

1 Prebiotics to manage the microbial control of energy homeostasis

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12 **Key Words:** Prebiotics, obesity, homeostasis, diabetes, mucosa

13 14 15 **Abstract**

16 The prevalence of obesity is continuously growing and has reached epidemic proportions. It is
17 clear that current methods to combat obesity are not effective enough to reduce the problem.
18 Therefore, further investigation is needed to develop new strategies. Recent research pointed
19 out a potential role of the microbial community associated to the human host in controlling
20 and influencing the energy homeostasis. According to the concept of Gastrointestinal
21 Resource Management, this microbiota and its metabolic potential can be steered with the aim
22 of improving host health. This review therefore focuses on the modulation of the intestinal
23 microbiota through prebiotics with the aim to control of several aspects of metabolic
24 homeostasis. In a first part, the importance of host-microbe cross-talk at the intestinal
25 epithelium is discussed. Yet, energy metabolism, which includes both lipid and glucose
26 metabolism, is also regulated by several key organs including the adipose tissue, brain, liver,
27 muscles, pancreas and gut. Therefore, in a second part, we will discuss the microbial factors
28 that are involved in the communication between these different tissues, and their potential
29 management. Finally, we will give some future prospects of the use of prebiotics in an
30 individualized treatment of metabolic disorders.

31

32 **Introduction**

33

34 Humans and microbes co-evolved for several thousands of years. Such an interaction is so
35 bounding that - according to the hologenome theory of evolution - the host organism and its
36 microbiota can be considered as a holobiont, a unit of selection in evolution (Rosenberg and
37 Zilber-Rosenberg, 2011). This complex microbiota can be seen, in economic terms, both as an
38 asset and liability with the capability of influencing the fitness of the host (Possemiers *et al.*,
39 2009).

40 In 2004-2005, the first reports about the effect of gut microbiota on the development of
41 obesity and energy metabolism were published by the group of Jeffrey Gordon (Bäckhed *et al.*
42 *et al.*, 2004; Ley *et al.*, 2005). Since then, the microbial impact on several aspects of metabolic
43 homeostasis was investigated (Burcelin *et al.*, 2009; Cani and Delzenne, 2007; Maurer *et al.*,
44 2009), such as the effect on lipid metabolism and atherosclerosis (reviewed by Caesar *et al.*
45 (2010)), on metabolic syndrome (reviewed by Cani and Delzenne (2009); Sanz *et al.* (2010);
46 Tilg (2010); Wellen and Hotamisligil (2005)) and insulin resistance and diabetes (reviewed by
47 Delzenne and Cani (2010); Musso *et al.* (2011)). Although the mechanisms of action and the
48 triggering factors are not fully understood, it is commonly accepted that the final health effect
49 is the result of a complex interplay between various bacteria, which interact through various
50 mechanisms with the host.

51 The capacity and the possibility to interfere in these complex interactions has been defined in
52 the intuitive concept of Gastrointestinal Resource Management (GRM), i.e. the management
53 of the complex gut microbiota and its metabolism with the aim of improving the health of the
54 host (Possemiers *et al.*, 2009).

55 The use of prebiotics is a possible example of how to try to bring to practice the GRM
56 concept. A prebiotic action is defined as the selective stimulation of growth and/or
57 activity(ies) of one or a limited number of microbial genus(era)/species in the gut microbiota
58 that confer(s) health benefits to the host (Roberfroid *et al.*, 2010).

59 The focus of this work will be to review the available knowledge on the effect of prebiotics
60 on several aspects of metabolic homeostasis. In a first part, the importance of host-microbe
61 cross-talk at the intestinal surface level is discussed. In a second part, we will discuss the
62 microbial factors that are involved in the cross-talk between different organs of the host, and
63 their potential management. Finally, we will give some future prospects on the use of
64 prebiotics in an individualized approach to control metabolic disorders.

65

66 **Part 1. The intestinal surface as site of host-microbe crosstalk and its barrier function**

67

68 *Host-microbiota cross-talk at the intestinal surface along the intestinal tract*

69

70 Around 10^{14} microbes colonize the human gut with a coding capacity exceeding that of the
71 host by a factor 100 (Egert *et al.*, 2006). Throughout evolution, humans co-evolved with this
72 abundant microbiota and an intimate interaction came to existence (Zaneveld *et al.*, 2008).
73 Van den Abbeele *et al.* (2011) recently reviewed the cross-talk at the host-microbial interface,
74 which was crucial during this co-evolution. In addition, this cross-talk is relevant for the
75 disturbances of the host-microbiota association, which can lead to disease states such as
76 allergies (Bjorksten *et al.*, 1999), inflammatory bowel diseases (Garrett *et al.*, 2007) and
77 obesity (Turnbaugh *et al.*, 2006). It should be noted that the latter diseases are currently in the
78 rise (Blaser, 2006). Key in the host-microbe cross-talk mostly is that the host continuously
79 detects microbial signals through strategically localized host receptors (Medzhitov and
80 Janeway, 2002). These microbial signals are referred to as microbe-associated molecular
81 patterns (MAMPs). While fungi and viruses are often recognized through their β -glucans and

