

1 Thin film solid-state reactions forming carbides as contact materials 2 for carbon-containing semiconductors

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9 (Received 12 September 2006; accepted 30 December 2006)

AQ: #1 10 Metal carbides are good candidates to contact carbon-based semiconductors (SiC, diamond, and
11 carbon nanotubes). Here, we report on an *in situ* study of carbide formation during the solid-state
12 reaction between thin films. The solid-state reaction was examined between 11 transition metals (W,
13 Mo, Fe, Cr, V, Nb, Mn, Ti, Ta, Zr, and Hf) and an amorphous carbon layer. Capping layers (C or
14 TiN) of different thicknesses were applied to prevent oxidation. Carbide formation is evidenced for
15 nine metals and the phases formed have been identified (for a temperature ranging from
16 100 to 1100 °C). W first forms W_2C and then WC; Mo forms Mo_2C ; Fe forms Fe_3C ; Cr first forms
17 metastable phases Cr_2C and Cr_3C_{2-x} , and finally forms Cr_3C_2 ; V forms VC_x ; Nb transforms into
18 Nb_2C followed by NbC; Ti forms TiC; Ta first forms Ta_2C and then TaC; and Hf transforms into
19 HfC. The activation energy for the formation of the various carbide phases has been obtained by *in*
20 *situ* x-ray diffraction. © 2007 American Institute of Physics. [DOI: 10.1063/1.2561173]

22 I. INTRODUCTION

23 Several carbon-based semiconductors are promising ma-
24 terials for electronic applications. SiC and doped diamond
25 are superior wide-band-gap semiconductors, offering a high
26 breakdown voltage, high thermal conductivity, small dielec-
27 tric constant, and excellent radiation hardness.^{1,2} Carbon
28 nanotubes (CNTs) are quasi-one-dimensional molecular
29 structures with semiconducting or metallic properties, which
30 are widely investigated for application in the field of
31 nanoelectronics.³ To take advantage of these promising prop-
32 erties, there is a need for reliable contacts to these carbon-
33 containing semiconductors.

34 In silicon-based technology, metal-silicon compounds
35 (silicides) are now widely used as contact materials, since
36 they offer several advantages as compared to metal contacts.
37 Firstly, unlike pure metals several silicide phases are thermo-
38 dynamically stable in contact with silicon. Secondly, when
39 silicides are formed by a solid-state reaction between metal
40 and Si, contact formation can be achieved by means of a
41 self-aligned process (SALICIDE).⁴ The solid-state reaction
42 between the thin metal film and Si only occurs in those re-
43 gions of a patterned substrate, where the metal is in direct
44 contact with the Si (or C for the present case of carbides).
45 Therefore, there is no need for alignment and lithographic
46 patterning of the metal film, since the formation of the sili-
47 cide will be automatically restricted to the contact region.
48 One can expect the same advantages when using carbides to
49 contact carbon-based semiconductors.

50 Carbides (i.e., metal-carbon compounds) are well known

due to some of their unusual physical and chemical proper- 51
ties. The extreme hardness⁵ and high melting point make 52
carbides attractive materials for cutting and grinding tools, 53
but they are also researched as potential catalysts.^{6,7} Table I 54
gives some basic properties of the carbide phases relevant to 55
this work. The first column gives the resulting thickness for 56
the carbide phase obtained when starting from 1 nm of metal 57
to form the phase (i.e., a measure for the carbon consump- 58
tion). The thicknesses were calculated⁸ using the x-ray dif- 59
fraction (XRD) densities,⁹ crystal structure, and number of 60
atoms. Other properties given are the crystal structure, the 61
melting point, the formation enthalpy, the resistivity, the ther- 62
mal expansion coefficient, and the Young's modulus. 63

The fact that these hard materials are also electronic con- 64
ductors has more recently led to a research interest on mi- 65
croelectronic applications for carbides.¹⁰ For instance, 66
Schaper *et al.*¹¹ have reported on the role of iron carbide in 67
the growth of multiwalled CNTs. Examples of carbides used 68
as contact materials are already available in the literature: 69
WC was examined as a schottky contact to 6H-SiC (Ref. 12) 70
and to diamond;¹³ TiC was used as an Ohmic contact to 71
6H-SiC,¹⁴ but also as an Ohmic contact to diamond¹⁵ and 72
CNTs.¹⁶ 73

Contact materials are usually evaluated on (a) their ther- 74
modynamic stability in contact with the semiconductor, (b) 75
their electric conductivity (as high as possible), (c) their for- 76
mation temperature (as low as possible), and (d) their contact 77
resistance (as low as possible). Adhesion and mechanical 78
properties of the contact material and thermal expansion mis- 79
match between contact and substrate, should also be consid- 80
ered in the evaluation of contact materials. Therefore, the 81
thermal expansion coefficient and Young's modulus of the 82
different carbide phases are listed in Table I. 83

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TABLE I. Literature overview of the basic properties of the different carbide phases. The carbide thickness was calculated from crystallographic data, using the method described by Chen (Ref. 8). The melting points (MP), the formation enthalpies, the resistivities, thermal expansion coefficients, and the Young's modulus were obtained from different sources.

Carbide phase	Thickness (nm/nm metal)	Structure	MP ^a (°C)	Formation enthalpy (kJ/mole)	Resistivity ($\mu\Omega$ cm)	Thermal expansion ^{a,b} ($10^{-6}/^{\circ}\text{C}$)	Young's modulus ^a (GPa)
W ₂ C	1.16	hcp	2800	-52.7 ^{a,b}	80 ^a		
WC	1.31	Simple hexagonal	2750	-40.5, ^b -35.1 ^{a,c}	22, ^b 25 ^a	5.0 , ^b 4.2 ^c	670
Mo ₂ C	1.19	hcp	2410	-46.0, ^b -92.0, ^a -49.0 ^c	71 ^a	4.9 , ^b 8.2 ^c	228
Fe ₃ C	1.10	Orthorhombic	1650	31.4, ^a 24.9 ^c			
Cr ₂ C	1.24						
Cr ₃ C ₂	1.23	Orthorhombic	1895	-69.0, ^b -131.8, ^b -103.0 ^c	75 ^a	10.3	386
VC	1.43	fcc	2830	-102.5 ^{a,c}	60, ^{a,b} 65 ^b		434
Nb ₂ C	1.17	hcp	3100	-194.5, ^b -376.6, ^a -145.6 ^c			
NbC	1.18	fcc	3480	-140.5, ^b -138.1 ^a	35, ^{a,b} 51.1 ^b	6.6	510
Mn ₂₃ C ₆	1.05	Complex cubic	1010				
Mn ₃ C		Orthorhombic	1520	-288.7, ^a -15.6 ^c			
TiC	1.14	fcc	3140	-184.5, ^b -238.5, ^a -186.2 ^c	68 ^a	7.6	448
Ta ₂ C	1.14	hcp	3400	-208.4, ^b -384.9, ^a -197.5 ^c			
TaC	1.21	fcc	3880	-142.7, ^b -159.0, ^a -150.6, ^c	25, ^{a,b} 42.1 ^b	6.3	365
ZrC	1.16	fcc	3550	-196.6, ^{b,c} -200.8 ^a	42, ^b 63 ^a	6.7	386
HfC	1.11	fcc	3890	-209.5, ^b -338.9, ^a -226.8 ^c	37 ^{a,b}	6.6	462

^aReference 48.

^bReference 47.

^cReference 49.

84 In this work, 11 carbide forming metals (Ti, V, Cr, Mn,
85 Fe, Zr, Nb, Mo, Hf, Ta, and W) are examined in a solid-state
86 thin film reaction with amorphous carbon. Not all metals
87 form a carbide, when reacted with carbon. From the binary
88 phase diagrams¹⁷ we have selected the 11 transition metals
89 listed above, as carbide-forming metals. Existing literature
90 on the formation of carbides using solid-state reactions is
91 limited to annealing times of one hour and more, followed by
92 *ex situ* measurements.^{18,19} Although this gives evidence that
93 a certain phase has formed, there is little information on the
94 kinetics of the process. In this work, a technique was used to
95 observe the solid-state reaction *in situ*, i.e., during annealing.

