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Modulation of motor cortex activity when observing rewarding and punishing actions

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31 **Abstract:**

32

33 Interpreting others' actions is essential for understanding the intentions and goals in
34 social interactions. Activity in the motor cortex is evoked when we see another person
35 performing actions, which can also be influenced by the intentions and context of the
36 observed action. No study has directly explored the influence of reward and punishment
37 on motor cortex activity when observing others' actions, which is likely to have
38 substantial relevance in different social contexts. In this experiment, EEG was recorded
39 while participants watched movie clips of a person performing actions that led to a
40 monetary reward, loss or no change for the observer. Using the EEG mu rhythm as an
41 index of motor resonance, our results demonstrate that observation of rewarding actions
42 produce significantly greater motor cortex activity than punishing or neutral actions, with
43 punishing actions producing greater activity than neutral ones. In addition, the dynamic
44 change in the mu rhythm over sensorimotor cortex is modulated by reward and
45 punishment, with punishing actions producing a prolonged suppression. These findings
46 demonstrate that the associated reward value of an observed action may be crucial in
47 determining the strength of the representation of the action in the observer's brain.
48 Consequently, reward and punishment is likely to drive observational learning through
49 changes in the action observation network, and may also influence how we interpret,
50 understand, engage in and empathize with others' actions in social interaction.

51

52 **Keywords:** Action observation, reward, punishment, observational learning, mirror neurons

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59 **1. Introduction**

60

61 A fundamental skill required for successful social interaction and social learning is the ability to
62 accurately understand the meaning and intentions of others' behaviour. Albert Bandura's Bobo doll
63 experiments demonstrated that children adopt social behaviours through observation. He showed that
64 children were more likely to imitate others' aggressive behaviour if it was subsequently rewarded, and
65 conversely, were more deterred from imitating the observed behaviour if it was associated with
66 punishment (Bandura, 1977). His highly influential social learning theory proposed that behaviour is
67 shaped during childhood development through positive or negative reinforcement of previously
68 learned imitative actions. The reinforcers of observational learning are determined by the associations
69 made between specific action contents, and the corresponding reward or punishment values. More
70 recently, neuroscientific work has lent support to this assumption. The discovery of an apparently
71 functionally-specific group of "mirror" neurons that become activated when performing goal-directed
72 actions, but also fire when observing others perform similar actions, has fuelled simulation theories of
73 social interaction. Simulation theories such as the direct-matching hypothesis (Rizzolatti et al., 2001),
74 the shared-manifold hypothesis (Gallese, 2003) and the shared circuits hypothesis (Keysers &
75 Gazzola, 2006) generally propose that observed actions are translated, or mirrored, onto the observer's
76 motor cortex, and this simulated motor activity is in turn associated with imitation and consequently
77 social learning. Another main premise of these models is that the simulated, or shared, motor activity
78 seen in the observers' brain while observing others' actions is responsible for the interpretation of
79 others' goals (Blakemore & Frith, 2005). Motor-related shared neural representations during action
80 observation, also referred to as motor resonance, have been thought to form the neural basis of higher
81 level social cognition, including perspective-taking, theory of mind and empathy (Mitchell, 2009).

82

83 The original work on mirror neurons was limited to neural recordings performed in non-human
84 primates (Gallese et al., 1996; Rizzolatti et al., 1996), although Mukamel et al. (2010) used single-cell
85 recordings to provide evidence for the existence of mirror neurons in humans. There are also now
86 numerous functional neuroimaging studies that have shown the selective involvement of a fronto-

87 parietal network during action observation, including primary motor and premotor cortices, which
88 could be homologous to a mirror neuron system in humans (Gallese, et al., 2004). In EEG, the mu
89 rhythm is an oscillatory activity in the alpha frequency band (8-13Hz) that is specifically associated
90 with motor actions, and is thought to reflect event-related desynchronisation of sensorimotor cortex
91 (Hari, 2006). The suppression of the mu rhythm over sensorimotor areas can be evoked by both the
92 execution and observation of goal-directed actions (Hari, 2006), and therefore it seems to provide a
93 reliable electrophysiological correlate of mirror neuron related activity (Oberman et al., 2005; 2012).
94 Consistent with this assumption, in a previous study that simultaneously recorded EEG and fMRI, the
95 authors found a tight correlation between activity in the proposed human mirror neuron system and the
96 EEG mu suppression during action execution and observation (Arnstein et al., 2011). Moreover, a
97 MEG study from Kilner et al. (2006) found that the mu rhythm can also be modulated by the social
98 relevance of the observed action, and particularly by the relationship between the observer and the
99 performer. Mu rhythm suppression has been found to correlate with measures of empathy, and
100 particularly on the dimensions of perspective-taking and personal distress (Woodruff et al., 2011a,
101 2011b). Other studies have shown that the perspective from which the action is viewed, can influence
102 motor resonance (Libby et al., 2009), with actions seen from a 1st-person perspective leading to greater
103 action identification. This is thought to be due to the reason that actions seen from an egocentric 1st-
104 person, as opposed to an allocentric 3rd-person perspective, may be easier to translate onto the motor
105 cortex of the observer (Jeannerod & Anquetil, 2008). Hence, accumulating evidence shows that
106 activity in the observer's motor cortex can be modulated by a variety of social contexts and factors. In
107 this framework, it remains to be established as to whether the perceived reward or punishment value of
108 the observed action is also able to trigger differential motor resonance effects or not, as reflected by
109 systematic changes in the power of the mu suppression.

