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Authors: Vynckier A.K., De Beer M., Monteyne T., Voorspoels J., De Beer T., Remon J.P., Vervaet C.

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1 **ENTERIC PROTECTION OF NAPROXEN IN A FIXED-DOSE COMBINATION PRODUCT PRODUCED BY**
2 **HOT-MELT CO-EXTRUSION.**

3 A.-K. Vynckier¹, M. De Beer², T. Monteyne³, J. Voorspoels⁴, T. De Beer³, J.P. Remon¹, C.
4 Vervaet¹

5 ¹ Laboratory of Pharmaceutical Technology, Ghent University, Ghent, Belgium

6 ² Separation Science Group, Department of Organic Chemistry, Ghent University, Ghent, Belgium

7 ³ Laboratory of Pharmaceutical Process Analytical Technology, Ghent University, Ghent, Belgium

8 ⁴ CONEXUS Pharma, Ghent, Belgium

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18 Corresponding author:

19 C. Vervaet

20 Ghent University

21 Laboratory of Pharmaceutical Technology

22 Ottergemsesteenweg 460

23 9000 Ghent (Belgium)

24 Tel.: +32 9 264 80 54

25 Fax: +32 9 222 82 36

26 E-mail: Chris.Vervaet@UGent.be

27

28 **Abstract**

29 In this study hot-melt co-extrusion is used as processing technique to manufacture a fixed-
30 dose combination product providing enteric protection to naproxen incorporated in the core
31 and immediate release to esomeprazole magnesium embedded in the coat. The plasticizing
32 effect of naproxen and triethyl citrate (TEC) was tested on the enteric polymers investigated
33 (Eudragit® L100-55, HPMC-AS-LF and HPMCP-HP-50). Core matrix formulations containing
34 HPMC-AS-LF, TEC and a naproxen load of 15, 30 and 50% were processed and
35 characterized. The *in vitro* naproxen release in 0.1N HCl was prevented for 2h for all
36 formulations. The physicochemical state of the drug in the extrudates was determined and a
37 stability study was performed. Intermolecular interactions between naproxen and polymer were
38 identified using attenuated total reflection Fourier-transform infrared (ATR FT-IR)
39 spectroscopy. When esomeprazole magnesium was formulated in a polyethylene oxide
40 100K:polyethylene glycol 4K (1:1) matrix, separated from the naproxen-containing layer, the
41 formulation could be easily processed and complete *in vitro* drug release was observed after
42 45min. When co-extruding the core/coat dosage form it was observed that a third layer of
43 polymer, separating the naproxen loaded enteric formulation in the core from the coat, is
44 required to prevent degradation of the acid-labile esomeprazole magnesium at the core/coat
45 interface.

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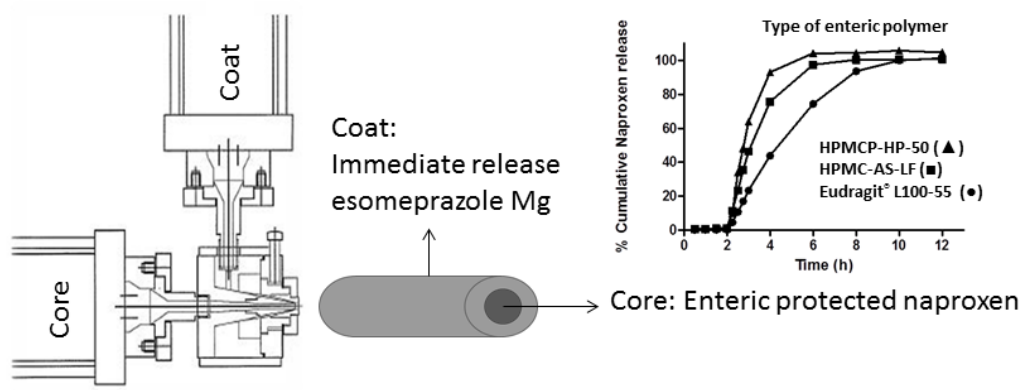
49

50 **Keywords:** enteric protection, hot-melt co-extrusion, continuous production, fixed-dose
51 combination product, matrix formulation

52 Chemical compounds studied in this article:

53 Naproxen (PubChem CID: 156391); EsomeprazoleMg (PubChem CID: 130564)

54 **Graphical abstract**



55

56 1. INTRODUCTION

57 Hot-melt co-extrusion is defined as the simultaneous hot-melt extrusion of two or more
58 materials creating a multi-layered extrudate (Vynckier et al., 2014a). This continuous
59 manufacturing technique still has to break through in pharmaceutical production, although
60 several literature reports are already available on the use of co-extrusion for oral drug delivery.
61 Quintavalle et al. (Quintavalle et al., 2007, 2008) were the first to produce cylindrical co-
62 extrudates with controlled drug release via hot-melt extrusion, using polyethylene glycol as
63 hydrophilic matrix and stearic acid or microcrystalline wax as hydrophobic matrix. Co-extruded
64 mini-matrices have recently been formulated using core/coat technology with drugs
65 incorporated in different polymer matrices in order to steer the release of different drugs
66 (Dierickx et al., 2012; Vynckier et al., 2014b) or to provide a dual release of a single drug
67 (Dierickx et al., 2013). Although co-extrusion offers the potential to formulate fixed-dose
68 combination products containing two chemically incompatible drugs in separate layers, this
69 application has not yet been reported.

70 The present study investigated if hot-melt co-extrusion allowed to manufacture a fixed-
71 dose combination product providing enteric protection to the active pharmaceutical ingredient
72 (API) incorporated in the core and immediate release to the API embedded in the coat. Several
73 enteric polymers were tested as core matrix former in combination with naproxen. This non-
74 steroidal anti-inflammatory drug (NSAID) was used as a model drug. Since gastro-protective
75 co-therapy using a proton pump inhibitor is recommended to decrease the incidence of NSAID-
76 related adverse events, esomeprazole magnesium was incorporated in the coat (Cryer et al.,
77 2011; Wang-Smith et al., 2012). Esomeprazole magnesium was formulated in a separate non-
78 enteric polymer layer providing immediate drug release, which is essential to achieve rapid
79 absorption of esomeprazole (Howden, 2005). For both the core and coat layers different
80 polymers were tested and their influence on release, physicochemical state characteristics and
81 stability was monitored. Finally it was evaluated if co-extrusion as an innovative processing
82 technique of core/coat dosage forms allowed to formulate the two chemically incompatible

- 83 API's in a bilayer fixed-dose combination and still offered the desired release profile for both
- 84 API's, as obtained by Wang-Smith et al. (2012).