96 II. EXPERIMENT

97 The 11 transition metal candidates (Ti, V, Cr, Mn, Fe, Zr,
98 Nb, Mo, Hf, Ta, and W) were deposited on a sputter depos-
99 ited amorphous carbon layer of 200 nm on SiO₂ wafers. The
100 30 nm metal layers were sputter deposited at a base pressure
101 of 10⁻⁶ mbar. Cr, Mn, and V were also deposited using *e*-gun
102 evaporation at a pressure of 10⁻⁵ mbar. The samples were
103 first made without a top layer, but in attempts to prevent
104 oxidation, different capping layers were applied: first, a 5 nm
105 C capping layer, and later, 30 nm C or TiN was used.

106 The reaction between a thin metal film and a carbon
107 substrate was investigated using *in situ* XRD for phase iden-
108 tification and for determining the activation energy E_a , four-
109 points probe for resistance measurements, x-ray photoelec-
110 tron spectroscopy (XPS) for phase composition information,

and Rutherford backscattering spectroscopy (RBS) for con-
tamination and thickness measurements.

The *in situ* XRD experiments were performed at the
X20C beamline of the National Synchrotron Light Source
(NSLS) at Brookhaven National Laboratory. During anneal-
ing, the sample is continuously illuminated by an intense
beam of monochromatic x rays ($\lambda=0.177-0.180$ nm). Dur-
ing the measurement, the samples were heated from
100 to 1100 °C in a purified He atmosphere, at different
ramp rates (from 0.3 to 35 °C/s). Diffracted photons from
the sample are continuously detected by an array of photo-
diodes covering 14° in 2 θ . Acquisition times can vary from
100 ms to several seconds depending on the annealing con-
ditions. For the standard heating rate of 3 °C/s presented
here, a spectrum is taken every 0.5 s.

III. RESULTS

A. W-C

Figure 1 shows a part of the 2 θ spectrum when anneal-
ing at 3 °C/s to 1150 °C. Around 200 °C, there is a phase
change from β -W to α -W. This does not affect the carbide
formation, as was confirmed by *in situ* measurements of
solid-state reactions, starting from α -W. One observes a
clear phase transition from W [observe the W(110) peak in
Fig. 1] to W₂C in the temperature range of 920–970 °C.
One cannot tell whether this metal-rich carbide phase is the
hexagonal phase or the orthorhombic phase, as, respectively,
the (110), (002), ($\bar{1}\bar{1}\bar{1}$) and the (002), (200), (102) peaks of

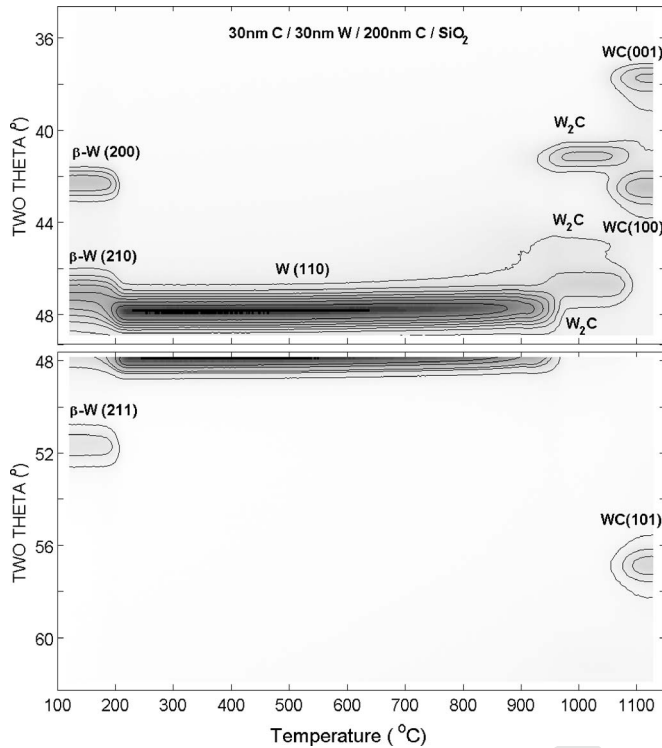


FIG. 1. *In situ* XRD pattern of 30 nm W on 200 nm C on SiO₂ with 30 nm C capping layer; $2\theta \approx 35^\circ$ to $\approx 61^\circ$, annealed in He atmosphere from 100 to 1150 °C at 3 °C/s.

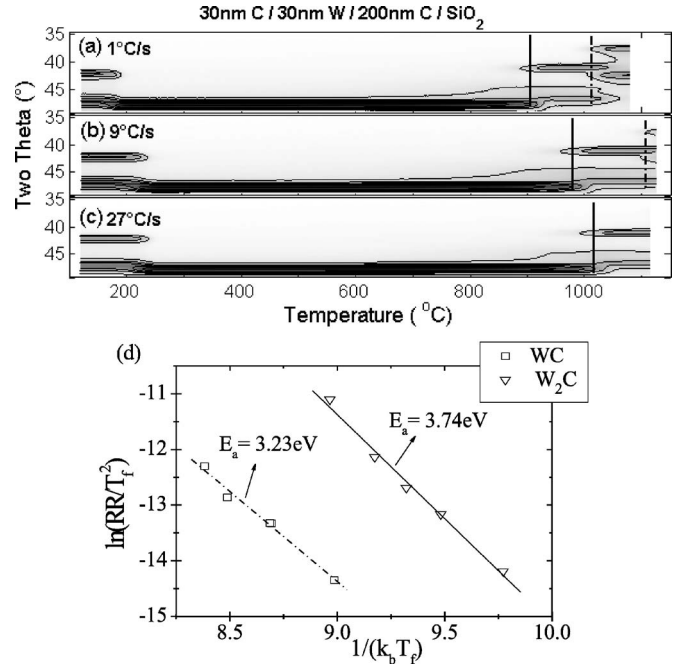


FIG. 2. *In situ* XRD measurements on a W–C system with 30 nm C capping layer at heating rates of 1 °C/s (a), 9 °C/s (b), and 27 °C/s (c). The lines on the XRD measurements show the shift towards higher temperatures, when the heating rate is increased (full lines for W₂C, dash-dot lines for WC). The bottom figure (d) shows a linear fit to obtain the activation energy, following Eq. (1). From the fit follows $E_a^{W_2C} = 3.74 \pm 0.33$ eV ($R = 0.9952$) for W₂C formation and $E_a^{WC} = 3.23 \pm 0.41$ eV ($R = 0.9932$) for WC formation.

138 the different crystal types coincide. From 1050 to 1100 °C
 139 the metal-rich carbide phase transforms into the hexagonal
 140 monocarbide WC. In Fig. 1 the (001), (100), and (101) peaks
 141 are identified. Figure 1 shows data for samples with a 30 nm
 142 C capping layer. The same experiments were done for
 143 samples without a capping layer. The same two carbide
 144 phases were found, although the temperature of the phase
 145 transitions were slightly higher.²⁰ Both carbide phases have
 146 been confirmed with RBS. Bachli *et al.*¹⁹ reported on tung-
 147 sten carbide formation on polycrystalline diamond, and
 148 found the same phase sequence (first W₂C, then WC).