110

111 It is known that the coding of reward is crucially involved in action selection and is therefore also
112 intrinsic to goal-directed behaviour (Schultz, 2000). Activity in the mirror neuron system and the
113 action observation network has been shown to be specific only to observed actions that are goal-
114 directed (Rizzolatti et al., 1996). Therefore it follows that reward and punishment are likely to have

115 reciprocal interaction effects with the neural activity associated with action observation, and
116 consequently may also influence the degree to which action understanding and observational learning
117 take place, as Bandura already pointed out in his pioneering behavioural experiments. However, it is
118 not clear as to how reward or punishment is associated with actions and the outcomes of others'
119 actions, and how this may eventually affect motor activity induced while observing others in a social
120 setting. To the best of our knowledge, there has not yet been systematic experimental work carried out
121 that has investigated if and how reward or punishment could modulate neural activity in the action
122 observation network.

123

124 The propensity for social context to cause differences in motor activity in the mirror system, and the
125 corresponding mu suppression, is still debated. It is also still unclear as to what specific functional
126 relevance this neural activity has on social cognitive processes, and how reward or punishment may
127 interact with processing others' actions. The primary aim of this study was to compare the mu rhythm
128 suppression during observation of actions that are rewarding, punishing or neutral for the observer. As
129 a secondary aim, we also wanted to explore the effect of perspective on the mu suppression. Finally
130 we were also interested in better characterizing the temporal dynamic associated with changes in the
131 mu rhythm, in relation to the different processing stages during action observation, given that previous
132 EEG studies have typically overlooked the temporal component of the mu suppression. It was
133 hypothesised that when rewards are associated with observed actions, this would induce greater mu
134 rhythm suppression as opposed to punishing and neutral actions. It was also predicted that actions seen
135 from a 1st-person perspective would lead to greater mu suppression, as compared to actions observed
136 from a 3rd-person perspective.

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138

139 **2. Methods**

140

141 **2.1. Participants**

142

143 17 right-hand dominant (according to the Edinburgh Handedness Inventory (Oldfield, 1971)) females
144 were recruited from the Psychology Departments of Ghent University, Belgium. The mean age of
145 participants was 20.3 years (SD = 1.99) and individuals with a history of neurological damage or
146 psychiatric illness were excluded. Informed consent was acquired from all subjects before the
147 experimental procedure began.

148

149 **2.2. Design**

150

151 Participants sat with their hands positioned on a table and head movements were restrained by a chin
152 rest placed in front of a computer screen. The stimuli consisted of a set of videos, with each video
153 showing a single action performed by a person seen from either an egocentric (1st-person) or
154 allocentric (3rd-person) perspective. All videos depicted 5 bowls on a table; three colored bowls
155 arranged across the middle of the table, and two colorless bowls, one in front of each performer. The
156 three colored bowls were arranged on the table along one plane and were all approximately equidistant
157 from the performers, and from each other. In each video, a single coin was transferred from the
158 performer's bowl to one of the three colored bowls in the middle of the table. Each of the three colored
159 bowls were labeled (using an inset superimposed on the video) with a "+", "-", and "0" sign, referring
160 to rewarding, punishing and neutral actions, respectively. This therefore resulted in a 2 (1st and 3rd
161 person perspective) x 3 (reward, punishment or neutral) factorial design. To control for unwanted
162 spatial effects, 6 different spatial configurations of the superimposed "+", "-", and "0" signs were
163 created using the same original videos. This manipulation was introduced to cancel out systematic
164 differences in the kinematics across the three conditions, i.e. transferring coins to the center or left or
165 right-side bowls, with an equal probability across the three conditions. Participants were randomly
166 assigned to one of these 6 video sets, such that spatial configuration effects were neutralized across
167 participants. All videos were filmed from the same perspective, and actions were performed either by
168 the person sitting behind the camera (1st-person), or by the person facing the camera (3rd-person).
169 When actions were performed in the egocentric perspective, only the hands and arms of the performer
170 were visible.

171

172 In total, 120 videos were presented in each testing session in a pseudorandom order in 6 blocks, with a
173 single video constituting a single trial, and a single action. This made 20 trials per condition in each
174 testing session. The main trials were preceded by a block of 8 practice trials. A fixation cross was
175 presented for one second before each video. Each video lasted for 11 sec, with movement onset at 1
176 sec after the start of the video. The performer in the video started the movement from the same resting
177 position that the participant was instructed to be in, and returned to this position at movement offset.
178 The movement lasted for 6 sec and following movement offset, the video continued for a further 4 sec,
179 with the performer staying in the resting position.

180

181

182 **2.3. Procedure**

183

184 Participants watched the video clips, which depicted two people sitting at a table and transferring coins
185 from one bowl to one of three other bowls. Participants were told that each time an object was
186 transferred to the “+” bowl, they would win one euro (rewarding), when an object was put into the “-”
187 bowl, they would lose one euro (punishing), and when transferred to the “0” bowl there was no change
188 (neutral). Participants were asked to only sit still, watch and count the number of coins transferred to
189 each bowl and consequently the amount of money they would win or lose.

