

# Characterization of PECVD Silicon Nitride Photonic Components at 532 and 900 nm Wavelength

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## ABSTRACT

Low temperature PECVD silicon nitride photonic waveguides have been fabricated by both electron beam lithography and 200 nm DUV lithography. Propagation losses and bend losses were both measured at 532 and 900 nm wavelength, revealing sub 1dB/cm propagation losses for cladded waveguides at both wavelengths for single mode operation. Without cladding, propagation losses were measured to be in the 1-3 dB range for 532 nm and remain below 1 dB/cm for 900 nm for single mode waveguides. Bend losses were measured for 532 nm and were well below 0.1 dB per 90 degree bend for radii larger than 10  $\mu\text{m}$ .

**Keywords:** Silicon nitride, Photonic waveguides, PECVD, Ring resonators, propagation losses, bend losses.

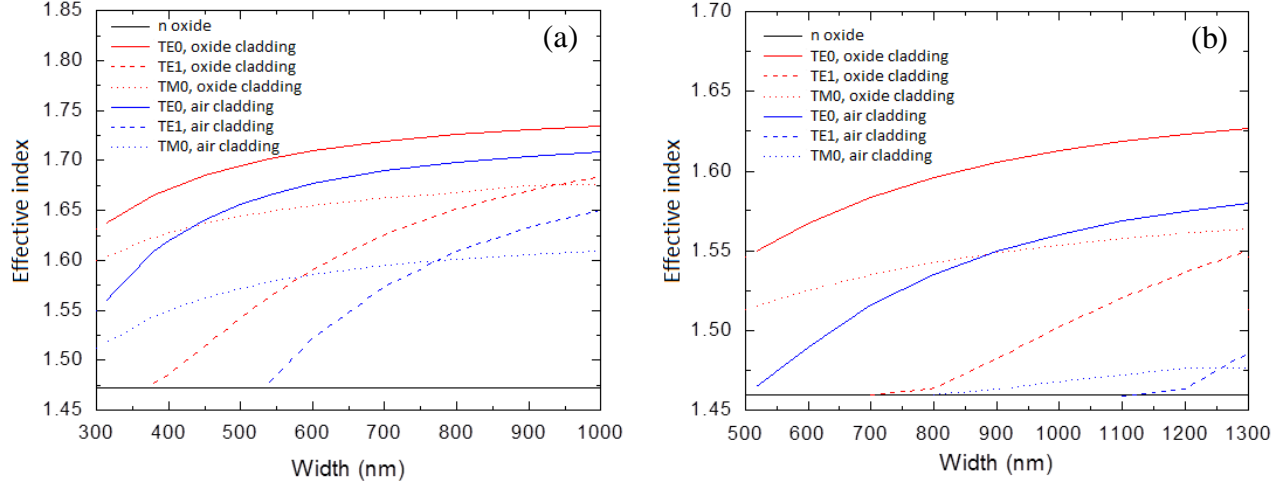
## 1. INTRODUCTION

On-chip photonic elements have the potential to serve as platform for data transmission applications. Also for biophotonic sensing applications, waveguides and integrated resonators have been proposed as sensing platforms. However most effort has been put in the development of silicon based photonic components for telecom wavelengths. Although silicon waveguide based optical elements have already been used for biosensing<sup>1</sup>, applications based on Raman spectroscopy<sup>2</sup> or fluorescence<sup>3</sup> often require the use of visible or near infrared wavelengths. Silicon nitride offers a very attractive photonic platform for the development of high-performance low-loss photonic component for wavelengths in the visible and near infrared wavelength regime, where silicon behaves a semiconductor and as a consequence suffers from large free carrier absorption. Also two photon absorption is strongly reduced for longer wavelengths. In previous publications, waveguides and resonators were fabricated in low-pressure chemical vapor deposition (LPCVD) silicon nitride (SiN), mainly due to the very low material losses in the wavelength range of interest and very good uniformity across the wafer while maintaining a reasonably high refractive index<sup>4,5</sup>. However LPCVD is a high temperature process, limiting the fabrication options of semiconductor and metal processing. At Imec, a low temperature PECVD process was developed in order to obtain PECVD silicon nitride layers with good homogeneity across the wafer, a low material loss and low autofluorescence.

