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7 **Assessing spatio-temporal rainfall variability in a tropical mountain area**
8 **(Ethiopia) using NOAAs Rainfall Estimates**

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20
21
22 **Abstract**

23
24 Seasonal and interannual variation in rainfall can cause massive economic loss for
25 farmers and pastoralists, not only because of deficient total rainfall amounts but also
26 because of long dry spells within the rain season. The semi-arid to subhumid mountain
27 climate of the North Ethiopian Highlands is especially vulnerable to rainfall anomalies.
28 In this paper spatio-temporal rainfall patterns are analysed on a regional scale in the
29 North Ethiopian Highlands using satellite-derived Rainfall Estimates (RFE). To counter
30 the weak correlation in the dry season, only the rain season rainfall from March till
31 September is used, responsible for *ca.* 91% of the annual rainfall. Validation analysis
32 demonstrates that the RFEs are well correlated with the Meteorological Station (MS)
33 rainfall data, i.e. 85% for RFE 1.0 (1996-2000) and 80% for RFE 2.0 (2001-2006).
34 However discrepancies indicate that RFEs generally underestimate MS rainfall and the
35 scatter around the trendlines indicates that the estimation by RFEs can be in gross error.
36 A local calibration of RFE with rain gauge information is validated as a technique to
37 improve the RFEs for a regional mountainous study area. Slope gradient, slope aspect
38 and elevation have no added value for the calibration of the RFEs. The estimation of
39 monthly rainfall using this calibration model improved on average by 8%. Based upon

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40 the calibration model, annual rainfall maps and an average isohyet map for the period
41 1996-2006 were constructed. The maps show a general northeast-southwest gradient of
42 increasing rainfall in the study area and a sharp east-west gradient in its northern part.
43 Slope gradient, slope aspect, elevation, easting and northing were evaluated as
44 explanatory factors for the spatial variability of annual rainfall in a stepwise multiple
45 regression with the calibrated average of RFE 1.0 as dependent variable. Easting and
46 northing are the only significant contributing variables (R^2 : 0.86), of which easting has
47 proven to be the most important factor (R^2 : 0.72). The scatter around the individual
48 trendlines of easting and northing corresponds to an increase of rainfall variability in the
49 drier regions. The improved estimation of spatio-temporal rainfall variability in a
50 mountainous region by RFEs is, although the remaining underestimation of rainfall in
51 the southern part of the study area, valuable as input to a wide range of scientific
52 models.

53

54 **1. Introduction**

55

56 In drought years, millions of Ethiopians are dependent on assistance (Segele and Lamb
57 2005), not only because of deficient total rainfall amounts but also because of long dry
58 spells within the rain season (Seleshi and Camberlin 2005). The northern Tigray region
59 is the driest region in the semi-arid to subhumid mountain climate zone of the North
60 Ethiopian Highlands (Nyssen *et al.* 2005). Seasonal and inter-annual variation in rainfall
61 can cause massive economic loss for abundant poor rural farmers (dependent on rain-
62 fed agriculture) and pastoralists (Shanko and Camberlin 1998). The severe impact of
63 successive dry years has been demonstrated repeatedly in Ethiopia, e.g. the droughts of
64 1973-1974 and 1982-1985 claiming the lives of several hundred thousands of people
65 (Tilahun 2006b). Rainfall is not only of key importance for agriculture (Frankl *et al.*
66 2013), but also affects land-use and land-cover dynamics (De Mûelenaere *et al.* 2012)
67 and is a driving force of water erosion processes (Frankl *et al.* 2011, Frankl *et al.* 2012).
68 Nevertheless climatological studies have been neglected for a long time in the arid and
69 semi-arid tropical regions (Tilahun 2006a). Recently characterization and variability of
70 rainfall in Ethiopia are more widely studied (Conway 2000, Seleshi and Zanke 2004,

71 Nyssen *et al.* 2005, Segele and Lamb 2005, Seleshi and Camberlin 2005, Tilahun
72 2006b, Tilahun 2006a, Cheung *et al.* 2008).

73 The aim of this paper is to analyse rainfall patterns not only in time, but also spatially
74 for the period 1996-2006. Analysing spatiotemporal rainfall patterns is rendered
75 possible by use of satellite-derived Rainfall Estimates (RFE) and through the
76 establishment of a relation between rainfall measured in Meteorological Stations (MS)
77 and RFE. The advantage of this method is that “satellite rainfall estimates fill in gaps in
78 station observations” (Verdin *et al.* 2005). Besides NOAA-CPC RFE, there are other
79 satellite rainfall products with a high spatial and temporal resolution such as ARC,
80 1DD, 3B42, CMORPH, TAMSAT. Dinku *et al.* (2007) validated these algorithms for
81 the complex topography of Ethiopia and concluded that CMORPH and TAMSAT
82 performed the best. Nevertheless RFEs are used in this regional study, because of the
83 particularly high spatial resolution (0.1°) and the opportunity to use historical data
84 starting from 1996. The choice for the RFE algorithm is also important given the
85 widespread use within the Famine Early Warning System (FEWS) of USAID, as a tool
86 for climate monitoring over Africa (FEWS NET 2010a). Shrestha *et al.* (2008) have
87 used RFEs to develop a hydrological modelling system of the Bagmati River Basin of
88 Nepal. Senay and Verdin (2003) used the RFE derived Water Requirements Satisfaction
89 Index (WRSI) to calculate seasonal crop water balances.

90 The validation of RFE in Africa is insufficient and mainly occurred in the west and
91 south of the continent (Dinku *et al.* 2007). Dinku *et al.* (2007) therefore made a
92 validation study over the east African complex topography which indicates that the
93 estimations by RFE 2.0 version performs less well than the RFE 1.0 version.
94 Subsequently, Dinku *et al.* (2010) investigated the effect of mountainous and arid
95 climates on RFEs in East Africa. The RFEs exhibit a moderate underestimation of
96 rainfall over mountainous regions and high overestimation of rainfall over dry regions
97 (Dinku *et al.* 2010).

98 In this paper the possibilities of using RFEs for spatio-temporal rainfall analysis on a
99 regional scale in a mountainous area is studied. Therefore the RFEs are validated and
100 calibrated for the regional study area using MS rainfall data.

