

1 The temporal dimension of differenced Normalized Burn Ratio (dNBR) fire/burn severity  
2 studies: the case of the large 2007 Peloponnese wildfires in Greece

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## 9 **Abstract**

10 The temporal dimension of differenced Normalized Burn Ratio (dNBR) fire/burn severity  
11 studies was studied for the case of the large 2007 Peloponnese wildfires in Greece. Fire  
12 severity is defined as the degree of environmental change as measured immediately post-fire,  
13 whereas burn severity combines the direct fire impact and ecosystems responses. Geo  
14 Composite Burn Index (GeoCBI), two pre-/post-fire differenced Thematic Mapper (TM)  
15 dNBR assessments and a Moderate Resolution Imaging Spectroradiometer (MODIS) dNBR  
16 time series were used to analyze the temporal dimension. MODIS dNBR time series were  
17 calculated based on the difference between the NBR of the burned and control pixels, which  
18 were retrieved using time series similarity of a pre-fire year. The analysis incorporated the  
19 optimality statistic, which evaluates index performance based on displacements in the mid-  
20 infrared-near infrared bi-spectral space. Results showed a higher correlation between field and  
21 TM data early post-fire ( $R^2 = 0.72$ ) than one year post-fire ( $R^2 = 0.56$ ). Additionally, mean

22 dNBR (0.56 vs. 0.29), the dNBR standard deviation (0.29 vs. 0.19) and mean optimality (0.65  
23 vs. 0.47) were clearly higher for the initial assessment than for the extended assessment. This  
24 is due to regenerative processes that obscured first-order fire effects impacting the suitability  
25 of the dNBR to assess burn severity in this case study. This demonstrates the importance of  
26 the lag timing, i.e. time since fire, of an assessment, especially in a quickly recovering  
27 Mediterranean ecosystem. The MODIS time series was used to study intra-annual changes in  
28 index performance. The seasonal timing of an assessment highly impacts what is actually  
29 measured. This seasonality affected both the greenness of herbaceous resprouters and the  
30 productivity of the control pixels, which is land cover specific. Appropriate seasonal timing of  
31 an assessment is therefore of paramount importance to anticipate false trends (e.g. caused by  
32 senescence). Although these findings are case study specific, it can be expected that similar  
33 temporal constraints affect assessments in other ecoregions. Therefore, within the limitations  
34 of available Landsat imagery, caution is recommended for the temporal dimension when  
35 assessing post-fire effects. This is crucial, especially for studies that aim to evaluate trends in  
36 fire/burn severity across space and time. Also, clarification in associated terminology is  
37 suggested.

38

## 39 **1 Introduction**

40 Wildfires affect the ecological functioning of many ecosystems (Dwyer et al., 1999; Pausas,  
41 2004; Riano et al., 2007) as they partially or completely remove the vegetation layer and  
42 affect post-fire vegetation composition (Epting and Verbyla, 2005; Lentile et al., 2005). They  
43 act as a natural component in vegetation succession cycles (Trabaud, 1981; Capitaino and  
44 Carcaillet, 2008; Roder et al., 2008) but also potentially increase degradation processes, such  
45 as soil erosion (Thomas et al., 1999; Perez-Cabello et al., 2006; Chafer, 2008; Fox et al.,  
46 2008). Assessment of post-fire effects is thus a major challenge to understand the potential  
47 degradation after fire (Kutiel and Inbar, 1993; Fox et al., 2008) and to comprehend the  
48 ecosystem's post-fire resilience (Epting and Verbyla, 2005; Lentile et al., 2005).

49 The fire impact can be described as (i) the amount of damage (Hammill and Bradstock, 2006;  
50 Gonzalez-Alonso et al., 2007; Chafer, 2008), (ii) the physical, chemical and biological  
51 changes (Landmann, 2003; Chafer et al., 2004; Cocke et al., 2005; Stow et al., 2007; Lee et  
52 al., 2008) or (iii) the degree of alteration (Brewer et al., 2005; Eidenshink et al., 2007) that  
53 fire causes to an ecosystem and is quantified as the severity of fire. In this context the terms  
54 fire severity and burn severity are often interchangeably used (Keeley, 2009). Lentile et al.  
55 (2006), however, suggest a clear distinction between both terms by considering the fire  
56 disturbance continuum (Jain et al., 2004), which addresses three different temporal fire effects  
57 phases: before, during and after the fire. In this framework fire severity quantifies the short-  
58 term fire effects in the immediate post-fire environment while burn severity quantifies both  
59 the short- and long-term impact as it includes response processes. While this substantive  
60 difference in terminology between fire and burn severity is generally accepted in the remote  
61 sensing community, fire ecologists tend to smooth away this distinction as they opt to exclude  
62 ecosystem responses from the term burn severity (Keeley, 2009), thereby reducing its  
63 meaning to the same dimension as the term fire severity, which makes both terms mutually

64 substitutional. However, the inclusion of ecosystem responses (such as regrowth, regeneration  
65 and resilience) in burn severity is justified by the significant negative correlation between  
66 direct fire impact and regeneration ability (Diaz-Delgado et al., 2003). Moreover, except for  
67 assessments immediately post-fire (within the first month), ecosystem responses cannot be  
68 neglected in a satellite assessment as it is practically infeasible to uncouple these effects from  
69 the direct fire impact based on the image data. In addition, Key and Benson (2005) and Key  
70 (2006) introduced three sets of complementary concepts. The first set differentiates between  
71 first- and second-order effects, where first-order effects are caused by the fire only, whereas  
72 second-order effects also involve other causal agents (e.g. wind, rain, vegetative processes,  
73 etc.). Secondly, short-and long-term severity refer to the condition of the burned area. Short-  
74 term severity is restricted to the pre-recovery phase, while long-term severity includes both  
75 first-and second-order effects. Thirdly, Key (2006) differentiates between an initial  
76 assessment (IA) and an extended assessment (EA). This difference results from differing lag  
77 timing, i.e. the time since fire, on which an assessment is made. An IA is executed  
78 immediately after the fire event, whereas by EAs a certain amount of time elapses between  
79 the fire event and the assessment. Summarized, fire severity is defined as the degree of  
80 environmental change caused by fire and is related to first-order effects, short-term severity  
81 and IAs (Key and Benson, 2005). As such it mainly quantifies vegetation consumption and  
82 soil alteration. Burn severity, on the other hand, is equally defined as the degree of  
83 environmental change caused by fire, but it also includes second-order effects (e.g.  
84 resprouting, delayed mortality, etc.), long-term severity and is usually measured in an EA  
85 (Key and Benson, 2005). Finally, the term post-fire effects (Lentile et al., 2006) groups all  
86 above mentioned severity-related notions. In figure 1 a schematic representation of post-fire  
87 effects terminology is given.

88 Even though a considerable amount of remote sensing studies have focused on the use of the  
89 Normalized Difference Vegetation Index (NDVI) for assessing burn severity (Isaev et al.,  
90 2002; Diaz-Delgado et al., 2003; Ruiz-Gallardo et al., 2004; Chafer et al., 2004; Hammill and  
91 Bradstock, 2006; Hudak et al., 2007), the Normalized Burn Ratio (NBR) has become  
92 accepted as the standard spectral index to estimate fire/burn severity (e.g. Lopez-Garcia and  
93 Caselles, 1991; Epting et al., 2005; Key and Benson, 2005; Bisson et al., 2008; Veraverbeke  
94 et al., 2010ab). The NBR is used as an operational tool at national scale in the United States  
95 (Eidenshink et al., 2007). The index relates to vegetation vigor and moisture by combining  
96 near infrared (NIR) and mid infrared (MIR) reflectance and is defined as:

$$97 \quad NBR = \frac{NIR - MIR}{NIR + MIR} \quad (1)$$

98 Most of the studies that assessed burn severity were conducted with Landsat imagery (French  
99 et al., 2008), thanks to Landsat's unique properties of operating a MIR band and a desirable  
100 30 m resolution for local scale studies. Since fire effects on vegetation produce a reflectance  
101 increase in the MIR spectral region and a NIR reflectance drop (Pereira et al., 1999; Key,  
102 2006), bi-temporal image differencing is frequently applied on pre- and post-fire NBR images  
103 resulting in the differenced Normalized Burn Ratio (dNBR) (Key and Benson, 2005).  
104 Additionally, Miller and Thode (2007) proposed a relative version of the dNBR (RdNBR).  
105 This index takes into account the pre-fire amount of biomass, and therefore, rather than being  
106 a measure of absolute change, reflects the change caused by fire relative to the pre-fire  
107 condition. Apart from the correlation with field data (Key and Benson, 2005; De Santis and  
108 Chuvieco, 2009; Veraverbeke et al., 2010ab), the performance of bi-spectral indices can be  
109 evaluated by assessing a pixel's shift in the bi-spectral feature space. As such, a pixel-based  
110 optimality measure, originating from the spectral index theory (Verstraete and Pinty, 1996),  
111 has been developed by Roy et al. (2006). They used the optimality concept to question the

112 dNBR method as an optimal fire/burn severity approach. The optimality value varies between  
113 zero (not at all optimal) and one (fully optimal). An optimal fire/burn severity spectral index  
114 needs to be as insensitive as possible to perturbing factors, such as atmospheric and  
115 illumination effects (Veraverbeke et al., 2010c), and highly sensitive to fire-induced  
116 vegetation changes.

