

Band-Edge Lasing in Gold-Clad Photonic-Crystal Membranes

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Abstract—We investigate the possibility to achieve band-edge lasing in optically thick gold-clad photonic-crystal (PhC) membranes, with a dielectric thickness of around $1 \mu\text{m}$. We have performed a two-dimensional eigenmode-expansion analysis of band-edge resonators in one-dimensional PhCs. Material thresholds, quality factors, and emission efficiencies have been calculated for TE band-edge laser resonances on the second and third Γ -point. The second Γ -point sustains band-edge laser modes with quality factors above 2500 for a membrane thickness of $1 \mu\text{m}$ and a cavity length of 20 periods, however, with a very poor surface-emission efficiency. Band-edge laser modes located on the third Γ -point have lower quality factors but higher surface-emission efficiencies. In both cases, the PhC should be designed specifically to avoid coupling with lossy, higher order modes.

Index Terms—Eigenmode expansion, metallic waveguides, microlasers, photonic crystals (PhCs).

I. INTRODUCTION

PHOTONIC crystals (PhCs) have lately attracted a lot of interest due to their ability to control light on a micron-sized scale. A lot of functionality can be achieved using the mirror effect due to the photonic bandgap, e.g., in waveguides. Other applications are based on the reduction of group velocity near the photonic band edges, for example, in lasing action or enhancement of nonlinear phenomena.

PhC lasers can basically be classified in defect-based lasers and defect-free lasers. The former relies on the mirror effect of the PhC around a carefully designed defect that forms a cavity with high-quality factors and small mode volumes [1]. The latter is based on the gain enhancement effect [2]–[4] near the band edges and the increased Bloch-reflectivity at the PhC-bulk interface, in the case of finite-size band-edge lasers. Mode volumes of band-edge lasers are typically somewhat larger.

Band-edge lasers based on two-dimensional (2-D) PhC membranes have lately both been fabricated [5]–[7] and analyzed numerically [8]. However, all these efforts included optically pumped devices. For practical devices, electrical pumping will be essential. Electrically pumped band-edge lasing in a 2-D PhC has been demonstrated by Noda *et al.* [9]. However, this device was based on a low-contrast grating structure, so the device has a diameter of more than $100 \mu\text{m}$.

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In this paper, we demonstrate theoretically that it is possible to achieve surface-emitting band-edge lasing in a membrane-type device with a very simple electrical contacting scheme, i.e., by putting one contact on top and one on the bottom of the membrane. The cavity consists of a gold-clad PhC membrane with a dielectric thickness of around $1 \mu\text{m}$ (optically thick). The gold layers act as highly reflective electrical contacts, a combination that can be achieved with nonalloyed Au contacts [10]. Cavity diameters can go down to less than $30 \mu\text{m}$. This band-edge laser can be useful for integration purposes of electronic and photonic functions, for which there can be a need for tradeoff between emitted power and compactness. The band-edge resonators are very suitable to cover this scope. Compared with VCSELs, these devices have a reduced thickness and promise an improved modal stability.

We have performed a numerical, 2-D analysis of these gold-clad PhC cavities using the eigenmode expansion technique [11]. Rather than working with a grid, the structure is divided in a number of layers, where the refractive index profile does not change in the z direction. The fields in each layer are written as a sum of the local eigenmodes of that particular layer. Scattering matrices are calculated at each interface. The computational domain has absorbing boundaries to prevent any interference with reflected waves. To calculate laser modes, the cavity—containing some active material with a complex refractive index—is divided into two parts, for which the reflection matrices \mathbf{R}_{left} and $\mathbf{R}_{\text{right}}$ are calculated. A laser mode \mathbf{A}_{las} then has to satisfy the resonance condition

$$\mathbf{R}_{\text{left}}\mathbf{R}_{\text{right}}\mathbf{A}_{\text{las}} = \mathbf{A}_{\text{las}}. \quad (1)$$

To fulfill this condition, the wavelength and imaginary part of the refractive index of the active material are adjusted. These calculations yield the resonance wavelength and the material threshold gain, as well as the field profile of the resonant mode. The used modeling tool CAMFR is freely available from [12].