In this work we will demonstrate that waveguides in PECVD silicon nitride can be fabricated with low propagation loss for visible and NIR wavelengths. The bend loss will be studied for waveguides with and without CVD oxide cladding. Also ring resonators will be modeled, fabricated and measured. Details of the design and simulations of the waveguides and resonators can be found in the second section. In the third section the fabrication will be discussed in detail. The experimental demonstration of the waveguides and ring and disk resonators will be handled, and the different loss mechanisms, directional coupling and Q-factors will be discussed. The conclusions are made in section five.

## 2. DESIGN AND SIMULATION

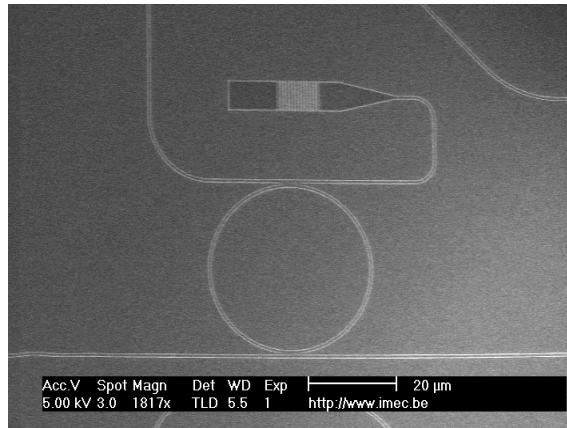
In order to ensure single mode operation for both wavelengths, we calculated the dispersion curves for the first two TE modes and the fundamental TM mode for 2  $\mu\text{m}$  PECVD oxide top cladding and air cladding (Fig. 1a and 1b). The Fimmwave mode solver was used for the design of the waveguide. Refractive indices of the oxide and nitride were taken from in-line ellipsometry data. Waveguides for 532 nm wavelength operation have a 180 nm thickness, while 220 nm thickness was chosen for 900 nm wavelength. We calculated that in order to avoid leakage into the substrate, a minimum bottom cladding thickness of 1.5  $\mu\text{m}$  is necessary. Therefore, we chose a bottom oxide cladding of 2  $\mu\text{m}$  for 532 nm wavelength and 2.4  $\mu\text{m}$  for 900 nm, in order to optimize the grating coupler efficiency. For TE polarization, the cladded waveguides for 532 nm display single mode behavior for widths below 380 nm, while uncladded waveguides are single mode until 530 nm. At 900 nm, the oxide and air cladded waveguides show single mode operation for widths below respectively 770 and 1100 nm.



**Figure 1: Dispersion diagram for (a) 532 nm wavelength and (b) 900 nm wavelength. TE0, TE1 and TM0 modes are plotted for oxide and air cladding.**

## 3. FABRICATION

To build Silicon nitride photonic components, we deposit 2  $\mu\text{m}$  high-density plasma CVD oxide on a 200 mm bare silicon wafer. On top, the waveguide material, 180 or 220 nm PECVD Silicon nitride is deposited. In previous publications LPCVD nitride was favored due to its better homogeneity of material index and thickness. At Imec<sup>6</sup>, a nitride PECVD process at low temperature was optimized to achieve low propagation loss, low auto-fluorescence and good control over the thickness and index of the nitride. The PECVD SiN deposition process is based on  $\text{SiH}_4$ ,  $\text{N}_2$  and  $\text{NH}_3$  and is performed at 400  $^\circ\text{C}$ , which ensures CMOS back-end compatibility. The refractive index of the SiN was measured to be 1.91 for a wavelength of 532 nm and 1.88 for 900 nm. A standard 9-point thickness measurement was performed on a test wafer from the same lot. An average thickness of 178.9 nm was obtained with a standard deviation of 4.6 nm for a targeted value of 180 nm. The minimum and maximum thicknesses were 174.2 and 186.2 nm respectively. AFM scans were performed in order to assess the top surface roughness of both the  $\text{SiO}_2$  and SiN films. Over an area of 2  $\mu\text{m}$  x 2  $\mu\text{m}$ , the RMS roughness value for the 2  $\mu\text{m}$  HDP  $\text{SiO}_2$  film was measured to be extremely low at 0.13 nm and a 100 nm SiN film deposited on top of the oxide exhibited a very low RMS roughness value of 0.28 nm.



