipoplexes containing
 235 DSPE-PEG₂₀₀₀ or ceramide-PEG₂₀₀₀ have the same size before (1) and after (2) freeze-drying
 236 (~ 200 nm). The PDI slightly increases but is still around 0.2. They also recover their zeta
 237 potential once rehydrated (around -10 and +20 mV respectively). Concerning the lipoplexes
 238 with DSPE-PEG₇₅₀, their size increases significantly after freeze-drying (> 600 nm). The PDI
 239 also increases up to 0.4, giving rise to an increased heterogeneity of the system. However, the

240 zeta potential does not vary before and after freeze-drying (~ -12 mV) (figure 3.B). These
 241 results show that lipoplexes containing DSPE-PEG₇₅₀ are less stable than other lipoplexes,
 242 which can be a problem for further incorporation in a prolonged release system.

243



244

245 **Figure 3:** Hydrodynamic diameter (nm), PDI (A) and zeta potential (mV) (B) of lipoplexes with
 246 DSPE-PEG₂₀₀₀, DSPE-PEG₇₅₀ or ceramide-PEG₂₀₀₀ and with 1% of trehalose before (1) and
 247 after (2) freeze-drying. A paired Student's t-test is used to compare lipoplexes with DSPE-
 248 PEG₂₀₀₀ (1)-(2), DSPE-PEG₇₅₀ (1)-(2) and ceramide-PEG₂₀₀₀ (1)-(2) (n=6).

249

250 Table 1 summarizes the physicochemical characteristics of lipoplexes before and after freeze-
 251 drying.

Lipoplexes	Freeze-drying	Diameter (nm)	PDI	Zeta potential (mV)
Without PEG	before	198,8 ± 6,1	0,07 ± 0,01	29,3 ± 1,9
	after	197,3 ± 7,4	0,14 ± 0,02	29,3 ± 3,9
DSPE-PEG ₂₀₀₀	before	196,1 ± 5,8	0,15 ± 0,01	-10,1 ± 2,1
	after	220,6 ± 12,1	0,25 ± 0,02	-10,2 ± 1,3
DSPE-PEG ₇₅₀	before	222,0 ± 32,0	0,15 ± 0,01	-13,9 ± 0,6
	after	620,9 ± 90,7	0,40 ± 0,03	-10,5 ± 2,5
Ceramide-PEG ₂₀₀₀	before	207,8 ± 3,2	0,14 ± 0,02	17,7 ± 1,5
	after	216,9 ± 6,7	0,27 ± 0,01	20,5 ± 2,2

252 **Table 1.** Physicochemical characteristics of lipoplexes with 1% trehalose before and after
 253 freeze-drying. Values represent mean ± SEM (n=4).

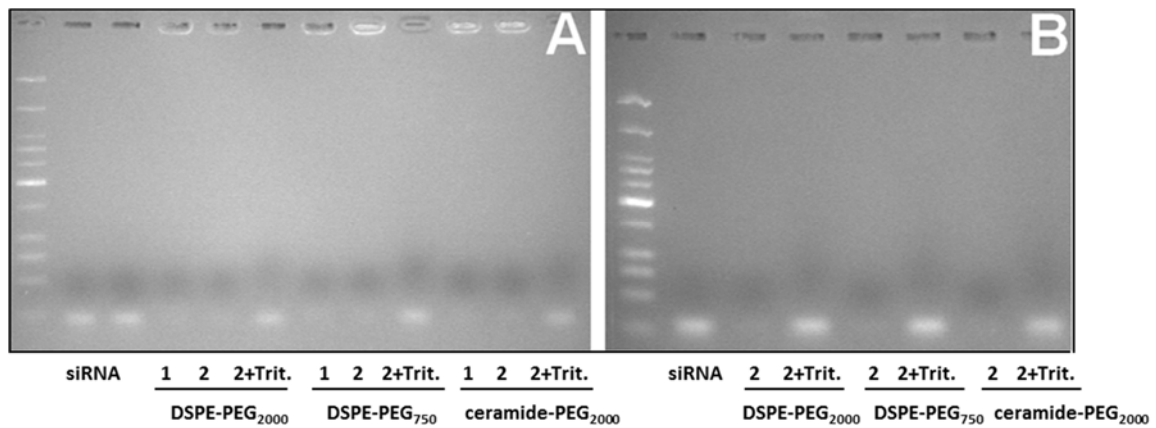
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255 **3.1.3. Stability of freeze-dried lipoplexes**

256 The stability of the freeze-dried pegylated lipoplexes was evaluated after storage at 4°C in
257 closed glass vials for 1 month. The mean size, the Pdl and the zeta potential were measured.
258 It appears that the size of the lipoplexes does not vary significantly and ranged between 200
259 to 300 nm for lipoplexes with DSPE-PEG₂₀₀₀ and with ceramide-PEG₂₀₀₀. Concerning the Pdl,
260 it is generally close to 0.2 and the zeta potential remains also constant for both types of
261 pegylated lipoplexes (data not shown).

262 The complexation efficiency and the integrity of lipoplexes were assessed by agarose gel
263 electrophoresis (figure 4). As shown in figure 4.A, the first two spots correspond to free siRNA
264 (control). The three next correspond to lipoplexes with DSPE-PEG₂₀₀₀. Before freeze-drying,
265 no free-siRNA is detectable. The siRNA is complexed by liposomes (1: no visible spot)
266 confirming our previous complexation results (more than 95% of complexation [23]). The
267 addition of trehalose does not destabilize the particles and does not release the siRNA. After
268 freeze-drying and rehydration (spot 2), no free siRNA is visible showing that the particles form
269 again spontaneously to almost 100%. A positive control with Triton X-100 shows that this
270 surfactant releases all the siRNA from the lipoplexes (spot 2+Trit.), no broken and no smearing
271 bands are observed on the gel confirming that the siRNA is stable and protected by the
272 lipoplexes during the freeze-drying process. Same observations were done for lipoplexes with
273 DSPE-PEG₇₅₀ and with ceramide-PEG₂₀₀₀.

