

# Multi-channel laser Doppler vibrometers integrated on silicon-on-insulator (SOI)

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## Abstract

*Multi-location velocity measurements of a vibrating surface are of interest recently. By scanning the laser beam of a single-point laser Doppler vibrometer (LDV) across the surface of interest, one can realize the multi-location vibration measurement. However, the recovered velocity values of different locations are not obtained at the same time. In many applications, such as measuring the aortic pulse wave velocity, simultaneous velocity measurements for different locations are required. Multi-channel LDVs can be used in this case, in which multiple laser beams are generated and sent to the surface of interest simultaneously. However, the complexity of realizing the multiple interferometers in a bulk LDV system will increase as the number of channels increases, and thus it is very hard to realize a bulk LDV with many channels*

*We propose to use the silicon-on-insulator (SOI) chip as a platform of the multi-channel interferometers. With the help of silicon photonics and CMOS technology, multiple interferometers can be miniaturized and fabricated on SOI chips. Laser beams are sent into or out of the chip through optimized on-chip grating couplers, with the coupling insertion loss of less than 2 dB per coupler. The total footprint of the integrated multiple interferometers can be very small (several square of millimetres) compared to a bulk LDV system. The cost of the chips will be dramatically decreased for mass production. Additionally, the stability of the integrated interferometers is much better than that of the interferometer built with discrete optical components.*

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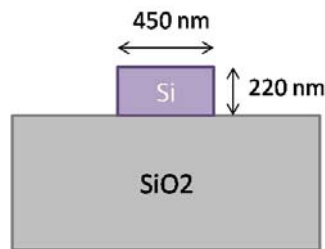
## 43 Introduction

44 Laser Doppler vibrometers (LDVs) have been widely used in scientific research and industrial  
45 applications to conduct noncontact and precise velocity measurements for vibrating surfaces.  
46 Most LDVs systems are designed for single point vibration measurements. In some applications,  
47 multi-point vibration measurements are required to obtain the velocity distributions. One example  
48 is the measurement of aortic pulse wave velocity (PWV), in which vibrations of at least two  
49 points on top of the blood vessel should be measured to recover the PWV. If no simultaneous  
50 measurement is required, multi-point measurements can be realized by scanning the laser beam  
51 of a single-point LDV across the surface of interest. However, for applications that requires strict  
52 simultaneous velocity measurements, one need to use multiple LDVs to fulfill this purpose. In  
53 bulk optical interferometer systems, realizing such a multi-channel LDV is a complicated work.  
54 A lot of work has been done to solve this problem. In [1], two acousto-optic modulators (AOMs)  
55 with different frequency shifts were used for both beam splitting and frequency shifting. By  
56 intersecting the multiple diffraction orders generated by the AOMs, multiple measuring volumes  
57 were formed. In [2], three AOMs were used to generate a 2x5 beam array. However, these  
58 reported systems are not compact, and they require using several AOMs, which are power hungry  
59 devices.

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61 In this paper, we propose a miniaturized multi-channel LDV integrated on the silicon-on-  
62 insulator (SOI), which is fabricated using CMOS compatible technologies [3,4]. The SOI based  
63 devices have very compact footprints. The cross section of a single mode rib waveguide on SOI  
64 is schematically shown in Figure 1. The typical propagation loss of the guided mode in the rib  
65 waveguide is 2.7 dB/cm, and the bend loss for 2  $\mu\text{m}$  radius is  $0.039 \pm \text{dB}/90^\circ$ [5]. The small  
66 bends of the rib waveguides ensures the small footprints of the SOI devices. Due to their compact  
67 sizes, the fabrication cost and power consumption of SOI based photonic systems can be  
68 dramatically lower than their corresponding bulk optical systems. This is one of the main  
69 advantages of the SOI platform.

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71 SOI is also a platform with a lot of high quality building blocks. Grating couplers with the fiber-  
72 to-chip coupling efficiency better than -1.6 dB have been reported [6]. They can also be used to  
73 couple light between the chip and free space with or without the help of an external lens system.  
74 Many other basic components have also been successfully reported, such as fast Germanium  
75 photo-detectors [7], carrier injection/depletion based phase modulators [8,9], and FP laser  
76 integrated on SOI [10]. Thanks to the realization of these basic components, the SOI platform can  
77 be used to design many complex optical systems.

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79  
80 **Figure 1. Cross section of a rib waveguide on silicon-on-insulator (SOI).**  
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82 In the following part, we will demonstrate the realization of a single-point LDV on SOI, and then  
 83 propose a design on the multi-channel LDVs on SOI. The design and a measurement result of a  
 84 thermo-optic (TO) based single-point LDV on SOI is going to be discussed in the following  
 85 section.