190

191 Participants were told that they started with €20 “in the bank”, which would fluctuate according to the
192 number of rewarding and punishing actions observed, so they would have to keep count of their
193 money “in the bank”, and would be given the final counted amount at the end of the experiment. After
194 each block of trials, participants were asked to report the amount of money “in the bank”. This was
195 done to ascertain a balanced level of attention across the three conditions and allowed for the
196 possibility of excluding data for any trials in which the participant did not pay close enough attention
197 to report the correct amount. However, as it turned out, all participants always reported the correct
198 amount of money, suggesting that they correctly paid attention to the individual videos, regardless of

199 the actual reward-related condition. Participants were also asked to rate the previously seen action for
200 subjective pleasantness, arousal and how easy it was to pay attention according to a visual analogue
201 scale from 0 to 10 (except for the pleasantness rating, which was rated from -10 to 10, with -10 being
202 “very unpleasant” and 10 being “very pleasant”). This was done after each of the 6 blocks of trials,
203 and consequently, all action conditions were rated by the end of the testing session. Only the lowest
204 and highest scores were indicated on the scale, with a dotted line between the two on which
205 participants were asked to mark their response with a cross.

206

207 According to visual inspection of the video stimuli, a critical 3 sec epoch during the observed action
208 was selected for the mu rhythm analysis, in which the reward-related conditions (reward, punishment
209 or neutral) differ i.e. when the action begins to diverge to one of the rewarding, punishing or neutral
210 bowls. The video stimuli did not differ across conditions before this 3 sec time window, nor after.

211

212 **2.4. EEG data acquisition**

213

214 EEG was recorded from 64 channels with a BioSemi ActiveTwo system at a sampling rate of 2,048
215 Hz but was later down sampled offline to 512 Hz. The 64 electrode positions were distributed over the
216 scalp according to the international 10-20 EEG system. An additional electrode was placed above the
217 right eye in line with the pupil (vertical EOG), plus one placed at the outer canthus (horizontal EOG).
218 According to the BioSemi criteria, the predetermined electrode locations CMS and DRL served as the
219 reference and ground electrodes, respectively. Following acquisition, the raw data were processed
220 offline with BrainVision Analyzer 2 (Brain Products GmbH). Firstly, the data was visually inspected
221 and channels that were particularly noisy were identified, removed and later topographically
222 interpolated. The data was then re-referenced to all electrodes and submitted to a band-pass filter of
223 0.1 Hz to 30 Hz, with a 50 Hz notch filter applied. Ocular correction was performed with the vertical
224 EOG.

225

226 **2.5. Data analysis**

227

228 **2.5.1 Behavioural data**

229 Each score on the visual analogue scale for the subjective rating of arousal and attention was
230 calculated by measuring the distance from the start of the dotted line to the point at which participants
231 had marked a cross. For the subjective rating of pleasantness, a mid-point on the scale was measured
232 and taken as the zero point, with responses falling to the left of the zero point representing negative
233 scores, and those to the right being positive. For each question, the mean score was taken for all
234 rewarding, punishing and neutral actions. Paired t-tests were later performed between scores on all
235 conditions for each question. A correlation analysis was also performed to investigate whether scores
236 of pleasantness, arousal and attention related independently to the mu suppression.

237

238 **2.5.2. Mu rhythm**

239 The mu suppression was extracted from the central electrodes overlaying sensorimotor cortex;
240 electrode positions C3, C1, Cz, C2, and C4. Baseline and action observation epochs were first
241 determined. For the baseline for mu extraction, the 1 sec epoch preceding movement onset (after video
242 onset) was used as the baseline for mu extraction (as Schuch et al., 2010). The 3 sec action observation
243 critical epoch was segmented into 1 sec segments, and further analysis was done with these 1 sec
244 segments. EEG artifacts were identified and rejected if they exceeded $\pm 100 \mu\text{V}$. A Fast Fourier
245 Transform (FFT) with a 10 % Hamming window was performed separately on each of the 1 sec
246 baseline and action observation epochs and an average was then taken for each condition, and
247 consequently powers in the alpha frequency band (8-13Hz) were exported. To calculate the mu
248 suppression, and control for individual variability in alpha power, a natural log transform (\ln) was
249 calculated for the ratio of the power of the alpha band of the action observation condition over the
250 baseline condition epochs accordingly (Oberman et al., 2005; Raymaekers et al., 2009).

251

252 Repeated measures ANOVA was used with the exported log ratio mu rhythm suppression values with
253 the reward-related conditions (rewarding, punishing, neutral) and electrode position (C1, C2, C3, C4,
254 Cz) as within-subject factors. Pair-wise comparisons were conducted for significant main effects (fig.

255 1b). The appropriate assumptions for performing an ANOVA had been checked for. Whole-head
256 topographical plots were acquired with the mapping function of BrainVision Analyzer 2 by selecting
257 the 8-13Hz frequency band for all electrodes following an FFT of the same 3 sec segment used for the
258 mu rhythm extraction. These were then averaged across subjects for the reward-related conditions.

259

260 **2.5.3. Time-course analysis of mu power**

261 To calculate the dynamic change in mu power (8-13 Hz) during the course of the video, averages were
262 taken for each consecutive 500 msec segment from the start to the end of the video. This was done for
263 each reward-related condition (see Fig. 2). A post-hoc analysis was done on the 3 sec epoch used for
264 the mu rhythm analysis, which was split into three 1 sec epochs. Paired t-tests were performed to
265 compare differences between each condition in each of the three 1 sec epochs.

