

## **A Dose-Range Study in Older Adults to Compare the Safety and Immunogenicity Profiles of MF59<sup>®</sup>-Adjuvanted and Non-Adjuvanted Seasonal Influenza Vaccines Following Intradermal and Intramuscular Administration**

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**Word count:** 4,548 (Abstract 241)

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**Abbreviations used in this paper:** AE, adverse event; TIV, trivalent influenza vaccine; aTIV, adjuvanted trivalent influenza vaccine; GMR, geometric mean ratio; GMT, geometric mean titer; HA, hemagglutinin; HI, hemagglutination inhibition; ID, intradermal; IM, intramuscular; PPS, per protocol set; SAE, serious adverse event; VAS, visual analogue scale; CHMP, Committee for Medicinal Products for Human Use; CBER, Center for Biologics Evaluation and Research

**Key words:** Influenza, Vaccine, Seasonal, Intradermal, Adjuvant, MF59

## **Abstract**

Strategies to optimize responses to seasonal influenza vaccination in older adults include the use of adjuvants, higher antigen doses, and intradermal delivery. In this study adults aged  $\geq 65$  years (N = 450) received a single dose of one of two non-adjuvanted trivalent influenza vaccine (TIV) formulations administered intradermally (ID), both containing 6  $\mu\text{g}$  of A/H1N1 and B, differing in A/H3N2 content (6  $\mu\text{g}$  or 12  $\mu\text{g}$ ), or a single dose of one of eight TIV formulations administered intramuscularly (IM) all containing 15  $\mu\text{g}$  of A/H1N1 and B, differing in A/H3N2 hemagglutinin (HA) content (15  $\mu\text{g}$  or 30  $\mu\text{g}$ ) and/or in MF59<sup>®</sup> adjuvant content (0%, 25%, 50% or 100% of the standard dose). This paper focuses on the comparisons of low-dose non-adjuvanted ID, full-dose non-adjuvanted IM and full-dose MF59-adjuvanted IM formulations (N=270). At Day 22 post-vaccination, at least one European licensure immunogenicity criterion was met by all groups against all three strains; however, all three criteria were met against all three vaccine strains by the low-dose non-adjuvanted ID and the full-dose MF59-adjuvanted IM groups only. The full-dose MF59-adjuvanted IM group elicited significantly higher immune response vs. the low-dose non-adjuvanted ID formulations for most comparisons. Overall, while MF59 adjuvantation increased pain at the site of injection, and intradermal delivery increased unsolicited adverse events, erythema, induration, and swelling at the injection site, both strategies of vaccination strongly enhanced the immunogenicity of seasonal influenza vaccine in older adults compared with conventional non-adjuvanted intramuscular delivery.

***Trial registration:*** [www.clinicaltrials.gov](http://www.clinicaltrials.gov): NCT00848848

## Introduction

Influenza is a highly contagious disease, which is associated with substantial morbidity and mortality, especially among vulnerable populations such as the elderly.<sup>1,2</sup> Preventative, annual vaccination continues to be the most effective strategy to control influenza, and is recommended for all individuals aged 65 years and above.<sup>3</sup> However, the clinical efficacy of conventional influenza vaccines decreases with advancing age, and drops from 70–90% in young adults to 17–53% in adults over 65 years of age.<sup>4</sup> This reduced immune response in older adults is mainly due to immunosenescence, i.e. an age-related decline in innate and adaptive immune function.<sup>5,6</sup> To compensate for the effects of immunosenescence, strategies to enhance the immunogenicity and consequent clinical efficacy of influenza vaccines in older adults are needed to alleviate the burden of influenza-related disease in this rapidly increasing section of the population.<sup>7</sup> Several approaches have been investigated, including the use of adjuvanted trivalent influenza vaccines (aTIVs),<sup>8-10</sup> increasing the dose of antigens,<sup>11-13</sup> intradermal (ID) vaccine delivery<sup>14,15</sup>, and virosomal subunit vaccines.<sup>16</sup>

MF59<sup>®</sup> (Novartis Vaccines and Diagnostics) is an oil-in-water emulsion originally developed by the Chiron Corporation as a vaccine adjuvant.<sup>17</sup> Previous clinical studies have demonstrated that MF59-adjuvanted influenza vaccines induce higher and broader (heterologous) antibody responses to immunization in healthy and high-risk populations, including the elderly, as compared with non-adjuvanted vaccines.<sup>8, 18-20</sup> The seasonal, MF59-adjuvanted influenza vaccine, Flud<sup>®</sup> (Novartis Vaccines and Diagnostics) has been approved and used in older adults in Europe since 1997. Over 85 million doses of Flud have been distributed to date worldwide, with no safety signals.<sup>21</sup>

ID delivery of influenza vaccine may be an alternative to conventional intramuscular (IM) injection because the skin is an important natural barrier and immune organ which contains large numbers of Langerhans cells and resident dermal dendritic cells which, as professional antigen-presenting cells, are able to take up antigens at the site of injection, migrate to the draining lymph nodes, and there trigger an effective immune response by activating antigen-specific T cells.<sup>22, 23</sup> Although preliminary results of ID vaccination against influenza are promising and have demonstrated good immunogenicity with lower antigen doses compared with IM administration,<sup>24-27</sup> the traditional ID technique for vaccine delivery (the Mantoux technique) is not easy to perform correctly and requires trained personnel and fine maneuvering of a needle into a 1–2 mm deep layer of tissue.<sup>28</sup> To overcome these limitations, new ID injection devices have been developed to deliver vaccine more reliably and conveniently, which employ short needles (1.5 mm in length),<sup>14, 29-32</sup> and microneedles (< 1.0 mm in length).<sup>32-35</sup> MicronJet<sup>®</sup> (NanoPass Technologies) is one such novel device using microneedles. A recent study in older adults by Hung *et al.* demonstrated that ID delivery (MicronJet) of a non-adjuvanted, trivalent influenza vaccine (TIV) containing a total of 3.0 and 9.0 µg hemagglutinin (HA) per strain surface antigen resulted in significantly higher rates of subjects achieving titers associated with seroprotection (i.e., hemagglutination inhibition (HI) ≥ 1:40) against A/H1N1 and A/H3N2 strains than IM delivery of TIV containing a total of 15 µg HA per strain.<sup>34</sup>

