1	Notch3 activation is sufficient but not required for inducing human T-lineage
2	specification.
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18	Running Title: Notch1 is essential for human T-lineage specification.
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This work was supported by the Odysseus program of the Fund for Scientific Research Flanders (FWO) and grants from the FWO, the Flemish Institute for the advancement of Scientific-Technological Research in the Industry (IWT), the Interuniversity Attraction Poles Program (IUAP) from the Belgian Science Policy and the Concerted Research Action of Ghent University (GOA). EW is a PhD student supported by a grant from Faculty of Medicine and Health Sciences and from the Ghent University. IVdW was supported by a grant from the IWT and is currently a FWO postdoc. TK is a senior clinical investigator of the FWO.

#### 28 Abstract

29 While the role for the individual Notch receptors in early hematopoiesis have been thoroughly 30 investigated in mouse, studies in human have been mostly limited to the use of pan-Notch 31 inhibitors. However, such studies in human are important to predict potential side-effects of specific Notch receptor blocking reagents since these are currently being considered as 32 33 therapeutic tools to treat various Notch-dependent diseases. Here, we studied the individual 34 roles of Notch1 and Notch3 in early human hematopoietic lineage decisions, particularly 35 during T-lineage specification. While this process in mice is solely dependent on Notch1 36 activation, we recently reported Notch3 expression in human uncommitted thymocytes, 37 raising the possibility that Notch3 mediates human T-lineage specification. Although expression of a constitutive activated form of Notch3 (ICN3) results in the induction of T-38 lineage specification in human CD34<sup>+</sup> hematopoietic progenitor cells, similar to ICN1 39 40 overexpression, loss-of-function studies using blocking antibodies reveal that only Notch1, 41 but not Notch3, is critical in this process. Blocking of Notch1 activation in OP9-DLL4 co-42 cultures resulted in a complete block in T-lineage specification and induced monocytic and 43 plasmacytoid dendritic cell differentiation instead. In fetal thymus organ cultures, impeded 44 Notch1 activation resulted in B and dendritic cell development. In contrast, Notch3 blocking 45 antibodies only marginally affected T-lineage specification and hematopoietic differentiation 46 with a slight increase in monocyte development. No induction of B or dendritic cell 47 development was observed. Thus, our results unambiguously reveal a non-redundant role for 48 Notch1 in human T-lineage specification, despite the expression of other Notch receptors.

### 49 Introduction

50 In mammals, the Notch pathway is composed of a highly conserved family of four different 51 Notch receptors (Notch1-4) that can be activated through five different ligands (Delta like 1, 3) 52 and 4 and Jagged 1 and 2). Activation results in cleavage of the transmembrane Notch 53 receptor, thereby releasing the intracellular part of the protein (intracellular Notch, ICN) that 54 subsequently migrates to the nucleus to activate downstream Notch target gene expression (1). 55 Notch signaling is involved in various developmental programs and cell fate decisions (2). As 56 a result, Notch mutations have been implicated in various malignancies, including 57 neurological disorders (3), cancers (4) and immune-related diseases. A well-known example 58 includes aberrant Notch1 activation that is involved in over 60% of T-acute lymphoblastic 59 leukemia cases (5), while activating Notch3 mutations have been implicated in various tumors 60 (6,7). Due to the broad activity of Notch signaling, the therapeutic potential of pan-Notch 61 blocking reagents, such as gamma-secretase inhibitors, has been hampered as a result of 62 significant side-effects which may be overcome with Notch receptor specific reagents such as 63 monoclonal antibodies (8,9).

