

1 Executive function training with game elements for obese children: A novel treatment to
2 enhance self-regulatory abilities for weight-control.

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42 Abstract

43 For obese children behavioural treatment results in only small changes in relative weight and
44 frequent relapse. The current study investigated the effects of an Executive Functioning (EF)
45 training with game-elements on weight loss maintenance in obese children, over and above
46 the care as usual in an inpatient treatment program. Forty-four children (aged 8-14 years) who
47 were in the final months of a 10-months inpatient treatment program in a medical paediatric
48 centre were randomized to either the 6 week EF-training condition or to a care as usual only
49 control group. The EF-training consisted of a 25-session training of inhibition and working
50 memory. Treatment outcomes were child performances on cognitive tasks of inhibition and
51 working memory and childcare worker ratings on EF-symptoms as well as weight loss
52 maintenance after leaving the clinic. Children in the EF-training condition showed
53 significantly more improvement than the children in the care as usual only group on the
54 working memory task as well as on the childcare worker reports of working memory and
55 meta-cognition. They were also more capable to maintain their weight loss until 8 weeks post-
56 training. This study shows promising evidence for the efficacy of an EF-training as weight
57 stabilization intervention in obese children.

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59 Keywords: obesity, childhood, executive functioning, working memory, inhibition, cognitive
60 training

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64 The dramatic rise in childhood obesity in recent decades is well established (Ogden,
65 Carrol, Curtin, Lamb, & Flegal, 2010). The major health problems and psychosocial
66 consequences associated with this rise make the development of an effective treatment for
67 obese children imperative. Multidimensional treatment programmes including diet, exercise
68 and behaviour change have demonstrated their efficacy, showing positive outcomes in the
69 short term as well as some evidence of long term maintenance of treatment effect (Luttikhuis
70 et al., 2009). However, with severely obese children these interventions suffer from high
71 drop-out rates or result in significant weight regain at follow-up (Levine, Ringham,
72 Kalarchian, Wisniewski, & Marcus, 2001; Braet, Tanghe, Decaluwé, Moens, & Rosseel, 2004;
73 Goossens, Braet, Van Vlierberghe, & Mels, 2009). More effective tailoring of treatment to
74 underlying core deficits involved in obesity may be one promising approach for enhancing
75 long-term weight maintenance.

76 Recent investigations suggest that weight gain results, at least in part, from the
77 inability to resist temptations and inhibit automatic responses (Smith, Hay, Campbell, &
78 Trollor, 2011). Impressive longitudinal research has shown that children between two and
79 five years old with limited impulse control are more likely to be above average weight at the
80 age of 5, 11 or 12 (Francis, & Susman, 2009; Graziano, Calkins, & Keane, 2010; Seeyave et
81 al., 2009). Cross-sectional studies have found that overweight children act more on impulse
82 than children of normal weight (Braet, Claus, Verbeken, & Van Vlierberghe, 2007;
83 Nederkoorn, Braet, Van Eijs, Tanghe, & Jansen, 2006) and one prospective study has shown
84 that impulsivity hinders weight loss in therapy (Nederkoorn, Jansen, Mulken, & Jansen,
85 2007).

86 Executive function deficits have often been proposed as underlying core deficits in
87 impulse control (Barkley, 1997). Executive Functions (EFs) allow individuals to regulate their

88 behaviour, thoughts and emotions, and thereby enable self-control. Weight-loss and weight-
89 loss maintenance clearly require executive functioning. First, cognitive control such as the
90 inhibition of automatic responses and approach behaviour is highly indicated when, for
91 example, a child needs to resist palatable snacks. Second, adequate memory capacity
92 ('remembering what I was doing or what I have to do to reach a current goal') is also seen as
93 a necessary self-regulation ability. It is assumed that enhanced working memory may
94 facilitate planning, monitoring, and self-instruction, which, in turn, can improve impulse
95 control in eating behavior and consequently can help in weight management. Today, there is
96 already some evidence that working memory is necessary for learning new skills and that
97 sufficient working memory capacity is required to be able to transfer the new skills to a long-
98 term behavioral repertoire (Bickel, Jarmolowicz, Mueller, Gatchalian, & McClure, 2012).
99 Evidence in children with cognitive control deficits suggest that in general it may be that
100 visuo-spatial working memory is more related to impulsivity/self-regulation than verbal
101 working memory (e.g. Martinussen, Hayden, Hogg-Johnson, & Tannock, 2005). Moreover,
102 the two EFs are related since the cognitive capacity to inhibit automatic responses and forego
103 temptations is limited and depends upon the deployment of sufficient working memory
104 capacity (Hofmann, Gschwender, Friese, Wiers, & Smitt, 2008).

105 Advances in neuro-imaging research suggest that impairment in cognitive inhibition
106 may indeed lead to a failure in deactivating food reward circuits and consequently facilitate
107 overeating (Wang, Volkow, Thanos, & Fowler, 2009), resulting in a higher body mass index
108 (BMI) (Volkow et al., 2009; Batterink, Yokum, & Stice, 2010). Conversely, higher prefrontal
109 cortex (PFC) activation has been shown to be associated with dietary restraint (DelParigi et
110 al., 2007) and lower BMI (Batterink et al., 2010). Similarly, behavioural studies in obese
111 children suggest that they have problems with behavioural inhibition, as assessed with a well-
112 validated computerized measure (Stop-signal Task; e.g. Nederkoorn et al., 2006; Verbeken,

113 Braet, Claus, Nederkoorn, & Oosterlaan, 2009). Additionally, the association between weight
114 control and working memory has been clearly shown in a study by Li, Dai, Jackson, and
115 Zhang (2008) that included over 2000 children and an impressive number of covariates. This
116 study found that compared to children of average weight, obese children performed
117 significantly poorly on a visual spatial working memory test.