The present factorial design study evaluated the immunogenicity and safety of eight IM TIV formulations, all with 15 µg of A/H1N1, and B antigens differing in the quantity of MF59 adjuvant content (0, 25%, 50% and 100% of standard dose) and A/H3N2 HA antigen content (15 µg and 30 µg) and of two ID TIV formulations with comparatively lower antigen doses (6 µg of A/H1N1 and B strains and either 6 µg or 12 µg of A/H3N2 strain). IM data describing the relationship between MF59 dose, antigen dose and resulting immunogenicity and safety profiles for the IM formulations

are published elsewhere.<sup>36</sup> This paper focuses on the comparisons between low antigen dose ID formulations, and full antigen dose IM non-adjuvanted and fully adjuvanted formulations.

## Results

Study participants were randomly assigned to one of ten different vaccination groups (Table 1 and Methods section). A total of 450 healthy volunteers  $\geq 65$  years of age were enrolled in the study. This paper focuses on the comparisons of the following formulations: low-dose non-adjuvanted ID (groups ID1 and ID2); full-dose non-adjuvanted IM (groups A and B); and full-dose MF59-adjuvanted IM (groups G and H) (N=270). All enrolled subjects completed the study on Day 22, except for one subject in group H who withdrew consent after Day 1. A total of 91–98% of subjects were included in the Per Protocol Set (PPS) analyses (groups ID1 [43/47] and ID2 [43/46]: low-dose non-adjuvanted ID; groups A [43/44] and B [41/43]: full-dose non-adjuvanted IM; groups G [46/47] and H [42/43]: full-dose MF59-adjuvanted IM formulations). Three subjects did not meet the entry criteria, one subject received the wrong vaccine, and eight subjects had previously received an excluded concomitant medication. The baseline demographics of the study population are summarized in Table 2. Vaccination groups were similar with respect to age, sex, weight, height, and race. Across groups, 40–56% of subjects were male with a mean age of 69 years, 70–76% of participants had previously received influenza vaccine, and 98–100% of the participants were Caucasian.

### *Immunogenicity*

No significant differences in geometric mean antibody titers (GMTs) against A/H3N2 were observed between the 6  $\mu\text{g}$  A/H3N2 and 12  $\mu\text{g}$  A/H3N2 formulations of ID vaccine at Days 8 ( $P = 0.62$ ) and 22 ( $P = 0.51$ ). Also, different quantities of A/H3N2 antigen in the ID formulations had no impact on antibody responses against A/H1N1 (Day 8,  $P = 0.36$ ; Day 22,  $P = 0.91$ ) and B (Day 8,  $P = 0.99$ ; Day 22,  $P = 0.44$ ) strains. Likewise, there were no significant differences in A/H3N2-specific antibody responses between the 15  $\mu\text{g}$  A/H3N2 and 30  $\mu\text{g}$  A/H3N2 IM non-adjuvanted and fully-

adjuvanted formulations (Day 8,  $P = 0.14$ ; Day 22,  $P = 0.16$ ). Also, different quantities of A/H3N2 antigen in the IM formulations had no impact on antibody responses against A/H1N1 (Day 8,  $P = 0.14$ ; Day 22,  $P = 0.31$ ) and B (Day 8,  $P = 0.35$ ; Day 22,  $P = 0.54$ ) strains. Therefore, for analyses of antibody responses to A/H1N1 and B antigens, data were pooled from groups A and B (full-dose non-adjuvanted IM), groups G and H (full-dose MF59-adjuvanted IM) and groups ID1 and ID2 (low-dose non-adjuvanted ID), unless otherwise indicated. Individual study vaccine groups were compared for H3N2 antigen. Antibody responses (GMTs) to vaccine antigen strains A/Brisbane/59/2007 (H1N1), A/Uruguay 2007 (H3N2), and B/Florida/4/2006 assessed by HI assay are shown in Table 3.

*Full-dose MF59-adjuvanted IM vaccine versus low-dose non-adjuvanted ID vaccine:* Both non-adjuvanted ID vaccines contained less antigen per dose than the IM formulations (Table 1). At Day 8 post-vaccination, the pooled full-dose MF59-adjuvanted IM groups (groups G + H) demonstrated significantly higher GMTs compared with the pooled low dose non-adjuvanted ID groups (groups ID1 + ID2) against A/H1N1 and B strains ( $P < 0.01$ ). GMTs against the A/H3N2 strain were significantly ( $P < 0.05$ ) higher in the full-dose MF59-adjuvanted IM group compared with the low dose non-adjuvanted ID group only when the dose of A/H3N2 was doubled (group H versus group ID2). At Day 22 post-vaccination, GMTs were significantly higher in the full-dose MF59-adjuvanted IM groups compared with the low-dose non-adjuvanted ID groups against A/H1N1 and B strains ( $P < 0.05$ ), but not against A/H3N2 (Table 3).