64 Unfortunately, limited information is available on the effects that Notch receptor specific blocking reagents might have on human hematopoiesis. However, such knowledge is crucial 65 66 because of the involvement of Notch signaling in the development of various normal and 67 malignant blood cell types (10-14). While specific gene deletion studies in mice have revealed 68 critical roles for Notch1 and Notch2, Notch3 seems less critical during hematopoietic 69 differentiation in the mouse. Since species differences exist, studies in human are of critical 70 translational importance. Indeed, previous work from our lab and others, although mostly 71 limited to pan-Notch activation and inhibition experiments, confirmed certain roles for Notch 72 activation in early hematopoietic lineage decisions (15-18), but also revealed some subtle 73 differences during intrathymic stages of T cell development (16,19-22). In more recent work, 74 we revealed a critical role for Jagged2-mediated Notch3 activation in human TCR-γδ T cell 75 development (23), a mechanism that seems absent in mouse (24,25). In that study, we also 76 observed that CD34<sup>+</sup>CD1a<sup>-</sup> human thymocytes, immature uncommitted T-lineage progenitors 77 in the human postnatal thymus, express significant NOTCH3 mRNA levels, in addition to 78 *NOTCH1* mRNA. While it is clear in the mouse that Notch1 (26) is the only receptor that is 79 involved in the specification of multipotent hematopoietic progenitor cells into the T cell 80 pathway as a result of activation through Delta-like-4 (27,28), it is still unclear which Notch 81 receptors mediate this process in human. The question is particularly relevant since Jagged2, a 82 strong Notch3 ligand, is abundantly expressed by cortical thymic epithelial cells (18), the 83 region inside the thymus where the first Notch signals are provided to early thymic 84 progenitors (29). While DLL4 is also expressed within that region, it is inefficient at binding 85 and activating Notch3 (23,25).

86 Given our recent findings that Notch3 is expressed early during human T cell development 87 and that this receptor modulates human T-cell lineage decisions (23), we investigated in this 88 study the requirement of both Notch1 and Notch3 in the early stage of human T cell 89 specification by specific overexpression or inhibition of one of these Notch receptors. Our 90 results show that Notch3 is able to induce T cell lineage specification in the absence of 91 Notch1 activation, but that Notch3 is not essential in this process. Thus, in accordance with 92 observations in the mouse model, Notch1 is the only Notch receptor that is essential to induce 93 early T-lineage specification.

#### 95 Materials and methods

#### 96 Cell samples

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98 Cord blood units that did not meet the criteria for banking were obtained from the 99 Navelstrengbloedbank UZ Gent and thymus tissue was obtained from children undergoing 100 cardiac surgery (UZ Gent). Both were obtained and used according to the guidelines of the 101 Medical Ethical Commission of Ghent University Hospital (Ghent, Belgium).

102 Mononuclear cells were collected after centrifugation over Lymphoprep and were, if 103 necessary, cryopreserved in 10% dimethylsulfoxide, 90% fetal calf serum until required.

104 Cord blood cells or thymocytes were enriched for CD34<sup>+</sup> cells using magnetic microbeads
105 (Miltenyi Biotec), according to the manufacturer's instructions. Cord blood cells were then
106 stained with CD34-APC (Miltenyi), CD3-FITC, CD14-FITC, CD19-FITC, CD56-FITC (BD
107 Biosciences) and sorted for CD34<sup>+</sup>lin<sup>-</sup> using a FACSAriaII cell sorter (BD Biosciences).
108 Purity of the sorted cells was always >95%. Purity of thymocytes following CD34<sup>+</sup> magnetic
109 purification was always >98%.

#### 110 Generation of plasmids and viruses.

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cDNA encoding constitutively active Notch3 was subcloned from previously described constructs (30) into the multicloning site of the retroviral vector MSCV-EGFP. Generation of the plasmid containing ICN1 has been described previously (16). Retroviral transduction of cord blood has been described (31). ICN1 and ICN3 protein expression levels following transduction of human progenitor cells was validated previously (23).