**Figure 2: Top view scanning electron microscope image of a 20  $\mu\text{m}$  radius ring resonator with 150 nm gap.**

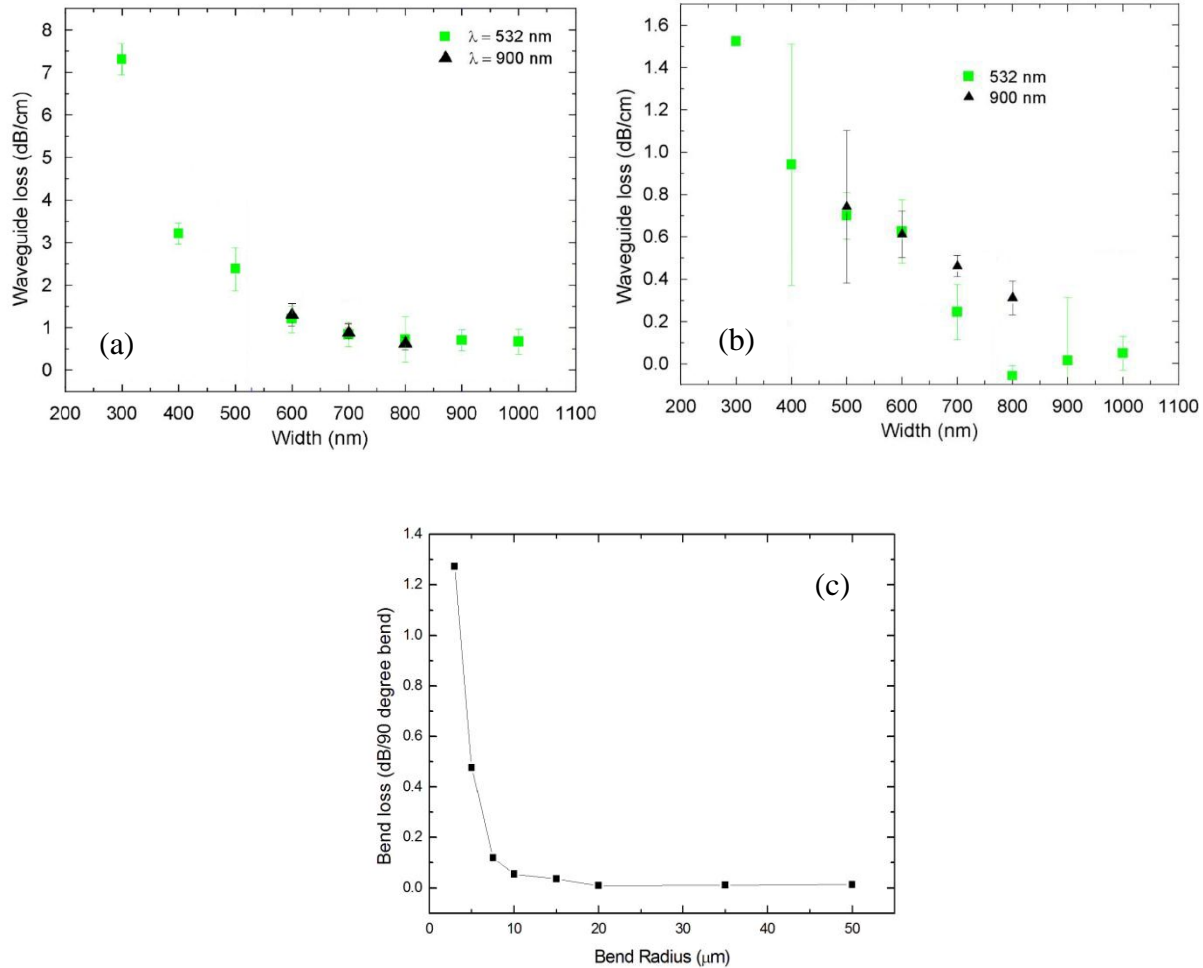
Patterning of the silicon nitride layer is performed by both electron beam lithography and 193 nm optical lithography. We start from a bare 200 mm silicon wafer. After cleaning the wafer, 2 to 2.4  $\mu\text{m}$  high density plasma CVD oxide is deposited as bottom cladding. On top of the isolating oxide, SiN was deposited using PECVD on different wafers. Two thicknesses of SiN were chosen, 180 nm Si<sub>3</sub>N<sub>4</sub> stack for 532 nm wavelength and 220 nm Si<sub>3</sub>N<sub>4</sub> stack for 900 nm wavelength operation. PECVD SiN was deposited using SiH<sub>4</sub>, N<sub>2</sub> and NH<sub>3</sub> at 400 °C, which ensures CMOS back-end compatibility. The precursor gas-ratio was chosen as to minimize loss, following the results of Goykhman et al.<sup>7</sup>. After the layer deposition, waveguides and grating couplers were patterned by using 193 nm optical lithography. This was followed by an inductive coupled plasma-reactive ion-etch process, using fluorine-based etch chemistry. The waveguides were completely etched to form strip waveguides and the GCs were partially etched by tuning the etch duration. The optimum value for the underlying oxide thickness and etch-depth for GCs was based on a previous study on the optimization of GC at 900 nm wavelength<sup>8</sup>. After dry etching, the wafers were cleaned by using oxygen plasma and a wet chemical process. Since SiN does not possess any absorption band in the visible-VNIR wavelength range, therefore no annealing or thermal treatment was applied to the nitride samples.