85 **2. MATERIALS AND METHODS**

86 **2.1 Materials**

87 Naproxen (pKa 4.15) (Fagron, Waregem, Belgium) and esomeprazole magnesium
88 trihydrate (Nifty labs, Hyderabad, India) were chosen as model drugs. Vimovo[®] (AstraZeneca,
89 Brussels, Belgium), containing 500 mg enteric-coated naproxen and 20 mg non-enteric coated
90 esomeprazole Mg, was used as a commercially available reference. The following enteric
91 polymers were used: methacrylic acid – ethyl acrylate copolymer (1:1) Type A (Eudragit[®] L100-
92 55, Evonik, Darmstadt, Germany), hydroxypropyl methylcellulose acetate succinate (HPMC-
93 AS-LF, Aqoat[®] AS-LF, Shin-Etsu, Tokyo, Japan) and hydroxypropyl methylcellulose phthalate
94 (HPMCP-HP-50, Shin-Etsu, Tokyo, Japan). Triethyl citrate (TEC, Sigma-aldrich, Bornem,
95 Belgium) and talc (Luzenac[®] Pharma, Imerys Talc, Gent, Belgium) were used as excipients in
96 the core formulation. The polymers used in the coat formulation were polyethylene oxide 100K
97 (PEO 100K, Mw: 100000 g/mol, SentryTM Polyox[®] WSR N10, Colorcon, Dartford Kent, United
98 Kingdom), polyvinylpyrrolidone (Kollidon[®]12 PF, Mw: 2500 g/mol, BASF, Ludwigshafen,
99 Germany), hydroxypropyl methylcellulose (Methocel[®] E3, viscosity: 3 mPa.s, Colorcon,
100 Dartford Kent, United Kingdom), hydroxypropyl cellulose (Klucel[®] EF, Mw: 80000, Ashland,
101 Covington, USA) and polyethylene glycol 4K (PEG 4K, Mw: 4000 g/mol, Fagron, Waregem,
102 Belgium). All other chemicals were of analytical grade.

103 **2.2 Methods**

104 2.2.1 Hot-melt extrusion and co-extrusion

105 In a first step hot-melt extrusion was performed to select an appropriate polymer matrix for
106 core and coat separately, using a co-rotating Prism Eurolab 16 mm fully intermeshing twin-
107 screw extruder (ThermoFisher Scientific, Karlsruhe, Germany) connected to a co-extrusion die
108 having a core and coat insert with a diameter of 4 and 6 mm, respectively (Guill, West Warwick,
109 USA). For the core formulations the processing temperatures are given in Table 1. The coat
110 formulation was processed at a temperature of 100 °C in all zones of the extruder and the die.
111 Premixes of drug, polymer and additives were fed into the extruder using a Brabender

112 Flexwall® loss-in-weight powder feeder (Brabender, Duisburg, Germany) at a feed rate of 375
113 g/h for the coat and 300 g/h for the core material. A screw speed of 120 rpm was used for each
114 of the extruders.

115 In a second phase co-extrusion was carried out using two co-rotating Prism Eurolab 16
116 mm twin-screw extruders (ThermoFisher Scientific, Karlsruhe, Germany), both connected to
117 the co-extrusion die (Guill, West Warwick, USA). A cylindrical co-extrudate with a core
118 diameter of 4 mm and a concentric coat with a thickness of 1 mm (total co-extrudate diameter:
119 6 mm) was manufactured. After cooling to room temperature the cylindrical co-extrudate was
120 manually cut into cylinders of 10 mm length, which were used for further analysis.

121 2.2.2 *In vitro* drug release

122 *In vitro* dissolution was performed using United States Pharmacopeia (USP) dissolution
123 apparatus 2 (paddles) on an Evolution 6300 dissolution system (Distek, New Brunswick, New
124 Jersey, USA), coupled with an Evolution 4300 automatic dissolution sampler (Distek, New
125 Brunswick, New Jersey, USA). The temperature of the dissolution medium was kept at $37 \pm$
126 0.5 °C and the rotational speed of the paddles was set to 100 rpm. To characterize the release
127 of naproxen from the core of the extrudates 750 ml of a 0.1 N solution of HCl was used as the
128 dissolution medium for the first 2 h. After collecting the 2 h sample, 250 ml $\text{Na}_3\text{PO}_4 \cdot 12\text{H}_2\text{O}$ 0.2
129 M was added to the dissolution vessel to adjust the pH of the medium to 6.8. Samples (filtered
130 using Distek 45 μm filters) of 5 ml were withdrawn after 0.5, 1, 1.5 and 2 h in the acid stage
131 and consequently after 15 min, 30 min, 45 min, 1 h, 2 h, 4 h, 6 h, 8 h and 10 h in the pH 6.8
132 buffer stage. To assess the esomeprazole magnesium release from drug-loaded coat of the
133 extrudates a dissolution test in demineralized water was performed for 2 h. For this *in vitro*
134 dissolution test samples of 5 ml were withdrawn after 5, 10, 15, 20, 30, 45, 60, 90 and 120 min.
135 Each experiment was performed in triplicate.

136 2.2.3 Ultra high performance liquid chromatography (UHPLC) analysis

137 For the determination of both active compounds in the dissolution samples an ultra high
138 performance liquid chromatography (UHPLC) analysis was performed using a reversed-phase

139 C₁₈ column with a gradient system (10 min) based on aqueous 10 mM ammonium acetate (A)
140 and acetonitrile (B). The gradient used was: a linear ramp from 0 to 5 min going from 85 % A
141 + 15 % B to 50 % A + 50 % B, changing over to 5 % A + 95 % B at 5.1 min, maintained for 1.8
142 min and afterwards changing over to chromatographic start conditions 85 % A + 15 % B from
143 6.9 min to 7 min, followed by an equilibration of 3 min preceding the next injection. An Acquity
144 CSH C₁₈ column (1.7 µm particle size, 2.1 x 100 mm) (Waters, Brussels, Belgium) was used
145 in an oven set at 40 °C. The flow rate was set at 0.35 ml/min, injection volume was 0.3 µl. A
146 photo-diode array detector (Acquity, Waters, Brussels, Belgium) was used. For the
147 quantification of esomeprazole a detection wavelength of 290 nm was used, whereas for
148 naproxen the detection wavelength was set at 260 nm. An appropriate calibration curve was
149 applied for quantification of esomeprazole and naproxen, respectively.

150 For the quantification and purity determination of esomeprazole in the solid dosage forms
151 a verified UHPLC method was developed, using an Acquity CSH C₁₈ column (1.7 µm particle
152 size, 2.1 x 100 mm) (Waters, Brussels, Belgium) in an oven set at 40 °C, with a gradient system
153 (30 min) based on the same two-component mobile phase system: aqueous 10 mM
154 ammonium acetate (A) and acetonitrile (B). The gradient used here was: a linear ramp from 2
155 to 20 min going from 90 % A + 10 % B to 5 % A + 95 % B, holding this condition for 5 min and
156 afterwards changing over to chromatographic start conditions 90 % A + 10 % B from 25 to 25.1
157 min, maintaining this condition for 4.9 min as an equilibration step preceding a next injection.
158 The flow rate was set at 0.35 ml/min, an injection volume of 1.2 µl was used. For the
159 quantification of esomeprazole a photo-diode array detector (Acquity, Waters, Brussels,
160 Belgium), with a detection wavelength set at 301 nm, was used. Sample preparation was
161 performed by stirring the extrudates in a 10 ml flask filled with demineralized water : acetonitrile
162 in a 1 : 1 ratio. An appropriate calibration curve was applied for quantification of esomeprazole.

163 The UHPLC system consisted of an isocratic solvent pump, an automatic autosampler and
164 a column oven (Acquity, Waters, Brussels, Belgium). Peak integration and data acquisition
165 was performed using the software package Empower[®] (Waters, Brussels, Belgium).