274 The same results were obtained after 25 days of storage at 4°C (figure 4.B). This experiment
275 confirms that the storage at 4°C during 25 days has no deleterious effect on the siRNA.
276 [Moreover, in another study, we have shown with active siRNA that the freeze-drying process](#)
277 [allows to keep the gene-silencing properties of siRNA \(results not shown\).](#) The storage stability
278 is one of the key challenges for a safe translation to the clinic and all these results indicate that
279 pegylated lipoplexes freeze-dried with 1% of trehalose keep their characteristics during at least
280 25 days.



281

282 **Figure 4:** Complexation efficiency of pegylated lipoplexes evaluated by agarose gel
 283 electrophoresis. **(A)** Day 0. **siRNA:** control with free siRNA. **1:** lipoplexes before freeze-drying.
 284 **2:** lipoplexes after freeze-drying. **2+Trit.:** lipoplexes after freeze-drying and with 0.5% w/v of
 285 Triton X-100. Conditions **1**, **2** and **2+Trit.** were performed on lipoplexes with DSPE-PEG₂₀₀₀,
 286 DSPE-PEG₇₅₀ and ceramide-PEG₂₀₀₀. **(B)** Same conditions after 25 days of storage at 4°C.

287

288 3.2. Behaviour of lipoplexes in artificial vaginal mucus

289 3.2.1. Diffusion and size of the lipoplexes

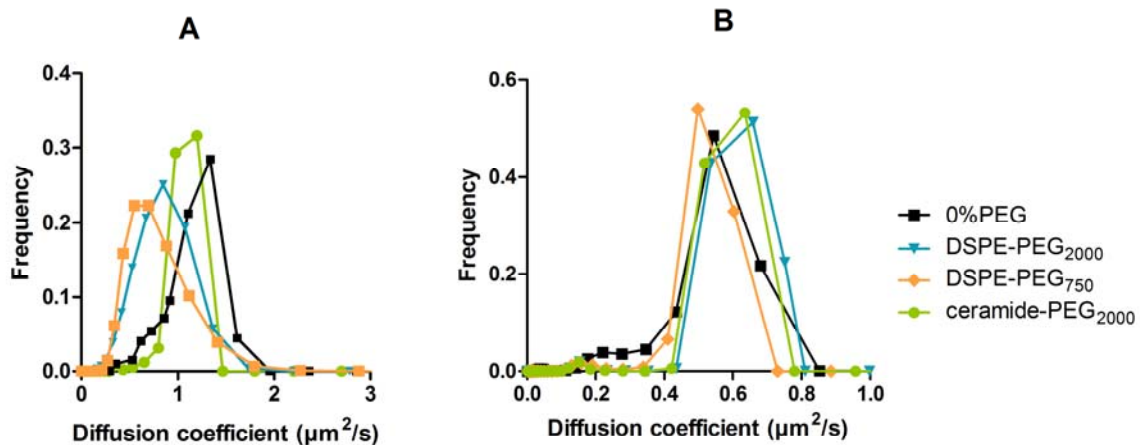
290 To reach the epithelial tissue, lipoplexes have to diffuse through the vaginal mucus. fSPT was
 291 used to estimate the mobility of lipoplexes in undiluted artificial vaginal fluids and to monitor
 292 their aggregation. fSPT technique makes use of videos of diffusing fluorescently labelled
 293 particles to analyse their individual motion trajectory in complex biological media and
 294 calculates their individual diffusion coefficient (D , $\mu\text{m}^2/\text{s}$). In case of freely diffusing particles,
 295 the D distribution so obtained is converted into a size distribution (nm) by using the Stokes-
 296 Einstein equation, as previously described [26, 34, 35].

297 Fluorescently labelled lipoplexes were incubated in RNase free water, as a control, and in
 298 undiluted simulated vaginal fluids (SVF), both at 37°C. The movement of all individual lipoplex
 299 was tracked and registered. From the analysis of the recorded trajectories, the diffusion
 300 coefficients ($\mu\text{m}^2/\text{s}$) were calculated in order to compare the diffusion ability of the different
 301 types of lipoplexes inside vaginal mucus.

302 Due to the complex ethical and practical procedures to obtain human vaginal fluids (limited
303 quantity, stability and storage), SVF has been used as a model instead of natural mucus. It
304 has similar viscosity, pH and osmolality to that of physiological fluids and mucus and thus
305 should to a high extent resemble the human vaginal mucus [24].

306 As demonstrates in figure 5.A, the lipoplexes were able to freely diffuse in RNase free water.
307 The peak values of the diffusion distributions varied from $0.7 \mu\text{m}^2/\text{s}$ for the lipoplexes with
308 DSPE-PEG₇₅₀ to $1.3 \mu\text{m}^2/\text{s}$ for unpegylated lipoplexes. In SVF (figure 5.B), the lipoplexes are
309 still able to diffuse, but slower than in water. This difference of diffusion is highly likely ascribed
310 to the viscosity of SVF ($\sim 3 \text{ mPa}\cdot\text{s}$) and its complex composition. In SVF, lipoplexes have to
311 pass through the different components of mucus and particularly through the crosslinked mucin
312 fibres, which form a highly heterogeneous mesh. These results underline also the necessity to
313 measure the diffusion directly in the relevant biofluids rather than in diluted fluids. Despite the
314 mucus barrier, lipoplexes are still capable to diffuse. Concerning the influence of the type of
315 PEG on the diffusion, figure 5.B shows that lipoplexes with DPSE-PEG₂₀₀₀ and ceramide-
316 PEG₂₀₀₀ are able to diffuse faster than those without PEG and with DSPE-PEG₇₅₀. This small
317 difference could be due to the difference of the PEG length. Coating lipoplexes with PEG₂₀₀₀
318 could further minimize adhesive interactions between nanoparticles and mucus constituents,
319 compared to PEG₇₅₀ and without PEG, decreasing aggregation phenomenon and slightly
320 increasing the diffusion.