When using ebeam lithography, a 325 nm thick layer of Ma-N 2403 negative resist is spun and baked on top of the nitride layer. The mask is written by a Leica VB6 electron beam lithography tool with a dose of 100  $\mu\text{C}$  with proximity correction. After development the pattern is transferred with an Oxford Plasmalab 100 ICP etcher. A SF<sub>6</sub>/C<sub>4</sub>F<sub>8</sub> based etching recipe was optimized to obtain vertical side walls. The best results were obtained by using 10 mTorr chamber pressure, 20 degrees temperature, gas flows of 90 sccm C<sub>4</sub>F<sub>8</sub> and 30 sccm SF<sub>6</sub>, 12 W forward power and 300 W ICP power. A top view of an etched ring resonator with 20  $\mu\text{m}$  radius and 150 nm gap can be seen in Fig. 1a. For coupling to the waveguides we use fully etched linear grating couplers designed for TE polarization for all structures discussed in this work. Fully etched grating couplers have a lower coupling efficiency of about 9-10 dB per coupler whereas partially etched linear grating couplers achieve 6 dB per coupler, but they were chosen because it allows to fabricate all structures in one lithography step, hereby drastically simplifying the fabrication process since no alignment is needed. They also display a very broadband coupling range, allowing us to perform measurements over a spectral range of over 160 nm.

#### 4. EXPERIMENTAL RESULTS

In order to design high performance photonic elements, waveguides with low propagation loss and bend loss are a necessity. To measure propagation losses, two type of structures were included in the mask. Straight waveguides with a 100  $\mu\text{m}$  linear taper and waveguide widths between 300 and 1000 nm were included for varying lengths. In order to be able to measure very low propagation losses for 900 nm wavelength operation, we included folded 900 nm wide waveguides with lengths of 1, 2, 4 and 8 cm. The losses were measured both uncladded and with a 600 nm CVD oxide top cladding. The waveguide propagation loss for non-cladded waveguides is shown in Fig 3a. The losses were measured at 532 and 900 nm for different waveguide widths. The waveguides display a propagation loss below 1 dB/cm for widths above 700 nm for both wavelengths. For 900 nm wavelength, we get a sub 1dB/cm propagation loss for single mode operation while for 532 nm, propagation losses for single mode operation are 2 dB/cm or higher for uncladded

