

Reubens B., Moeremans C., Poesen J., Nyssen J., Tewoldeberhan S., Franzel S., Deckers J., Orwa C., Muys B., 2011. Tree species selection for land rehabilitation in Ethiopia: from fragmented knowledge to an integrated multi-criteria decision approach. *Agroforestry Systems*, 82: 303–330.

# Tree species selection for land rehabilitation in Ethiopia: from fragmented knowledge to an integrated multi-criteria decision approach

Bert Reubens<sup>1a\*</sup>, Clara Moeremans<sup>1</sup>, Jean Poesen<sup>2</sup>, Jan Nyssen<sup>3</sup>, Sarah Tewoldeberhan<sup>4</sup>, Steve Franzel<sup>5</sup>, Jozef Deckers<sup>6</sup>, Caleb Orwa<sup>5</sup> & Bart Muys<sup>1</sup>

<sup>1</sup>*Division Forest, Nature and Landscape, Katholieke Universiteit Leuven, Celestijnenlaan 200 E Box 2411, BE-3001 Leuven, Belgium*

<sup>2</sup>*Division Physical and Regional Geography, Katholieke Universiteit Leuven, Celestijnenlaan 200 E Box 2409, B-3001 Leuven, Belgium*

<sup>3</sup>*Department of Geography, Ghent University, Krijgslaan 281 S8, BE-9000 Ghent, Belgium*

<sup>4</sup>*Land Resources Management and Environmental Protection Department, Mekelle University, P.O.Box 231, Mekelle, Ethiopia*

<sup>5</sup>*International Centre for Research in Agroforestry, United Nations Avenue, Gigiri, P.O. Box 30677, Nairobi, Kenya*

<sup>6</sup>*Division Soil and Water Management, Katholieke Universiteit Leuven, Celestijnenlaan 200 E Box 2411, BE-3001 Leuven, Belgium*

<sup>a</sup>Present address: Institute for Agricultural and Fisheries Research (ILVO), Burg. van Gansberghelaan 109, BE-9820 Merelbeke, Belgium.

\*Corresponding author:

Phone: +32-9-2722670;

Email: bert.reubens@ilvo.vlaanderen.be

# 1 ABSTRACT

2 Dryland regions worldwide are increasingly suffering from losses of soil and biodiversity as a  
3 consequence of land degradation. Integrated conservation, rehabilitation and community-based  
4 management of natural resources are therefore of vital importance. Local planting efforts should focus  
5 on species performing a wide range of functions. Too often however, unsuitable tree species are  
6 planted when both ecological suitability for the targeted area or preferences of local stakeholders are  
7 not properly taken into account during selection. To develop a decision support tool for multi-purpose  
8 species selection, first information needs to be pooled on species-specific ranges, characteristics and  
9 functions for a set of potentially valuable species. In this study such database has been developed for  
10 the highly degraded northern Ethiopian highlands, using a unique combination of information sources,  
11 and with particular attention for local ecological knowledge and preferences. A set of candidate tree  
12 species and potentially relevant criteria, a flexible input database with species performance scores  
13 upon these criteria, and a ready-to-use multi-criteria decision support tool are presented. Two  
14 examples of species selection under different scenarios have been worked out in detail, with highest  
15 scores obtained for *Cordia africana* and *Dodonaea angustifolia*, as well as *Eucalyptus* spp., *Acacia*  
16 *abyssinica*, *Acacia saligna*, *Olea europaea* and *Faidherbia albida*. Sensitivity to criteria weights, and  
17 reliability and lack of knowledge on particular species attributes remain constraints towards  
18 applicability, particularly when many species are jointly evaluated. Nonetheless, the amount and  
19 diversity of the knowledge pooled in the presented database is high, covering 91 species and 45  
20 attributes.

21

22 *Keywords: afforestation; dryland restoration; local ecological knowledge; multi-*  
23 *purpose tree; rural appraisal; species selection tool*

24

## 25 INTRODUCTION

26 Dryland regions all over the world are increasingly faced with huge environmental  
27 challenges and are suffering from a dramatic loss of soil and biodiversity as a consequence  
28 of long-term land degradation (Reynolds et al. 2007; White and Nackoney 2003). Integrated  
29 conservation, rehabilitation and community-based management of natural resources are  
30 therefore of vital importance, not only to maintain local biodiversity or livelihoods, but also for  
31 the protection of off-site (downstream, urban) ecosystems and livelihoods (German et al.  
32 2006). Given the increasingly important issue of land shortage and difficulties related to  
33 limited capacity, any conservation intervention should ideally fit into a multi-functional land  
34 use, having a maximum range of benefits from a minimum investment. Undeniably, an  
35 efficient land management policy should include promotion of multi-purpose woody species  
36 through afforestation, reforestation and/or natural regeneration, as a means to enhance rural  
37 livelihoods while providing a wide range of environmental services reversing degradation  
38 (Chazdon 2008; German et al. 2006; Taddese 2001).

39 Nevertheless, several bottlenecks may hinder effective implementation. Often  
40 inappropriate tree species are selected for planting when both ecological suitability for the  
41 targeted area or objectives and preferences of local stakeholders (frequently farmers) are not  
42 properly taken into account (Simons and Leakey 2004), leading to negative side-effects  
43 (German et al. 2006). Moreover, since local efforts are often limited to a small pool of known  
44 species (Kindt et al. 2006), more attention should be given to valuable alternatives,  
45 performing a range of socio-economic, ecological and cultural functions. In contrast to  
46 classical plantation forestry, the selection of multi-purpose tree species for dryland  
47 rehabilitation in rural areas is much more complex and the group of stakeholders very  
48 heterogeneous (Franzel et al. 2008).

49 For any particular situation in a context of vegetative land rehabilitation there is hence a  
50 need to develop or use a type of framework for appropriate selection of the most suitable  
51 multi-purpose species, starting from a wide set of alternatives. To enable this (i) a broad and

52 appropriate group of ecological, economic and social selection criteria describing species  
53 traits in terms of growth characteristics, site requirements and potential products and  
54 services, needs to be defined, and (ii) performance on each of these criteria needs to be  
55 thoroughly understood for each of the considered species, as should the relationships and  
56 trade-offs between those criteria (Franzel et al. 1996).

57

58 Several tree species reference databases and toolkits for prioritisation have been  
59 developed for various regions around the world and at different scales of implementation  
60 (e.g., Guthrie and Nygren 2007; Orwa et al. 2009; Royal Botanic Gardens 1999; von  
61 Carlowitz et al. 1991; Webb et al. 1984). Species priority setting for diversification and for  
62 selection of multi-purpose tree species in developing countries has also received wide  
63 attention in research papers, books and project reports (e.g. Franzel et al. 1996, 2008; Kindt  
64 et al. 2005, 2007; Mng'omba et al. 2008; Warner 1994, just to mention a few). Nevertheless,  
65 an all-inclusive or ready-to-use database does not exist. Limited accessibility and/or lack of  
66 detailed information, both on particular species or characteristics, are common bottlenecks  
67 (Franzel et al. 1996, 2008). Moreover, because plant performance and utility finally depend  
68 on specific environmental and social conditions, information is sometimes contradictory for  
69 different data sources.

70 While consulting reference databases covering the considered area and species is a  
71 must, for any particular application there is a need to perform further in-depth assessment, to  
72 modify if necessary, and to refine criteria and choices. This encompasses the need to take  
73 local conditions, uses and needs into consideration, and to incorporate additional, locally  
74 important species not yet included. Doing so, species selection can become well-matched to  
75 the specific circumstances, at the same time being applicable for a wide set of local  
76 scenarios. Such scenarios are defined as explicit situations of species selection for a  
77 concrete land use purpose (e.g. gully erosion control, firewood production or beekeeping  
78 practice). Identification of particular knowledge gaps is also crucial, and where possible these  
79 gaps need to be bridged. Besides the need to pool scientific information, it is especially

80 important to incorporate knowledge from local stakeholders on species presence, suitability  
81 and performance, as well as on stakeholder preferences, knowledge which has received  
82 insufficient attention (Sheil and Liswanti 2006).

