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1 **Comparison of Newcastle disease vaccine administered as powder or liquid considering the**  
2 **serum antibody response and adverse vaccinal reaction in broilers**

3

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18 **Key words:** ND, powder vaccine, liquid vaccine, vaccinal reaction, antibodies, broilers

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24 **Abstract**

25

26 Liquid spray and aerosol mass vaccination of poultry has several drawbacks such as uncontrolled  
27 deposition of vaccine particles in the respiratory tract and vaccine virus inactivation by formation  
28 and evaporation of droplets, which may be addressed using dry powder vaccines with defined  
29 particle size distributions targeting the upper (primary vaccination) or the entire respiratory tract  
30 (booster vaccination). Therefore, a coarse ND (LZ58 strain) powder vaccine were administered to  
31 SPF broiler hens to compare the seroresponse and adverse vaccinal reactions with those induced  
32 by a coarse liquid spray and a fine liquid aerosol. Groups of 40 broilers each, housed in isolators  
33 were vaccinated at 4 days of age and were intratracheally inoculated with *Escherichia coli* (strain  
34 506) at 11 days of age. Adverse vaccinal reactions were evaluated by body weight gain and  
35 mortality between 4 and 11 days of age and between 11 and 18 days of age, and by colibacillosis  
36 lesions at 18 days of age. The antibody serum response was measured at 18 days of age by  
37 haemagglutination inhibition test. Despite the relative low initial vaccine virus loss and narrow  
38 particle size distribution of the powder vaccines in comparison with their liquid counter parts, no  
39 significant differences ( $P > 0.05$ ) regarding adverse vaccinal reactions and serum response were  
40 observed between broilers vaccinated with the powder vaccines or with their liquid counterparts.

41

42 **INTRODUCTION**

43 Vaccination of commercial poultry against Newcastle disease (ND) and other respiratory  
44 diseases is often performed by coarse liquid spray and fine liquid aerosol. Both, protection and  
45 adverse post vaccinal reactions (further referred to as vaccinal reactions) increase as vaccine  
46 loaded particles become smaller (Corbanie *et al.*, 2008; Gough & Allan, 1973; Meszaros *et al.*,  
47 1992; Van Eck & Goren, 1991). To avoid severe vaccinal reactions coarse spray, intended to target  
48 the upper respiratory tract, is therefore used for primary vaccinations, while fine aerosol  
49 vaccinations, intended to reach the lower respiratory tract, are administrated as booster. Particles  
50 with a size >5 µm and >10 µm were hardly deposited in the lower respiratory tract (lungs and  
51 thoracic airsacs) of 2- and 4-week-old broilers, respectively. These so-called cut-off particle sizes  
52 were defined as the smallest particle of which less than 5 volume percent (volume percentage(s)  
53 is further referred to as percentage(s) unless otherwise stated) is deposited in the lower respiratory  
54 tract. A reliable cut-off value for day-old chicks could not be assessed as these birds were breathing  
55 with open beaks (Corbanie *et al.*, 2006).

56 Spray and aerosol are relatively simple and cheap mass vaccination techniques, but have a  
57 number of drawbacks. Currently used spray and aerosol equipment generate broad droplet size  
58 distributions, which often results in severe vaccinal reactions when small droplets of a primary  
59 coarse spray vaccine are inhaled into the lower airways of young chickens (Giambrone, 1981,  
60 1985; Van Eck & Goren, 1991), or in reduced deposition in the lower airways during secondary  
61 fine aerosol vaccination due to the presence of non-respirable droplets (e.g. droplet size spectra  
62 ranging between 10 and 1000 µm (Cargill, 1999)). Furthermore, the efficiency of vaccines  
63 administered by spray or aerosol might be jeopardized due to the use of tap water for reconstitution  
64 instead of distilled water (tap water often contains virucidal agents, such as chlorines) (Guittet *et*

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65 *al.*, 1997), by large shear forces, which are applied to the liquid in order to transform it into droplets  
66 (Swift, 1985) and most importantly, due to inactivation of the vaccine virus by evaporation of  
67 droplets after generation (Gough & Allan, 1973; Landman & Van Eck, 2001; Yadin & Orthel,  
68 1978). It was hypothesized that these harmful effects might be overcome by formulating the  
69 vaccine in dry powder form with defined particle size, thereby improving vaccination efficiency.  
70 According to Huyge and others (Huyge *et al.*, 2012) the ideal powder vaccine should meet the  
71 following requirements: (1) show no or only limited virus loss during production and storage; (2)  
72 be monodisperse; (3) consist of particles with a size that will enable exclusive targeting of the  
73 upper respiratory tract for primary vaccinations or the lower respiratory airways for secondary  
74 (booster) vaccinations; (4) be easy to disperse into their primary particle size; (5) be non-  
75 hygroscopic to prevent hygroscopic growth of the vaccine virus loaded particles in the airways  
76 during respiration (Morrow, 1986); and (6) is non-toxic for man, animals and environment. In  
77 previous research ND powder vaccines based on mannitol and bovine serum albumin (BSA),  
78 which approximated mentioned requirements, were prepared in a one-step spray-drying process  
79 (Corbanie *et al.*, 2007; Huyge *et al.*, 2012). A preliminary proof of principle experiment showed  
80 that the powder vaccine formulations induced high haemagglutination inhibiting (HI) antibody  
81 titres in 4-week-old broilers (Corbanie *et al.*, 2008).

82 In the present study, LZ58 ND vaccine was administered to 4-days-old SPF broiler hens  
83 either as powder or as liquid (further referred to as powder vaccine and liquid vaccine). Vaccinal  
84 reaction and immune response induced by coarse (particle size intended to be between 20 and  
85 approximately 50 µm) and fine (particle size intended to be <5 µm) ND powder vaccines were  
86 compared with those provoked by their liquid counterparts. Powder vaccines were based on  
87 mannitol-BSA formulations and had narrow-sized particle distributions. A third powder vaccine

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88 consisting of 90 wt% coarse and 10 wt% fine particles (further referred to as mixed powder  
89 vaccine) was included in the experiment to examine the effect of fine particle contamination of a  
90 coarse powder vaccine on vaccinal reaction. Vaccinal reactions in the field are characterized by  
91 respiratory distress, growth retardation and most importantly enhanced susceptibility to secondary  
92 bacterial infections especially *Escherichia coli* infections. The latter may result in colibacillosis.  
93 Therefore, the vaccinal reactions in the present study were monitored using the following  
94 parameters: clinical signs, body weight gain, mortality and colibacillosis lesions following  
95 inoculation of the birds with a virulent *E. coli* strain (Dho-Moulin & Fairbrother, 1999; Goren,  
96 1978, 1991; Gross, 1990; Van Eck & Goren, 1991). The immune response was determined by  
97 testing the sera of the birds two weeks after vaccination for HI ND antibodies.