## 86 **Single point LDV on SOI**

87 The optical part of an LDV system is normally a Michelson type or a Mach-Zehnder type of  
 88 interferometer. In figure 2, a Mach-Zehnder type LDV on SOI is schematically shown. In order  
 89 to easily demodulate the received measurement signals and retrieve their instantaneous phase  
 90 information, most LDVs employ the heterodyne detection. In the heterodyne method, light with  
 91 an optical frequency  $f_0$  is generated from the laser and is split into two parts by an optical splitter.  
 92 One part of the light, with its amplitude expressed as  $A$ , is sent out of the chip via a sending  
 93 grating coupler and then focused on the vibrating surface under test. We call it the measurement  
 94 light. The measurement light is scattered by the vibrating surface, and a portion of the  
 95 backscattered signal is captured by the receiving grating coupler on the chip. (Note that in figure  
 96 2, the sending grating coupler and the receiving grating coupler are the same.) Thanks to the  
 97 Doppler effect, the recaptured measurement signal carriers the velocity information of the  
 98 vibrating surface in terms of the instantaneous frequency shift (or phase shift). The recaptured  
 99 signal can be written as  $\alpha A e^{j[2\pi f_0 t + \theta(t)]}$ , where  $\alpha$  is the amplitude loss and  $\theta(t)$  is the optical  
 100 phase change of the recaptured light due to the Doppler effect. The other part of the light from the  
 101 splitter (the reference light) is sent to the reference arm and undergoes a constant frequency shift  
 102  $f_{OFS}$  with the help of an optical frequency shifter (OFS). After the frequency shifting, the  
 103 reference signal is expressed as  $B e^{j2\pi(f_0 + f_{OFS})t}$ . Since the photo-detector (PD) senses the  
 104 intensity of the combined signal, the photo-current signal can be written as

$$105 \quad I \propto \left| \alpha A e^{j[2\pi f_0 t + \theta(t)]} + B e^{j2\pi(f_0 + f_{OFS})t} \right|^2 \\
 106 \quad = \alpha^2 A^2 + B^2 + 2\alpha AB \cos(2\pi f_{OFS} t - \theta(t)). \quad (1)$$

107 It is seen that the photo-current is a frequency modulated signal with a carrier frequency  $f_{OFS}$ .  
 108 The phase shift  $\theta(t)$  can be demodulated using an FM demodulation technique. Thanks to this  
 109 carrier frequency, the useful information  $\theta(t)$  is shifted away from low frequency noises, and it  
 110 can thus avoid many demodulation problems. In this method, the carrier frequency  $f_{OFS}$  should  
 111 be larger than  $2(f_v + f_m)$  to avoid problems with the demodulation, where  $f_v$  is the maximal  
 112 frequency of the vibration and  $f_m$  is the maximal Doppler frequency shift introduced by the  
 vibration. As a result, the carrier frequency  $f_{OFS}$  is usually chosen to be a high value.

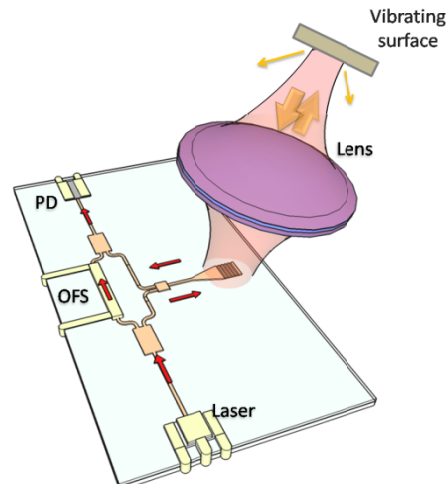


Figure 2. A schematic show of a Mach-Zehnder type LDV integrated on SOI chip.

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The most commonly used optical frequency shifters are the AOMs. However, it is difficult to fabricate an AOM on SOI since the piezoelectric effect is absent from unstrained crystalline silicon[11]. We have recently reported a thermo-optic (TO) phase modulation based serrodyne frequency shift, which can generate a frequency shift of several hertz [12].

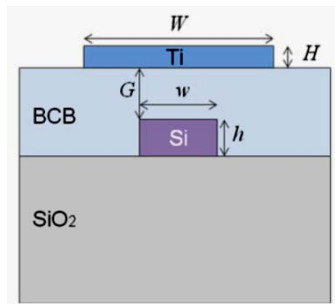


Figure 3. Cross section of a thermo-optic modulator on SOI. Reproduced from[12].

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The cross section of the TO phase modulator is shown in figure 3. Using the thermo-optic effect, the Titanium heater is used to modulate the effective index of the silicon waveguide, which can thus modulate the total phase change of the guided mode in modulator region. In serrodyne frequency shift technique, the total phase change should form a specific sawtooth profile. The peak-to-peak value of the phase change should be  $N \cdot 2\pi$ , where  $N$  is an integral. It means that the voltage signal driving the heater should have a square-root-of-time profile [12].

A Michelson type LDV on SOI using the TO serrodyne OFS has been tested. The top view of the design is shown in figure 4. In the Michelson type LDV, a 2x2 multimode interferometer is used for both splitting and combining. Both the measurement light and the reference light are sent back to the waveguides where they come from. Combined light is obtained from the left-up port shown in figure 4. Normally, the backscattered measurement light captured by a Michelson type LDV is stronger than that received by the Mach-Zehnder type. However, more spurious reflections can occur in the Michelson type LDV compared to the Mach-Zehnder type, which can deteriorate the demodulation and result in a deformation in the output.