266

267

268 **3. Results**

269

270 **3.1. Behavioral data**

271

272 Results show that the subjective ratings of pleasantness are congruent to the reward-related conditions
273 (Fig. 1a), with rewarding actions being judged as the most pleasant, and punishing the least. Paired
274 comparisons reveal significant differences between pleasantness ratings of rewarding and punishing
275 ($t(16)=4.59, p<0.001$), rewarding and neutral ($t(16)=5.18, p<0.001$), and punishing and neutral actions
276 ($t(16)=-2.38, p=0.03$). It is also evident from subjective ratings of arousal that rewarding actions are
277 more arousing than neutral actions ($t(16)=3.23, p=0.005$). Importantly, the ratings demonstrate that
278 differences between reward-related conditions were not accounted for by differences in attention,
279 showing no significant differences between conditions. It is also worth noting that the correlation
280 analyses revealed no significant correlations between behavioral ratings of pleasantness, arousal nor
281 attention with the mu suppression.

282

283 3.2. Mu rhythm

284

285 As recent research has shown that beta band activity over sensorimotor cortex may also be
286 dynamically modulated during action observation (Press et al., 2011), analyses were repeated for the
287 beta band (15-25Hz) to compare the power across conditions. However, no significant experimental
288 effects were found in the beta band and therefore, the rest of the article refers only to EEG data in the
289 alpha frequency band. Non-significant effects were found for perspective conditions ($F(1,16)=1.50$,
290 $p=0.24$), and for the interaction between reward-related conditions and perspective ($F(2,15)=0.21$,
291 $p=0.82$). Therefore, perspective conditions were not included in any of the further analyses as
292 egocentric and allocentric perspective conditions were pooled together.

293

294 Significant main effects for the EEG mu rhythm suppression were found among the three reward-
295 related conditions ($F(2,15)=3.74$, $p=0.05$) and six electrodes ($F(4,13)=4.22$, $p=0.02$). Pairwise
296 comparisons between reward-related conditions showed significant differences between rewarding and
297 punishing actions ($t(16)=-2.15$, $p=0.05$) and rewarding and neutral actions ($t(16)=-2.36$, $p=0.03$),
298 however there was no significant difference between punishing and neutral actions ($t(16)=-1.42$,
299 $p=0.17$). Figure 1b shows the mu rhythm suppression for each reward-related condition (rewarding,
300 punishing, and neutral) pooled over the electrodes covering sensorimotor cortex, and over perspective
301 conditions (egocentric and allocentric). The largest mu suppression was found for rewarding and the
302 smallest for neutral actions (Fig. 1b). Topographical maps of the mu power (Fig. 1b) including all 64
303 channels demonstrated substantial suppression predominantly over medial frontal and sensorimotor
304 areas, and most importantly, with little overlap between the two.

305

306

307 --- Insert Figure 1a) and 1b) about here ---

308

309

310 3.3. Time course analysis of mu power

311

312 Remarkably, a closer look at the time course of the mu power effect (Fig. 2) revealed a significant
313 suppression at video onset, followed by a second significant suppression around 3.5 to 4 sec after
314 video onset. In addition to this, the time-plot revealed an asymmetry between reward conditions
315 following this second suppression. Post-hoc comparisons confirmed this asymmetry whereby, in the
316 first second of the critical 3 sec epoch, rewarding actions were significantly different from neutral
317 ($t(16)=2.65$, $p=0.02$) and punishing actions ($t(16)=2.61$, $p=0.02$), whereas no significant difference was
318 found between punishing and neutral actions ($t(16)=0.66$, $p=0.52$). The difference between punishing
319 and neutral actions only reached significance during the third second of this critical epoch ($t(16)=2.12$,
320 $p=0.05$), and therefore demonstrating a later and more prolonged mu suppression for punishing than
321 rewarding actions.

322

323

324 --- Insert Figure 2 about here ---

325

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327

328 **4. Discussion**

329

330 In the present study, we sought to examine the effect of reward and punishment on brain activity in an
331 action-observation paradigm. We predicted that mu-rhythm suppression would be greater in the
332 rewarding, relative to the punishing and neutral conditions, and that the observed effect would be
333 larger in the 1st, as opposed to 3rd-person condition. In partial support of our predictions, the main
334 finding of our study reveals reward-related modulation of motor cortex activity, as indexed by
335 systematic changes in the mu rhythm suppression, when seeing others' actions. When one observes
336 others' actions, it appears that there is greater motor resonance if the consequence of the action is
337 associated with a reward for the observer, whereas actions associated with punishment induced less
338 motor resonance. Importantly, observed actions that did not lead to a reward or punishment, i.e. were