The rest of this paper is organized as follows. In Section II, we perform a modal analysis of a nonpatterned optically thick gold-clad waveguide, as a function of the dielectric thickness. The patterning is added in Section III to form a photonic-crystal waveguide (PhC-WG). In Section IV, band-edge resonators based on the PhC waveguide of Section III are analyzed. Finally, a conclusion is drawn in Section VI.

II. GOLD-CLAD DIELECTRIC WAVEGUIDE

Consider the layer structure depicted in Fig. 1: a symmetric dielectric waveguide consisting of cladding layers with thickness t_1 and confinement layers with thickness t_2 . Four

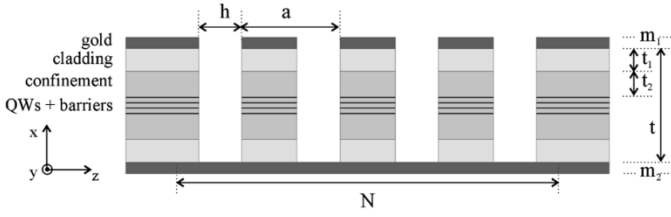


Fig. 1. Layer structure of a gold-clad PhC-WG.

TABLE I
REFRACTIVE INDICES OF GOLD-CLAD DIELECTRIC WAVEGUIDE

Material	Refractive index	Thickness
Gold	0.54-9.6j	m_1, m_2
Cladding layer	3.17	t_1
Confinement layer	3.38	t_2
Barrier layer	3.38	20 nm
Quantum well	3.40	5 nm

5-nm-thick quantum wells are embedded in 20-nm-thick barrier layers. This dielectric waveguide is clad with thick gold layers on both sides. The refractive indexes of the used materials are listed in Table I. Gold was chosen as cladding metal due to the low absorption loss in the infrared region as compared with other—noble—metals. In this section, the quantum wells are assumed to be transparent, and the waveguide is not patterned, i.e., the waveguide is a one-dimensional (1-D) slab.

Modal absorption losses at an operating wavelength of $1.5 \mu\text{m}$ are depicted as a function of total dielectric thickness t in Fig. 2, both for TE—(electric field in the y direction) as TM-polarization. For each thickness t , t_1 and t_2 have been chosen to yield the lowest modal absorption loss for the fundamental TE₀-mode. The waveguide structure is monomode for $t < 0.45 \mu\text{m}$. However, in this range, TE₀ absorption losses are too high to use the waveguide in a laser resonator. For $0.45 \mu\text{m}$, the waveguide becomes multimode and the absorption loss of modes far above cutoff decreases almost exponentially as a function of t , as progressively less of the field penetrates into the lossy gold layer. For TM, absorption losses are more than one order of magnitude higher than for the fundamental TE₀-mode. For t around $1 \mu\text{m}$, the propagation loss of the TE₀-mode is around 15 cm^{-1} . For the remainder of this paper, we will assume TE-polarization and an operating wavelength around $1.5 \mu\text{m}$.

III. GOLD-CLAD PHOTONIC-CRYSTAL WAVEGUIDE (PhC-WG)

Suppose that the waveguide structure of Fig. 1 is patterned with rectangular holes of width h and period a . We assume that the bottom gold layer remains unpatterned. This way we have created an optically thick, gold-clad 1-D PhC-WG.

Fig. 3 shows the band diagram for $a = 665 \text{ nm}$ and $h = 210 \text{ nm}$. Although not a limitation of the model, the dispersive character of the refractive index of gold has been ignored in this calculation and, therefore, the result is only physical around $1.5 \mu\text{m}$ or for reduced frequencies $\Omega = a/\lambda$ around 0.443. Still, this approximate band structure gives a good qualitative view of the modal properties of the gold-clad PhC-WG. The bands