*Full-dose non-adjuvanted IM vaccine versus low-dose non-adjuvanted ID vaccine:* At Day 22 post-vaccination GMTs were significantly higher in the pooled low-dose non-adjuvanted ID groups (groups ID1 + ID2) compared with the pooled full-dose non-adjuvanted IM groups (groups A + B)

against A/H1N1 ( $P < 0.05$ ). All other comparisons across strains between low-dose non-adjuvanted ID and full-dose non-adjuvanted IM vaccine groups at Days 8 and 22 were not significant (Table 3).

Evaluation of geometric mean ratios (GMRs), seroconversion rates, and rates of subjects with HI titer  $\geq 1:40$  (hereafter referred to as “seroprotection” for brevity) for low-dose non-adjuvanted ID, full-dose non-adjuvanted IM, and full-dose MF59-adjuvanted IM groups against all three vaccine antigen strains according to licensure criteria established by the European Committee for Medicinal Products for Human Use (CHMP) are shown in Table 4. At Day 8 post-vaccination at least one of the three licensure criteria was met against all three strains for the full-dose MF59-adjuvanted IM groups and low-dose non-adjuvanted ID groups. The full-dose non-adjuvanted IM group met at least one of three licensure criteria against A/H3N2 and A/H1N1 strains, but not against B strain. At Day 22 post-vaccination, at least one criterion out of the three was met by all groups against all three strains.

#### *Safety and tolerability*

All 270 subjects from the low-dose non-adjuvanted ID, full-dose non-adjuvanted IM, and full-dose MF59-adjuvanted IM groups were included in the safety analyses. There was no detectable trend in altered frequencies of either local or systemic reactions with increasing dose of A/H3N2 antigen in either IM or ID vaccine groups. At least one reaction was reported by 96–100% of subjects in the low-dose non-adjuvanted ID groups, 44–45% of subjects in the full-dose non-adjuvanted IM groups, and 65–70% of subjects in the full-dose MF59-adjuvanted IM groups. At least one systemic reaction was reported by 28–40% of subjects in the low-dose non-adjuvanted ID groups, 30% of subjects in the full-dose non-adjuvanted IM groups, and 30–36% of subjects in the full-dose MF59-adjuvanted IM groups (Figure 1). For the analyses of the safety results, pooled data combining both A/H3N2 doses are shown for all groups. Erythema, induration, swelling, and pain at the site of injection were



the most commonly reported solicited local reactions across the vaccine groups. Relative risk (RR) assessment by Poisson regression analyses showed an increased frequency of erythema, induration, and swelling for the low-dose non-adjuvanted ID groups compared with the full-dose non-adjuvanted and full-dose MF59-adjuvanted IM groups (RR erythema = 0.24 and 0.37; RR induration = 0.13 and 0.33; RR swelling = 0.03 and 0.28 for comparisons of low-dose non-adjuvanted ID groups vs the full-dose non-adjuvanted; and vs full-dose MF59-adjuvanted IM groups, respectively; all RRs =  $P < 0.01$ ). Pain at the injection site was more frequently observed in the full-dose MF59-adjuvanted IM groups compared with the low-dose non-adjuvanted ID groups (RR, 2.58;  $P = 0.003$ ; Figure 2A). Visual analogue scale (VAS) pain scores in all vaccine groups were low on the 100-point scale. The mean maximal VAS pain scores were 4.45, 3.44, and 11.00 in the low-dose non-adjuvanted ID, full-dose non-adjuvanted IM, and full-dose MF59-adjuvanted IM groups, respectively. The mean and maximum pain scores were comparable in the low-dose non-adjuvanted ID groups and the full-dose non-adjuvanted IM groups ( $P = 0.47$ ), but were significantly higher in the full-dose MF59-adjuvanted IM group subjects compared with those in the low-dose non-adjuvanted ID groups ( $P < 0.001$ ).

Few severe solicited local reactions occurred (Figure 2A); one subject in the full-dose MF59-adjuvanted IM group experienced severe erythema, induration, and swelling, and one subject, also in the full-dose MF59-adjuvanted IM group reported severe pain. The frequencies of subjects experiencing solicited systemic reactions were similar for ID and IM vaccination groups, and Poisson regression analyses revealed no differences between the groups (Figure 2B). The most commonly reported systemic reactions were headache and fatigue. Few severe systemic reactions were reported (Figure 2B); these included myalgia ( $n = 3$ ), arthralgia ( $n = 2$ ), malaise ( $n = 2$ ), headache ( $n = 1$ ), nausea ( $n = 1$ ), fatigue ( $n = 1$ ), and diarrhea ( $n = 1$ ). Cases of fever ( $\geq 38^{\circ}\text{C}$ ) following vaccination were rare, experienced by only one subject in the low-dose non-adjuvanted ID

groups; there were no reports of severe fever ( $\geq 40^{\circ}\text{C}$ ). Only 2–3% of subjects stayed at home, and analgesic / antipyretic medication was required by 90–91% of subjects across all vaccine groups (Figure 2B).

Unsolicited adverse events (AEs) occurred more frequently in the low-dose non-adjuvanted ID groups (59–64%, of which 52–53% were at least possibly vaccine-related) than in the full-dose non-adjuvanted IM groups (16–25%, of which 7% were possibly vaccine-related) and full-dose MF59-adjuvanted IM groups (19–26%, of which 5–11% were possibly vaccine-related; Table 5). The most commonly reported AEs in the low-dose non-adjuvanted ID groups by preferred term (PT) were injection site erythema (47–52%, of which 45–52% were possibly vaccine-related), injection site pruritus (2–6%, all possibly vaccine related), and myalgia (6%, all possibly vaccine-related). The most commonly reported AEs by PT in the full-dose non-adjuvanted and full-dose MF59-adjuvanted IM groups were fatigue (2–7% across groups,  $\leq 5\%$  possibly vaccine-related), and upper respiratory tract infections (4–7% across groups, with no cases considered to be vaccine-related). Only one serious adverse event (SAE) occurred during the study, which was not considered to be vaccine-related (venous thrombosis in one subject from group A, onset six days after vaccination and duration of 14 days). No study withdrawals or deaths due to AEs occurred in any low-dose non-adjuvanted ID, full-dose non-adjuvanted IM, and full-dose MF59-adjuvanted IM vaccination groups.