339 embedded in a neutral context, induced comparatively the least motor cortex activity. When looking at
340 the distribution of the mu suppression over the whole scalp (topographic mapping), it appears that the
341 reward-related effects were primarily driven by suppression over sensorimotor areas, as opposed to
342 more posterior occipital areas. Hence this analysis rules out the possibility that the effects reported in
343 this study were somehow confounded by systematic changes in attention-based posterior alpha. Our
344 behavioural results also provide further evidence that the effect of the reward manipulation was not
345 driven by attentional differences. Considering the lack of modulation by reward-related conditions
346 found in the beta frequency band, our data seems to show that this effect is specific to mu rhythm in
347 the alpha band. We also hypothesised that a difference in perspective-taking (egocentric vs.
348 allocentric) would have an influence on the expression of the mu suppression; however our results did
349 not confirm this prediction, in contrast to some previous studies (Libby et al., 2009). Interestingly, our
350 analysis of the temporal dynamic of the mu rhythm revealed a second suppression component arising
351 as a result of the reward-related condition effect. This extends earlier mu suppression studies that have
352 primarily looked at the (pooled) average mu suppression over the whole period of the observed action,
353 and therefore reported only an overall single suppression component during action observation, which
354 may have potentially blurred some important differences in the time-course of the mu suppression.
355 Finally, we show that mu suppression occurred later for punishing actions than rewarding ones. Even
356 though punishing actions induced greater mirror motor activity than neutral actions, it appears that
357 punishing actions are associated with a somewhat delayed and prolonged mirror motor response,
358 which would have been missed if the temporal dynamic changes in the mu power had not been taken
359 into consideration.

360

361 The outcome of our study has implications for a broad range of themes in social cognition and also
362 raises a number of important methodological and theoretical considerations for future research in this
363 area. As already pointed out here above, the influence of reward and punishment on observational
364 learning has been primarily investigated at the behavioural level; hence our study is the first providing
365 direct neuroscientific evidence for this link. According to our results, action understanding, imitation

366 and observational learning may be driven by the associations made between rewards, punishments and
367 the observed actions, due to differences in motor resonance in the motor cortex.

368

369 In light of these results, we suggest that some previous findings demonstrating contextual differences
370 in mu rhythm suppression and mirror neuron-related activity could in fact have arisen because of
371 uncontrolled differences in the reward value associated with the observed actions across the different
372 contexts and/or experimental conditions. In the social domain, findings from studies comparing social
373 and non-social stimuli (Pineda & Hecht, 2009), with the aim of deconstructing the social relevance of
374 the mirror motor system, could be accounted for by the intrinsic reward that may be associated with
375 social stimuli and social interaction, as opposed to stimuli devoid of any social meaning or value that
376 may be inherently less rewarding. In other words, the social interaction in itself may be rewarding to
377 the observer, as suggested by some authors (Krach et al., 2010). This may also be relevant to studies
378 which have found that the social relationship between the observer and the performer may influence
379 mirror neuron activity (Liew et al., 2010). The reward value attributed to the observed action can
380 depend upon the relationship between confederates in a social interaction, such as that demonstrated
381 by in-group vs. out-group differences (Gutsell & Inzlicht, in press), and competitive vs. cooperative
382 scenarios (Koban et al., 2010). Different social contexts will induce different degrees of reward
383 associated with others' actions, which could depend upon a wide variety of personal and interpersonal
384 motivational factors. The magnitude of mu suppression during the observation of actions is also
385 enhanced when the observed action inflicts pain on the performer (Perry et al., 2010a), which
386 incidentally could be comparable to the effect of punishment in our study, when compared to neutral
387 actions. The magnitude of reward and punishment that the observer associates with the observed
388 action, or the consequences of the observed action, could modulate the degree to which one eventually
389 empathises with others and shares others' intentions or concerns.

390

391 It has been proposed that selective dysfunctions of the mirror system may play a key role in the
392 genesis and maintenance of pathological deficits in social cognition (Buccino et al., 2008), particularly
393 in autism spectrum disorders (ASDs) (Williams et al., 2001), Williams Syndrome (Tager-Flusberg,

394 2000) and schizophrenia (Singh et al., 2011; McCormick et al., 2012). Arbib & Mundhenk (2005)
395 extended this proposal and suggested that dysfunctions in the mirror neuron system may also
396 contribute to deficits in self-monitoring in schizophrenia. Pathological conditions that express deficits
397 in social cognition and social functioning have also been found to have underlying abnormalities in
398 reward-processing, including ASDs (Dichter et al., 2012; Scott-Van Zeeland et al., 2010) and
399 schizophrenia (Gold et al., 2008). It may be the case that in such clinical populations, patients may
400 have abnormal experiences of reward and punishment from social stimuli, caused by an underlying
401 general breakdown in reward-processing. Alternatively it may be the converse in that cognitive
402 deficits in processing social stimuli, or a lack of preference for social stimuli, may have a deleterious
403 effect on the ability to experience social stimuli as being “intrinsically” rewarding. Either way, our
404 results could help better explain some earlier discrepant findings in the literature comparing mu
405 rhythm suppression in clinical and non-clinical populations (Raymaekers et al., 2009). In light of this,
406 deficits in reward processing may therefore play a causal role in the development and maintenance of
407 pathological deficits in social cognition.

408

409 Motor acts are dynamic processes during which the contextual online changes in the observer’s motor
410 cortex activity are likely to reflect the associated contextual changes during the dynamics of the
411 observed action. Schuch and colleagues (2010) were among the first to look at the dynamic changes in
412 mu suppression over the time course of the whole observed action. From their results, it appears that
413 there is only one substantial suppression of the mu rhythm, occurring at the time in which the action-
414 related object is presented on screen. This initial suppression may be an index of an anticipatory motor
415 response to the forthcoming action, as possibly also reflected in our results, because expectation or
416 anticipation of the forthcoming action was high due to the regular timing of stimulus onset on each
417 trial. The second suppression seen in the dynamic change in the mu rhythm power in our results
418 provides evidence for an additional and independent mu suppression component, evoked by the
419 outcome of the action, which may be specifically associated to the context of the action.

