An Asymmetric High Serial Rate TDM-PON With Single Carrier 25 Gb/s Upstream and 50 Gb/s Downstream

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(Top-Scoring Paper)

Abstract—We report a 2:1 rate asymmetric high serial rate time division multiplexing passive optical network (TDM-PON) with single carrier 25 Gb/s upstream and 50 Gb/s downstream. In the upstream, we present a first 25 Gb/s three-level modulated burstmode receiver employing a 1/4-rate linear burst-mode avalanch photodiode transimpedance amplifier and a custom decoder IC. We successfully demonstrated burst-mode sensitivity of -20.4 dBm with 18 dB dynamic burst-to-burst for 25 Gb/s upstream links. In another direction, a downstream in upper O-band is proposed and demonstrated with three-level duo-binary modulation at 50 Gb/s in real time. The upstream and downstream transmission experiments show that the proposed asymmetric 50 G/25 G high serial rate TDM-PON can support ≥32 users while covering more than 20 km reach.

Index Terms—Burst-mode receiver (BM-RX), high serial rate passive optical networks (PONs), time division multiplexing passive optical networks (TDM-PONs), 3-level duo-binary.

I. INTRODUCTION

CCESS network infrastructure plays a key role in fulfilling the ever-increasing traffic demand and emerging service requirement. Among those solutions, passive optical networks (PONs) are being widely recognized as the most costeffective technology for access networks. Various time division multiplexing PON (TDM-PON) standards, e.g., GPON and GE-PON, have been proposed by two standard bodies, International Telecommunications Union (ITU) and Institute of Electrical and Electronics Engineers (IEEE) respectively. Both standardization efforts were recently endorsed by the concurrent development of innovative downstream/upstream physical media dependent (PMD) keystone components up to a line rate of 10 Gb/s.

Manuscript received July 15, 2015; revised October 3, 2015; accepted November 2, 2015. Date of publication November 12, 2015; date of current version February 5, 2016. This work was supported by the European Union FP7 under Grant 318137 (Collaborative project DISCUS), IWT, Special Research Fund of Ghent University.

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Digital Object Identifier 10.1109/JLT.2015.2500577

Due to technology and cost challenges associated with further increasing the serial date rate, current NG-PON2 [1] standard from ITU focuses the development on a time and wavelength division multiplexed PON, i.e., by basically stacking 10 Gb/s XG-PONs [2] at 4 or 8 wavelengths. Still, a low-cost single-wavelength upstream beyond 10 Gb/s has the potential to serve as a per-wavelength upgrade path for NG-PON2, in line with recent research on high serial rate downstream for PONs [3]–[5]. However, most of the high serial rate TDM-PON research up to now has focused on downstream-only transmission in C-band, also requiring costly dispersion compensation elements or digital signal processing (DSP) in offline processing.

This paper is an invited extension of our work presented in OFC 2015 [6]. Section II describes the proposed 2:1 rate asymmetric high serial rate TDM-PON with single carrier 25 Gb/s upstream and 50 Gb/s downstream. In Section III, we present a 25 Gb/s three-level modulated burst-mode receiver (BM-RX) for high serial rate TDM-PONs. The proposed 25 Gb/s BM-RX relaxes the bandwidth requirement on optical components, esp. the high-speed avalanch photodiodes (APDs), and can support a high loss budget of more than 25 dB in the upstream.

Section IV reports more details on the PON downstream. In downstream direction, we proposed a 50 Gb/s three-level duobinary downstream in upper O-band (1330–1360 nm) for a 2:1 rate asymmetric PON configuration. With a linear downstream optical receiver and the three-level duo-binary decoder IC, real-time measurement at 50 Gb/s were performed and a loss budget margin of 17.8 dB (≥32-way power split) has been achieved with 21 km fiber for downstream direction. The possibility of applying bit-interleaving (BI) protocol to 50 Gb/s three-level downstream has been discussed as well. Finally Section V concludes the paper.

II. PROPOSED HIGH SERIAL RATE TDM-PON

The concept of the proposed high serial rate TDM-PON is shown in Fig. 1. Multiple optical network units (ONUs) at the customer sides share the fiber plant and an optical line terminal (OLT) located at the central office.