117 These post-fire vegetation changes typically are abrupt immediately after fire (Pereira et al.,  
118 1999), whereas a more gradual and progressive vegetation regeneration process is initiated  
119 several weeks after the fire (Viedma et al., 1997; Pausas et al., 2004; Keeley et al., 2005; van  
120 Leeuwen, 2008). Despite of the current discussion on the temporal dimension in fire/burn  
121 severity studies (Keeley, 2009) (see figure 1), relatively few studies have addressed attention  
122 to the influence of assessment timing on the estimation of post-fire effects. In this respect Key  
123 (2006) comprehensively differentiates between two temporal constraints. The first constraint  
124 is the lag timing. IAs focus on the first opportunity to get an ecological evaluation of within-  
125 burn differences in combustion completeness, whereas EAs occur as a rule in the first post-  
126 fire growing season (Key, 2006). This constraint especially becomes obvious in quickly  
127 recovering ecosystems where an inappropriate lag timing can distort or hide the fire effects  
128 (Allen and Sorbel, 2008; Lhermitte et al. 2010a). Allen and Sorbel (2008), for example, found  
129 that IA and EA produced significantly different information for tundra vegetation, while the  
130 timing of the assessment had no effect for black spruce forest. This was attributed to the rapid  
131 tundra recovery (Allen and Sorbel, 2008). The second constraint deals with the seasonal  
132 timing, i.e. the biophysical conditions that vary throughout the year, regardless of the fire.  
133 Analysis shortly after the usually dry fire season for example can be detracted because of the  
134 reduced variability in vegetation vigor during the dry season. Conversely, when vegetation is  
135 green and productive, a broader range of severity can be detected with better contrast (Key,  
136 2005). The importance of the phenological timing of an assessment was also pointed by

137 Verbyla et al. (2008). They found a clear discrepancy in dNBR values between two different  
138 Landsat assessments, which was partly attributed to the seasonal timing of the bi-temporal  
139 acquisition scheme, while another part of the difference was due to the changing solar  
140 elevation angles at the moment of the image acquisition. Apart from these studies, relatively  
141 little attention has been devoted to the temporal changes in the NBR and its consequence to  
142 estimate fire/burn severity. This is probably due to the 16-day repeat cycle of Landsat and the  
143 problem of cloudiness which restricts image availability to infrequent images over small areas  
144 (Ju and Roy, 2008). Multi-temporal Moderate Resolution Imaging Spectroradiometer  
145 (MODIS) data can bridge the gap of image availability. MODIS is the only high temporal-  
146 frequent coarse resolution (500 m) sensor which has the spectral capability, i.e. acquisition of  
147 reflectance data in the MIR region besides to the NIR region (Justice et al., 2002), to calculate  
148 the NBR. MODIS surface reflectance data (Vermote et al., 2002) are therefore an ideal source  
149 of information to explore the post-fire temporal, both in terms of lag and seasonal timing,  
150 sensitivity of the dNBR to assess fire/burn severity.

151 Hence, the general objective of this paper is assessing the temporal dimension of the dNBR  
152 and its consequence for the estimation of fire/burn severity of the large 2007 Peloponnese  
153 wildfires in Greece. This objective is fulfilled by evaluating (i) the relationship between field  
154 data of severity, Landsat dNBR and MODIS dNBR for an IA and EA scheme, and (ii) the  
155 one-year post-fire temporal changes in dNBR and dNBR optimality for different fuel types.  
156 500 m MODIS dNBR data are used in this study as a way to explore the temporal dimension,  
157 not as a substitute for 30 m Landsat dNBR imagery which is superior for spatial detail  
158 (French et al. 2008).

## 159 **2 Data and study area**

### 160 **2.1 Study area**

161 The study area is situated at the Peloponnese peninsula, in southern Greece (36°30'-38°30' N,  
162 21°-23° E) (see figure 2). The topography is rugged with elevations ranging between 0 and  
163 2404 m above sea level. The climate is typically Mediterranean with hot, dry summers and  
164 mild, wet winter (see figure 3). For the Kalamata meteorological station (37°4' N, 22°1' E)  
165 the average annual temperature is 17.8°C and the mean annual precipitation equals 780 mm.

166 After a severe drought period several large wildfires of unknown cause have struck the area in  
167 August 2007. The fires consumed more than 150 000 ha of coniferous forest, broadleaved  
168 forest, shrub lands (maquis and phrygana communities) and olive groves. Black pine (*Pinus*  
169 *nigra*) is the dominant conifer species. Maquis communities consist of sclerophyllous  
170 evergreen shrubs of 2-3 m high (Polunin, 1980). Phrygana is dwarf scrub vegetation (< 1 m),  
171 which prevails on dry landforms (Polunin, 1980). The shrub layer is characterised by e.g.  
172 *Quercus coccifera*, *Q. frainetto*, *Pistacia lentiscus*, *Cistus salvifolius*, *C. incanus*, *Erica*  
173 *arborea*, *Sarcopoterum spinosum*. The olive groves consist of *Olea europaea* trees, whereas  
174 oaks are the dominant broadleaved species.

## 175 **2.2 Field data**

176 To assess fire/burn severity in the field, 150 Geo Composite Burn Index (GeoCBI) plots were  
177 collected one year post-fire, in September 2008 (see figure 2). The GeoCBI is a modified  
178 version of the Composite Burn Index (CBI) (De Santis and Chuvieco, 2009). The (Geo)CBI is  
179 an operational tool used in conjunction with the Landsat dNBR approach to assess fire/burn  
180 severity in the field (Key and Benson, 2005). The GeoCBI divides the ecosystem into five  
181 different strata, one for the substrates and four vegetation layers. These strata are: (i)  
182 substrates, (ii) herbs, low shrubs and trees less than 1 m, (iii) tall shrubs and trees of 1 to 5 m,  
183 (iv) intermediate trees of 5 to 20 m and (v) big trees higher than 20 m. In the field form, 20  
184 different factors can be rated (e.g. soil and rock cover/color change, % LAI change, char  
185 height) (see table 1) but only those factors present and reliably rateable, are considered. The



186 rates are given on a continuous scale between zero and three and the resulting factor ratings  
187 are averaged per stratum. Based on these stratum averages, the GeoCBI is calculated in  
188 proportion to their corresponding fraction of cover, resulting in a weighted average between  
189 zero and three that expresses burn severity. As the field data were collected one year post-fire,  
190 it is an EA. To be able to explore the full temporal dimension of fire/burn severity these data  
191 were also used as an IA. This is justified as most of the rating factors are relatively stable in  
192 time (Key and Benson, 2005), and as such plot ratings would not significantly differ when IA  
193 and EA schemes would have been sampled independently. However, it is obvious to omit the  
194 factor new sprouts from the IA scheme as this factor is not relevant in a fire severity  
195 assessment (see figure 1).

196 The 150 sample points were selected based on a stratified sampling approach, taking into  
197 account the constraints on mainly accessibility and time, which encompasses the whole range  
198 of variation found within the burns. The field plots consist of 30 by 30 m squares, analogous  
199 to the Landsat pixel size. The pixel centre coordinates were recorded based on measurements  
200 with a handheld Garmin eTrex Vista Global Positioning System (15 m error in x and y  
201 (Garmin 2005)) device. To minimize the effect of potential misregistration, plots were at least  
202 90 m apart and chosen in relatively homogeneous areas (Key and Benson 2005). This  
203 homogeneity refers both to the fuel type (homogeneity of at least 500 m) and the fire effects  
204 (homogeneity of at least 60 m). Of the 150 field plots 63 plots were measured in shrub land,  
205 57 in coniferous forest, 16 in deciduous forest and 14 in olive groves. More information on  
206 the field sampling scheme can be found in Veraverbeke et al. (2010ab).

207 Additionally, 50 training samples in very homogeneous covers (homogeneity of at least  
208 2000m) were GPS-recorded outside the burned area (see figure 2). These samples comprised  
209 the most prevailing fuel types in the burned area; 12 samples were taken in coniferous forest,

210 17 in shrub land, 10 in deciduous forest and 11 in olive groves. The dominant species of these  
211 land cover types are given in section 2.1.

### 212 **2.3 Landsat Thematic Mapper data**

213 For the traditional Landsat post-fire effects assessment of the summer 2007 Peloponnese fires  
214 three anniversary date Landsat Thematic Mapper (TM) images (path/row 184/34) were used  
215 (23/07/2006, 28/09/2007 and 13/08/2008). The images were acquired in the summer,  
216 minimizing effects of vegetation phenology and differing solar zenith angles. The images  
217 were subjected to geometric, radiometric, atmospheric and topographic correction.

218 The 2008 image was geometrically corrected using 34 ground control points (GCPs),  
219 recorded in the field with a Garmin eTrex Vista GPS. The resulting Root Mean Squared Error  
220 (RMSE) was lower than 0.5 pixels. The 2006, 2007 and 2008 images were co-registered  
221 within 0.5 pixels accuracy. All images were registered in UTM (Universal Transverse  
222 Mercator) (zone 34S), with WGS 84 (World Geodetic System 84) as geodetic datum.

223 Raw digital numbers (DNs) were scaled to at-sensor radiance values using the procedure of  
224 Chander et al. (2007). The radiance to reflectance conversion was performed using the COST  
225 method of Chavez (1996). The COST method is a dark object subtraction (DOS) approach  
226 that assumes 1% surface reflectance for dark objects (e.g. deep water). After applying the  
227 COST atmospheric correction, pseudo-invariant features (PIFs) such as deep water and bare  
228 soil pixels, were examined in the images. No further relative normalization between the  
229 images was required.

