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PAPER

Micellar dye shuttle between water and an ionic liquid[†]

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The reversible transfer of poly(2-ethyl-2-oxazoline)-*block*-poly(2-nonyl-2-oxazoline) nanocarriers comprising encapsulated dyes is demonstrated between water and an ionic liquid. This dye transfer concept is shown to be applicable for loading and delivery of dye molecules as well as to provide a protective environment for the encapsulated dye.

Introduction

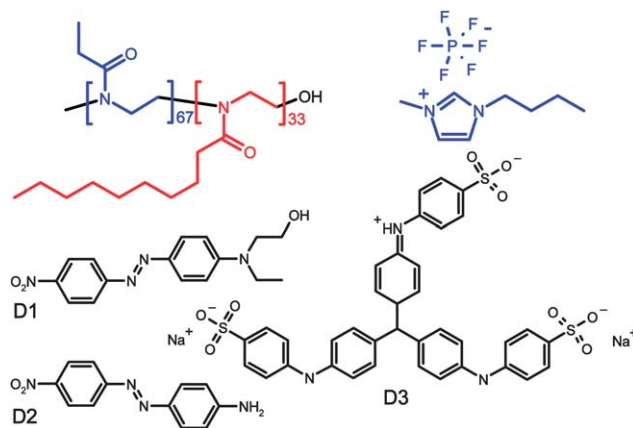
Recently the utilization of ionic liquids (ILs) as new solvents^{1–9} for the preparation of self-assembled nanostructures (micelles) formed by amphiphilic block copolymers has triggered attractive new possibilities for the design of advanced and “smart” polymer based physico-chemical systems.^{10–21} Interestingly, the thermo-reversible transfer of block copolymer micelles and nanocarriers between a hydrophobic IL phase and an aqueous phase was recently demonstrated based on the decreased solubility of the hydrophilic block in aqueous solution with increasing temperature.^{10–13}

Block copolymer micelles and dendritic core-shell architectures have been proposed as encapsulating, phase-transfer, and delivery vehicles of molecules and nano-objects,^{22–30} including catalysts,^{26,27} drugs showing a steady release process,²⁸ high loading capacity systems using star-shaped unimolecular micelles,^{23,27,28,30} and organic molecules in a pH-responsive biphasic system.²⁵ Most of these previous reports describe the delivery of encapsulated moieties in multi-phase or heterogeneous systems by an irreversible process often based on the destruction of the carrier.

The combination of block copolymer nanocarrier transfer between water and ILs with the encapsulation of guest molecules

is envisioned to lead to a reversible shuttling of the guest between the phases, which could be beneficial for transport or delivery and reloading of catalysts or precious reagents as well as an easy recovery of the nanocarrier shuttle or of permanently loaded nanocarrier catalysts.³¹

Here, we report dye encapsulation in poly(2-ethyl-2-oxazoline)₆₇-*b*-(2-nonyl-2-oxazoline)₃₃ (PEtOx₆₇-*b*-NonOx₃₃) (Scheme 1) block copolymer nanocarriers, which can be reversibly shuttled between water and 1-butyl-3-methylimidazolium hexafluorophosphate (BMI-PF₆, Scheme 1) IL.¹¹ Furthermore, the thermoreversible transfer and/or release of the dye guest molecules encapsulated into block copolymer nanocarriers are reported, whereby reversible shuttling, one-direction deliveries as well as reloading of the carriers are demonstrated. Recently, the reversible transfer of covalently dye-loaded block copolymer micelles was utilized to gain a better understanding of the micellar shuttling process,^{32,33} which is distinctly different from our current study focusing on guest transfer, delivery and reloading.



Scheme 1 Schematic representation of the PEtOx₆₇-*b*-PNonOx₃₃ block copolymer, the BMI-PF₆ IL and the disperse red 1 (D1), disperse orange (D2) and methyl blue (D3) dyes.

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Experimental section

Materials

1-Butyl-3-methylimidazolium hexafluorophosphate and 1-butyl-3-methylimidazolium trifluoromethanesulfonate (BMI-CF₃SO₃) ILs were obtained from Solvent-Innovation GmbH as a kind gift. Both ILs were of synthesis grade and dried under vacuum at 40 °C for at least 3 days before use. The rest of the materials were utilized as received from different suppliers.