118 Today, treatment programmes for severely obese children already focus on improving
119 impulse control by means of learning self-regulation skills such as self-observation, self-
120 instruction, self-evaluation and self-reward (Duffy & Spence, 1993; Braet et al., 2004).
121 Nevertheless, for some obese children, these vital skills seem hard to implement in daily life
122 and are not very effective in the long term as children often relapse. It seems likely that, as
123 long as children do not strengthen their EF, the acquired impulse self-control skills remain of
124 limited capacity. In this context, studies on how to modify the supposed underlying core
125 neurocognitive processes of poor impulse control could be helpful for achieving sustained
126 weight loss. Therefore, the aim of the present study is to evaluate an intensive cognitive
127 training programme for obese children developed specifically to strengthen their EF.

128 Convincing evidence has been found for the trainability of executive functions in
129 samples of children characterized by poor executive functioning such as ADHD samples
130 (Klingberg et al., 2005; Klingberg, Forssberg, & Westerberg, 2002; Van der Oord, Ponsioen,
131 Geurts, Ten Brink, & Prins, in press) and samples of children with low working memory (but
132 no ADHD) (Holmes, Gahercole, & Dunning, 2009; Thorell, Lindqvist, Nutley, Bohlin, &
133 Klingberg, 2009). For example, Klingberg and colleagues (2005) showed that in a sample of
134 children with ADHD, individually adaptable computerized working memory training not only
135 improved the trained working memory; training effects also generalized to other non-trained
136 executive functions such as response inhibition and complex reasoning. Further, not only did
137 the core EFs improve but also objective behaviour; as there was a significant reduction of

138 parent-rated inattention and hyperactivity/impulsivity symptoms and positive effects were
139 maintained at three months follow-up. In another study with low working memory samples
140 using the same training, not only did working memory performance improve but also relevant
141 and objective school results, including performance in maths at 6 month's follow-up (Holmes
142 et al., 2009), again suggesting the generalisability of results to objective behaviour.

143 Fewer studies have been conducted on the trainability of inhibition through cognitive
144 training. Preschool children trained in inhibition showed a significant improvement on most
145 of the trained tasks, but there was no generalization effect of this training to tasks measuring
146 other executive functions like working memory (Thorell et al., 2009; White & Shah, 2006).
147 This may have been due to the training task used, in which the level of inhibition was not
148 adapted to the level of the child. An individually adaptable task is deemed crucial for
149 improving executive functioning through training (Klingberg, 2010). Recently, Dovis and
150 colleagues (2008b) have developed a format that enables individual differentiation in task
151 difficulty in an inhibitory control task (*see* method section) by individually adapting the
152 window of responding for each child.

153 Training of EF is time-consuming and needs prolonged concentration. Adding game
154 elements to a potentially boring task may enhance the intrinsic motivation because their
155 addition makes the task more interesting and engaging (Dovis, Van der Oord, Wiers, & Prins,
156 2011). Moreover, also extrinsic reinforcement contingencies have a positive impact on the task
157 performance and motivation of all children, but this is more pronounced in children with
158 disturbed sensitivity to rewards (Dovis et al., 2011; Haenlein & Caul, 1987; Luman,
159 Oosterlaan, & Sergeant, 2005). Disturbed sensitivity to rewards is also found in children with
160 obesity. Research showed that compared to average weight, obese children exhibit a hyper-
161 responsivity to reward (Stice, Yokum, Burger, Epstein, & Small, 2011; Van den Berg et al.,
162 2011; Verbeken, Braet, Lammertyn, Moens, & Goossens, 2012) and prefer immediate over

163 delayed gratification (Verbeken, Braet, & Lammertyn, in prep). A feature that may increase
164 children's motivation is adding computer game-elements to tasks. This was already suggested
165 in children with ADHD. Parents, teachers and clinicians have reported that children with
166 ADHD, when playing a computer game, can sustain attention, concentrate for longer periods
167 of time and behave less impulsively (Barkley, 2006). Studies also show enhanced cognitive
168 performance on EF-tasks when gaming elements are added to these tasks (Dovis et al., 2011;
169 Prins, Dovis, Ponsioen, Ten Brink & Van der Oord, 2011). In the current study, we use
170 executive functioning training to which game-elements are added, in order to optimize
171 children's motivational state and potentially optimize their cognitive performance during
172 training.

173 In sum, current treatments for childhood obesity are not always effective, and do not
174 target possible core deficits of executive functioning. In other samples characterized by
175 executive functioning deficits, EF-training has been shown to be effective. Therefore, the
176 purpose of this treatment study is to evaluate the effectiveness and acceptability of a 6 week
177 intensive cognitive EF-training programme for obese children embedded in a game-world
178 above the effects of an intensive 10-month inpatient treatment programme. Obese children in
179 the final months of the inpatient treatment programme were randomized to either the EF-
180 training condition or the care-as-usual-only (CAU) condition. The training aimed to improve
181 working memory capacity and response inhibition by directly training both core cognitive
182 processes. Outcomes were child performances on cognitive EF-tasks and childcare worker
183 ratings on different cognitive components of executive functioning as well as weight loss
184 maintenance after leaving the clinic. Since this is to our knowledge the first study of the
185 effects of EF- training in obese children, hypotheses were mainly exploratory. We expected
186 more improvement in EF in children who were randomized to the EF- training condition than
187 those randomized to the CAU control condition. After the end of the inpatient treatment

188 programme, confrontation with the daily food environment at home enabled the study of long-
189 term effects of treatment in the natural environment characterized by a high risk of relapse.
190 We expected better weight loss maintenance at 8 and 12 weeks after leaving the clinic in
191 those randomized to the EF-training condition than in those in the CAU condition.