## Discussion

In the elderly population, seasonal influenza vaccines with enhanced immunogenicity are needed to address the challenge of immunosenescence. Strategies to optimize responses to vaccination in older adults include the use of adjuvants,<sup>8, 36</sup> higher antigen doses,<sup>11, 12</sup> ID delivery<sup>14, 25, 33, 34, 37</sup> and addition of a second B strain (quadrivalent influenza vaccine, QIV). Here we report the findings of a study which compared the immunogenicity and safety profiles of low-dose non-adjuvanted ID TIV (containing either 6 µg or 12 µg of A/H3N2 antigen), full-dose non-adjuvanted IM TIV, and full-dose MF59-adjuvanted IM TIV (both IM groups containing either 15 µg or 30 µg of A/H3N2 antigen).

Doubling the A/H3N2 antigen content of vaccine (IM, 15 µg to 30 µg; ID, 6 µg to 12 µg) did not result in increased A/H3N2-specific antibody responses whether formulations were non-adjuvanted or fully MF59-adjuvanted. ID vaccine delivery resulted in significantly higher A/H1N1-specific antibody responses three weeks after vaccination compared with conventional, non-adjuvanted IM groups. IM administration of full-dose MF59-adjuvanted vaccine resulted in significantly increased A/H1N1- and B-strain-specific antibody responses compared with ID delivery of low-dose non-adjuvanted formulations one and three weeks after vaccination; full-dose MF59-adjuvanted IM responses against A/H3N2 were significantly higher than low-dose non-adjuvanted ID group responses only one week after vaccination. Secondary / memory immune responses primed by previous exposure to antigens via either natural infection or vaccination are heightened and accelerated by MF59 adjuvant, as demonstrated by previous human data from trials of pandemic A/H5N1<sup>38</sup> and A/H1N1 (2009) vaccines.<sup>39</sup> Both low-dose non-adjuvanted ID and full-dose MF59-adjuvanted IM formulations met the CHMP licensure criteria for influenza vaccines against all three strains as early as one week after vaccination. These data emphasize the potential advantages of

MF59 adjuvant and ID administration over conventional non-adjuvanted vaccines in rapidly inducing seroprotective antibody responses, which could be particularly important in cases of late vaccination during the influenza season.

In agreement with the data presented here, previous results in older adult populations have demonstrated that ID vaccination with 6 µg or 15 µg of HA antigen per strain elicited equivalent<sup>25</sup> or superior<sup>14, 34, 40</sup> antibody responses, respectively, compared with IM administration of conventional, non-adjuvanted vaccines, despite the use of 2.5–5.0-fold less antigen per dose. Microneedles for ID injections offer the advantage of standardizing injection depth, and, with their minute length, are less intimidating for the vaccine recipient. A recent study evaluated the immunogenicity of ID administered, non-adjuvanted vaccine (Intanza<sup>®</sup>, Sanofi Pasteur MSD SNC) compared with IM administered, MF59-adjuvanted vaccine (Fluad) in older subjects, however, the overall non-inferiority objective could not be demonstrated because the ID vaccine failed the non-inferiority comparison for one strain (A/H3N2);<sup>41</sup> non-inferiority was reported only in a post-hoc analysis for all three strains after correction for baseline antibody titers.<sup>42</sup> In the aforementioned study by Van Damme *et al.*<sup>41</sup>, 15 µg HA per strain was used for ID vaccine formulations, an amount higher than the 6 µg or 12 µg of A/H3N2 antigen used in the present study; it is clear that the results obtained in these two studies cannot be compared due to the differences in antigen quantity and strain used for the formulation of the ID vaccines, and because of the different administration devices used. Therefore, further studies comparing the immunogenicity and safety profiles resulting from ID and IM administration of similar doses of seasonal influenza vaccines are warranted.

Importantly, both the use of adjuvanted vaccines and ID delivery allow for dose / antigen sparing. Minimal use of antigenic material is essential to ensure the widest possible availability of both seasonal and pandemic influenza vaccines when supplies are limited by high-demand and restraints

in global manufacturing capacity. Consistent with previous studies,<sup>24, 26, 29, 32, 37, 41</sup> ID vaccination was associated with an increased frequency of mild to moderate local reactions which accompanied the inflammatory process taking place in the skin. For example, injection site erythema was experienced by 92% of subjects in the ID groups compared with 22% and 34% in the IM non-adjuvanted and IM MF59-adjuvanted groups, respectively. The percentages of subjects reporting pain at the injection site, and VAS pain scores, were higher among full-dose MF59-adjuvanted IM groups compared with low-dose non-adjuvanted ID groups; although the VAS pain scores in all groups were very low on the 100-scale. Frequencies of systemic reactions were similar in both ID and IM groups, and fever ( $\geq 38^{\circ}\text{C}$ ) occurred in only one subject. One SAE was reported, which was not vaccine-related. No premature study withdrawals or deaths due to AEs occurred in any vaccination group.

In conclusion, IM administration of full-dose MF59-adjuvanted vaccine and ID delivery of low-dose non-adjuvanted vaccine rapidly induced antibody titers sufficient to meet the European licensure criteria. An acceptable safety profile was observed in all vaccination groups, although MF59-adjuvanted formulations increased pain at the site of injection, and intradermal formulations increased unsolicited adverse events, erythema, induration, and swelling at the injection site. Overall, these data demonstrate that ID vaccine delivery and addition of MF59 adjuvant to IM vaccine delivery offer promising alternatives to IM non-adjuvanted influenza vaccine in older adults.