### 117 118

## OP9 cocultures and Fetal thymus organ cultures.

119 Retrovirally transduced progenitors were first sorted for EGFP<sup>+</sup> cells and subsequently seeded 120 onto plates (24-well or 96-well) containing a confluent layer of OP9-control or OP9-DLL4 121 cells. OP9 cocultures were all performed in  $\alpha$ -MEM media (Invitrogen) supplemented with 20% heat-inactivated FCS (Hyclone) plus 100 U/ml penicillin, 100 µg/ml streptomycin and
2mM L-glutamin (all from Invitrogen) (18,32). CD34<sup>+</sup>Lin<sup>-</sup> cells were cultured in the presence
of 5 ng/ml IL-7, 5 ng/ml Flt-3L and 5 ng/ml SCF. In blocking experiments, 5 µg/ml isotype
control, anti-Notch1 (9) or anti-Notch3 antibody (8) was added to the medium and half of the
medium was refreshed every 3-4 days to keep the antibody concentration stable.

127 Fetal thymus organ cultures (FTOCs) were performed as described previously (33) NOD-128 LtSz-scid/scid (NOD-SCID) mice, originally purchased from The Jackson Laboratory (Bar 129 Harbor, ME), were obtained from our own specific pathogen-free breeding facility. NOD-130 SCID mice were treated according the guidelines of the Laboratory Animal Ethical 131 commission of the University Hospital of Ghent. Fetal thymic lobes from these mice were 132 isolated at fetal day 15-15.5 of gestation. 2000-10000 human cord blood cells were added to 133 each lobe in medium containing 20 µg/ml G3 isotype control, anti-Notch1 (9) or anti-Notch3 134 antibody (8). After 2 days in hanging drop, lobes were transferred to FTOC in medium 135 containing 15 µg/ml G3 isotype control, anti-Notch1 or anti-Notch3 antibody. Half of the 136 medium was refreshed every 3-4 days.

Lymphocytes from cultures were counted using a hematocytometer and human cellularity was
quantified following determination of the frequency of human CD45<sup>+</sup> cells (and EGFP in case
of transduced cells) by flow cytometry.

#### 140 Monoclonal antibodies and flowcytometry

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142 Cell suspensions obtained from cocultures were first blocked with anti-mouse FcRγII/III 143 (clone 2.4.G2) and human IgG (Fcblock, Miltenyi) to avoid non-specific binding. Cell 144 suspensions obtained after FTOCs were also blocked with anti-mouse FcRγII/III mAb and 145 stained with rat anti-mouse monoclonal antibody CD45-cychrome to gate out mouse cells 146 during flowcytometry. Subsequently, cells were stained with combinations of anti-human 147 monoclonal antibodies as indicated and previously described (18). Cells were examined for

- 148 the expression of cell surface markers on a LSRII (BDIS) and human viable cells were gated
- 149 by excluding propidium iodide positive cells from analysis.

#### 150 **Quantitative RT-PCR**

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Two days after transduction with ICN1, ICN3 or control, cells were sorted for eGFP 152 153 expression, were resuspended in RLT-buffer and stored at -70°C prior to RNA isolation. RNA 154 was extracted using RNeasy RNA isolation kit (Qiagen) and converted into cDNA using

- 155 Superscript RT II (Invitrogen).
- Real-time PCR reactions were performed using qPCR Core kit for SYBR<sup>®</sup> Green I 156

157 (Eurogentec) on a 7300 Real-time PCR system (Applied Biosystems). Relative expression

158 levels were calculated for each gene using the  $\Delta Ct$  method using  $\beta$ -actin for normalization.

#### 159 **Statistical analysis**

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#### Statistical significance was calculated using the non-parametric paired Wilcoxon test from 161

162 SPSS version 22.0 software.

#### 164 **Results**

#### 165 Notch3 is expressed in the majority of human early thymocyte progenitors (ETPs).

166 While the precise identity of the human equivalent of mouse ETPs is still a matter of debate 167 (34-37), it is clear that the most immature human thymocytes reside within the CD34<sup>+</sup>CD1a<sup>-</sup> 168 population. We have previously shown that bulk CD34<sup>+</sup>CD1a<sup>-</sup> thymocytes express both 169 NOTCH1 and NOTCH3 mRNA (23), but now extend these results by investigating protein 170 expression for Notch3 at the single cell level using flow cytometry. As illustrated in Figure 1, 171 over 90% of these cells display Notch3 protein expression at the cell surface. While this 172 frequency further increases in T cell committed CD34<sup>+</sup>CD1a<sup>+</sup> thymocytes (Figure 1), these 173 findings indicate that Notch3 activation can occur very early during human T cell 174 development and thus may influence the T-lineage specification process.