192 Method

193 *Participants*

194 All overweight children in the final phase of a 10-month inpatient treatment program
195 in a medical paediatric centre (Belgium) were invited to participate. Inclusion criteria for
196 participation in the study were: primary obesity determined by a medical doctor of the clinic,
197 age between 9 and 14 years, an IQ within the normal range as established with the Raven
198 Progressive Matrices (RPM; Raven, 1938), and absence of pervasive development disorders
199 as determined by a child psychiatrist of the clinic. Fifty children and their parents received an
200 information letter about the research project (see Figure 1). Two children were too young
201 (seven years old). The remaining 48 children were invited to attend an information session
202 and were asked to participate. Parents of 44 children gave their written informed consent (age
203 $M=9.79$, $SD=1.04$; boys: 50%)

204 *Description of interventions*

205 *Inpatient treatment as usual.* All children were morbid obese at entrance, with a
206 minimum of 60 % overweight. The inpatient treatment consisted of a 10-month non-diet
207 healthy lifestyle program. The aim was achieving a healthy body weight through learning the
208 children to make healthy food choices at fixed times during the day, and providing daily
209 physical activities. Cognitive Behavioral Techniques (CBT) are integrated as part of the
210 program. The program is described and evaluated in detail in Braet, et al. (2004) and consists
211 of three phases of approximately 3 months each: introduction phase, maintenance phase and
212 termination phase. Results show that treated children lost a significant amount of overweight

213 (with a mean loss of 50%) over the 10-month period, whereas their non-treated case-controls
214 continued to gain weight (Braet, Tanghe, De Bode, Franckx, & Van Winckel, 2003). Results
215 show that, in the last phase, when children were prepared for ‘returning home’ (termination
216 phase), a mean of 10% additional weight loss is achieved and at discharge overweight is
217 reduced to 20%-30 %. However, follow-up data showed that after leaving the clinic, children
218 regain some of their overweight and at the 14-month follow-up, the children have about
219 44.1% overweight (Braet et al., 2004). At the 6 year follow-up overweight returned in to 53%
220 (Goossens, Braet, Verbeken, Decaluwe, & Bosmans, 2011).

221 *Executive function training.* The intervention is a training of cognitive EF, embedded
222 in a game-world (Prins, et al., 2011; Van der Oord et al., submitted). The game is called
223 ‘Braingame Brian’, named after the main character of the game “Brian”. The game consists of
224 25 training sessions of about 40 minutes. Each session contains two blocks (of about 20
225 minutes) of two training tasks in a fixed order. The first training task is a working memory
226 training task, and the second an inhibition training task. Over a period of 6 weeks, the child
227 trains about 4 times a week on fixed days (Monday, Tuesday, Wednesday, Thursday). Each
228 day, the child does not play more than one session of 40 minutes. After each block of training
229 tasks, the difficulty level of the training task is adjusted to the child’s level of performance.
230 To enhance motivation, each completed block of training tasks results in an elaboration of the
231 game-world or extra powers for the main character, Brian. Before, after and in between the
232 training tasks the child can walk around in the elaborated game-world. With his extra powers
233 Brian can create inventions, to help people in his village, resulting in happier village-people
234 (the more Brian helps them the more they smile). Thus, completing sessions does not only
235 result in a more elaborated game world, more powers for Brain, but also in happier people in
236 the village. The child plays the computer-game in the clinic after school hours. Every session
237 a research assistant watches the child play and answers possible questions about the game.

238 Further, the child keeps a diary of his/her experiences with the game and receives a daily
239 token for playing the 40-minutes session.

240 *The Working Memory Training.* The working memory training, embedded in the game world,
241 combines different types of working memory tasks (Dovis et al., 2008a). It consists of five
242 levels: (1) short term memory, (2) short term memory, updating and keeping information
243 online, (3) short term memory and manipulation/updating, (4) short term memory and keeping
244 information online during a delay and finally (5) short term memory + keeping information
245 online + manipulation of information/ updating. In each level, the training consists of a 4 x 4
246 grid of equally sized rectangles (Figure 1). The rectangles light up in a random sequence. The
247 rectangles light up for 900 ms, and after 500 ms the next rectangle lights up. After each
248 sequence of rectangles the child has to reproduce the sequence by clicking the right rectangles
249 in the right order with the computer mouse. The child finishes a session if it has reproduced
250 110 correct rectangles. Sequence length is adapted during the training to the level of the
251 child's performance.

252 *The Inhibition Training.* This task was designed to train prepotent response inhibition (Dovis
253 et al., 2008b). The task was visually designed as a factory, in which the child had to respond
254 as quick and accurately as possible to an arrow on a machine. In the first block of trials, a
255 stimulus lights up on the left or right side of the computer screen (Figure 2). If the stimulus
256 lights up on the left, the child has to press the left button (Q key), and if the stimulus lights up
257 on the right, the child has to press the right button (P key). It is not a matter of responding as
258 quick as possible, but to respond within a certain range; a stimulus at the top of the screen
259 shows the range within which the child has to respond (a bar which is colored green between
260 700 and 1000 ms and red before 700 ms and after 1000 ms). These are go trials. In the next
261 block the stop trials are introduced: 25% of the trials are stop trials and 75% are go trials. In
262 the stop trials, after presentation of the stimulus a stop-signal is given (a tone and the stimulus

263 turns red). The child has to inhibit his or her ongoing response. The time a child needs to stop
264 his/her response is the stop signal reaction time (SSRT); in the present training the SSRT time
265 is progressively shortened; the presentation of the stop signal is adjusted to the individual
266 level of the child. A block has to be re-played if the child has 20% errors on the go trials and
267 more than 30% errors on the stop trials.

268 *Selection measures*

269 *Body weight.* The Body Mass Index (BMI) (weight/height²) was determined for each
270 child pre-training, post-training, and at 8 weeks and 12 weeks follow up. In order to make
271 BMI comparisons between children of different ages, this study uses the adjusted BMI
272 (actual BMI/ Percentile 50 of BMI for age and gender) x 100). The 50th percentiles of the
273 BMI for age and gender are based on normative data (Fredriks, van Buuren, Wit, & Verloove-
274 Vanhorick, 2002). An adjusted BMI score equal to or greater than 120% is considered as
275 overweight (Van Winckel, & Van Mil, 2001).