## **Methods**

### *Study design and participants*

This multicenter, randomized, observer-blind study was conducted across four sites in Germany, one site in Poland, and one site in Belgium between October 2008 and February 2009. The study was undertaken according to Good Clinical Practice guidelines and the Declaration of Helsinki. Ethics review committees of participating centers approved the protocols, and written informed consent was obtained from all participants prior to enrolment. Healthy volunteers  $\geq 65$  years of age who were mentally competent and in general good health as determined by medical history, a physical examination, and the clinical judgment of the investigators were enrolled in the study. The main exclusion criteria were: immunization with any influenza vaccine within six months before study enrolment; immunization with any experimental influenza vaccine containing adjuvant within two years before study enrolment; any serious disease; hypersensitivity to vaccine components; an impaired or altered immune system; known or suspected history of drug or alcohol abuse; history of bleeding diathesis; conditions associated with prolonged bleeding time, or current use of anticoagulation therapy; laboratory-confirmed influenza disease within 12 months before study enrolment; receipt of another vaccine or investigational agent within 30 days before study enrolment; infection requiring systemic antibiotic or antiviral therapy within 14 days before study enrolment; and fever (oral temperature  $\geq 38^{\circ}$  C) within seven days before study enrolment.

### *Treatments and procedures*

Participants were randomly assigned to one of ten vaccination groups (see below and Table 1). Subjects in IM groups A to H received one 0.5 mL dose administered into the deltoid muscle, preferably of the non-dominant arm. Subjects in groups ID1 and ID2 received one 0.2 mL dose administered intradermally using the MicronJet delivery system from NanoPass Technologies.

Immunogenicity assessments were performed on Day 1 (baseline / pre-vaccination), Day 8 (one week post-vaccination), and Day 22 (three weeks post-vaccination). Blood samples of 15 mL were obtained by venipuncture at each time point. Subsets of participants in groups A to H were randomly selected for additional blood draws to assess cell-mediated immune responses. Serum was isolated by centrifugation and stored at  $-18^{\circ}\text{C}$  or below until shipped to the Novartis Vaccines Clinical Serology Laboratory in Marburg, Germany for immunogenicity analysis. Data on comparisons across IM formulations and on cell-mediated immune responses have been published elsewhere.<sup>36</sup>

### *Vaccines*

The trivalent influenza vaccines included in this study were formulated for either ID (groups ID1 and ID2) or IM (groups A through H) administration (Table 1). ID vaccines were not adjuvanted and contained 6  $\mu\text{g}$  of A/H1N1 and B strains and either 6  $\mu\text{g}$  or 12  $\mu\text{g}$  of A/H3N2 strain HA antigens. IM vaccines contained 15  $\mu\text{g}$  of A/H1N1 and B strains, and either 15  $\mu\text{g}$  or 30  $\mu\text{g}$  A/H3N2 HA antigens. IM groups were further differentiated from each other based on the quantity of MF59 (0%, 25%, 50%, or 100% of the standard dose contained in the licensed seasonal TIV, Fludac®). The IM group A (non-adjuvanted, 15  $\mu\text{g}$  antigen per strain) had the same antigen content as the licensed seasonal TIV, Agrippal/Agriflu® (Novartis Vaccines and Diagnostics). The IM group G (100% MF59-adjuvanted, 15  $\mu\text{g}$  antigen per strain) had the same antigen content as the licensed seasonal TIV, Fludac® (Novartis Vaccines and Diagnostics). HA antigens were derived from influenza strains A/Brisbane/59/2007 (H1N1), A/Uruguay/716/2007 (H3N2), and B/Florida/4/2006, as recommended by the World Health Organization for the 2008–09 influenza season in the northern hemisphere. A standard / full dose (100%) of MF59 adjuvant consists of 9.75 mg of squalene, 1.18 mg polysorbate 80, 1.18 mg sorbitan trioleate, 0.66 mg sodium citrate dehydrate, and 0.04 mg citric acid monohydrate. Vaccine formulations containing less than the standard / full dose of MF59 were prepared by diluting full MF59 dose vaccine with non-adjuvanted vaccine. The MicronJet

microneedle ID administration device consists of an array of four microneedles made of silicon crystal, each needle 0.45 mm in length.<sup>32</sup> The needles are bonded to the tip of a plastic adapter, which can be mounted on any standard syringe; therefore, the device is used like any other needle, except that the microneedles can only be used for intradermal delivery due to their limited length.

#### *Immunogenicity assessment*

Serum samples collected at baseline (Day 1) and at Days 8 and 22 post-vaccination were assessed for antibody content by HI assay, according to standard methods.<sup>43</sup> HI antibody responses on Days 1, 8, and 22 were expressed as GMTs and GMRs of post-vaccination to pre-vaccination titers (Day 8 : Day 1 titers, and Day 22 : Day 1 titers). The European CHMP licensure criteria defined seroconversion in an individual vaccinee as a pre-vaccination HI titer < 10 (seronegative at baseline) to a post-vaccination HI titer  $\geq$  40, or a  $\geq$  four-fold increase in HI titer for subjects with a pre-vaccination titer  $\geq$  10 (seropositive at baseline).