321



322

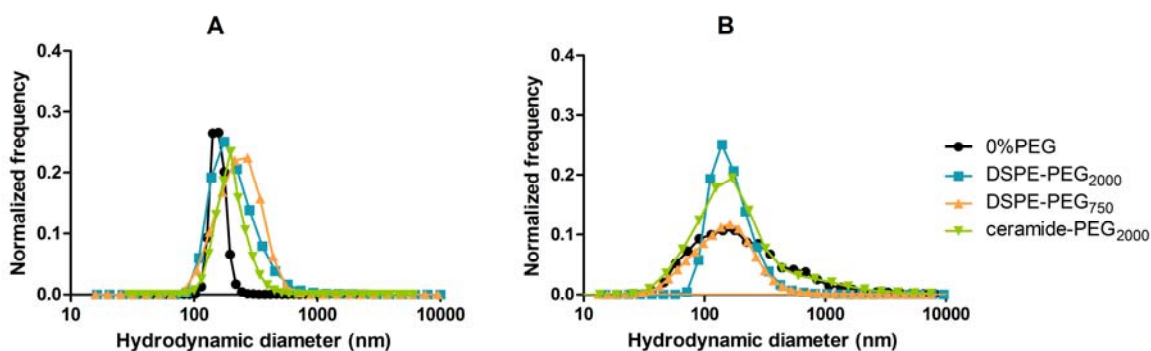
323 **Figure 5:** Diffusion distributions of lipoplexes without PEG and with DSPE-PEG₂₀₀₀, DSPE-
 324 PEG₇₅₀ or ceramide-PEG₂₀₀₀ following incubation at 37°C in RNase free water **(A)** and in SVF
 325 **(B)**, determined by fSPT analysis.

326

327 In order to verify this hypothesis, the size of the lipoplexes was estimated in SVF compared to
 328 water. Although the Dynamic Light Scattering (DLS) is the most common technique for
 329 measuring particle size in aqueous media, it is difficult to directly measure the size in undiluted
 330 biological fluids by this technique. Therefore, fSPT was used and size distributions of the
 331 lipoplexes in SVF were obtained. In water (figure 6.A), mean values between 170 - 230 nm
 332 were observed for all the lipoplexes, PEG or not. These results are in good agreement with
 333 those obtained previously by DLS (section 3.1.2.). In SVF (figure 6.B), only the lipoplexes with
 334 DSPE-PEG₂₀₀₀ remain stable and keep their initial size close to 200 nm with a narrow
 335 distribution. The lipoplexes with ceramide-PEG₂₀₀₀ show a slight aggregation represented by
 336 a shift of the distribution compared to the size distribution in water. For the lipoplexes with
 337 DSPE-PEG₇₅₀ and without PEG, the aggregation was more pronounced as particles with a
 338 diameter ranging from 300 to 500 nm were measured.

339 The size distributions outcomes confirm our hypothesis: coating lipoplexes with PEG₂₀₀₀ can
 340 minimize adhesive interactions between nanoparticles and mucus constituents, compared to

341 PEG₇₅₀ and without PEG, decreasing aggregation phenomenon and slightly increasing the
 342 diffusion. The data stand in line with another previous study by J. das Neves *et al* [25].
 343 Moreover, it is well known that the size is a major requirement for an optimal vaginal diffusion
 344 and it has been demonstrated that particles with a diameter around 200 to 300 nm show the
 345 best diffusive property contrarily to particles with a diameter higher than 500 nm [27, 30].
 346



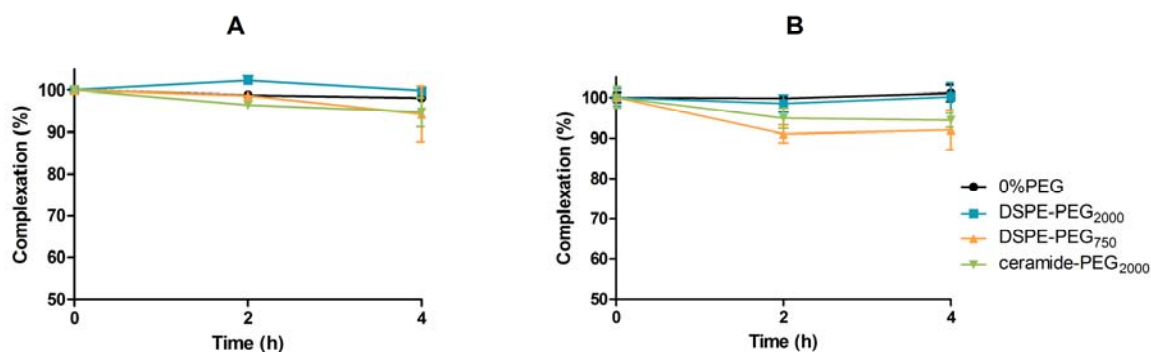
347
 348 **Figure 6:** fSPT sizing of lipoplexes without PEG and with DSPE-PEG₂₀₀₀, DSPE-PEG₇₅₀ or
 349 ceramide-PEG₂₀₀₀ following incubation at 37°C in RNase free water **(A)** and in SVF **(B)**.

350

351 3.2.2. Release of the siRNA

352 The release of siRNA from lipoplexes was evaluated using Fluorescence Correlation
 353 Spectroscopy (FCS). FCS is a technique used to calculate the percentage of complexed fluo-
 354 siRNA by the lipoplexes and to follow its release as a function of time, as described previously
 355 [36-39]. This technique monitors the fluorescence intensity fluctuations of molecules diffusing
 356 in and out the focal volume of a confocal microscope. When free siRNA is present in the focal
 357 volume, a fluorescence signal (baseline) proportional to the siRNA concentration is obtained.
 358 Contrariwise, when the siRNA is complexed within the nanoparticles, the concentration of free
 359 siRNA decreases (the baseline decreases also) and peaks with high fluorescence intensity
 360 appear each time a particle passes in the detection volume. Conversely, when the siRNA is
 361 dissociated from the lipoplexes, the concentration of free siRNA increases resulting in an
 362 increase of the baseline [37].