230 Additionally, it was necessary to correct for different illumination effects due to topography  
231 as the common assumption that shading effects are removed in ratio-based analyses does not  
232 necessarily hold true (Verbyla et al., 2008; Veraverbeke et al. 2010c). This was done based on  
233 the modified c-correction method (Veraverbeke et al. 2010c), a modification of the original c-  
234 correction approach (Teillet et al. 1982), using a digital elevation model (DEM) and

235 knowledge of the solar zenith and azimuth angle at the moment of image acquisition.  
236 Topographical slope and aspect data were derived from 90 m SRTM (Shuttle Radar  
237 Topography Mission) elevation data (Jarvis et al. 2006) resampled and co-registered with the  
238 TM images.

239 Finally, by inputting the NIR (TM4: centered at 830 nm) and MIR (TM7: centered at 2215  
240 nm) bands in equation 1 NBR images were generated.

#### 241 **2.4 Moderate Resolution Imaging Spectroradiometer data**

242 Level 2 daily Terra MODIS surface reflectance (500 m) tiles that cover the study area  
243 (MOD09GA) including associated Quality Assurance (QA) layers were acquired from the  
244 National Aeronautics and Space Administration (NASA) Warehouse Inventory Search Tool  
245 (WIST) (<https://wist.echo.nasa.gov>) for the period 01/01/2006 till 31/12/2008. These products  
246 contain an estimate of the surface reflectance for seven optical bands as it would have been  
247 measured at ground level as if there were no atmospheric scattering or absorption (Vermote et  
248 al., 2002). The data preprocessing steps included subsetting, reprojecting, compositing,  
249 creating continuous time series and indexing. The study area was clipped and the NIR  
250 (centered at 858 nm), MIR (centered at 2130 nm) and QA layers were reprojected into UTM  
251 with WGS 84 as geodetic datum. Subsequently, the daily NIR, MIR and QA data were  
252 converted in 8-day composites using the minimum NIR criterion to minimize cloud  
253 contamination and off-nadir viewing effects (Holben, 1986). The minimum NIR criterion has  
254 proven to allow a more accurate discrimination between burned and unburned pixels than  
255 traditional Maximum Value Composites (MVCs) (Barbosa et al., 1998; Stroppiana et al.,  
256 2002; Chuvieco et al., 2005). Thus, for each 8-day period the NIR, MIR and QA data were  
257 saved corresponding with the minimum NIR observation for each pixel. An additional  
258 advantage of the minimum NIR criterion in comparison with MVCs is its tendency to select  
259 close to nadir observations (Stroppiana et al., 2002), because for smaller view angles the soil

260 fraction in the vegetation-soil matrix will have a relatively higher contribution to the  
261 reflectance signal than for wider viewing angles. After the compositing procedure a minority  
262 of the data still lacked good quality values. Therefore, to create continuous time series, a local  
263 second-order polynomial function, also known as an adaptive Savitzky-Golay filter (Savitzky  
264 and Golay, 1964), was applied to the time series as implemented in the TIMESAT software  
265 (Jonsson and Eklundh, 2004) to replace the affected observations. Although other smoothing  
266 methods based on for example Fourier series (Olsson and Eklundh, 1994) or least-squares  
267 fitting to sinusoidal functions (Cihlar, 1996) are known to work well in most instances, they  
268 fail to capture a sudden steep change in remote sensing values, as it is the case in burned land  
269 applications (Verbesselt et al., 2006). The TIMESAT program allows the inclusion of a  
270 preprocessing mask. These masks are translated into weights, zero and one, that determine the  
271 uncertainty of the data values. Cloud-affected observations were identified using the QA layer  
272 and were assigned a zero weight value. Consequently these data do not influence the filter  
273 procedure. Only the values of the masked observations were replaced to retain as much as  
274 possible the original NIR and MIR reflectance values. Finally, NBR images were calculated  
275 based on equation 1.

## 276 **3 Methodology**

### 277 **3.1 MODIS pre-fire land cover map**

278 As phenology, fire impact and regeneration typically vary by land cover type (Reed et al.,  
279 1994; White et al. 1996; Viedma et al. 1997) the pre-fire land cover of the burned areas was  
280 classified. This was done based on the time series similarity concept as phenological  
281 differences in time series allow to discriminate different land cover types (Reed et al., 1994;  
282 Viovy, 2000; Geerken et al., 2005, Lhermitte et al., 2008). A maximum likelihood  
283 classification was performed on a MODIS NBR time series of the pre-fire year 2006. The

284 GPS-recorded pixel and its bilinear neighbors of the 50 land cover field samples (see section  
285 2.2 and figure 3) served as training pixels in the classification. As such the four main land  
286 covers (shrub land, coniferous forest, deciduous forest and olive groves) were classified.  
287 Figure 4 displays the mean temporal profiles of the training pixels for each class. Figures 4A-  
288 C, respectively of shrub land, coniferous forest and olive groves, reveal characteristic  
289 temporal profiles for evergreen Mediterranean species. For these land cover types seasonal  
290 fluctuations are minor. Coniferous forests are characterized by a higher overall productivity  
291 than shrub lands and olive groves. Shrub lands reveal a peak in late spring/early summer,  
292 which is characteristic for Mediterranean xerophytic species (Specht, 1981; Maselli, 2004).  
293 The olive groves are slightly more productive during the winter season, which can be  
294 contributed to the favorable moisture conditions during the wet winter months (see figure 3).  
295 The temporal profile of deciduous forest (figure 4D) contrasts with those of evergreen species  
296 as it shows a markedly higher seasonality with a summer maximum and winter minimum.  
297 The accuracy of the pre-fire land cover map was verified by the 150 GeoCBI field plots with  
298 known pre-fire land cover type.

### 299 **3.2 MODIS control pixel selection**

300 Traditionally fire/burn severity is estimated from pre-/post-fire differenced imagery (Key and  
301 Benson, 2005; French et al., 2008). This bi-temporal analysis method can be hampered by  
302 phenological effects, both due to the differences in acquisition data and due to inter-annual  
303 meteorological variability (Diaz-Delgado and Pons, 2001). To deal with these phenological  
304 effects Diaz-Delgado and Pons (2001) proposed to compare vegetation regrowth in a burned  
305 area with unburned reference plots within the same image. As such, external and phenological  
306 variations are minimized among the compared areas. The reference plot selection procedure  
307 has, however, two main difficulties. Firstly, large scale application remains constrained due to  
308 the necessity of profound field knowledge to select relevant control plots. Secondly, the

309 reference plot approach fails to describe within-burn heterogeneity as it uses mean values per  
 310 fire plot. To solve these problems, Lhermitte et al. (2010b) proposed a pixel-based control  
 311 plot selection method which follows the same reasoning with respect to the minimization of  
 312 phenological effects by comparison with image-based control plots. The difference with the  
 313 reference plot procedure, however, is situated in the fact that the pixel-based method assigns a  
 314 unique unburned control pixel to each burned pixel. This control pixel selection is based on  
 315 the similarity between the time series of the burned pixel and the time series of its  
 316 surrounding unburned pixels for a pre-fire year (Lhermitte et al., 2010b). The method allows  
 317 to quantify the heterogeneity within a fire plot since each fire pixel is considered  
 318 independently as a focal study pixel and a control pixel is selected from a contextual  
 319 neighborhood around the focal pixel. In this study, the procedure of Lhermitte et al. (2010b) is  
 320 followed as it allows exploring the temporal dimension of post-fire effects without image-to-  
 321 image phenological constraints. The selection is based on the similarity of MODIS NBR time  
 322 series between pixels during the pre-fire year 2006. The averaged Euclidian distance  
 323 dissimilarity criterion  $D$  was used:

$$324 \quad D = \frac{\sqrt{\sum_{t=1}^N (NBR_t^f - NBR_t^x)^2}}{N} \quad (2)$$

325 where  $NBR_t^f$  and  $NBR_t^x$  are the respective burned focal and unburned candidate control pixel  
 326 time series, while  $N$  is the number of observations in pre-fire year ( $N=46$ ). The Euclidian  
 327 distance metric has an intuitive appeal: it quantifies the straight line inter-point distance in a  
 328 multi-temporal space as distance measure. As a result, it is robust for both data space  
 329 translations and rotations. Consequently, it is a very useful metric to assess inter-pixel  
 330 differences in time series (Lhermitte et al., 2010b). The discrimination between burned and  
 331 unburned pixels was based on a burned area map. This burned area map was extracted making

332 use of the characteristic persistency of the post-fire NBR drop, similar to the algorithms of  
333 Kasischke and French (1995), Barbosa et al. (1999) and Chuvieco et al. (2008). To avoid  
334 possible confusion with harvested crop land a rough fire perimeter, approximately 1 km  
335 outside of the burned area, was manually digitized. Using the 8-day NBR composites as input,  
336 the dNBR between each single observation and its five consecutive observations in time was  
337 calculated ( $dNBR = NBR_t - NBR_{t+i}$  with  $i = 1, 2, 3, 4, 5$ ). When these five dNBR values all  
338 exceeded the threshold value of 0.125, the pixel was classified as burned. We have chosen a  
339 relatively low threshold to minimize the omission error on low severity pixels. The accuracy  
340 of the burned area map was verified using a TM-derived burned area map (Veraverbeke et al.,  
341 2010c).