166 2.2.4 Modulated differential scanning calorimetry (MDSC)

167 The crystallinity of naproxen in the enteric core matrix and the thermal behavior of pure
168 compounds, physical mixtures and corresponding extrudates were studied using a differential
169 scanning calorimeter Q2000 V24.8 equipped with a refrigerated cooling system (TA
170 Instruments, Leatherhead, UK). Nitrogen was used as purge gas through the DSC cell (50
171 ml/min) and the RCS unit (300 ml/min). Samples (± 8 mg) were run in hermetically closed
172 Tzero pans with perforated lid, supplied by TA Instruments, with an underlying heating rate of
173 2 °C/min. The modulation period and amplitude were set at 60 s and 0.318 °C, respectively.
174 After a first heating cycle to 175 °C, samples were cooled to -30 °C using a linear cooling rate
175 of 10 °C/min. Finally, a second modulated heating cycle was applied. Mass of sample pan and
176 empty reference pan were taken into account. Temperature and enthalpy calibration were
177 performed using an indium standard, whereas calibration of the heat capacity was performed
178 using a sapphire standard. MDSC data were analyzed using the TA Instruments Universal
179 Analysis 2000 V4.7A software. Melting enthalpies and glass transition temperatures were
180 determined in the total heat flow signal and reversing heat flow signal, respectively. Reported
181 glass transition temperatures of the physical mixtures were determined in the second heating
182 cycle to ensure maximal interaction between the compounds and to simulate a thermal history
183 comparable to the extrudates when analyzed during the first heating cycle in MDSC. The
184 degree of crystallinity was calculated comparing the melting enthalpy of the naproxen melting
185 peak in the analyzed sample to that of pure naproxen (147.2 J/g).

186 2.2.5 X-ray diffraction

187 Crystallinity was analyzed using X-ray diffraction (XRD) on pure compounds, physical
188 mixtures and corresponding extrudates. X-ray diffraction was performed on a D5000
189 diffractometer with Cu K α radiation ($\lambda = 1.54 \text{ \AA}$) (Siemens, Karlsruhe, Germany) and a voltage
190 of 40 mV in the angular range (2θ) varying from 4 to 60 ° using a step scan mode with a step
191 size of 0.02 ° and a measuring time of 1 s/step.

192 2.2.6 Attenuated total reflection Fourier-transform infrared analysis

193 Attenuated total reflection Fourier-transform infrared (ATR FT-IR) spectroscopy was
194 performed on the pure substances, physical mixtures and extrudates to identify molecular
195 interactions formed between naproxen and the enteric polymers during extrusion. Spectra
196 were recorded in absorbance mode using a Nicolet iS5 ATR FT-IR spectrometer
197 (ThermoFisher Scientific, Karlsruhe, Germany). A diamond ATR crystal was pressed against
198 the samples in order to obtain the ATR FT-IR spectra in the 4000 – 550 cm⁻¹ range, with a
199 resolution of 4 cm⁻¹, averaged over 32 scans.

200 2.2.7 Stability study

201 Clear core extrudates formulated with different polymer matrices and 30 % naproxen
202 (Table 1), and core extrudates containing 50 % naproxen and 50 % HPMC-AS-LF were
203 manufactured to perform a stability study. Immediately after extrusion, the formulations were
204 filled in an amber glass container and stored in closed condition at 25 °C / 60 %RH and in open
205 and closed condition at 40 °C / 75 %RH. To investigate the influence of storage MDSC, XRD,
206 and *in vitro* drug release tests were performed on the extrudates immediately after
207 manufacturing (T0), after 1 week (T1w), 2 weeks (T2w), 1 month (T1m) or 6 weeks (T6w), 3
208 months (T3m) and 6 months (T6m) storage.

209

210 3. RESULTS AND DISCUSSION

211 In order to formulate a core/coat fixed-dose combination product via co-extrusion both
212 layers were first independently developed. Afterwards the compatibility of the core and coat
213 matrices was checked. Finally it was evaluated if the final drug-loaded formulations were
214 compatible and if a fixed-dose combination product with the desired release characteristics
215 could be manufactured via co-extrusion.

216 3.1. Core formulation

217 To develop a core matrix formulation providing enteric protection for naproxen, using hot-
218 melt extrusion (HME) as production technology, three enteric polymers were compared:
219 methacrylic acid - ethylacrylate copolymer (Eudragit® L100-55), hypromellose acetate
220 succinate (HPMC-AS-LF) and hypromellose phthalate (HPMCP-HP-50). Hot-melt extrusion of
221 these polymers in combination with 15% naproxen required a plasticizer as without plasticizer
222 the torque values during extrusion were too high. Although naproxen (with a melting point at
223 156.1 °C and a glass transition temperature (T_g) of 6.2 °C) (Alleso et al., 2009) had a
224 concentration-dependent plasticizing effect on these polymers (Table 2), the effect of this
225 polymer/drug interaction on the process temperature and/or torque during extrusion cannot be
226 exploited to its full extent as the plasticizing effect was only evident during the second heating
227 cycle of the MDSC analysis of a physical mixture. Hence, these drug/polymer interactions were
228 only established after intense intermolecular contact following the 1st heating phase of the
229 MDSC experiment.

230 TEC was an efficient plasticizer for Eudragit® L100-55, reducing its T_g from 117.7 °C to
231 108.5 and 90.2 °C after the 1st and 2nd heating cycle, respectively, at a concentration of 10 %
232 TEC. For this formulation a screw speed of 120 rpm and a higher processing temperature at
233 the die-end of the barrel was required to reduce die swell. The addition of 10 % talc to the
234 formulation was critical as it improved the flow properties of the powder, ensuring consistent
235 feeding of the powder into the extruder. When 10 % TEC was added to HPMC-AS-LF the T_g
236 lowered from 122.8 °C to 97.5 and 91.1 °C after the 1st and 2nd heating cycle respectively. This

237 formulation, containing 15 % naproxen, yielded an extrudate with a smooth appearance and
238 without die swell when processed at 150 °C. The addition of 10 % TEC to HPMCP-HP-50 as
239 enteric polymer reduced T_g from 142.1 °C to 126.6 and 95.7 °C after the 1st and 2nd heating
240 cycle, respectively. The 15 % drug-loaded formulation yielded extrudates that were
241 processable at 145 °C, but had an irregular surface.

242 At a 15 % naproxen content hot-melt extrusion of all polymer formulations resulted in clear
243 extrudates with the entire drug content molecularly dispersed in the polymer matrix. A higher
244 drug load (30 %) resulted in opaque formulations with a significant degree of crystallinity.
245 However, the extrusion temperature was critical to the physicochemical state of the drug in the
246 extrudates as nearly the entire naproxen content was molecularly dispersed in the polymer
247 matrices when processed at a higher temperature (Table 1), e.g. HPMC-AS-LF mixtures with
248 30 % drug processed at 100 and 120 °C contained 29.0 and 2.6 % crystalline drug,
249 respectively. This was also reflected in the X-ray diffractogram of the Eudragit® L100-55
250 extrudates processed at different extrusion temperatures (Fig. 1). Interestingly the
251 hypromellose-based polymers containing a higher naproxen content could be extruded at a
252 lower temperature, even when they contained a significant crystalline drug fraction. This can
253 be linked to the plasticizing effect of naproxen on these polymers: thermal processing of
254 mixtures with a higher drug content induced more interaction between drug and polymer in the
255 extrusion barrel. Hence, a lower extrusion temperature could be employed, without risking too
256 high torque values. This plasticizing effect of naproxen was even more evident for a HPMC-
257 AS-LF formulation containing 50 % naproxen which could be processed without plasticizer at
258 an extrusion temperature of 120/120/120/110/110/100/100 °C from feed opening to die-end,
259 despite its high percentage of crystalline drug.