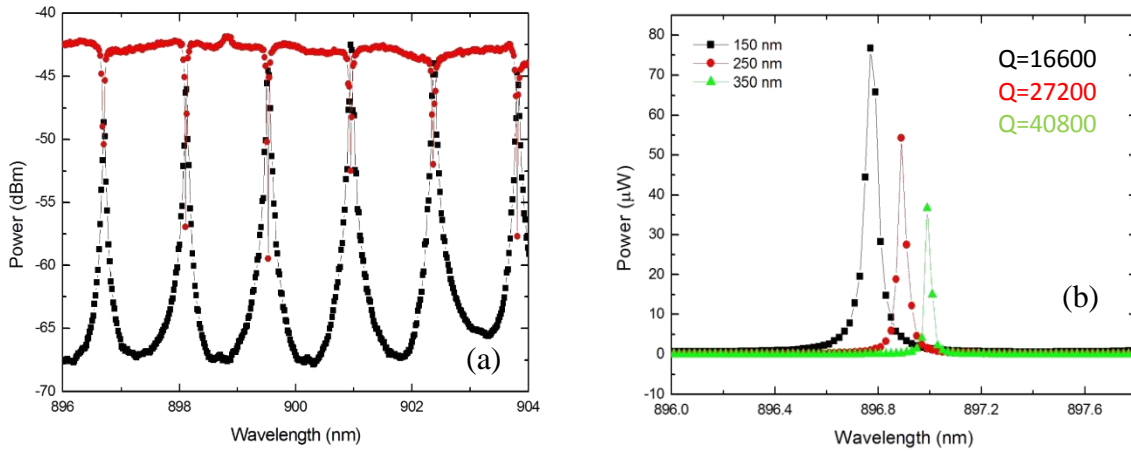
waveguides. The waveguide loss for cladded samples as a function of width is shown in Fig. 3b. The 532 nm measurements are more subject to larger measurement errors and grating coupler non-uniformities since no long spiral waveguides were available to measure the very low losses in that case. At both wavelengths, single mode propagation is obtained with a loss below 1 dB/cm. The loss behavior observed for the SiN strip waveguides is consistent with scattering due to sidewall roughness. For the measurement of bend losses, meandering waveguides with 120 90-degree bends were put on the mask with varying bend radius (2.5, 5, 7.5, 10, 15, 20, 30, 40 and 50  $\mu\text{m}$ ). By comparing the loss of these waveguides compared to the propagation loss for a waveguide with the same length, we can calculate the bend loss for cladded waveguides. The experimental data can be found in Fig 4a. Bend losses for 50  $\mu\text{m}$  bends are as low as 0.015 dB per 90 degree bend and stay well below 0.1 dB for bend radii larger than 10  $\mu\text{m}$ .



**Figure 3: (a) Waveguide propagation loss for air cladded strip waveguides. (b) Propagation loss for oxide cladded strip waveguides. (c) Bend loss as a function of the bend radius.**

With the electron beam lithography process, a vast set of ring resonators with variations in coupling gap and bend radius were manufactured for operation at 900 nm wavelength. Following the bend loss measurements, a strong decrease in Q-factor is seen for bend radii below 25  $\mu\text{m}$ . A measurement of an oxide cladded ring resonator with a radius of 50  $\mu\text{m}$  and a coupling gap of 150 nm can be seen in Fig. 4a. For this ring resonator geometry, critical coupling is almost achieved, with an extinction ratio up to 17 dB. For larger gap sizes, the ring resonators are undercoupled. In Fig. 4b, the power from the pass port is shown for three different coupling gaps, where a clear dependence is observed of the Q-factor as a

function of coupling gap. Q-factors from 16600 to 40800 were found for a gap ranging from 150 to 350 nm respectively.



**Figure 4: (a) Power measurement of the add and drop port of a 50  $\mu\text{m}$  radius ring resonator with 150 nm coupling gap. (b) Drop port power measurement for different coupling gaps.**

Furthermore, with the developed low-temperature PECVD SiN waveguide platform, several photonic components were realized. Both MMI splitters, Y-splitters and evanescent couplers were fabricated and measured. A full set of both symmetric and asymmetric evanescent couplers were measured in order to study the coupling strength as a function of the coupler length, the gap and the bend radius. Also large fractal structures based on MMI splitters were processed and analyzed as optical power distribution over a large chip area, for applications as largely parallelized biosensor arrays. Also wavelength selective components (disk resonators, Bragg filters and resonators, arrayed waveguide gratings and echelle waveguide gratings) are fabricated and measured, but these fall beyond the scope of this paper.

## 5. CONCLUSIONS

We have demonstrated low-loss single mode PECVD waveguides fabricated by a CMOS pilot line for visible-NIR wavelength applications. Propagation loss measurements were performed at 532 and 900 nm wavelengths for SiN waveguides with 180 and 220 nm height respectively. Oxide cladded single mode waveguides exhibited a loss below 1 dB/cm for both wavelengths, while for non-cladded waveguides we obtained a loss of < 1 dB/cm for 900 nm and a loss > 2 dB/cm for 532 nm. Bend losses were measured for 532 nm and were well below 0.1 dB per 90 degree bend for radii larger than 10  $\mu\text{m}$ .

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