Because the bandwidth demanding of common high rate services (e.g. digital broadcasting, video on demand, files downloading, etc.) are asymmetric, the proposed TDM-PON has asymmetric high serial upstream/downstream rates: single

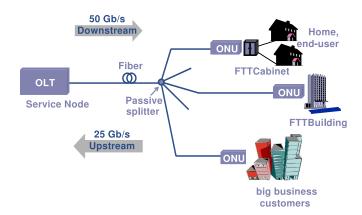


Fig. 1. Proposed asymmetric high serial rate TDM-PON.

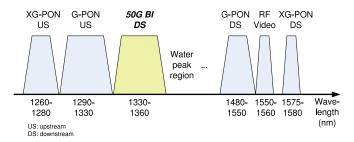


Fig. 2. Proposed 50 Gb/s downstream wavelength arrangement.

carrier 25 Gb/s upstream and 50 Gb/s downstream. In upstream, we propose a 25 Gb/s three-level modulated burst-mode transmission, which relaxes the bandwidth requirement and enables the possibility of using widely available 10 Gb/s APDs. Instead of using non-return to zero (NRZ) format, 3-level BM detection is proposed by employing a 1/4-rate APD-based linear BM trans-impedance amplifier (TIA) and a custom duo-binary decoder chip. Also, we assume that upstream could re-use O-band as multi-rate BM-RXs have been previously demonstrated from different research groups [7]–[9].

In downstream, in order to upgrade the line rate from current 10 Gb/s to a single-carrier 50 Gb/s, several technical challenges at 5× higher rate need to be addressed, such as high-speed electronics, the need for more optical power to maintain signalto-noise ratio, and increasing chromatic dispersion (CD) tolerance. To alleviate the CD penalty and component speed requirement, we propose a three-level duo-binary modulation scheme operating in upper O-band (1330-1360 nm) for the 50 Gb/s downstream. As shown in Fig. 2, the proposed wavelength band fits well with the assigned wavelength bands of (X)G-PON upstream and downstream, allowing backward compatibility with the existing PON systems. In the experiment, because APDs with high bandwidth are not yet commercially available for 50 Gb/s, we could use a semiconductor optical amplifier (SOA) with a PIN receiver at the ONU for this wavelength range. The compact size and integrability of the SOA [10] makes it a suitable candidate for the use as optical preamplifiers in ONU. The proposed downstream scheme reduces the downstream channel bandwidth requirement to \sim 15 GHz. Unlike optical duo-binary modulation [11], this enables a lower-bandwidth SOA-PIN receiver employed at the ONU, reducing the cost and power consumption. As shown by the simulation in [3], the narrowed

bandwidth can further improve the CD tolerance and receiver sensitivity of the high serial rate downstream.

III. 25 GB/S PON UPSTREAM WITH THREE-LEVEL MODULATED BM-RX

In parallel with the PON standardization evolution in ITU and IEEE, upstream BM-RX, the most critical PMD component of PON systems, have been recently developed up to 10 Gb/s [12][13]. Further increase in upstream data rate becomes challenging due to limitations in the availability, cost and performance of optical components. For instance, while APDs have been used extensively in 10 G-class PONs to improve the receiver sensitivity, high-speed 25 Gb/s APD devices are still not widely available as low-cost 10 Gb/s APDs. Therefore, we proposed a three-level BM detection scheme by employing a 1/4-rate APD-based linear BM-TIA and a custom duo-binary decoder chip. The proposed 25 Gb/s BM-RX relaxes the bandwidth requirement on the optical components and permits a better transmission performance compared to a bandwidth-limited NRZ upstream.

A. 25 Gb/s Three-Level Modulated BM-RX

Fig. 3 shows the block diagram of the proposed 25 Gb/s BM-RX. The BM-RX consists of two blocks: a linear BM APD-TIA and a three-level duo-binary decoder IC. Both blocks were designed and fabricated in a 0.13 μ m SiGe BiCMOS process. The linear BM-TIA is based on the design in [14] but with a transimpedance gain adapted for the decoder IC and a fine-tuned bandwidth (one-fourth of the upstream data rate). The 1/4-rate small-signal bandwidth and linear operation are required for optimal three-level signal generation. The linear BM-TIA consists of a core TIA TIAc, a dummy TIA TIAd, a variable gain amplifier (VGA), and a linear output stage. At the start of each burst, the automatic gain control (AGC) senses the output amplitude of the TIAc core and set its transimpedance gain within 10 ns. The linear BM-TIA also performs a fast offset compensation process. This minimizes the DC offset in the output three-level signal, and helps reduce the settling time of the decoder IC. The output of the linear BM-TIA is a three-level current-mode signal and ac-coupled to the three-level decoder IC.