#### *Safety and tolerability assessment*

Safety assessments included reports of solicited local and systemic adverse reactions and unsolicited AEs. The frequency and severity of solicited local and systemic reactions were recorded on diary cards for seven consecutive days following vaccination (Day 1 to Day 8). Reactions continuing beyond Day 8 were recorded as unsolicited AEs. All unsolicited reports of AEs and use of concomitant medications were collected throughout the entire study period (Day 1 to 22). Any SAEs were reported to the study sponsor within 24 hours of onset. All subjects were observed for at least 30 minutes after vaccination to monitor for immediate adverse events. Solicited local reactions were pain at the site of injection, erythema, induration, swelling, and ecchymosis. Pain at the injection site was also assessed by a 100 mm visual analogue scale, ranging from zero (worst imaginable state of health) to 100 (best imaginable state of health). Pain scores included the average mean score over



seven consecutive days and the maximum score. Solicited systemic reactions were headache, chills, fatigue, arthralgia, malaise, myalgia, nausea, sweating, vomiting, diarrhea, and fever. The use of analgesic or antipyretic medication, and events causing subjects to remain at home were also monitored as indicators of reactogenicity. The severity of local and systemic reactions was graded according to U.S. Center for Biologics Evaluation and Research (CBER) guidelines.<sup>43</sup> The severity of unsolicited AEs was categorized as mild, moderate, or severe, if they resulted in no limitation of, some limitation of, or inability to perform normal daily activities, respectively. AEs were defined as serious AEs (SAEs) if they were fatal or life-threatening; required or prolonged hospitalization; resulted in permanent disability; led to congenital abnormality; required intervention to prevent permanent impairment or damage; or were a significant medical event that may have jeopardized the subject. Assessments of the causal relationship of AEs to vaccination were classified by the investigator as either not related, possibly related, or probably related.

### *Statistical analyses*

Immunogenicity analyses were run on the PPS, which included all enrolled subjects who received vaccine, provided evaluable serum samples at relevant time points, and experienced no major protocol deviations. Immunogenicity endpoints were analyzed according to the CHMP licensure criteria for elderly subjects.<sup>44</sup> The following criteria applied: the proportion of subjects achieving seroconversion (HI titer  $\geq 40$ ) or significantly ( $\geq 4$ -fold) increased antibody titers should be  $> 30\%$  (seroconversion criterion); the proportion of subjects achieving an HI titer  $\geq 1:40$  should be  $> 60\%$  (seroprotection criterion); GMRs should be  $> 2.0$  (GMR criterion). Adequate immunogenicity was confirmed when at least one of the three licensure criteria was met. Assuming: log-normal distributed antibody titers; a common standard deviation of 0.7 for  $\log_{10}$  titers; and a two-sided, type I error of 5% – the study was 80% powered to demonstrate significance with a sample size of 42 subjects per group, if the difference between groups was  $\geq$  factor 3.0. Taking advantage of group

pooling, a sample size of 88 subjects per group led to a minimum relevant difference of factor 2.0. The results of this study showed that increasing the A/H3N2 antigen content of vaccines did not affect antibody responses against A/H1N1 and B strains; therefore, the following group data was pooled for analyses of A/H1N1 and B strain responses: intradermal groups ID1 + ID2; IM non-adjuvanted groups A (15 µg A/H3N2) + B (30 µg A/H3N2); IM 25% MF59 dose groups C (15 µg A/H3N2) + D (30 µg A/H3N2); IM 50% MF59 dose groups E (15 µg A/H3N2) + F (30 µg A/H3N2); and IM full (100%) MF59 dose groups G (15 µg A/H3N2) + H (30 µg A/H3N2). This report focuses on data from groups ID1 and ID2, A and B, and G and H for the assessment of differences in immunogenicity and safety following low-dose non-adjuvanted ID, full-dose non-adjuvanted IM, and full-dose fully-adjuvanted IM vaccinations, respectively. Data for comparisons across the IM groups (A to H) are published in a separate paper.<sup>36</sup> Group differences in terms of ratios of GMTs were estimated along with 2-tailed 95% confidence intervals fitting an analysis of covariance model with vaccine group as qualitative factor and baseline titer as quantitative covariate; significance was declared if the 2-sided *P* value was < 0.05. Safety analyses included data from all vaccinated subjects where at least one safety observation was recorded. Safety and tolerability data are summarized by vaccine group and percentages of subjects experiencing a specific event. Poisson regression analyses were extended to local and systemic reactions, and low-dose non-adjuvanted ID groups were compared with full-dose non-adjuvanted IM and full-dose MF59-adjuvanted IM groups in terms of risk ratios including 2-sided 95% confidence intervals and 2-sided *P* values. Statistical evaluation was performed using SAS<sup>®</sup> version 9.1 software (SAS Institute, Cary, NC, USA).

## **Acknowledgements**

***Author contributions:*** All authors participated in the conception, design, and implementation of the trial. All authors were involved in the interpretation of analyzed data and the decision to submit for publication.

***Conflict of interest:*** GDC, UN, KL, FC, GG, NG, and GDG are permanent employees of Novartis Vaccines, Inc. GLR and FC are permanent employees of the Centre for Vaccinology, CEVAC. YL is a permanent employee of NanoPass Technologies, Ltd.

***Funding statement:*** This study was supported by funds provided by Novartis Vaccines and Diagnostics, Inc.

The authors wish to thank Dr Jamie Stirling and Dr Priya Baddela (Novartis Vaccines and Diagnostics) and Dr Patricia de Groot and Dr Amanda Prowse (CHC Europe) for editorial assistance in the preparation of this manuscript.

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## Figure legends

**Figure 1:** Percentages of subjects experiencing any solicited local and systemic adverse reactions within one week (Days 1–7) of vaccination.

**Figure 2:** Percentages of subjects experiencing solicited local (Figure 2A) and systemic (Figure 2B) adverse reactions in pooled low-dose non-adjuvanted ID, full-dose non-adjuvanted IM, and full-dose MF59-adjuvanted IM vaccination groups. Figures in parentheses show numbers of subjects experiencing severe reactions. Asterisks indicate significant differences between groups: \* 2 sided  $P < 0.01$ ; \*\* 2 sided  $P < 0.0001$ .