420

421 The apparent delayed mu suppression seen for punishing actions is a new and puzzling finding. This
422 effect could be a demonstration of some kind of aversion to the negative consequences of others'
423 actions, in which there may be an active inhibition of motor cortex somehow causing a slower return
424 to baseline activity. This finding is in line with the early work of Bandura whereby the association of
425 punishment with the observed action led to discouragement of imitative behaviour. Therefore, this
426 result provides evidence for the dynamic interplay between action observation and motivational
427 drives, suggesting an online modulation of action understanding depending on the specific reward-
428 related factors involved and perceived in the social setting at a given moment in time. Hence, our
429 findings show that mirror motor activity during action observation does not correspond only to a
430 single or unique motor resonance process, but differential effects in the neurophysiological time-
431 course and expression may be revealed depending on situational changes in affective or motivational
432 factors, suggesting a more fine-grained temporal dynamic for the mu suppression than previously
433 thought. These findings also highlights the importance of looking at the online dynamic changes in
434 brain activity over time, as a more ecologically valid approach to study social interaction, to gain more
435 insight into how our brains respond to the dynamic changes in our environment.

436

437

438 **5. Conclusion**

439

440 It is still debated as to what degree the mirroring motor system is engaged in action understanding.

441 The modulation of reward and punishment on the motor mirroring system adds further support to the
442 notion that the mirror system does actually contribute to the understanding of others' goals and
443 intentions. Furthermore, this also provides neuroscientific support to Bandura's original behavioural
444 experiments that already highlighted the central role of reward and punishment during observational
445 learning. Future studies therefore may need to consider the potential confounding effects of the
446 associated reward on the observed action in the experimental condition of interest, and the reward-
447 related associations of actions created by different contexts, whether it is social or not. Moreover,
448 further studies exploring the mu rhythm suppression in action observation should also seek to

449 dissociate the dynamic changes in neural activity when making inferences about social interaction,
450 which reflect the dynamic changes in the environment that occur in everyday social interaction. In
451 light of our new results, these differential “simulated” motor effects may stem from fundamental
452 situational differences in the processing of reward or punishment, or the perceived reward-value
453 attributed to others’ actions, rather than ‘social’ processing per se or motor simulation alone.
454 Psychosocial interventions that rely on imitative and observational learning may need to consider
455 whether an underlying deficit in the processing of reward could interfere with the ability to learn by
456 observation. In these cases, deficits in reward processing could also have a detrimental effect on the
457 capacity for motor simulation, and therefore also limit the capacity for social learning and the
458 development of social skills in childhood and also potentially persisting into adulthood. The reward or
459 punishment associated with others’ actions is likely to influence the capacity for understanding others’
460 actions, their goals and intentions, and therefore will also directly influence the potential for social
461 observational learning, or its selective breakdown, in specific pathological conditions such as ASDs
462 and schizophrenia.

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614 **Competing interests statement:**

615 The authors declare no competing financial interests.

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642 **Figure captions (color required):**

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644 **Figure 1:**

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646) **a) Subjective ratings for pleasantness, arousal and attention for rewarding, punishing and**

647 **neutral observed actions:** Ratings made along a continuous visual analogue scale, with pleasantness

648 rated from -10 to 10 and arousal and attention from 0 to 10. (*= $p < 0.05$; **= $p < 0.01$; n.s.= $p > 0.05$)

649

650) **b) EEG mu rhythm suppression (log ratio relative to the baseline) for rewarding, punishing and**

651 **neutral conditions:** pooled over electrodes C3, C1, Cz, C2 and C4 (*= $p < 0.05$) during the observation

652 of the action. Topographical maps of the distribution of mu power over the whole head (darker areas

653 represent a lower mu power) are also presented above the bar chart. (*= $p < 0.05$; n.s.= $p > 0.05$)

654

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656 **Figure 2:**

657

658 **Time course analysis of the EEG mu rhythm:** Plot showing the change in EEG mu power (8-13Hz),

659 averaged from electrodes over sensorimotor areas (C3, C1, Cz, C2 and C4) over the course of the

660 video stimulus, showing the different reward conditions. The critical 3s time window selected for the

661 mu rhythm suppression analysis (Fig. 1b)) is also highlighted here between 4s and 7s. Stills taken from

662 the video stimuli are presented along the time axis. The dotted vertical lines mark the 1 s epochs used

663 to compare the latency of the mu suppression between conditions.