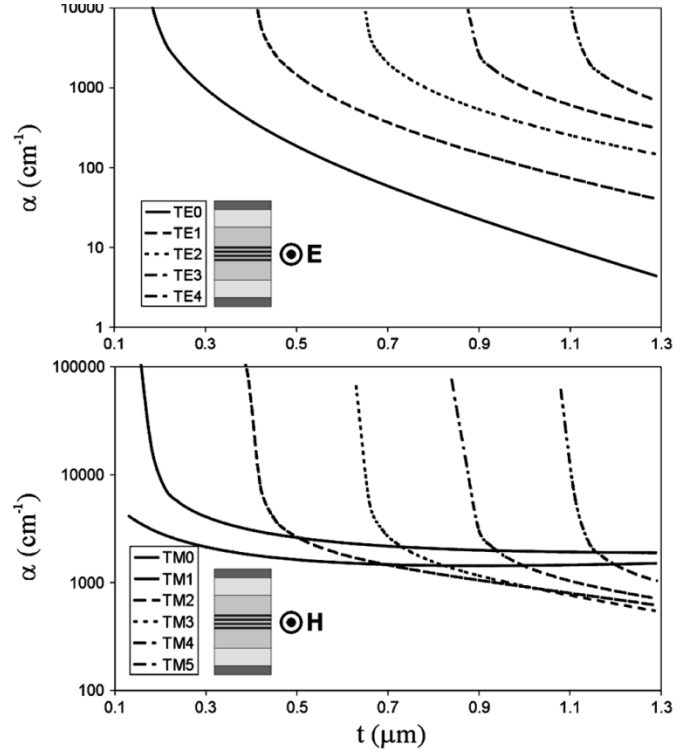


Fig. 2. Modal absorption losses of the fundamental and higher order modes of the waveguide structure as depicted in Fig. 1 (without patterning), at $1.5 \mu\text{m}$. The TM₀ and TM₁ modes are plasmon-like.

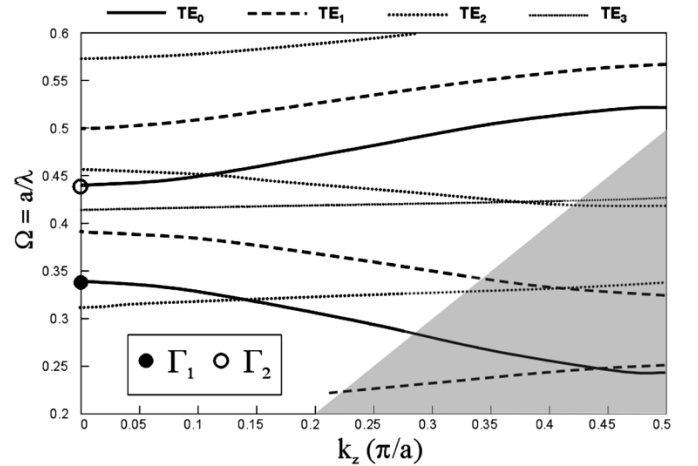


Fig. 3. TE band structure of the gold-clad PhC-WG with $a = 665 \text{ nm}$, $h = 210 \text{ nm}$, $t = 1000 \text{ nm}$, and $m_{1,2} = 100 \text{ nm}$. The region below the light line is in gray. The MSBs are not visible on the scale of the figure.

of the fundamental and the higher order modes are folded back due to the periodicity and bandgaps are opened near the edges and the center of the Brillouin zone. At the same time, miniband stopbands (MSB) are formed where two bands cross. Since there is no vertical mirror symmetry and, hence, no strict x -even- x -odd classification, a MSB can open regardless of the order of the two interacting modes. Due to their limited size, the MSBs are not visible in Fig. 3.

Modes above the light line (LL) can couple to radiation modes, through the patterned top gold layer. However, due to symmetry mismatch, some modes couple only very weakly to radiation modes, even above the LL [13]. The TE₀-mode

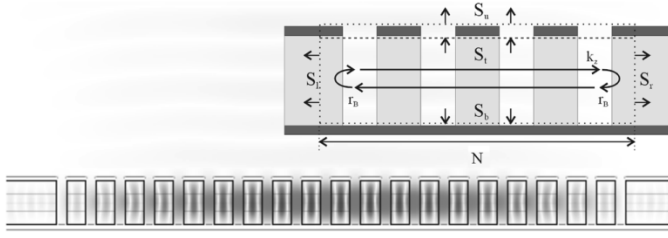


Fig. 4. Γ_2 band-edge laser mode (E-field). The inset shows the definition of power fluxes.

is antisymmetric with respect to S_z (mirror symmetry around $z = 0$) at Γ_1 , whereas it is symmetric under S_z at Γ_2 . A plane wave $\exp(-jk_0x)$ propagating in the x direction is symmetric under S_z , thus, the TE_0 -mode cannot couple to radiation modes at Γ_1 , whereas it is leaky at Γ_2 .