82 nucleic acids, respectively, bacteria are often detected through lipopolysaccharides (LPS),
83 peptidoglycans and teichoic acids (reviewed by Van den Abbeele *et al.* (2011). The host
84 receptors that detect these MAMPs are called pathogen recognition receptors (PRRs) and
85 include a diverse set of transmembrane (e.g. Toll-like receptors) (Takeda *et al.*, 2003),
86 cytosolic (e.g. NOD-like receptors) (Ting *et al.*, 2008) and secreted receptors (e.g. collectins)
87 (Gupta and Surolia, 2007). This allows the host to characterize the nature of the microbial
88 signal and respond appropriately. The resulting host response includes production of
89 antimicrobial peptides (Medzhitov and Janeway, 1997), activation of adaptive immune cells
90 and production of resulting effector molecules including e.g. Immunoglobulin A (IgA)
91 (Macpherson and Uhr, 2004). As a result of the continuous detection of microbes, host
92 defence molecules are continuously secreted and trapped in the overlaying mucus layer,
93 which allows the host to particularly control the composition and abundance of the mucosa-
94 associated microbiota (Figure 1). Specific microbial characteristics such as capacity to adhere
95 to the mucus layer, oxygen tolerance, the ability to degrade host-derived glycans further
96 determine the unique composition of the mucosal microbiota (Van den Abbeele *et al.*, 2011).
97 While mucosal microbes would be crucial for priming the immune system or increasing the
98 bioavailability of beneficial microbial metabolites at the intestinal surface, the luminal
99 microbiota have an important metabolic function.

100 Given the fact that humans closely interact with their co-evolved luminal and mucosal
101 intestinal microbiota, there is great interest in dietary interventions with e.g. prebiotic
102 compounds that are able to modulate both the luminal and mucosal microbial composition
103 and activity (Langlands *et al.*, 2004; Van den Abbeele *et al.*, 2011). In that way, prebiotics
104 may beneficially steer the host-microbe interactions.

105

106 *Importance of a proper mucosal barrier and risks in case of increased permeability*

107

108 Over the last few years, the research group of Nathalie Delzenne produced several
109 groundbreaking papers regarding the onset of obesity and its related metabolic disorders. In
110 several studies, one investigated; the impact of a high-fat diet on the intestinal microbiota and
111 rodent hosts (Cani *et al.*, 2007a; Cani *et al.*, 2008; Cani *et al.*, 2006; Cani *et al.*, 2007d; Cani
112 *et al.*, 2009b; Neyrinck *et al.*, 2011). Rodents fed a high-fat diet suffered from impaired gut
113 barrier function. This barrier function is crucial since it forms the basis of the strategic
114 localization of PRRs and subsequent detection of MAMPs. This can be illustrated by the
115 detection of lipopolysaccharides (LPS), a MAMP, through Toll like receptor 4 (TLR-4) (Cario
116 and Podolsky, 2000) and nucleotide-binding oligomerisation domain-1 (NOD-1) (Girardin *et al.*,
117 2003) (Figure 1). TLR-4 is only in low levels expressed on the apical side of the
118 epithelium while NOD-1 is expressed inside the cell. In this way, LPS is not detected on the
119 apical side where it merely derives from the commensal mucosa-associated microbes but on
120 locations where its presence may derive from potentially dangerous microbes. The increased
121 permeability which is caused by the high-fat diet leads to LPS leakage through the gut wall
122 ultimately leading to increased LPS levels in the blood (endotoxemia). At that point, LPS of
123 commensals is overly detected by the PRRs of the host resulting in inflammatory responses
124 and symptoms of metabolic disorder (Cani *et al.*, 2007a; Cani *et al.*, 2008).

125 It has been shown that the detrimental effect corresponding to metabolic disorders can be
126 partially reversed by reinforcing the gut barrier function. This can be obtained through
127 modulation of the gut microbiota with (potential) prebiotic compounds such as fructans (Cani
128 *et al.*, 2006; Cani *et al.*, 2007d; Cani *et al.*, 2009b) and long-chain arabinoxylans (Neyrinck *et al.*,
129 2011). The exact nature of the microbial modulations throughout these experiments
130 remains to be elucidated although strong indications exist that specific microbial groups may
131 play a major role. Firstly, as bifidobacteria decreased during fat feeding (Cani *et al.*, 2007d),

132 while their abundance increased during supplementation of the (potential) prebiotic
133 compounds (Cani *et al.*, 2006; Cani *et al.*, 2009b; Neyrinck *et al.*, 2011), this genus may have
134 an important protective role towards barrier integrity (Khailova *et al.*, 2009). Also other
135 studies indicate that increased bifidobacteria levels are correlated with normal weight in
136 children (Lundell *et al.*, 2007) and women (Collado *et al.*, 2008), while overweight in these
137 studies corresponded to lower bifidobacteria abundances. Improved gut barrier function has
138 also been attributed to specific *Lactobacillus* spp. through protection of the epithelial tight
139 junctions during external stress (Montalto *et al.*, 2004; Seth *et al.*, 2008).