260 The *in-vitro* naproxen release profiles of the different polymer formulations containing 10
261 % TEC as a plasticizer and loaded with 15 % naproxen are shown in Fig. 2. For all formulations
262 naproxen release in 0.1 N HCl was prevented for 2 h. In pH 6.8 buffer HPMCP-HP-50 matrices
263 showed a faster release rate compared to HPMC-AS-LF and Eudragit® L100-55 formulations.
264 Although the process temperature did affect the API's physicochemical state for the 30 %

265 naproxen formulations, it did not have a significant effect on the release profiles. The enteric
266 protection of naproxen during a 2 h period was not impaired in formulations containing 30 and
267 50 % drug. However, naproxen release in pH 6.8 buffer was determined by drug concentration:
268 after 2 h HPMC-AS-LF matrices containing 30 and 50 % naproxen released 58 and 81 % of
269 their drug content, respectively (Fig. 3). Drug release from the core matrix was diffusion and
270 erosion controlled as it was observed that the core slowly eroded during dissolution testing.

271 A stability study was performed on the transparent extrudates containing 30 % naproxen
272 and 10 % TEC. Independent of the matrix polymer, naproxen completely recrystallized after
273 two weeks storage at 40 °C / 75 %RH, while XRD analysis detected no recrystallization over
274 a 6 month period in a hypromellose matrix stored at 25 °C / 60 %RH. For the 50 % naproxen
275 formulation in HPMC-AS-LF the physicochemical state nor the dissolution profiles of the drug
276 had changed after 6 months storage at the different storage conditions (data not shown).

277 To identify intermolecular interactions between naproxen and polymer (Eudragit® L100-55,
278 HPMC-AS-LF), Fourier-transform infrared (FT-IR) spectra were collected of transparent
279 extrudates, containing 30 % drug and plasticized with 10 % TEC, immediately after
280 manufacturing and after 2 weeks storage at 40 °C / 75 %RH in open condition (i.e. after
281 recrystallization of the drug). From the FT-IR spectra of the Eudragit® L100-55 formulation
282 shown in Fig. 4 and Fig. 5 it is suggested that naproxen is mainly molecularly dispersed in the
283 formulation immediately after processing, since some of the peaks characteristic for naproxen
284 completely disappeared, e.g. peaks at 1347 cm⁻¹ (rocking of OH of the carboxyl group (Balci,
285 2014)) and 642 cm⁻¹ (wagging of OH of the carboxyl group (Balci, 2014)), while others
286 broadened, e.g. peaks at 1416 cm⁻¹ (in-plane bending of CH of the naphthalene ring (Balci,
287 2014)) and 1628 cm⁻¹ (bond stretching of the naphthalene ring (Balci, 2014)), confirming the
288 loss of crystalline material (1.3 %). After storage for 2 weeks at 40 °C / 75 %RH the visual
289 recrystallization in the extrudate was confirmed by the appearance of characteristic naproxen
290 peaks in the FT-IR spectra (Fig. 5). The changing ratio between the peaks at 1727 cm⁻¹ and
291 1686 cm⁻¹ (attributed to non-hydrogen and hydrogen bonded –C=O stretching of the crystal
292 structure (Paudel and Van den Mooter, 2012)) before and after storage implied that the amount

293 of hydrogen bonds formed during processing between the drug and the matrix decreased over
294 time (Fig. 6). While the interaction between drug and polymer is maximal immediately after
295 processing, the reduction of the peak at 1686 cm^{-1} after storage clearly indicated that the
296 amount of hydrogen bonds decreased. The peak shifts observed in the extrudates for the
297 naproxen peaks at 1227 cm^{-1} (stretching of CO of the methoxy group (Balci, 2014)) and 1603
298 cm^{-1} (bond stretching of the naphthalene ring (Balci, 2014)) are another indication of the
299 hydrogen bond interaction between drug and matrix (Fig. 4). Also the HPMC-AS-LF
300 formulations showed a partial recrystallization over time. The characteristic naproxen peaks
301 were more pronounced in the FT-IR spectra of the extrudates after storage. Moreover after
302 storage the characteristic naproxen peaks in the FT-IR spectra of the extrudate were not
303 different from those of pure naproxen (Fig. 7). Also at 1727 and 1686 cm^{-1} (Fig. 8) the FT-IR
304 spectrum of the stored extrudate has the same profile as pure drug. This indicated that there
305 is no permanent interaction between HPMC-AS-LF and naproxen.

306 **3.2. Coat formulation**

307 Formulation of esomeprazole magnesium presents a challenge since degradation of the
308 drug can occur due to a high process temperature and in acidic environment (Razzaq et al.,
309 2012). When formulating esomeprazole magnesium in the enteric polymers Eudragit® L100-
310 55, HPMC-AS-LF and HPMCP-HP-50 complete degradation of the drug occurred, most likely
311 due to the presence of acidic groups in the polymers. Therefore esomeprazole magnesium
312 was formulated in an immediate release polymer, separated from the naproxen-containing
313 enteric layer. A similar approach was used in a commercially available combination product of
314 naproxen and esomeprazole magnesium (Vimovo®) which is formulated as an enteric-coated
315 naproxen tablet with a non-enteric-coated esomeprazole magnesium layer on top (both layers
316 are physically separated via a barrier coat). The immediate release polymers tested were PEO
317 100K, Kollidon®12 PF, Klucel® EF and Methocel® E3. While extrusion of the PEO 100K
318 formulation was feasible at a process temperature of $100\text{ }^{\circ}\text{C}$, the other polymers required a
319 processing temperature of $130\text{ }^{\circ}\text{C}$, even with the addition of a plasticizer, and as a result more

320 esomeprazole magnesium degradation occurred: only 40 to 75 % of the drug content was
321 recovered after extrusion, vs. 94 % drug recovery in the PEO 100K formulation. As drug
322 release from the PEO 100K polymer was limited to 70 % after 45 min, PEG 4K was added to
323 the mixtures: complete drug release was observed after 45 min in combination with smooth
324 processing (lower torque) for a 2 % esomeprazole magnesium loaded PEO 100K : PEG 4K (1
325 : 1) formulation (Fig. 9). The coat layer rapidly and completely dissolved during dissolution
326 testing.

327 Thermal analysis of the physical mixture and the extruded formulation only revealed a
328 melting endotherm of PEO 100K and PEG 4K, due to dissolution of the esomeprazole
329 magnesium crystals in molten polymer. While two distinct melting endotherms were detected
330 for the physical mixture, only a single endotherm was visible in the extruded sample, indicating
331 the formation of a single phase system.

332 **3.3. Co-extrudate formulation**

333 After evaluating the naproxen-containing enteric layer and the esomeprazole magnesium-
334 containing immediate release layer separately, co-extrusion of 50 % naproxen in the HPMC-
335 AS-LF core and 2 % esomeprazole magnesium in the PEO 100K : PEG 4K 1:1 coat yielded
336 an opaque co-extrudate with a smooth surface. However, after cooling of the co-extrudate
337 discoloration was observed at the interface of core and coat (Fig. 10), and *in vitro* dissolution
338 revealed that only 72 % of the esomeprazole content could be recovered, despite the fast and
339 complete dissolution of the PEO / PEG layer. Since this was not seen when processing the co-
340 extrudate with a placebo HPMC-AS-LF + 10 % TEC core, the discoloration is most probably
341 due to an interaction between the naproxen fraction at the core surface and esomeprazole
342 magnesium in the coat, leading to degradation of the acid-labile esomeprazole magnesium. A
343 possible solution to this problem could be the extrusion of a barrier layer between core and
344 coat. This technique is already applied for the production of multi-layer films in packaging
345 applications (Thellen et al., 2009, 2012), but could not be evaluated at this stage as it implies
346 the use of a third extruder.