149 As explained by Colgan and d’Heurle,²¹ the activation
 150 energy E_a can be determined from ramped *in situ* measure-
 151 ments, using a Kissinger-like method. To obtain the activa-
 152 tion energy, *in situ* XRD measurements are done at different
 153 heating rates (1, 3, 5, 9, and 27 °C/s), and for each heating
 154 rate, the transition temperature is determined. In our calcula-
 155 tions, the maximum of the first derivative of the intensity of
 156 the XRD peak was used as the transition temperature. As
 157 shown in Fig. 2 for the W–C system, the transition tempera-
 158 ture shifts towards higher values, when the ramp rate is in-
 159 creased. This is clearly demonstrated in Fig. 2(c), where the
 160 transition from W₂C to WC does not take place in the mea-
 161 sured temperature range (100–1150 °C), at a heating rate of
 162 27 °C/s. Using the equation²¹

$$\ln \left[\frac{dT/dt}{T_f^2} \right] = - \frac{E_a}{k_b T_f} + C, \quad (1)$$

AQ: 163
 #2 164 with dT/dt the ramp rate, T_f the formation temperature, and
 165 k_b the Boltzmann constant, the activation energy can be de-
 166 termined from the slope of the straight line obtained by plot-

ting $\ln[(dT/dt)/T_f^2]$ vs $1/k_b T_f$ for the different ramp rates and 167
 the respective transition temperatures. This is shown in Fig. 168
 2(d). In the remainder of this paper, the correlation coeffi- 169
 cient (R) will be supplied with the values for the activation 170
 energy as an indication for the scatter of the data points from 171
 the fitted line, instead of showing every single Kissinger plot. 172
 Apart from this statistical error related to the curve fitting, a 173
 more important error originates from the temperature regis- 174
 tration in each measurement. Because of this, each transition 175
 temperature has an error of ± 3 °C, which will give a varia- 176
 tion (an error) on the calculated activation energy. 177

For the W–C system we obtained an activation energy 178
 $E_a^{W_2C} = 3.74 \pm 0.29$ eV ($R = 0.9952$) for W₂C formation, and 179
 $E_a^{WC} = 3.23 \pm 0.29$ eV ($R = 0.9932$) for WC formation. 180
 Bushmer and Crayton²² studied the self-diffusion of ¹⁴C into 181
 WC, and obtained an activation energy $E_a^{b.d.} = 3.82$ eV for 182
 bulk diffusion, and $E_a^{g.b.d.} = 3.08$ eV for grain-boundary diffu- 183
 sion. The sheet resistance was measured with a four-point 184
 probe, and the resistivities $\rho^{W_2C} \approx 114 \mu\Omega \text{ cm}$ and ρ^{WC} 185
 $\approx 300 \mu\Omega \text{ cm}$ were obtained, using the calculated thick- 186
 nesses of 34.8 and 39.4 nm for the metal-rich carbide and the 187
 monocarbide, respectively. Romanus *et al.*²³ found values for 188
 the resistivity ranging between 100 and 340 $\mu\Omega \text{ cm}$ for dif- 189
 ferent WC–W₂C mixtures. 190

B. Fe–C 191

For the Fe–C system, Fig. 3 shows the formation of the 192
 Fe₃C carbide in a temperature range of 550–590 °C. The 193
 same experiments were performed on samples with a cap- 194
 ping layer. As remarked above, the transition temperature 195

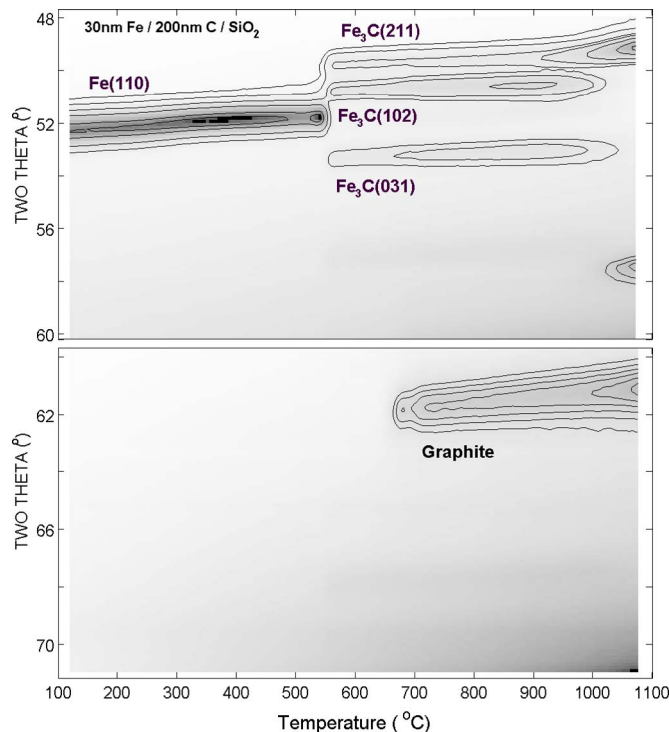


FIG. 3. *In situ* XRD pattern of 30 nm Fe on 200 nm C on SiO₂, $2\theta \approx 47^\circ$ to $\approx 71^\circ$, annealed in He atmosphere from 100 to 1100 °C at 3 °C/s.

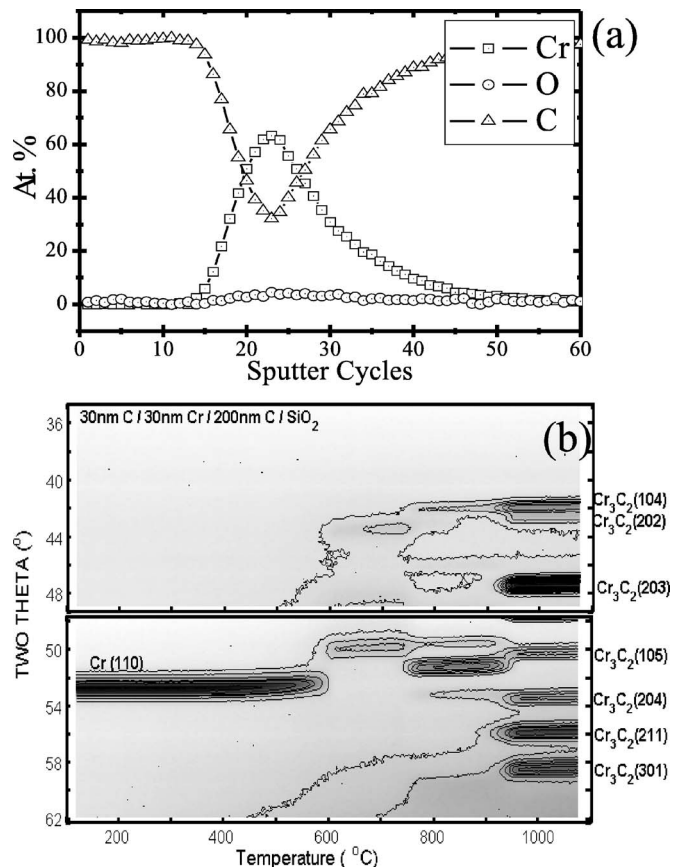


FIG. 4. (a) An XPS depth profile of an as-deposited sample. (b) *In situ* XRD pattern of 30 nm Cr on 200 nm C on SiO₂ with a 30 nm C capping layer, $2\theta \approx 35^\circ$ to $\approx 62^\circ$, annealed in He atmosphere from 100 to 1100 °C at 3 °C/s.