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98 SPF broiler hens were used in this study as maternal antibodies interfere with the serum antibody  
99 response (Van Eck *et al.*, 1991; Yadin, 1981). Further, broilers were chosen as they are more  
100 sensitive to colibacillosis than layers (Goren, 1991) and finally, birds of the same gender (hens)  
101 were used because body weight gain was one of the parameters for vaccinal reactions.

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102 Although no longer commercially available the LZ58 ND vaccine virus strain was administered  
103 as it induces stronger vaccinal reactions compared to the Ulster 2C and Clone 30 ND virus strains  
104 (Van Eck & Goren, 1991). *E. coli* inoculation was performed intratracheally (i.t.) as the natural  
105 route of infection is likely airborne (Goren, 1978).

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107 **MATERIALS AND METHODS**

108

109 **Experimental design and husbandry**

110 The experimental design is given in Table 1. Newly hatched (day of hatch is day 0) SPF broilers  
111 obtained from and hatched at GD (Animal Health, Deventer, the Netherlands) were cloacally sexed  
112 and subsequently eight groups of 40 hens each were formed. After individual tagging, groups were  
113 placed in separated negative pressure HEPA isolators (Beyer & Eggelaar, Utrecht, the  
114 Netherlands), with a volume of 1.38 m<sup>3</sup>. At four days of age, broilers were vaccinated either with  
115 powder vaccine (groups 4, 6 and 7) or with liquid vaccine (groups 5 and 8), further referred to as  
116 vaccine groups. At 11 days of age all vaccinated birds were i.t. inoculated with *E. coli* strain 506.  
117 Control groups (groups 1, 2 and 3) were included in the study. Group 1 served as negative control  
118 group; further referred to as the negative group, while groups 2 and 3 were given powders without  
119 vaccine virus (further referred to as placebo powders). Group 3 was *E. coli* inoculated and further  
120 referred to as *E. coli* group, while Group 2 received phosphate buffered saline (PBS) instead,  
121 further referred to as placebo group.

122 All broilers were individually weighed at four, 11 and 18 days of age and body weight gain  
123 between day four and 11 and between day 11 and 18 was calculated. Groups of birds were  
124 inspected daily for disease signs and mortality. Dead birds were stored at -20°C until gross  
125 postmortem examination at the end of the experiment at 18 days of age at which time surviving  
126 birds were stunned using a mixture of CO<sub>2</sub> and O<sub>2</sub>. Thereafter they were debleeded by incision of  
127 the *vena jugularis* and blood was collected for serology. Subsequently, birds were subjected to  
128 postmortem examination to assess colibacillosis lesion scores. Bacteriological examination was

129 performed on all birds that had died during the study and of a number of surviving birds of all  
130 groups (see post-mortem examination).

131 Commercial broiler feed and tap water were provided ad libitum during the whole  
132 experiment. Up to eight days of age broilers were given 22 hours of light per day after which light  
133 was reduced to 16 hours per day. Isolator temperature was 35°C on day 0 and was gradually  
134 decreased to 25°C at day 18.

135

### 136 **Preparation of vaccines**

137 The coarse powder vaccine (intended particle size between 20 and approximately 50 µm)  
138 was produced by spray drying using a 4M8-TriX Procept spray dryer, kindly supplied by Procept  
139 (Zelzate, Belgium), equipped with a 25 kHz ultrasonic nozzle. The content of one vial with  
140 approximately 10<sup>10</sup> 50% egg infective dose (EID<sub>50</sub>) of LZ58 ND vaccine virus, kindly provided  
141 by Intervet-Schering Plough Animal Health (Boxmeer, the Netherlands), was suspended into 100  
142 ml of a 15% (wt/wt) solution in demineralised water containing 60 wt% mannitol (C\*Mannidex™,  
143 Cargill, Krefeld, Germany) and 40 wt% BSA (Sigma-Aldrich, Steinheim, Germany). The vaccine  
144 suspension was then spray dried at an inlet temperature of 100°C and a feed rate of 2 ml/min,  
145 resulting in an outlet temperature of approximately 65°C. After production, the coarse powder  
146 vaccine was sieved through a micro-sieve of 20 µm (W 0.02 MM, Hosokawa Alpine, Cheshire,  
147 UK) using an air jet sieve (A200LS-N, Hosokawa Micron, Cheshire, UK) in order to minimize the  
148 particle fraction below 20 µm.

149 The fine powder vaccine (intended particle size <5 µm) was produced by the Mobile Minor D-  
150 2000 pilot plant spray dryer (GEA Niro, Soeborg, Denmark). Approximately, 10<sup>10</sup> EID<sub>50</sub> of LZ58  
151 ND vaccine virus (content of one vial) was dispersed into 1500 ml of a 1% (wt/wt) solution in



152 demineralised water containing 80 wt% mannitol (C\*Mannidex™, Cargill, Krefeld, Germany) and  
153 20 wt% BSA (Sigma-Aldrich, Steinheim, Germany) and subsequently spray dried. The feed was  
154 atomized by a two fluid nozzle (Ø= 1 mm) operating at an air flow of 113.9 l/min and dried at an  
155 inlet temperature of 120°C and a feed rate of 12 ml/min, resulting in an outlet temperature of  
156 approximately 65°C.

157 During the production process, the powder recipients of the spray dryers were emptied every 10  
158 minutes in order to minimize vaccine loss by heat stress. Coarse and fine placebo powders were  
159 produced *via* the same processes as described earlier.

160 To obtain the mixed powder vaccine, 4.5 g of sieved coarse powder vaccine was physically mixed  
161 with 0.5 g of fine powder vaccine. Placebo powders used in the experiment consisted of a mixture  
162 of coarse and fine placebo powders in a 1:1 weight ratio.