276 *Estimated IQ.* The estimated IQ was based on the Raven Progressive Matrices (RPM,
277 1938), a widely used test of nonverbal reasoning ability. This is a multiple-choice paper and
278 pencil test, which consists of a series of visual pattern matching. There are 60 items and these
279 are grouped into five series of 12 items (A–E) (Raven & Court, 1998). The validity of the
280 RPM is comparable with conventional tests. Various studies conducted among children and
281 adolescents showed good to excellent correlation, ranging from .70 to .98, to conventional
282 tests of intelligence such as the Wechsler Intelligence Scale for Children (Barratt, 1956).

283

284 *Outcome measures*

285 *Behavior Rating Inventory of Executive Functioning [BRIEF]* (Goia, Isquith, Guy, &
286 Kenworth, 2000). Childcare workers of the clinic filled in a Dutch teacher-rated version of the
287 BRIEF (Smidts, & Huizinga, 2009), here used as outcome measure. The 75-item BRIEF

288 assesses cognitive components of executive functions and contains 8 subscales: inhibit, shift,
289 emotional control, initiate, working memory, plan/organize, organization of materials and
290 monitor. The first three scales form the “behavior regulation factor” and the remaining five
291 the “meta-cognition index”. Also, a total score is computed. Higher scores indicate more
292 impaired executive functioning. The BRIEF differentiates between different psychiatric
293 disorders (Gioia, Isquith, Kenworthy, & Barton, 2002) and internal consistency and test-retest
294 reliability are good (Smidts, & Huizinga, 2009). For this study, we used the subscales
295 inhibition, working memory, the meta-cognition index and the total scale as dependent
296 variables.

297

298 *The Corsi Block-Tapping Task - forward and backward version* (Corsi, 1972; Milner,
299 1971) is a nonverbal paradigm used to assess visuo-spatial working memory. This task has
300 widely been adopted in neuropsychological research (De Renzi & Nichelli, 1975; Kessels, van
301 Zandvoort, Postma, Kappelle, & de Haan, 2000), and has been claimed to be a valid measure
302 of the visuo-spatial sketchpad (Milner, 1971). The task consists of nine cubes that are
303 positioned on a square board. The blocks are labeled with numbers, one through nine, that are
304 only visible to the experimenter. The experimenter taps a sequence of blocks (starting with a
305 sequence of 3 blocks), after which the participant has to repeat this in the same order (forward
306 version) or in the reversed order (backward version). The same sequence length is presented
307 three times, if the participant produces at least one of the three sequences correctly, the block
308 sequences increase in length with one block to a maximum of eight blocks. After three errors
309 within the same sequence length, the test is stopped. The score that is obtained for both
310 versions is the number of correctly remembered sequences (maximum = 18).

311 *The Stop Task* (Logan & Cowan, 1984; Logan, Cowan, & Davis, 1984). This computer
312 task provides an index of the child’s ability to inhibit a prepared motor response. The task was

313 presented as a game in which the child had to perform the tasks of an air traffic controller.
314 First, the child was taught to respond to airplanes appearing on the computer screen by
315 pressing the response button that was on the same side as the airplane (a two-choice reaction
316 time task). Then, the child was told to withhold responding whenever he or she saw a big
317 white cross (the ‘stop’ trials), but otherwise to keep on responding to the planes as quickly as
318 possible (the ‘go’ trials). Each trial began with a 350 milliseconds presentation of a fixation
319 point (‘+’-sign presented at the centre of the screen). The presentation of the stimulus (an
320 airplane), displayed for 1500 milliseconds then followed. The inter-trial interval was 1000
321 milliseconds. The stimuli appeared equally often on either side of the screen within each
322 block. The stop signals (white crosses) appeared at the centre of the screen, c.q. on top of the
323 airplane. They were presented equally often after left- and right-sided presentations of the
324 stimuli. A go trial always followed a stop trial, except once in each block where two stop
325 signals were presented in succession. The percentage of stop trials was 25%. A tracking
326 algorithm (for a detailed description of this procedure, see Scheres et al., 2003) was applied to
327 vary dynamically the onset of the stop-signal in response to a participant’s performance, such
328 that it is increased after a previously unsuccessful inhibition trial (making the next stop trial
329 less difficult). This one-up/one-down tracking procedure ensures that a child has
330 approximately 50% chance of response inhibition and controls for difficulty level across
331 participants (Congdon et al., 2012).

332 All children performed two practices and four experimental blocks (each consisting of
333 64 trials) on this task and were given short breaks between blocks. The main dependent
334 variable in this task was the stop signal reaction time (SSRT). The speed of the stopping
335 process, the SSRT cannot be observed, because the response to a stop signal is a covert one.
336 The SSRT can be estimated using the race model (Logan et al., 1984). According to this
337 model the probability of inhibiting the response depends on the outcome of a race between the

338 “go” process and the stopping process. The process that finishes first wins the race. If the go
339 process is faster than the stopping process, the child emits the response; if the stop process
340 finishes first, the response is inhibited. The outcome of the race depends on the speed and the
341 variability of the go process, the delay between the go stimulus and stop signal, and the speed
342 and the variability of the stop process. SSRT can be calculated by subtracting the mean delay
343 from the mean go signal reaction time (Scheres et al., 2003; for a more detailed description
344 see also Oosterlaan & Sergeant, 1998).

345 *Treatment acceptability.* A diary was completed by each child during the training. The
346 diary inquired daily about the acceptability and enjoyment of the training in general. Both
347 closed and open-ended formats were utilized. In particular, children were asked why they kept
348 training and how much they liked the training sessions (visual analogue scale 1-10 from not
349 fun to very fun). The scores < 5 were recoded as Less Fun (LF) and the scores >5 were
350 recoded as Fun (F). Furthermore, the children were asked if they tried hard enough to score
351 well (visual analogue scale 1-5 from a little to very much). The scores < 2.5 were recoded as
352 Little Hard (LH) and scores > 2.5 were recoded as Hard (H).