363 In RNase free water (figure 7.A), the initial percentage of incorporation was high (more than
 364 95%) for all the lipoplexes. These results are in accordance with those obtained previously, by
 365 another quantification technique (Quant-iT™ RiboGreen® RNA assay) [23]. After 4 hours, the
 366 overall siRNA released was limited to maximally 10%. In SVF (figure 7.B), no further release
 367 was observed and a very slight difference can be noticed between the studied lipoplexes, those
 368 with DSPE-PEG₂₀₀₀ retained the totality of complexed siRNA even after 4 hours in SVF. To
 369 reach the cytoplasm of targeted cells, the siRNA must be kept intact in the lipoplexes. The
 370 lipoplexes have to protect it from the mucus components to avoid its degradation. They have
 371 to diffuse into the mucus to reach the targeted cells and release their content only once in the
 372 cytoplasm of these cells reached. The low release of siRNA observed by FCS indicates that
 373 lipoplexes pegylated or not, are stable for at least 4 hours in SVF at 37°C.
 374



375
 376 **Figure 7:** Percentage of complexed siRNA into lipoplexes according to the time in RNase free
 377 water (A) and in SVF (B), determined by FCS analysis (n=3).

378
 379 In view of the colloidal stability results in both water and SVF (3.1. and 3.2. sections), lipoplexes
 380 grafted with DSPE-PEG₂₀₀₀ seem the most colloiddally stable from the all formulations, and
 381 hence were selected for further hydrogel/sponge formulation.
 382

383 **3.3. Lipoplexes effect on the characteristics of the sponges**

384 Placebo sponges, with different amounts of polymer (HEC) and plasticizer (PEG) were
385 previously characterized [19]. As the polymer concentration directly influences the viscosity
386 and probably the diffusion of lipoplexes, two concentrations of HEC were tested (0.83% and
387 1.67%). Moreover, the effect of the lipoplexes on the sponge's characteristics (mucoadhesion,
388 hardness, deformability and morphology) was also investigated.

389 **3.3.1. Mucoadhesion**

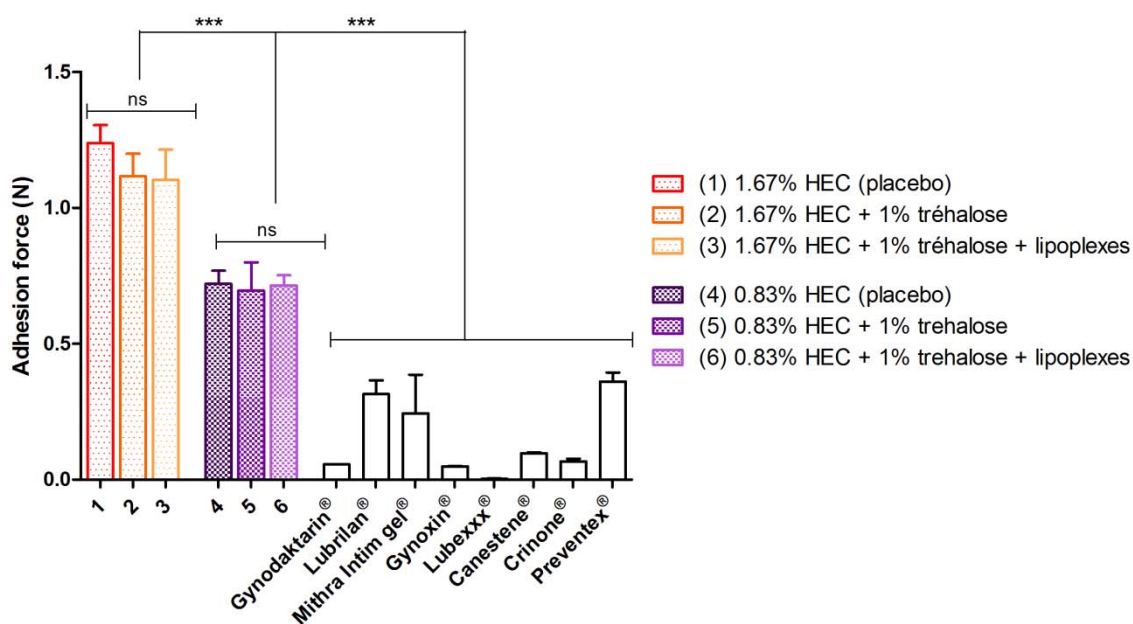
390 HEC polymer has been chosen to prepare the sponges for its well-described mucoadhesive
391 properties [22]. It has the possibility to anchor the formulation in the administration site and
392 allows a prolonged delivery of the incorporated material, thereby maximizing the clinical
393 performance [40]. [Moreover, this polymer is considered as a non-toxic and non-irritating](#)
394 [material. Thanks to its biocompatible property, it has been employed in several commercialized](#)
395 [products intended for a vaginal use \[4, 18, 41\].](#)

396 In this section, the ability of the sponges to adhere to a partially hydrated mucin disc, mimicking
397 vaginal conditions, was studied. The mucoadhesive strength (N) was determined by the force
398 required to separate the disc from the sponge. Figure 8 shows the mucoadhesion of the
399 placebo sponges, of the sponges containing 1% trehalose and of the sponges containing both
400 1% trehalose and lipoplexes, in comparison with different vaginal commercialized products. All
401 the selected commercialized forms are gels (Lubrilan[®], Mithra Intim gel[®], Lubexxx[®], Crinone[®]),
402 creams (Gynodaktarin[®], Gynoxin[®], Canestene[®]) or a solid system (Preventex[®]) and are not
403 specifically intended to be adhesive. They have been chosen to have an idea of their
404 mucoadhesive capacity, as no reference product and no reference values of mucoadhesion
405 are available.