140 Besides reinforcing tight junctions between epithelial cells, restricted permeability of the gut
141 wall may also be achieved through elevated secretion of mucin. A future focus may thus be to
142 analyze the mucin composition of the mucus layer, which overlies the epithelium upon
143 prebiotic treatment. This mucus layer normally consists of a double protective layer: a very
144 dense, firmly attached and quite sterile inner mucus layer and a less dense, loosely attached,
145 more strongly colonized outer mucus layer (Johansson *et al.*, 2010; Schreiber, 2010).
146 Prebiotics are typically shown to increase mucin-levels by decreasing the pH (Barcelo *et al.*,
147 2000; Shimotoyodome *et al.*, 2000), increasing the mechanical stimulation by increased
148 intestinal content and tissue weight (Schmidt-Wittig *et al.*, 1996) or increasing the butyrate
149 production (Barcelo *et al.*, 2000), especially by species residing in the mucosal environment
150 (Van den Abbeele *et al.*, 2011). In contrast, the type of mucin that is produced upon
151 administration of a prebiotic compound is something which has often been neglected. This
152 may be important as specific muc-types, such as the membrane-bound Muc17 (mouse
153 homolog Muc3), have shown to promote epithelial barrier integrity (Resta-Lenert *et al.*,
154 2011). Further, it has been shown that a mix of *Lactobacillus reuteri* strains is able to reach
155 the epithelium and prevent inflammation and translocation in DSS-treated mice. It was
156 proposed that this might be due to an increased expression of membrane-bound Muc3
157 (Schreiber, 2010).

158 In conclusion, the loss of gut barrier integrity leading to increased infiltration of microbial
159 signals may be an important factor at the onset of obesity and its related metabolic disorders,
160 Moreover, prebiotics may be protective by avoiding this loss of barrier integrity.

161

162 **Part 2. Microbial regulation of host signals involved in lipid metabolism**

163

164 Energy metabolism is regulated by several key organs including the adipose tissue, brain,
165 liver, muscle, pancreas and the gastrointestinal tract (GIT). The diverse host parameters that
166 are involved in these processes are listed in Table 1. This part of the review will focus on the
167 microbial factors that are involved in the communication between the different tissues, and
168 their potential management with prebiotics.

169

170 *Impact of prebiotics on gut peptides involved in fat storage*

171

172 Prebiotics may possibly have an effect on gut peptides that are involved in fat storage. The
173 fasting induced adipose factor (FIAF), also known as angiopoietin-like protein 4
174 (ANGPTL4), has been thoroughly investigated as a multifunctional signal protein produced
175 by many tissues such as the liver (Kim *et al.*, 2010), adipose tissue (Dutton and Trayhurn,
176 2008), intestine (Bäckhed *et al.*, 2004) and hypothalamus (Kim *et al.*, 2010). Once secreted in
177 the blood, FIAF inhibits the activity of lipoprotein lipase, an enzyme responsible for the
178 conversion of triglycerides to monoglycerides and fatty acids from circulating lipoproteins
179 (Mandard *et al.*, 2006; Yoshida *et al.*, 2002). As a consequence, these triglycerides cannot be
180 stored in the fat tissue, resulting in a lower body weight (Bäckhed *et al.*, 2004).

181 A particular feature of the intestinal FIAF gene is that its expression is strongly regulated by
182 the presence of an intestinal microbial community (Bäckhed *et al.*, 2004; Fleissner *et al.*,
183 2010). Intestinal FIAF expression is significantly repressed in mice with a normal intestinal
184 microbial community compared to germ-free mice. Further, conventionalization of these
185 germ-free animals with intestinal bacteria significantly decreased FIAF levels, resulting in an
186 enhanced fat storage and weight gain (Bäckhed *et al.*, 2004). Moreover, elevated FIAF levels
187 may protect germ-free mice against certain types of high-fat diet-induced obesity through
188 induction of the peroxisome proliferator-activated receptor- γ coactivator-1 α (Pgc-1 α),
189 thereby regulating genes involved in energy metabolism (Bäckhed *et al.*, 2007; Fleissner *et*
190 *al.*, 2010). Fleissner *et al.* (2010) reported that, despite the intestinal FIAF repression in
191 conventionalized mice, their plasma FIAF levels were not decreased as compared to germfree
192 mice. In contrast, in conventionalized mice, a higher concentration of cleaved FIAF was
193 observed whereas the native FIAF concentration was unchanged. These results suggest that
194 the microbial community increases (cleaved) FIAF in sites of the body other than the
195 intestine. Therefore, the impact of the microbial community on FIAF regulated processes
196 should be further explored, not only in the intestine, but also in other parts of the human body.
197 To the best of our knowledge, only one substrate with impact on FIAF expression was
198 reported. In obese mice fed a high fat diet, it was observed that chitosan from mushrooms
199 significantly decreased FIAF expression in visceral adipose tissue (Neyrinck *et al.*, 2009). It
200 was however not investigated whether this was due to shifts in either microbial fermentation
201 products or in composition of the microbial community.