101

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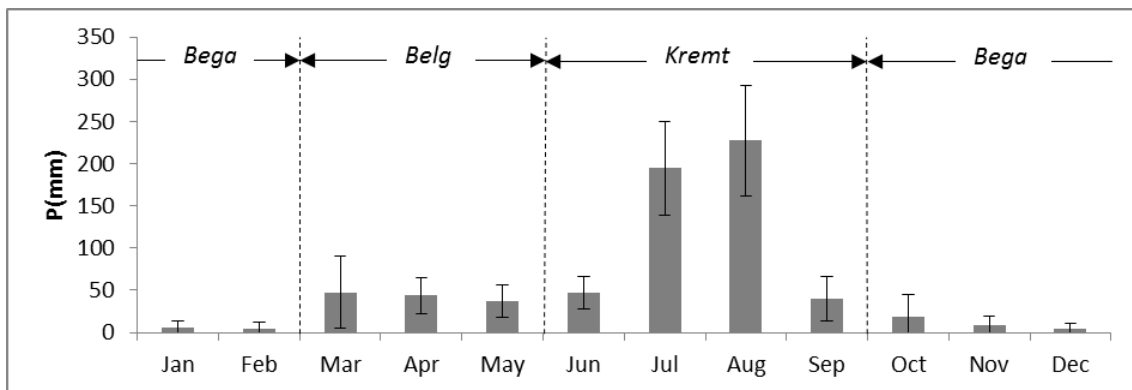
103 ***1.1 Climatic background***

104

105 The North Ethiopian Highlands are part of the ‘African drylands’ characterised by
106 unreliable seasonal rainfall. Rainfall averages (1996-2006) based upon rainfall data of
107 the meteorological stations (without missing values) indicate that rainfall distribution in
108 the study area follows a bimodal rainfall pattern with an unreliable short rainy season
109 preceding the main rain season (figure 1). Rainfall in the North Ethiopian Highlands is
110 the result of two main processes: the dominant process is convective rainfall and
111 orographic rainfall occurs where winds pass topographic obstacles (Daniel 1977). The
112 mean annual rainfall in the Tigray region varies around 600 mm year⁻¹ (Krauer 1988).
113 The daily rain pattern is dominated by afternoon rains (with 47% from 12 to 18 PM)
114 provoked locally by the convective nature of the rains after morning heating of the earth
115 surface (Krauer 1988).

116 Rainfall in the North Ethiopian Highlands is mainly dependent on the movement of
117 the Intertropical Convergence Zone (ITCZ) (Goebel and Odenyo 1984). The ITCZ is
118 situated south of the equator in Eastern Africa during the winter in the northern
119 hemisphere. The climate of North Ethiopia is then dominated by high pressure cells of
120 the eastern Sahara and Arabia. North-east winds are prominent with dry airstreams from
121 the Sahara that result in dry weather, this period is known as the *bega* season (figure 1)
122 (Westphal 1975, Seleshi and Zanke 2004). From March to May the Saharan and
123 Arabian high pressure system weakens and moves north. Over the Red Sea the low
124 pressure area remains and over Sudan a low pressure centre develops. During this
125 period onshore east and south-east winds are prominent. Spring rains can occur as a
126 result of the change in pressure cells, this small rain season is known as the *belg* season
127 (figure 1). In May the monsoon establishes, associated with the northwards movement
128 of the Intertropical Convergence Zone (ITCZ). Unstable, warm, moist air with eastern
129 wind passes over the Indian Ocean and converges with the stable, continental air and
130 provokes frontal precipitation in the eastern and southern part of Ethiopia (Westphal
131 1975, Seleshi and Zanke 2004). At the end of June the ITCZ is in his most northern
132 position (16 - 12°N) initiating the main rain season from June to September, known as
133 *kremt* (figure 1) (Cheung *et al.* 2008). *Kremt* rain is responsible for 65 to 95 % of the
134 total annual amount of rainfall (Segele and Lamb 2005). According to Westphal (1975)

135 the weather during this period is dominated by the monsoon low pressure of India and
 136 Pakistan. Winds in the lower troposphere come prominently from the west and these air
 137 masses are moist and cool, as they originate from the South Atlantic and absorb vapour
 138 passing the equatorial forest, these are the main source of moisture for Ethiopia
 139 (Westphal 1975, Goebel and Odenyo 1984, Segele and Lamb 2005).
 140
 141



142
 143

144 **Figure 1:** Rainfall averages (1996-2006) with standard deviation based upon rainfall
 145 data of the meteorological stations in the study area (without missing values). The
 146 seasonal borders are indicated by dotted lines: the *bega* (dry) season begins in October
 147 and ends on the beginning of March.

148

149 In the rain season the dominant western wind in the lower troposphere provokes
 150 more rainfall on western and southern slopes (Nyssen *et al.* 2004). As a result the North
 151 Ethiopian highlands intercept most of the monsoonal rainfall in the region, provoking a
 152 strong moisture deficit at the Rift Valley (Legesse *et al.* 2004). Tilahun (2006b)
 153 calculated the probabilities of wet and dry periods for Mekelle (regional capital of
 154 Tigray). In the period July-August the probability of a dry period of two days is very
 155 low (ca. 2%). At the same period the maximum probability for a wet day occurs, on
 156 nine August with 75%. In contrast, in the period October-February the probability of a
 157 dry period of one week is about 90%, rainfall in this period is highly unreliable. A
 158 proportion of only 2% of the rain-days is responsible for 40% of the total rainfall
 159 (Tilahun 2006b).

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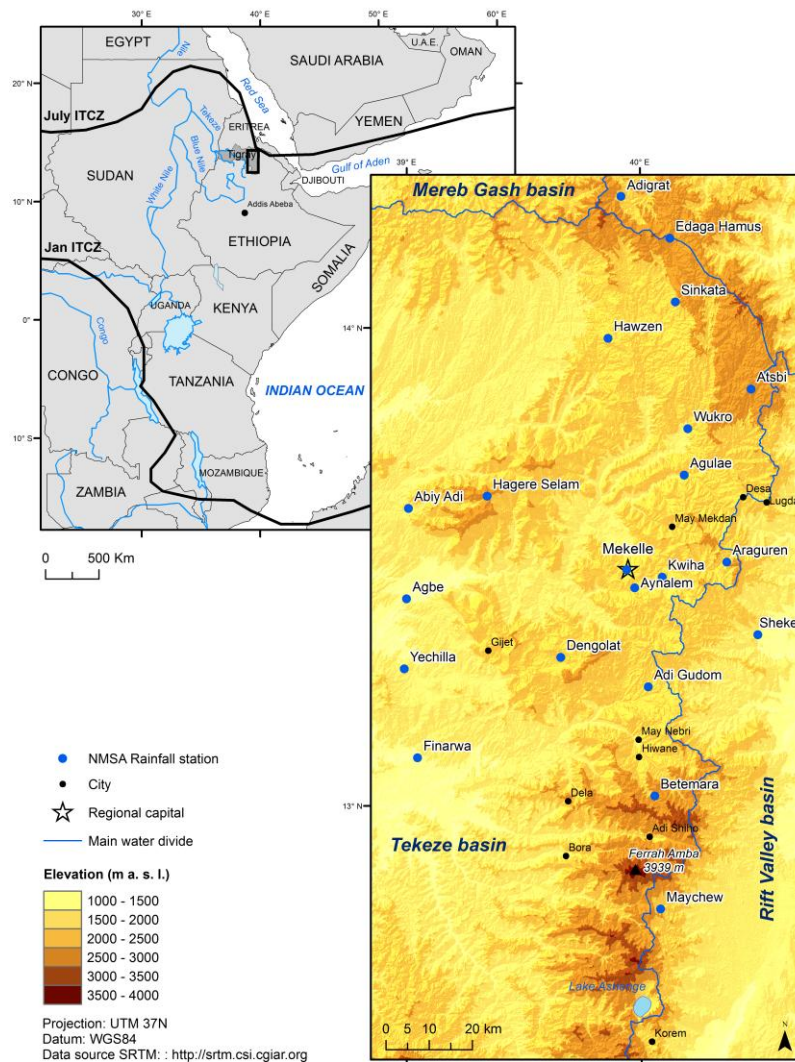
162 **2. Materials and method**