Characterization techniques

Dynamic light scattering (DLS) measurements were performed at 25 °C at 90° on a Malvern CGS-3 apparatus equipped with a 633 nm laser. The refractive index value for BMI-PF₆ used during the analysis was 1.41 (measured value) whereas the used value of viscosity for BMI-PF₆ was 352 mPa s (value obtained from the supplier). The hydrodynamic radius (R_h) and the polydispersity index (PDI) of the nanocarriers were calculated from a cumulant analysis. The distribution of the hydrodynamic radii was obtained by a deconvolution of the data with the CONTIN algorithm.

Transmission electron microscopy (TEM) images were recorded on a Technai G² Sphera (FEI) electron microscope using an acceleration voltage of 200 kV. The IL nanocarrier solution was drop-cast on the TEM-grid followed by washing with water to remove excess of the IL.

Ultraviolet-visible (UV-Vis) spectroscopy was performed on a FlashScan 520 (Analytik Jena, Germany) in 96 well microtiter plates (poly(propylene), flat bottom) from Greiner (Greiner Bio-One, Germany) in the range from 250 to 800 nm. All spectra were referenced to an empty microtiter plate and utilized four flashes. For the investigation of the phase transfer process of the guest molecules encapsulated into the cores of the self-assembled polymeric nanocarriers, UV-Vis measurements of the two phases of the systems described in this contribution were recorded during the different stages of the experiments in order to monitor the presence and/or absence of the encapsulated molecules within the phases of the system. For this study, it was important to determine the UV-Vis absorption properties of the investigated dispersion of the nanocarriers before the addition of any dye moieties. In this regard, UV-Vis measurements demonstrated that neither the pure solvents (BMI-PF₆ and water) nor the pure dispersion of nanocarriers show strong absorption bands in the range of 350 to 900 nm, thus allowing the analysis with respect to the presence and/or absence of the encapsulated molecules within the phases of the system.

pH measurements of micellar dispersions as well as pure substances were performed in poly(propylene) containers using an HI 8417 Hanna Instruments bench-top pH meter supplied with an HI 1131B glass-body combination pH electrode.

Size-exclusion chromatography (SEC) measurements of the PEtOx₆₇-*b*-PNonOx₃₃ diblock copolymer were performed on a Waters SEC system consisting of an isocratic pump, a 2414 refractive index detector, a 2996 photodiode array detector, and a Waters Styragel HT4 column. A *N,N*-dimethylformamide solution containing 5 mM of NH₄PF₆ was used as eluent at a flow rate of 0.5 mL min⁻¹ and a column temperature of 50 °C.

Molar masses were calculated against poly(methyl methacrylate) standards.

Proton nuclear magnetic resonance (¹H NMR) spectra were recorded on a Varian Gemini 400 MHz spectrometer at room temperature using deuterated chloroform (CDCl₃).

Synthesis and characteristics of the diblock copolymer

The PEtOx₆₇-*b*-NonOx₃₃ diblock copolymer was synthesized by sequential cationic ring opening polymerizations under microwave irradiation; a detailed description of this synthetic method can be found elsewhere.³⁴ The diblock copolymers showed narrow monomodal molar mass distributions as revealed by size-exclusion chromatography (SEC). ¹H NMR spectroscopy in combination with SEC measurements was utilized for the determination of the composition of the different block copolymers. Thus, the investigated diblock copolymer PEtOx₆₇-*b*-NonOx₃₃ had a M_n value of 9.4 kDa (PDI = 1.35) as revealed by SEC analysis (M_n theor. = 12.6 kDa). The block compositions obtained by ¹H NMR spectroscopy for this material were DP = 67 and DP = 33 for the poly(2-ethyl-2-oxazoline) (PEtOx) and poly(2-nonyl-2-oxazoline) (PNonOx) blocks, respectively.