202 Grootaert *et al.* (2011) showed that SCFAs such as butyrate and propionate, but not acetate,
203 stimulate FIAF transcription in several colorectal and hepatic cancer cell lines. When
204 investigating the effect of specific intestinal monocultures, differential effects on FIAF
205 expression were identified. An *in vivo* mouse study from Bäckhed *et al.* (2007) demonstrated
206 that FIAF production was more repressed when inoculating germ-free mice with a
207 combination of *Bacteroides thetaiotaomicron* and *Methanobrevibacter smithii*, than with each
208 of them separately. Incubation of HCT-116 cells with *E. coli* resulted in decreased FIAF
209 secretion (Grootaert *et al.*, 2011). In contrast, *in vitro* incubations of intestinal HT-29 and
210 Caco-2 cells with *Enterococcus faecalis* increased FIAF production after a few hours (Are *et*
211 *al.*, 2008; Grootaert *et al.*, 2011). Similarly, it was shown that *Lactobacillus paracasei* ssp
212 *paracasei* F19, *Lactobacillus rhamnosus* GG and *Bifidobacterium lactis* BB12, and not
213 *Bacteroides thetaiotaomicron*, were able to stimulate FIAF expression in several colonic cell
214 lines including HCT-116, HT-29, LoVo and SW-480 cells (Aronsson *et al.*, 2010). In the case
215 of *Lactobacillus* F19, the FIAF stimulatory effect was attributed to a secreted microbial
216 factor, which was however not identified in the study. In addition, conventionalization of
217 mice with *Lactobacillus* F19 increased native FIAF levels in blood plasma, and resulted in
218 decreased fat storage and increased blood VLDL levels.

219 Summarized, although FIAF is an interesting molecule to focus on for prebiotic treatment, its
220 functionality largely depends on the site of production, isoform appearance and final target
221 organs. Until now, the most dominating effect of microbial FIAF modulation is not identified
222 yet and needs further investigation with relevant models.

223

224 *Prebiotics that alter energy intake through satiety signals*

225

226 Prebiotics may also be used to decrease appetite by modulation of specific hormones involved
227 in appetite and satiety. Leptin is a hormone mainly produced by adipose tissues and inhibits
228 food intake. Prebiotic substrates such as chitosan decrease the production of leptin in
229 adipocytes in high fat diet-induced obese mice (Neyrinck *et al.*, 2009), although the exact
230 mode-of-action is not known. In addition, leptin was also decreased in rats weaned with

231 inulin-containing high fiber diets (Maurer *et al.*, 2009). Xiong *et al.* (2004) demonstrated that
232 SCFA belonging to C2-C6 fatty acids stimulate leptin in murine adipocyte cell lines and
233 primary adipocyte cell culture. In case of propionate, significantly increased leptin production
234 was attributed to increased GPR41 activation. Leptin stimulation through G-coupled protein
235 receptors was also shown in human adipose tissue (Lahham *et al.*, 2008).

236 Glucagon-like peptide 1 (GLP-1) and peptide YY (PYY) are satiety stimulating hormones,
237 released in response to nutrient ingestion by L-cells in mainly ileum and colon. GLP-1
238 promotes insulin secretion and pancreatic β -cell proliferation and controls glycogen synthesis
239 in muscle cells (Delzenne *et al.*, 2007), whereas PYY slows down gastric emptying. In
240 contrast, ghrelin stimulates appetite and is mainly produced by P/D1 cells in the stomach and
241 ϵ -cells of the pancreas (Inui *et al.*, 2004).

242 Non-digestible carbohydrates, such as oligofructose (Cani *et al.*, 2007b; Maurer *et al.*, 2009;
243 Piche *et al.*, 2003), lactitol (Gee and Johnson, 2005) and resistant starch (Zhou *et al.*, 2008)
244 are effective to induce satiety by modulating the production of the gut peptides GLP-1, PYY
245 and ghrelin through a mechanism that also involves modulation of the intestinal microbial
246 community (Cani *et al.*, 2007b). For instance, rats fed a oligofructose-enriched diet showed a
247 significantly increased GLP-1 and decreased ghrelin production, and doubled the number of
248 GLP-1-expressing cells in the proximal colon (Cani *et al.*, 2004; Cani *et al.*, 2007c). In
249 addition, also human studies showed higher plasma GLP-1, PYY and/or ghrelin levels after
250 intake of oligofructose (Cani *et al.*, 2009a; Parnell and Reimer, 2009; Piche *et al.*, 2003),
251 which may explain the increased satiety feeling and decreased energy intake behaviour of the
252 subjects. Finally, lactitol mainly increased PYY production both in rats and humans (Gee and
253 Johnson, 2005), whereas resistant starch significantly increased both PYY and GLP-1
254 production (Zhou *et al.*, 2008). The bacterial regulation of gut peptides is mediated by SCFA
255 produced from these indigestible substrates. Physiological concentrations of acetate,
256 propionate and butyrate, but also a pH decrease from 7.5 to 6, significantly increased
257 proglucagon and PYY in the entero-endocrine colon cell line STC-1 (Zhou *et al.*, 2008). In
258 addition, the presence of glucose in the intestine also enhances the GLP-1 production in the L-
259 cells (Egan and Margolskee, 2008). These mechanisms may explain why gut peptide
260 modulation is only observed with highly fermentable fibers (Massimino *et al.*, 1998).