163

164 **2.1 Study area**

165 The Federal Democratic Republic of Ethiopia is a landlocked state of 1 104 300 km²
166 (UN 2010) in the horn of Africa. The study area (20 800 km²) covers a north-south
167 transect strip across the eastern part of Tigray, the most northern region of Ethiopia
168 (figure 2). The study area is delimited to reflect the regional variability in environmental
169 characteristics, i.e. variations in climate, topography and soil. The study area is situated
170 on the western shoulder of the Rift Valley between 12°40' and 14°23'N and between
171 38°55' and 39°49'E with the towns of Adigrat in the northernmost and Maychew in the
172 southernmost position. The elevation of the study area ranges from 1000 to 4000 m
173 a.s.l. (at the Ferrah Amba summit) (figure 2). The relief is characterized by a stepped
174 morphology reflecting the subhorizontal geological structure (Nyssen *et al.* 2007).
175 Towards the east of the Tigray region, on the border with the Afar region, the altitude
176 lowers rapidly towards the East African Rift valley. This change in topography is the
177 water divide between the westwards drainage towards the hydrological basin of the Blue
178 Nile and that eastward towards the basin of the East African Rift.

179



180

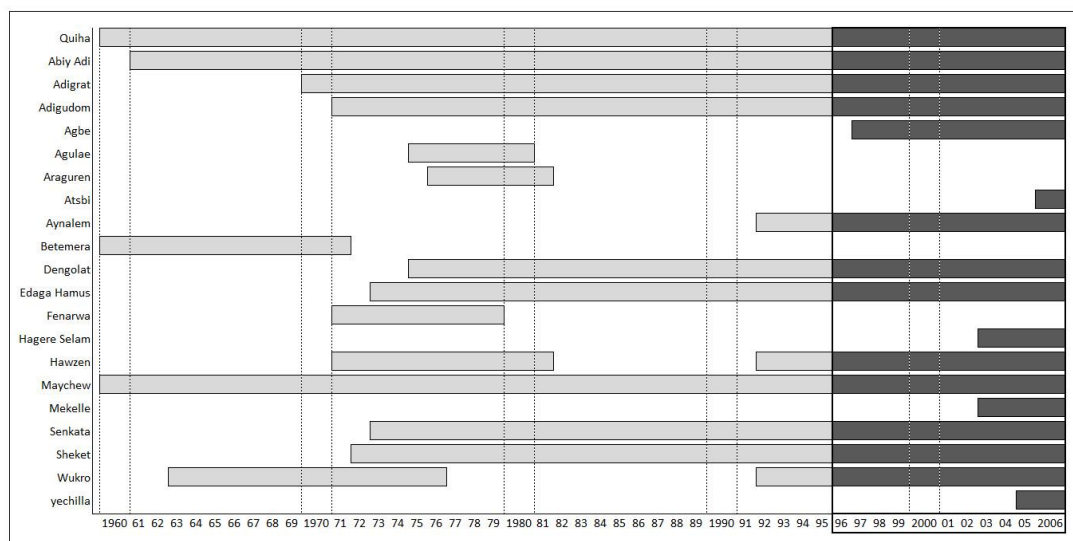
181 **Figure 2:** Location of the study area in the horn of Africa, on the western shoulder of
 182 the Rift Valley, along a north-south transect across eastern and southern Tigray. Notice
 183 the position of the ITCZ in January and July on the regional map.

184

185 *2.2 Meteorological stations*

186 The National Meteorological Agency of Ethiopia (NMA) currently has 61
 187 meteorological stations in Tigray, classified as synoptic, principal, 3rd, and 4th class
 188 stations (NMA 2010). The stations are located in urbanised areas, leading to a lack of
 189 information within agricultural and scarcely populated areas. In this research a NMA
 190 dataset of 21 meteostations from eastern Tigray is used with rainfall data starting from
 191 the early 1960s up to 2006 (figure 3). The quality of the data strongly varies between
 192 the stations in terms of both timespan and missing records. The missing data are not

193 flagged as zero but are left blank or letter-coded. Four stations (Agulae, Araguren,
 194 Betemera and Finarwa) have no data for the research period (1996-2006). The
 195 remaining 17 meteorological stations are used for the calculations, this corresponds in
 196 theory with a density of 1 station every 1224 km² in the study area. But in reality the
 197 stations are unevenly distributed, they are mainly located in the north and the centre and
 198 only limited in the south of the study area.
 199



200
 201 **Figure 3:** Rainfall measurement operation interval of the 21 NMA Meteorological
 202 Stations (1960-2006).
 203

204 **2.3 Spatiotemporal rainfall analysis**

205
 206 **2.3.1 Data and pre-processing.** Satellite derived Rainfall Estimates (RFEs) of North
 207 Ethiopia were accessed from the National Oceanic and Atmospheric Administration
 208 Climate Prediction Centre (NOAA-CPC) on <http://www.cpc.ncep.noaa.gov>. The
 209 decadal RFE images have a spatial resolution of 0.1° and could be downloaded over the
 210 period 1996-2006. RFEs of the period 1996-2000 are based on the algorithm developed
 211 by Herman *et al.* (1997). The 1.0 algorithm relates convective precipitation to cold
 212 cloud tops observed on Meteosat 7 infrared satellite images and orographic precipitation
 213 to warm cloud precipitation due to orographic lifting observed through the integration
 214 of surface wind direction, relative humidity and orography. The 1.0 algorithm is
 215 enhanced by incorporating rain gauge reports from approximate 1000 stations over

216 Africa. RFEs of the period 2001-2006 are based on the 2.0 algorithm developed by Xie
217 and Arkin (1996). In addition to the version 1.0, RFEs version 2.0 incorporates two
218 rainfall estimation instruments (Special Sensor Microwave/Imager and the Advanced
219 Microwave Sounding Unit). Also in contrast to the 1.0 algorithm, warm cloud
220 precipitation is no longer included in the algorithm.

221 Daily rainfall of the 17 meteorological station (MS) and decadal data of the RFE
222 were summed to monthly data for the corresponding periods without missing MS data.
223 Assigning MS data to specific RFEs pixels was done in ArcGIS® 9.2 by projecting the
224 location of the rainfall station into the Albers equal area conic projection (Clarke 1866
225 spheroid) used for the RFEs; with as origin of latitudes 1°, central meridian 20°, first
226 standard parallel -19°, and second standard parallel 21°(FEWS NET 2010b).