175

#### 176 Notch3 overexpression can support early T cell development in vitro.

177 The high frequency of Notch3 expressing cells within the most immature human thymocytes 178 urged us to investigate whether Notch3 has a role in the induction of human T-lineage 179 specification. We first determined if Notch3 has the potential to induce human T cell 180 development in the absence of other Notch receptor stimuli. Therefore, human CD34<sup>+</sup>lin<sup>-</sup> 181 hematopoietic progenitor cells from cord blood were transduced (Figure 2A) with an EGFP 182 control virus, or with viruses encoding EGFP in addition to the intracellular activated forms of 183 Notch1 or Notch3 (ICN1 or ICN3, respectively), and, following sorting to start with a nearly 184 100% transduced and homogeneous population (Figure 2B), cultured on OP9-control or OP9-185 DLL4 stromal cells in cytokine conditions that promote T cell differentiation.

186 As expected, EGFP control transduced progenitor cells did not differentiate into CD34<sup>+</sup>CD7<sup>+</sup>

187 (Figure 2C, 2D) and CD7<sup>+</sup>CD5<sup>+</sup> T-lineage specified cells (Figure 2G, 2H) on OP9-control

188 stromal cells, in contrast to on OP9-DLL4 cells. Consistent with previous results, ICN1 was

189 sufficient to induce T cell development in human precursors, and this was also the case when 190 ICN3 was continuously expressed, indicating that Notch3 activation could be sufficient in the 191 absence of Notch1 activation to induce human T lineage specification (Figure 2C, 2D, 2G, 192 2H). Levels of transduction remained consistent throughout the coculture (Figure 2E, 2F). 193 Interestingly, ICN3 was more efficient at inducing T-lineage specification compared to ICN1 194 when cocultured on OP9-DLL4 (although not statistically significant, Figure 2D, 2H), 195 suggesting a synergistic effect of Notch1 (activated through DLL4) and Notch3 activation on 196 early T cell development, similar as documented previously in T-lineage committed human 197 thymocytes (23) but in contrast to earlier reports that suggested a negative feedback of Notch3 198 signaling on Notch1 activity (38).

199 Consistently, gene expression analysis revealed that the direct Notch target genes HES1, 200 DTX1, NRARP and IL7R were upregulated 48 hours after transduction with both ICN1 and 201 ICN3 (Figure 3) and in agreement with earlier work (23), ICN3 was a stronger inducer of the 202 most sensitive Notch target genes (DTX1 and NRARP) (22) compared to ICN1. Interestingly, 203 while ICN1 has the potential the induce NOTCH3 expression, the reverse seems not possible 204 as ICN3 transduced cells display virtually no upregulation of NOTCH1 expression (Figure 3). 205 In agreement with the capacity of ICN1 and ICN3 transduced cells to differentiate along the 206 T-cell lineage, myeloid genes such as CSFR1 (encoding MCSFR) and SPI1 (encoding PU.1) 207 were downregulated by both Notch receptors.

In conclusion, these results show that Notch3 activation is sufficient to induce T-lineage specification in human multipotent hematopoietic progenitor cells.

210

### 211 Notch3, but not Notch1, is dispensable for induction of human T-lineage specification.

Experiments in human have thus far revealed that Notch signaling is essential to induce T-lineage specification, but it is still unclear which Notch receptor is driving this process. While