342 For valid control plot estimates, control pixels must correspond to the focal pixel in case the  
343 fire had not occurred. Firstly, this implies identical pre-fire characteristics for both control and  
344 focal pixels. Secondly, it means similar post-fire environmental conditions. To determine the  
345 appropriate control pixel selection criteria, the method of Lhermitte et al. (2010b) was  
346 calibrated to our dataset. As we want to evaluate the control pixel selection procedure (based  
347 on pre-fire time series) after the fire event, an initial performance assessment is made on  
348 unburned pixels. Therefore 500 unburned pixels were randomly selected. For these pixels a  
349 fictive burning date was set at the same composite the real fire event took place.

350 Determining a number of control pixels  $c$  out of a number of candidate pixels  $x$ , which is  
351 related to window size, is essential for the selection procedure. Not only the most similar  
352 control pixel was considered because a beneficial averaging that removes random noise in the  
353 time series has been perceived in previous research (Lhermitte et al., 2010b). As a result the  
354 averaged time series of the two (or more) most similar pixel potentially provides better  
355 results. The calibration of the control pixel selection procedure requires an understanding of

356 how similarity is affected by varying window sizes and the number of selected control pixels.  
357 The sensitivity of dissimilarity criterion  $D$  was therefore assessed by comparing the outcome  
358 for varying number of control pixels ( $c = 1, 2, \dots, 15$ ) and varying window sizes ( $3 \times 3,$   
359  $5 \times 5, \dots, 25 \times 25$ ). Evaluation consisted of measuring the temporal similarity for the 500  
360 fictively burned sample pixel between  $NBR_t^f$  and  $NBR_t^x$  one year pre-fire and one year post-  
361 fire. For this purpose  $D$  was calculated between control and focal pixels for varying numbers  
362 of control pixels and varying window sizes. This allows to determine how well pre-fire  
363 similarity is maintained after a fictive burning date and how pre-/post-fire changes in  
364 similarity are related to the number of control pixels and window size.

365 Although this calibration experiment allows the determination of an optimal selection of  $c$   
366 control pixels out of  $x$  candidate pixels, which is related to the window size, it does not fully  
367 take into account the spatial context of the actual burns. The calibration experiment is based  
368 on isolated pixels, while in reality burned areas consist of large patches. As a consequence in  
369 the calibration experiment the first eight candidate pixels are found in  $3 \times 3$ -window (nine  
370 pixels minus one burned pixel), while for finding eight candidate pixels for a burned pixel  
371 located in the middle of a large burn larger window sizes are required. As a result, the  
372 distance of the control pixels to their corresponding focal pixel is variable. This also implies  
373 that the performance of the procedure is likely to be better near the contours of the burn  
374 perimeter.

### 375 **3.3 dNBR and optimality**

376 After the derivation of preprocessed TM NBR images, these layers were bi-temporally  
377 differenced. This traditional bi-temporal differencing resulted in an IA and EA dNBR,  
378 respectively  $dNBR_{TM,IA}$  and  $dNBR_{TM,EA}$ :

$$379 \quad dNBR_{TM,IA} = NBR_{TM,2006} - NBR_{TM,2007} \quad (3)$$



380  $dNBR_{TM,EA} = NBR_{TM,2006} - NBR_{TM,2008}$  . (4)

381 Additionally, a MODIS dNBR time series was derived after differencing the respective focal  
 382 ( $NBR_t^f$ ) and control ( $NBR_t^c$ ) images:

383  $dNBR_t = NBR_t^c - NBR_t^f$  (5).

384 Thus, in contrast with the traditional pre-/post-fire differencing as applied on the TM imagery,  
 385 the MODIS dNBR was calculated based on focal and control pixels within the same image.  
 386 For the same post-fire dates as with the TM dNBR images, the MODIS dNBR images were  
 387 respectively labeled as  $dNBR_{MODIS,IA}$  and  $dNBR_{MODIS,EA}$ .

388 For evaluating the optimality of the bi-temporal change detection the MIR-NIR bi-spectral  
 389 space was considered (see figure 5). If a spectral index is appropriate to the physical change  
 390 of interest, in this case fire-induced vegetation depletion, there exists a clear relationship  
 391 between the change and the direction of the displacement in the bi-spectral feature space  
 392 (Verstraete and Pinty, 1996). In an ideal scenario a pixel's bi-temporal trajectory is  
 393 perpendicular to the first bisector of the Cartesian coordinate system. This is illustrated in  
 394 figure 5 for the displacement from unburned (U) to optimally (O) sensed burned. Perturbing  
 395 factors decrease the performance of the index. Then a pixel's displacement can be  
 396 decomposed in a vector perpendicular to the first bisector and a vector along the post-fire  
 397 NBR isoline to which the index is insensitive. For example, in figure 5, a pixel shifts from  
 398 unburned (U) to burned (B) after fire. Here, the magnitude of change to which the index is  
 399 insensitive is equal to the Euclidian distance  $|OB|$ . Thus the observed displacement vector UB  
 400 can be decomposed in the sum of the vectors UO and OB, hence, following the expression of  
 401 Roy et al. (2006) index optimality is defined as:

402  $optimality = 1 - \frac{|OB|}{|UB|}$  (3)

403 As  $|OB|$  can never be larger than  $|UB|$ , the optimality measure varies between zero and one. If  
404 the optimality measure equals zero, then the index is completely insensitive to the change of  
405 interest. An optimality score of one means that the index performs ideally.

### 406 **3.4 Analysis method**

407 Firstly, the accuracy of the land cover map and the calibration of the control pixel selection  
408 procedure are verified. Secondly, the analysis has focused on the correlation between field  
409 and TM data for an IA and EA. In addition descriptive dNBR and optimality statistics were  
410 compared. To justify the use of MODIS dNBR to explore the temporal dimension the  
411 correlation between downsampled TM and corresponding MODIS dNBR imagery is also  
412 calculated. Finally, MODIS dNBR and optimality time series for different land cover types  
413 are compared. Emphasis has been both on the importance of lag and seasonal timing of an  
414 assessment.

## 415 **4 Results**

### 416 **4.1 MODIS pre-fire land cover map**

417 Figure 6 displays the pre-fire land cover map derived based on the time series similarity  
418 concept. Shrub land was the most prevailing land cover type. 100 372 ha (56.65% of the  
419 burned area) were classified as shrub land. The class coniferous forest covered 37 096 ha  
420 (20.95% of the burned area) which was only slightly more than the olive groves class (34 555  
421 ha, 19.50% of the burned area). A minority of the pixels were classified as deciduous forest  
422 (624 ha, 2.90%). The error matrix of the land cover map is tabulated in table 2. The overall  
423 accuracy of the classification equalled 73% and a Kappa coefficient of 0.60 was obtained. As  
424 the phenology of deciduous forest contrasts with those of evergreen land cover classes (see  
425 figure 4), this class obtained high producer's and user's accuracies of respectively 81% and

426 93%. The evergreen land cover classes revealed a higher time series similarity. As a result the  
427 cover classes were prone to higher omission and commission errors. These errors remained,  
428 however, acceptable. The classification of shrub land resulted in both a producer's and user's  
429 accuracy of 75%. The producer's accuracy of coniferous forest equalled 72%, which was  
430 slightly lower than its user's accuracy of 76%. Finally, the accuracy of olive groves class was  
431 the lowest (producer's and user's accuracy of respectively 64% and 47%).

#### 432 **4.2 MODIS control pixel selection**

433 TM imagery was used to validate the MODIS burned area map. The TM-derived burned area  
434 map was derived after applying a two-phase  $dNBR_{TM,IA}$  threshold algorithm that was  
435 validated using field reference data resulting in a detection probability of 80% and a  
436 probability of false alarm of 5% (Veraverbeke et al., 2010c). MODIS burned area statistics  
437 were extracted in windows of 10 by 10 km. These statistics were regressed against their TM  
438 equivalents, in which the TM data acted as independent variable and the MODIS data as  
439 dependent variable. The resulting regression slope and intercept equaled respectively 1.31 and  
440 -27.97. The MODIS-derived burned area map correlated fairly well with the TM-based map  
441 (coefficient of determination  $R^2=0.98$ ,  $p<0.001$ ), although a consistent overestimation relative  
442 to the TM data was perceived as indicated by the regression slope of 1.31.

443 Figure 7A reflects the  $D$  in function of varying number of control pixels and window size for  
444 a pre-fire year. It shows the median temporal similarity of the 500 unburned sample pixels.  
445 The median is used instead of the mean as it is more robust in the presence of outlier values.  
446 Two main effects are observed in the figure. Firstly, the number of control pixels chosen  
447 influenced the dissimilarity measure due to an averaging effect. The strength of this averaging  
448 effect was dependent on window size: the averaging effect became more important for larger  
449 window sizes. Secondly, there was a consistently decreasing trend in pre-fire  $D$  when window

450 size enlarged. This feature appeared regardless of the number of control pixels chosen. The  
451 latter finding contrasts with what is visible in figure 7B, which represents the post-fire  $D$  in  
452 function of varying number of control pixels and window size. Here, one can see a  
453 consistently increasing trend in  $D$  as window size became larger. As a result, differences  
454 between pre- and post-fire similarity enlarged in proportion with window size. This effect  
455 originates from the possible selection of distant pixels that have higher probability of showing  
456 different post-fire environmental conditions in larger windows (Lhermitte et al. 2010b). Based  
457 on figures 7A-B the control pixel selection was calibrated by taking the average of the four  
458 most similar pixels out of eight candidate pixels, which corroborates with the findings of  
459 Lhermitte et al. (2010b).