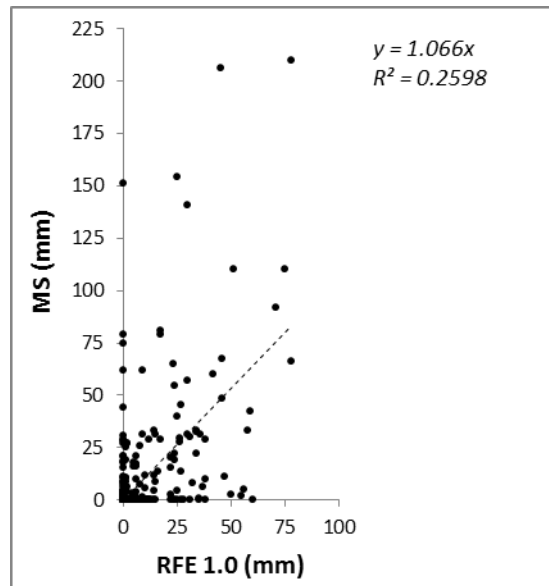
227

228 **2.3.2 Validation and calibration of the Rainfall Estimates.** Rainfall detection
229 capabilities of satellite derived rainfall estimates (RFE) are less accurate over the
230 complex topography of the semi-arid Ethiopian Highlands (Dinku *et al.* 2010).
231 Therefore they advised to incorporate local rain gauge observations to improve the
232 accuracy of the RFE images. In this paper a local calibration of the RFE images with
233 meteorological stations is verified as a technique to improve the rainfall estimations and
234 study rainfall patterns in a spatio-temporal context.

235 The statement by Beyene and Meissner (2010) that RFE images are less accurate in
236 measuring rainfall in the dry season (from October to February) is also true in our study
237 (figure 4). Consequently only the rainfall in the *belg* and *kremt* rain season, which is
238 responsible for an average of 91% of the total yearly rainfall (1996-2006), was used to
239 calibrate the RFE images. Rainfall in the dry season was thus neglected in the
240 calibration model and could not be compensated by an extrapolation of the rain season.
241 Rainfall amounts in the dry and rain season did not correlate significantly (R^2 : 0.1391,
242 P: 0.26, 1996-2006).

243

244



245

246 **Figure 4:** RFE versus MS rainfall for the dry season (1996-2000). The correlation
 247 between MS and RFE1.0 rainfall values for the dry season (October-February) is low
 248 (R2: 0.26).
 249

250 In order to assess whether RFEs accurately estimate monthly rainfall, a linear
 251 regression analysis was performed in SPSS® 20 with MS as independent variables and
 252 RFEs (versions 1.0 and 2.0) as dependent variable (Funk and Verdin 2003, Dinku *et al.*
 253 2007). The model was forced through the origin as this zero-zero point is the only point
 254 that fits 100% with reality. The advantage of this method is that a bias at the origin of
 255 16mm for RFE1.0 and 12 mm for RFE2.0 is excluded from the model. The Abiy Adi
 256 station was excluded from the analysis as a large discrepancy between MS and RFEs
 257 data could be observed. Field experience learns that this was probably caused by the
 258 importance of local orographic rains as a result of the particular location of the Abiy
 259 Adi station at the foot of a steep mountain slope which rises 700 m high.

260 Increasing the accuracy of RFEs data for the North Ethiopian Highlands was done by
 261 calibrating the RFEs with MS data. Therefore, a stepwise multiple regression analysis
 262 through the origin with RFEs, elevation, slope and slope aspect as independent variables
 263 and MS as dependent variable was applied (Purevdorj *et al.* 1998, Weisberg 2005).
 264 Elevation, slope gradient and slope aspect were generalized from the 90m SRTM
 265 (CGIAR 2012) with the spatial analyst tools in ArcGIS® 9.2. These additional
 266 parameters were added to the regression analysis with the purpose of improving the
 267 estimation of the spatial variation of rainfall in the study area by the RFEs. The

268 additional parameter slope aspect can take all trigonometrical directions and is therefore
269 fitted according to the model of Nyssen *et al.* (2005) with a sinusoidal function. The
270 spatial variation was modelled by a non-linear multiple regression according to a
271 stepwise model, excluding at each step the least significant explanatory variable until
272 the best significant relation was found.

273

274 The regression equation for the calibration is thus formed by:

275

$$276 \quad \hat{M}_s = \hat{\beta} \times RFE_i + \hat{\alpha} \times S_i + \hat{\mu} \times E_i + p_1 \times (\sin(A_i - p_2)) \quad (1)$$

277

278 With:

279 \hat{M}_s : estimate monthly MS rainfall (mm mth⁻¹)

280 RFE_i : Monthly RFEs rainfall (mm mth⁻¹)

281 S_i : Average slope gradient of the pixel (°)

282 E_i : Average elevation (m a.s.l.)

283 A_i : Average slope aspect (in deg. turning right from the N)

284 p_1 and p_2 are constants: p_1 = amplitude of the sinusoidal function and p_2 = aspect (in
285 deg.) where average rain is expected.

286

287 The obtained calibration function is cross-validated with a robust linear model
288 (RLM) in R® 2.14.0. The ability of the RLM function to reproduce the observed MS
289 rainfall, in comparison to a linear model, is tested with a jackknife function.

290 The cross-validated calibration function was used to calibrate the monthly RFEs
291 images over the period 1996-2006 in ArcGIS® 9.2, using a raster query. Summing up
292 calibrated rainfall values per year gave pixel-based annual rainfall and allowed to
293 produce an isohyet map over the period 1996-2006.

294

295 **2.3.3 Explaining the spatial variability of annual rainfall.** The calibrated rainfall
296 images were used to study the explaining value of five spatial parameters (elevation,
297 slope gradient, slope aspect, easting and northing) on the spatial distribution of rainfall
298 for the study area (eq. 2).

299

300 $RFE_{cal} = f(\text{elevation, slope, slope aspect, easting, northing})?$ (2)

301

302 The calibrated RFE images have a resolution of 0.1°, the SRTM derived parameters
 303 (elevation, slope and slope aspect) are therefore generalised to this resolution.
 304 Subsequently a vector point grid was computed for the centre of the raster area and the
 305 pixel values were extracted for each point of all variables. The easting and northing of
 306 the pixels were calculated by adding x,y coordinates to the vector point grid. The results
 307 of these calculations in ArcGIS 9.2 are six corresponding tables. These were used as
 308 input to a multiple non-linear regression analysis to identify which variables do
 309 significantly explain the variability of annual rainfall in the study area.