83 Hence it becomes clear that species selection can be a complex process involving a  
84 wide range of criteria and information sources. In such situation, the development of a  
85 Decision Support System (DSS) can be very helpful to deal with the large amounts of  
86 information in a consistent and objective way. Though a clear unequivocal definition is hard  
87 to find, all DSS's have in common that they are intended to interactively support decision  
88 makers in compiling useful information in order to identify problems and opportunities, and to  
89 take decisions (Gilliams et al. 2005; Reynolds and Schmoldt 2006; Sprague and Watson  
90 1989). Multiple-criteria decision analysis (MCDA) is the group name of all types of such  
91 decision processes specifically designed to evaluate (prioritize) a list of alternatives with the  
92 help of multiple objectives (criteria) describing these alternatives (von Gadow and  
93 Bredenkamp 1992). In our particular case, those alternatives are woody species.

94

95 In this study, these issues were broadly considered for one particular African dryland  
96 area, i.e. northern Ethiopia. To contribute to an increased efficiency of tree planting efforts,  
97 we aimed at applying a conceptual framework for appropriate tree species selection in the  
98 northern Ethiopian highlands. More specifically, our objectives were:

- 99 1. To delineate both a wide set of woody species potentially suitable for the considered  
100 area, and an appropriate set of selection criteria describing species' ecological range,  
101 growth characteristics, requirements, products and services;
- 102 2. To design a comprehensible meta-database integrating fragmented scientific as well as  
103 local species-specific knowledge on these selection criteria, for the selected set of  
104 species;
- 105 3. To identify trends, relationships and particular knowledge gaps in this database, as well  
106 as to compare it with existing databases and tools;

107 4. To apply and evaluate two flexible decision support tools for multi-purpose species  
108 selection under different scenarios, bringing existing knowledge into appropriate practice.

109

110

## 111 Materials and methods

### 112 Study area

113 The study area (Fig. 1) is located in the Central Zone of Tigray, Ethiopia's northernmost  
114 region. With altitude ranging between 500 m a.s.l. on the eastern border with the Afar region  
115 and almost 4000 m a.s.l. in the southwest, about 53 % of the Tigray area is lowland ("*Kolla*" -  
116 less than 1500 m), 39 % is medium highland ("*Woina Degua*" - 1500 to 2300 m), and 8 % is  
117 upper highland ("*Degua*" - 2300 to 3000 m) (Hurni 1986). Large variations in topography and  
118 altitude result in different agro-ecological niches or microclimates within short distances  
119 (Causton and Venus 1981; Nyssen et al. 2005). The area on which this study mainly focuses  
120 is situated in the *Degua* and *Woina Degua* zone, more specifically in the Degua Tembien  
121 *woreda* (district) (13°39'N, 39°10'E), ca. 50 km west of Mekelle, the capital of Tigray (Fig. 1).  
122 Elevation ranges between 2100 and 2800 m a.s.l., and local geological formations,  
123 comprising limestone, sandstone and Tertiary basalt flows, form sub horizontal layers and  
124 give rise to stepped slope profiles (Nyssen et al. 2004a). Though locally highly variable, the  
125 mean annual precipitation is 778 mm, with the main rainy season (>80 % of the yearly  
126 rainfall) lasting from mid-June to mid-September, and being preceded by three months of  
127 dispersed, less intense and less predictable rains. Monthly average minimum and maximum  
128 air temperatures range from 4 to 6 °C and from 20 to 22 °C, respectively (Nyssen et al.  
129 2008). The prevailing, small-scale agricultural system in Degua Tembien consists of  
130 integrated annual crop and livestock production in which oxen provide the draught power to  
131 plough smallholder's fields. Main crops are barley, wheat, grass pea, horse bean, lentil and  
132 teff, the latter being a cereal with very fine grains endemic to Ethiopia. In addition,  
133 vegetables, such as onions, salad, tomatoes and green pepper, are commonly grown on

134 small, irrigated plots near the houses and adjacent to rain water harvesting ponds (Nyssen et  
135 al. 2008; Segers et al. 2008). Livestock (cattle, sheep, goats and donkeys) is very important  
136 both as a source of energy (oxen traction and manure substituting fuel wood) and as an  
137 insurance mechanism (Descheemaeker 2006; Nyssen 2001). Grassland, rangeland and  
138 exclosures are communal lands (Nyssen et al. 2008; Segers 2009).

139 In the highlands of this area, free grazing and encroachment of fragile relic forest  
140 fragments continue, and consumption of wood products is huge and often inefficient  
141 (Berhanu et al. 2004; Kebede et al. 2002), further aggravating the age-long problems of land  
142 degradation (Nyssen et al. 2008b, 2009). Since the 1980s, the most significant reform in  
143 natural resource management in the Ethiopian highlands has probably been the introduction  
144 of exclosures, defined as areas of natural vegetation protected from the intrusion of humans  
145 or livestock (Aerts et al. 2009; Le Houérou 2000). Such exclosures have been proven to be a  
146 successful means for on- and off-site soil and water conservation (Descheemaeker et al.  
147 2009; Mengistu et al. 2005). Yet, after more than three decades of large-scale promotion and  
148 implementation (Shiferaw and Holden 1998), efficiency in terms of biodiversity and  
149 vegetation recovery, but also in terms of socio-economic returns, is not always indisputably  
150 successful, and social acceptance therefore precarious (Babulo et al. 2009; Bekele 2003;  
151 Muys et al. 2006). In general, it is clear that a merely natural vegetative recovery will not  
152 suffice to cope with the ever-increasing environmental pressure. An important actual  
153 challenge therefore remains to efficiently combine promotion of natural vegetation restoration  
154 with active planting of high value multi-purpose woody species.

155 For a long time primarily exotic evergreen species, mainly *Eucalyptus camaldulensis* and  
156 *Eucalyptus globulus*, were promoted in Ethiopia to cope with the ever-growing demand for  
157 fuel and timber, even if not without problems (Gindaba et al. 2005; Wilson 1977). It is only in  
158 the past few decades that indigenous, multi-functional woody species also slowly started to  
159 receive attention. Active tree planting could be undertaken e.g. as enrichment planting in  
160 exclosures and forest relics (Aerts et al. 2007; Gindaba et al. 2005), as on-farm agroforestry  
161 intervention, or through vegetative erosion control measures (Nyssen et al. 2004b; Reubens

162 et al. 2009). However, although some efforts have proven to be successful, lack of aftercare  
163 and inappropriate species selection and management in general continue to result in  
164 unsustainable, inefficient planting practices with very low seedling survival rates (Aerts et al.  
165 2007; German et al. 2006; Negussie et al. 2009; Teketay 2000).

166

## 167 Data collection

### 168 *Inventory of potentially suitable species*

169 In this study, a broad literature review was performed for a wide set of woody species,  
170 gathering information on a range of species-specific traits and functions, further referred to  
171 as “attributes” or “criteria” (Table 1). These were classified into specific criterion groups,  
172 hence resulting in two hierarchical selection levels (criterion groups and individual criteria).

173 Nine such groups were defined:

- 174 1. Ecological range, i.e. those criteria delineating the climatic, topographic and agro-  
175 ecological conditions under which the species may thrive (4 criteria);
- 176 2. Species botany, i.e. a limited set of attributes roughly describing the species’ size, life  
177 form and leaf fall pattern (3 criteria);
- 178 3. Root system characteristics, i.e. those attributes characterizing the species’ root system  
179 type, size, density and strength (5 criteria);
- 180 4. Socio-economic functions, i.e. direct or potential (economic) benefits (or damage) for  
181 humans from the plant itself (13 criteria);
- 182 5. Socio-cultural values, i.e. the social basis of the species, not taking economic value into  
183 account (3 criteria);
- 184 6. Environmental services, i.e. indirect benefits (or damage) for humans and environment  
185 obtained through the influence of the growing plant on its environment or on other  
186 species (11 criteria);
- 187 7. General plant performance, i.e. the growth performance and suitability of the species to  
188 grow in the (wide) region (14 criteria);



- 189 8. Local plant performance, i.e. the suitability of the species to grow under specific local  
190 growth conditions (6 criteria);
- 191 9. Biodiversity relevance, i.e. the importance of the species to enhance or decrease  
192 associated biodiversity (2 criteria).