353 *Procedure*

354 At the start of the ‘returning home phase’ after 6 months of inpatient treatment and
355 after pretest, participants ($N = 44$) were randomly assigned to either a care-as-usual only
356 condition (CAU, $n = 22$) or to an active cognitive EF-training condition (CAU + EF-training,
357 $n = 22$). Randomization (using random number generator by person blind to the study) was
358 stratified on gender and age. Children who were randomized to the EF-training condition
359 were provided with a computer. It was ensured that this computer was placed at a location in
360 the clinic with limited distractions. Further, to limit distraction during the playing of the game
361 children wore headphones and no contact with the Internet or other software was possible on

362 the computer. The training time was kept equal for all children in the training condition (25
363 times 40 minutes) to prevent variability in exposure.

364 Before the beginning of the EF- training, the pre-test was conducted. The children
365 ($n=44$) were assessed in the clinic with the Raven, the Stop task and the Corsi Block Tapping
366 task – forward and backward (counterbalanced). Furthermore childcare workers living daily
367 with the children were asked to complete the BRIEF-questionnaire assessing behavior of the
368 child more or less as a stand-in parent. One week after the 6-week training, participants in
369 both conditions and childcare workers received the same post-test measures, BMI was
370 determined, and one week later the children left the clinic. One child was unable to complete
371 the posttest due to illness. A follow-up was conducted 8 weeks ($n = 33$) and 12 weeks ($n = 36$)
372 after the treatment program, children returned to the clinic for BMI determination. The
373 assessors for the post-test and follow-up measures of our primary outcome measure BMI were
374 blind to treatment condition. The Ethics Committee of the Ghent University approved the
375 study.

376 *Statistical Analyses*

377 First, baseline differences between both the EF-training condition and the care-as-
378 usual condition were tested using chi-square tests for categorical and ANOVAs for
379 continuous variables. Then, a mixed factorial ANOVA model was fit to test pre-post
380 differences between treatment conditions. We used repeated measures ANOVA with time of
381 assessment as within factor (pre-test, post-test) and treatment condition as between factor (EF-
382 training or CAU). Then to assess long-term effects, ANOVAs for repeated measures analyses
383 were conducted with time as within factor (pre - post- 8-weeks follow-up – 12-weeks follow
384 up) and condition as between factor. Effect sizes (Cohen's η^2 , Cohen, 1988) are reported for
385 all analyses. Following Cohen's guidelines effect sizes smaller than 0.06 were considered

386 small, effect sizes between 0.06 and 0.14 were considered medium and effect sizes above 0.14
387 were considered large.

388 All data were available for 80% of the children at both follow ups. Analyses showed
389 no significant baseline differences between participants with complete versus incomplete data
390 at follow up. Moreover, comparison of means and covariances using Little's (1988) MCAR
391 test revealed that data were missing completely at random ($\chi^2_{888} = 923.84, ns$). Therefore,
392 missing bodyweight values were estimated using maximum likelihood estimation (Schafer,
393 1997) and the expectation maximization algorithm.

394 **Results**

395 **Descriptive characteristics**

396 ANOVAs and chi-square analyses tested for differences in demographic variables and
397 baseline differences on outcome measures between participants of both treatment conditions
398 (see Table 1 & 2). There were no significant differences between the two conditions on any of
399 the variables. Outlier analysis showed no outliers on any of the dependent variables.

400

401 **Evaluation of the EF-training on executive functioning**

402 Regarding child outcome measures, for both the Corsi Block Tapping Task forward
403 and Corsi Block Tapping Task backward there were significant interaction effects. Children in
404 the EF-training condition showed more improvement in working memory than children in the
405 care as usual only condition. The Stop Task did not show significant time effects nor
406 interaction effects (see Table 3).

407 Time effects were observed for some childcare worker outcome measures as described
408 in Table 3. Comparing pretest –posttest data on the BRIEF inhibition subscale did not show a
409 significant interaction effect. However, comparing the BRIEF working memory subscale and
410 the BRIEF meta-cognition subscale showed significant interaction effects, with medium effect

411 sizes. A trend-significant interaction effect was found on the BRIEF-total score ($p = .075$). The
412 scores of the children in the EF-training group remained stable, while children in the care as
413 usual only condition showed increased deficits in working memory and meta-cognition as
414 measured by the BRIEF.

415

416 **Long-term weight control effects**

417 Repeated measures ANOVAs were conducted on adjusted BMI with 4 time points
418 (pretest-posttest-8 weeks follow up- 12 weeks follow up) as within group factor and treatment
419 condition as between factor (EF-training or CAU-only). There was a significant time by
420 condition effect for adjusted BMI qualified by a large effect size (see Table 4). Children in the
421 EF-training condition showed better weight loss maintenance compared to the children in the
422 CAU- only condition. Time by condition contrasts showed significant better weight loss
423 maintenance in the EF-training group specifically from posttest to 8 weeks follow up,
424 qualified by a large effect size. This effect decreased to a non-significant difference at the 12
425 weeks follow up (see also Figure 2).

426

427 **Treatment acceptability.**

428 Of the 22 children in EF training condition, 19 completed the diaries. Among these
429 children, 94.74% tried hard to score well during the training tasks (mean VAS = 4.18, SD =
430 .82) and 44.4% reported to experience the training sessions as fun (mean VAS = 5.95; SD =
431 2.72).

432

433 To explore possible gender differences and to control for possible age effects, analyses
434 were also run with gender as between factor and age as covariate. Results were similar as
435 described above (data available from first author).