Self-assembly and characterization of the block copolymer nanocarriers

The self-assembled nanocarriers formed by the amphiphilic diblock copolymer PEtOx₆₇-*b*-NonOx₃₃ utilized in this work were prepared utilizing the co-solvent method as reported elsewhere.^{10,11,15–17,35} The bulk diblock copolymer PEtOx₆₇-*b*-NonOx₃₃ was dissolved in a thermodynamically good solvent for the two blocks (in acetone at 50 °C) at a concentration of 10 wt%. Subsequently, BMI-PF₆ was gradually added into the polymeric solution as a selective precipitant for the block composed of PNonOx under vigorous stirring in order to reach a concentration of 0.5 wt% of the polymeric material. The removal of the co-solvent from the nanocarrier dispersion was achieved by placing the vials in a vacuum oven at 40 °C for at least 24 hours. DLS measurements revealed that the investigated self-assembled nanocarriers in BMI-PF₆ had a hydrodynamic radius (R_h) of 118 nm and a polydispersity index (PDI) of 0.29. These dimensions exceed the estimated sizes that would have been expected if micellar systems are formed, even if a complete stretching of the macromolecules is assumed. Thus, it is suggested that the structure of these self-assemblies is more complex and the term 'nanocarrier' is introduced to refer to these nanostructures.

Dye loading of self-assembled nanocarriers

The encapsulation of three different organic dyes in the cores of the self-assembled nanocarriers formed by the PEtOx₆₇-*b*-NonOx₃₃ diblock copolymer in the presence of the hydrophobic IL BMI-PF₆ was achieved by placing predetermined amounts of the corresponding dye and the diblock copolymer (10 wt% of the dye with respect to the total amount of block copolymer) in a vial before the addition of the non-selective solvent (acetone in this case); the rest of the encapsulation procedure was identical to the preparation method explained above for the self-assembled diblock copolymer nanocarriers. The investigated organic dyes

(Scheme 1) were dispersed red 1 (*N*-ethyl-*N*-(2-hydroxyethyl)-4-(4-nitrophenyl-azo)-aniline) (D1), disperse orange 3 (4-(4-nitrophenyl-azo)aniline) (D2), and methyl blue (pH indicator) (D3). Both D1 and D2 show a poor solubility in water but they were found to be well-soluble in BMI-PF₆. D3 is well-soluble in water but insoluble in BMI-PF₆. In addition, D3 acts as a pH indicator which turns colorless in the pH range from 9.4 to 14.

Results and discussion

Before investigating the dye loading and transfer, the formation of self-assembled nanostructures of PEtOx₆₇-*b*-PNonOx₃₃ was investigated in BMI-PF₆ by DLS revealing a hydrodynamic size of 118 nm, which is significantly larger than the value previously reported in water for the same diblock copolymer, namely 36 nm.³⁴ Even though 118 nm is rather large for individual micelles, TEM on the nanocarriers in a similar IL, namely BMI-CF₃SO₃, revealed the presence of individual spherical nanostructures with a size around 100 nm indicating that the coronas of these nanostructures might be swollen by the IL (see Fig. 1 and ESI†). Attempts to obtain cryogenic TEM micrographs of the formed nanostructures directly in BMI-PF₆, using experimental techniques reported elsewhere,^{15,16} were not successful for the investigated system. This was mainly because a suitable sample thickness could not be obtained in several attempts due to the complexity of freezing an IL solution.^{15,16} For this reason, it was decided to determine the size of the nanostructures by TEM utilizing a related IL, BMI-CF₃SO₃, which turned out to be easier (for details see the ESI†). Additional DLS measurements of the self-assembly process of the investigated diblock copolymer performed in the presence of the hydrophilic IL BMI-CF₃SO₃ revealed the formation of self-assembled spherical nanostructures with a *R*_h value of 84 nm and a PDI value of 0.54. Even though it is reported that the nature of the IL can have an

important influence on the self-assembly process of these nanostructures,¹¹ this size from DLS is in a similar range as with BMI-PF₆ and, therefore, presumable individual spherical nanostructures will also be present in BMI-PF₆. It was also reported that PNonOx is insoluble in water and in the mentioned ILs, and therefore the observed increased size of the nanocarriers in the ILs can be ascribed to stretching of the PEtOx chains in the corona and/or to the formation of micellar aggregates.¹¹ It has also been reported that micellar structures formed of poly-(butadiene)-*block*-poly(ethylene oxide) diblock copolymers can be thermoreversibly transferred between a BMI-PF₆ IL phase and an aqueous phase where the micelle size and structure are preserved during the transfer process.¹⁰ As a consequence of the insolubility of PNonOx in BMI-PF₆ and the possibility of thermoreversibly transferring this kind of structures between the phases of the investigated system, it may be anticipated that the IL is not transferred together with the nanocarriers into the aqueous phase.