193

194 Data sources included publications ranging from local project reports to international  
195 journal articles, books, global databases and digital libraries. Of particular interest are  
196 initiatives such as SEPASAL of the Royal Botanic Gardens Kew (Royal Botanic Gardens  
197 1999), the African Plants Initiative (API) of Aluka (Guthrie and Nygren 2007), and the global  
198 AgroforesTree database (AFT) of the World Agroforestry Centre (ICRAF) (Orwa et al. 2009;  
199 Salim et al. 2002; Simons et al. 2005; von Carlowitz et al. 1991). SEPASAL is an online  
200 database and enquiry service about useful "wild" and semi-domesticated plants of tropical  
201 and subtropical drylands. Aluka is an international non-profit organization collaborating with  
202 institutions and individuals around the world to produce a digital library of scholarly resources  
203 from and about Africa. A wide range of flora e.g. is now electronically available through the  
204 API. More importantly even, the AgroforesTree database has been used as a major input  
205 source, both directly and indirectly through other literature sources largely referring to it. This  
206 database summarizes taxonomy, botanical description, geographic distribution, habitat  
207 characteristics, biophysical limits, products and services, pests and diseases, propagation,  
208 tree management, growth and development, yields and harvest methods, trading and  
209 prospects for more than 500 agroforestry trees. It has been incorporated in or used as a  
210 basis for several other databases and species selection tools.

211

212 The list of species considered in this review exercise was based on the species'  
213 presence in the project area or its potential for introduction, as suggested by informants (see  
214 local survey in the next section). First, a compilation was made of all trees and shrubs  
215 described as present, either during the survey for this research or during prior studies (e.g.,  
216 Descheemaeker 2006; Friis 1992; Nyssen 2001; Teketay 1997, 2000; Wilson 1977). This list

217 included both indigenous and exotic species, and both planted and naturally regenerating  
218 species on all types of land uses. In addition, those woody species highlighted during the  
219 local interviews (next section), or reported in literature as locally present or considered  
220 promising, were incorporated as well. By promising species we meant those species suited  
221 to the biophysical environment and having products of interest to farmers or products with  
222 high market demand.

223 We decided to focus on trees and shrubs and therefore not to include any of the  
224 Cactaceae (e.g., *Opuntia ficus-indica*), Agavaceae (e.g., *Agave sisalana*), Asphodelaceae  
225 (e.g., *Aloe* spp.), Musaceae (e.g., *Musa* spp.) or Poaceae (e.g., *Arundo donax*) highlighted  
226 during the interviews. This decision does however not imply by any means that these species  
227 are not valuable for selection.

228

### 229 *Rural appraisal, expert questionnaires and species presence inventory*

230 To further assess species presence and local knowledge on species-specific  
231 characteristics and functions, as well as to gain insight in people's species and function  
232 preferences, explorative field walks and participatory interviews with 45 local informants were  
233 conducted between September and December 2005. Besides 37 farmers (28 male and 9  
234 female), eight non-farming stakeholders were included in these interviews, being local soil,  
235 water and forest conservation experts, extension agents, students and administrators.  
236 Interviews were conducted in five representative villages in a radius of 10 km around Hagere  
237 Selam town (the administrative capital of Degua Tembien), with a minimum of six interviews  
238 per village. They took place in the farmers' houses or fields. At the same time, interviews  
239 were conducted in offices or in the market of Hagere Selam, where non-farmers as well as  
240 farmers from more distant villages could be interviewed.

241 Local interviews could be subdivided into three particular interview components, each  
242 following a specific methodology:

243 · INT-a: Appraisal of the local community setting, including soil and water conditions,  
244 woody species locally found, current role of trees in the community, and problems faced  
245 related to land degradation, so as to get acquainted with the area and associated  
246 problems (Warner 1994). This was done through semi-structured individual discussions  
247 (Bernard 2006) as well as field walks in groups of 3-5 persons (Davis and Wagner 2003);  
248 · INT-b: Prioritization (assessing relative importance) of tree-related products and services  
249 through individual interviews with direct weight ascription using small stones as counters,  
250 following Sheil and Liswanti (2006). The set of evaluated attributes (Table 1) was  
251 delineated based on the criterion groups of the literature review, and complemented with  
252 ideas raised during INT-a;  
253 · INT-c: Assessment of species-specific occurrence, autecological characteristics (i.e. plant  
254 performance in relation and interaction with its environment), products and services (see  
255 also Table 1 for a full list) during structured group discussions (Bernard 2006) with 3-4  
256 participants. The number of species discussed during each interview was set to a  
257 maximum of 12, selecting only species found or used in the village of the participants,  
258 and therefore well-known. Species discussed only once (6) were excluded from further  
259 survey evaluation. In that way, 31 species were considered, each of them covered in at  
260 least two discussions (Table 2).

261

262 The prioritization component of these local surveys (INT-b) was supplemented with the  
263 results of a similar exercise performed by 11 external experts, being Ethiopian (n=3) and  
264 Belgian (n=8) researchers with experience in the study area. These experts evaluated the  
265 relative importance of selection criteria for two different application scenarios (see  
266 “*Application of a multi-criteria decision tool*” further in this section), this time not by direct  
267 weighting but through a full pairwise criteria comparison. Moreover, 11 additional individual  
268 farmer interviews type INT-a and INT-b, and seven group discussions (3-5 participants) type  
269 INT-c, were performed in six different villages in the same area in May 2008, to complement  
270 and validate the initial surveys.

271 As a consequence of time constraints, and since semi-structured interviews allowed  
272 informants to direct the interview themselves (Bernard 2006; Love and Spaner 2005), it was  
273 impossible to obtain complete information in every single interview. Nevertheless, all  
274 questions were covered by at least 75 % of the 45 interviewees. Consistency of the answers  
275 was checked through the repetition of similar questions in a slightly different way and  
276 comparing the answers.

277

### 278 Species selection for further analyses

279 Starting from the full list of species considered during the literature review and the local  
280 interviews, those species whose requirements did not suit the conditions of the studied agro-  
281 ecological zone were excluded, taking those attributes belonging to the criterion group  
282 “ecological range” into account (Fig. 2). Only definitely unsuitable species were excluded,  
283 withholding doubtful or marginally suited species, since the true agro-ecological limitations of  
284 many species are often insufficiently understood, and hard to delineate given the complex  
285 interaction of biotic, abiotic and land management factors (Rescia et al. 1994). As such,  
286 species excluded also encompass valuable species previously present but now locally  
287 extinct (e.g., *Erica arborea*, *Prunus africana*) or species limited to lowland conditions (e.g.,  
288 *Boswellia papyrifera*, *Commiphora* spp.) (Causton and Venus 1981; Teketay 2000). The  
289 resulting list is presented in Table 2.

290 In a next step, those species for which virtually no information was available to make a  
291 proper prioritization were excluded (Fig. 2).

292

### 293 Species database

294 The (descriptive) output of all the information collected for the species in the final  
295 species list, was synthesized into a numerical, integrated database or species x attribute  
296 matrix, summarizing species-specific occurrence, autecological characteristics, products and  
297 services (freely available upon request). For nominal variables such as soil type preference

298 or management needs, species were classified into three to six classes defining these  
299 properties. For all other variables, ordinal scores ranging from one to ten were assigned to all  
300 species. Scores are based both on information from literature review and local interviews  
301 (mainly INT-c). Thereto, the score from literature was always taken as the base value, since  
302 it was based on a broader range of information sources. On top of replacing missing values,  
303 scores from the interviews were then used to slightly increase or decrease the literature  
304 scores, hence correcting for local conditions and use if deemed necessary. The ultimate  
305 score of many socio-economic products, e.g., construction wood, encompasses several  
306 aspects, such as quantity, quality, household use, and ability to market the product.