347 **4. CONCLUSION**

348 Hot-melt extrusion was a suitable technique to manufacture an enteric 50 % naproxen-
349 loaded dosage form. Producing a fixed-dose combination product also containing
350 esomeprazole magnesium in a separate immediate releasing coat was not an adequate
351 solution to prevent interaction between both chemically incompatible API's. Co-extrusion as a
352 continuous one-step manufacturing process for the production of a fixed-dose combination
353 product providing enteric release to naproxen and immediate release to esomeprazole only
354 would be feasible when a third layer of polymer, separating the naproxen loaded enteric
355 formulation in the core from the coat, would be applied to prevent interaction between both
356 API's.

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372

373 **References**

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419 **Tables**

420 **Table 1.** Extrusion temperature, degree of crystallinity and extrudate appearance of 15, 30 and
421 50 % naproxen loaded core extrudates, with different matrices.

422 **Table 2.** Glass transition temperatures (T_g) of placebo and drug-loaded (15 and 30 %) physical
423 mixtures measured by MDSC in a 2nd heating cycle.

424

425 **Tables**

426 **Table 1.** Extrusion temperature, degree of crystallinity and extrudate appearance of 15, 30 and
 427 50 % naproxen loaded core extrudates, with different matrices.

| Matrix polymer | TEC conc.* | Naproxen load | Processing temperature(°C) (from feed opening to die-end) | Appearance | Degree of crystallinity |
|-------------------|------------|---------------|--|--------------|-------------------------|
| Eudragit® L100-55 | 10 % | 15 % | 100/100/100/100/120/120/120 | clear | / |
| HPMC-AS-LF | 10 % | 15 % | 150/150/150/150/150/150/150 | clear | / |
| HPMCP-HP-50 | 10 % | 15 % | 145/145/145/145/145/145/145 | clear | / |
| Eudragit® L100-55 | 10 % | 30 % | 110/110/110/110/125/125/125 | clear | 1.3 % |
| | | | 100/100/100/100/110/110/110 | opaque | 37.3 % |
| HPMC-AS-LF | 10 % | 30 % | 120/120/120/120/120/120/120 | clear | 2.6 % |
| | | | 100/100/100/100/100/100/100 | opaque | 29.0 % |
| HPMCP-HP-50 | 10 % | 30 % | 130/130/130/130/130/130/130 | clear | 0.7 % |
| | | | 115/115/115/115/115/115/115 | opaque spots | 1.5 % |
| HPMC-AS-LF | / | 50 % | 120/120/120/110/110/100/100 | opaque | 70.8 % |

428 *The concentration of plasticizer is expressed in relation to the matrix polymer

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432

433 **Table 2.** Glass transition temperatures (T_g) of placebo and drug-loaded (15 and 30 %) physical
434 mixtures measured by MDSC in a 2nd heating cycle.

| Formulation | T_g (° C) | | |
|---------------------------|---------------------------|---------------------|----------------------|
| | Eudragit®L100-55 + 10%TEC | HPMC-AS-LF + 10%TEC | HPMCP-HP-50 + 10%TEC |
| 100% matrix | 90.2 | 91.1 | 95.7 |
| 85% matrix + 15% naproxen | 61.4 | 63.4 | 59.0 |
| 70% matrix + 30% naproxen | 24.9 | 24.2 | 26.9 |

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437 **Figures**

438 **Fig. 1.** X-ray diffraction patterns of (from bottom to top): naproxen (A), Eudragit[®] L100-55 (B),
439 the formulation containing 30 % naproxen in a 70 % (Eudragit[®] L100-55 : TEC 9:1) matrix,
440 processed at, from feed opening to die-end, 110/110/110/110/125/125/125 °C (C) and
441 100/100/100/100/110/110/110 °C (D).

442 **Fig. 2.** *In-vitro* naproxen release profile of formulations containing 15 % naproxen and an
443 enteric polymer, plasticized with 10 % TEC: Eudragit[®] L100-55 (●), HPMC-AS-LF (■), HPMCP-
444 HP-50 (▲). Dissolution in 0.1 N HCl (2 h) and pH 6.8 buffer (10 h) at 37 °C using paddle
445 dissolution system at 100 rpm (Mean ± SD; n=3).

446 **Fig. 3.** *In-vitro* naproxen release profile of two extruded HPMC-AS-LF formulations with 30 (●)
447 and 50 (■) % drug load. Dissolution in 0.1 N HCl (2 h) and pH 6.8 buffer (10 h) at 37 °C using
448 paddle dissolution system at 100 rpm (Mean ± SD; n=3).

449 **Fig. 4.** ATR FT-IR spectra of naproxen (blue), Eudragit[®] L100-55 (green), physical mixture of
450 Eudragit[®] L100-55 plasticized with 10 % TEC and a drug load of 30 % naproxen (red) and the
451 extrudate of the same formulation immediately after processing (yellow).

452 **Fig. 5.** ATR FT-IR spectra of naproxen (blue), physical mixture of Eudragit[®] L100-55
453 plasticized with 10 % TEC and a drug load of 30 % naproxen (red) and the extrudate of the
454 same formulation immediately after processing (yellow) and after storage for 2 weeks at 40 °C
455 / 75 %RH (grey).

456 **Fig. 6.** ATR FT-IR spectra of naproxen (blue), physical mixture of Eudragit[®] L100-55
457 plasticized with 10 % TEC and a drug load of 30 % naproxen (red), the extrudate of the same
458 formulation immediately after processing (yellow) and after storage for 2 weeks at 40 °C / 75
459 %RH (grey).

460 **Fig. 7.** ATR FT-IR spectra of naproxen (blue), HPMC-AS-LF (green), the physical mixture of
461 HPMC-AS-LF plasticized with 10 % TEC and a drug load of 30% naproxen (red), the extrudate

462 of the same formulation immediately after processing (yellow) and after storage for 2 weeks at
463 40 °C / 75 %RH (grey).