310

311 **3 Results**

312

313 ***3.1 Monthly Rainfall Estimates versus Meteorological station data***

314 Average monthly rainfall from the sixteen MS was 85.0 mm and 79.4 mm over the
 315 periods 1996-2000 and 2001-2006 respectively (Table 1, figure 5). Over the same
 316 periods, average monthly rainfall derived from RFEs was 68.3 mm (RFE 1.0) and 59.8
 317 mm (RFE 2.0). This means that RFEs underestimate by approximately 25% the rainfall
 318 recorded in MS. This is the result of extremely greater observations for the MS datasets
 319 (Table 1, figure 5). The Pearson's *r* correlation coefficient is 0.85 between MS and RFE
 320 1.0 and 0.80 between MS and RFE 2.0. Both the skewness and kurtosis are significant
 321 at the $\alpha = 0.05$ level.

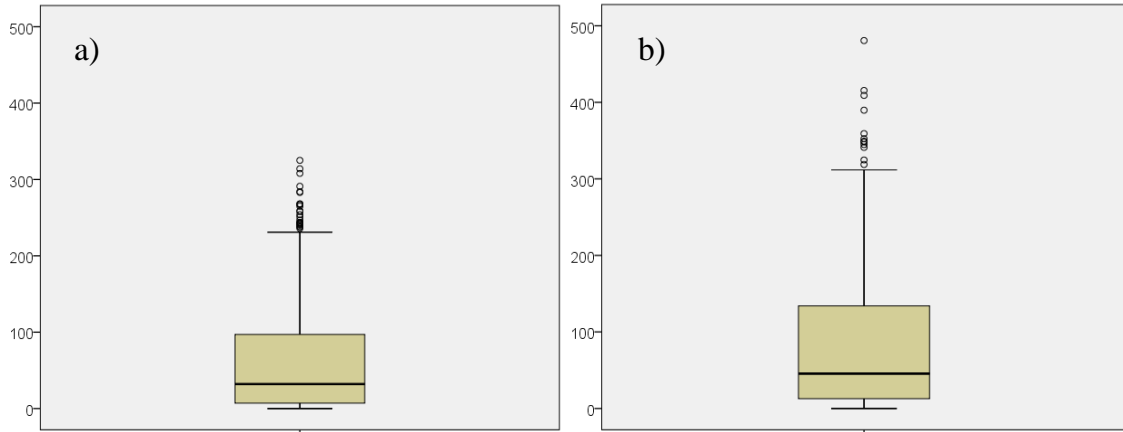
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323 **Table 1:** MS and RFEs monthly rainfall (mm mth-1) over the period 1996-2006.

	1996-2000		2001-2006	
	MS	RFE 1.0	MS	RFE 2.0
Months (<i>n</i>)	359	359	552	552
Mean	85.0	68.3	79.4	59.8
Median	45.6	32.0	43.0	39.0
Std Deviation	96.4	81.6	85.5	60.9
Minimum	0.0	0.0	0.0	0.0
Maximum	480.7	325.0	405.3	284.0
Interquartile Range	121.3	91.0	104.6	67.0
Skewness†	1.4	1.3	1.3	1.4
Kurtosis†	1.3	0.5	1.1	1.3

† significant at $\alpha = 0.05$.

324



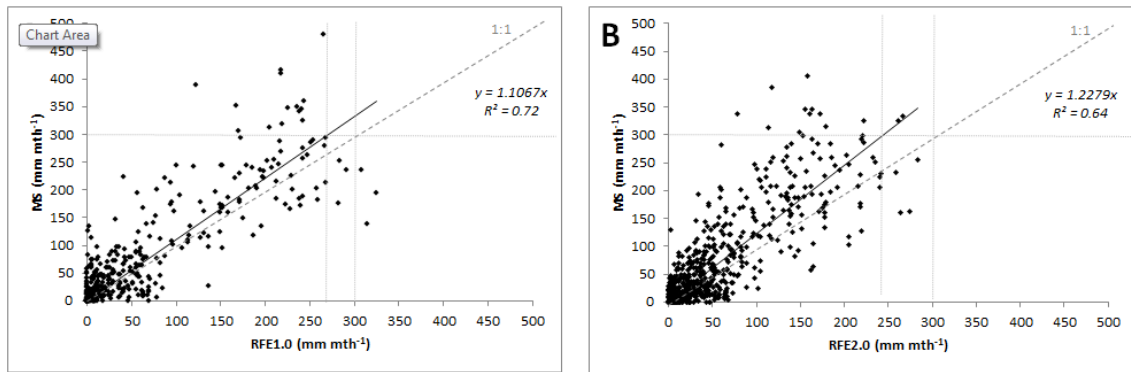
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327 **Figure 5:** Boxplots of the monthly rainfall data (in mm) for the period 1996-2000 (a)
328 RFE1.0 and (b) MS.

329

330



331

332

333 **Figure 6:** Linear regression analysis of monthly rainfall for RFEs versus MS. (a) RFEs
334 1.0 (period 1996-2000), (b) RFEs 2.0 (period 2001-2006). Underestimation of the RFE
335 values in comparison to 300 mm monthly rainfall in MS.

336

337 A linear regression analysis between monthly rainfall from MS and RFE 1.0 or RFE
338 2.0 was carried out to define the estimation accuracy of the RFEs (figure 6(a) and (b)).
339 With adjusted R^2 -values of 0.72 and 0.64 respectively ($P < 0.001$), both RFEs 1.0 and
340 RFEs 2.0 prove to be good estimators of MS data. The validity of these models was
341 supported by a fulfilment of the homogeneity of variance, normal distribution of the
342 residuals, and no trends occurring when plotting the residuals against calendar years.
343 From the line of perfect agreement (1:1 line, figure. 6(a) and (b)) it appears that RFEs
344 generally underestimate MS rainfall, and the scatter around the trendlines indicates that
345 the estimation of monthly rainfall by the RFE can be in gross error.

346

347 **3.2 Calibrated monthly Rainfall Estimates over the period 1996-2006**

348 Slope gradient and slope aspect have no added value as explaining factors of the spatial
349 variation and are therefore excluded from the regression equation (Table 2). Elevation is
350 significant but the explaining value gained by adding this variable in the regression
351 equation is very low (R^2 increases by 0.009). The stepwise regression finally resulted in
352 a simple linear regression through the origin (0,0) with RFE as independent variable.

353 The linear model (LM) is cross validated with a robust linear model (RLM). The
354 fitted regression line of the LM and RLM function are almost identical, the RLM fitted
355 line falls completely within the 95% confidence interval of the LM (figure 7).

356 The jackknife estimate of bias for the LM and RLM is respectively 0.001 and -0.012
357 and the jackknife estimate of the standard error is respectively 0.038 and 0.042. The
358 difference between the jackknife estimate of the bias and standard error is insignificant.
359 Therefore the LM is used for the calibration for the two RFE versions 1.0 and 2.0
360 separately.