406 It is obvious that all the sponges are significantly more mucoadhesive than the pharmaceutical
407 products, even at the smallest concentration of HEC (0.83%). Moreover, as demonstrated
408 before, the concentration of HEC influences the mucoadhesion [19]; sponges with 1.67% HEC
409 are more adhesive (~ 1.1 N) than sponges with 0.83% (~ 0.7 N) and this can be explained by
410 the interpenetration mechanism involved in the mucoadhesive interactions [42]. Indeed, the

411 intimate contact between the two surfaces, sponge and mucin disc, induces interpenetration
 412 of glycoproteins chains of mucin with polymeric chains of HEC. Assuming that the surface of
 413 the mucin disc in each experiment is similar, the higher the HEC concentration is, the stronger
 414 the mucoadhesive bonds are. Finally, the presence of trehalose and lipoplexes has no
 415 significant influence ($p > 0.05$) on the mucoadhesion force. Lipoplexes in the sponges do not
 416 influence their mucoadhesion capacity.

417



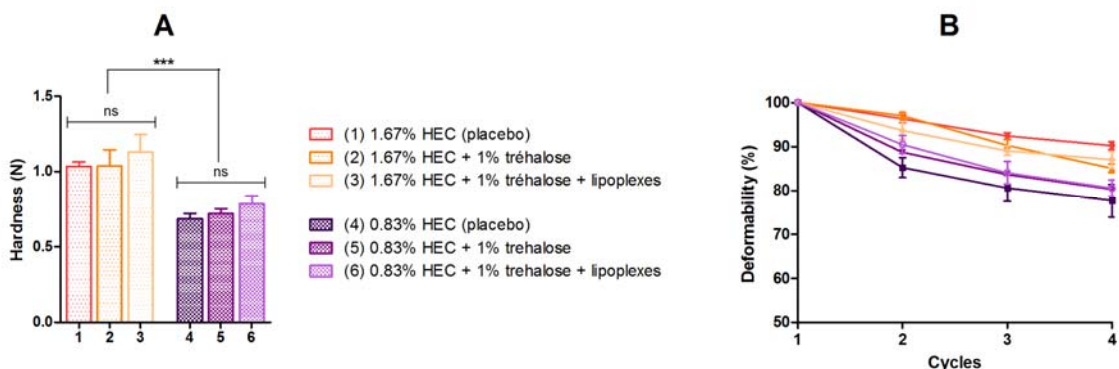
418
 419 **Figure 8:** Adhesion force (N) of placebo sponges (1) - (4), of sponges with 1% trehalose (2) -
 420 (5) and of sponges with 1% trehalose and lipoplexes (3) - (6) compared to pharmaceutical
 421 commercial products. Results are analyzed by a one-way ANOVA, followed by a Dunnett's
 422 test (n=6).

423

424 3.3.2. Hardness and deformability

425 Topical application of sponges requires an insight to their behaviour after compression
 426 stresses. These systems should possess suitable mechanical resistance to facilitate the
 427 application inside vagina and also enough resistance to deformation to ensure durability
 428 against shear stress encountered. The hardness is directly correlated with the polymer

429 concentration (figure 9.A); sponges with 1.67% are harder than sponges with 0.83% HEC (~ 1
 430 N vs ~ 0.7 N). Moreover, the presence of trehalose and lipoplexes has no significant influence
 431 ($p > 0.05$) on this characteristic. Since there are no reference values for optimal hardness, the
 432 ability of sponges to be easily removed out of their containers and their malleability were also
 433 analysed. Indeed, all sponges met these conditions; they are hard enough to be extracted
 434 without being broken, they are malleable and retain their shape. Regarding the deformability
 435 (figure 9.B), the slopes of the curves provide information about the deformability of sponges.
 436 An increase in the slope corresponds to an increase deformability of the sponge. Specifically,
 437 the sponges with 1.67% show around 10% of deformability while those containing 0.83% are
 438 deformed at maximum 20%. Again, the presence of trehalose and lipoplexes does not change
 439 the deformability.