The subsequent custom decoder IC combines a three-level signal decoding function and a 1 to 4 deserializer. It first amplifies the input signal linearly to partly compensate the internal loss of the RF splitter. After the splitter the upper and lower eyes are separated and amplified to their logic levels by two limiting amplifiers (LA), with two different threshold levels $V_{\rm TH1}$ and $V_{\rm TH2}$. No automatic threshold feedback has been realized in this first version decoder IC, so a manual threshold control was implemented instead. The upper and lower logic signals then enter a high-speed digital XOR gate to regenerate the data in NRZ format. Finally the received NRZ data is deserialized into four current model logic (CML) outputs for interfacing with lower-speed components off-chip, e.g. FPGAs.

B. Upstream Experimental Setup and Results

The performance of the three-level APD BM-RX was evaluated using the 25 Gb/s experimental set-up as shown in Fig. 4.

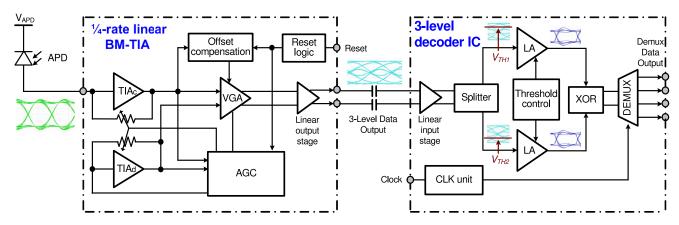


Fig. 3. Block diagram of the 25 Gb/s three-level modulated BM-RX.

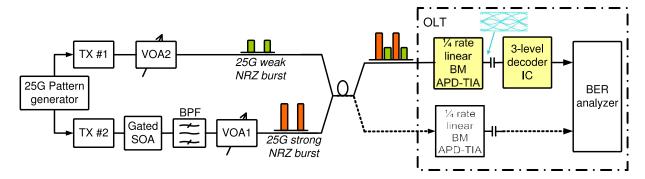


Fig. 4. Upstream experimental setup.

Two 1.3 μ m burst-mode transmitters (BM-TXs) named TX #1 and TX #2, are alternately sending burst packets. The BM-TX consists of a 1310 nm electro-absorption modulated laser, a RF amplifier with a 20 GHz low-pass filter (LPF) and a switching SOA. The switching SOA circuit is designed for fast burst operation and turn-on/turn-off time is \sim 5 ns. At the OLT, the linear BM APD-TIA was integrated with the three-level decoder IC and the upstream BER was measured at one of the four CML output channels at 6.25 Gb/s. Finally two branches of BM-TXs were built to emulate the worst TDMA scenario: a strong burst followed by a weak burst with a short guard time. The output optical power of the two BM-TXs can be adjusted by two variable optical attenuators (VOAs), namely VOA #1 and VOA #2 for, respectively, TX #1 and TX #2. A gated SOA was used to increase the optical output power of the TX #2 to +5 dBm, in order to generate a sufficiently large loud/soft ratio for this experiment. A 10 nm coarse wavelength division multiplexing (CWDM) filter was used to remove out-of-band amplified spontaneous emission (ASE) noise from the SOA. The two TX outputs are combined by a 2×2 splitter, and fed to the BM-RX.