307 An important bottleneck for any such database is the absence of information for a  
308 considerable number of species x attribute combinations (Franzel et al. 1996). Nevertheless,  
309 towards further data analysis, a proper method had to be defined to fill these data gaps with  
310 an appropriate replacement value. Two types of missing values were differentiated,  
311 depending on the particular criterion considered. For one group of criteria, lack of information  
312 was interpreted as an absence of that particular characteristic or function for the considered  
313 species, since it is expected to have been mentioned otherwise. In such case, the  
314 replacement value corresponded with a low score. Most socio-economic functions, socio-  
315 cultural values and several protection functions belong to this type. For a second group of  
316 criteria, a lack of information was interpreted as if the considered species scored neither  
317 extremely negative nor extremely positive. In such case, the replacement value  
318 corresponded with a medium score. Some protection functions and many attributes related to  
319 plant performance belong to this type.

320

### 321 Database trends and relationships

322 A small set of exploratory and multivariate analyses was performed on the resulting  
323 species x criteria database in order to obtain a more detailed insight on data trends and  
324 relationships.

325 To identify particular knowledge gaps, the percentage of missing values was calculated  
326 for every species and criterion. To evaluate how different criteria were related to each other  
327 in a positive or negative sense, Spearman's Rank correlations (Siegel and Castellan 1998)  
328 were determined between the species' criteria scores.

329 Based on the same species' criteria scores and following the methodology of Verheyen  
330 et al. (2003), a Ward's hierarchical clustering of a Gower similarity matrix (calculated from the  
331 complete 84 species x 45 criteria matrix) was performed to identify distinct species' clusters  
332 or "functional groups". The optimal number of emergent clusters was determined via tree  
333 validation (ClustanGraphics 8.06, Clustan Ltd. 2001), identifying five significant clusters as  
334 optimal solution. This validation compares the cluster tree obtained for the given dataset with  
335 the family of trees generated by 120 random data permutations.

336 In a next step, the relationships between the individual traits and the emergent cluster  
337 groups were quantified by means of Kruskal-Wallis tests in SPSS (Siegel and Castellan  
338 1998).

339 Finally, a Principal Components Analysis (PCA) (Kent and Coker 1996) was used to  
340 reveal species variability in criterion scores.

341

342 Statistical analyses were performed using SPSS 15.0 (SPSS Inc., Chicago, IL), PCord  
343 4.0 (MjM Software, USA) and ClustanGraphics 8.06 (Clustan Ltd., UK).

344

#### 345 Multi-criteria decision analysis for species ranking and selection

346 To perform and evaluate the multi-criteria decision analyses on our data, a commercial  
347 software package for decision management was primarily used, i.e. Criterium DecisionPlus  
348 (CDP; InfoHarvest Inc., Seattle, USA). This is a flexible and user-friendly tool with a wide  
349 range of opportunities for data input, output, analysis and visualization. Simultaneously  
350 however, our own tool called MCTS (i.e. Multi-Criteria Tree species Selection) was applied.  
351 This freely available simplified tool, based on similar principles as CDP, was developed as a

352 spreadsheet application and starts from a predefined but flexibly adaptable species x criteria  
353 dataset (Reubens 2010; Reubens et al. unpublished data). The idea behind developing an  
354 additional spreadsheet option was to make the whole decision process more transparent and  
355 accessible, and the valuable dataset readily available for future potential end-users,  
356 especially those prioritizing tree species in areas with similar characteristics across the East  
357 African region. MCTS consists of an introductory sheet (with general information for potential  
358 users), two input sheets (one for scoring the alternative species and one for criteria weighing,  
359 see next paragraph), three intermediate output sheets (demonstrating the results per  
360 criterion group) and one final output sheet showing the ultimate decision scores per species  
361 (Fig. 3).

362

363 For both methods, the subsequent steps followed to obtain a species ranking were  
364 (Fig. 4): (1) selecting a set of alternatives (species) and criteria, (2) building a hierarchy  
365 model, (3) scoring the alternatives, (4) weighing the criteria at the different criterion levels,  
366 and finally (5) calculating the alternative scores.

367 Criteria and species were selected as described above. To build the hierarchy model  
368 (Fig. 5), six out of the nine original criterion groups were further elaborated upon, i.e. the  
369 socio-economic functions, socio-cultural values, environmental services, general and local  
370 plant performance, and biodiversity relevance.

371 After defining this hierarchy model, assignment of individual scores to all alternatives  
372 (species) for each criterion was done using the information in the species database. In the  
373 MCTS spreadsheet, this information was integrated in the species x criteria input matrix of  
374 the tool (Fig. 3). In CDP the Simple Multi-attribute Utility Technique (SMART) was used to  
375 incorporate this information into the decision model (Belton 1986; Infoharvest Inc. 2002).

376 Since not all criteria or attributes are of equal importance in a decision process,  
377 weighing encompasses the evaluation of the relative importance of the different criteria at all  
378 hierarchical criterion levels. Such weights depend on the specific objective and scenario  
379 considered, and on the particular stakeholder assessing the relative importance. As an

380 example, two scenarios for the North-Ethiopian highlands were worked out in this study. In  
 381 Scenario 1, the aim was to select appropriate species for planting seedlings on private  
 382 homesteads, with a strong emphasis on local plant performance and livelihood (mainly socio-  
 383 economic) benefits. In this scenario, small volumes of (waste) water could be provided to the  
 384 seedlings if necessary, and management may be quite intensive. In Scenario 2, species  
 385 were selected for vegetative gully rehabilitation in the rural landscape, with a strong  
 386 emphasis on plant performance, soil reinforcement and environmental services. Here,  
 387 aftercare and protection are very limited. For both scenarios, the direct rating performed by  
 388 the local stakeholders (INT-b) was first translated into a pairwise criteria comparison, which  
 389 together with the other pairwise comparisons performed by the external experts was used to  
 390 assess the median contrast value for each particular pair of criteria. The latter values were  
 391 subsequently used for a full pairwise comparison in CDP, hence assigning a final weight. The  
 392 same values, but translated into direct criteria weights, were also incorporated into the  
 393 second input sheet of MCTS. All weights were positively normalized, ensuring a sum of  
 394 weights equal to 1. The accumulated weight of an individual criterion over the different  
 395 criterion levels (in our case two, i.e. criterion groups and attributes) can then be calculated  
 396 as:

$$397 \quad A_j = w_{g^j} \cdot w_j \quad (\text{Eq. 1})$$

398 where  $A_j$  is the accumulated weight of criterion “ $j$ ”,  $w_{g^j}$  the weight attributed to criterion  
 399 group “ $g$ ” to which  $j$  belongs, and  $w_j$  the weight of  $j$  within its criterion group.

400

401 Following this procedure, the ultimate decision score for an alternative (species) is the  
 402 sum of the scores of that alternative with respect to each of the lowest criteria weighed by  
 403 their accumulated weight. In other words, the result score is calculated as:

$$404 \quad D_i = \sum_{j=1}^n S_{ij} \cdot A_j \quad (\text{Eq. 2})$$



405 where  $D_i$  is the decision score of alternative “ $i$ ” and  $S_{ij}$  the (normalized) score of  
406 alternative  $i$  on attribute  $j$ , and “ $n$ ” is the total number of criteria. The same algorithm is used  
407 in CDP and MCTS.

408

#### 409 Evaluation, validation and comparison of the species selection procedure

410 Since the weights attributed to the criteria in the decision model are based on personal  
411 judgments on the part of the local stakeholders and experts, it is important to understand  
412 how sensitive the model is to such weights. In other words: we are interested to understand  
413 how robust the decision results are, and what would happen if weights were slightly changed.  
414 A sensitivity analysis was therefore performed in CDP, by assessing for every criterion how  
415 much its current weight may change before the model’s preferred alternative is superseded  
416 by a different alternative. In that way, we get an idea of the sensitivity of the model, and the  
417 most critical (sensitive) criteria are determined (Infoharvest Inc. 2002; Saaty 1992).