261 Recently, also FIAF was identified as a potential signal protein with effect on hypothalamic
262 control of appetite. Bacteria by means of LPS are able to induce a low-grade inflammation, as
263 already discussed (Cani *et al.*, 2007a). Brown *et al.* (2009) showed that when mice were
264 treated with LPS, body weight was significantly decreased and increased levels of FIAF were
265 observed in the hypothalamic, pituitary, cortical and adipose tissues. Similar effects on FIAF
266 levels were observed when N-1 neuronal and 3T3-L1 adipocyte cells were treated with LPS
267 (Brown *et al.*, 2009). Therefore, FIAF is considered as one of the mediators of hypothalamic
268 control of appetite and energy metabolism through LPS. In fact, LPS-induced endotoxemia
269 was also associated to an anorectic response via hypothalamic-dependent mechanisms (Huang
270 *et al.*, 1999; Rummel *et al.*, 2008). Yet, it is not desirable to steer this FIAF response by
271 addition of LPS to the host, as LPS stimulates inflammatory responses in other tissues.

272 In summary, we conclude that the influence of microbiota on satiety hormones is a promising
273 issue for prebiotic treatment, especially for substrates that enhance SCFA production. Indeed,
274 a large part of the SCFAs are transported into the blood stream, thereby targeting several
275 tissues, such as the adipose tissue, which may be induced to produce hormones involved in
276 appetite and satiety.

277

278 *Prebiotic modulation of cholesterol and lipid metabolism*

279

280 Several pro- and prebiotics may have a possible effect on serum cholesterol and lipid levels,
281 thereby not only affecting fat storage, but also the development of cardiovascular diseases
282 (reviewed by Ooi and Liong (2010) and Williams (1997)). Several mechanisms have been
283 proposed, including enzymatic deconjugation of bile acids (Bongaerts *et al.*, 2000),
284 assimilation of cholesterol (reviewed by St-Onge *et al.* (2000)), co-precipitation of cholesterol
285 with deconjugated bile, cholesterol binding to bacterial cell walls, incorporation of cholesterol
286 into microbial cell membranes, conversion of cholesterol into coprostanol and production of
287 short-chain fatty acids upon fermentation (reviewed by Ooi and Liong (2010)). Examples of
288 probiotic bacteria influencing cholesterol and lipid metabolism are *Lactococcus lactis*
289 (Nakajima *et al.*, 1992), *Streptococcus thermophilus* (Pulusani and Rao, 1983; Richelsen *et al.*,
290 1996), *Lactobacillus acidophilus* (Gilliland *et al.*, 1985), *E. faecium* (Richelsen *et al.*,
291 1996), *Bifidobacterium bifidum* (Beena and Prasad, 1997; Mohan *et al.*, 1996) and *B. longum*
292 (Xiao *et al.*, 2003).

293 Several human and rodent *in vivo* studies also mention the cholesterol and lipid lowering
294 effects of oligofructose (de Luis *et al.*, 2010; Delzenne *et al.*, 1993; Fiordaliso *et al.*, 1995;
295 Trautwein *et al.*, 1998; Williams and Jackson, 2002), xylo-oligosaccharides (Hsu *et al.*,
296 2004), chito-oligosaccharides (Li *et al.*, 2007), soybean oligosaccharides (Chen *et al.*, 2010)
297 and resistant starch (Cheng and Lai, 2000; Venter *et al.*, 1990). These effects may be linked to
298 the production of propionate, which inhibits hepatic cholesterol synthesis from acetate
299 (Berggren *et al.*, 1996; Lin *et al.*, 1995; Todesco *et al.*, 1991). Yet, the concentration of
300 propionate that is needed to induce the cholesterol and lipid lowering effect is 10 to 100 fold
301 higher for human than for rat hepatocytes (Lin *et al.*, 1995).

302 Hepatic lipogenesis is not only regulated by short chain fatty acids, but also by serum glucose
303 and insulin levels (Towle, 2001). Enhanced sugar uptake has been observed in presence of gut
304 bacteria compared to germ-free conditions, which can be explained by several mechanisms.
305 First of all, the presence of an intestinal microbial community leads towards an increase in the
306 amount of capillaries that underlie the small intestinal epithelium (Hooper *et al.*, 2002).
307 Secondly, host monosaccharide transporters are induced by the polysaccharide-processing
308 activity of the microbiota, as was demonstrated by studies with germ-free mice colonized with
309 *B. thetaiotaomicron* (Hooper *et al.*, 2001). The monomers generated from indigestible
310 polysaccharides are delivered as substrates for lipid production in the liver. Besides, they may
311 also activate the lipogenic enzymes in the liver by ChREBP- and SREBP-1- mediated
312 mechanisms (Bäckhed *et al.*, 2004). Hence, the polysaccharide-degrading potential of an
313 intestinal microbial community may be an important determinant for hepatic lipid production.
314 In obese *ob/ob* mice, the intestinal microbial community is enriched for genes that are able to
315 harvest calories from complex plant-derived polysaccharides compared to lean mice. These
316 genes encode for enzymes involved in sugar degradation, sugar transport and acetate and
317 butyrate production (Turnbaugh *et al.*, 2006).