Disperse red 1 dye (D1, Scheme 1) was subsequently loaded into the self-assembled PEtOx₆₇-*b*-PNonOx₃₃ nanocarriers in BMI-PF₆ by simply preparing the nanocarriers in the presence of the dye. In fact, D1 is fully soluble in BMI-PF₆ and, thus, it is expected that the dye is present in both the ILs and in the nanocarriers while D1 is hardly soluble in water, *i.e.* it is slightly soluble in the protonated form leading to a different absorption spectrum (Fig. 2). Fig. 2, top, shows that addition of a water

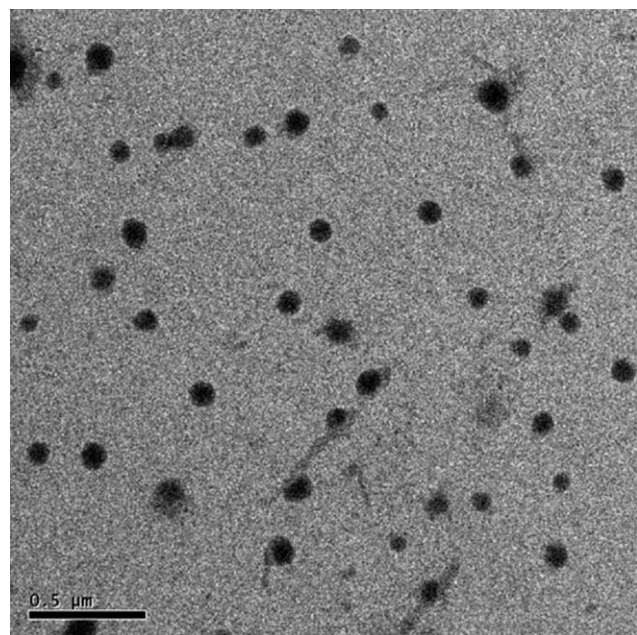


Fig. 1 TEM image of the PEtOx₆₇-*b*-NonOx₃₃ nanostructures in the BMI-CF₃SO₃ IL.

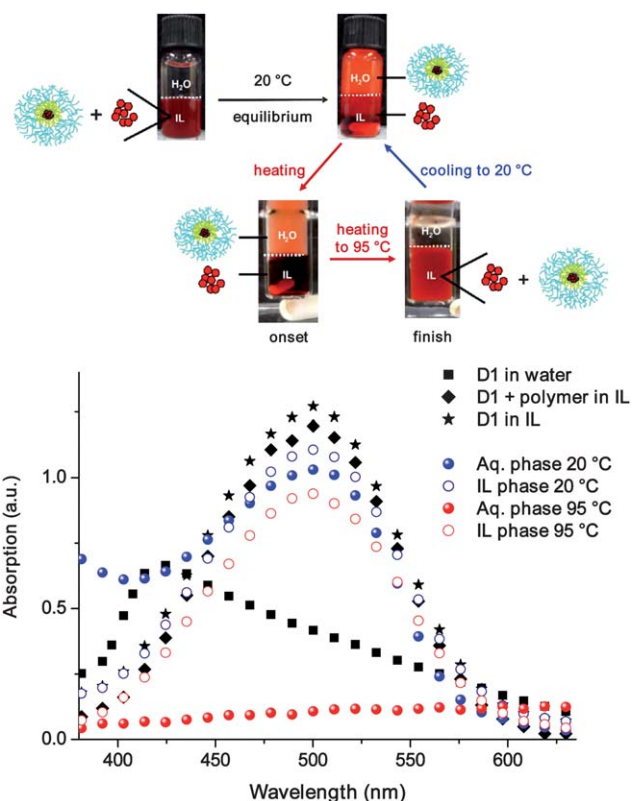


Fig. 2 Top: thermoreversible phase transfer organic dye molecules (disperse red 1 D1) encapsulated into self-assembled diblock copolymer nanocarriers between water and a hydrophobic IL (BMI-PF₆). Bottom: UV-Vis spectra of the aqueous and IL phases to investigate the location of the encapsulated D1 molecules at different temperatures.