IV. BAND-EDGE LASING

A. Band-Edge Lasing Principle

Consider the band-edge resonator that is shown in Fig. 4. Resonant modes with a high-quality factor Q can be built up for frequencies near the edges of the photonic bands, where the group velocity $v_g = d\omega/dk$ is very low. A Bloch-mode propagating in an active PhC encounters a gain per unit length that is enhanced with a factor inversely proportional to v_g , as compared with a properly normalized bulk gain [4]. Moreover, the amplitude of the field reflection r_B of the Bloch-mode at the edges of the finite PhC cavity increases when approaching a band edge. The resonance condition can be written in good approximation as

$$r_B^2 e^{-jk_z 2L} = 1 \quad (2)$$

in which k_z is the complex propagation constant of the Bloch mode and $L = Na$. The amplitude condition of this equation fixes the threshold gain, and the phase condition determines the resonance wavelength. The phase condition can be fulfilled for several longitudinal modes. In what follows, we will only consider the longitudinal mode which lies closest to the band edge and, hence, has the lowest threshold gain.

As indicated in Section III, there are two types of TE_0 -modes: *decoupled* modes that exhibit little or no vertical radiation loss, e.g., near Γ_1 , and *leaky* modes that have a larger vertical radiation loss, e.g., near Γ_2 . We searched for band-edge laser modes for both types of TE_0 -modes.

B. Band-Edge Lasing at Γ_1 (Decoupled TE_0 -Mode)

If we set $a = 560$ nm, $h = 260$ nm, $t = 1.0$ μm , and $m_{1,2} = 100$ nm, the Γ_1 -point lies at a reduced frequency of $\Omega = 0.377$ or $\lambda = 1.485$ μm . We used this gold-clad PhC-WG to form a band-edge resonator with N periods (see Fig. 4). Then, we searched for laser modes near the Γ_1 -point. In these calculations, the wavelength and the material gain in the quantum wells are adjusted to fulfill the lasing condition. Each lasing mode is then characterized by a resonance wavelength λ_r and threshold material gain G_{th} . We have also calculated the Q -factor of the lasing modes, which is given by

$$Q = \omega_r \frac{U_{\text{stored}}}{S} \quad (3)$$

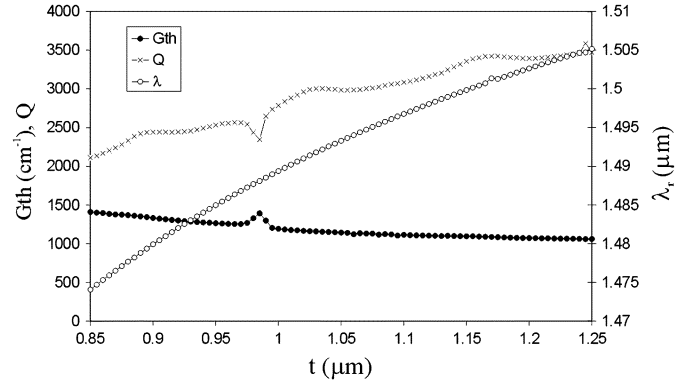


Fig. 5. Properties G_{th} , λ_r , and Q -factor of the Γ_1 band-edge laser mode as a function of membrane thickness t , with $a = 560$ nm, $h = 260$ nm, $m_{1,2} = 100$ nm, and $N = 20$.

where U_{stored} is the energy stored in the resonator, ω_r is the resonance frequency, and S is defined as the power flux leaving the cavity. S can be decomposed as follows:

$$S = S_b + S_t + S_l + S_r. \quad (4)$$

S_b, S_t, S_l , and S_r are, respectively, defined as the power flux through the bottom, top, left side, and right side of the cavity (see Fig. 4). Due to the mirror symmetry of the cavity, S_l is equal to S_r and we define $S_{\text{side}} = S_r + S_l$. We also define S_u as the total power flux leaving the cavity *above* the top gold layer. S_u can be considered as the useful extracted power flux, and S_u/S as the emission efficiency. $S_m = S_b + S_t - S_u$ can then be considered as the power flux lost in the gold layers.

An alternative definition of the Q -factor of the resonant mode is given by

$$Q = \frac{\omega_r}{\Delta\omega} \quad (5)$$

where $\Delta\omega$ is the resonance's full linewidth at half maximum. We derived $\Delta\omega$ by inspecting the $|\mathbf{E}^2|$ -spectrum (at a point in the cavity) that is obtained by performing a wavelength sweep for a well-placed dipole source in the band-edge resonator, with transparent quantum wells. The resulting Q -values were comparable with the ones obtained with (3).