(Fig. 4, inset) shows that C has already diffused into the Cr metal layer. Sinclair *et al.*²⁶ showed the same effect using cross-sectional transmission electron microscopy (TEM). Upon annealing they found the formation of the metastable hexagonal Cr₂C phase, followed by a second metastable phase, orthorhombic Cr₃C_{2-x}, and finally the graphitization of amorphous carbon. The orthorhombic metastable Cr₃C_{2-x} phase was first identified by Bouzy *et al.*²⁸ Figure 4 shows the *in situ* XRD patterns for the Cr–C system. The Cr(110) peak disappears between 580 and 620 °C, and we see the formation of a new phase. Additional *ex situ* XRD on a sample quenched at 650 °C evidenced the coexistence of the metastable carbide phase Cr₂C and the oxide CrO₂. At about 740–770 °C the next phase occurs, and can be identified as Cr₃C_{2-x}. Between 900 and 950 °C the formation of the stable Cr₃C₂ phase takes place. The phase sequence that was observed with the *in situ* XRD is the same as reported by Sinclair *et al.*,²⁶ although they did not observe the last phase, the stable Cr₃C₂ carbide. Worth mentioning is the segregation of a graphite layer like in the Fe–C system. However, the segregation here begins at quite a higher temperature: around 930 °C (graphite peak not shown to save space). Sinclair *et al.* also observed the graphite formation for the Cr–C system.

shifts to slightly lower temperatures for samples with a capping layer (510–550 °C). Santaniello *et al.*²⁴ irradiated Fe layers on high-purity pyrolytic graphite with D⁺ beams at temperatures ranging from room temperature to 1100 K (827 °C), and their results suggest a carbide formation around 800 K (527 °C).

We used *in situ* XRD to determine the activation energy for the formation of Fe₃C and found $E_a^{\text{C cap}} = 4.05 \pm 0.83$ eV ($R=0.9941$) for samples with a 30 nm C capping layer, and $E_a^{\text{no cap}} = 2.9 \pm 0.27$ eV ($R=0.9898$) for samples without capping layer.

In Fig. 3, a special feature can be observed around 630 °C at $2\theta \approx 61^\circ$. RBS measurements confirmed that a carbon layer on top of the carbide has formed, and it should be stressed that this segregation occurs, even for samples without an as-deposited C capping layer. Santaniello *et al.* report^{24,25} the segregation of a carbon layer on top of Fe films. Sinclair *et al.*²⁶ used trilayered samples, comparable to the samples with a C capping layer used in this study. They studied this graphite-forming behavior, and named it “carbide-mediated graphitization.” Jiang and Carter²⁷ predict this segregation layer theoretically with density functional theory (DFT) calculations. Therefore, we conclude that around 630 °C; a graphite layer on top of the carbide layer has formed with a diffraction peak at $2\theta \approx 61^\circ$ [(004)] [there is also a peak (002) at $2\theta \approx 29^\circ$].

222 C. Cr–C

Cr–C is an interesting metallurgical system, as chromium carbides act as strengthening precipitates in steels. One of the differences compared to other systems is already noticeable with the as-deposited sample. XPS depth profiling

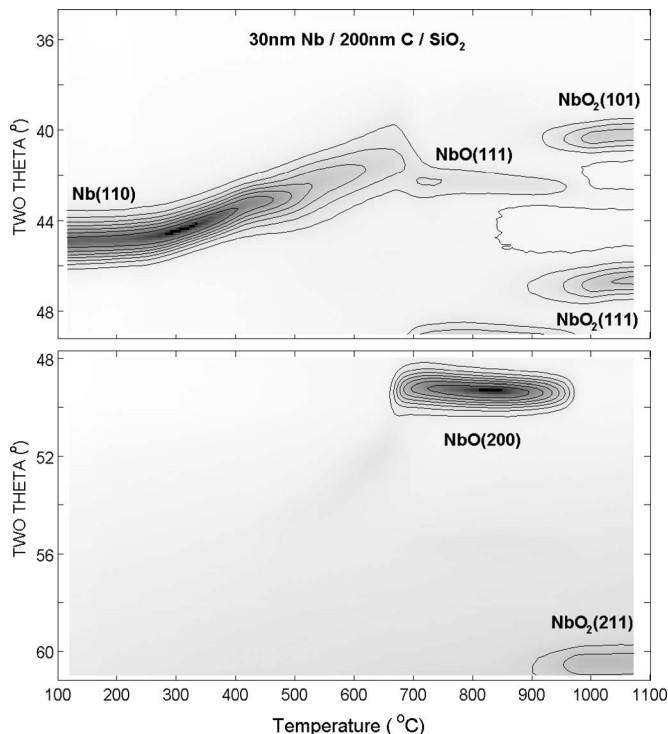


FIG. 5. *In situ* XRD pattern of 30 nm Nb on 200 nm C on SiO₂, for $2\theta \approx 35^\circ$ to $\approx 61^\circ$, annealed in He atmosphere from 100 to 1100 °C at 3 °C/s.

251 D. Nb–C

252 When dealing with solid-state reactions to form carbides
253 from thin films of metal and carbon, oxidation is an impor-
254 tant factor to control. In Fig. 5, an *in situ* XRD pattern is
255 shown for 30 nm Nb on 200 nm C, sputter deposited on a
256 SiO₂ substrate. Around 700 °C the formation of NbO can be
257 observed, followed by the formation of NbO₂ around
258 900 °C. The metal peak of Nb is clearly seen at the start of
259 the experiment. However, upon annealing, the peak shifts
260 towards lower 2θ values, which means that the d spacing of
261 the lattice is increased. Because O atoms are diffusing inter-
262 stitially into the metal lattice, the lattice (and therefore the d
263 spacing) expands.

264 Figure 6 shows the solid-state reaction for the Nb–C
265 system with a 30 nm C capping layer. From 690 °C, the
266 hexagonal Nb₂C phase appears, with its (100), (002), and
267 (101) peaks at $2\theta \approx 38.8^\circ$, $\approx 42.4^\circ$, and $\approx 44.4^\circ$, respectively.
268 This phase transition has not so definite boundaries as the

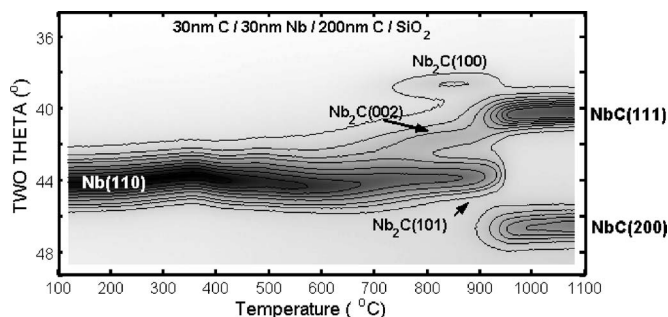


FIG. 6. *In situ* XRD pattern of 30 nm Nb on 200 nm C on SiO₂ with 30 nm of C as capping layer. $2\theta \approx 35^\circ$ to $\approx 49^\circ$, annealed in He atmosphere from 100 to 1100 °C at 3 °C/s.

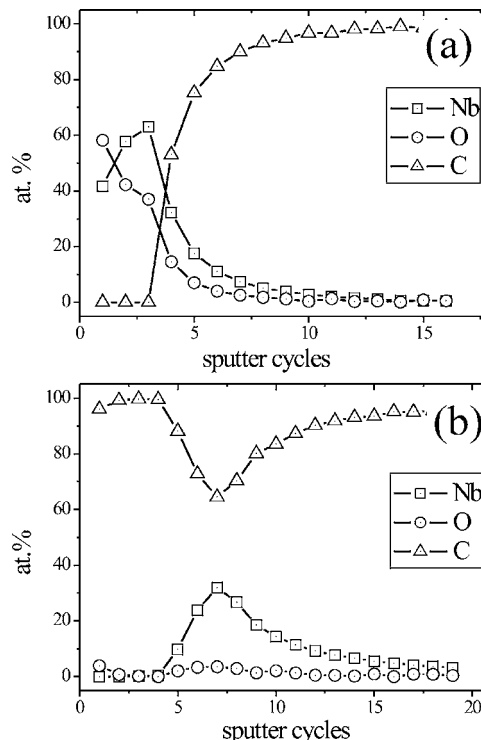


FIG. 7. XPS depth profiles of Nb–C system annealed to 850 °C, (a) without capping layer and (b) with 30 nm C capping layer.