**Table 1:** Study groups and vaccine formulations.

<b>Vaccine Group / Formulation</b>	<b>Route of Administration</b>	<b>A/H1N1 Antigen Per Dose (<math>\mu\text{g}</math>)</b>	<b>A/H3N2 Antigen Per Dose (<math>\mu\text{g}</math>)</b>	<b>B Strain Antigen Per Dose (<math>\mu\text{g}</math>)</b>	<b>MF59 Per Dose (%)</b>	<b>Volume Per Dose (mL)</b>
<b>ID1</b> (n = 47)	ID	6	6	6	0	0.2
<b>ID2</b> (n = 46)	ID	6	12	6	0	0.2
<b>A</b> (n = 44)	IM	15	15	15	0	0.5
<b>B</b> (n = 43)	IM	15	30	15	0	0.5
<b>C</b> (n = 45)	IM	15	15	15	25	0.5
<b>D</b> (n = 46)	IM	15	30	15	25	0.5
<b>E</b> (n = 46)	IM	15	15	15	50	0.5
<b>F</b> (n = 43)	IM	15	30	15	50	0.5
<b>G</b> (n = 47)	IM	15	15	15	100	0.5
<b>H</b> (n = 43)	IM	15	30	15	100	0.5

ID, intradermal; IM, intramuscular. This paper focuses on groups ID1 and ID2 (low-dose non-adjuvanted ID); groups A and B (full-dose non-adjuvanted IM); and groups G and H (full-dose MF59-adjuvanted IM).

**Table 2:** Study population demographics.

	<b>Group ID1</b> (n = 47)	<b>Group ID2</b> (n = 46)	<b>Group A</b> (n = 44)	<b>Group B</b> (n = 43)	<b>Group G</b> (n = 47)	<b>Group H</b> (n = 43)
<b>A/H3N2 Antigen Per Dose (µg)</b>	6	12	15	30	15	30
<b>MF59 Per Dose (%)</b>	0	0	0	0	100	100
<b>Age (years, SD)</b>	68.3 ± 3.5	69.6 ± 5.1	69.2 ± 3.6	69.2 ± 4.0	68.5 ± 3.1	69.0 ± 3.5
<b>Male (%)</b>	49	48	40	56	42	46
<b>Weight (kg, SD)</b>	78.6 ± 15.5	77.1 ± 10.5	74.8 ± 13.5	77.1 ± 14.7	76.6 ± 14.3	73.0 ± 13.3
<b>Height (cm, SD)</b>	168.4 ± 9.0	167.7 ± 8.2	167.0 ± 8.0	167.0 ± 9.0	167.0 ± 8.0	165.0 ± 10.0
<b>Previously Vaccinated (%)</b>	70	74	74	73	76	76
<b>Caucasian (%)</b>	100	100	100	98	100	100
<b>Asian (%)</b>	0	0	0	2	0	0

SD, standard deviation. The demographic data for IM subject groups C, D, E, and F are published elsewhere.<sup>36</sup>

**Table 3:** Geometric mean titers (GMTs) and geometric mean ratios (GMRs) at baseline (Day 1), one week (Day 8), and three weeks (Day 22) after vaccination (95% CI).

	GMT			GMR	
<b>A/H3N2</b>	<b>Group ID1 (6 µg H3N2) (0% MF59)</b>	<b>Group A (15 µg H3N2) (0% MF59)</b>	<b>Group G (15 µg H3N2) (100% MF59)</b>	<b>Groups A : ID1</b>	<b>Groups G : ID1</b>
Day 1	14 (9.9–21) n = 43	17 (12–25) n = 43	18 (13–26) n = 46	1.2 (0.7–2.0)	1.3 (0.8–2.1)
Day 8	84 (56–128) n = 43	81 (54–123) n = 43	94 (63–141) n = 46	1.0 (0.5–1.7)	1.1 (0.6–2.0)
Day 22	236 (157–353) n = 43	158 (106–237) n = 43	252 (169–374) n = 45	0.7 (0.4–1.2)	1.1 (0.6–1.9)
<b>A/H3N2</b>	<b>Group ID2 (12 µg H3N2) (0% MF59)</b>	<b>Group B (30 µg H3N2) (0% MF59)</b>	<b>Group H (30 µg H3N2) (100% MF59)</b>	<b>Groups B : ID2</b>	<b>Groups H : ID2</b>
Day 1	15 (10–21) n = 43	17 (12–26) n = 41	16 (11–24) n = 42	1.2 (0.7–2.1)	1.1 (0.7–1.9)
Day 8	73 (48–110) n = 43	48 (31–74) n = 41	158 (103–242) n = 41	0.7 (0.4–1.2)	2.2 (1.2–3.9) *
Day 22	195 (129–293) n = 42	122 (80–184) n = 41	333 (220–503) n = 41	0.6 (0.4–1.1)	1.7 (1.0–3.1)
<b>A/H1N1</b>	<b>Groups ID1+ID2 (low-dose) (0% MF59)</b>	<b>Groups A+B (full-dose) (0% MF59)</b>	<b>Groups G+H (full-dose) (100% MF59)</b>	<b>Groups A+B : ID1+ID2</b>	<b>Groups G+H : ID1+ID2</b>
Day 1	20 (15–25) n = 86	23 (18–30) n = 84	21 (16–27) n = 88	1.2 (0.8–1.7)	1.1 (0.7–1.5)
Day 8	56 (44–72) n = 86	46 (36–60) n = 84	99 (77–126) n = 87	0.8 (0.6–1.2)	1.8 (1.2–2.5) **
Day 22	96 (74–123) n = 85	65 (50–83) n = 84	152 (118–196) n = 86	0.7 (0.5–1.0) *	1.6 (1.1–2.3) *
<b>B Strain</b>	<b>Groups ID1+ID2 (low-dose) (0% MF59)</b>	<b>Groups A+B (full-dose) (0% MF59)</b>	<b>Groups G+H (full-dose) (100% MF59)</b>	<b>Groups A+B : ID1+ID2</b>	<b>Groups G+H : ID1+ID2</b>
Day 1	12 (10–14) n = 86	12 (10–14) n = 84	11 (9.4–13) n = 88	1.0 (0.8–1.3)	0.9 (0.7–1.2)
Day 8	22 (18–27) n = 86	20 (16–24) n = 84	33 (27–39) n = 87	0.9 (0.7–1.2)	1.5 (1.1–1.9) **
Day 22	34 (28–43) n = 85	29 (23–36) n = 84	47 (38–58) n = 86	0.8 (0.6–1.1)	1.4 (1.0–1.8) *