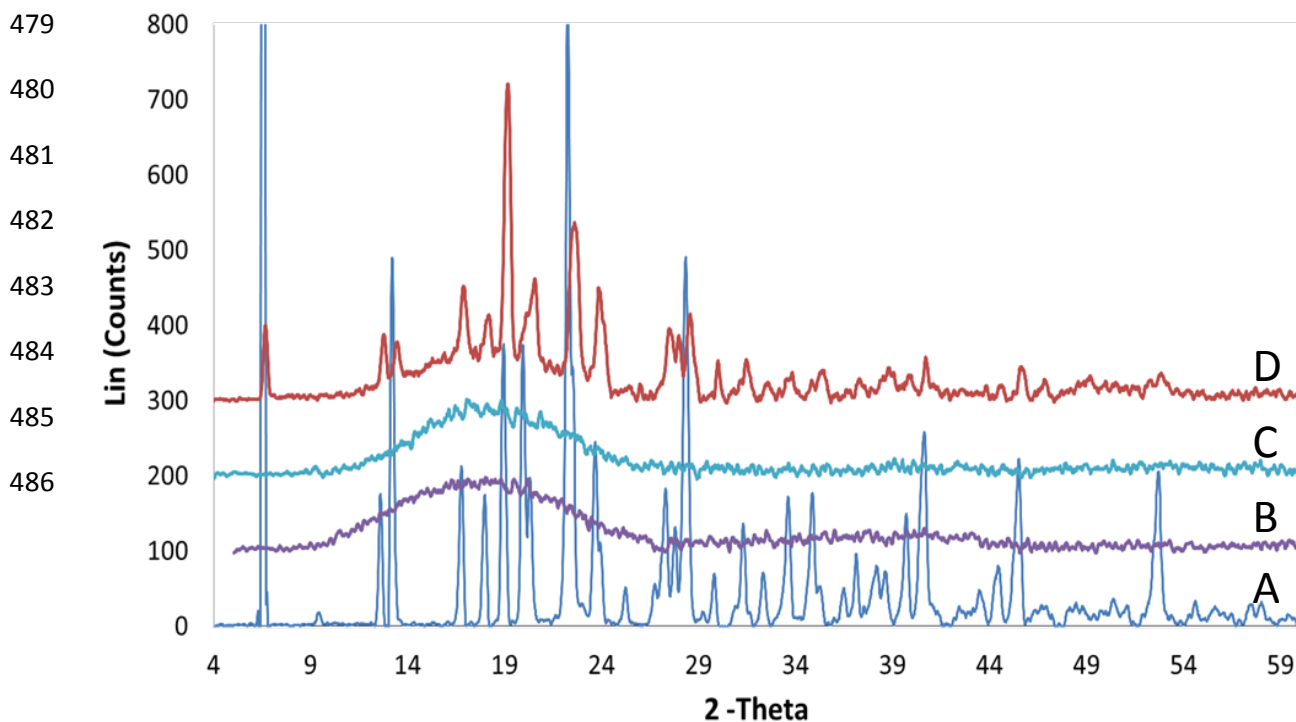
464 **Fig. 8.** ATR FT-IR spectra of naproxen (blue), physical mixture of HPMC-AS-LF plasticized
465 with 10 % TEC and a drug load of 30 % naproxen (red), the extrudate of the same formulation
466 immediately after processing (yellow) and after storage for 2 weeks at 40 °C / 75 %RH (grey).

467 **Fig. 9.** *In-vitro* esomeprazole Mg release from the coat extrudate containing 2 % esomeprazole
468 Mg formulated in PEO 100K : PEG 4K 1 : 1 (▲) and pure esomeprazole Mg powder (●).
469 Dissolution in demineralized water for 2 h at 37 °C using paddle dissolution system at 100 rpm
470 (Mean ± SD; n=3).

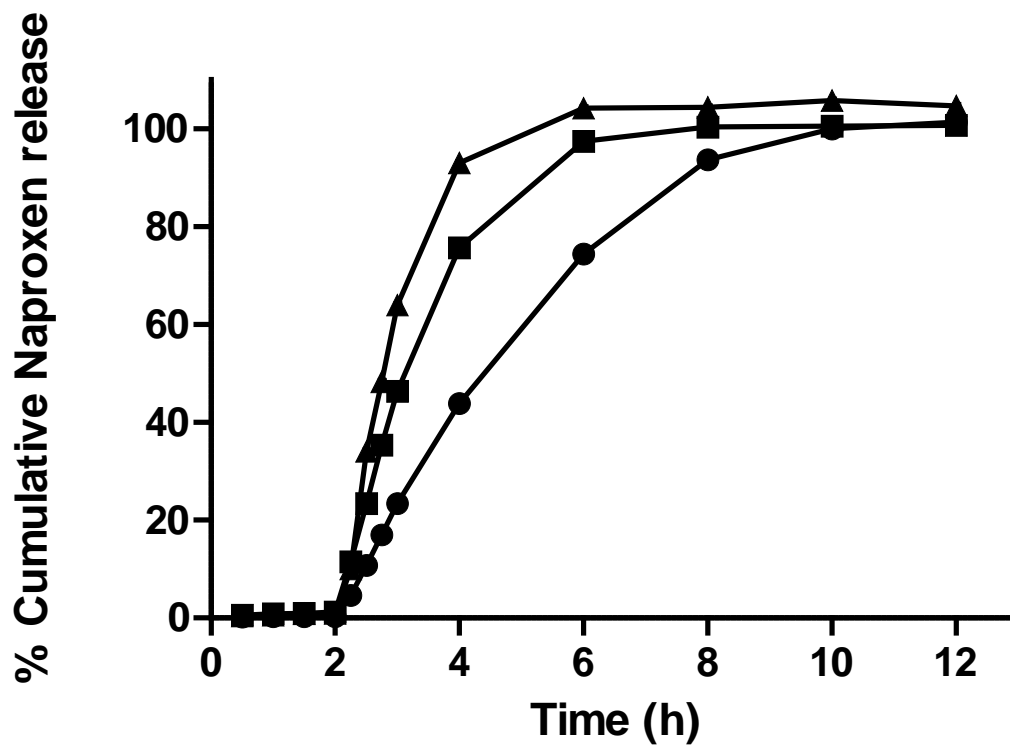
471 **Fig. 10.** Top view and detail of separated core and coat layer from final co-extrudate,
472 containing 50 % naproxen in the HPMC-AS-LF core and 2 % esomeprazole magnesium in
473 the PEO 100K : PEG 4K 1:1 coat, showing a discoloration at the core surface.

474 **Figures**

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476 the formulation containing 30 % naproxen in a 70 % (Eudragit® L100-55 : TEC 9:1) matrix,
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478 100/100/100/100/110/110/110 °C (D).



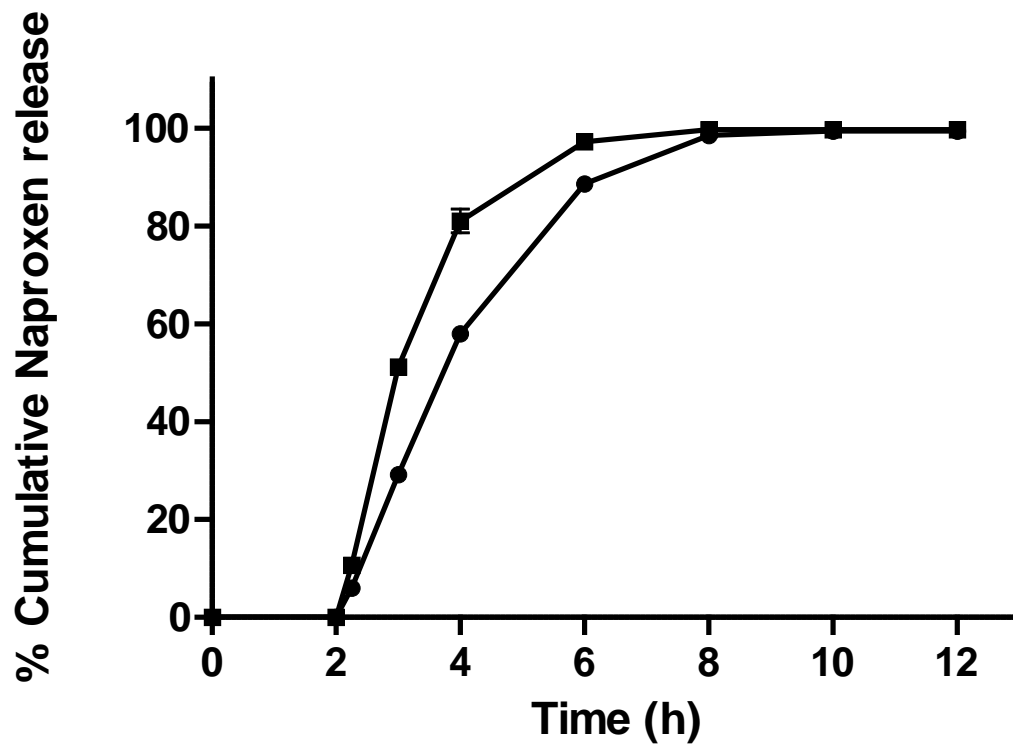
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489 HP-50 (▲). Dissolution in 0.1 N HCl (2 h) and pH 6.8 buffer (10 h) at 37 °C using paddle
490 dissolution system at 100 rpm (Mean ± SD; n=3).