next transition to the fcc monocarbide, NbC. From 269
880 to 940 °C, one observes the appearance of the (111) and 270
(200) peaks of the NbC phase, at $2\theta \approx 40.7^\circ$ and $\approx 47.4^\circ$. 271

The shift of the metal peak in the XRD plot for the 272
uncapped sample is only an indication that oxidation might 273
have occurred, but XPS (or RBS) gives more evidence. Fig- 274
ure 7 shows XPS depth profiles of samples that were 275
quenched at 850 °C, (a) one without and (b) one with a 276
capping layer. Looking at the oxygen concentration of both 277
and combining these results with the XRD measurements, 278
one can easily see that the Nb–C system has formed an oxide 279
(NbO) when the system had no capping layer, and it formed 280
a carbide (Nb₂C) when 30 nm C was applied as capping 281
layer. Even more evidence for the carbide formation can be 282
found in the single spectrum of the C 1s XPS peak. Ramqvist 283
*et al.*²⁹ have shown that when a carbide is formed, the C 1s 284
peak shows a *carbide* peak, shifted towards a lower binding 285
energy, compared to the original C 1s position. They mea- 286
sured this shift for various carbides and concluded that this 287
shift is a consequence of the transfer of electronic charge 288
from the metal to the nonmetal constituent (here: carbon). 289
For the case of Ti–C, they showed a shift of the metal Ti 2p 290
peak towards a higher binding energy, when a carbide is 291
formed. However, if an oxide is formed, the metal Ti 2p peak 292
shifts in the same direction (to a higher binding energy) as 293
when a carbide is formed, albeit over a different energy (de- 294
pending on the oxide). Compared to that, if C–O bonds 295
would be measured in the layer (C–O compounds are vola- 296
tile, so difficult to observe), the C 1s peak would shift to a 297
higher binding energy, thus in the opposite direction of when 298
a carbide is formed. It is clear that one should pay close 299
attention when examining solely the metal peak, and it is 300

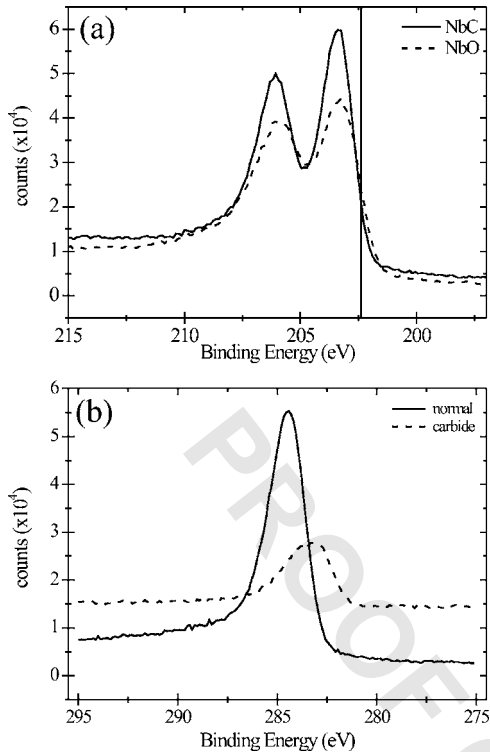


FIG. 8. (a) Nb 3d XPS spectra taken from a sample with a carbon capping layer and one from a sample without a capping layer, annealed to NbC and NbO, respectively. The full vertical line marks the position of the neutral Nb 3d_{5/2} peak. (b) C 1s XPS spectra selected out of an XPS depth profile of a Nb–C system with 30 nm C capping layer, annealed to 1050 °C. The full line shows the C 1s peak at its normal position, taken at a depth where no metal was present. The dashed line shows the C 1s peak at its “carbide” position, taken at a depth where C has reacted with Nb.

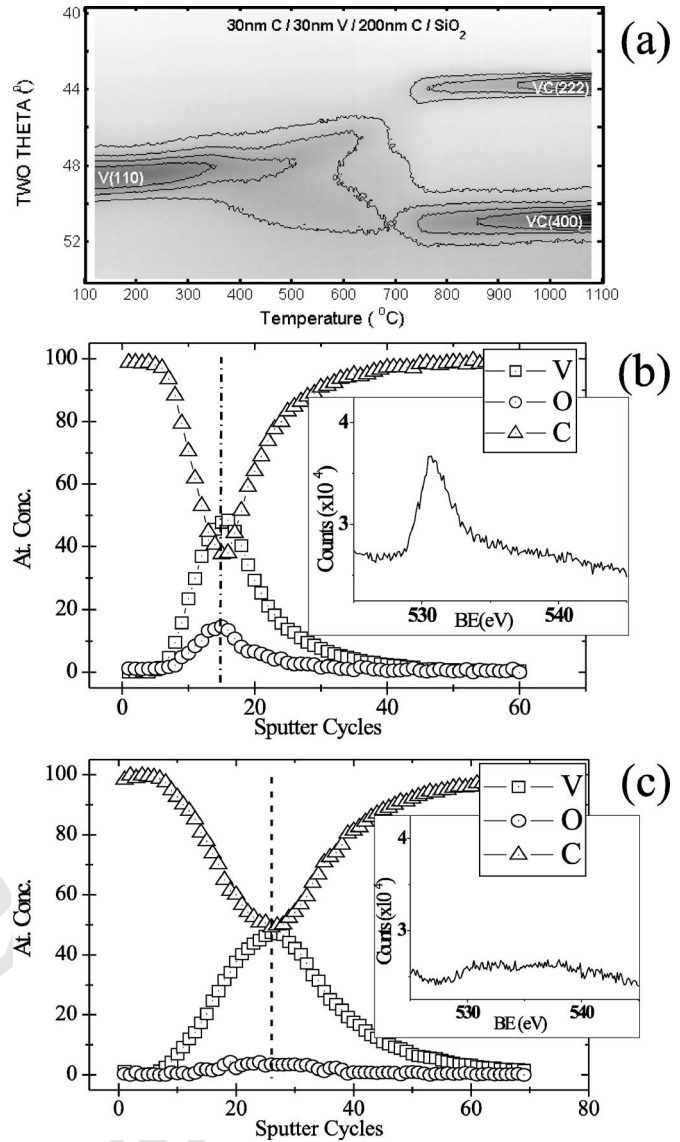


FIG. 9. *In situ* XRD pattern of 30 nm V on 200 nm C on SiO₂ with a 30 nm C capping layer, $2\theta \approx 40^\circ$ to $\approx 54^\circ$, annealed in He atmosphere from 100 to 1100 °C at 3 °C/s. (b) and (c) show XPS depth profiles of 30 nm V on 200 nm C with a 30 nm C capping layer, annealed to 600 °C (b) and to 1100 °C (c). The insets show the O 1s peak at the depth, marked with a line in the depth profile.

Activation energies for the two formed carbide phases are $E_a^{\text{Nb}_2\text{C}} = 3.01 \pm 0.36$ eV ($R = 0.9797$) and $E_a^{\text{NbC}} = 4.08 \pm 0.37$ eV ($R = 0.9879$), respectively. Guarnieri *et al.*³⁰ and Barzilai *et al.*³¹ observed the same phase formation sequence for a 200 nm Nb layer on a diamond substrate and an 8 μm Nb layer on a graphite substrate, respectively. And although the latter researchers found lower activation energies for the Nb₂C and the NbC formations, namely, $E_a^{\text{Nb}_2\text{C}} = 1.97$ eV and $E_a^{\text{NbC}} = 1.70$ eV, our results are in the same range as many others.