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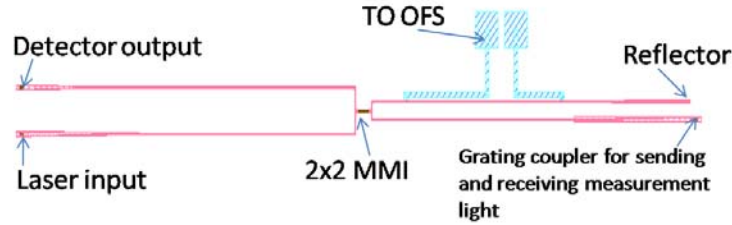


Figure 4. Michelson type LDV using the TO serrodyne OFS.

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 142 In this measurement, the  $f_{OFS}$  is set 2 kilohertz. A mirror on top of a piezo stacks was used to  
 143 reflect the measurement signal into the LDV. A vibrating was measured twice by a TO LDV on  
 144 SOI and a commercialized LDV from Polytec. The time-displacement relation curves for both  
 145 measurements are plotted in figure 5. It is find that for this vibration, the on-chip LDV almost  
 146 give the same results as the commercialized equipment. In this measurement,  $f_v$  is 22.6 Hz, and  
 147  $f_m$  is around 50 Hz. However, due to the low optical frequency shift, the measurable vibration is  
 148 limited. More results on the TO based serrodyne LDV will be published in the future.

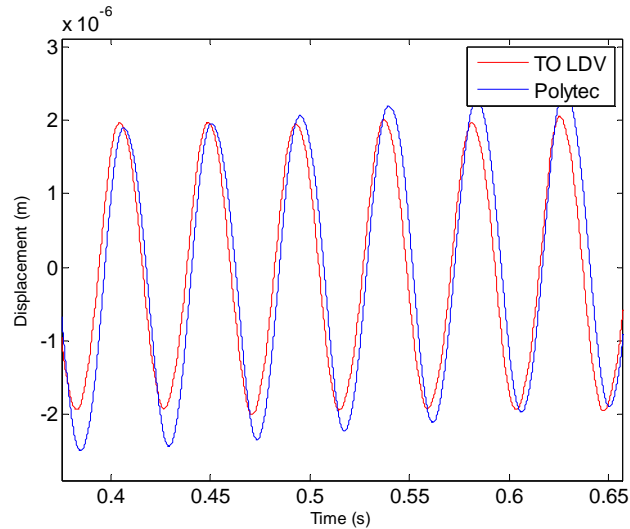


Figure 5. Comparison between the TO LDV (red) and Polytec LDV(blue).

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 152 In order to obtain higher OFS on SOI, one can use the carrier injection/depletion based phase  
 153 modulators. In that case, a relatively large frequency shift can be obtained.

### 154 Multi-channel LDV on SOI

155 For multi-channel LDV on SOI, we propose to use one laser source and one shared OFS for all  
 156 LDV channels. The shared OFS can be an integrated serrodyne OFS or an external AOM. The  
 157 Mach-Zehnder type LDV is suggested, because a multi-channel LDV in Michelson type is more  
 158 complex to design. The array of measurement light can be directly sent out to the free space or  
 159 sent to a fiber array. The proposed configuration is shown in Figure 6.

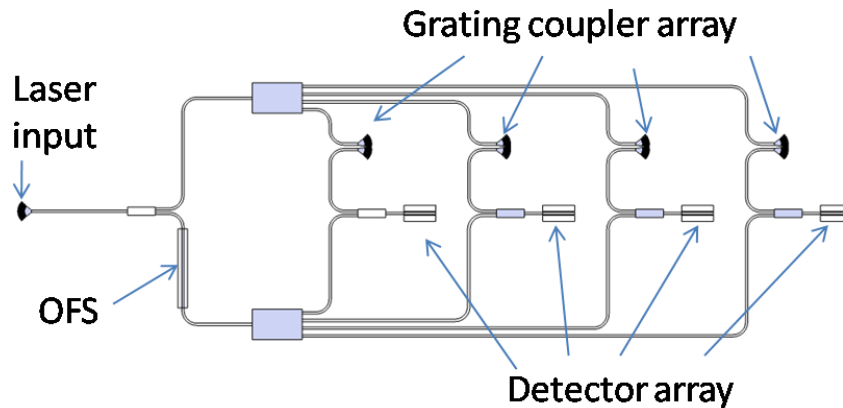


Figure 6. Schematically demonstration of a multi-channel LDV.

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The grating coupler array can be designed to have the same pitch of a fiber array. With a fiber array, the measured positions are reconfigurable and the measurement setup is more flexible. A fiber array normally has a pitch of 127 micron. If the device size is only limited by the fiber array, a device with 10 LDV channels will only cover a length of around 1.5 mm.

Sometimes, however, cross talks can happen for adjacent grating couplers. In order to solve this problem, one or several extra OFSs can be introduced to provide different frequency shifts for different channels. In this way, the channels will work at different carrier frequencies, and they will not interference with each other.

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