#### 460 **4.3 Relationship between field, TM and MODIS data**

461 Table 3 lists some descriptive statistics as derived from the  $\text{dNBR}_{\text{TM,IA}}$ ,  $\text{dNBR}_{\text{TM,EA}}$   
462  $\text{dNBR}_{\text{MODIS,IA}}$  and  $\text{dNBR}_{\text{MODIS,EA}}$  layers. Mean dNBR was clearly higher for an IA than for an  
463 EA, for both TM and MODIS assessments (0.56 vs. 0.29 for TM, 0.44 vs. 0.21 for MODIS).  
464 The same was true for mean optimality (0.65 vs. 0.47 for TM, 0.68 vs. 0.50 for MODIS). The  
465 standard deviation (sd) of the dNBR sd was also higher in IA than in EA (0.29 vs. 0.19 for  
466 TM, 0.19 vs. 0.14 for MODIS). This contrasts with the lower optimality sd of IAs compared  
467 to EAs (0.25 vs. 0.29 for TM, 0.24 vs 0.30 for MODIS). Mean and sd dNBR were higher for  
468 TM assessment than for MODIS assessments. Mean optimality, however, was slightly higher  
469 for MODIS assessments, while inter-sensor differences in sd optimality were minor.

470 Table 4 summarizes the regression results between field, TM and MODIS data. All results  
471 were based on 150 observations, corresponding to the GeoCBI locations. Comparison of the  
472  $R^2$  statistics shows that the GeoCBI-dNBR<sub>TM</sub> relationship proved to be the strongest for the  
473 IA scheme. This relationship yielded a moderate-high  $R^2 = 0.72$  for a linear fitting model.

474 This is higher than the GeoCBI-dNBR<sub>TM,EA</sub> correlation which had an  $R^2 = 0.56$ . After  
475 downsampling the TM pixels to the MODIS resolution, linear regressions were also  
476 performed between the downsampled TM and the MODIS dNBR. These regressions resulted  
477 in a moderate correlations of  $R^2 = 0.59$  for the IA and  $R^2 = 0.45$  for the EA scheme.

#### 478 **4.4 Post-fire MODIS dNBR and optimality time series**

##### 479 **4.4.1 Shrub land**

480 Figure 8A displays the temporal profiles of mean NBR ( $\pm$  sd) of both control and focal  
481 pixels. The control pixels' NBR values remained more or less constant around 0.40 ( $\pm$  0.10)  
482 throughout the year, except for the early spring peak (April-May), which is characteristic for  
483 xerophytic shrub species (see also figure 4A). The fire event caused a sudden drop in the focal  
484 pixels' mean NBR values up to -0.18 ( $\pm$  0.14) at the third post-fire composite. This was  
485 followed by a relatively quick recovery which culminated in early spring when the burned  
486 pixels achieved NBR values of 0.40. During the first half year post-fire the control pixels' sd  
487 NBR was relatively high around 0.20. Near the fire's anniversary date the focal pixels' mean  
488 NBR values dropped back to values of 0.20, but also the sd dropped to 0.10.

489 Figure 8B depicts mean dNBR ( $\pm$  sd) against time relative to the fire event. A maximum  
490 mean dNBR of 0.48 ( $\pm$  0.18) was reached at the third post-fire composite. These relatively  
491 high mean and sd values progressively degraded. During spring-time mean dNBR was only  
492 0.11 ( $\pm$  0.13), after which mean dNBR values slightly recovered up to 0.18 ( $\pm$  0.11) around  
493 the fire's anniversary date.

494 The temporal evolution of mean optimality ( $\pm$  sd) is shown in figure 8C. Mean optimality  
495 peaked at the fourth post-fire composite (0.73  $\pm$  0.21), however, mean optimality decreased  
496 to 0.23 ( $\pm$  0.28) during spring. Afterwards mean optimality increased back to values around  
497 0.49 ( $\pm$  0.30).

#### 498 **4.4.2 Coniferous forest**

499 In figure 9A one can see the post-fire development of mean NBR ( $\pm$  sd) time series of  
500 control and focal pixels. Similar to what was observed in figure 4B, the control pixels' mean  
501 reveals little seasonal variation, with values around 0.50 ( $\pm$  0.10) throughout the year. At the  
502 third post-fire composite the focal pixels' mean NBR dropped to -0.16 ( $\pm$  0.19). While the  
503 focal pixels' mean NBR steadily increased to values around 0.20 at the fire's anniversary  
504 date, their sd NBR decreased to 0.11. Likewise the spring-time peak observed for shrub lands,  
505 the focal pixels also experience a slight increase in NBR during early spring.

506 In figure 9B it is observed that the maximum mean dNBR, which was reached at the third  
507 post-fire composite equaled 0.61 ( $\pm$  0.22). Both mean and sd then gradually decreased to  
508 values around respectively 0.30 and 0.14 at the fire's anniversary date.

509 Figure 9C displays the temporal profile of mean optimality ( $\pm$  sd) during the one-year post-  
510 fire period. Mean optimality reached a maximum of 0.71 ( $\pm$  0.21) at the fourth post-fire  
511 composite. Mean optimality was almost continuously between 0.50 and 0.70, except during  
512 April-May when it dropped to values of 0.40.

#### 513 **4.4.3 Olive groves**

514 The same as in figure 4C, the mean NBR of the control pixels realized slightly higher values  
515 during the wet winter than in the dry summer (figure 10A). The focal pixels' temporal  
516 development, however, showed a markedly similar pattern as what was observed for shrub  
517 lands in figure 8A. Initially NBR drops, e.g. at the third post-fire composite mean NBR of  
518 control pixels' equaled 0.34 ( $\pm$  0.08), compared to a focal pixels' mean of -0.12 ( $\pm$  0.13).  
519 Then the burned pixels' NBR values peaked during April-May resulting in mean NBR values  
520 of 0.40 ( $\pm$  0.08). Finally, the focal pixels' mean NBR decreased back to values of 0.21 ( $\pm$   
521 0.10) during the one-year post-fire summer.

522 Figure 10B depicts the mean dNBR ( $\pm$  sd) against time. After reaching a maximum mean  
523 dNBR of 0.46 ( $\pm$  0.16) at the third post-fire composite, a minimum mean dNBR of 0.10 ( $\pm$   
524 0.11) was reached during spring-time. After obtaining this minimum, the mean dNBR  
525 recovered to values of 0.19 ( $\pm$  0.11). Overall this temporal pattern in mean dNBR shows a  
526 high similarity to what is described in section 4.4.1 for shrub lands.

527 The mean optimality's maximum occurred at the fourth post-fire composite and equaled 0.73  
528 ( $\pm$  0.23) (figure 10C). During winter and spring, optimality dropped to values around 0.30  
529 ( $\pm$  0.30). In the first post-fire summer, however, mean optimality again reached values  
530 around 0.55 ( $\pm$  0.28).

#### 531 **4.4.4 Deciduous forest**

532 The mean NBR time series of control pixels showed a marked seasonality with a winter  
533 minimum and summer maximum (figure 11A), which corresponds with the findings of figure  
534 4D. Immediately post-fire the difference between the control and focal pixels' mean NBR  
535 values is large, e.g. at the third post-fire composite they are respectively 0.47 ( $\pm$  0.06) and -  
536 0.15 ( $\pm$  0.18). However, this difference diminished as time elapsed due to two main  
537 processes. Firstly, leaf-fall caused the control pixels' index to drop. Secondly, regeneration  
538 processes produced an increase of the focal pixels' NBR values. By the start of the next  
539 growing season, however, the difference between control and focal pixels became again more  
540 explicit.

541 The above-mentioned processes also provoked a clear seasonality in both temporal mean  
542 dNBR (figure 11B) and optimality (figure 11C). Initially mean dNBR values are high with  
543 corresponding high optimality scores. At the fourth post-fire composite mean dNBR and  
544 optimality were respectively 0.61 ( $\pm$  0.17) and 0.71 ( $\pm$  0.16). During winter dNBR values  
545 are very low, a minimum of 0.10 ( $\pm$  0.13) was reached, and this also resulted in low mean

546 optimality scores below 0.40. By the onset of next growing season both mean dNBR and  
547 optimality recovered.