318 We conclude that the potential influence of intestinal microbiota and prebiotics on lipid and
319 cholesterol production in the host is a complicated process which involves several nutrients,
320 target organs and signalling pathways. More investigation is warranted to identify the
321 dominating mechanism by which prebiotic modulation of the intestinal microbial community
322 may contribute to lipid and cholesterol lowering effects.

323

324 **Part 3: Prebiotic modulation of glucose and insulin metabolism**

325

326 As mentioned before, intestinal bacteria can impact food intake and lipid metabolism, but
327 may also be involved in carbohydrate maintenance and disturbances thereof, such as insulin
328 resistance. Insulin resistance is the fundamental defect in type 2 diabetes, a disease that
329 afflicts 6% of adult Americans, up from 3% in the early 1970s (Taubes, 2009). A role of

330 dietary fibers in general and prebiotics in particular has been shown in regulating glucose
331 maintenance in numerous studies and will therefore also be used in this part to illustrate the
332 role of intestinal bacteria.

333 Indeed, consumption of whole grains dietary fibers has been shown to improve blood glucose
334 and insulin responses (reviewed by Gemen *et al.* (2011)). It is now believed that the
335 underlying mechanism is of a multifactorial nature with different activity profiles throughout
336 the gastrointestinal tract (Figure 2). Firstly, inclusion of dietary fiber in dietary products may
337 replace part of the available carbohydrates in the food product, leading to lower glycemic
338 response. For instance, resistant starch lowers the glycemic index, by being indigestible in the
339 upper intestine, as opposed to digestible starch. The resistance to digestion of resistant starch
340 is mainly attributed to particular physical structures, such as the amylose / amylopectin ratio.
341 A higher ratio leads to a more branched polymer structure, which is less susceptible to
342 enzymatic digestion in the small intestine (Brouns *et al.*, 2002; Fassler *et al.*, 2006; Storey *et*
343 *al.*, 2007; Venter *et al.*, 1990).

344 Secondly, depending on their structure, dietary fibers such as arabinoxylans and beta-glucans,
345 form a viscous solution in the stomach, thereby delaying gastric emptying and physically
346 trapping nutrients, such as glucose and thereby reducing their absorption. In addition, the
347 passage of digestive enzymes through the viscous food bolus is limited, which reduces the
348 hydrolysis by digestive enzymes (Mohlig *et al.*, 2005; Regand *et al.*, 2009; Wood *et al.*,
349 2000). The combination of these processes will again lower the glycemic response. Reduced
350 serum glucose concentrations decrease the amount of insulin needed to clear the glucose load.
351 Upon repeated consumption of such fiber, the reduced ambient insulin concentrations may
352 result in an up-regulation of cell surface insulin receptors, thereby increasing insulin
353 sensitivity (Song *et al.*, 2000).

354 As mentioned before, changes in the intestinal bacterial community are involved in obesity,
355 but also in insulin resistance. Interesting work has recently been published on the use of mice
356 genetically deficient in Toll-like receptor 5 (TLR5). These mice spontaneously develop
357 symptoms of the metabolic syndrome, among which insulin resistance (Vijay-Kumar *et al.*,
358 2010). Transfer of the intestinal microbiota of these mice into germ-free wild-type mice
359 allowed transferring the metabolic phenotype into the wild-type mice, including insulin
360 resistance. As this shows that specific microbial community composition may be implicated
361 in insulin resistance, alterations of the community through dietary interventions may also
362 affect glucose and insulin metabolism. A third mode of action of dietary fibers may therefore
363 be related to the modulation of the intestinal microbiota.

364 Dietary fibers are typically non-digestible and therefore reach the colon, where they can be
365 metabolized into SCFA by the intestinal bacteria. There is evidence that hepatocytes may,
366 when exposed to an increase in short-chain fatty acids, increase glucose oxidation, decrease
367 fatty acid release, and increase insulin clearance - an environment conducive to enhanced
368 insulin sensitivity (Frayn *et al.*, 1996; Thorburn *et al.*, 1993; Venter *et al.*, 1990). This would
369 be related with specific interactions with G-protein-coupled receptors GPR41 and GPR43
370 (Delzenne and Cani, 2011; Dewulf *et al.*, 2010). Whereas acetate is typically considered to act
371 as substrate for lipogenesis in the liver, propionate would inhibit *de novo* lipogenesis and
372 gluconeogenesis from lactate, decrease inflammation and improve insulin sensitivity (Al-
373 Lahham *et al.*, 2010; Berggren *et al.*, 1996; Lin *et al.*, 1995). Finally, butyrate has also been
374 linked with improved insulin sensitivity (Gao *et al.*, 2009).