phase to the IL results in transfer of dye-loaded nanocarriers proving successful encapsulation and dye-transfer into the water phase.¹¹ The similar absorption of D1 in water in the presence of nanocarriers compared to the IL clearly indicated that the dye is inside the nanocarriers and it is not dissolved as the protonated form in water. Subsequent heating results in reversal of the nanocarrier shuttle from the water phase to the IL phase driven by the LCST transition of PEtOx.¹¹ This reversible nanocarrier dye shuttle might be utilized for the delivery of precious reagents to the water phase as well as the possibility of reloading after all reagents would have been consumed. In addition, this nanocarrier shuttle might be used to switch the catalytic activity in the aqueous phase. The successful dye shuttling is confirmed by UV-analysis of the various phases (Fig. 2, bottom). Even though the scheme in Fig. 2 depicts an almost perfect nanocarrier dye shuttling procedure, it should be noted that this is an oversimplified representation. In fact, the partition coefficients of the nanocarriers between the phases, the dye in- and outside the nanocarriers as well as in between the phases will also play a minor role leading to a less perfect distribution of the components throughout the different phases.

In this initially discussed nanocarrier dye shuttle, D1 was present in a relatively large amount in the IL, which presumably is the driving force for loading the dye into the nanocarriers. To test this hypothesis, a similar experiment was performed with disperse orange 3 (D2, Scheme 1), which has a related structure to D1. Again the nanocarriers were prepared in the presence of a large amount of D2 and after addition of water, the nanocarriers loaded with D2 are transferred to the water phase demonstrating that the guest transfer is applicable to various guest molecules. To investigate the reversibility of the shuttling process, the IL still containing excess of D2 was replaced with the pure IL. Upon heating the nanocarriers comprising D2 are fully transferred to the IL leaving a colorless water phase (Fig. 3). Subsequent equilibration at ambient temperature resulted in the delivery of the D2 to the IL phase and transfer of practically empty nanocarriers into the water phase, which was evident from the visual absence of orange-yellowish colour in the final aqueous phase. As such, it is demonstrated that the nanocarrier dye shuttle can be used to deliver an IL soluble reagent to the IL and that the empty nanocarriers can be recovered in the water phase.

In a final experiment, the use of a water-soluble and BMI-PF₆ insoluble dye, methyl blue (D3, Scheme 1), was evaluated for micellar dye shuttling processes. A reference experiment of D3 in water on top of the IL phase resulted in vanishing of the blue color indicative of an increase in solution pH (Fig. 4a). At the initial stage the aqueous and BMI-PF₆ phases had apparent pH

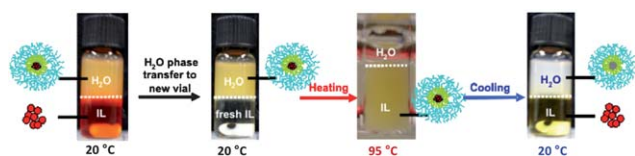


Fig. 3 Graphical overview of the thermoreversible phase transfer of D2 (disperse orange 3) encapsulated into the cores of self-assembled diblock copolymer nanocarriers (biphasic system: water and BMI-PF₆) comprising transfer of the loaded micelles to the water phase followed by the delivery of the encapsulated D2 into a new hydrophobic IL phase and recovery of the utilized nanocarriers in the water phase.

values of 7.5 and 5.8, respectively. After vanishing of the blue color, the aqueous and BMI-PF₆ phases revealed apparent pH values of 11.9 and 8.8, respectively. It was found that this large increase in pH value in the aqueous phase is mainly due to the presence of traces of impurity (*i.e.*, 1-methylimidazole as precursor material of the synthesis of BMI-PF₆) in the IL phase. Note that the IL utilized in this investigation was of synthesis grade. In fact, a separate experiment conducted with high purity BMI-PF₆ did not yield the observed pH changes in the described biphasic system (*i.e.*, BMI-PF₆ and D3 dissolved in water in the absence of discussed polymeric nanocarriers). However, upon adding a small amount of 1-methylimidazole to the latter system, the described pH changes arose as described above. 1-Methylimidazole has basic properties and provokes that the pH of the investigated system shifts to higher values. The presence of traces of 1-methylimidazolium was found to conveniently illustrate the protective properties of the investigated nanocarriers as a confined environment for pH-sensitive guest moieties is discussed next. It is worth mentioning that the cases of phase transfer dye molecules corresponding to D1 and D2—addressed above—are unlikely to be affected by the presence of traces of 1-methylimidazolium and its related pH change due to the fact that the solubility of these dyes is not affected by the observed pH changes. In addition, the utilized non-ionic polyamide is also pH-insensitive.