361

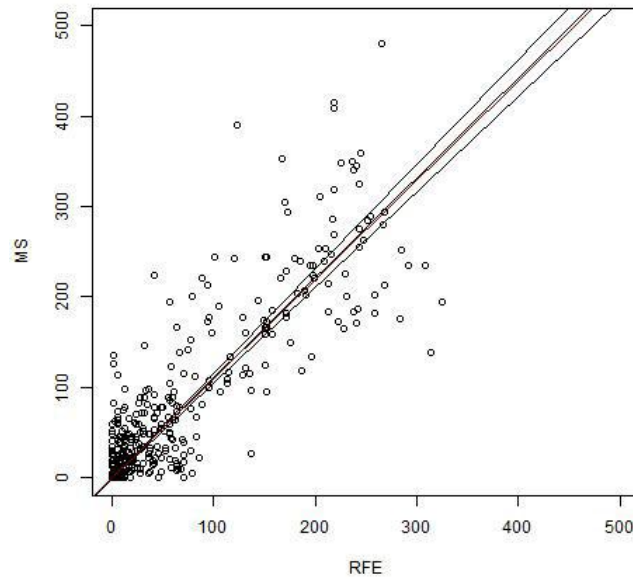
362 **Table 2:** Coefficients and excluded variables as resulted from the non-linear multiple
363 stepwise regression (RFE1.0, 1996-2000)

364

	<i>t-value</i>	<i>p-value</i>
Coefficients		
RFE1.0	31.500	0.000
Elevation	4.832	0.000
Excluded variables		
Slope gradient	-0.243	0.808
Slope aspect	-0.071	0.944

365

366



367

368 **Figure 7:** A comparison between the LM and RLM for RFE1.0 versus MS (1996-2000).
 369 With: in black the fitted regression line of the LM with 95% confidence interval (CI)
 370 and in red the RLM fitted regression line. Notice that the red RLM regression line lies
 371 completely within the 95% CI of the LM.
 372

373 As the RFEs prove to underestimate monthly MS rainfall, a linear regression analysis
 374 with RFEs as independent variable and MS as dependent variable was performed
 375 (figure 6(a) and (b)). The linear regression equations for RFEs 1.0 and 2.0 were:

376

377
$$\hat{M}_s = 1.1067 \times RFE(1.0)_i \quad R^2: 0.72 \text{ N: } 359 \text{ P: } <0.001 \quad (3)$$

378
$$\hat{M}_s = 1.2279 \times RFE(2.0)_i \quad R^2: 0.64 \text{ N: } 552 \text{ P: } <0.001 \quad (4)$$

379

380 As both coefficients were significant at $P < 0.001$, calibrating the RFEs images was
 381 done by multiplying the RFEs pixel-values with 1.1067 and 1.2279 for RFEs 1.0 and
 382 RFEs 2.0. In order to validate the calibration model, a comparison of the origin RFE
 383 values and the calibrated RFE values to the MS gauge rainfall values is made. As a
 384 result of the RFE1.0 calibration, the estimation of rainfall for the study area improved
 385 by average with 8% (Table 3) in comparison to the original RFE1.0.

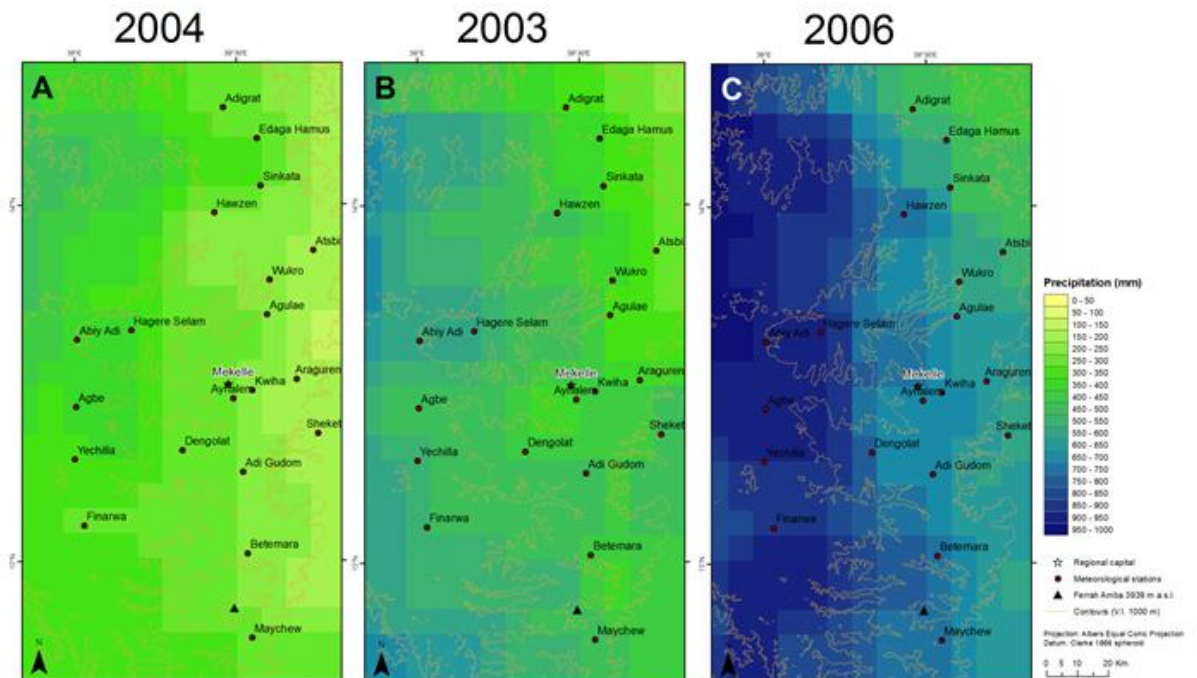
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387

388 **Table 3:** Validation of calibration model for RFE1.0 (1996-2000)