375 As described in the section on the role of the intestinal barrier and low-grade inflammation in
376 obesity and metabolic disorders, specific changes induced in microbial community
377 composition upon (prebiotic) fiber intake may also be involved in improvements of glucose
378 maintenance, through altered host-bacteria interactions, involving improvement of gut barrier
379 function and reduction of LPS leakage (Cani and Delzenne, 2009; Neyrinck *et al.*, 2010;

380 Neyrinck *et al.*, 2011). In addition, dietary fibers such as beta-glucans, may directly interact
381 with the mucosal immune system and influence insulin sensitivity through immune-
382 modulation (King *et al.*, 2007; Vos *et al.*, 2007).

383 Delzenne and Cani (2011) recently summarized the current evidence on the relation between
384 specific microbial community composition and diabetes. Changes in community composition
385 seem to involve reduced presence of Firmicutes as opposed to Bacteroidetes (Larsen *et al.*,
386 2010). Other researchers showed lower representation of the genus *Bifidobacterium* and
387 *Bacteroides vulgatus* (Wu *et al.*, 2010) or the abundance of *Faecalibacterium prausnitzii*
388 (Furet *et al.*, 2010) in microbiota from diabetic individuals and a lower presence of
389 microbiota-related metabolites in the blood and urine of diabetic individuals (Lucio *et al.*,
390 2010; Zhao *et al.*, 2010).

391 The interaction between dietary fibers, intestinal microbiota and gut peptide hormones has
392 been described extensively in relation to weight management and lipid metabolism (Cani *et*
393 *al.*, 2005; Delzenne and Cani, 2011; Delzenne *et al.*, 2007). Interaction of these peptide
394 hormones with glucose metabolism and insulin sensitivity was also shown in numerous
395 animal studies (Delzenne and Cani, 2010) For instance, dietary fiber such as oligofructose can
396 increase the number of endocrine L-cells in the proximal colon of rats (Cani *et al.*, 2007c) and
397 increase the production of GLP-1 and GLP-2, the former being involved in the regulation of
398 insulin sensitivity (Maurer and Reimer, 2011) and the latter in barrier function control (Cani
399 *et al.*, 2009b). Another example is the potential role of adiponectin (Weickert and Pfeiffer,
400 2008). In a cross-sectional analysis, high intakes of cereal dietary fiber were positively
401 associated with plasma adiponectin after adjusting for lifestyle factors and dietary glycemic
402 load (Qi *et al.*, 2005). Adiponectin may act as a peripheral starvation signal promoting the
403 storage of triglycerides preferentially in adipose tissue (Kim *et al.*, 2007). As a consequence,
404 reduced triglyceride accumulation in the liver and in the skeletal muscle might convey
405 improved systemic insulin sensitivity (Weickert and Pfeiffer, 2006).

406 Summarized, the positive effects of (prebiotic) dietary fibers on postprandial glucose and
407 insulin response are becoming more and more clear. Recently, Gemen *et al.* (2011) provided a
408 clear overview of the existing scientific literature, in which 39 publications were referred to.
409 Although further research is needed to differentiate the variety of existing fiber sources in
410 their efficacy and specific mode of action, the basic principles of the underlying mechanisms
411 and the intriguing role of the gut microbiota become unravelled.

412

413 **Future perspectives**

414

415 The studies described in this review - although they have been conducted on animals - suggest
416 that a successful prebiotic intervention with respect to obesity and its related metabolic
417 disorders could be possible (Cani *et al.*, 2006; Cani *et al.*, 2007d; Cani *et al.*, 2009b; Neyrinck
418 *et al.*, 2011). However, new metagenomic technologies have also pointed out that the
419 interindividual variability of our holobiont is a key factor for the success of a given strategy.
420 For instance, Walker *et al.* (2011) recently showed a strong variation in terms of microbial
421 modulation among human subjects in response to prebiotic supplementation. As a
422 consequence, the management of the intestinal microbiota with the aim of improving human
423 health will optimally require a prior characterization of the microbiota, i.e. the concept of
424 personalized health-care. In this respect, several options may be available. When the
425 necessary genes/species/strains are present, one may target them through specific prebiotics.
426 If not present, the so-called synbiotic approach could be a valuable solution: addition of
427 specific bacteria with a metabolic capability of interest (e.g. probiotics but also microbes
428 beyond the current definition such as butyrate producing species – *Eubacterium rectale*,
429 *Faecalibacterium prausnitzii*, *Anaerostipes caccae*, *Roseburia intestinalis*). A final option

430 could be the transfer of entire communities (or part of the microbial population) through
431 faecal transplantation (Khoruts *et al.*, 2010), as an extension of the concept of synbiotics. This
432 implies that studies demonstrating the beneficial effect of prebiotics are useful but need a
433 better characterization of the exact modulation of the intestinal microbiota (both luminal and
434 mucosal microbiota) in order to mechanistically explain the beneficial host effect. As an
435 alternative to the individual specific approach, it may be possible that individuals may be
436 grouped and subsequently treated with specific prebiotics based on an enterotype-like
437 classification as proposed by (Arumugam *et al.*, 2011).
438
439

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874

875

876 **Figure legends**

877

878 **Figure 1.** Intestinal section with focus on the effect of a fat diet and a prebiotic treatment on
879 LPS detection, through TLR-4 and NOD-1. An increased permeability which is caused by the
880 high-fat diet leads to LPS leakage through the gut wall, PRRs' detection, thus resulting in an
881 inflammatory response.