## 548 **5 Discussion**

### 549 **5.1 Lag timing**

550 Regression results between dNBR<sub>TM</sub> and field data were clearly influenced by the lag timing  
551 of the assessment (Table 4). Although this corroborates with the findings of Zhu et al. (2006)  
552 and Allen and Sorbel (2008), it contrasts with Fernandez-Manso et al. (2009) who state that  
553 the difference between an IA and EA does not significantly influences the remotely sensed  
554 magnitude of change. In our study the correlation between field and TM data was better for  
555 the IA ( $R^2 = 0.72$ ) than for the EA ( $R^2 = 0.56$ ), which is opposite to the observations of Zhu et  
556 al. (2006). Following these authors, however, the poorer regression fits for IA are merely  
557 attributed to unfavorable remote sensing conditions (low sun angles, smoke, bad weather,  
558 snow and clouds), and not necessarily to differences in lag timing. Additionally, Allen and  
559 Sorbel (2008) found that initial and extended assessments produced significantly different  
560 information with regards to burn severity for tundra vegetation, while the timing of the  
561 assessment had no effect for black spruce forest, which was attributed to the rapid tundra  
562 recovery. As in our study, this demonstrates that in quickly recovering ecosystems first-order  
563 effects such as vegetation consumption, scorching and charring are mitigated by resprouters  
564 (Key, 2006; Lhermitte et al., 2010a). This is also visible when the magnitude of change and  
565 the within-burn variation between IA and EA schemes are compared (Table 3). For both TM  
566 and MODIS assessment, mean dNBR almost halved whereas sd dNBR was also clearly lower  
567 for the EA. This reduction in variability highly impacts the suitability of the dNBR for burn  
568 severity mapping. The within-burn variation of the MODIS assessments was lower than with  
569 TM assessment as a result of the 500 m resolution compared to the 30 m resolution of the TM



570 sensor. Correlations between downsampled  $\text{dNBR}_{\text{TM}}$  and corresponding  $\text{dNBR}_{\text{MODIS}}$  were  
571 moderate, which justifies the use of MODIS NBR time series as a way of exploring the  
572 temporal dimension of remote sensing of post-fire effects. We are aware that by doing so  
573 spatial heterogeneity is sacrificed to some degree (Key 2006). Differences between  
574 downsampled  $\text{dNBR}_{\text{TM}}$  and  $\text{dNBR}_{\text{MODIS}}$  can be attributed to the use of single-data imagery vs.  
575 8-day composites, discrepancies between traditional bi-temporal differencing and control  
576 pixel selection procedure, differences in preprocessing (e.g. modified c-correction vs. no  
577 topographic correction), MODIS's geolocation error (Wolfe et al., 1998), etc.

578 Previous studies have analyzed the dNBR's optimality for assessing fire/burn severity, most  
579 of them based on Landsat imagery (Roy et al., 2006; Escuin et al., 2008; Murphy et al., 2008;  
580 Veraverbeke et al., 2010bc). This resulted in a moderate mean optimality of 0.49 (Escuin et  
581 al., 2008) and between 0.26-0.80 for six burns in Alaska, United States (Murphy et al., 2008).  
582 Clearly lower mean dNBR optimality scores (0.10) were reported by Roy et al. (2006) for  
583 African savannah burns. These authors also report low dNBR optimality values for MODIS  
584 sensed fires in other ecosystems (Russia, Australia, South America). These results suggest  
585 that the dNBR is suboptimal for assessing fire/burn severity. The poor optimality results  
586 obtained by Roy et al. (2006) can partly be explained by the fact that the authors also included  
587 unburned pixels in their analysis. Unaffected pixels are generally associated with low  
588 optimality scores since a pixel's shift in the bi-spectral space is then only caused by noise  
589 (Escuin et al., 2008). Veraverbeke et al. (2010c) revealed the influence of illumination effects  
590 on dNBR optimality after which they proposed a topographic correction that significantly  
591 improved the reliability of the assessment. Despite of the merits of these studies, none of them  
592 researched the time-dependency of the optimality statistic. The descriptive optimality  
593 statistics (Table 3) reveal the influence of assessment timing on the performance of the  
594 dNBR. The IAs had clearly higher optimality scores than EAs, e.g. for the TM assessment

595 respectively 0.65 ( $\pm$  0.25) and 0.47 ( $\pm$  0.29). Mean optimality values achieved a maximum  
596 at the third or fourth post-fire composite (Figures 8C, 9C, 10C and 11C). At the moment of  
597 maximum optimality, the sd of the optimality statistic reached its minimum elucidating its  
598 stability. Based on the optimality statistic one can indicate three to four weeks post-fire as the  
599 best moment to assess post-fire effects, at least in this study. This moment also corresponds  
600 with the highest magnitude of change in dNBR (figures 8B, 9C, 10C and 11C) and with a  
601 relatively high degree in variation. Results based on our TM data slightly differ from  
602 previously published outcomes based on the same data (Veraverbeke 2010abc), mainly  
603 because of some minor changes in satellite preprocessing and the exclusion of 10 unburned  
604 field plots.

## 605 **5.2 Seasonal timing**

606 An important recommendation when doing bi-temporal change detection is that the image  
607 couple should approximate as closely as possible the anniversary date acquisition scheme  
608 (Coppin et al., 2004). This diminishes illumination differences and phenological  
609 dissimilarities. Because of Landsat's infrequent acquisition of cloud-free imagery (Ju and  
610 Roy, 2008) bi-temporal acquisition schemes potentially diverge from the ideal anniversary  
611 data scheme. This causes problems as external influences (e.g. illumination conditions, plant  
612 phenology) then distort the evaluation of post-fire effects (Verbyla et al., 2008; Veraverbeke  
613 et al., 2010c). Verbyla et al. (2008) demonstrated false trends in dNBR as a consequence of  
614 combined seasonal and topographic effects, while Veraverbeke et al. (2010c) recommended  
615 performing topographic corrections, even for ratio-based analysis, as the general assumption  
616 that ratioing reflectance data removes shade effects does not necessarily hold true. These  
617 issues are merely concerned with traditional image-to-image normalization constraints (Song  
618 and Woodcock, 2003). The application of the control pixel selection procedure, however,  
619 makes the MODIS dNBR time series free of these limitations (Diaz-Delgado and Pons, 2001;

620 Lhermitte et al., 2010b). Comparison of figures 8-11 discloses some important findings.  
621 Firstly, only slight differences in assessment timing can result in distinct index values. On the  
622 one side this results from recovery processes (see section 5.1), but on the other side seasonal  
623 changes in both control and focal pixels are also important. In our study area for example, the  
624 herbaceous resprouters show a clear rise in NBR values during spring, which is a period of  
625 favorable hydro-thermic conditions (figure 3, Specht, 1981; Maselli, 2004). As a consequence  
626 corresponding dNBR and optimality values dramatically drop during this period. In the one-  
627 year post-fire summer productivity of regenerating plants diminishes again which results in a  
628 generally better index performance. Secondly, phenological patterns can greatly vary between  
629 different land cover types (Reed et al., 1994; Viovy, 2000, Lhermitte et al., 2008). Figure  
630 11A, which displays the NBR time series of deciduous forest, contrasts with those of figures  
631 8A, 9A and 10A. This is because the evergreen land cover types (shrub land, coniferous forest  
632 and olive groves) typically have a productivity that remains more or less stable throughout the  
633 year while deciduous forest is characterized by a clear winter minimum and summer  
634 maximum. As a consequence, while the seasonal timing of an assessment produces only small  
635 differences for evergreen species, it is crucial for deciduous forest. When this consideration is  
636 forgotten, an assessment in deciduous land cover types risks to measure plant phenology (e.g.  
637 leaf senescence) in stead of the fire effects, which can falsify fire/burn severity estimations.  
638 Similar findings were achieved by Lhermitte et al. (2010a). In this study, conducted in a  
639 savanna environment, intra-annual changes in index values were dominated by the grass  
640 layer. The assessment was therefore strongly influenced by its seasonal timing. Summarized  
641 for our study area, a Mediterranean-type ecosystem (MTE) with a mixture of land covers, the  
642 summer period is preferential for fire/burn severity assessments. This timing reduces the  
643 occurrence of phenological discrepancies between different land covers.

### 644 **5.3 Implications for Landsat dNBR fire/burn severity assessments**

645 Increasingly, fire researchers become interested in detecting trends in fire/burn severity  
646 (Eidenshink et al., 2007; Miller et al., 2008; Verbyla et al., 2008). To fulfill this duty it is of  
647 paramount importance that assessment are comparable across space and time. The relative  
648 version of the dNBR (RdNBR), which is defined as the dNBR divided by the square root of  
649 the pre-fire NBR, hypothetically allows a better comparison among different land cover types,  
650 especially in heterogeneous landscapes. This was made clear for fires in conifer dominated  
651 vegetation types in California, USA (Miller et al., 2009). Whether the hypothetical advantage  
652 of the relative index to account for spatial heterogeneity has an intuitive appeal, the index  
653 does not handle temporal differences which may be present among different assessments. In  
654 this respect our study demonstrates that only small differences in Landsat acquisition timing  
655 can result in significantly other dNBR and optimality values. This results from both lag and  
656 seasonal constraints. The latter requires a profound knowledge of the covers affected by the  
657 fire and their phenological development, especially when the land covers reveal dissimilar  
658 intra-annual patterns. Lag timing is important as vegetation regrowth mitigates first-order fire  
659 effects (Key et al., 2006; Zhu et al., 2006). This affects the magnitude of change, the  
660 variability and the index performance of what is actually measured. For our Mediterranean  
661 study area, correlation with field data, dNBR variability and optimality were clearly higher  
662 for an IA than for an EA. Additionally, optimality was the highest three to four weeks post-  
663 fire, and not immediately post-fire. In other ecosystems, however, EAs trended better with  
664 field data (Zhu et al., 2006). The NBR was originally developed for the use in temperate and  
665 boreal ecosystems (Key and Benson, 2005; Eidenshink et al., 2007; French et al., 2008 among  
666 them), which are characterized by a relative slow recovery (Cuevas-Gonzalez et al., 2009).  
667 For these ecoregions it is plausible that lag timing not significantly alters the information  
668 content of an assessment. The lag timing of assessment in quickly recovering ecosystems,  
669 however, determines how post-fire effects are measured. Fire severity is estimated with better

670 contrast and higher reliability, while first-order effects are obscured by regeneration processes  
671 when assessing burn severity. This incites caution for the use of the NBR for assessing burn  
672 severity in quickly recovering ecosystems.