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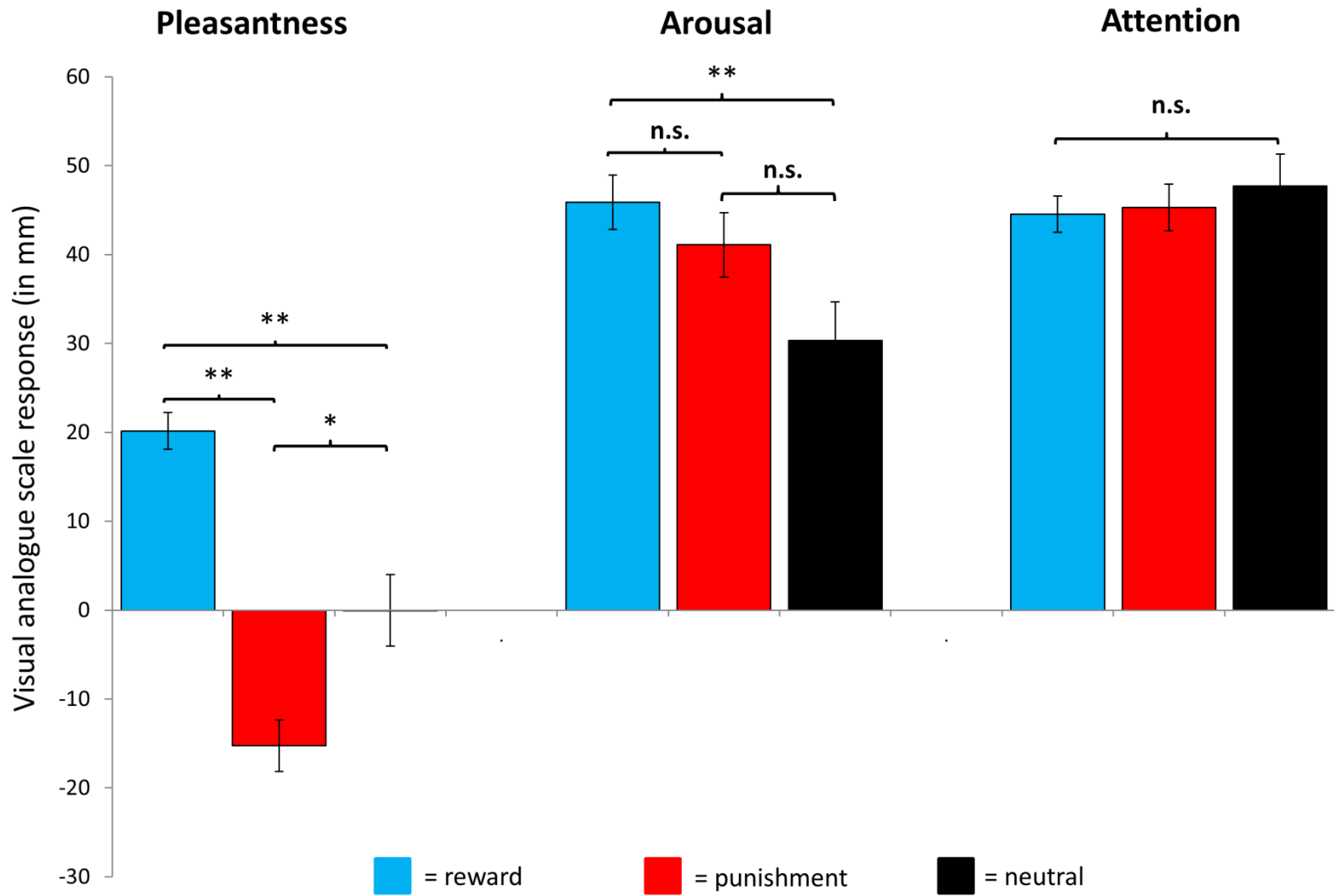