418

419 As discussed in the Data Collection section, the AgroforesTree database (AFT) has  
420 been used as a major input source for the database developed in this case study. Besides  
421 AFT, another very broad species reference guide including a species selection tool, is the  
422 Forestry Compendium (CABI FC; CAB International 2005). To date, the CABI FC harbors  
423 information on more than 22,000 species occurring worldwide. To our knowledge, nowhere  
424 such a vast amount of existing but fragmented information has been compiled into one  
425 database, this comprehensive integration being the main strength of the compendium.  
426 Although very useful and time-saving, the CABI FC has purposely not been used for  
427 developing our own database and MCTS-tool, so as to enable utilizing it for comparison  
428 afterwards. Using a free institutional trial version (2007 Edition © CAB International,  
429 Wallingford, UK), we evaluated which species of our final list (Table 2) were found in the  
430 CABI FC. Furthermore the CABI FC selection tool (Webb et al. 1984) was applied. This tool  
431 encompasses about 1300 woody species and reproduces a fully ordered list of these

432 species, completely ranked according to a set of specified selection criteria and the relative  
433 importance attributed to the latter. Selection criteria include: country or region, latitude,  
434 altitude, rainfall, air temperature, soil properties, silviculture, land uses as well as preferable  
435 woody and non-woody products. One can also choose to select planted or naturally growing  
436 species. Besides a representation of the suitability for each of the selected criteria, the output  
437 encompasses links to the Pest search module, aimed at assessing the risk for selected tree  
438 species of actual or potential attack by pests, which is likely to influence the final choice of  
439 species (Webb et al. 1984). Species selection outputs obtained through our MCTS-tool for  
440 three different scenarios, i.e. without criteria weighing, with criteria weighted towards  
441 promoting private planting, and with criteria weighted towards promoting gully rehabilitation,  
442 were compared to those obtained using CABI FC. For the latter, Ethiopia was specified as  
443 the country and repeated selections were made defining slightly variable altitude and rainfall  
444 characteristics within the range for the study area, as well as silviculture, woody and non-  
445 woody product specifications.

446

## 447 Results

### 448 Selected species

449 From the full original species list represented in Table 2, seven species (nearly 8 %)  
450 were excluded from further analysis for lack of available information, i.e. *Abutilon longicuspe*,  
451 *Calpurnia aurea*, *Grewia ferruginea*, *Manilkara butugi*, *Psydrax schimperiana*, *Pterolobium*  
452 *stellatum* and *Sheffleria abyssinica*. A total of 84 potentially suited species, belonging to 43  
453 different families, was hence withheld. Sixty-seven of these species are found in the study  
454 area and three are not but were suggested by local informants as being promising. The  
455 Fabaceae were the largest family, with 19 species represented.

456

457 Trends in interviews and questionnaires

458 *Local knowledge and preferences*

459         Regardless of any specific scenario, local stakeholders particularly consider utility of  
460 species for construction wood or (agricultural) tools as very important. Similarly, growth  
461 speed and drought resistance are greatly appreciated plant attributes (Table 3).

462         Species that are well known and therefore preferably selected for discussion during  
463 INT-c, include *Olea europaea* subsp. *cuspidata*, *Acacia abyssinica*, *Eucalyptus* spp., *Cordia*  
464 *africana* and *Rumex nervosus*. On the other hand, species which are up to now poorly  
465 understood by local stakeholders encompass the locally increasingly valued (Mengistu et al.  
466 2002) fodder species *Sesbania sesban* and *Leucaena leucocephala*.

467         Plant attributes or functions which are rarely known or for which available information is  
468 probably unreliable given the high variability in response between different stakeholders,  
469 include all root system characteristics and ecological traits such as flowering period. On the  
470 other hand, socio-economic or relevant environmental functions like utility for firewood,  
471 construction wood, fodder or shade, are thoroughly understood, with respondents often even  
472 making a differentiation of several quality levels. Noteworthy, knowledge on medicinal uses is  
473 not widespread, such uses only being mentioned by a limited number of stakeholders.

474         Some findings from the semi-structured discussions (INT-a) considered relevant in the  
475 framework of our database and MCTS tool are also briefly summarized here, even if it is  
476 outside the scope of this manuscript to thoroughly discuss the output of these discussions.

477         The local informants considered the following species as overall most important (in  
478 decreasing order): *Olea europaea* subsp. *cuspidata*, *Eucalyptus* spp., *Cordia africana*,  
479 *Juniperus procera*, *Acacia etbaica*, *Ficus vasta* and *Acacia abyssinica*. Though jointly  
480 determined by a range of criteria, the available amount (particularly for *Eucalyptus* and  
481 *Acacia* spp.) and quality (particularly for *Cordia*, *Juniperus*, *Ficus* and *Olea*) of wood, mainly  
482 for use as construction wood or firewood, were the most important reasons behind this  
483 species prioritization. Similarly, *Acacia abyssinica*, *Eucalyptus* spp., *Acacia etbaica*, *Agave*

484 *sisalana* and *Olea europaea* subsp. *cuspidata* were most repeatedly mentioned as being  
485 naturally present or frequently planted.

486

#### 487 *Expert priorities*

488         Regardless of the considered scenario, local plant performance was considered  
489 relatively most important (Table 1). For scenario 1 greatest value was furthermore attributed  
490 to the socio-economic functions, more particularly to construction wood and firewood and  
491 charcoal. Environmental services highly appreciated for scenario 1 were the utility for live  
492 fencing or shade, as well as increased water and nutrient availability.

493         Besides local plant performance, general plant performance and environmental  
494 services got the greatest relative weight for scenario 2. This time, environmental services  
495 highly appreciated were protection against soil erosion and land reclamation. The most  
496 appreciated socio-economic values were firewood and charcoal, fodder, and honey  
497 production. For both scenarios, attributes of general plant performance receiving the greatest  
498 relative weight were growth speed, resistance to grazing, coppicing ability and drought  
499 resistance (Table 1).

500

501 Integrated database: strengths, constraints, patterns

#### 502 *Particular knowledge gaps*

503         In general, for only 60 % of all attributes evaluated, was information found for more  
504 than half of the considered species. Most outstanding are the root system characteristics, for  
505 which information was hardly ever available. Especially with regard to root strength or  
506 density, data were found for less than 3 % of the considered species. For about 20 % of all  
507 species, a rough description of a root system type is given, and for nearly 50 % rooting depth  
508 and/or lateral spread are reported. Other criteria, for which information was only found for 25  
509 up to 45 % of all species, were a set of ecological or plant performance attributes, including  
510 species air temperature range and performance on nutrient-poor, steep, shallow or rocky

511 soils. Also the effects of a particular species on soil water status (information was available  
512 for less than 30 % of all species) or nutrient availability (less than 45 %) were poorly  
513 described.

514 The other way around, for only 30 % of all species, was information found for more  
515 than 70 % of the considered attributes. Half of these were exotics and/or considered  
516 important, locally or nationally. Besides those species which were excluded because of lack  
517 of information (marked in Table 2), the fewest data could be traced for *Cussonia spicata*,  
518 *Allophylus abyssinicus* and *Mimusops kummel*. Species considered important but for which  
519 information was found for less than 50 % of all attributes, include *Albizia schimperiana*,  
520 *Diospyros abyssinica* and *Ficus vasta*.

521 Nevertheless, as discussed in the Material and Methods section for missing values, it is  
522 noteworthy that in many cases no information was found merely because the considered  
523 species simply does not hold a particular characteristic or function, or at least not in an  
524 outstanding way.

525

#### 526 *Attribute relationships*

527 Without elaborating upon the detailed results, some of the most significant correlations  
528 (Spearman rank correlation coefficient  $\rho > 0.4$ ) reveal the following trends. Generally, given  
529 the strong positive correlations between the attributes concerned, species which are  
530 considered important are also often locally and/or regionally cultivated, and provide  
531 construction wood and/or good shade. Species providing shade are frequently also those  
532 species with an ornamental or wind shelter function. Obviously protection against soil erosion  
533 and use in land reclamation are attributes going hand in hand, as do nurse plant effects (i.e.  
534 the facilitation of seedling establishment by increasing fertility or soil moisture, or offering  
535 protection against high irradiance, temperature or predation), agroforestry applications, and  
536 increasing soil moisture and nutrient availability. Species performing well on shallow soils  
537 also perform well on steep slopes, those increasing soil humidity are often also drought-

538 resistant, and those suitable for coppicing frequently resist grazing well. Furthermore,  
539 suitability for construction wood and for agricultural tools are positively associated, as are  
540 ornamental functions and presence of tannins or oils. Species growing fast and/or frequently  
541 cultivated are often exotics.