163 Liquid vaccines used as coarse liquid spray and fine aerosol were prepared by suspending  
164 approximately 10<sup>10</sup> EID<sub>50</sub> of LZ58 ND vaccine virus (content of one vial) in 500 ml sterile  
165 demineralized water on the day of vaccination.

166

#### 167 **Characterization of vaccines**

168 For virus titrations, 100 mg of each of the powder vaccines was dissolved into 1 ml  
169 Dulbecco's phosphate buffered saline (DBPS, Invitrogen, Paisley, UK) with 1% penicillin-  
170 streptomycin solution (penicillin G (10,000 IU/ml) – streptomycin sulphate (10,000 µg/ml)).

171 Samples of liquid vaccines, which had been taken immediately after vaccination and subsequently  
172 frozen at -78°C by immersion of the sample containing test tubes (sterile polypropylene conical  
173 test tube, 15 ml, MEUS, Piove di Sacco, Italy) into a mixture of dry ice and 70% ethanol (Chemlab,  
174 Zedelgem, Belgium) and stored at -80°C until virus titration, were thawed at room temperature.

175 Ten-fold dilutions ( $10^{-3}$  –  $10^{-9}$ ) of each suspension were made in DPBS with penicillin-  
176 streptomycin and 0.1 ml of each dilution was inoculated into the allantoic cavity of 10-day-old  
177 embryonated SPF chicken eggs, using 4 eggs per dilution. After 72h of incubation at 37°C, the  
178 allantoic fluid was tested for haemagglutinating (HA) activity (Grimes, 2002). Virus titres were  
179 expressed as EID<sub>50</sub>, calculated according to the formula of Reed and Muench (Reed & Muench,  
180 1938).

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181 Particle and droplet size distributions were determined by a laser diffractor operating in  
182 open mode (Mastersizer-S, long bench; Malvern Instruments, Malvern, UK) and equipped with a  
183 300 mm lens using placebo powders and demineralized water, respectively. Dispersion was  
184 performed with the same equipment used to vaccinate the broilers.

185 Powder samples were dispersed directly into the laser beam using an experimental air-assisted  
186 device consisting of an air compressor (OMRON CX3, Hoofddorp, the Netherlands) forcing air  
187 (10 l/min) into a glass Büchner flask (Schott Duran 100 ml, Mainz, Germany) and transporting the  
188 powder particles to the outlet of the flask through a plastic tube (Tygon® Laboratory Tubing  
189 R3606, Saint-Gobain Performance Plastics, Akron (OH), USA) of 21 cm with an orifice opening  
190 of 2x10 mm.

191 The fine liquid aerosol was generated by the Walther Pilot I spray-head with a 0.5 mm nozzle  
192 diameter (Walther Spritz-und Lackiersysteme, Wuppertal, Germany) attached to a compressor  
193 (Mecha Concorde type 7SAX, 1001, SACIM, Verona, Italy) operating at 2 bar. The coarse liquid  
194 vaccine was dispersed by manually actuating a hand-held spray bottle (Powerplus Garden POW  
195 63868, Varo, Lier, Belgium) at a frequency of 1 actuation per second. During the measurements  
196 with the hand-held spray bottle an air flow parallel to the lens protected it from moisture  
197 deposition.

198 During analysis, orifices of the dispersion equipment were held 4 cm from the laser beam and 4  
199 cm from the optic lens (Laboratory of Pharmaceutical Technology, Gent University, Gent,  
200 Belgium; 'in house method'). All measurements were performed in triplicate and results were  
201 expressed as mean volume diameters  $D(v, 0.1)$ ,  $D(v, 0.5)$ ,  $D(v, 0.9)$  and span. Span was calculated  
202 as:

$$x = \frac{D(v, 0.9) - D(v, 0.1)}{D(v, 0.5)}$$

204 Also, percentages of particles and droplets with a size below 1, 5, 10 and 20  $\mu\text{m}$  were determined.

205 Based on droplet size parameters  $D(v, 0.x)$ , the size of dry particles obtained after complete  
206 evaporation of the droplets was estimated using the following equation, taking into account the  
207 content of dry matter in the droplets (1.25 mg/ml) and the density of the dry matter (assumed to be  
208 1000 mg/cm<sup>3</sup>):

$$D(v, 0.x) \text{ dry particle} = D(v, 0.x) \text{ droplet} \cdot \sqrt[3]{\frac{1.25}{1000}} = D(v, 0.x) \text{ droplet} \cdot 0.108$$

210 The dry matter content of the liquid vaccine was determined in threefold by drying a sample of  
211 known volume on a watch glass until constant weight (*i.e.* until  $\pm 0.05 \mu\text{g}$  accuracy; analytical  
212 balance AG245, Mettler Toledo, Zaventem, Belgium) in an incubator (Memmert INP 600,  
213 Memmert, Schwabach, Germany) set at 40°C. Mean dry matter content was found to be 1.25  
214 mg/ml.

215

## 216 **Vaccination**

217 Vaccination of the broilers was performed in the isolators in which they were housed.  
218 During vaccination the isolator ventilation was switched-off until 30 min after the end of vaccine  
219 dispersion. Temperature and relative humidity (RH) in the isolators were recorded every 2 seconds

220 by a temperature and humidity logger (Testostor 171-2, Testo, Ternat, Belgium) from the end of  
221 vaccine dispersion until 30 minutes thereafter. During this period the RH raised from 50–70% to  
222 65-80% at an average temperature  $\pm$  SD of  $30.4 \pm 1.2^\circ\text{C}$ .

223 Five grams of powder vaccine or 5 ml of liquid vaccine was dispersed in the corresponding  
224 isolator aiming at a vaccine dose of approximately  $10^8$  EID<sub>50</sub> per isolator. Powders and liquids  
225 were dispersed as described under characterization of vaccines. Coarse vaccines were applied  
226 beaming directly at the birds, while fine vaccines were dispersed in the air above the birds.