The results for $N = 20$ near Γ_1 are depicted as a function of t in Fig. 5. The resonance wavelength λ_r is close to the Γ_1 band-edge, and increases monotonically with increasing t , since the effective index of the fundamental TE_0 increases with increasing t . G_{th} decreases from 1410 cm^{-1} for $t = 0.85$ μm to 1060 cm^{-1} for $t = 1.25$ μm . The Q -factor increases from 2100 to 3500. This is due to the reduced absorption loss for thicker membranes and, to a lesser extent, the reduced radiation loss. There is a peak apparent for $t = 0.985$ μm both in the G_{th} (+15%) and the Q -curve (−10%). The interpretation of this peak will be given in IV.D. The required G_{th} -values are well within range of optical gain levels in compressively strained quantum wells [14].

Calculation of the power fluxes indicates that S_u accounts for only less than 2% of the total power loss. For $t = 0.85$ μm , S_m/S is almost 62%, and S_{side}/S is 37%. For $t = 1.25$ μm , this is $S_m/S = 24\%$ and $S_{\text{side}}/S = 75\%$. The low S_u -values can be

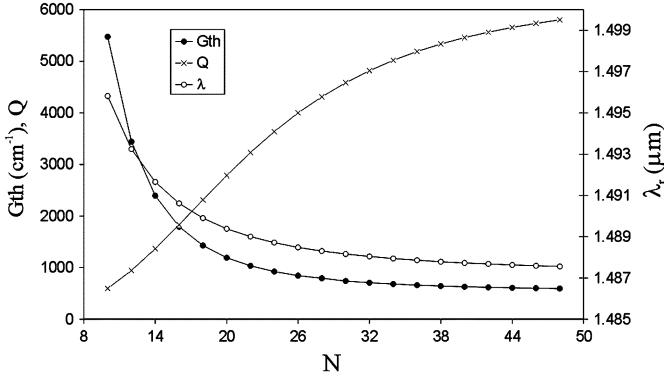


Fig. 6. Properties G_{th} , λ_r , and Q -factor of the Γ_1 band-edge laser mode as a function of number of periods N , with $a = 560$ nm, $h = 260$ nm, $m_{1,2} = 100$ nm, and $t = 1.0$ μm .

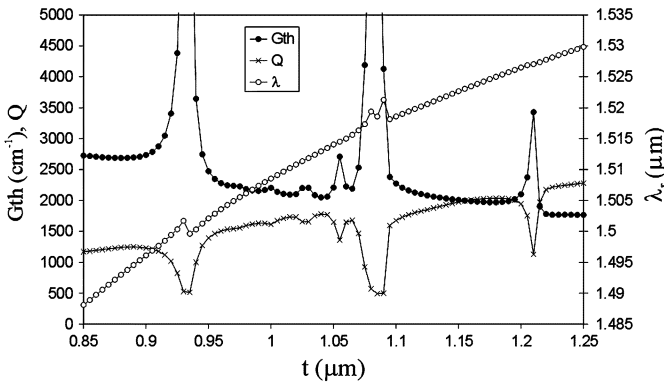


Fig. 7. Properties G_{th} , λ_r , and Q -factor of the Γ_2 band-edge laser mode as a function of membrane thickness t , for $a = 665$ nm, $h = 210$ nm, $m_{1,2} = 100$ nm, and $N = 20$.

understood in terms of the nonleaky character of the TE_0 -mode near Γ_1 .

Finally, the evolution of G_{th} , Q , and λ_r for $t = 1.0$ μm , as a function of the number of periods N , is shown in Fig. 6. The resonance wavelength approaches the band edge for progressively longer cavities, as can be understood from the phase condition of (2). For $N = 40$, G_{th} is as low as 630 cm^{-1} , with a Q of 5460. The total threshold power $G_{\text{tot}} = G_{\text{th}}L = G_{\text{th}}Na$ is minimal for $N = 26$.