To generate three-level modulation, the linear BM-TIA should have a bandwidth of roughly one-fourth of the data rate, i.e., ~6.25 GHz for 25 Gb/s. We measured the differential O/E response of the linear BM APD-TIA assembly with a lightwave analyzer and it shows a 3 dB bandwidth of 6.7 GHz. The measured BM-TIA S21 response is plotted in Fig. 5, together with simulated frequency response of cascading a digital duobinary encoder and a fourth-order half-rate Bessel LPF. Both frequency

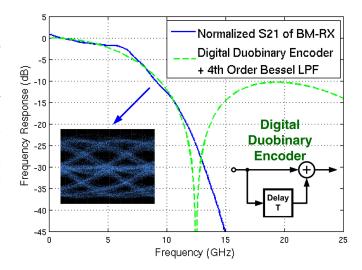


Fig. 5. Measured BM-RX RF frequency response compared with ideal duobinary generation filter.

responses agree nicely in the roll-off shape up to the Nyquist frequency. The measured 25 Gb/s eye-diagram (Fig. 5) at the output of the BM-TIA shows clearly the three-level signal with two open eyes. Fig. 6(a) shows the measured received bursts and the output signal of the BM APD-TIA. The applied 25 Gb/s burst packets consist of a 245 ns preamble and a 1800 ns payload. Due to the limitation of the current setup (without duobinary precoder), $2^7 - 1$ pseudo random bit sequence (PRBS) patterns

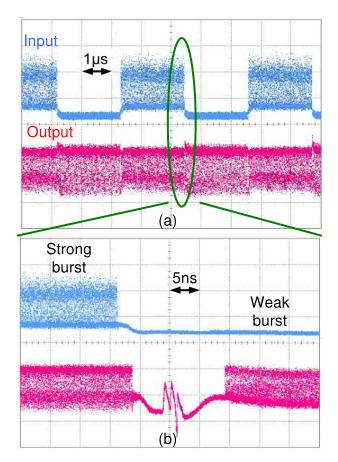


Fig. 6. (a) Input and output waveforms of the BM APD-TIA. (b) Waveforms within zoomed-in time scale.

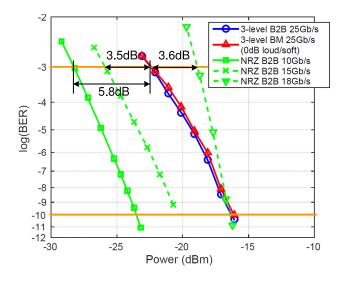


Fig. 7. Comparison of B2B BER between NRZ and 25 Gb/s three-level modulation.

was used. The guard time between bursts is set to 15 ns. As shown in Fig. 6(b) the received weak burst following a strong burst has been recovered within ~ 10 ns.

The measured back-to-back (B2B) and BM BER curves are presented in Fig. 7. The APD multiplication factor M was set to \sim 7. The linear BM APD-TIA was initially characterized with a

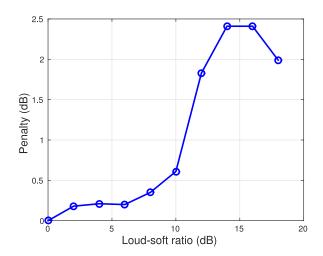


Fig. 8. BM loud-soft penalty of 25 Gb/s three-level modulation.

NRZ signal in a B2B configuration for various data rates. The BM APD-TIA cannot directly support 25 Gb/s NRZ without equalization. We measured the BER curves for 10, 15 and 18 Gb/s NRZ, and we found that there was \sim 9.4 dB penalty at 18 Gb/s with respect to the 10 Gb/s case for a pre-FEC BER of 1×10^{-3} . We then measured the BER performance of the proposed three-level modulation in both B2B and BM scenarios. The measured 25 Gb/s B2B sensitivity at a pre-FEC BER of 1×10^{-3} is -22.4 dBm and the sensitivity at a BER of 1×10^{-10} is -16.3 dBm. We took the pre-FEC threshold of $\sim 1 \times 10^{-3}$ as the reference because FEC coding is mandatory in NG-PON2 systems in order to maintain its compatibility with existing ODNs. With respect to 18 Gb/s NRZ transmission, the 25 Gb/s three-level detection experiences a sensitivity improvement of 3.6 dB, which is primarily explained by the reduced 18 Gb/s NRZ eye openings due to the higher bandwidth requirement. Finally, with two branches of BM-TXs, the BM-RX sensitivity measured on the weak packet emitted by BM-TX #1 was -22.4 dBm with a static optical power level (0 dB loud/soft). The BM-RX was also assessed in different loud/soft ratios and the measured BM-RX penalties due to the preceding loud burst are shown in Fig. 8. There was <0.6 dB penalty for a loud/soft ratio up to 10 dB. The BM penalty was 2 dB for the maximum loud/soft ratio of 18 dB. The bend of the penalty for very large loud/soft ratios may attribute to the reduced BM-TIA gain in this case (controlled by the AGC circuit), exerting less influence to the weak burst followed. We also measured the proposed threelevel upstream performance with various length of fiber up to 31 km, and there was no noticeable power penalty observed.