542 Even if many of these relationships or trade-offs may be considered evident, it is  
543 valuable to understand them, since they may enable estimating species potential or  
544 constraints from a limited set of known characteristics.

545

#### 546 *Cluster analysis and PCA*

547 Fig. 6 represents the ordination of the considered species along the first two principal  
548 axes of the PCA, explaining 22.3 % of the initial variance. The first axis of the PCA was  
549 positively correlated with performance on nutrient poor soil (0.22), ornamental value (0.25),  
550 resin-gum-latex (0.23), dye-tannin-oil (0.21), experience with cultivation (0.27), local planting  
551 (0.25), protection against soil erosion (0.25) and wind shelter (0.28). In summary this axis  
552 could be interpreted as a “protection” axis (against wind and water), a “planting experience”  
553 axis, and a “non-woody socio-economic value” axis. The second axis of the PCA is positively  
554 correlated with nutrient increase (0.33), intercropping (0.33), increased water availability  
555 (0.33), and nurse plant effects (0.32). In summary, this is a “plant nursing-soil improvement”  
556 axis.

557

558 Five species groups were identified by means of Ward’s hierarchical clustering, and are  
559 relatively clearly delineated on the ordination graph (Fig. 6).

560 1. Even if not uniformly valid for all member-species, group 1 (e.g., *Olea europaea*, *Euclea*  
561 *racemosa*, *Ficus vasta*, *Maytenus* spp.) could be described as including indigenous  
562 species with a good local performance, often with a high biodiversity value and socio-  
563 economically relevant. Half of them have an important ceremonial value.

- 564 2. Group 2 (e.g., *Acacia etbaica*, *Afrocarpus falcatus*, *Ricinus communis*, *Mimusops*  
565 *kummei*) is a group with a mixed set of attributes, often with a sub-optimal growth  
566 performance. This group includes several species for which little information was  
567 available.
- 568 3. Group 3 (e.g., *Nicotiana glauca*, *Ehretia cymosa*, *Rumex nervosus* and *Rosa abyssinica*)  
569 mainly includes naturally (frequently fast-) growing species, indigenous or naturalized,  
570 rarely cultivated and with a low socio-economic, protection or socio-cultural value. Again,  
571 this group includes several species for which little information was available.
- 572 4. Group 4 (e.g., *Acacia abyssinica*, *Cordia africana*, *Dodonaea angustifolia*, *Faidherbia*  
573 *albida*) mainly includes indigenous, naturally growing species adapted to harsh  
574 conditions, often with a socio-cultural importance, high protection and reclamation value,  
575 and an excellent agroforestry potential. The upper-right position of this group on the  
576 ordination graph (Fig. 6) confirms the overall relevance of its member-species.
- 577 5. Group 5 (e.g., *Sesbania sesban*, *Cupressus lusitanica*, *Grevillea robusta*, *Eucalyptus*  
578 spp.) mainly includes fast-growing, locally planted (coppiceable) exotics, with a good  
579 performance on poor soil, and a high protection and socio-economic value. Several  
580 species are however susceptible to pests and/or diseases (e.g., *Sesbania*, *Cupressus*),  
581 have an adverse effect on water availability and a low conservation or biodiversity  
582 relevance.

583 Species with a notable position on the ordination plot (red numbers in Fig. 6), are  
584 *Eucalyptus camaldulensis* (indicated as “1” on the graph) and *Eucalyptus globulus* (2),  
585 having an extremely low nursing potential and an adverse effect on nutrient and water  
586 availability (as opposed to for example *Leucaena leucocephala* (3) or *Faidherbia albida* (4)),  
587 but with a very high protection value against wind, water erosion and landslides, and socio-  
588 economic relevance (contrary to for example *Nicotiana glauca* (5) or *Sida schimperiana* (6)).  
589 Cluster membership of all species is represented in Table 2. Clustering results seem to be  
590 quite sensitive to small changes in trait values.

591

## 592 Multi-criteria decision tools for species selection

### 593 *Resulting species prioritization in Criterium DecisionPlus and MCTS*

594 A summary of the ranking of species for both methods (CDP and MCTS) and in both  
595 scenarios is shown in Table 4. Selection analysis is based upon the weights as presented in  
596 Table 1. Generally, differences in performance or significance for two subsequently ranked  
597 species are not very high, as indicated by the small stepwise variations in score (see also  
598 next section). Both *Dodonaea angustifolia* and *Cordia africana* are always found among the  
599 top three priority species, for both scenarios and methods. *Eucalyptus* also scores well,  
600 particularly for private planting (Scenario 1). Remarkably, *Olea europaea*, usually considered  
601 the number one priority species in the study area, is displaced at the top by *D. angustifolia*,  
602 *C. africana* and a few other species. While for private planting its position is still within the top  
603 seven, particularly for gully rehabilitation (Scenario 2) *Olea*'s score is not as high as  
604 expected, with e.g. the fodder species *Sesbania sesban* and *Leucaena leucocephala*, as well  
605 as several *Acacia* species doing better. Other highly valued species for both scenarios  
606 include *Acacia abyssinica* and *Acacia saligna*. *Acacia seyal* and *Juniperus procera* are highly  
607 ranked for scenario 1, and *Ficus sycomorus* and *Faidherbia albida* for scenario 2. Several of  
608 the highly valued tree species, such as *Faidherbia albida*, *Leucaena leucocephala* and  
609 *Sesbania sesban*, are frequently used for agroforestry development in sub-Saharan Africa  
610 (Owino 1992).

611 Pie charts in which the pie radii represent criterion (group) scores for the considered  
612 species and pie width varies according to the relative weight attributed to the considered  
613 criterion (group), enable visual assessment of the species performance for all criteria  
614 (criterion groups), and the relative importance of each such criterion (group) in the overall  
615 evaluation. Examples of such pie charts have been set up for a few highly-valued species  
616 with regard to the gully rehabilitation scenario (Fig. 7). In that way, it becomes clear for  
617 example that *D. angustifolia* scores high for all criterion groups except for biodiversity  
618 relevance, which is never given a high relative weight, hence not affecting the top position for



619 this species. Valuation for *C. africana* is similar, with a higher biodiversity relevance but  
620 somewhat lower general plant performance. Besides a low biodiversity relevance, mainly the  
621 low score for environmental services reduces the importance of the otherwise highly valued  
622 *Eucalyptus* species for gully rehabilitation. Indeed, *Eucalyptus* species may seriously  
623 decrease soil water and nutrient availability, which might result in additional soil cracking and  
624 piping (Gindaba et al. 2005; Wilson 1977). Despite very high scores for biodiversity  
625 relevance and socio-economic & socio-cultural values, *Olea*'s plant performance (particularly  
626 growth speed) and environmental services were slightly lower than for a few other species.  
627 Since plant performance and environmental services are given a high relative weight, *Olea* is  
628 displaced at the top.

629

### 630 *Model sensitivity*

631 Without elaborating upon the detailed results, the sensitivity analysis in CDP indicated  
632 that one should not focus too much upon the exact ranking of a species, since this may be  
633 affected by small changes in weight attribution to the criteria. Sensitivity of the decision  
634 models is therefore assumed to be relatively high, even if the general trends are quite robust.  
635 For the private planting scenario, the criteria or criterion groups most sensitive to changes  
636 appeared to be (in decreasing order of sensitivity): biodiversity impact, environmental  
637 services, food, vegetative propagation, cosmetic use, frost resistance, performance on steep  
638 slopes, socio-cultural value and local plant performance. For the gully rehabilitation scenario,  
639 which is generally slightly less sensitive, biodiversity impact, coppicing, socio-cultural value,  
640 invasiveness, local and general plant performance are most sensitive.