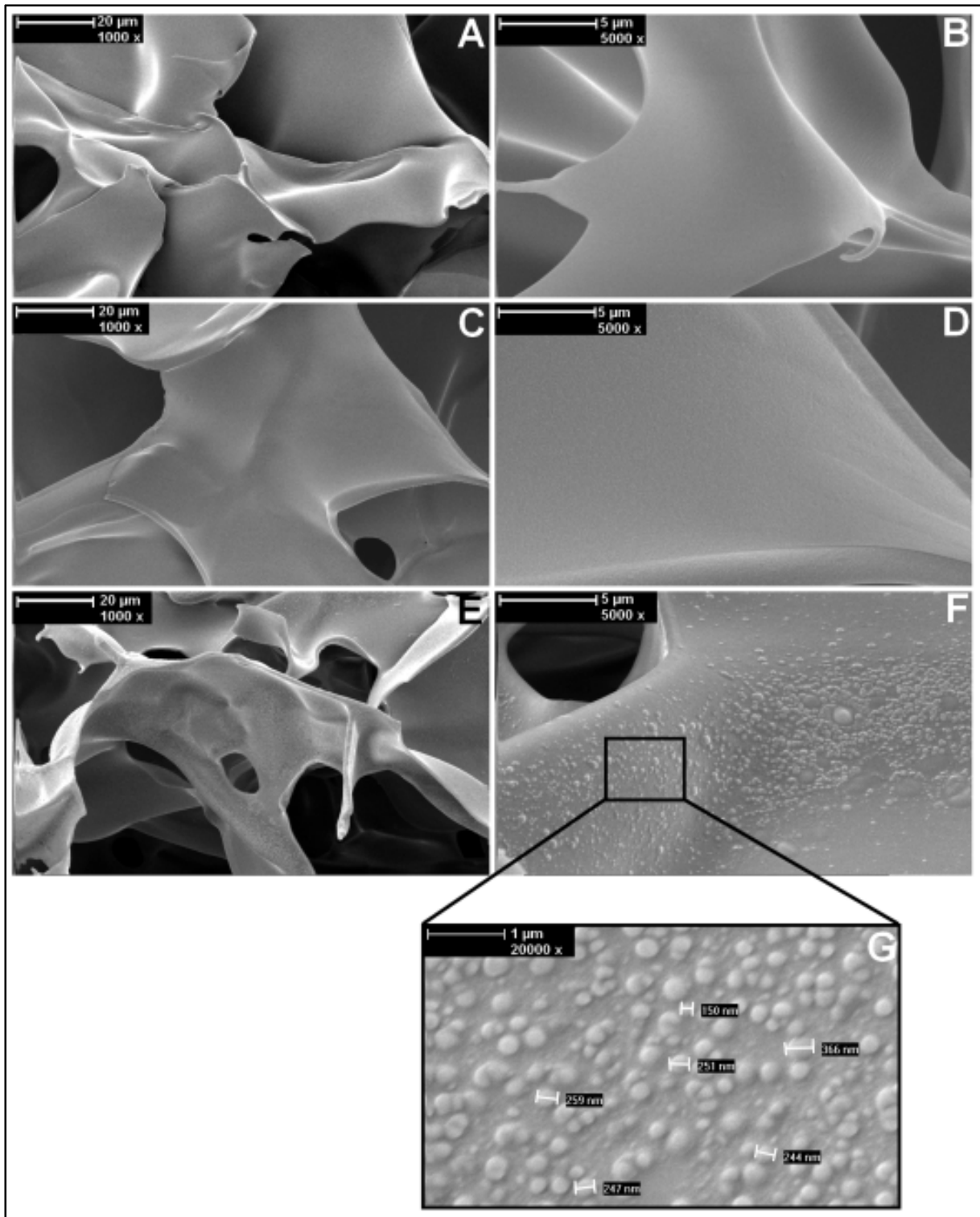
441
 442 **Figure 9: (A)** Hardness (N) and **(B)** deformability (%) of placebo sponges **(1) - (4)**, of sponges
 443 with 1% trehalose **(2) - (5)** and of sponges with 1% trehalose and lipoplexes **(3) - (6)**. One-way
 444 ANOVA, followed by the Dunnett's test is used (n=12).

445
 446 **3.3.3. Morphology**

447 The morphology and integrity of lipoplexes in sponges were evaluated by SEM analysis. As
 448 demonstrated in figure 10, placebo sponges (A and B) and sponges with 1% trehalose (C and
 449 D) have smooth surfaces, without any irregularities and/or pores. On the contrary, sponges
 450 with lipoplexes (E, F and G) show a rough surface, with small individual spherical asperities.

451 Their size is around 250 nm, in agreement with the sizes obtained using DLS and fSPT in the
452 previous sections (3.1.2. and 3.2.1.). In order to demonstrate that these spherical asperities
453 correspond to intact lipoplexes, an elemental analyse was performed. This technique was used
454 to confirm the presence of the phosphorous atom (P) and thus the presence of the siRNA in
455 the observed vesicles. Figure 11 shows that the P atom was detected in the sponge containing
456 1.67% HEC, 1% trehalose and lipoplexes (same results were observed for sponges with 0.83%
457 HEC while no P detection was observed with placebo sponges, data not shown). This confirms
458 that observed vesicles are lipoplexes. After being incorporated into the hydrogel and freeze-
459 dried, the lipoplexes retain their morphology, are intact and still have a size between 200 to
460 300 nm.

461



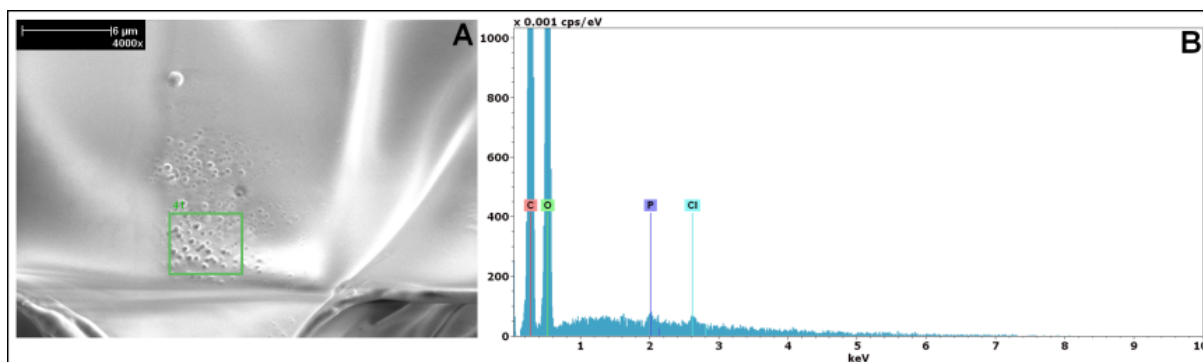
462

463 **Figure 10:** SEM images of sponges with 1.67% HEC. (A) - (B) are placebo sponges, (C) - (D)

464 are sponge with 1% trehalose and (E) - (F) - (G) are sponges containing 1% trehalose and

465 lipoplexes.

466



467
 468 **Figure 11: (A)** SEM image and **(B)** phosphorous (P) elemental analysis (SEM-EDX) on sponge
 469 containing 1.67% HEC, 1% trehalose and lipoplexes.