214 the above experiments reveal that both Notch1 and Notch3 are expressed in the most 215 immature thymocyte subsets and that both can induce T-lineage specification, they did not 216 reveal their requirements in this process. Therefore, we used Notch1 and Notch3 specific 217 blocking antibodies at concentrations known to fully block receptor activation (8,9) to reveal 218 which Notch receptors are critical in this process. Their specificity was confirmed using 219 quantitative RT-PCR for Notch target genes in CD34<sup>+</sup> thymocytes exposed to either DLL4 or 220 JAG2 in OP9 cocultures. Consistent with the fact that DLL4 is a good Notch1 but a poor 221 Notch3 ligand, blocking of Notch1 completely abolished Notch target gene expression in 222 OP9-DLL4 cocultured cells, while blocking Notch3 antibodies had very little effect (Figure 223 4A). In contrast, Jagged2 can activate both Notch1 and Notch3, and consistently, blocking 224 Notch3 antibodies now also efficiently blocked Notch target gene expression, although 225 residual Notch activity was observed as a result of remaining Notch1 activation. Notch1 226 blocking antibodies also fully blocked Notch3 activity in OP9-JAG2 cocultures since Notch3 227 is a downstream Notch1 target during early T cell development in mouse and human (22,39).

In the presence of a Notch1 blocking antibody, CD34<sup>+</sup>lin<sup>-</sup> hematopoietic progenitors from CB fail to differentiate into CD34<sup>+</sup>CD7<sup>+</sup> and CD7<sup>+</sup>CD5<sup>+</sup> T-lineage precursors on OP9-DLL4 stromal cells, in contrast to when a control antibody is added (Figure 4B, 4C). In the presence of a Notch3 blocking antibody, CD34<sup>+</sup>CD7<sup>+</sup> and CD7<sup>+</sup>CD5<sup>+</sup> thymocytes can develop (Figure 4B) with only a small, but significant, reduction in the number of CD7<sup>+</sup>CD5<sup>+</sup> cells (Figure 4C).

Notch-induced T-lineage specification is accompanied by inhibition of myeloid differentiation and consistently, blocking of Notch1 activation resulted in a significant increase in the development of conventional CD4<sup>+</sup>HLA-DR<sup>+</sup> dendritic cells, CD11b<sup>+</sup>CD14<sup>+</sup> monocytes and CD123<sup>+</sup>CD303<sup>+</sup> plasmacytoid dendritic cells (Figure 5A, 5B). Inhibition of Notch3 activation

resulted in a small increase in the development of conventional dendritic cells and monocytes,
but no difference in plasmacytoid dendritic cell differentiation was observed (Figure 5A, 5B).

240 To test the requirement for Notch1 and Notch3 in a more physiological setting, we added 241 these inhibiting monoclonal antibodies in an FTOC since DLL4 is not the only Notch ligand 242 that is expressed within the thymus (18,21,40,41). Consistent with our findings in OP9-DLL4 243 cocultures, however, Notch1 inhibition resulted in a block in CD7<sup>+</sup>CD5<sup>+</sup> T-lineage 244 specification and an overall reduction in cell numbers, while Notch3 inhibition did not 245 significantly influence this process (Figure 6A, 6B). In agreement, myeloid differentiation as 246 well as CD19<sup>+</sup>HLA-DR<sup>+</sup> B-lineage development was only increased compared to the control 247 when Notch1 signaling was inhibited, not upon Notch3 inhibition (Figure 6A, 6B). Overall, 248 these results show that T-lineage specification in human is dependent on Notch1 activation, 249 not Notch3.

#### 251 Discussion

252 We have previously illustrated that human uncommitted CD34<sup>+</sup>CD1a<sup>-</sup> thymocytes not only 253 express Notch1, but also Notch3 (23). Here, we further analyzed Notch3 protein surface 254 expression within the most immature population of human postnatal thymocytes and reveal 255 that the majority of these cells already express Notch3 protein. Given that we have previously 256 revealed important differences in Notch signaling activity between mouse and human (20-257 23,42-44), this prompted us to investigate whether Notch3 activation was critical at the earliest stages of human T cell development, during T-lineage specification. While activation 258 259 of Notch1 or Notch3 by itself was sufficient to induce T cell development in human 260 multipotent hematopoietic progenitors, specific blocking monoclonal antibodies revealed that 261 only Notch1, not Notch3, is critical to drive this process and to inhibit alternative lineage 262 differentiation. Thus, our results show that Notch1 activation is the first driver of T cell 263 development in both mouse and human.