When the block copolymer nanocarriers were present in the biphasic water–IL system, the color of the dye was retained even after repetitive shuttling as shown in Fig. 4. This clearly

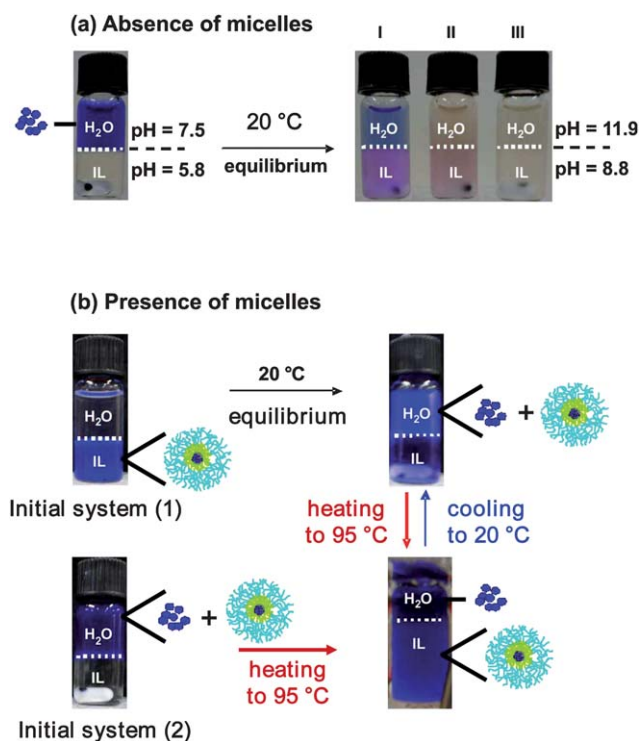


Fig. 4 (a) Decoloration of D3 (methyl blue) in a water–IL biphasic system after equilibrating at 20 °C for 0.5 h (I), 2 h (II) and 24 h (III). (b) In the presence of the PEtOx₆₇-*b*-PNonOx₃₃ self-assembled nanocarriers the changes of pH in the biphasic system do not affect the color of D3 molecules indicating that the carriers act as confined protective environments while still allowing nanocarrier dye shuttling.

demonstrates that the encapsulation of the methyl blue dye into the nanocarriers shields the dye from the environment and, thereby, protects it from losing its color due to the pH changes observed in the reference system (Fig. 4a), at least within the time frame of our experiments (24 h). The initial dye loaded nanocarriers were prepared in either water or the IL, whereby the initial water phase contains both encapsulated and free methyl blue dye molecules while the IL phase only comprises dye-loaded nanocarriers due to insolubility of the dye in the IL. After addition of the second phase and a first equilibration at ambient temperature (for dye in the water phase) or elevated temperature (for dye in the IL phase), the initial history of the sample preparation is erased (at equilibrium at room temperature a slight bluish color remains in the IL phase, most likely a result of partitioning driven by the rather high dye concentration in the experiment) and both routes lead to a similar nanocarrier dye shuttle in between the two phases: at low temperatures the majority of the dye-loaded nanocarriers and free dye are present in the aqueous phase while at elevated temperatures the nanocarriers with incorporated dyes are present in the IL and the excess dye remains in the aqueous phase.

Conclusions

Based on the observations discussed above, three main conclusions can be drawn for the investigated nanocarrier dye shuttling systems. (1) Thermoreversible phase transfer of encapsulated dye molecules can occur when the amount of dye in the system exceeds considerably the amount of dye that can be encapsulated into the core of self-assembled nanocarriers. (2) One direction phase transfer and delivery of previously encapsulated hydrophobic dye molecules can occur from water to the IL when the IL phase is originally free of dye molecules. The reversed delivery can be achieved using a water-soluble dye. (3) The recovery of previously utilized nanocarriers and their reloading with new dye molecules are feasible.

These conclusions clearly indicate that the presence and concentration of excess of molecules, that can be encapsulated, in one of the phases represent important factors to achieve either its reversible transfer (case of catalytically active species) or its delivery into the other phase (shuttling of small amounts into the second phase for, e.g., chemical conversions).

All together, the nanocarrier dye shuttling approach presented in this work is envisioned to facilitate the design and development of advanced heterogeneous nanocarrier catalytic systems with interesting features such as the recovery of expensive or toxic catalysts from the final products, more efficient separation processes, the delivery of highly accurate amounts of chemical substances in multiphase systems, or the recovery of expensive self-assembled nanocarriers for further use.

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