882

883 **Figure 2.** Schematic overview of the impact of dietary fiber on several parameters involved in
884 energy metabolism. **The mechanism of increased viscosity, decreased absorption of**
885 **nutrients and replacement of available carbohydrates is relevant for the whole**
886 **gastrointestinal tract.**

887

Table 1. Overview of *in vivo* and *in vitro* experiments in which the effect of prebiotics on several parameters involved in fat and sugar metabolism is confirmed. n.r. = not reported.

Signal molecule	Produced by	Function	Bacteria/bacteria-related mode of action	Prebiotics	Experimental design	References
GLP-1	L-cells in ileum/colon	Promotes insulin secretion, pancreatic e-cell proliferation and muscle oxidation	Acetate, propionate and butyrate	Oligofructose, lactitol, resistant starch	Rat and human studies, STC cell line	(Gee and Johnson, 2005; Cani <i>et al.</i> , 2007b; Cani <i>et al.</i> , 2009a; Piche <i>et al.</i> , 2003; Zhou <i>et al.</i> , 2008)
GLP-2	L-cells in ileum/colon	Intestinal barrier	n.r.	Oligofructose	Mice studies	(Cani <i>et al.</i> , 2009b)
PYY	L-cells in ileum/colon	Slows down gastric emptying	Acetate, propionate and butyrate	Oligofructose, lactitol, resistant starch	Rat and human studies, STC cell line	(Cani <i>et al.</i> , 2009a; Gee and Johnson, 2005; Parnell and Reimer, 2009; Zhou <i>et al.</i> , 2008)
Ghrelin	P/D1 cells in the stomach and e-cells of the pancreas	Stimulates appetite	n.r.	Oligofructose, resistant starch	Rat and human studies	(Cani <i>et al.</i> , 2007b; Parnell and Reimer, 2009; Zhou <i>et al.</i> , 2008)
FIAP	Liver, adipose tissue, intestine, brain, thyroid, heart, kidney, skeletal muscles, spleen, pituitary gland, hypothalamus, placenta	Hypothalamic appetite control, fat storage in adipocytes	LPS	n.r.	N1-neuronal cells, 3T3-L1 cell line	(Brown <i>et al.</i> , 2009)
			Propionate, butyrate	n.r.	HT-29, Caco-2, HCT-116, HepG2 cell lines	(Grootaert <i>et al.</i> , 2011)
			<i>Lactobacillus</i> , <i>Bifidobacterium</i> , <i>Bacteroides</i> ,	n.r.	HT-29, Caco-2, HCT-116, LoVo, SW-480 and HepG2	(Are <i>et al.</i> , 2008; Aronsson <i>et al.</i> , 2010; Bäckhed <i>et al.</i> , 2004; Bäckhed <i>et al.</i> , 2007; Grootaert <i>et al.</i> , 2011)

			<i>Methanobrevibacter,</i> <i>Enterococcus,</i> <i>Escherichia coli</i>		cell lines, mice studies	
			CLA	PUFA	COS cells	(Tien <i>et al.</i> , 2004)
			n.r.	Chitosan	High fat diet induced mice	(Neyrinck <i>et al.</i> , 2009)
Leptin	Adipocytes	Stimulates food intake	n.r.	Chitosan	High fat diet induced obese mice	(Neyrinck <i>et al.</i> , 2009)
			C2-C6 SCFA	n.r.	Murine primary adipocytes	(Xiong <i>et al.</i> , 2004)
			Propionate	n.r.	Human adipose tissue, transfected murine adipocyte cell lines	(Lahham <i>et al.</i> , 2008; Xiong <i>et al.</i> , 2004)
Adiponectin	Adipose tissue	Triglyceride storage, insulin sensitivity	n.r.	Cereal fiber	Human study	(Qi <i>et al.</i> , 2005)
Cholesterol	Liver/bile	Lipid transport in GIT and blood	SCFA, mainly propionate	Xylo-oligosaccharides, chito-oligosaccharides, soybean oligosaccharides, resistant starch	Rat, hamster, human, chicken	(Chen <i>et al.</i> , 2010; Cheng and Lai, 2000; de Luis <i>et al.</i> , 2010; Delzenne <i>et al.</i> , 1993; Fiordaliso <i>et al.</i> , 1995; Hsu <i>et al.</i> , 2004; Li <i>et al.</i> , 2007; Trautwein <i>et al.</i> , 1998; Venter <i>et al.</i> , 1990; Williams and Jackson, 2002)