264 The ability of ICN3 to induce T-lineage commitment in the absence of Notch1 activation is in 265 line with recent findings in mice that show that ICN1, ICN2, ICN3 and ICN4 all can induce T 266 cell development when overexpressed in murine hematopoietic progenitor cells (45). While 267 the intracellular regions of Notch1 and Notch2 possess a transactivation domain between the 268 ANK and PEST sequences, Notch3 lacks a conventional version of this domain (46). 269 Nevertheless, the precise role of this transactivation domain is still unclear and earlier work 270 has suggested that this domain is weaker at activating downstream target gene expression in 271 case of Notch3 compared to the conventional TAD in Notch1, and that it even can inhibit 272 Notch1-mediated transactivation (38). Based on their capacity to induce T-lineage 273 specification on OP9-GFP stromal cells in the absence of Notch ligands, our findings suggest 274 that ICN3 on its own is indeed a weaker Notch activator compared to ICN1 since this process 275 is strongly dependent on Notch signal strength (22). While this may seem in contrast with the

276 gene expression profiles in which ICN3 induces stronger activation of the most sensitive 277 Notch target genes DTX1 and NRARP, both these targets are considered to be negative 278 regulators of Notch activity (47,48), leaving it unclear whether Notch3 truly is weaker at 279 activating downstream target genes, a phenomenon that may also be cell type specific (46). 280 However, in conjunction with Notch1 activation on OP9-DLL4, ICN3 synergizes with ICN1 281 to induce a stronger Notch activation signal as observed through a more efficient induction of 282 T-lineage specification compared to ICN1 by itself. Given that ICN dimerization can critically 283 influence downstream target gene expression (49), further studies that can specifically study 284 ICN1 homodimers and ICN1-ICN3 heterodimers could be very informative.

285 Previous work from our lab has shown that a large subset of human cortical thymic epithelial 286 cells express the Notch ligand Jagged2 (18) and that this ligand preferentially binds and 287 activates Notch3 (23). Although cortical epithelial cells are responsible for inducing T cell 288 development in immigrating precursors and despite the fact that the majority of immature 289 CD34<sup>+</sup>CD1a<sup>-</sup> thymocytes express Notch3, the results from this manuscript suggest that the 290 Jagged2/Notch3 interaction is not critical during T-lineage specification. Although these 291 findings are in line with data from other species, such as unambiguous data obtained from 292 genetic mouse models (24-26), the fact that Notch3 is expressed at such a high level at these 293 earliest stages of human T cell development, combined with the abundant expression of 294 Jagged2 on cTECs, suggests however a prominent role for this receptor/ligand interaction 295 during early T cell development in human. While we were unable to reveal a role in the T-296 lineage specification process, one caveat may involve the technical approaches that we used 297 since foetal thymus colonization occurs differently compared to postnatal (50) and thus some 298 caution is required in the interpretation of our FTOC results. Nevertheless, the gene 299 expression analysis that was performed to validate the specificity of the blocking monoclonal 300 antibodies indicates that Notch3 function is highly dependent on Notch1 activity during the

301 earliest stages of human T cell development, but not vice versa. While this can be explained 302 by the observation that Notch3 is a downstream target of Notch1 in both mouse (39) and 303 human(22), it further confirms that Notch1 triggering is the first critical Notch signaling event 304 that is required to induce T-lineage specification. Since extrathymic progenitor cells express 305 Notch1 and Notch2, but not Notch3, the induction of Notch3 upon Notch1 activation seems to 306 reflect ETPs that have received initial Notch1 signaling events. We now show that, besides 307 Notch1 activation, triggering of the additional expressed Notch3 receptor that is induced 308 through Notch1 activation is not required to complete the T-lineage specification process. 309 Given that DLL4 is a stronger Notch1 activator compared to JAG2 (18), that this ligand is 310 also expressed by human cTECs (18) and that T-lineage specification is dependent on strong 311 Notch activation (22), it seems likely that the DLL4/Notch1 interaction, similar as in the 312 mouse (26-28), is the major Notch signaling event that induces T cell development in 313 immigrating thymic progenitors. As also illustrated in this manuscript, this specification event 314 coincides with the repression of B and myeloid cell development, lineage potentials that are 315 lost during the T-cell specification process that occurs immediately upon thymic entry of 316 multipotent progenitor cells and of which we now reveal that they are Notch3 independent. 317 Further studies will be required to investigate whether Notch3 activation is involved in 318 inducing T-lineage commitment, a process that follows T cell specification and that is 319 characterized by the loss of NK cell potential. In each case, Notch1-induced upregulation of 320 Notch3 following thymus colonization of thymic progenitors does play a critical role later 321 during human T cell development as we have recently illustrated that the Jagged2/Notch3 322 interaction mediates human TCR- $\gamma\delta$  T cell development (23).