227

#### 228 **Assessment of aerosol vaccine virus titres and estimation of inhaled vaccine dose per bird**

229 Aerosol sampling was performed using a Sartorius MD8 airscan (Sartorius B.V.,  
230 Nieuwegein, the Netherlands) fitted with sterile gelatin filters with a pore size of  $3.0 \mu\text{m}$  (17528-  
231 80-ACD, Sartorius Stedim Biotech, Göttingen, Germany). The filters were held vertically at a  
232 height of 15 cm above the isolator floor. Each time, a sample was taken during 2 min at 2000 l/h  
233 resulting in a volume of 67 l. Samples were taken immediately after vaccine dispersion and 10 and  
234 20 min thereafter. Directly after sampling gelatin filters were each dissolved in 10 ml sterile DPBS  
235 (Invitrogen, Paisley, UK) kept at  $37^\circ\text{C}$ , frozen in a mixture of dry ice and 70% ethanol as described  
236 under preparation of vaccines and subsequently stored at  $-80^\circ\text{C}$  until virus titrations, which were  
237 performed as described under characterization of vaccines with a few modifications. Samples  
238 thawed at room temperature were inoculated as  $10^0$  to  $10^{-6}$  dilutions into five 10-day-old  
239 embryonated SPF eggs per dilution, using an inoculation volume of 0.3 ml per egg. Virus titres  
240 were expressed per  $\text{m}^3$  air, taking into account the dissolution volume of the gelatin filters (10 ml)  
241 and the sample volume (67 l). The detection limit was calculated following the minimal conditions  
242 necessary to be able to apply the formula of Reed and Muench (Reed & Muench, 1938) (i.e. 3 eggs

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243 with HA positive allantoic fluid out of 5 inoculated eggs with the lowest dilution ( $10^0$ ) and  
244 appeared to be  $10^{2.9}$  EID<sub>50</sub> per m<sup>3</sup> air.

245 Initial vaccine virus loss defined as the difference between log<sub>10</sub> vaccine dose per m<sup>3</sup> air  
246 and log<sub>10</sub> vaccine concentration of the aerosol per m<sup>3</sup> immediately after ending of the vaccine  
247 dispersion, was calculated for each of the vaccine groups.

248 To assess vaccine virus loss during sample processing (freezing-thawing) 100 mg of coarse  
249 powder vaccine was spread over a sterile gelatin filter. Subsequently, the filter was dissolved in  
250 10 ml DPBS of 38°C and half of this volume was frozen and thawed as described above and kept  
251 on melting ice together with the other non-frozen half until virus titration approximately two hours  
252 later. Additionally, virus titration of 1 g powder vaccine without gelatin dissolved in 10 ml PBS  
253 was performed. Vaccine virus titres per mg powder were  $10^{4.8}$ ,  $10^{5.0}$  and  $10^{5.3}$  EID<sub>50</sub> for the powder  
254 vaccine without gelatin, the non-frozen and the frozen-thawed dissolved gelatin filter, respectively,  
255 indicating that freezing and thawing of dissolved filters has no effect on vaccine virus titres.

256 The vaccine virus dose inhaled per broiler in the period from the start of vaccine dispersion  
257 until 30 min after the end of dispersion was estimated based on a ventilation rate of 1932 ml/min  
258 per kg body weight for 18-day-old broilers (Reeves *et al.*, 1991). The following assumptions were  
259 made for the calculation of the vaccine virus-uptake: (1) all aerosol particles detected by air  
260 sampling are inhalable; (2) the increase and decline of the aerosol vaccine concentration in the  
261 isolator is linear; and (3) 30 min after the end of the vaccine dispersion the aerosol virus titres are  
262 considered to be '0'; also all aerosol virus titres below the detection limit are considered to be '0';  
263 (4) vaccine retention by the birds is 100% (Hayter & Besch, 1974; Yadin, 1980).

264

265 ***E. coli* inoculum**

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266 *E. coli* strain 506 (serotype O78K80) used is a flumequine resistant and doxycycline  
267 intermediary sensitive strain that was isolated from the inflamed pericardium of a broiler chick  
268 suffering from natural colibacillosis. Frozen beads (-70°C) containing this strain were submersed  
269 into 0.1% glucose broth (1000 ml purified water, 5 g Lab Lemco (Oxoid LP0029), 10 g  
270 bacteriological peptone (Oxoid LP0-037), 5 g NaCl (VWR 1.06404.1000, Merck) and 1 g glucose  
271 (VWR 1.08342.1000, Merck)), which was incubated during 17 hours at 37°C. This broth was  
272 diluted 1:5000 (v/v) in PBS and kept on melting ice during the inoculation procedure. Every bird  
273 received 0.3 ml i.t. One group (Group 2) was i.t. placebo inoculated with 0.3 ml PBS per broiler.  
274 I.t. inoculations were performed using a 1 ml syringe coupled to a knobbed curved stainless steel  
275 cannula of 1.5 X 45 mm (art.nr. 14186, AUV Group, Cuijk, the Netherlands).

276 Determination of the bacterial concentration of the inoculum was performed by means of  
277 bacterial counting according to international standards using a sample taken at the start and at the  
278 end of the inoculation procedure. The inoculum contained  $10^{4.8}$  colony forming units (cfu) per ml  
279 both, at the start and the end of the inoculation procedure, resulting in a dose of  $10^{4.3}$  cfu per bird.

280

#### 281 **Post-mortem examination**

282 Post-mortem examination was performed on all birds. The left and right thoracic air sacs,  
283 the pericardium and the liver were macroscopically examined for colibacillosis lesions, which was  
284 done blindly. The scoring (0 to 3 for each organ with a maximum score of 12 per bird) was  
285 performed according to Van Eck and Goren (Van Eck & Goren, 1991). The mean lesion score  
286 (MLS), the number and percentage of affected animals, and the number and percentage of birds  
287 with generalized colibacillosis per group were assessed in surviving birds. A bird was considered

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288 affected when lesions were observed, while general colibacillosis was diagnosed when lesions  
289 occurred on the pericardium and/or the liver.

290 The gender of each broiler was re-evaluated during post-mortem examination to correct the mean  
291 body weights for sex faults on day 0.

292 Bacteriological examination of the bone marrow (femur) of all birds that died during the  
293 experiment (except for one bird of group 7 from which the affected pericardium was examined),  
294 supplemented with bacteriological examination of surviving birds to a maximum of 5 birds per  
295 group was performed. Of the latter birds affected pericardia or airsacs were bacteriologically  
296 examined. In case no colibacillosis lesions were observed (control groups), bone marrow was  
297 examined of five birds per group.