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Discussion

439 This study is the first evaluation of the acceptability and effectiveness of adding
440 cognitive EF-training with game elements to a 10-month inpatient treatment programme for
441 obese children in the ‘returning home phase’. Overall, the training sessions were well
442 tolerated and had reasonable acceptability ratings from the children. The impact of the
443 intervention was explored on two measures of executive functioning and on weight loss and
444 weight-loss maintenance after discharge from the clinic. Not only did the EF-training improve
445 the children’s executive functioning skills, mainly working memory, but also, compared to the
446 children in the CAU condition, the children who completed the EF-training appeared to be
447 more capable of maintaining their weight-loss at 8 weeks’ post-training. These results are
448 noteworthy, especially since to date evidence shows that treatment for obesity is typically
449 followed by weight regain. Therefore, strengthening in the patient the skills that enable better
450 long-term weight control may be the main challenge in the treatment of obesity (Latner et al.,
451 2000).

452 We can speculate as to why this EF-training may have a surplus value in improving
453 weight-loss maintenance. After leaving the clinic, the children are assumed to maintain the
454 learned healthy lifestyle behaviours aimed at keeping a healthy weight over their lifespan.
455 Research has provided some evidence that neurocognitive factors may be implicated in these
456 patterns of healthy behaviour over the lifespan (Deary, Whiteman, Starr, Whalley, & Fox,
457 2004; Gottfredson, & Deary, 2004), especially executive functions (Hall, Elias, & Crossley,
458 2006). In line with previous research (e.g. Klingberg et al., 2005; Thorell et al., 2009), our
459 data suggest that specifically *working memory* capacity can be expanded through targeted EF-
460 training, with a significant increase in performance over 6 weeks and also notably better
461 weight maintenance when returning home at 8 weeks’ follow-up.

462 Other studies in ADHD samples did show generalization of the effects of WM training
463 to other executive functions such as inhibition (Klingberg, 2010). We could not find such
464 effects on inhibition as measured with the Stop Task. It may be that our task was not sensitive
465 enough to measure subtle advances in inhibition abilities or that inhibition is not trainable
466 with this programme. However, analyses of the inhibition scores of the training task indicated
467 significant improvement in all participants from the first to the last session suggesting
468 promising potential also for the training of inhibition (data available from first author).
469 Therefore it seems reasonable to assume that the present 25 session-intervention may have
470 trained both executive functions to some degree, but not enough to observe significant
471 changes on the Stop Task.

472 Weight maintenance effects were no longer visible at 12 weeks' follow-up. This is in
473 line with other training studies in children and adults showing that training-related gains were
474 stable for a number of weeks but were lost thereafter (Holmes et al., 2010; Buschkuehl et al.,
475 2008). It seems likely that EF functions need permanent training and therefore it would be
476 worthwhile testing whether a reasonable schedule of "maintenance" EF-training could further
477 help children control their weight.

478 The study produced an expected finding on the BRIEF- data obtained by the childcare
479 workers. While children from the EF-training group demonstrated maintenance of their pre-
480 test level, at post-test a significant reduction in executive functioning was observed in the
481 CAU control group. This seems at first sight somewhat surprising. We assume that these
482 results must be interpreted in the light of the new challenges these children were faced with
483 during the third phase of the inpatient programme. In the final weeks of this treatment, the
484 rigid structure is gradually attenuated and more frequent home visits are implemented, which
485 include more food temptations to overcome. Furthermore, anticipating the departure from the
486 clinic and the upcoming summer holiday may have caused more arousal and emotions,

487 thereby limiting the capacity for inhibited behaviour. This in turn was reflected in the
488 reduction of observed executive functioning in the CAU group. The maintenance of a good
489 level of executive functioning may therefore in itself be interpreted as a good progression in
490 the children as a result of the EF training.

491 There are a number of strengths and weaknesses of this study that need to be considered
492 in interpreting it. The strengths of this study include the use of a novel intervention, based on
493 a theoretical model of causal and maintenance mechanisms of overweight and obesity in
494 children. Additionally, we used different cognitive tasks and ratings to assess EF-functioning.
495 Estimates of efficacy are often based only on assessments made by individuals likely to be
496 aware of study allocation and who are in some way or another biased (e.g. participate in the
497 treatment), which may inflate effect sizes. In the current study large effects were found on the
498 most objective, clinically relevant and ‘blind’ outcome measure: BMI. Although weight loss
499 is the most important outcome measure, the fact that it was not possible to assess EF at
500 follow-up must be seen as a limitation of this study. Furthermore, future research should try to
501 unravel mechanisms of change and how this EF-training works precisely in obese samples.
502 Dismantling research is necessary to examine whether the positive effects of the EF-training
503 are accounted for by the working memory component, the inhibition training component or
504 the combination of the two. We also wonder, for example, whether the trained capacity in
505 working memory is related to weight loss through self-controlled food-intake. More
506 specifically, we need also more data that evidenced the assumed link between working
507 memory and weight-control behaviors, which could significantly strengthen the theoretical
508 basis for this treatment program

509 This study is somewhat limited in power. Larger randomized controlled trials are worth
510 considering. This way it would be possible to identify potential moderators in order to
511 determine which obese children might respond best to this intervention. Nevertheless, even

512 with only 44 inpatient and severely clinical disturbed patients and with a care-as-usual-only
513 control group, we did find moderate to large effects on the most clinical relevant measures.

514 For this first study of EF-training in obese children, we deemed an active control
515 group (e.g. non-adaptive computer tasks) as used by Klingberg et al (2005) not feasible. First,
516 non-adaptive computer tasks contain little to no challenges for children, and would have lead
517 to possible motivational problems. Second, the staff of the clinic anticipated that installing an
518 equally attractive intervention for the control group could have led to organisational problems,
519 mitigation and more drop-outs. Therefore, non-specific treatment effects in the EF-training
520 condition (such as the attention of the childcare workers) were not controlled for. However,
521 the significant long-term effects on weight-loss maintenance found in the EF-training
522 condition do in fact provide indications towards true unbiased effects of the training.

523 In order to tackle the mentioned feasibility problems, in future research an active control
524 group can consist of children in the residential setting playing tetris on a hand computer 40
525 minutes each training day. As the current sample was a clinical sample receiving inpatient
526 treatment, the findings may not be generalizable to outpatient clinical groups. It is
527 recommended that future research replicate the findings in an outpatient setting of obese
528 children, for example. as homework in the termination phase of an ambulant treatment.
529 Nowadays, most children have a computer at home where it is easier to organize the daily
530 screen time for each child. Furthermore possible motivational problems are easier to deal with
531 in a one-to-one situation..