673 Of course bi-temporal Landsat assessments are limited by the infrequent image availability  
674 (Ju and Roy, 2009). Moreover, whether or not ecosystem responses are included in the study  
675 makes an important ecological difference and depends on the goals of the project. Within  
676 these limitations, however, one should be aware of the temporal dimension of the remote  
677 sensing of post-fire effects. In this context, we urge for a transparent and consistent use of  
678 terminology as presented in figure 1. In this we follow Lentile et al. (2006) who suggested a  
679 substantial difference between the terms fire and burn severity. From a remote sensing point  
680 of view, our results support this important difference and question the recommendation of  
681 Keeley (2009) to treat both terms as mutually interchangeable. Both terms assess the direct  
682 fire impact but only burn severity includes ecosystem responses.

## 683 **6 Conclusions**

684 The goal of this paper was to elaborate on the temporal dimension of dNBR fire/burn severity  
685 studies. In this context fire severity was defined as the degree of environmental change caused  
686 by fire as measured immediately post-fire, whereas burn severity combines the direct fire  
687 impact and ecosystem responses. The study made use of field, TM and MODIS data. An IA  
688 and EA were calculated based on pre-/post-fire differenced TM imagery. Additionally a  
689 MODIS dNBR time series was generated by using the control pixel selection procedure. This  
690 procedure uses the time series similarity concept to assign a unique control pixel to each  
691 burned pixel, which allows differencing within the same image. The large 2007 Peloponnese  
692 (Greece) wildfires were chosen as case study.

693 Results showed a clearly better correlation with field data for the IA than for the EA. In  
694 addition, the magnitude, variability and optimality of the dNBR were better early post-fire  
695 than one-year post-fire. Moreover, the highest index optimality was reached three to four  
696 weeks post-fire. In quickly recovering ecosystems, thus, regeneration processes mitigate first-  
697 order fire effects, which can obscure burn severity estimations. This demonstrates the  
698 influence of the lag timing of an assessment. Results also revealed that land cover specific  
699 intra-annual variations influence to a high degree dNBR and optimality outcomes. For  
700 example in the Mediterranean, favorable hydro-thermic conditions during spring enhance the  
701 productivity of herbaceous species in the burned areas. This, however, makes the dNBR  
702 unsuitable to measure fire-effects during this period. As such, an appropriate seasonal timing  
703 of an assessment is of paramount importance to minimize false trends. Although these  
704 findings are specific to our case study, similar temporal constraints can be expected in other  
705 ecoregions. Our findings urge, within the limitations of available Landsat imagery, for  
706 awareness of the temporal dimension in the remote sensing of post-fire effects. In this context,  
707 we also propose clarification in associated terminology.

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711 constructive remarks.

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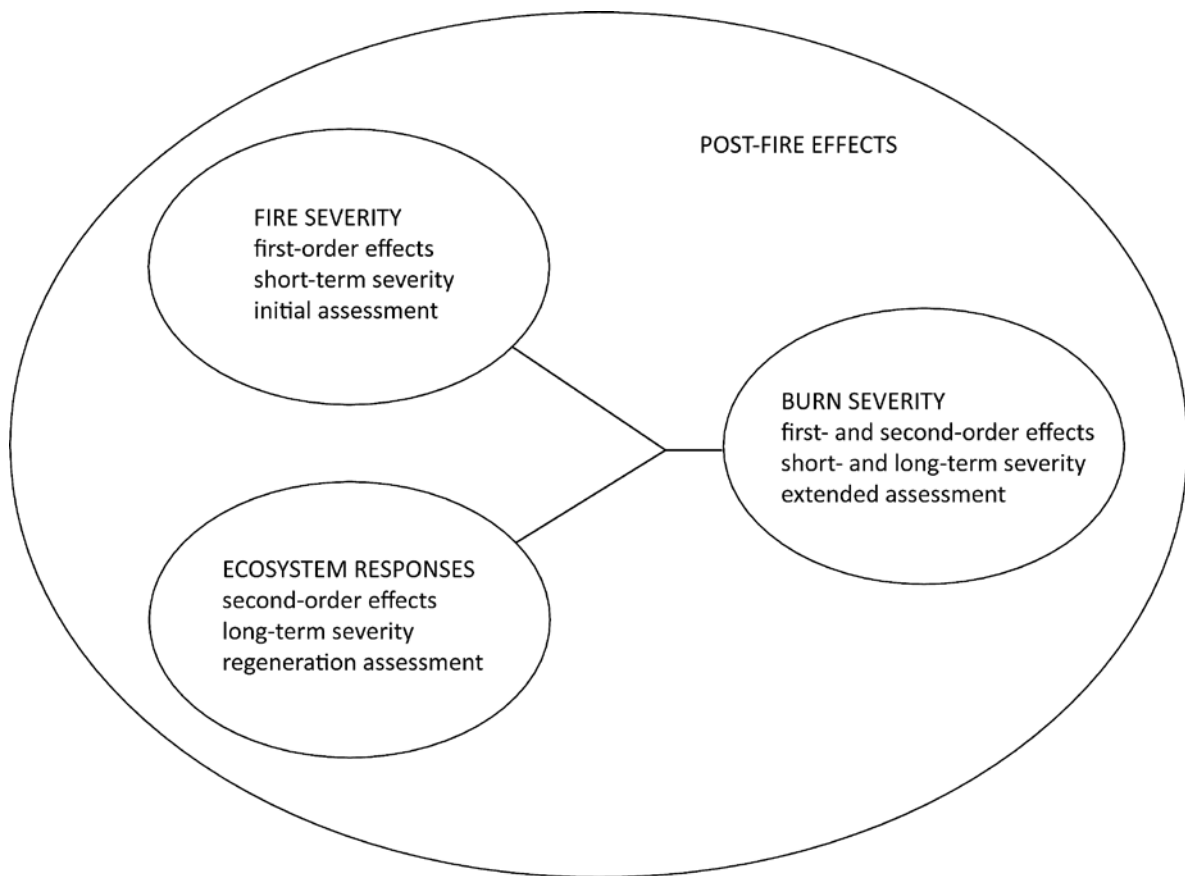
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954 Figure 1. Schematic representation of post-fire effects terminology.

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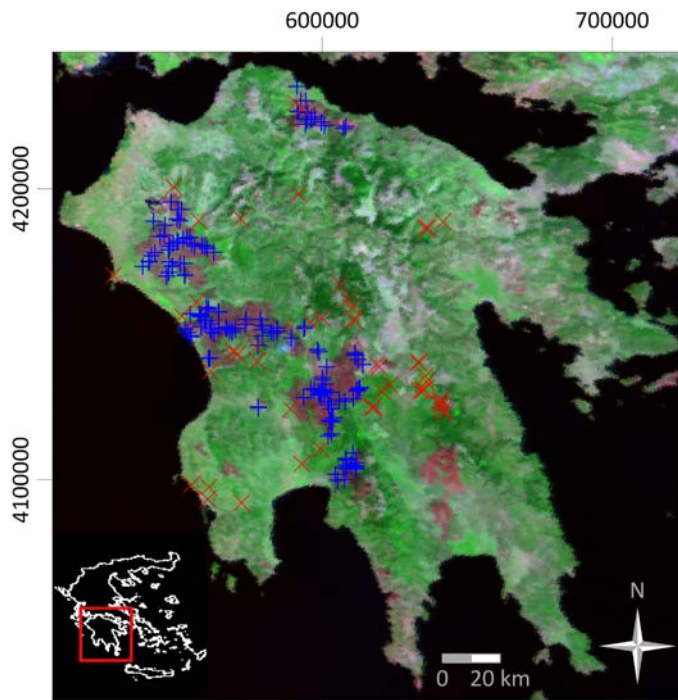
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963 Figure 2. Location of the study area (MODIS daily surface reflectance MOD09GA FCC 01/09/2007 RGB-721,  
964 UTM 34S WGS84). Blue crosses indicate the field plot distribution (section 2.2), while red crosses show the  
965 locations of the training samples used in the land cover classification (section 3.1).