C. Band-Edge Lasing at Γ_2 (Leaky TE_0 -Mode)

If we set $a = 665$ nm, $h = 210$ nm, $t = 1000$ nm, and $m_{1,2} = 100$ nm, the Γ_2 -point lies at a reduced frequency of $\Omega = 0.44$ or $\lambda = 1.511$ μm . Again, we searched for lasing modes around Γ_2 . The results for $N = 20$ as a function of t can be found in Fig. 7. Generally, threshold values G_{th} are about two times higher and Q -values two times smaller as compared to the Γ_1 -case. Furthermore, three distinct peaks are apparent both in the G_{th} -curve as in the Q -curve: they appear for $t = 0.93$ μm , $t = 1.08$ μm , and $t = 1.21$ μm , respectively. Compared with the Γ_1 -case, they are much more pronounced: G_{th} -values increase with more than a factor 3, whereas Q -values drop with the same factor. Interpretation of this feature will be given in IV.D.

The S_u -values are somewhat bigger compared with the Γ_1 -case, due to the leaky character of the TE_0 -mode near Γ_2 : for $t = 1.0$ μm , we find $S_u/S = 12.5\%$, with $S_m/S = 53\%$

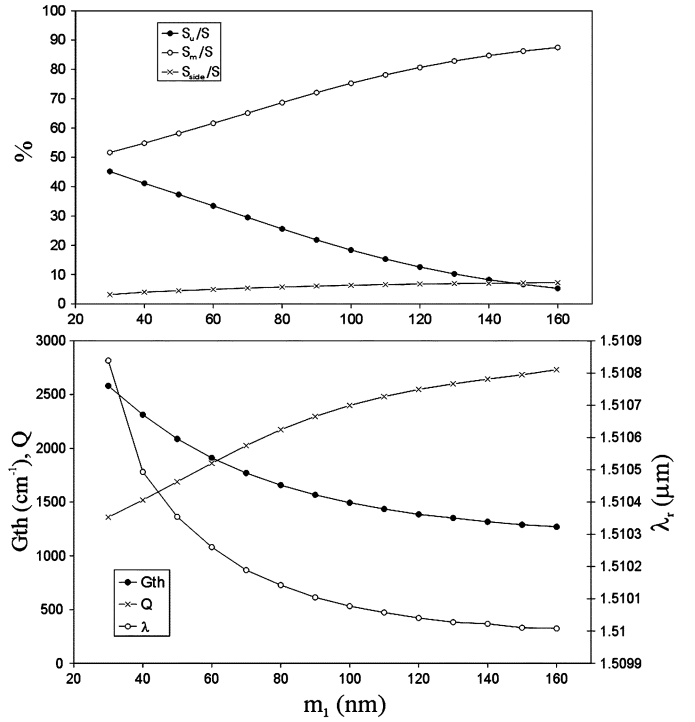


Fig. 8. Γ_2 laser mode properties G_{th} , Q , λ_r , S_u/S , S_m/S , and S_{side}/S , as a function of the top gold layer thickness m_1 , for $a = 665$ nm, $h = 210$ nm, $t = 1.0$ μm , $m_2 = 100$ nm, and $N = 40$.

and $S_{\text{side}}/S = 34.5\%$, for $G_{\text{th}} = 2206$ cm^{-1} . The S_u/S -value can be increased by decreasing the thickness of the top gold layer m_1 . However, this implies an increase in threshold material gain G_{th} . As in the Γ_1 -case, this can be countered by choosing a longer cavity size $L = Na$, at the price of an increased total threshold power G_{tot} . The results for $N = 40$ are shown in Fig. 8, as a function of m_1 . For $m_1 = 60$ nm, we find $S_u/S = 33.5\%$, $S_m/S = 61.5\%$, $S_{\text{side}}/S = 5\%$ for $G_{\text{th}} = 1910$ cm^{-1} and a Q of 1860.

D. Interpretation of the Peaks in the G_{th} - and Q -Curves

Both for Γ_1 as for Γ_2 band-edge laser modes, G_{th} - and Q -values peak at specific membrane thicknesses. In order to interpret these results, we calculated band diagrams for these specific gold-clad PhC-WGs. For instance, Fig. 9 shows a detail near Γ_2 of the band diagram for $t = 1.07$ μm . A band extreme of the TE_2 -mode is located near the Γ_2 -point of the fundamental TE_0 -mode. A MSB is opened up due to the coupling between these two modes. Under these conditions, there is a power loss from the TE_0 -mode to the very lossy TE_2 -mode, which accounts for the increased threshold gain and the reduced Q -factor. For $t = 0.93$ μm and $t = 1.21$ μm , there is an analogue coupling to the TE_3 -mode and the TE_4 -mode, respectively. The same goes for the Γ_1 -case. For instance, a TE_3 -mode is apparent near Γ_1 for $t = 0.985$ μm . However, the peak is less pronounced, indicating a less effective coupling.