IV. SINGLE CARRIER 50 GB/S PON DOWNSTREAM

A. Downstream Experimental Setup and Results

The downstream experimental setup, as shown in Fig. 9, consists of an OLT 50 Gb/s TX, transmission fiber, an VOA and an ONU 50 Gb/s three-level duo-binary RX. The OLT downstream TX employed an O-band LiNbO₃ intensity Mach–Zehnder modulator (MZM), which was driven by an RF amplifier with a 50 Gb/s $2^7 - 1$ PRBS NRZ signal. A 1335 nm

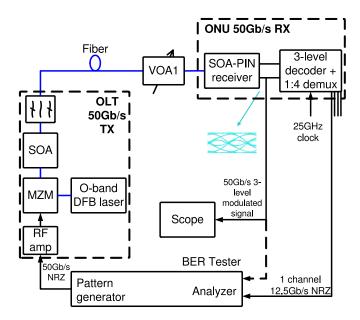


Fig. 9. Downstream experimental setup.

continuous-wave laser was connected to the input of the MZM. At the output of the MZM, we used an SOA to boost the lauched TX output power to +6 dBm and a CWDM filter was also used to remove out-of-band ASE noise from the SOA. In the ONU, an SOA-preamplified PIN-RX has been used as the front-end for the three-level decoder IC. The PIN-RX is a 25–40 Gb/s linear optical receiver with configurable transimpedance gain and bandwidth [15], [16]. It has been configured to \sim 20 GHz operational mode in the downstream experiments. After three-level duo-binary decoding, one of the four de-multiplexed outputs at 12.5 Gb/s was fed into a BER analyzer for real-time BER measurement. As bit errors are randomly distributed in the downstream received data, this BER performance is virtually identical among four de-multiplexed outputs.

To compare the performance, we first evaluated the BER in B2B configuration for both NRZ and proposed three-level duobinary modulation. Fig. 10 shows the measured BER curves for 30 Gb/s NRZ, 35 Gb/s NRZ, 40 Gb/s NRZ, 42 Gb/s NRZ, and 50 Gb/s three-level duo-binary. The optical power shown here is measured at the input of the SOA-PIN Rx (after the VOA). As shown in Fig. 10, NRZ signaling works relatively well when the downstream rate is less than 35 Gb/s. For higher rate NRZ, we noted a decreased performance due to the intersymbol interference, which introduces a large penalty of 3.4 dB at 40 Gb/s with respect to the 35 Gb/s case for a pre-FEC BER of 1×10^{-3} . Furthermore, a BER floor appeared at around $1 \times$ 10^{-6} for the 42 Gb/s NRZ case, and above 44 Gb/s we could not reach BER threshold of 1×10^{-3} . On the other hand, we were able to receive the downstream at 50 Gb/s by employing threelevel duo-binary downstream scheme. The measured receiver sensitivity at BER = 1×10^{-3} was -20.2 dBm and obtained BER performance was comparable to the results of 40 Gb/s NRZ.

Next, we have transmitted the 50 Gb/s three-level duobinary over various fibers up to 31 km to evaluate the downstream transmission performance. Fig. 11 shows the measured

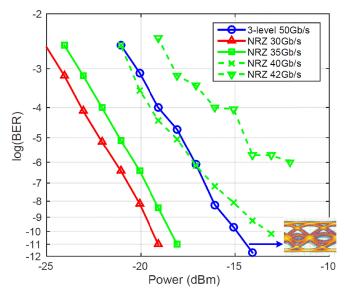


Fig. 10. Downstream BER measurement results in B2B configuration (the figure inset is the 50 Gb/s three-level duo-binary eye-diagram measured at the SOA-PIN Rx output).