532 In sum, the intervention tested in this study may serve as the basis for future research
533 which examines interventions targeting overweight and obesity in children. Although
534 treatment programmes already attest to the importance of self-regulatory *skills* for weight-
535 control, consideration of self-regulatory *abilities* represent a fascinating new area of research.
536 This study shows promising evidence of the efficacy of EF-training in obese children. Future

537 studies should replicate and disentangle these positive treatment effects in order to explore
538 specific effects for each EF-task, motivational aspects of the gaming environment and,
539 ultimately, which EF-training component would be most effective for each specific child,
540 with their specific executive (dis)functioning profile.

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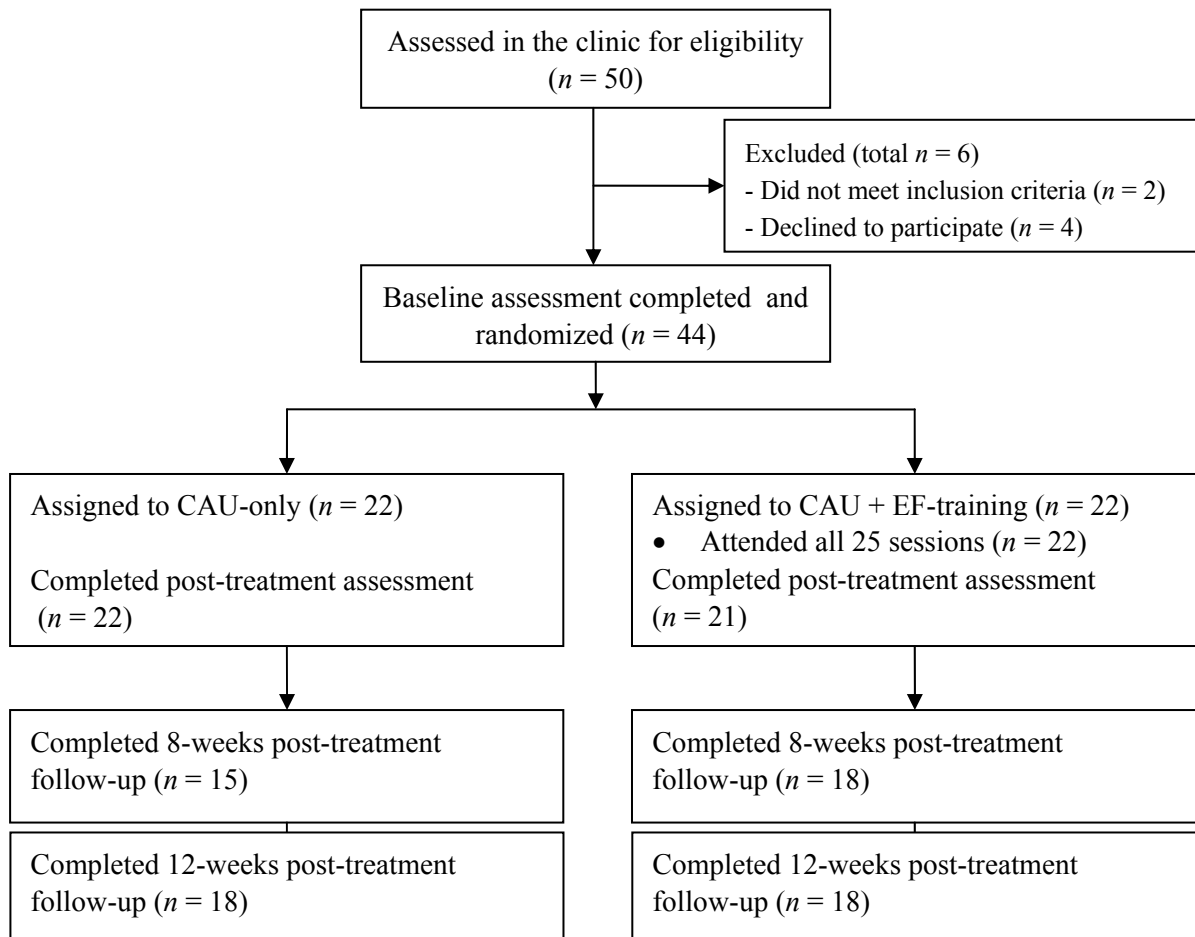
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Figure 1. Flow of participants through the trial.



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Note. CAU-only = care as usual only; CAU + EF-training = care as usual and the executive functioning training.

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Table 1. Differences in demographic characteristics between children in the EF-training condition and the care-as-usual condition

	EF-training (n=22)		Care-as-usual (n=22)		<i>F/χ²</i>
Age (<i>Mean/SD</i>)	11.50	1.60	11.41	1.93	<i>F</i> =.036
Raven (<i>Mean/SD</i>)	36.32	6.40	34.06	7.43	<i>F</i> =.960
Gender (<i>n/%</i>)					<i>X²</i> =.54
Girls	11	50	9	40.9	
Boys	11	50	13	59.1	
Admission adjusted BMI (<i>Mean/SD</i>)	181.88	32.65	185.67	25.06	<i>F</i> =.186
Pre-test adjusted BMI (<i>Mean/SD</i>)	131.58	21.70	132.91	15.98	<i>F</i> =.054

Note: Adjusted BMI: BMI adjusted for age and gender

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Table 2. Baseline comparisons between children in the EF-training condition and the care-as-usual condition

	EF-training (<i>n</i> =22)		Care-as-usual (<i>n</i> =22)		<i>F</i>
	<i>Mean</i>	<i>SD</i>	<i>Mean</i>	<i>SD</i>	
BRIEF childcare worker					
Inhibition	7.22	6.22	7.16	4.90	.001
WM	6.57	4.62	7.42	4.48	.377
Metacog	26.82	17.85	27.87	14.42	.045
Total	44.63	27.25	46.65	23.19	.068
Corsi Block-Tapping Task					
FW	4.86	.94	5.21	1.23	1.046
BW	8.55	1.97	8.89	2.77	.221
STOP Task					
SSRT	271.26	79.33	250.04	60.73	.902

Note: BRIEF = Behavior Rating Inventory of Executive Functioning, WM = Working Memory, Metacog = Meta-cognition, FW = forwards, BW = backwards, SSRT= stop signal reaction time (msec).