470

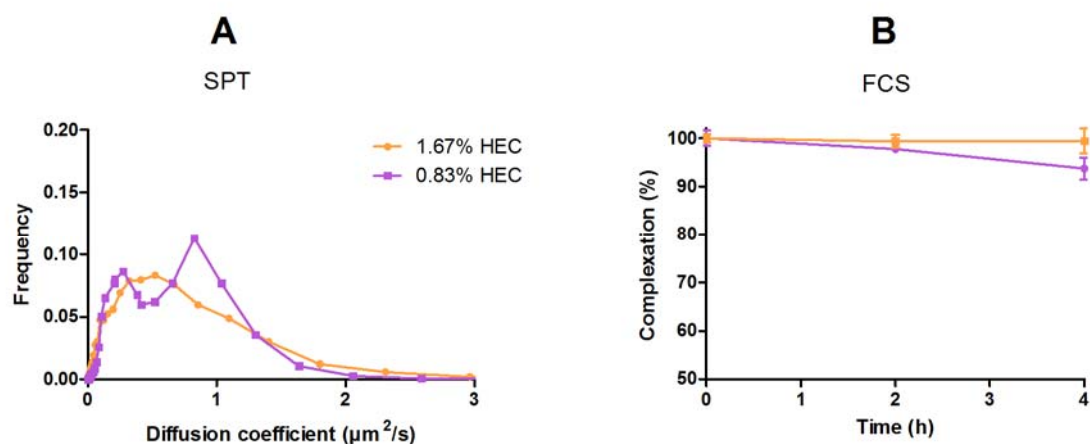
471 **3.4. Diffusion and stability of lipoplexes in rehydrated sponges**

472 When applied in the vagina, sponges have to rehydrate with mucus to form a hydrogel.
 473 Lipoplexes will have to progressively diffuse through the gel and then through the vaginal
 474 mucus to reach vaginal epithelium. Therefore it is necessary to determine the diffusion ability
 475 of lipoplexes and their stability into the rehydrated sponges. Moreover, the influence of HEC
 476 concentration was also studied. fSPT and FCS techniques, as described previously, were used
 477 for these analyses. Sponges were rehydrated with SVF at 37°C, mimicking vaginal conditions.
 478 As shown in figure 12.A, lipoplexes have different diffusion profiles in the two types of gels
 479 (0.83% vs 1.67 % HEC). In gels with 0.83% HEC, there are two populations of particles: a
 480 large majority with a high peak value ($D \sim 0.8 \mu\text{m}^2/\text{s}$) and some others particles with a lower
 481 peak value of the diffusion distribution ($D \sim 0.2 \mu\text{m}^2/\text{s}$). Regarding the measured sizes of these
 482 lipoplexes, there are also two populations; a large majority with a diameter at around 200 nm
 483 and also a little fraction of particles with a peak diameter around 90 nm (data not shown).
 484 Indeed, particles with a diameter around 200 - 300 nm can better diffuse in mucus than smaller
 485 with a diameter below 100 nm [16]. Lipoplexes are also able to diffuse in gels with 1.67% HEC
 486 but slower than in the 0.83% HEC gels ($D \sim 0.4 \mu\text{m}^2/\text{s}$). The difference in viscosity of the two
 487 hydrogels before and after freeze drying can explain this (100 mPa.s for the 0.83% HEC
 488 hydrogel and 1300 mPa.s. for the 1.67% HEC hydrogel). Moreover, sponges were rehydrated

489 with SVF which also increases the viscosity of the final hydrogel. This can consequently further
490 reduce the mobility of lipoplexes.

491 The stability of lipoplexes (siRNA release) in the hydrogel (figure 12.B) was next assessed.
492 Within both types of rehydrated sponges, maximum 8% of siRNA are released after 4 hours at
493 37°C. The concentration of HEC does not influence the entrapment efficiency of lipoplexes.
494 They diffuse through rehydrated sponges without releasing their content.

495



496

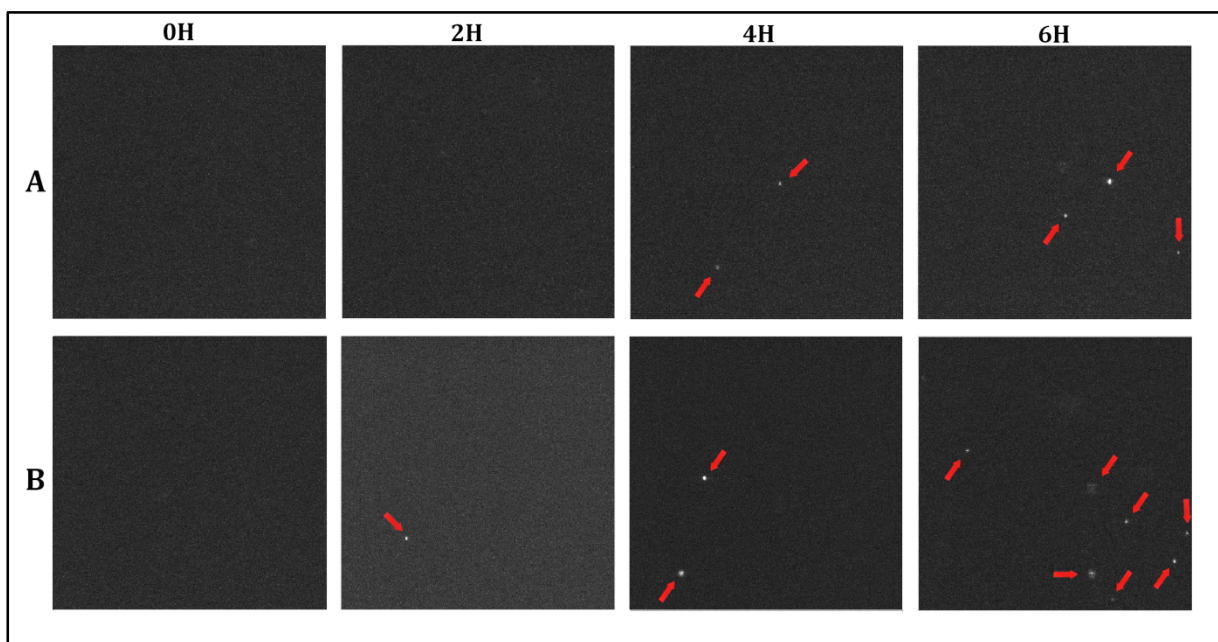
497 **Figure 12:** Diffusion distributions of lipoplexes **(A)** and percentage of complexed siRNA as a
498 function of time **(B)** in 0.83% and 1.67% HEC sponges; respectively determined by fSPT and
499 FCS analysis (n=3).