298         Bone marrow from birds which died during the experiment was bacteriologically examined  
299 to determine the specificity of mortality. Mortality was considered specific in case dead birds  
300 showed generalized colibacillosis and/or *E. coli* was isolated from their bone marrow.

301         Bacteriological examination was performed using sheep-blood agar plates (K004P090;  
302 Biotrading), which were incubated overnight at 37°C and thereafter visually inspected for purity.  
303 Biochemical identification of colonies was performed using the indole and β-glucuronidase test,  
304 which are both positive for *E. coli*.

305         All reisolates and the inoculum strain were stored at -80°C for Pulsed-Field Gel  
306 Electrophoresis (PFGE).

307

### 308 **Serology**

309         Antibody titres to ND virus in sera of 24 surplus birds taken at day 0 and of all surviving  
310 birds at day 18 were assessed by HI test as described by De Jong (De Jong, 1978) using 8 HA units

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311 of Ulster vaccine virus and expressed as log<sub>2</sub> titres. Titrations were performed until endpoint and  
312 all samples were analysed in one run. Titres <1 were given value 1 for the calculation of mean  
313 titres and statistical analyses.

314 Log<sub>2</sub> antibody titres at day 0 were <1 confirming the SPF status of the broilers.

315

### 316 **PFGE**

317 The clonal relationship between reisolated *E. coli* bacteria and the inoculated *E. coli* strain  
318 was analysed by PFGE.

319 The PFGE-technique of contour-clamped homogeneous electric fields (CHEF) was used  
320 for genomic typing of the *E. coli* bacteria (one colony per positive sample). Genomic DNAs were  
321 digested in agarose plugs with *Xba I* (10 U)(Roche Diagnostics, Mannheim, Germany). The  
322 resulting fragments were resolved by CHEF-PFGE with a CHEF-DR<sup>®</sup> III apparatus (Bio-Rad  
323 Laboratories, Richmond (CA), USA) at a constant voltage of 6 V/cm for 20 h at 14°C, an included  
324 angle of 120° and an initial and final switch time of 2.2 and 54.2 seconds, respectively. The  
325 generated fingerprints were processed using BioNumerics software (Applied Maths NV, Sint-  
326 Martens-Latem, Belgium). The similarity was calculated using the band-based DICE coefficient  
327 with 1% optimization and 1% tolerance. Clustering was performed by the unweighted pair method  
328 with arithmetic averages (UPGMA). Isolates were considered ‘indistinguishable’ if 100% of the  
329 fragments were identical.

330

### 331 **Statistics**

332 Survival rates of groups were compared using the Mantel-Cox log-rank test. Body weights  
333 at day 4 and body weight gain between 4 and 11, and between 11 and 18 days of age were compared



334 with one-way ANOVA followed by the post-hoc Scheffé's test after verifying the normality of  
335 residuals with a Q-Q plot and homogeneity of variances with the Levene's test. Survival analysis  
336 and body weight analysis were performed in SPSS version 20 (IBM, New York, USA).

337 Comparison of serum antibody titres and *E. coli* scores between the eight groups was performed  
338 using the Kruskal-Wallis test. Pairwise comparisons were carried out using Wilcoxon rank sum  
339 test, adjusting the p-values for the number of performed tests with the Bonferroni correction.

340 Numbers of birds affected by colibacillosis and with generalized colibacillosis were compared  
341 between groups by fitting a logistic regression with infection as response and vaccination as  
342 explanatory variable. All analyses were performed in R (version 2.14.1, the R Foundation for  
343 Statistical Computing) unless specified differently.  $P < 0.05$  was used as significance level.

344

#### 345 **Ethics**

346 The study was approved by the Institutional Animal Experimental Committee, DEC-Consult  
347 Foundation, according to Dutch law on experimental animals (Wet op de dierproeven).

348

349

350 **RESULTS**

351

352 **Vaccine characteristics**

353 Virus titres of the powder and liquid vaccines ranged from  $10^{7.5}$  to  $10^{7.7}$  EID<sub>50</sub> per gram  
354 and from  $10^{7.8}$  to  $10^{7.9}$  EID<sub>50</sub> per ml, respectively (Table 2), resulting in a dosis per m<sup>3</sup> isolator air  
355 (5 g or 5 ml vaccine was used per isolator) ranging from  $10^{8.1}$  to  $10^{8.5}$  EID<sub>50</sub> (Table 3).

356 Average particle/droplet size distributions and distribution spans of the vaccines are presented in  
357 Table 4. The percentages of particles and droplets with a diameter below 20, 10, 5 and 1 µm and  
358 their corresponding vaccine virus titres per g or ml vaccine are presented in Table 2.

359 The median volume diameter ( $D(v,0.5)$ ) of the coarse vaccine powder particles and of the  
360 droplets of the coarse liquid vaccine were 37 and 106.4 µm, respectively. Dry particles originating  
361 from droplets of the coarse liquid vaccine had a ( $D(v,0.5)$ ) of 11.5 µm. Ninety percent of the  
362 particles of the coarse vaccine powder had a size below 55 µm, while the same percentage of the  
363 coarse liquid spray was present in droplets <300 µm. Spans of the coarse powder particle size  
364 distribution, the droplet size distribution of the coarse liquid spray and of the dry particles  
365 originating from droplets of the coarse spray were 0.8, 2.5 and 2.5, respectively (Table 4).

366 The coarse powder vaccine contained 4.4% particles smaller than 20 µm (virus titre in this  
367 fraction  $10^{6.3}$  EID<sub>50</sub> g vaccine) and <0.01% particles smaller than 5 µm (virus titre in this fraction  
368 < $10^{3.7}$  EID<sub>50</sub> g vaccine). The coarse spray consisted of 0.52% of droplets below 5 µm; following  
369 evaporation of the coarse spray droplets the percentage of dry particles below 5 µm was 17.8  
370 (Table 2).