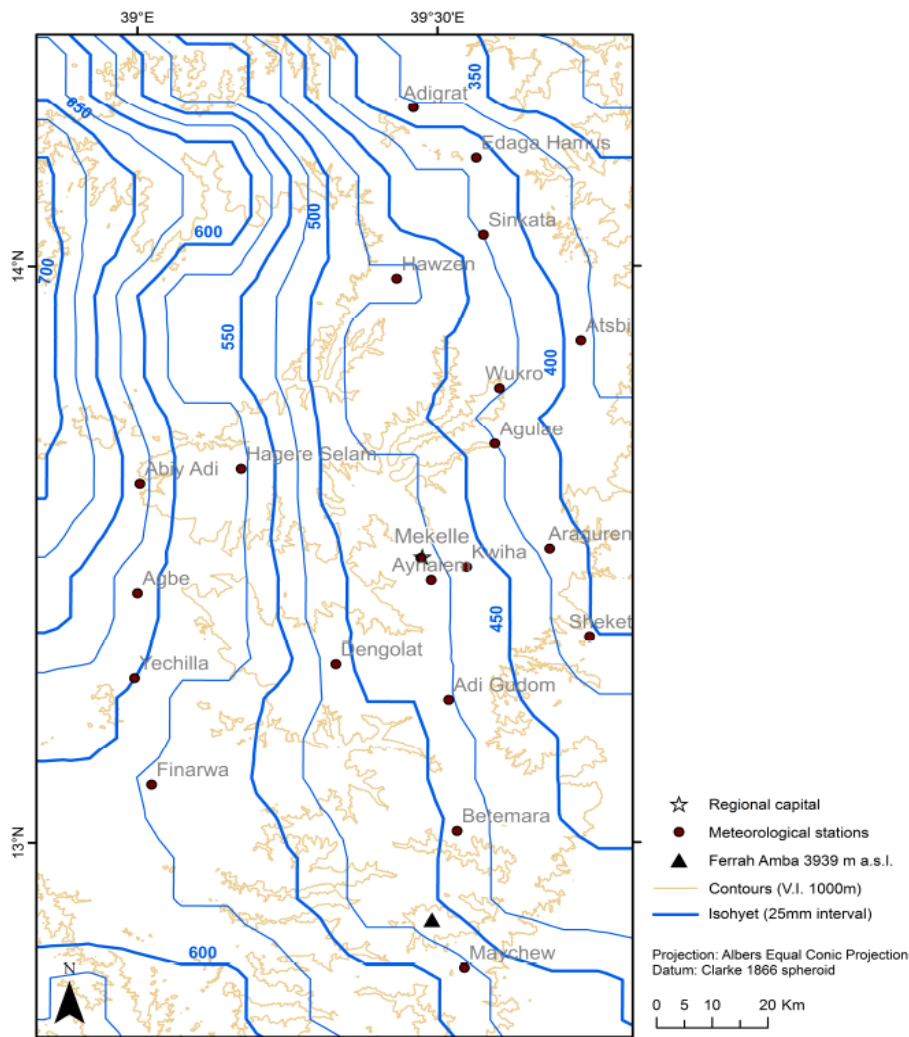
	Total†			Error§		Improvement¶ of Cal RFE (%)
	Orig. RFE (mm)	Gauge Rainfall (mm)	Cal‡ RFE (mm)	Orig. RFE (%)	Cal RFE (%)	
March	897	1455.3	992.7	38.4	31.8	6.6
April	1109	1489.0	1227.3	25.5	17.6	7.9
May	1161	2051.7	1284.9	43.4	37.4	6.0
June	1516	2039.8	1677.8	25.7	17.7	7.9
July	8861	10635.5	9806.5	19.3	7.8	8.9
August	9797	10993.1	10842.3	8.6	1.4	9.5
September	1161	1838.5	1284.9	36.9	30.1	6.7
Avg. total	3500	4357.6	3873.8	28.2	20.5	7.7%

389 † Rain season total
 390 ‡ Calibrated RFE: 1.1067*RFE1.0
 391 § Percentage error in comparison with MS
 392 ¶ Improvement of calibrated RFE in comparison with original RFE
 393



394 **Figure 8:** Calibrated yearly RFEs corresponding to a typical (a) dry year (2004), (b)
 395 normal year (2003) and (c) wet year (2006).
 396
 397
 398

399 The monthly calibrated RFE images are summed for each year to obtain maps of the
 400 total yearly rainfall (figure 8). The calibrated RFE maps demonstrate regional and
 401 temporal yearly rainfall contrasts for the study area. Based upon these maps an isohyet
 402 map of the average yearly rainfall for the period 1996-2009 was constructed (figure 9).
 403 The isohyet map indicates that there is a general northeast-southwest gradient of
 404 increasing rainfall in the study area and a sharp east-west gradient of increasing rainfall
 405 in the north of the study area. The average annual rainfall of the southernmost MS of
 406 Maychew is only 542 mm. The average total rainfall difference between the north-
 407 eastern (320 mm) and the south-western (620 mm) part of the study area is 300 mm.
 408 However this difference fluctuates highly between the different observed years.
 409



410

411 **Figure 9:** Isohyet map of the average rainfall (1996-2006) in the rain season (from
 412 March till September) as derived from calibrated RFE data.

413 **3.3 Spatial variability of annual rainfall**

414 A non-linear multiple regression is used to determine which variables are significantly
415 explaining the variability of the annual rainfall. The regression is executed with the
416 calibrated average of RFE1.0 as dependent variable (representing the spatial distribution
417 of rainfall) and elevation, slope gradient, slope aspect, easting and northing as
418 independent explaining variables. The stepwise multiple regression excludes the
419 variables elevation, slope gradient and slope aspect, these variables are not significantly
420 contributing in explaining the spatial distribution of the rainfall. The resulting
421 regression equation includes easting and northing (eq. 5) and has an R^2 -value of 0.86
422 ($P<0.001$). The distribution of rainfall for the study area is thus very dependent on
423 easting and northing.

424

$$425 \quad RFE_{cal} = 7333.581 - 0.00288029E - 0.000663432N \quad R^2: 0.86 \quad N: 325 \quad P:<0.001 \quad (5)$$

426

427 With

428 E : Easting (m)

429 N : Northing (m)

430

431 A simple linear regression analysis between successively easting- and northing- and
432 RFE_{cal} average (1996-2000) is given in figure 10(a) and (b). Apparent is the high
433 explaining value of the easting (R^2 : 0.72). The east-west position is hence most
434 important in explaining the amount of yearly rainfall for the study area. The amount of
435 rainfall decreases eastwards. The explaining value of the northing is less strong (R^2 :
436 0.14), however the plotted linear trendline shows a decrease of rainfall with increasing
437 northing. These results correspond to the trends detected from the calibrated rainfall
438 maps (figure 8).

439

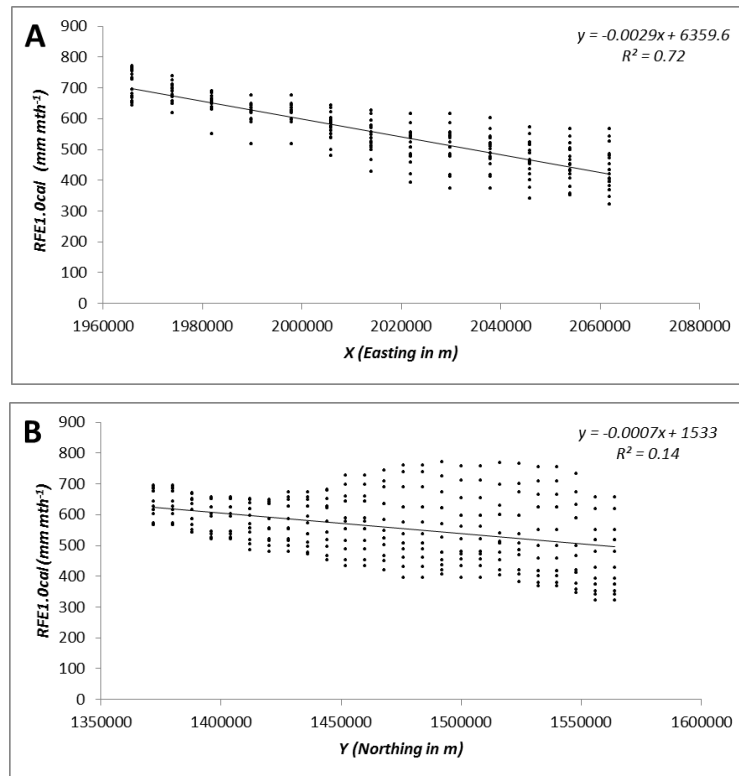
440

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445

446 **Figure 10:** Linear regression analysis of longitude (a) and latitude (b) versus average
447 annual calibrated rainfall of RFE1.0 (1996-2000) (RFE1.0cal).
448