Figure 1a

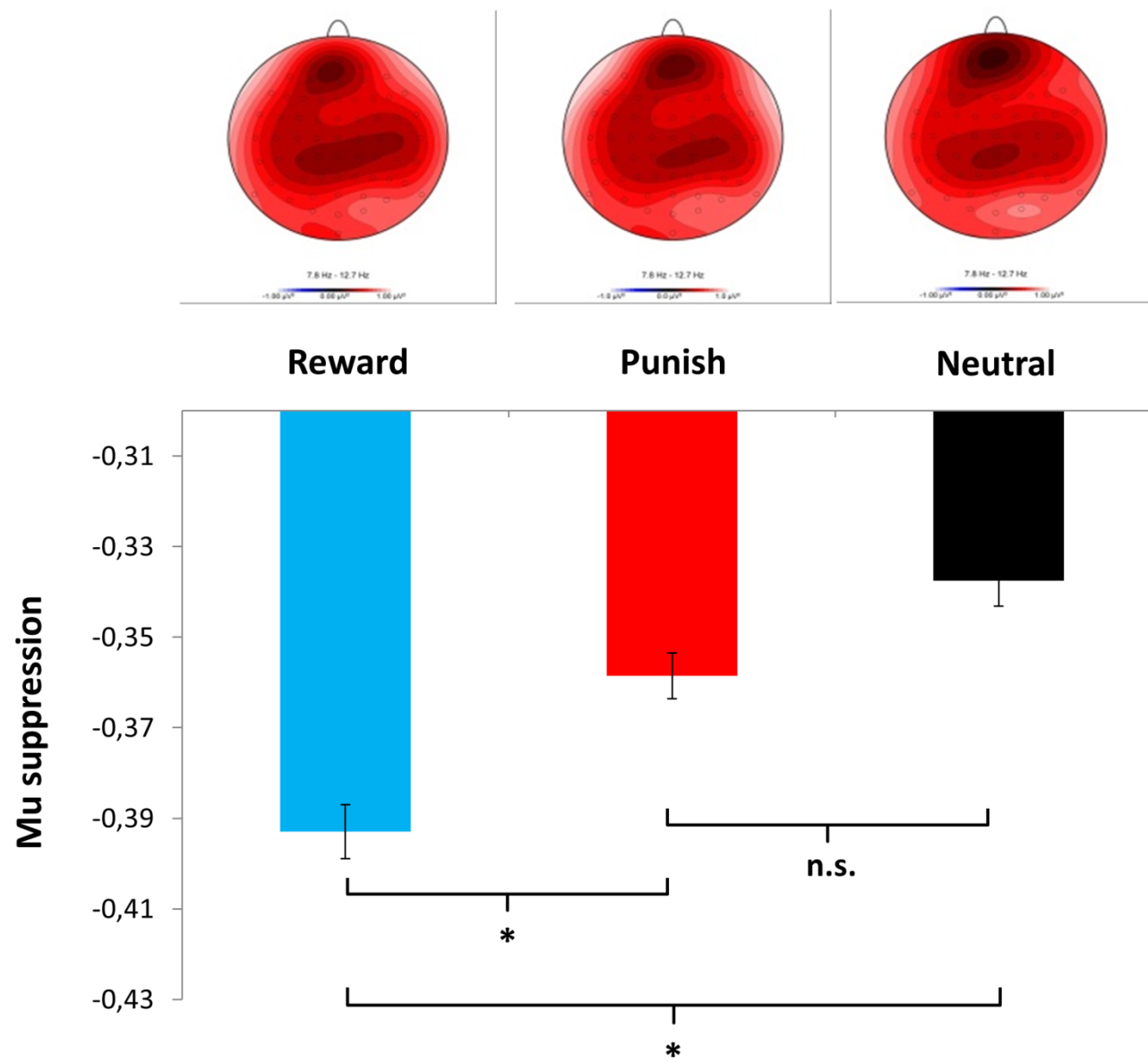


Figure 1b

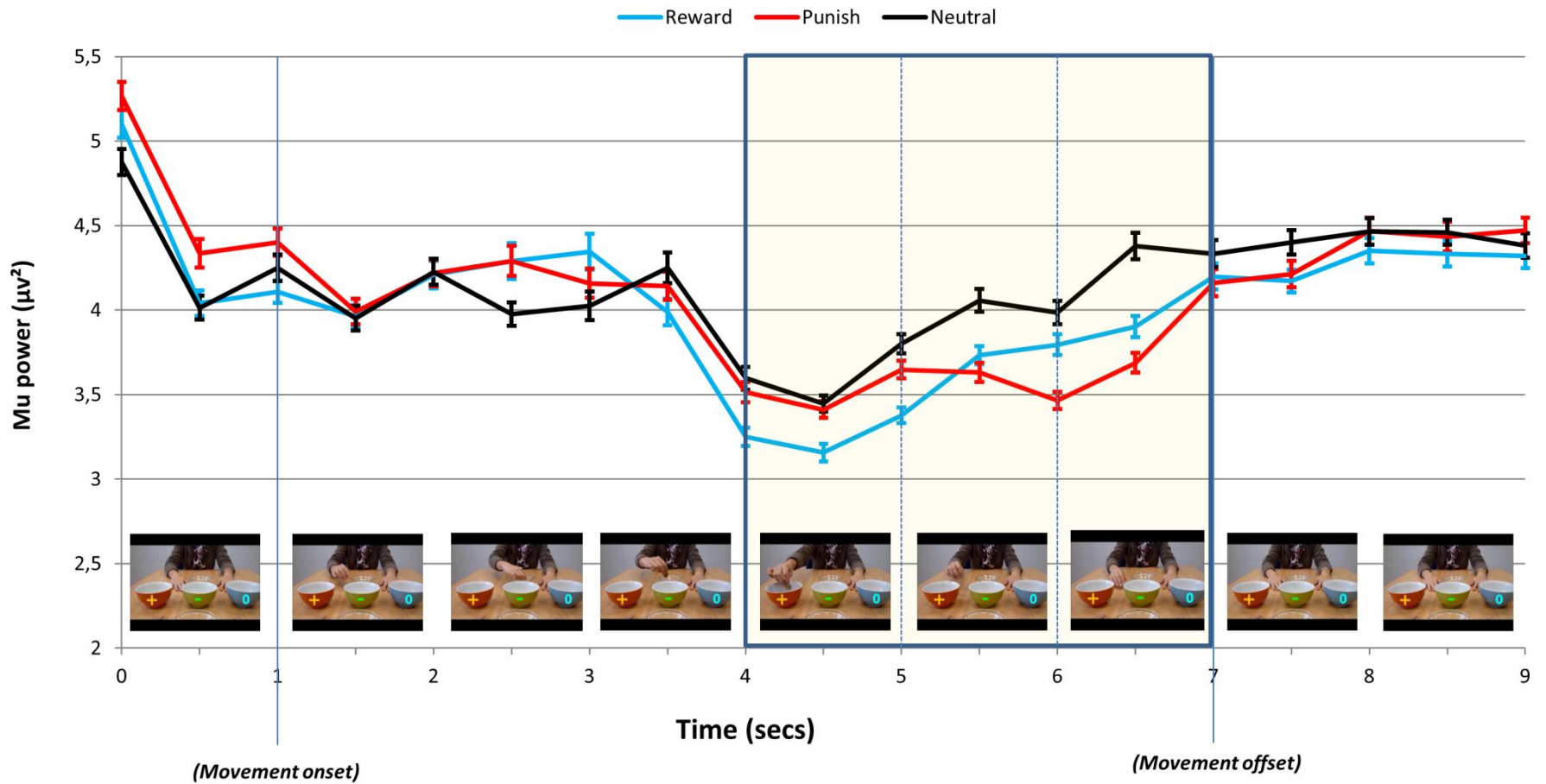


Figure 2