Antibody titers at Day 8 and 22 are baseline adjusted. Asterisks indicate significant differences between groups: \*  $P < 0.05$ ; \*\*  $P < 0.01$ .

**Table 4:** Immunogenicity analyses against A/H3N2, A/H1N1, and B strain vaccine antigens according to the European (CHMP) licensure criteria for influenza vaccines for older adults.

A/H3N2	Group ID1 (6 µg H3N2) (0% MF59)		Group A (15 µg H3N2) (0% MF59)		Group G (15 µg H3N2) (100% MF59)	
	Day 8 (n = 43)	Day 22 (n = 43)	Day 8 (n = 43)	Day 22 (n = 43)	Day 8 (n = 46)	Day 22 (n = 46)
SC (%)	<b>47</b>	<b>77</b>	<b>56</b>	<b>70</b>	<b>59</b>	<b>87</b>
SP (%)	<b>67</b>	<b>88</b>	<b>74</b>	<b>93</b>	<b>74</b>	<b>96</b>
GMR	<b>5.7</b>	<b>16</b>	<b>4.6</b>	<b>9.0</b>	<b>5.7</b>	<b>15</b>
A/H3N2	Group ID2 (12 µg H3N2) (0% MF59)		Group B (30 µg H3N2) (0% MF59)		Group H (30 µg H3N2) (100% MF59)	
	Day 8 (n = 43)	Day 22 (n = 43)	Day 8 (n = 41)	Day 22 (n = 41)	Day 8 (n = 42)	Day 22 (n = 42)
SC (%)	<b>51</b>	<b>71</b>	<b>32</b>	<b>63</b>	<b>66</b>	<b>95</b>
SP (%)	<b>74</b>	<b>90</b>	<b>66</b>	<b>80</b>	<b>90</b>	<b>100</b>
GMR	<b>4.9</b>	<b>13</b>	<b>2.9</b>	<b>7.3</b>	<b>8.9</b>	<b>19</b>
A/H1N1	Groups ID1+ID2 (low-dose) (0% MF59)		Groups A+B (full-dose) (0% MF59)		Groups G+H (full-dose) (100% MF59)	
	Day 8 (n = 86)	Day 22 (n = 86)	Day 8 (n = 84)	Day 22 (n = 84)	Day 8 (n = 87)	Day 22 (n = 87)
SC (%)	<b>35</b>	<b>44</b>	20	30	<b>51</b>	<b>62</b>
SP (%)	<b>69</b>	<b>84</b>	<b>68</b>	<b>77</b>	<b>91</b>	<b>95</b>
GMR	<b>3.1</b>	<b>5.1</b>	2.0	<b>2.8</b>	<b>4.5</b>	<b>7.1</b>
B Strain	Groups ID1+ID2 (low-dose) (0% MF59)		Groups A+B (full-dose) (0% MF59)		Groups G+H (full-dose) (100% MF59)	
	Day 8 (n = 86)	Day 22 (n = 86)	Day 8 (n = 84)	Day 22 (n = 84)	Day 8 (n = 87)	Day 22 (n = 87)
SC (%)	15	<b>36</b>	15	30	<b>36</b>	<b>49</b>
SP (%)	36	<b>61</b>	32	49	51	<b>66</b>
GMR	1.9	<b>3.0</b>	1.7	<b>2.5</b>	<b>2.8</b>	<b>4.1</b>

SC, seroconversion (> 30% with HI titer  $\geq$  40); GMR, geometric mean ratio (> 2.0); SP, seroprotection (> 60% with HI titer  $\geq$  40). Day 8 GMR values describe ratio of Day 8 to Day 1 HI GMTs. Day 22 GMR values describe ratio of Day 22 to Day 1 HI GMTs. Bold text indicates that CHMP licensure criterion was met.

**Table 5:** Percentages of subjects experiencing adverse events within three weeks (Day 1 – Day 22) of vaccination.

	<b>Group ID1</b> (n = 47)	<b>Group ID2</b> (n = 46)	<b>Group A</b> (n = 44)	<b>Group B</b> (n = 41)	<b>Group G</b> (n = 47)	<b>Group H</b> (n = 43)
<b>A/H3N2 Antigen Per Dose (µg)</b>	6	12	15	30	15	30
<b>MF59 Per Dose (%)</b>	0	0	0	0	100	100
<b>Any AEs (%)</b>	64	59	25	16	26	19
<b>Vaccine-Related AEs (%)</b>	53	52	7	7	11	5
<b>SAEs (%)</b>	0	0	2	0	0	0
<b>AEs Leading to Withdrawal (%)</b>	0	0	0	0	0	0

AEs, adverse events; SAEs, serious adverse events.