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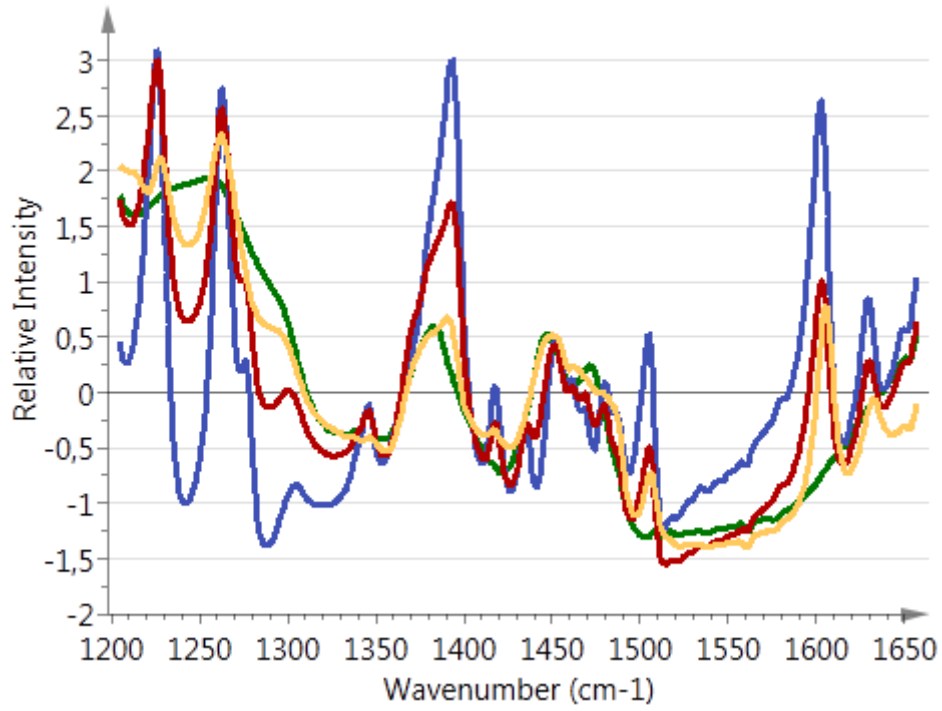
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494 and 50 (■) % drug load. Dissolution in 0.1 N HCl (2 h) and pH 6.8 buffer (10 h) at 37 °C using
495 paddle dissolution system at 100 rpm (Mean ± SD; n=3).



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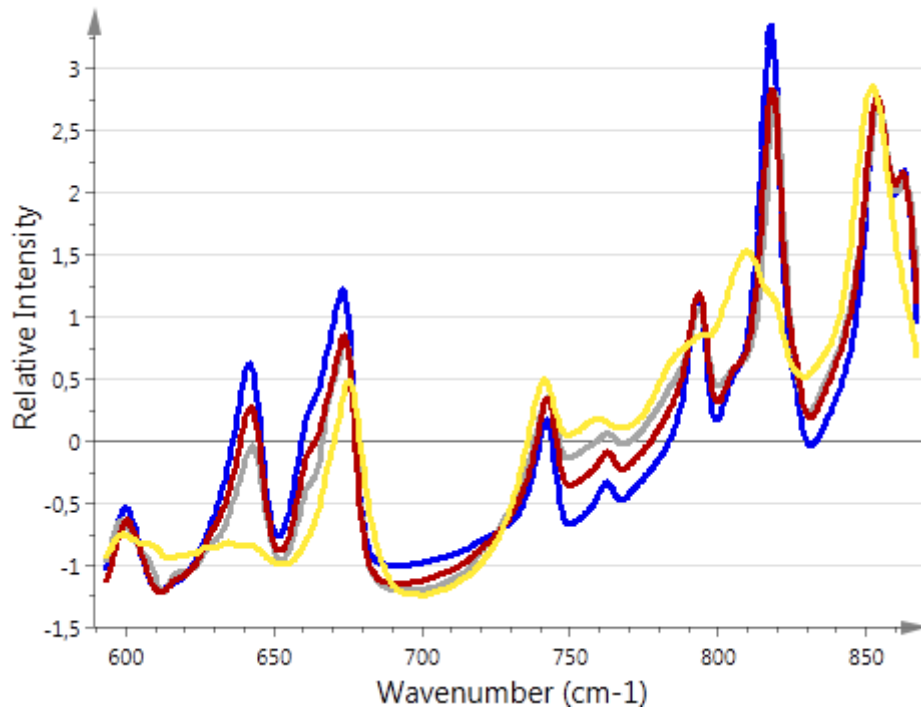
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499 Eudragit® L100-55 plasticized with 10 % TEC and a drug load of 30 % naproxen (red) and the
500 extrudate of the same formulation immediately after processing (yellow).



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503 **Fig. 5.** ATR FT-IR spectra of naproxen (blue), physical mixture of Eudragit[®] L100-55
504 plasticized with 10 % TEC and a drug load of 30 % naproxen (red) and the extrudate of the
505 same formulation immediately after processing (yellow) and after storage for 2 weeks at 40 °C
506 / 75 %RH (grey).