323 Together, while our findings confirm previous work from mice, the results from this 324 manuscript are the first experiments in human to unambiguously reveal that Notch1 is the 325 driving force to initiate T cell development from multipotent hematopoietic precursors since

326 virtually all previous human studies on hematopoiesis used pan Notch inhibitors, including 327 gamma-secretase inhibitors or the dominant-negative mastermind-like 1 protein. To reveal the 328 specific requirement for Notch1 in the initial stages of human T cell development was 329 important, not only from a fundamental perspective because of the abundant Notch3 330 expression early during T cell development, but also from a clinical perspective since Notch3 331 blocking antibodies may have significant therapeutic potential in patients that display Notch3 332 driven tumors (6,7). Our findings indicate that administration of Notch3 blocking antibodies 333 should have limited impact on early hematopoietic lineage decisions since Notch3 function 334 seems limited to synergizing with Notch1, thereby limiting potential side effects.

#### 336 Acknowledgements

337 We thank Chris Siebel (Genentech) for providing the Notch1 and Notch3 blocking antibodies,

338 Jet Robin and Eelke Vandenberghe for animal care, Dr. Francois and Dr. Van Nooten

339 (Department of Cardiac Surgery UZ Gent) for thymus tissue and Dr Conny Matthys

340 (Navelstrengbloedbank UZ Gent) for CB samples.

341

#### 342 Authorship Contributions

- 343 Els Waegemans: performed and designed research, analyzed and interpreted data, wrote the
- 344 manuscript
- 345 Inge Van de Walle: performed and designed research, analyzed and interpreted data
- 346 Jelle De Medts: performed research
- 347 Magda De Smedt: performed research and interpreted data
- 348 Tessa Kerre: provided critical reagents
- 349 Bart Vandekerckhove: provided critical reagents
- 350 Georges Leclercq: provided critical reagents
- 351 Tao Wang: provided critical reagents
- 352 Jean Plum: provided critical reagents, designed research and interpreted data

353 Tom Taghon: performed and designed research, analyzed and interpreted data, wrote the

- 354 manuscript
- 355

### 356 Disclosure of Conflicts of Interest

357 The authors have no competing financial interests.

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#### 526 Figure legends

527 **Figure 1** 

528 Notch3 is expressed by the majority of human early thymocyte progenitors.

(A) Gating strategy for CD34<sup>+</sup> human postnatal thymocytes following CD34 MACS
enrichment. (B) Flow cytometric analysis of cell surface Notch3 expression on CD34<sup>+</sup>CD1a<sup>-</sup>
uncommitted and CD34<sup>+</sup>CD1a<sup>+</sup> committed thymocyte populations as indicated. Data shown is
representative for at least 4 independent stainings on 4 different thymus donors.