371 The fine powder vaccine particles and the droplets of the fine aerosol had a similar  $D(v,0.1)$   
372 (2.6 and 2.7 µm) and  $D(v,0.5)$  (6.5 and 10.0 µm), but a highly different  $D(v,0.9)$  (13.8 µm for the

373 fine powder vaccine and 237  $\mu\text{m}$  for the fine liquid vaccine) resulting in substantially different  
374 spans (fine powder particles 1.7; fine aerosol droplets 23.5) (Table 4). The fine powder vaccine  
375 consisted of 35.3% of particles smaller than 5  $\mu\text{m}$  (virus titre in this fraction  $10^{7.0}$  EID<sub>50</sub> g vaccine)  
376 and of 2.8% of particles below 1  $\mu\text{m}$  (virus titre in this fraction  $10^{5.9}$  EID<sub>50</sub> g vaccine), while 83.7%  
377 and 49.6% of dry particles originating from fine aerosol droplets were below 5 and 1  $\mu\text{m}$ ,  
378 respectively (Table 2).

379 In general, particle size distribution of the mixed powder vaccine was in between that of  
380 the coarse and fine powder vaccine (tables 2 and 4). The percentages of particles smaller than 1,  
381 5, 10 and 20  $\mu\text{m}$  in the mixed powder vaccine were clearly below those of the fine powder (0.80,  
382 5.0, 8.0 and 15.2% in the mixed powder vaccine, respectively versus 2.8, 35.3, 76.9 and 91.7% in  
383 the fine powder vaccine, respectively). However, differences in  $\log_{10}$ EID<sub>50</sub> vaccine virus titres in  
384 mentioned fractions of the vaccines were small (5.6, 6.4, 6.6 and 6.9 in the mixed powder vaccine,  
385 respectively versus 5.9, 7.0, 7.4 and 7.5 in the fine powder vaccine, respectively).

386 The percentage of particles below 1  $\mu\text{m}$  in the mixed powder vaccine and the percentage  
387 of dry particles below 1  $\mu\text{m}$  originating from the coarse vaccine droplets were almost equal (0.80  
388 versus 0.81), while the percentages of particles below 5, 10 and 20  $\mu\text{m}$  in the mixed vaccine  
389 powder (5.0, 8.0 and 15.2%, respectively) were below those of the dry particles originating from  
390 the coarse vaccine droplets (17.8, 44.7 and 76.1%, respectively) (Table 2).

391

### 392 **Aerosol vaccine virus titres and estimated inhaled vaccine dose per bird**

393 Vaccine doses per  $\text{m}^3$  air, aerosol vaccine titres, initial virus losses and estimated vaccine doses  
394 inhaled per broiler are presented in Table 3. Vaccine virus was not detected in air samples taken  
395 after dispersion of placebo powders (groups 2 and 3). Although vaccine doses did not differ

396 substantially between vaccine groups ( $10^{8.1}$  to  $10^{8.5}$  EID<sub>50</sub> per m<sup>3</sup> air) airborne virus titres did,  
397 depending on the vaccine type. Lowest initial virus titre losses occurred after dispersion of fine  
398 and mixed powder vaccines (1.0 log<sub>10</sub> and 2.4 log<sub>10</sub>, respectively). These vaccines produced the  
399 highest airborne virus concentrations:  $10^{7.1}$  and  $10^{5.9}$  EID<sub>50</sub> per m<sup>3</sup> air for the fine and mixed  
400 powder vaccine respectively immediately after dispersion, thereafter decreasing to  $10^{4.4}$  and  $10^{3.2}$   
401 EID<sub>50</sub> per m<sup>3</sup> air at 20 min after dispersion, respectively. Calculated inhaled doses of these  
402 vaccines were  $10^{4.3}$  and  $10^{3.0}$  EID<sub>50</sub> per broiler.

403 Coarse vaccines showed highest initial virus losses (4.1 log<sub>10</sub> and 5.4 log<sub>10</sub> for powder and liquid  
404 vaccine, respectively) and lowest airborne concentrations ( $10^{4.2}$  EID<sub>50</sub> per m<sup>3</sup> air for the powder  
405 vaccine and  $10^{3.0}$  EID<sub>50</sub> per m<sup>3</sup> air for the liquid vaccine, directly after nebulization), thereafter  
406 decreasing to the detection limit ( $10^{2.9}$  EID<sub>50</sub> per m<sup>3</sup> air) or below resulting in the lowest inhaled  
407 vaccine virus doses per bird ( $10^{1.3}$  EID<sub>50</sub> (= 20 EID<sub>50</sub>) for the powder vaccine and  $10^{-0.1}$  EID<sub>50</sub> (= 0.8 EID<sub>50</sub>) for the liquid vaccine).

409 The fine liquid vaccine gave an initial vaccine virus loss and airborne virus titres between the fine  
410 and mixed powder vaccine on one side and the coarse vaccines on the other side.

411 The estimated inhaled vaccine dose per bird was  $10^{2.5}$  EID<sub>50</sub>.

412

#### 413 **Vaccinal reactions and serum antibody response**

414 Clinical signs of disease were not observed in birds of the negative, placebo and *E. coli* group,  
415 moreover antibodies to ND virus were not detected in these birds. Slight depression was observed  
416 in all vaccine groups from 6 days after vaccination, being somewhat more severe in groups  
417 vaccinated with the fine and mixed powder and the fine liquid vaccine (groups 6, 7 and 8).

418 Two to four broilers in each of the groups in which the fine vaccines or the mixed powder vaccine  
419 was administered (groups 6, 7 and 8) showed mouth breathing from 6 to 8 days following  
420 vaccination. This was not observed in the other vaccine groups (groups 4 and 5).

421 After *E. coli* inoculation clinical signs of depression increased in all vaccine groups (groups 4 to  
422 8) and these signs were observed thereafter until the end of the experiment.

423 Values of parameters of vaccinal reaction other than clinical signs (mortality, body weight  
424 gain (BWG) and colibacillosis lesions) are presented in Table 1. No significant differences ( $P$   
425  $>0.05$ ) regarding these parameters were found between the control groups (groups 1, 2 and 3).

426 Mortality, which only occurred after *E. coli* inoculation, ranged from 2 to 4 broilers per  
427 group; differences between groups were not significant ( $P >0.05$ ). All mortality was specific, i.e.  
428 due to colibacillosis.

429 Mean body weight  $\pm$  SD of broilers at day 4 (day of vaccination) ranged from  $85 \pm 6$  to  $91$   
430  $\pm 6$  g between groups. Differences were not significant ( $P >0.05$ ).

