#### MULTI-LEVEL GOVERNANCE AND LOCAL GOVERNMENT

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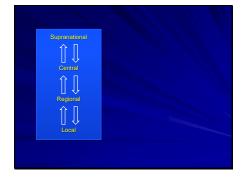
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## MLG defined

"A system of continuous negotiation among governments at several territorial tiers supranational, national, regional and local" (Marks 1993, Hooghe 1996)



### MLG defined

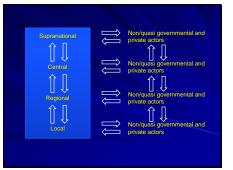
"A key tenet of multi-level governance is the dispersal of authority and decision-making to a wide range of bodies through a process of negotiation." (Richards and Smith, 2004).

Supranational 介 ∏	) 	Non/quasi governmental and private actors
U↓ Central ☆□	ĴĴ	Non/quasi governmental and private actors
∏ ↓ Regional ☆ ∏	ĴĴ	Non/quasi governmental and private actors
Local	ĴĴ	Non/quasi governmental and private actors

#### MLG defined

"Local, regional and national political elites alike seek to forge coalitions with private businesses, voluntary associations and other societal actors to mobilize resources across the public-private border in order to enhance their chances of guiding society towards politically defined goals" (Pierre and Stoker, 2000).

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#### Basic elements of MLG

- Mutual dependency between

   multiple layers of government i.e. vertically
   state and non-state actors i.e. horizontally
- Governance processes become vertically layered
- Relations can bypass formal structures (diagonal relations amongst other types)
- A blurred distinction between formal and informal modes of decision-making

Supranational	Non/quasi governmental and private actors
Central	Non/quasi governmental and private actors
∏ ↓ Regional	Non/quasi governmental and private actors
↓ Local	Non/quasi governmental and

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## The study of MLG

- MLG as a concept originated in EU research
- Gradually studied beyond the EU –context i.e. sub-nationally
- Hollowing out/unravelling the central state as point of departure

The study of MLG 'look-alikes'				
Hooghe and Marks (2003)				
EU-studies	Multi-tiered, multi-level governance; network governance, consortio and condominio			
International relations	Multi-lateral cooperation; global governance; fragmentation; multi-perspectival governance			
Federalism	Multiple jurisdictions; multiple government or governance; multi-centered governance; matrix of authority; decentralization; competing jurisdictions; market-preserving federalism; functional overlapping competing jurisdictions			
Local government	Multiple local jurisdictions; fragmentation versus consolidation; polycentric governance			
Public policy	Polycentric governance; governance by networks; multi-level governance			
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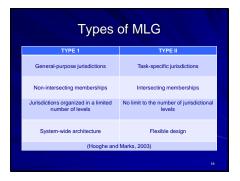
# How to study MLG? The four 'C's of Van der Kolk (2008) - Clarify concepts - Connect research questions - Compare findings - Confine your research

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# Step 1: Clarify MLG

alongside its dimensions under study
 horizontal/vertical/diagonal

according to type – type I or type II



#### Step 2: Connect research questions MLG as an organizing framework answers

- descriptive questions about - jurisdictions involved / systemic properties (supra) - levels, actors, relations, ... involved
- levels, actors, relations, ... involved
- Values/issues at stake as well as trends point to expanatory research

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#### Values/issues at stake

- Virtues and vices of MLG in the EU (Marks, 2010)
- Efficiency, peace, democracy, moral hazard, corruption, protest, survival
- Other values/issues at stake:
   Policy effectiveness, subsidiarity, autonomy, transparancy, coordination, accountability, sustainability, participation, openness, performance, ...



#### MLG --trends spotted

- The (financial) weakening of the central state
- The opening up of new opportunities to making decisions for cities
- Negotiated arrangements complementing or replacing legalistic-hierarchical relations
- The growing emergence of informal arrangements
  - ....

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# Step 3: Compare findings

- By linking a single case study to existing case studies
- By studying a case over time (studying change)
- By finding relatively independent subunits within the case (policy areas for example)
  By just adding cases

Step 4: Confine your research To a manageable topic and method

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MULTI-LEVEL GOVERNANCE: AND WHAT ABOUT LOCAL GOVERNMENT?

#### An undeniable impact

"There are many goals which we cannot achieve on our own, but only in concert. Tasks are shared between the European Union, the Member States and their regions and local authorities" (EU-Declaration at the 50<sup>th</sup> anniversary of the signature of the Treaties of Rome, 2007)

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#### An undeniable impact

"Recent OECD work is focusing on the contractual approach of multi-level governance, the design of grants transferred from central to sub-national levels of government and the variety of agreements between municipalities. ..." (OECD, <u>www.oecd.org/gov/regional/multilevel</u>

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### What kind of impact?

- <u>http://www.youtube.com/watch?v=hXfOfdc</u> <u>QrGg</u>
- http://www.youtube.com/watch?v=\_d5pz2 b\_cD4

What kind of impact?				
Garcea and LeSage (2005)				
Functional reform	Changes in the formal and informal roles and responsibilities of local government			
Financial reform	The expenditure and revenue dimensions of local government operations			
Reform of the internal organization, administrative and managerial apparatus	Revising the structure and functions of elected councils, the organization of administrative units and asset and resource management			
Structural reform	The reconfiguration of local government in terms of the numbers, types and size of municipalities, quasi-municipalities and municipal special-purpose bodies			
Jurisdictional reform	The authority and autonomy of local government relative to other tiers of government			

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### Impact on local leadership

"Good leaders will be those who can address multi-level governance to ensure effective and democratic results for their localities and broader constituencies of citizens. To achieve that goal, local leaders have used a number of various strategies such as mobilizing resource-controlling organizations, adapting national policies to local needs, involving their citizens and in the end shaping local political culture." (Carmichael 2010)

(Carmichael, 2010)

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#### MLG -tools

- To facilitate integrated local actions - Policy platforms
- Local action plans for integrated projects To facilitate participation of diverse
- stakeholders
- Local strategic partnerships
- Local action teams
- Local support groups

## MLG -tools

- To facilitate coordination between different levels of government
  - Multi-party contracts - State-region plan contracts
- To facilitate and integrate sectoral priorities - Local climate investment programme
- Local Climate inter-- Jobs strategies (Handbook for Multi-Level Urban Governance in Europe, 2011)