500

501 3.5. Release of lipoplexes outside rehydrated sponges

502 Finally, the release rate of lipoplexes from rehydrated sponges was monitored over time and
503 compared for both types of sponges (0.83% vs 1.67% HEC). By employing fSPT, videos were
504 recorded in order to demonstrate the presence of lipoplexes in the acceptor compartment filled
505 with SVF and consequently their diffusion outside the rehydrated system (see on figure 1).
506 Figure 13 represents screenshots of these videos (A=1.67% and B=0.83% HEC). [This](#)
507 [qualitative technique is used to show the number of lipoplexes diffusing in the mucus and if it](#)
508 [increases with time.](#)

509 It is first observed that no lipoplexes were in the acceptor compartment at time 0, independently
510 of the HEC concentration. A lag time is necessary for the rehydration of sponges and to allow
511 the diffusion of lipoplexes. Then, fluorescent spots appear progressively in the acceptor
512 compartment with a delay difference of 2 hours between the two sponges. Lipoplexes appear
513 in the acceptor compartment after two hours for 0.83% HEC sponges (B) while they appeared
514 after four hours for 1.67% HEC sponges (A). These spots confirm that lipoplexes are able to
515 diffuse through sponges rehydrated with artificial vaginal fluids (section 3.4.). The appearance
516 delay of lipoplexes in the receiving compartment depends on the HEC concentration; the
517 higher the concentration of HEC, the longer the rehydration duration of the sponges. Moreover,
518 increasing the concentration of HEC results in gels with higher viscosity values. These two
519 phenomena delay the diffusion of the lipoplexes in the gel and in the receiving compartment.
520 Despite the different viscosities, lipoplexes were able in both cases to diffuse from the
521 rehydrated sponges even after 6 hours at 37°C. The increased amount of fluorescent spots
522 suggests an increase of lipoplexes release. This last point demonstrates that the sponges can
523 be considered as a matrix system allowing a sustained delivery of lipoplexes.
524



525
526 **Figure 13:** Screenshots of fSPT videos of SVF in the acceptor compartment after rehydration
527 of sponges with 1.67% HEC (A) or with 0.83% HEC (B) and lipoplexes.

528 **4. Conclusions**

529 This study shows the feasibility of entrapping pegylated lipoplexes into a solid matrix system
530 for a prolonged delivery of siRNA in vaginal mucus. The sponge system is obtained by freeze-
531 drying and is intended to be administered directly inside vagina in order to treat pathologies
532 using the gene silencing mechanism. For this, the sponges have to be *in situ* rehydrated to
533 form a hydrogel and allow a sustained release of lipoplexes. Hydroxyethyl-cellulose (HEC) was
534 chosen to prepare the sponges for its mucoadhesive properties.

535 Vaginal administration of lipoplexes is a challenge since the mucus presents a significant
536 barrier to effective delivery. To overcome this, 30% of three types of PEG derivatives were
537 grafted on the lipoplexes; DSPE-PEG₂₀₀₀, DSPE-PEG₇₅₀ and ceramide-PEG₂₀₀₀. Their
538 physicochemical characteristics, colloidal stability and their ability to diffuse inside simulated
539 vaginal fluids (SVF) were tested. Altogether, lipoplexes with DSPE-PEG₂₀₀₀ are the best choice
540 for the intended application. They have a size close to 200 nm, which is adequate for
541 mucopenetration. They are stable after freeze-drying and have high complexation efficiency
542 (> 95%). They have the highest diffusion coefficient and do not aggregate in SVF. Moreover,
543 they do not release their content even after 4 hours at 37°C. Consequently, lipoplexes with
544 DSPE-PEG₂₀₀₀ were chosen for incorporation inside HEC hydrogels/sponges.

545 In order to be administered into the vagina and to ensure a prolonged delivery of the lipoplexes,
546 the sponges must meet acceptable mechanical characteristics such as ease of manipulation,
547 low hardness and good bioadhesion. Furthermore, lipoplexes have to be intact inside the
548 sponges. As our analysis shows, the sponges containing lipoplexes meet these criteria.
549 Sponges are hard enough to be malleable and flexible; what is important for an easy
550 application. The maximum percentage of deformation is around 20%, which could be enough
551 to resist to shear stress inside vagina. The strength necessary to separate the hydrated mucin
552 disc from the surface of the sponge is almost 0.7 N which is higher than for commercialized
553 vaginal products and could be sufficient to obtain an appropriate retention during the
554 therapeutic period. In addition, lipoplexes, incorporated in sponges, retain their morphology
555 and their original size.

556 To mimic vaginal conditions, sponges were rehydrated with SVF. The diffusion of lipoplexes
557 inside and outside the rehydrated sponges was measured. Depending on the HEC
558 concentration, lipoplexes present two different diffusion profiles. The diffusion is slower in the
559 sponges containing 1.67% of HEC than in those containing 0.83% of HEC. This observation is
560 the same for the diffusion outside the system. The release rate is lower in the 1.67% HEC
561 sponges. This can be explained by the fact that sponges with higher quantities of HEC need
562 more time to be rehydrated and that they have a higher viscosity after *in situ* rehydration. These
563 two phenomena delay the diffusion of the lipoplexes inside the gel and in the receiving
564 compartment. Considering that it takes 4 hours for lipoplexes to diffuse outside rehydrated
565 sponge with 1.67%, the sponges containing 0.83% HEC should be more suited for an optimal
566 vaginal treatment.

567 In conclusion, a new mucoadhesive solid system adapted for a prolonged vaginal delivery of
568 lipoplexes has been developed. It is easy to handle, able to protect pegylated lipoplexes and
569 to be rehydrated with vaginal fluids. *In future studies, this promising freeze-dried*
570 *mucoadhesive sustained released system will be validated with active siRNA.*

571

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