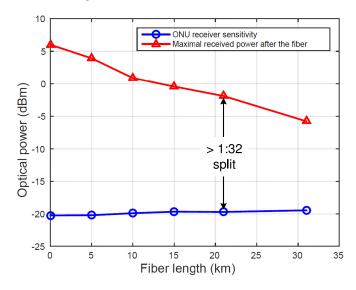


Fig. 11. 50 Gb/s three-level duo-binary downstream transmission performance over optical fiber.

sensitivity at BER $= 1 \times 10^{-3}$ versus different fiber lengths. Maximal power penalty of 0.8 dB penalty was found with 31 km standard single-mode fiber (SSMF) in the transmission experiments. We also plotted the measured maximal input power at the input of the SOA-PIN Rx in Fig. 11. For 21 km transmission distance, the resulting optical power margin of 17.8 dBm is more than enough for supporting 1:32 split in ODNs.

B. Bit-Interleaving Protocol in Downstream

One important practical issue for high serial rate PON downstream is the power consumption of the ONU. Traditionally, to support a higher instantaneous bandwidth demand, service providers are forced to upgrade their networks with higher capacity equipment. However power consumption of a conventional network element is directly proportional to its line rate

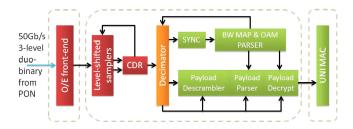


Fig. 12. Conceptual illustration of an ONU receiver supporting three-level duo-binary and BI protocol.

and capacity, therefore, higher capacity line cards/equipment will inevitably consume more power. The use of a sleep-mode protocol [17] may help alleviate the problem, but it incurs additional network latency and packet delay.

Considering the vast amount of subscribers, power consumption reduction in the ONU is of major importance, esp. for high rate TDM-PONs. As reported previously [18], a clock-and-data recovery (CDR) IC implementing BI protocol offers unique benefits for lowering the power consumption of a ONU by an order of magnitude. To support a higher serial rate PON downstream, [19] describes the extended architecture and circuit building blocks of the BI-CDR to upgrade the BI downstream to 4x higher rate for NRZ signal.

Our future research will study how to combine the three-level duo-binary and BI protocol for the proposed 50 Gb/s downstream. One conceptual block-diagram of such ONU receivers is illustrated in Fig. 12. In this diagram, the required threelevel duo-binary decoding function is naturally integrated into a BI-CDR IC. The level-shift samplers will sample the incoming three-level duo-binary signal with different threshold levels. The sampled edge and bit will enter the CDR logic which in turn controls the sampling phase. In every frame period, the three-level BiCDR decimates and offsets the downstream payload reception based on the BI header information. After the decimator, the throughput of the data will be effectively reduced. Only a small portion of the ONU (colored red in Fig. 12), such as the opto-electrical (O/E) front-end, the level-shifted sampler and the CDR blocks, operates at the aggregated downstream linerate. Meanwhile, the remaining building blocks can operate at a much lower user rate, allowing substantial power reduction in 50 Gb/s three-level duo-binary ONUs.

V. CONCLUSION

We presented, for the first time, a 2:1 rate asymmetric high serial rate TDM-PON with single carrier 25 Gb/s upstream and 50 Gb/s downstream. Using a low-cost 1/4-rate linear BM APD-TIA and a custom decoder IC, the 25 Gb/s OLT BM-RX achieved an excellent RX sensitivity of -22.4 dBm without optical pre-amplifier or power-hungry DSP. In the worst case of 18 dB power difference between strong and weak bursts, we successfully demonstrated BM sensitivity of -20.4 dBm and a loss budget of 25.0 dB. In addition, we proposed and demonstrated a 50 Gb/s three-level duo-binary downstream in upper O-band for a 2:1 rate asymmetric PON configuration. A downstream loss budget of 26.2 dB has been achieved in B2B and 50 Gb/s

downstream transmission with 31 km SSMF was successfully demonstrated in real-time.

ACKNOWLEDGMENT

The authors would like to thank Sumitomo Electric Devices Innovations, Inc., for their professional linear BM APD-TIA Assembly.

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