449

449 4. Discussion

450

451 As indicated by this and previous studies (Dinku *et al.* 2007, Beyene and Meissner
452 2010), RFEs can provide fairly good insights into spatiotemporal rainfall patterns in
453 North Ethiopia. The high correlation coefficients of 0.85 or 0.80 between monthly
454 rainfall from MS and RFEs 1.0 or 2.0 respectively are similar to the findings of Dinku
455 *et al.* (2007) where r -values of 0.78 and 0.75 are reported. RFE1.0 is performing 8%
456 better than the new RFE2.0 images that seem to suffer from the exclusion of
457 orographic warm rain processes in the RFE2.0 algorithm (Beyene and Meissner 2010).
458 High correlations were found during the rain season (March-September) and weak
459 correlations occurred during the dry season (October-February). To counter the weak
460 correlation in the dry season, only the rain season rainfall from March till September is
461 used in the calibration model. Neglecting the dry season rainfall is possible given its
462 limited fraction of the annual rainfall (*ca.* 9%) in the North Ethiopian Highlands.

463

464

RFEs underestimate precipitation (Dinku *et al.* 2007, figure 6). According to Dinku
et al. (2007) this is especially the result of an important underestimation of large

465 precipitations. The patterns of the isohyet map match patterns as described in literature
466 (Degefu 1987, Tadesse *et al.* 2006). The calibration model succeeds to reduce the error
467 scatter of the original RFEs, but an underestimation of rainfall remains. The study of
468 Dinku *et al.* (2010), revealed that RFE exhibit moderate underestimations of rainfall
469 over mountainous regions. This is also apparent in our study, especially in the southern
470 part of the study area. The average annual rainfall of Maychew is according to the
471 isohyet map 542 mm, but in actuality (according to the MS data) 661 mm. Rainfall in
472 the south is thus strongly underestimated, by 119 mm for Maychew. The poor
473 calibration in the south may also result from the very limited rainfall data available for
474 the southern stations of Yechilla, Finarwa and Betemara. In the western MS of Agbe the
475 annual average rainfall differs only 4mm between the RFE average (610 mm) and the
476 actual MS average (614 mm).

477 The spatial variability of rainfall in the study area is mainly determined by easting
478 and only very limited by northing. Nonetheless northing - as a result of the northwards
479 movement of the ITCZ - is generally described as the most important explaining factor
480 for the distribution of rainfall in Ethiopia (Goebel and Odenyo 1984, Krauer 1988). This
481 contrast results from the location of the study area at the boundary of the Rift Valley.
482 The general rainfall pattern is modified by the topographic boundary of the Rift Valley
483 (Degefu 1987) and as a result the rainfall pattern of the study area is dominated by a
484 sharp east west gradient of increasing rainfall. The scatter around the trendlines for
485 easting increases east and for northing increases north; this indicates that rainfall
486 variability increases in drier regions.

487 To further improve the reliability of the RFE calibration, additional mountain related
488 rainfall data is necessary. This could be achieved (i) through densification of the rain-
489 gauge network with new MS measuring rainfall in mountainous regions or (ii) by the
490 use of rainfall proxies. An example of a rainfall proxy that is suitable in the dry tropics
491 is the NDVI (Herrmann *et al.* 2005, Richard and Pocard 2010). Interesting perspective
492 for future research also consists of an historical extrapolation of the spatial rainfall
493 pattern. We would suggest a reconstruction back to the 1970s, as rainfall measurement
494 for most of the MS starts from this period (figure 3).

495

496

497 5. Conclusions

498

499 The semi-arid to subhumid mountain climate with bimodal rainfall patterns of the North
500 Ethiopian Highlands is especially vulnerable to rainfall anomalies. The rainfall
501 estimation strength of satellite derived RFEs for the study of spatio-temporal rainfall
502 patterns is validated to MS data with a linear regression through the origin (0,0). As a
503 result of a weak correlation between RFE and MS rainfall data in the dry season (R^2 :
504 0.26), only the rain season from March till September is analysed (responsible for *ca.*
505 91% of the annual rainfall). The result demonstrates that the RFEs are well correlated
506 with the MS rainfall data (85% and 80% for RFE 1.0 and 2.0 respectively).
507 Nevertheless RFEs generally underestimate MS rainfall and scatter around the
508 trendlines indicate that the estimation can be in gross error. To improve the RFEs for
509 the mountainous study area, a calibration with local rain gauge data and explanatory
510 spatial parameters was applied. The SRTM derived spatial parameters (elevation, slope
511 gradient and slope aspect) were not significant. Consequently the calibration resulted in
512 a linear regression through the origin (0,0) with MS as dependent variable and RFE as
513 independent variable. Based upon the calibration model the estimations of the RFEs
514 improved with 8%. The calibrated RFEs supported the production of annual rainfall
515 maps for the study area and an isohyet map with the average yearly rainfall for the
516 period 1996-2006. The maps indicate that there is a general northeast-southwest
517 gradient of increasing rainfall in the study area and a sharp east-west gradient of
518 increasing rainfall in the north of the study area. The explanatory value of slope,
519 elevation, slope aspect, easting and northing for the spatial variability of annual rainfall
520 is studied in a non-linear multiple regression with the calibrated RFE as dependent
521 variable. Of the explanatory variables only easting and northing are significant and
522 included in the regression analysis (R^2 : 0.86). The most important explaining variable of
523 the spatial rainfall variability is easting (R^2 : 0.72). This results from the position of the
524 study area at the boundary of the Rift Valley. The scatter around the individual
525 trendlines of easting and northing demonstrate that rainfall variability increases in drier
526 regions. Based upon the calibration model the scatter of the original RFEs can be
527 reduced, but an overall underestimation of rainfall remains. The high underestimation of
528 rainfall in the south of the study area is possibly the result of the more pronounced

529 relief. In order to make RFEs more reliable, especially in mountainous areas, additional
530 mountain related MS rainfall data is necessary to improve the calibration of the RFE
531 images. Another approach could be the use of NDVI data as a proxy for rainfall in the
532 dry tropics. However calibration of RFEs for the study area has proven to be valuable in
533 gaining improved understanding of the regional spatiotemporal rainfall patterns, which
534 are important as input to a wide range of scientific models with direct linkage to land
535 management strategies and scenarios.

536

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544

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