431 All vaccine groups (groups 4 to 8) showed growth retardation and a significantly ( $P <0.05$ )  
432 higher number of birds with colibacillosis, which was also more severe in comparison with birds  
433 of the *E. coli* group (Group 3). Growth retardation occurred in both, the week after vaccination  
434 (day 4 to day 11) and the week after *E. coli* inoculation (day 11 to day 18), but was more  
435 pronounced in the latter period.

436 BWG  $\pm$  SD of vaccinated broilers (groups 4 to 8) between 4 and 11 days of age, and between 11  
437 and 18 days of age ranged from  $131 \pm 27$  g to  $154 \pm 18$  g and from  $126 \pm 42$  g to  $160 \pm 35$  g,  
438 respectively. In the same periods BWG  $\pm$  SD of the *E. coli* group (Group 3) was  $161 \pm 16$  g and  
439  $189 \pm 54$  g, respectively.

440 In vaccine groups (groups 4 to 8) 68 to 97% of the birds showed colibacillosis lesions and the MLS  
441 ranged from 1.7 to 4.9, while the values of these parameters in the *E. coli* group were 3% and 0.2.

442 Coarse vaccines (groups 4 and 5) induced less growth retardation, significantly ( $P < 0.05$ )  
443 less colibacillosis and significantly ( $P < 0.05$ ) lower HI ND virus titres compared to the fine  
444 vaccines (groups 7 and 8).

445 Generalized colibacillosis hardly occurred in broilers vaccinated with the coarse vaccines (groups  
446 4 and 5; 3 to 5%), while substantial numbers of birds with this condition were present in groups  
447 vaccinated with the fine vaccines (groups 7 and 8; 15 to 28%).

448 Coarse vaccines (groups 4 and 5) induced mean  $\log_2$  HI ND virus titres of 3.2 to 3.4; the fine  
449 vaccines (groups 7 and 8) of 4.4. to 5.2. The highest mean HI titre (5.2) was found in broilers  
450 vaccinated with the fine liquid vaccine (group 8).

451 No significant differences were seen between vaccinal reaction parameter values of birds  
452 given the fine vaccines (groups 7 and 8) and those vaccinated with the mixed powder vaccine  
453 (Group 6). The serum antibody response of broilers vaccinated with the mixed powder vaccine  
454 (mean  $\log_2$  HI ND virus titre is 4.3) was almost equal to that of broilers that received the fine  
455 powder vaccine (Group 7: mean  $\log_2$  HI ND virus titre is 4.4), but was significantly below the  
456 response of birds supplied with the liquid aerosol (Group 8: mean  $\log_2$  HI ND virus titre is 5.2).

457 Significant differences in values of vaccinal reaction parameters and seroresponse were  
458 neither observed between broilers vaccinated with coarse powder (Group 4) and coarse liquid  
459 vaccine (Group 5) nor between fine powder (Group 7) and fine liquid vaccine (Group 8).

460

#### 461 **Bacteriological analysis and PFGE**

462 Bacteria were not isolated from the bone marrow of birds of the negative and the placebo groups.

463 *E. coli* was isolated from the bone marrow of 16/17 broilers that died during the experiment, while  
464 it was isolated from pericardium of one dead bird (group 7) of which the bone marrow was not  
465 examined. *E. coli* was also isolated from 9/13 surviving birds of which affected organs  
466 (pericardium or airsac) were bacteriologically examined.

467 PFGE of the 26 *E. coli* colonies revealed that, except for one colony from an airsac (group 5) all  
468 colonies were clonal and showed 100% similarity to the parent strain used in this study. The  
469 reference strains isolated from the bone marrow of layers with *E. coli* peritonitis syndrome (EPS)  
470 were not genetically related to the parent strain and the reisolates (similarity <85%).

471

472 **DISCUSSION**

473 In the present study, we hypothesized that the coarse powder vaccine aimed at targeting  
474 exclusively the upper respiratory tract, would provoke less vaccinal reaction while retaining a  
475 satisfactory immune response in comparison with coarse liquid vaccine and that the fine powder  
476 vaccine would increase the seroresponse due to a lower loss of vaccine virus in the aerosol in  
477 comparison with the fine liquid vaccine. The latter, likely due to the absence of virus inactivation  
478 by formation and evaporation of droplets, was shown in previous research (Corbanie *et al.*, 2008).

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479 However, neither a decreased vaccinal reaction using the coarse powder vaccine, nor an increased  
480 seroresponse after vaccination with the fine powder vaccine was found.

481 Although it was intended to produce a coarse powder vaccine with particles >20 µm to prevent  
482 respirability, 4.4% had a diameter below this value. Regarding the fine powder vaccine our  
483 intention was to manufacture a powder with particle sizes below 5 µm, which was achieved only  
484 for 35.3% of the powder mass.

485 Estimated inhaled vaccine doses were 20 and 0.8 EID<sub>50</sub> per bird for the coarse powder and  
486 coarse liquid vaccine, respectively, which seems very low. If the calculated inhaled doses are real  
487 and only a part of the afore mentioned doses is respirable, the amount of vaccine virus deposited  
488 in the deeper airways will be even smaller. In this case there will be hardly any room to improve  
489 the coarse powder vaccine regarding its exclusive deposition in the upper respiratory tract.  
490 However, it is also possible that the inhaled doses have been underestimated. Plausible reasons for  
491 underestimation are: 1. The ventilation volume used corresponded to 18-day-old broilers and may  
492 have been relatively higher in younger birds. 2. The increase and decrease of airborne vaccine  
493 virus concentrations is not linear and the virus concentration below the detection limit is not  
494 necessarily zero. Even if underestimations resulting from both, point 1 and 2 would have been in



495 the order of magnitude of a factor 2 to 3, this would not result in substantial differences in virus  
496 inhalation. 3. Inefficient capturing of particles with a size below the pore size of that of the gelatin  
497 filters (<3 µm), however this is not of importance as the retaining efficiency of airborne particles  
498 of 0.01 to 0.9 µm proved to be >93% (Clark Burton *et al.*, 2007), while particles sized 0.5 to 3.0  
499 µm were collected with an efficiency of 99.9% (Koller & Rotter, 1974). 4. Loss of virus in the  
500 gelatin filters and/or due to processing of the filters (snap freezing and thawing), however this does  
501 not play a significant role as shown in the present study. 5. The dispersion or spraying of coarse  
502 vaccines directly on the birds may have resulted in temporary relative high local concentrations of  
503 vaccine virus close to the birds, which were not or incompletely detected by air sampling. The  
504 latter seems the most plausible explanation for underestimation.