533

#### **534 Figure 2**

#### 535 Notch3 activation induces T cell development.

536 (A) Control, ICN1 or ICN3 transduced CD34<sup>+</sup>Lin<sup>-</sup> cord blood progenitors were (B) sorted for 537 EGFP expression and (C-H) subsequently cultured on OP9 stromal cells that express the 538 Notch ligand Delta-like-4 or control OP9 cells that express no Notch ligand, as indicated. Dot 539 plots in (C) and (G) are gated on human CD45<sup>+</sup>EGFP<sup>+</sup> cells. Numbers in the quadrants 540 indicate the percentage of cells for the corresponding populations after 6 (C) or 10 (G) days of 541 coculture. (E) and (F) show, within human CD45<sup>+</sup> gated cells, the frequency of EGFP positive 542 cells following 10 days of coculture on OP9-control or OP9-DLL4, respectively. Dot plots 543 shown are representative for 5 independent experiments. (D) and (H) show the absolute cell 544 numbers for the corresponding populations in panels C (day 6) and G (day 10), respectively. 545 Graphs show the average of 5 independent experiments, error bars indicate SEM (\* p < 0.05).

546

#### 547 **Figure 3**

#### 548 Notch1 and Notch3 activation induces Notch target gene expression.

Quantitative RT-PCR analysis of Notch target genes and genes that are critical for driving non T-cell lineages in human CD34<sup>+</sup> cord blood cells, sorted for EGFP expression 2 days after transduction with ICN1, ICN3, or control virus. The expression levels are normalized to βactin levels. Data shown are the mean of 5 sets of independent samples and error bars show SEM (\* p < 0.05).

554

555 **Figure 4** 

#### 556 Notch3, but not Notch1, is dispensable for induction of human T-lineage specification.

557 (A) Notch target gene expression analysis in CD34<sup>+</sup> thymocytes following 48 hours of 558 coculture on OP9-DLL4 or OP9-JAG2 stromal cells in the presence of control, Notch1 or 559 Notch3 blocking antibodies. mRNA levels are normalized to β-actin levels and shown relative 560 to the control antibody for each culture condition. Data are the mean of two sets of 561 independent samples and error bars show SEM. (B) Flow cytometric analysis of CD34<sup>+</sup>Lin<sup>-</sup> cord blood progenitors after 12 days of coculture on OP9 stromal cells that express the Notch 562 563 ligand Delta-like-4 and in the presence of control or blocking anti-Notch1 or anti-Notch3 antibody. Dot plots are gated on human CD45<sup>+</sup> cells and numbers in quadrants indicate the 564 565 percentage of cells for the corresponding populations. Dot plots shown are representative for 566 6 independent experiments. (C) Absolute cell numbers for the corresponding populations in (B), as indicated. Graphs show the average of 6 independent experiments, error bars indicate 567 SEM (\* *p*<0.05). 568

569

#### 570 **Figure 5**

#### 571 Notch1 inhibition induces myeloid lineage differentiation.

572 (A) Flow cytometric analysis of myeloid differentiation from CD34<sup>+</sup>Lin<sup>-</sup> cord blood
573 progenitors after 2 weeks of coculture on OP9-DLL4 stromal cells in the presence of control

574 or blocking anti-Notch1 or anti-Notch3 antibody. Dot plots are gated on human CD45<sup>+</sup> cells 575 and numbers in the dot plots indicate the percentage of cells for the corresponding 576 populations. Dot plots shown are representative for 6 independent experiments. (B) Absolute 577 cell numbers for the corresponding populations from (A), as indicated. Graphs display the 578 average of 6 independent experiments, error bars indicate SEM (\* p < 0.05).

579

580 **Figure 6** 

#### 581 Intrathymic Notch1 inhibition induces alternative lineage differentiation.

582 CD34<sup>+</sup>Lin<sup>-</sup> cord blood progenitors were submitted to FTOC, in the presence of control, anti-583 Notch1 or anti-Notch3 blocking antibody. (A) Dot plots are gated on human lymphocytes and 584 numbers in dot plots indicate the percentage of cells for the corresponding populations after 2 585 weeks of FTOC. Dot plots shown are representative for 7 independent experiments. (B) 586 Absolute cell numbers for the corresponding populations from (A), as indicated. Graphs show 587 the average of 7 independent experiments, error bars indicate SEM (\* p < 0.05).







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ICN3

0.001 0.0001

control

ICN1

ICN3