V. FUTURE EXPERIMENTAL DEMONSTRATION

Experimental demonstration of the concept of band-edge lasing in gold-clad PhC membranes can be realized in 2-D

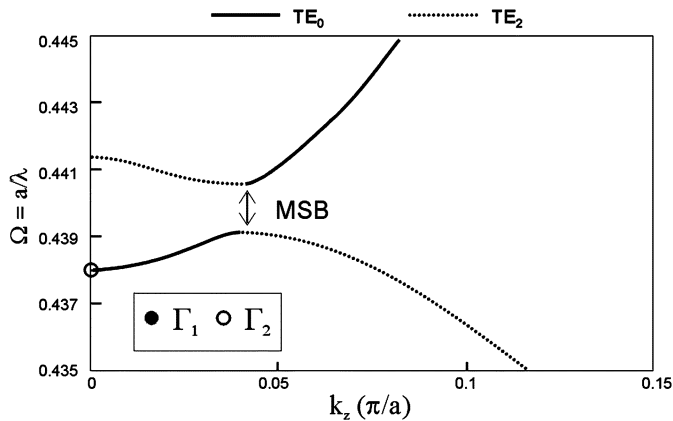


Fig. 9. Detail of the band diagram near Γ_2 for a gold-clad PhC-WG with $t = 1.07 \mu\text{m}$, for $a = 665 \text{ nm}$, $h = 210 \text{ nm}$, and $m_{1,2} = 100 \text{ nm}$. A MSB is opened up due to coupling between the TE_0 - and TE_2 -mode.

PhC cavities. The design of the device then includes the band structure calculation of metallized 2-D PhCs, so to avoid coupling of the fundamental TE_0 -mode with higher order modes. However, in the 2-D case, one should also avoid coupling with higher order TM-like modes, so a specific design is essential. The fabrication of the device could be done in the following manner. First, the bottom Au contact is deposited on a specifically grown epi-structure. Then, this metallized epi-structure is bonded to a carrier with a low temperature bonding process, for instance, BCB-bonding [15]. High-temperature bonding is not allowed to avoid alloying of the Au-contact, since this increases absorption losses. Subsequently, the substrate of the epi-structure is removed and the PhC pattern is etched in the resulting membrane. Finally, the top Au contact is deposited by oblique evaporation, for which a shadowing effect avoids filling up the PhC holes. First experiments could include optical pumping of structures with a very thin or no top metal layer.

VI. CONCLUSION

We have numerically investigated the possibility to achieve band-edge lasing in optically thick gold-clad PhC membranes. Gold was chosen as cladding metal due to the relatively low absorption loss in the infrared. 2-D eigenmode-expansion calculations were performed for finite 1D-periodic structures. Band-edge lasing was identified both for decoupled modes as for leaky modes. For a membrane thickness of $t = 1.0 \mu\text{m}$, a cavity length of 20 periods and 100-nm-thick gold layers, Q -factors are of the order of 3000 for the decoupled mode, and 1500 for the leaky mode, and material gain thresholds G_{th} (for four quantum wells) are about 1200 cm^{-1} and 2200 cm^{-1} . Emission efficiencies are less than 2% and about 12%, respectively. For the leaky mode, the emission efficiency can be increased by decreasing the thickness of the patterned gold layer, while increasing the cavity length to preserve the Q -factor. A 40-periods long cavity with a 60-nm-thick patterned gold layer has an emission efficiency of 33.5% with a Q of 1860 and a G_{th} of about 1900 cm^{-1} . Both for leaky and uncoupled modes, care has to be taken to avoid coupling with lossy higher order modes.

This can be verified by calculating the band diagram of the used optically thick gold-clad PhC-WG.

We conclude that the optically thick gold-clad PhC membrane opens up the possibility for electrically pumped surface-emitting band-edge lasing in cavities with a length down to $30 \mu\text{m}$.

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