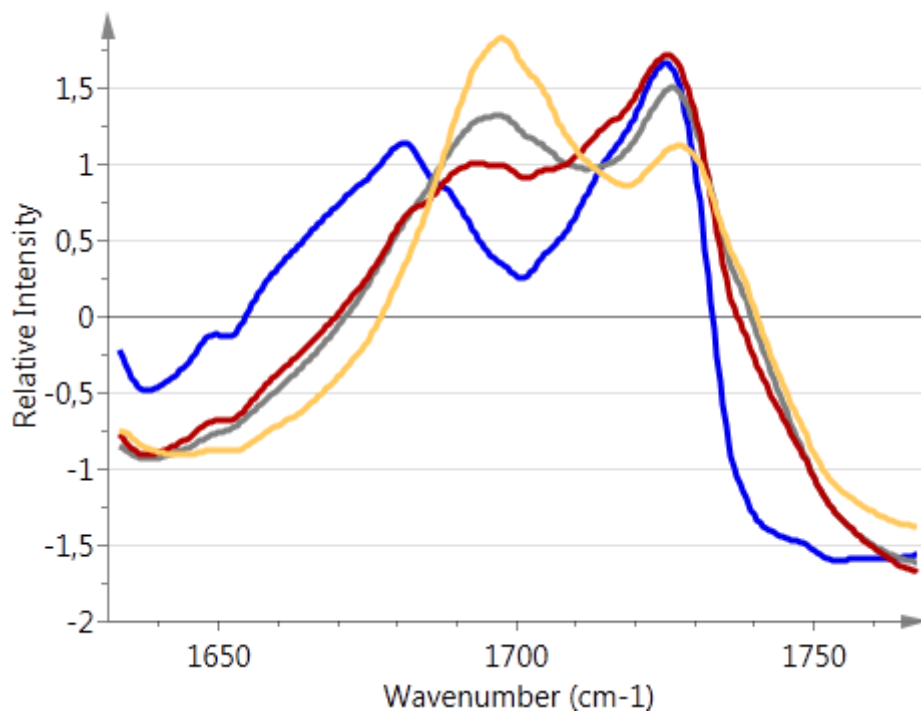


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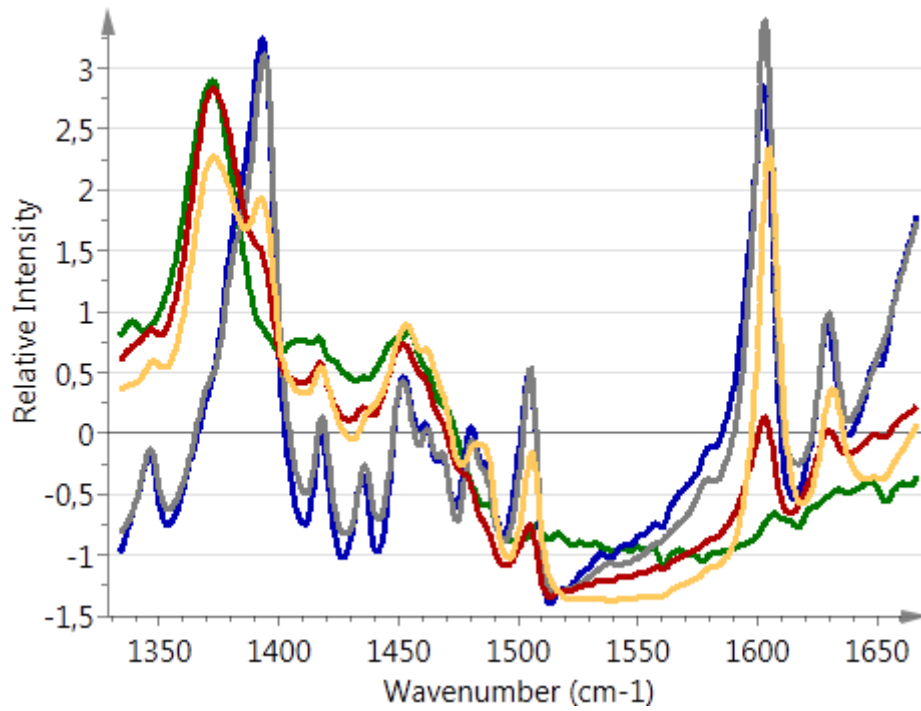
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510 plasticized with 10 % TEC and a drug load of 30 % naproxen (red), the extrudate of the same
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512 %RH (grey).

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515 **Fig. 7.** ATR FT-IR spectra of naproxen (blue), HPMC-AS-LF (green), the physical mixture of
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517 of the same formulation immediately after processing (yellow) and after storage for 2 weeks at
518 40 °C / 75 %RH (grey).



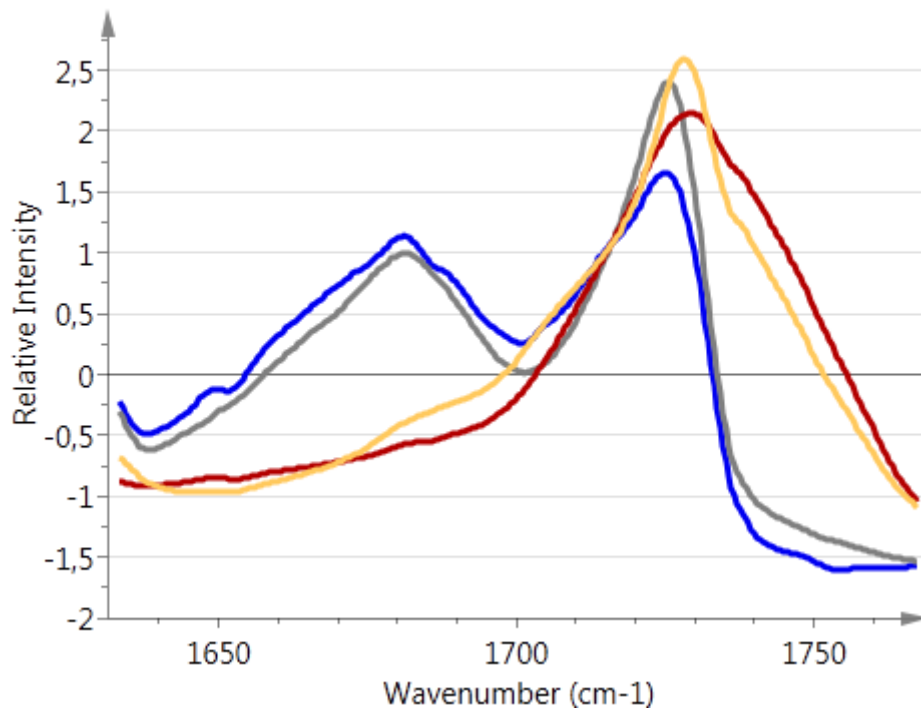
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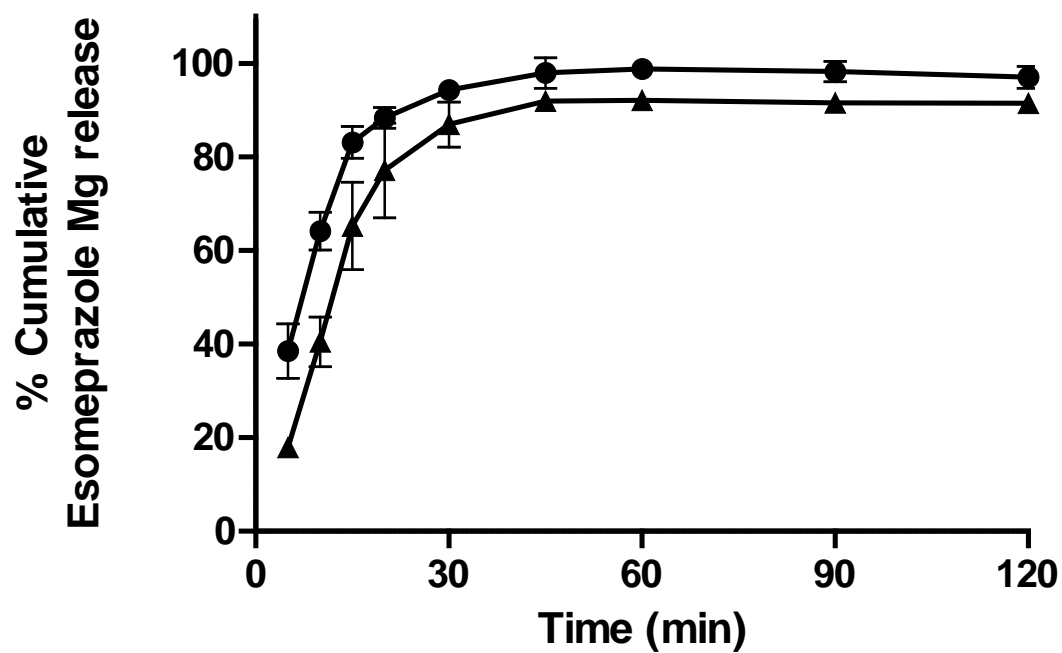
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526

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533 **Fig. 10.** Top view and detail of separated core and coat layer from final co-extrudate,
534 containing 50 % naproxen in the HPMC-AS-LF core and 2 % esomeprazole magnesium in the
535 PEO 100K : PEG 4K 1:1 coat, showing a discoloration at the core surface.

536 Co-extrudate Core Coat

537