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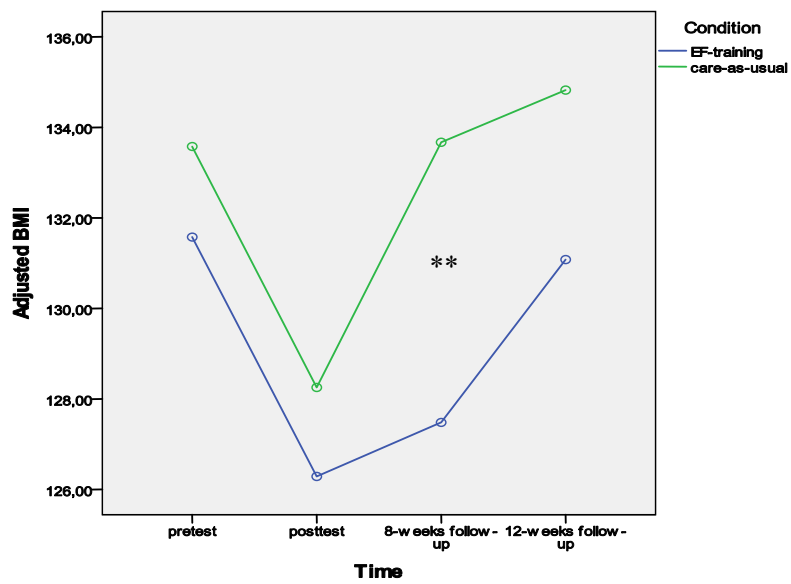
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837 Figure 2. Weight control effects for overweight children during and after intensive

838 treatment with or without adding an EF-training

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Note: Adjusted BMI: BMI adjusted for age and gender; ** $p \leq .01$

Table 3. Scores at pre-test, post-test for children in the EF-training condition and the case-as-usual condition.

	Pretest				Posttest				Time	η^2	Time by Group	η^2
	EF-training		Care-as-usual		EF-training		Care-as-usual					
	<i>M</i>	<i>SD</i>	<i>M</i>	<i>SD</i>	<i>M</i>	<i>SD</i>	<i>M</i>	<i>SD</i>				
CW-outcomes												
B-Inhibition	7.22	6.22	7.16	4.90	6.82	5.49	8.00	6.03	$F(1,41)=.07$.00	$F(1,41)=.57$.01
B-WM	6.57	4.62	7.42	4.48	6.25	5.29	9.62	3.65	$F(1,41)=2.51$.06	$F(1,41)=4.54^*$.10
B-Metacog	26.82	17.85	27.87	14.42	27.26	19.47	39.99	15.84	$F(1,41)=6.45^*$.02	$F(1,41)=5.57^*$.12
B-Total	44.63	27.25	46.65	23.19	45.43	27.99	61.72	29.86	$F(1,41)=4.12^*$.09	$F(1,41)=3.33^2$.08
Child-outcomes												
Corsi-FW	8.62	2.38	8.71	2.78	10.19	2.21	8.29	2.95	$F(1,40)=1.88$.04	$F(1,40)=5.75^*$.13
Corsi-BW	8.62	1.99	8.81	2.71	9.71	1.71	8.62	2.46	$F(1,40)=2.58$.06	$F(1,40)=5.22^*$.12
SSRT	261.42	55.03	257.95	68.87	268.33	105.33	269.43	67.69	$F(1,40)=.40$.01	$F(1,40)=.02$.00

Note: CW-outcomes: Childcare worker outcomes, B-Inhibition= Inhibition scale of the Behavior Rating Inventory of Executive Functioning, B-WM= Working Memory scale of the Behavior Rating Inventory of Executive Functioning, B-Metacog= Meta-cognition index of the Behavior Rating Inventory of Executive Functioning , B-Total= total scale of the Behavior Rating Inventory of Executive Functioning, Corsi-FW = Corsi Block Tapping Task forwards, Corsi-BW= Corsi Block Tapping Task backwards, SSRT= stop signal reaction time.

* $p \leq .05$, ** $p \leq .01$, *** $p \leq .001$; ²trend $p = .075$

Table 4 Adjusted BMI at pretest, posttest and follow up for children in the EF-training condition and the care-as-usual condition.

	Pretest		Posttest		8-weeks follow up		12-weeks follow up		Time	η^2	Time by	η^2	Time by condition contrasts							
	EF- training	Care-as -usual	EF- training	Care-as -usual	EF- training	Care-as -usual	EF- training	Care-as -usual	F(1,38)		condition		F(1,40)		Pre- post	η^2	Post- FU1	η^2	Post- FU2	η^2
	<i>M(SD)</i>	<i>M(SD)</i>	<i>M(SD)</i>	<i>M(SD)</i>	<i>M(SD)</i>	<i>M(SD)</i>	<i>M(SD)</i>	<i>M(SD)</i>												
Adjusted BMI	131.58 (21.70)	132.91 (15.98)	126.29 (20.36)	127.69 (15.85)	127.48 (20.30)	132.73 (15.87)	131.08 (20.19)	134.11 (17.39)	30.13***	.70	3.56*	.22	.00	.00	7.75**	.16	.54	.01		

Note: BMI adjusted for age and gender; FU1= 8-weeks follow up, FU2= 12-weeks follow up. * $p \leq .05$, ** $p \leq .01$, *** $p \leq .001$