E. V–C

Figure 9(a) shows *in situ* XRD measurement for 30 nm V with a 30 nm C capping layer. The V (110) peak seems to split up around 400 °C and gradually moves towards $2\theta \approx 43.5^\circ$ and $2\theta \approx 51^\circ$. These have been identified as,

301 easier to examine the C 1s peak. As an example to this, Fig.
302 8(a) shows the Nb 3d peaks, one from an uncapped sample,
303 the other from a capped sample, both annealed to 1050 °C,
304 so the metal layers have reacted to NbO and NbC, respec-
305 tively. There is almost no difference in peak position for
306 both, and both have shifted to a higher binding energy, com-
307 pared to the normal Nb binding energy. For this we have
308 marked the normal position of the Nb 3d_{5/2} peak (the maxi-
309 mum of the right peak) with a full line on the graph. Figure
310 8(b) shows two single C 1s XPS spectra, one coming from a
311 depth where no Nb was present, so where the carbon peak is
312 at its normal position, and one from the reaction zone. It can
313 be seen that the C 1s peak from the reaction zone has shifted
314 towards a lower binding energy than the normal C 1s binding
315 energy of 284.6 eV, so we conclude that a carbide has
316 formed, not an oxide.

317 In our previous work,²⁰ we examined the influence of
318 different capping layers to prevent oxidation. C capping lay-
319 ers of 5 and 30 nm thickness, and TiN layers of 30 nm thick-
320 ness were used. Summarizing, the 30 nm C capping layer
321 should give enough protection against oxygen in the anneal-
322 ing atmosphere. Also, these capping layers each influence the
323 transition temperature to the carbide phase. This was ob-
324 served, e.g., for the Mo–C system, which can also form its
325 carbide phase without a capping layer. We stress that the
326 capping layer should be made right after the metal deposi-
327 tion, without breaking the vacuum.

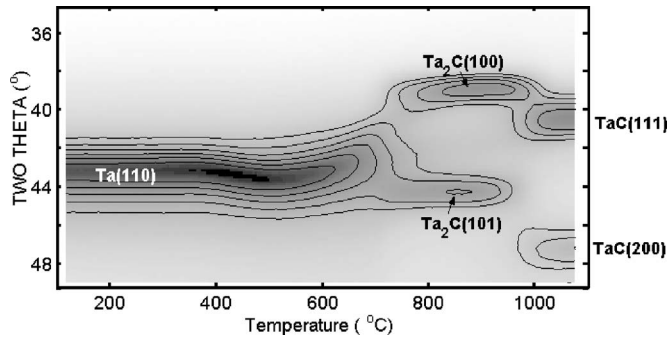


FIG. 10. *In situ* XRD measurements on Ta–C system with C capping layer. The peaks of the different phases are identified. The sample was annealed in He atmosphere from 100 to 1100 °C at 3 °C/s.

TABLE II. Experimental results for the carbide phases, formed through solid-state reaction of thin films. The formation temperature T_{form} was determined from *in situ* XRD measurements, at the standard heating rate of 3 °C/s. Only the formation temperature for samples with 30 nm C capping layer are given in the table. All carbide phases were formed, starting from samples with 30 nm of metal, except for the Ta–C system (marked with *), for which the thickness was less. The activation energy was calculated from *in situ* XRD measurements at different heating rates. The resistivity was measured *ex situ* using a four-point probe on quenched samples. The range of composition (*range of comp'n*) was estimated from the binary phase diagram.

Carbide phase	T_{form} (°C)	E_a (eV)	Resistivity ($\mu\Omega$ cm)	Range of comp'n MC_x ($0 < x \leq 1$)
W ₂ C	920–970	3.74±0.33	114	0.41–0.50
WC	1050–1100	3.23±0.41	300	=1
Mo ₂ C	700–770	3.15±0.27	59	0.47–0.50
Fe ₃ C	510–550	4.05±0.73	180	=0.25
Cr ₂ C	580–620			...
Cr ₃ C _{2-x}	740–770		72	...
Cr ₃ C ₂	900–950		167	0.65–0.66
VC	680–730	2.65±0.24	214	0.74–0.92
Nb ₂ C	690	3.01±0.42	160	0.48–0.49
NbC	880–940	4.08±0.30	107	0.72–0.98
TiC	550–650	1.45±0.84	136	0.70–0.98
Ta ₂ C	690–750*		163	0.41–0.50
TaC	950–1000*		132	0.75–1
HfC	710–810	2.05±0.21	178	0.59–0.96

thinnest metal layer, they observed the phase formation of Ta₂C and TaC for 1 h annealing at 800 and 900 °C, respectively.

G. Zr–C

The *in situ* experiment for the Zr–C system with a capping layer is shown in Fig. 11(a) for $2\theta \approx 35^\circ$ to $\approx 49^\circ$. Around 300 °C a new peak arises at $2\theta \approx 37.3^\circ$, which could be the (100) peak of Zr. On the other hand, Zr₃O has peaks around the same positions of Zr. The formation of this oxide (Zr₂O, ZrO, and ZrO₂ do not have those XRD peaks) seems most plausible, as it is unlikely for a certain diffraction peak to appear and disappear again. Also, in the binary phase diagram of the Zr–O system Zr₃O is named α_3'' Zr, indicating its close relationship to α -Zr. The Zr₃O seems to disappear again in favor of the metal, which in turn transforms to a next phase with peaks around $2\theta \approx 38.5^\circ$ and $\approx 44.3^\circ$. Around those 2θ values, both ZrO and ZrC have their (111) and (200) diffraction peaks. Therefore, XPS profiling should be used to determine whether an oxide or a carbide has formed. In Fig. 11(b) a depth profile on a sample annealed to 1100 °C is shown, and one can observe the high oxygen concentration in the layer, so the formation of ZrO is most probable. Inspecting the XPS C 1s spectra, a very small shift towards the “carbide” position was observed, as can be seen on the inset of Fig. 11(b), where the shoulder has been marked. We therefore conclude that a small amount of carbide has formed, but that oxidation of Zr was predominant, in spite of the presence of a capping layer.

tively, the (222) and (400) peaks of a VC phase. From 680 to 730 °C this phase is formed and remains stable up to higher temperatures. *Ex situ* XRD identified the phase as VC_{0.845}. The activation energy for samples with a 30 nm C capping layer was determined as $E_a^{\text{VC}} = 2.65 \pm 0.38$ eV ($R = 0.9709$).

The shift of the metal peak towards lower 2θ values hints at the formation of an oxide, as explained above. However, an XPS measurement on a sample quenched at 1100 °C confirmed the formation of the monocarbide phase VC. For a sample quenched at 600 °C, a higher oxygen content was measured. Examination of the single spectra of the O 1s and C 1s peaks suggest that at this temperature, there already is some carbide formation, but it is mixed with an oxide. The O 1s peak was not present for the sample quenched at 1100 °C.

F. Ta–C

The Ta–C system exhibits similar oxidation problems as the Nb–C system, so a capping layer should be used to form a carbide phase. Figure 10 shows an *in situ* XRD measurement where the Ta(110) peak disappears, and the Ta₂C(100) and Ta₂C(110) peaks appear at $2\theta \approx 39^\circ$ and $\approx 44.8^\circ$, respectively. This transformation occurs between 690 and 750 °C. Between 950 and 1000 °C the metal-rich carbide phase forms the monocarbide TaC with (111) and (200) peaks at $2\theta \approx 40.9^\circ$ and $\approx 47.6^\circ$, respectively. XPS measurements confirm the formation of the monocarbide TaC. Although the phase sequence is correct, the formation temperatures given here are lower than they would be for a Ta–C system with 30 nm of metal. Measurements following the *in situ* experiment showed that the thickness calibration was off for this system, and a smaller layer of Ta was deposited (and examined). *Ex situ* measurements on samples with a corrected sample (30 nm Ta on 200 nm C, with 30 nm C capping layer) show the same phases forming, although the transition temperatures are higher. Unfortunately, *in situ* experiments were not available for this corrected sample. Because of this, the results for the thinner Ta–C sample are marked with a star in Table II.