641

### 642 *Comparison with existing databases and selection tools*

643 Fifty-four percent of all considered species in the present case study (Table 2) were  
644 also recorded in the AgroforesTree (AFT) database. Even if the corresponding figure was 87  
645 % in the CABI FC database, for 50 % of all species information was limited to a taxonomic

646 description and a distribution map, so the CABI FC provided information on ecology,  
647 functions and uses for only for 37 % of the species.

648         When comparing species selection outputs of our MCTS-tool with the CABI FC  
649 selection tool, some notable trends could be observed. Since in the CABI tool no species are  
650 actually excluded, but always a fully ordered list of all species in the FC database is  
651 provided, only a fixed number of top-ranked species should be considered as relevant for the  
652 scenario dealt with. In our comparison, we broadly considered the top 100 ranked species on  
653 this list. Whatever the selected scenario, an average of only 24-27 % of all species in this top  
654 100 were also found in our own species list, only 32 % was actually found in the study area,  
655 and more than 50 % were (non-naturalized) exotic species. The latter was reduced to an  
656 average of 36 % when “naturally growing” was added as a selection criterion. Generally, this  
657 top 100 species list in CABI included about 20 *Acacia* species and 20 *Eucalyptus* species, 14  
658 and 7 of which returned in every selected scenario, respectively. Highly-rated species also  
659 found in our species list included *Acacia seyal*, *Croton macrostachyus*, *Cupressus lusitanica*,  
660 *Dodonaea angustifolia*, *Erythrina abyssinica*, *Eucalyptus globulus*, *Faidherbia albida*,  
661 *Juniperus procera*, *Sesbania sesban*, and *Syzygium guineense*. Other highly valued species  
662 not in our list were *Acacia angustissima*, *Acacia mearnsii*, *Entada abyssinica*, *Leucaena*  
663 *pallida* and *Terminalia brownii*.

664         Noteworthy, there were no significant correlations between the final species priority  
665 scores for both tools.

666

667

## 668 DISCUSSION

669 The importance and challenge of a holistic approach

670         Since land degradation in dryland regions may be caused by and affect a wide range of  
671 environmental and anthropogenic aspects, an integrated approach is needed to deal with this  
672 problem in an effective way, incorporating different criteria, disciplines as well as present and

673 future potential stakeholders. Sustainable management of natural resources may be  
674 hindered not only by incomplete biophysical understanding, technical bottlenecks, land  
675 shortage or difficulties related to limited capacity, but also by policy-related shortcomings  
676 (Pausewang 2002) or insufficient insight in objectives and actions of the different  
677 stakeholders (Segers et al. 2008). If planting trees is perceived as a way to establish rights to  
678 land (or extend right of exclusion) in the community being examined, care has to be taken  
679 not to suggest niches or spatial arrangements of tree planting that will create or worsen land  
680 tenure problems, especially for the most vulnerable groups in the community (Warner 1994).  
681 Hence, acceptance and success of tree planting and land rehabilitation activities depend  
682 upon the amount of attention given to local environmental and social conditions, cultural  
683 values, and people's needs and knowledge (Zubair and Garforth 2006). Involving local  
684 people in the design, implementation, and evaluation of such activities will further contribute  
685 to their success (Franzel and Scherr 2002).

686         The present case study aimed at integrating several aspects of such a holistic  
687 approach into an appropriate procedure for selecting multi-purpose tree species. The key  
688 aspects were a broad set of species to start from, a wide range of criteria for evaluation, and  
689 knowledge from an extensive set of literature sources and different groups of local  
690 stakeholders. Such an approach nevertheless demands time, and is not without difficulties. It  
691 is out of our scope to discuss the challenges of integrating local knowledge, but the  
692 qualitative and holistic nature of it often hampers its incorporation into a scientific  
693 quantitative, analytical but fractionated knowledge framework (Bernard 2006). Similarly,  
694 information from the literature, research guidelines and recommendations may be  
695 fragmented and hence difficult to synthesize, not to mention the ample knowledge gaps (see  
696 section "common knowledge gaps"). Estimating the value of a particular species is further  
697 complicated by the fact that principal uses vary from region to region (Franzel et al. 2008), at  
698 least partly explaining contradictory statements in literature. The challenge of an appropriate  
699 and user-friendly tree species selection procedure is to combine simplicity, transparency,

700 participation and analytical rigour in order to ensure that the right species are chosen for the  
701 right place (Franzel et al. 2008).

702

703 The importance of a correct use and interpretation of reference databases and tools

704 In the present case study, two databases elaborated upon in detail are the global  
705 AgroforesTree database (AFT) and the CAB International Forestry Compendium (CABI FC),  
706 both covering a wide range of tree species. These references present methodological  
707 guidelines and encompass an enormous richness of species information, often hard to find  
708 elsewhere. They hence offer enormous time-saving opportunities. Nevertheless, the output  
709 generated is habitually relatively rough and broad-scaled, and based on limited and local  
710 data. For many species lack of sufficient (site-specific) information remains a major  
711 constraint. These references should hence be used and their output be interpreted in  
712 accordance with their intended purpose, i.e. offering species-specific information along with  
713 the possibility to obtain a first, rough delineation of potentially interesting species for a  
714 particular application. As stated by Webb et al. (1984), users are reminded that expert  
715 knowledge, and experimentation where required, must be used jointly with any results  
716 obtained from searches in species selection tools in order to arrive at fully-informed  
717 decisions.

718 As an example, it was demonstrated in this study how information for only 54 % of all  
719 species in our selection list was found in the AFT database and 37 % in the CABI FC.  
720 Moreover, when species selection procedures were performed under CABI FC for similar  
721 scenarios as those worked out in this study, very different results were obtained, with many  
722 of the prioritized species being exotics or species found outside the study area. It must be  
723 noted however that the focus of the AFT database is particularly on tree species suitable for  
724 agroforestry, which is why some species of our list may not be incorporated. Similarly, we  
725 worked with a trial version of CABI FC, with potentially better results being expected in the  
726 full version.

727

728 State of the art: common knowledge gaps

729 It appeared to be difficult to clearly delineate species-specific agro-ecological ranges,  
730 with systematic research on biophysical limits largely missing for many species, and existing  
731 literature sources often providing dissimilar information. This further complicated assessment  
732 of species suitability for the study area and is in line with the notion that little is known about  
733 the ecology and distribution of many African tree species (Kindt et al. 2007). Not only may  
734 true agro-ecological limitations of a species depend upon the phenotype considered, they are  
735 generally hard to delineate given the complex interaction of biotic, abiotic and land  
736 management factors (Rescia et al. 1994). Moreover, there is no common definition of the  
737 term 'biophysical limit': it is usually not clear whether it refers to the limit at which the tree can  
738 survive at all, the limit at which the tree performs less well than in other areas, or somewhere  
739 in between these two definitions. Human impact on species distribution and abundance has  
740 been enormous (Darbyshire et al. 2003; Kindt et al. 2007), and the threshold between true  
741 exotics and naturalized species is not always clear. Furthermore, micro-climatic conditions  
742 may deviate from regional averages, with for example topographical aspects affecting  
743 temperature, wind speed and rainfall distribution (Nyssen et al. 2005).

744 Also the effect of a particular species on soil water status is generally poorly described.  
745 It is indeed difficult to generalize the influence of perennial woody components in semiarid  
746 areas on soil water dynamics (Kizito et al. 2006). Soil-tree hydrological interactions are a  
747 complex issue, with the overall balance of fluxes largely dependent on a wide range of  
748 specific plant (e.g., root system depth, plant physiology), site (e.g., land use, management,  
749 soil conditions), seasonal and/or climatic conditions (Bruijnzeel 2004; Burgess et al. 1998).  
750 Probably most systematic however, was the lack of information on root characteristics, even  
751 for very well-known or economically important species such as *Olea europaea* or *Cordia*  
752 *africana*. This is not a surprising result, since quantitative research on root system  
753 characteristics has been very limited, primarily because of methodological difficulties

754 (Reubens et al. 2007). Nevertheless, insight in rooting characteristics is crucial towards  
755 application both for agroforestry in general and soil erosion control.