505 Whether coarse powder vaccines can be ameliorated regarding its vaccinal reaction inducing  
506 potency while retaining sufficient immunogenicity, is subject of current research.

507 The fine powder vaccine showed lower virus loss during dispersion compared to fine liquid  
508 vaccine ) and persistence of the vaccine virus titre was highest in the powder aerosol (Table 3),  
509 which confirms earlier results (Corbanie *et al.*, 2008). Consequently, highest inhaled vaccine doses  
510 were estimated for the fine powder vaccine (Table 3). However, although not significantly  
511 different, highest HI ND titres were measured in broilers vaccinated with the fine liquid vaccine  
512 (Table 1). This is likely due to the relative high percentage of very small dry particles (<1 µm) in  
513 the liquid vaccine virus aerosol, which originate from droplets due to evaporation (Table 2).  
514 Obviously this high percentage of very small particles contained sufficient live vaccine virus to  
515 induce a strong serum response after penetrating into the deepest tissues of the lower respiratory  
516 tract (atria and air capillaries) (Corbanie *et al.*, 2006; Gough & Allan, 1973) despite virus  
517 inactivation due to formation and evaporation of droplets from which these particles emerge.

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518 Therefore, it is concluded that the strongest immune response likely can be obtained by vaccine  
519 particles of  $<1 \mu$ , which is in agreement with the results of previous aerosol experiments with  
520 inactivated ND vaccines (Van Eck, 1990). However, considering the current state of the art in  
521 pharmaceutical technology, it seems almost impossible to manufacture a live vaccine virus  
522 containing dry powder that (mainly) consists of particles  $<1 \mu\text{m}$ .

523 The mixed powder vaccine consisted of 90 wt % coarse powder vaccine and 10 wt % fine  
524 powder vaccine, thus can be considered as a fine powder vaccine with a virus titre  $\log_{10}$  lower  
525 than that of the vaccine that only contained fine particles. This difference in vaccine virus titres  
526 was reflected in the estimated inhaled doses (Table 3), but did not result in significantly different  
527 vaccinal reactions nor in significantly different seroresponses (Table 1). Obviously a dose of  
528  $10^{3.0}$  EID<sub>50</sub> vaccine virus per broiler already provoked the maximal reaction of the bird.

529 The mixed powder vaccine can also be considered as a coarse vaccine 'contaminated' by 10 wt%  
530 fine powder vaccine. The foregoing illustrates that a contamination of mentioned magnitude is  
531 detrimental for the desirable properties (exclusive deposition in the upper airways) of the coarse  
532 powder vaccine.

533 The percentages of dry particles  $<1$ ,  $<5$ ,  $<10$  and  $<20 \mu\text{m}$ , which originate from coarse spray  
534 droplets by evaporation were equal or even greater than those of the mixed powder vaccine (Table  
535 2). Nevertheless, the coarse liquid spray induced clearly less vaccinal reaction and a weaker  
536 seroresponse compared to the mixed powder vaccine (Table 1). This is likely due the (almost)  
537 complete absence of live vaccine virus in the small dry particles from the coarse liquid vaccine  
538 due to inactivation by formation and evaporation of the droplets from which these small dry  
539 particles emerge. Sufficient live vaccine virus to provoke a strong seroresponse and vaccinal  
540 reaction was likely present in dry particles  $<1$  and  $<5 \mu\text{m}$  originating from the fine liquid vaccine

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541 in contrast to the amount of live vaccine virus in dry particles of these sizes originating from the  
542 coarse liquid spray. An explanation for this may be the difference in mass in small dry particles  
543 between both vaccine types: high percentages from the fine liquid aerosol versus relative low  
544 percentages from the coarse liquid spray (Table 2).

545 It is common knowledge that ND vaccines induce vaccinal reactions characterized by  
546 respiratory distress, growth retardation and increased susceptibility to colibacillosis. It is also  
547 known that birds exposed to the vaccine per aerosol show more severe respiratory disease signs  
548 and growth depression compared to spray exposure (Bermudez & Stewart-Brown, 2008; Guittet  
549 *et al.*, 1997). Even mortality may occur after aerosol administration of ND vaccines to young  
550 chickens due to suffocation resulting from obstruction of the trachea bifurcation (Van Eck &  
551 Goren, 1991). The occurrence of respiratory distress and growth retardation inversely proportional  
552 to the size of vaccine particles was confirmed again in the present study. Moreover, it was also  
553 shown that vaccination with the fine vaccines increased the susceptibility to colibacillosis  
554 significantly more than vaccination with the coarse vaccines. Although this phenomenon was not  
555 unexpected, it is a novel finding. In comparison to broilers given the coarse vaccines, generalized  
556 colibacillosis occurred to a much greater extent after vaccination with the fine vaccines. From the  
557 present and other studies, it appears that generalized colibacillosis is very detrimental to broilers  
558 as it is associated with mortality and severe growth retardation (Matthijs *et al.*, 2005; Matthijs *et*  
559 *al.*, 2003; Peek *et al.*, 2013), while only a minority of birds recover (Peek *et al.*, 2013).

560 In the present study it was confirmed once more that an inhaled dose of about 100 to 1000  
561 EID<sub>50</sub> ND vaccine virus per bird administered via fine particles is able to induce excellent serum  
562 antibody responses in SPF broilers (Corbanie *et al.*, 2008; Kohn, 1955; Van Eck & Goren, 1991).  
563 However, it should be considered that if the same vaccine dose is used in broilers with maternal

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564 antibodies a lower antibody response will likely be obtained (Davelaar & Kouwenhoven, 1977;  
565 Gough & Allan, 1976; Van Eck *et al.*, 1991).

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566 In conclusion, it can be stated that in the present laboratory experiment the coarse and fine  
567 powder vaccines did not differ significantly from their liquid counterparts in terms of vaccinal  
568 reaction and seroresponse. The room for improvement of the coarse powder vaccine is subject of  
569 on-going research. Unfortunately, possibilities to ameliorate the fine powder vaccine at seem to be  
570 out of reach at present.

571

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