Chen *et al.*³⁴ reported on the thermal reaction of Ta thin films (60–135 nm) with polycrystalline diamond. For the

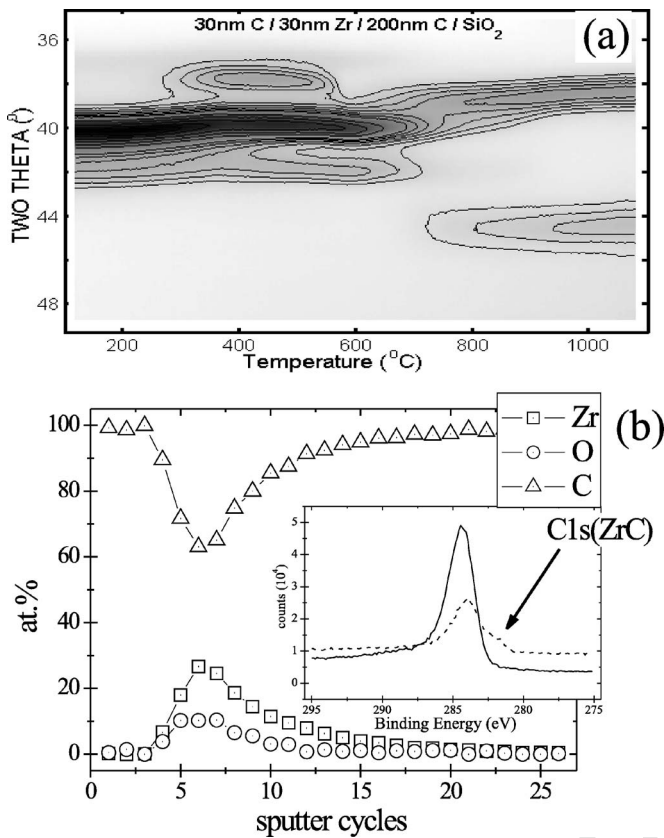


FIG. 11. (a) *In situ* XRD measurements on Zr-C system with C capping layer. The sample was annealed in He atmosphere from 100 to 1100 °C at 3 °C/s. (b) XPS depth profile on the same sample, after annealing. The inset shows XPS C 1s spectra, where a small peak at the “carbide” position hints some formation of ZrC.

In order to make the current report self-contained, we give an overview of the results, and include the activation energy for the TiC formation.

The Ti-C system is sensitive to oxidation (similar to Nb-C), and the formation of TiC occurred between 550 and 650 °C for samples with a 30 nm C capping layer. An activation energy $E_a = 1.45 \pm 0.81$ eV ($R = 0.7189$) was calculated.

The Mo-C system is less sensitive to oxidation. The transition to the Mo₂C phase was observed between 830 and 900 °C (no capping layer). Different capping layers were used, and the formation temperature varied significantly: $T_f^{5\text{ nm C}} = 730\text{--}800$ °C, $T_f^{30\text{ nm C}} = 700\text{--}770$ °C, and $T_f^{30\text{ nm TiN}} = 750\text{--}830$ °C. An activation energy was obtained for the Mo-C system with a 30 nm C capping layer, $E_a = 3.15 \pm 0.23$ eV ($R = 0.9968$).

IV. DISCUSSION

As mentioned before, the formation of carbides from thin films of metal and carbon is extremely sensitive to oxidation. We found that W, Mo, Fe, Cr, and V form a carbide, even when no capping layer is applied. The others (Nb, Ti, Ta, and Hf), however, need the C capping layer, or an oxide will form, instead of a carbide. As an example, this was discussed for the Nb-C system, and shown in Fig. 5. In Table III the elements are arranged with decreasing electronegativity. The metals last mentioned here (Nb to Hf) have a lower electronegativity compared to, e.g., W and Mo, so they have a greater “natural attraction” towards oxygen (which has an electronegativity of 3.44). So, one expects that these metals have a bigger chance of forming an oxide rather than a carbide. Cr and V are actually in between the two groups (W, Mo, and Fe versus Nb, Ti, Ta, and Hf), which may explain why they tend to first form an oxide, but eventually the carbide phase governs the solid-state transformation. Mn and Zr are exceptions, as we were not able to form a carbide phase for these metals. We must mention that if one would be taking more severe steps to eliminate the presence of oxygen than was done in our work (such as UHV deposition and/or UHV annealing), the necessity of the capping layer might disappear.

When looking at the binary phase diagrams,¹⁷ the first group has carbide phases with a very narrow range of composition (observed as a single vertical line in the phase diagram): W, Mo, Fe, Cr, and Mn. The second group (V, Nb, Ti, Ta, Zr, and Hf) has carbide phases which can be stable within a certain range of carbon concentration. The ranges of composition listed in Table II are estimated from the binary phase diagrams. This broad range of composition of the carbide phase will reflect itself in a change of certain physical properties of the carbide, as C vacancies will act as powerful scattering centers for electrons and phonons. Within the range, there will be a variation of several properties: the lattice parameters and density,³⁵ Hall coefficient,³⁶ transition temperature to the superconducting state,³⁷ coefficient of thermal expansion, magnetic susceptibility, heat of formation, and (technologically important for microelectronics) the

412 H. Hf-C

The formation of the monocarbide HfC during the anneal of a 30 nm Hf layer with a 30 nm C capping layer, on an amorphous 200 nm C layer, can be seen in Fig. 12. Starting around 710 °C, the (111) and (200) peaks of HfC appear and around 810 °C the transformation is complete. The capping layer is necessary to form the carbide. From *in situ* XRD measurements at different ramp rates, we have determined the activation energy for the HfC formation as $E_a^{\text{HfC}} = 2.05 \pm 0.19$ eV ($R = 0.9749$).

422 I. Ti-C and Mo-C

The thin film solid-state formation of titanium carbide and molybdenum carbide is discussed in a previous paper.²⁰

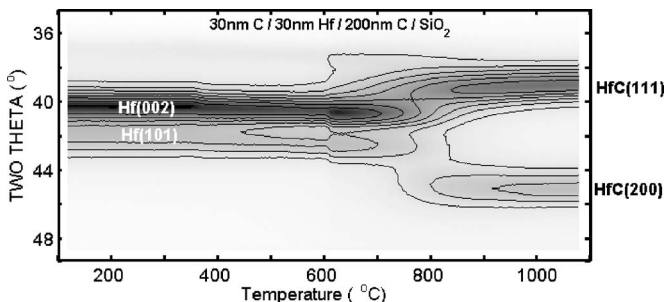


FIG. 12. *In situ* XRD pattern of 30 nm Hf on 200 nm C on SiO₂ with 30 nm of C as capping layer. $2\theta \approx 35^\circ$ to $\approx 49^\circ$, annealed in He atmosphere from 100 to 1100 °C at 3 °C/s.

TABLE III. The transition metals studied in this work, sorted by electronegativity. The phase sequence for the solid-state reaction are listed in order of appearance. The last two columns indicate for which materials a capping layer is absolutely necessary to obtain carbide formation.

Metal	Electronegativity	Phases	TF-Exp without cap	TF-Exp with cap
W	2.36	W ₂ C, WC	Carbide	Carbide
Mo	2.16	Mo ₂ C	Carbide	Carbide
Fe	1.83	Fe ₃ C	Carbide	Carbide
Cr	1.66	Cr ₂ C, Cr ₃ C _{2-x} , Cr ₃ C ₂	Oxide-carbide	Oxide-carbide
V	1.63	VC	Oxide-carbide	Oxide-carbide
Nb	1.60	Nb ₂ C, NbC	Oxide	Carbide
Mn	1.55		Oxide	Oxide
Ti	1.54	TiC	Oxide	Carbide
Ta	1.5	Ta ₂ C, TaC	Oxide	Carbide
Zr	1.33	Zr ₃ O, ZrO	Oxide	Oxide
Hf	1.3	HfC	Oxide	Carbide

481 resistivity.¹⁰ It is clear that one has to take this into account,
482 when searching for reliable contacts for semiconductors.