756 For many species there is still a lack of sufficient information on environmental range,  
757 characteristics and/or functions, a problem which holds true particularly for tropical tree  
758 species (Simons and Leakey 2004; Teketay 2000). Moreover, information is often mainly  
759 descriptive, and species' characteristics or functions are mentioned as being "present" or  
760 "absent" (e.g., used or not used for firewood or charcoal production), without further  
761 differentiating distinct levels of function performance (such as the quality or available  
762 biomass for firewood or charcoal production). Finally, it is noteworthy to remark that different  
763 literature sources frequently get their information from one and the same original study or  
764 database. Hence, not every additional literature source should be regarded as adding new  
765 information to the pool of knowledge.

766

#### 767 Opportunities and constraints of the species selection framework applied

768 As much as for any other application, the latter shortcomings evidently had serious  
769 consequences for the decision model presented in this particular study, the output of which is  
770 not only sensitive to the weights assigned to the criteria (as assessed in the sensitivity  
771 analysis), but also depends on the reliability of the species-criteria input scores. Even if as  
772 much information as possible has been collected and a lot of attention has been paid to  
773 consistency while attributing scores to the alternative species for each criterion, these scores  
774 often merely remain a translation of a qualitative description into an ordinal value. Small  
775 changes in these scores may therefore result in a different model output. Moreover,  
776 contradictory information from different data sources and the wide range of missing values  
777 further increase variability. Finally, the informants are often quite heterogeneous and the  
778 analysis must take into account the views of different strata such as men and women,  
779 members of different ethnic groups and socio-economic categories. In conclusion, the values

780 assigned to the ratings of the alternatives are not precise but have considerable uncertainty  
781 associated with them (Saaty 1992).

782 Through performance of an uncertainty analysis, determining upper and lower cutoff  
783 levels, mean values and/or standard deviations for all ratings, a statistically sound decision  
784 model could be obtained, in which the probability of a certain outcome is assessed in more  
785 detail. However, at present no uncertainty analysis was performed for our particular dataset,  
786 since it is very difficult to accurately assess the potential variability of every individual score.  
787 It is nevertheless important to take such uncertainty into account when evaluating the  
788 decision model. The general trends and rough ranking of the alternatives rather than the  
789 exact position of a particular species versus its neighbor should be considered towards  
790 application, as is also confirmed by the often very minute differences in final species scores  
791 between neighboring alternatives.

792 Two other complications are worth mentioning concerning the calculation of scores and  
793 their use in recommending species for planting. First, a species may perform well on nearly  
794 all criteria, and thus obtain a high score, but poor performance on a single criterion, such as  
795 susceptibility to disease, may render it unsuitable for planting. Second, if informants view a  
796 species as important or having high benefits, it does not necessarily mean that they wish to  
797 plant it. For example, farmers may have high regard for a fruit tree yet have little or no  
798 interest in planting it because it is already available in abundance and there is no market for  
799 surplus fruits.

800 Notwithstanding all these constraints and uncertainties, the amount and diversity of the  
801 knowledge pooled in the database developed is huge, covering not less than 91 species and  
802 45 attributes. As such, the species selection tool coupled to this database could serve as a  
803 catalyst for bringing existing knowledge into appropriate practice, at any decision level and  
804 for a broad range of scenarios.

805

## 806 Conclusions and implications for practice

807         The main objective of this study was to provide a conceptual framework for multi-  
808 criteria tree species selection in degraded African semiarid areas, using the northern  
809 Ethiopian highlands as a case study. Thereto, first of all a wide set of woody species  
810 potentially suited for the considered area, and an appropriate set of ecological, economic and  
811 social selection criteria describing species' growth characteristics and requirements, products  
812 and services, were defined. Next, a comprehensive meta-database integrating fragmented  
813 scientific as well as local knowledge on species-specific ecological ranges, characteristics  
814 and functions was designed and evaluated. Finally, both an existing and a new, flexible DSS  
815 for multi-purpose species selection under different scenarios are described, each having its  
816 strengths and constraints. Within the bounds of the presented MCTS tool, the user is free to  
817 add or remove species or criteria and to change species' scores or criteria weights, in line  
818 with the particular conditions considered and any local scenario aimed at. Although the idea  
819 is to provide a flexible output for a specific situation, recommendations cannot and should not  
820 be in too much detail, as this would create the impression that the impact is perfectly  
821 understood in great detail, while that is not the case.

822         It is furthermore noteworthy that the current results are only directly applicable to the  
823 Degua Tembien district and other Tigray districts under similar agro-ecological conditions.  
824 Nevertheless, the MCTS-tool could serve as a base for a broad set of applications in different  
825 situations, applicable not only in the study area but, if properly dealt with, in the East African  
826 region at large. Any such expansion should include consideration of new species and local  
827 conditions and knowledge. Moreover, species' functioning may be slightly different.

828         Though not extensively discussed here, a next vital step prior to planting is the  
829 feedback evaluation of the tree selection made (Warner 1994): does the tree fit the  
830 conditions to be met? What is the expected (positive as well as potentially negative) social,  
831 ecological and economical impact? It is essential that such final assessment is made  
832 together with local stakeholders, particularly those user groups potentially vulnerable to land  
833 or tree use restrictions (e.g., landless farmers, women) (Raintree and Warner 1986). Finally,



834 we repeat that appropriate tree species selection is just one out of a wide range of conditions  
835 to be fulfilled towards sustainable land rehabilitation in dryland regions. Tree seedling  
836 planting interventions are of little value unless other problems such as inappropriate seedling  
837 raising or planting, lack of aftercare, lack of stakeholders' participation and lack of attention to  
838 farmers' views and needs are jointly dealt-with. To end with a famous anonymous quote:  
839 "*The best time to plant a tree was 20 years ago. The second best time is now*". Rather than  
840 waiting until all knowledge gaps are bridged, at many places interventions are required now,  
841 using the currently available understanding to the best of one's ability.

842

843

## 844 Acknowledgements

845 This research was funded by the Flemish Interuniversity Council (VLIR-UDC) and the Research  
846 Foundation - Flanders (FWO). The cooperation with the MU-IUC programme, particularly with Dr.  
847 Kindeya Gebrehiwot, Dr. Mitiku Haile and Dr. Amanuel Z. Abraha (Land Project), has been very  
848 valuable. Special thanks go to Muuz Hadush, Romha Assefa, Bedru Babulo, Aklilu Negussie and Lies  
849 Huys for their contributions during fieldwork, to the inhabitants of the Degua Tembien district for their  
850 hospitality, patience and valuable input, and to many colleagues for their constructive comments.  
851

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1017 **Figure captions**

1018 Fig. 1. Study area.

1019

1020 Fig. 2. Scheme for initial species selection, prior to further prioritization.

1021

1022 Fig. 3. A view of the input sheet for species scores in MCTS. Column A stands for the species list, row  
1023 6 represents the criteria list. Species scores for each criterion are presented in the green matrix.  
1024 Species, criteria and scores may all be adapted by the user.

1025

1026 Fig. 4. Schematic representation of the multi-criteria decision process.

1027

1028 Fig. 5. Hierarchic model.

1029

1030 Fig. 6. Principal Components Analysis ordination graph with representation of the cluster groups.  
1031 *Black triangles*: group 1, *white squares*: group 2, *black stripes*: group 3, *black crosses*: group 4, *grey*  
1032 *diamonds*: group 5. See text for description of the groups. A small set of notable species is indicated  
1033 with an encircled number: *Eucalyptus camaldulensis*: 1, *Eucalyptus globulus*: 2, *Leucaena*  
1034 *leucocephala*: 3, *Faidherbia albida*: 4, *Nicotiana glauca*: 5, *Sida schimperiana*: 6.

1035

1036 Fig. 7. Pie charts for a set of highly-valued tree species, regarding scenario 2 (gully rehabilitation).  
1037 Radius represents criterion group score (scale 0-100) for the considered species, pie width varies in  
1038 line with the relative importance attributed to the considered criterion group.

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