483 Considering the kinetics of the reaction, one can learn
484 from literature that the reaction for carbide formation is dif-
485 fusion controlled, e.g., this was reported for TiC by Peng and
486 Clyne³⁸ and for Mo₂C by Mikahilov *et al.*³⁹ Therefore, dif-
487 fusion of one of the species through the growing film will be
488 the rate-controlling mechanism for the reaction. The graphite
489 formation on top of the carbide layer (for Fe-C and Cr-C)
490 supports the concept that the small carbon atoms are diffus-
491 ing fast through the metal (or carbide). Woodford and
492 Chang⁴⁰ evidenced that carbon is the only species undergo-
493 ing significant diffusion in the Nb-C system.

494 If one compares the range of composition and the acti-
495 vation energies for the different carbide phases, one would
496 intuitively try to correlate both. One would expect that C
497 atoms will move more easily through a layer with more va-
498 ancies, which may explain the lower activation energy.
499 Many authors^{40,41} pose that the activation energy for diffu-
500 sion is independent of the composition. Others^{30,32,42} have
501 shown that different carbon diffusion mechanisms are active,
502 and the dominance of a particular mechanism is dependent
503 on the composition and on the temperature range. Due to
504 these different diffusion mechanisms, a variation in the acti-
505 vation energy may occur. Yu and Davis found a different
506 activation energy for single crystals of NbC_{0.868} and
507 NbC_{0.834}, compared to the activation energy for NbC_{0.766}.
508 This difference was attributed to the presence of a different
509 diffusion mechanism. For NbC_x systems with $x > 0.766$, the
510 carbon atom jumps to a vacant octahedral site in the $\langle 111 \rangle$
511 direction by a two-step process, called the *O-T-O mecha-*
512 *nism*: first, a shorter $\langle 111 \rangle$ jump to an unoccupied tetrahedral
513 site on the Nb sublattice, followed by an immediate $\langle 111 \rangle$
514 jump to a vacant octahedral site (i.e., a vacant site on the C
515 sublattice). As the carbon concentration increases, the char-
516 acter of bonding in the material changes, which results in an
517 electronic charge distribution most readily passed by C at-
518 oms jumping in the $\langle 111 \rangle$ direction. For lower carbon con-
519 centrations, the *transient divacancy mechanism* is favored,
520 where the carbon atom jumps to the vacant octahedral site by
521 way of a normally occupied metal vacancy, which has mo-
522 mentarily become associated with the carbon vacancy.

523 Overviewing our results for the activation energies, we
524 see that the results for TiC, HfC, VC, Nb₂C, Mo₂C, and WC
525 are in the same range of the results of Yu and Davis³² that
526 were correlated to the *O-T-O mechanism*, while the other
527 results (W₂C, Fe₃C, and NbC) show higher activation ener-
528 gies that correlate better with the *transient divacancy mecha-*
529 *nism*. However, one would have to look at the charge densi-
530 ties of the different compounds, in order to get an idea of the
531 most favored diffusion path, to correlate the activation en-
532 ergy to a certain diffusion mechanism.

533 We stress, however, that other influences such as grain
534 boundary diffusion probably play a role in the diffusion.
535 Barzilai *et al.*⁴³ wrote that the activation energy values for
536 carbon diffusion in thin layers differ greatly from those ob-
537 tained for bulk materials, and they address their difference in
538 values to the microporosity of the film. It is also well known
539 that the activation energy at grain boundaries (or defects) is
540 different than in bulk material. For carbide formation, the
541 work of Yeh *et al.*⁴⁴ and Hatano *et al.*⁴⁵ give evidence for this
542 difference. So from this all, one can conclude that grain
543 boundaries and dislocations will play an important role in
544 our growth of carbides. Furthermore, the influence of impu-
545 rities such as oxygen in the (surface) layer, but also nitrogen
546 or other metals, will also have its influence on the diffusion,
547 and hence the activation energy. Matzke⁴² writes that defect
548 concentrations are often impurity controlled and that diffu-
549 sion is governed by impurity-defect interactions.

550 As mentioned in the introduction, several criteria should
551 be used to select the best candidate as electric contact to
552 carbon-containing semiconductors. Firstly, the most carbon-
553 rich carbides are thermodynamically stable in contact with
554 an infinite carbon supply. Secondly, one has to take into ac-
555 count the sensitivity towards oxidation, so W, Mo, Fe, Cr,
556 and V come first to mind as promising candidates. They do
557 not have the necessity of a capping layer, which facilitates
558 the fabrication of the contact. Cr and V first form oxides,
559 which may cause problems when more oxygen is available
560 during processing. From the remaining candidates, Mo₂C
561 and Fe₃C have relatively low formation temperatures (W₂C
562 not), which is quite favorable. However, Fe₃C tends to seg-
563 regate a graphite layer, which may interfere with the device

564 operation. Mo₂C thus appears as a primary candidate for
 565 contacting carbon-containing semiconductors.
 566 Our work focused on the formation of carbides. For
 567 practical application as a contacting material to carbon con-
 568 taining semiconductors, the electrical nature of the carbide-
 569 semiconductor interface will also be important. Unfortu-
 570 nately, the use of amorphous carbon substrates during most
 571 of our experiments did not allow for an investigation of the
 572 contact resistance. Undoubtedly, the contact resistance will
 573 vary depending on the semiconductor that is used (SiC, dia-
 574 mond, carbon nanotubes,...). For contacts to diamond,
 575 Nakanishi *et al.*⁴⁶ reported electrical measurements for Mo
 576 contacts to boron-doped polycrystalline diamond films. For
 577 diamond with a resistivity of 13 Ω cm, they found a contact
 578 resistance of ~10⁻² Ω cm² for as-deposited Mo. Annealing
 579 of this sample for 60 min at 600 °C resulted in the formation
 580 of Mo₂C and in an improved contact resistance of
 581 ~10⁻³ Ω cm². For diamond with a resistivity of 0.08 Ω cm,
 582 annealed Mo films resulted in a contact resistance of
 583 ~10⁻⁶ Ω cm². They also report that the Mo₂C contacts were
 584 thermally stable and did not deteriorate after annealing at
 585 600 °C for 3 h.

586 V. CONCLUSION

587 According to the binary phase diagrams, only 13 transi-
 588 tion metals form a stable carbide phase and in this work 11
 589 of these were investigated in their thin film solid-state reac-
 590 tion with carbon. We found that nine of these thin film tran-
 591 sition metal-carbon systems (W, Mo, Fe, Cr, V, Nb, Ti, Ta,
 592 and Hf) form stable carbide phases through a solid-state re-
 593 action with carbon. The necessity of a capping layer for Nb,
 594 Ti, Ta, and Hf was discussed. Despite the capping layers, the
 595 Mn-C and Zr-C systems did not form carbide phases. The
 596 different phases of the solid-state reaction and their transition
 597 temperature have been identified using *in situ* XRD, and for
 598 some of the phases an activation energy was obtained with
 599 kinetic studies, and resistivities of the carbide phases were
 600 measured *ex situ*. The results are summarized in Table II. We
 601 also mention the unexpected phenomenon of graphite forma-
 602 tion on top of the carbide phase, for the Fe-C and the Cr-C
 603 systems.

604 ACKNOWLEDGMENTS

605 The authors would like to thank R. A. Carruthers for thin
 606 film deposition, and J. Jordan-Sweet for help with the XRD
 607 beamline. The synchrotron XRD experiments were con-
 608 ducted under DOE Contract No. DE-AC02-76CH-00016.
 609 One of the authors (C.D.) acknowledges the Nationaal Fonds
 610 voor Wetenschappelijk Onderzoek-Vlaanderen for financial
 611 support.

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