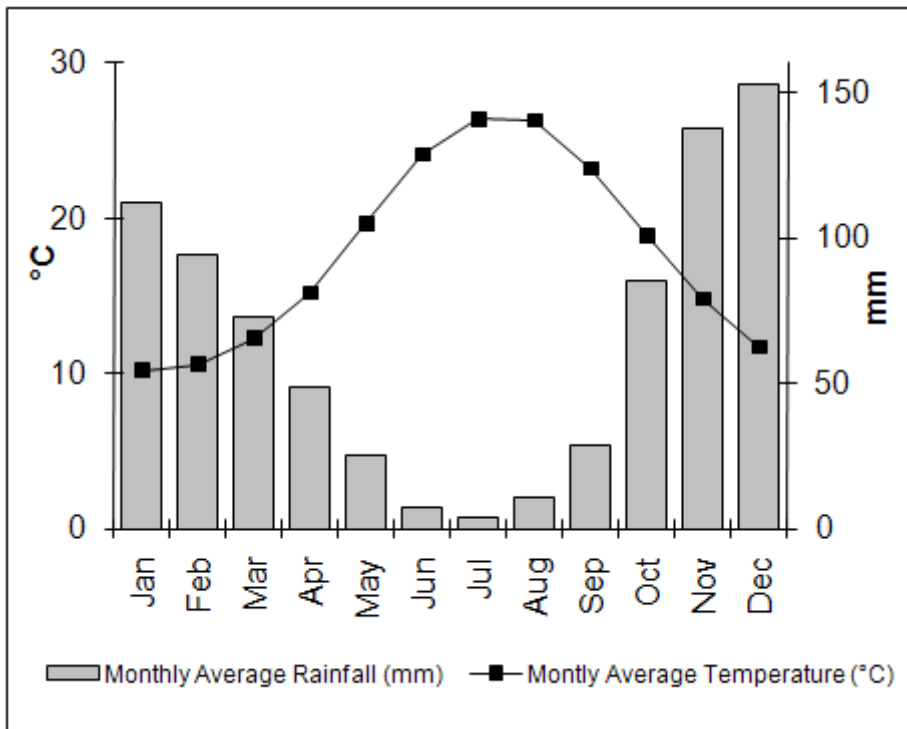
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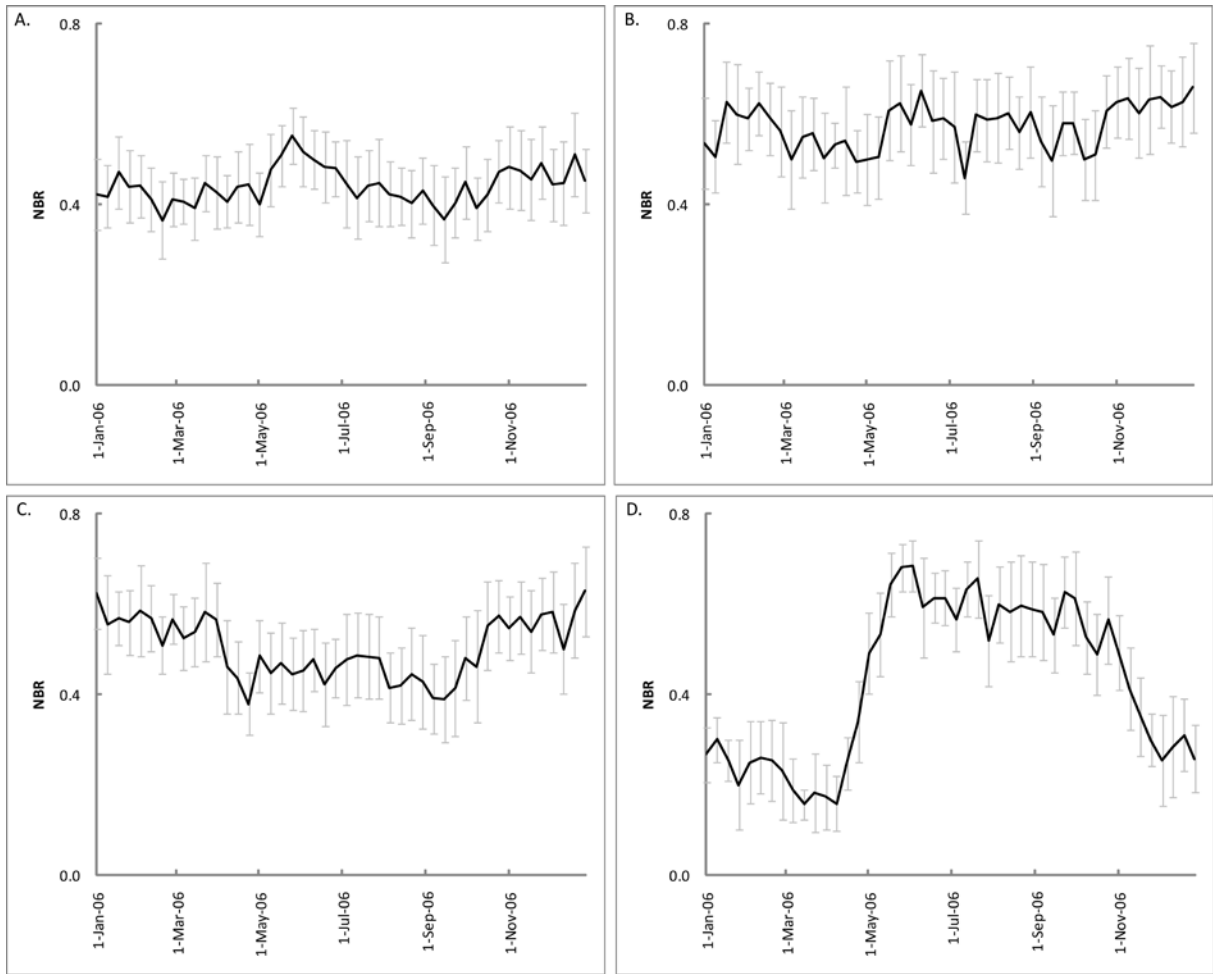
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972 Figure 3. Ombrothermic diagram of the Kalamata (Peloponnese, Greece) meteorological station (37°4'1" N  
 973 22°1'1" E) 1956-1997 (Hellenic National Meteorological Service, [www.hnms.gr](http://www.hnms.gr)).

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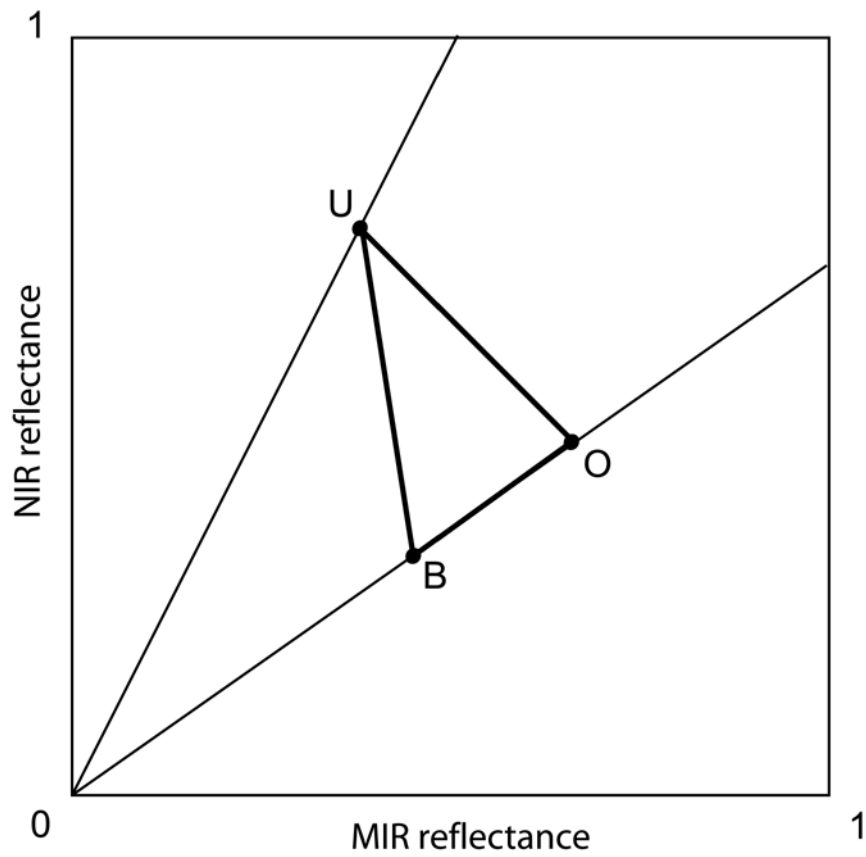
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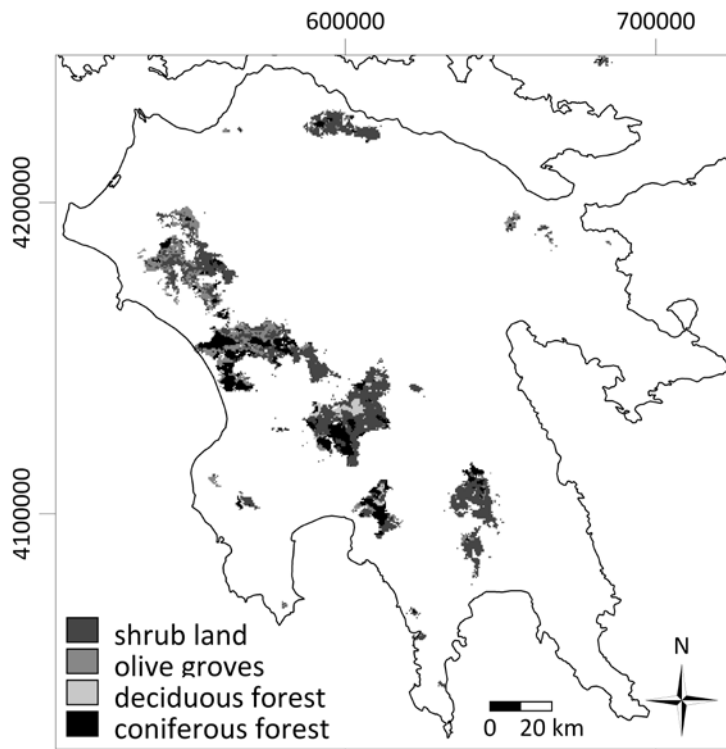
978 Figure 4. Mean temporal profile ( $\pm$  sd) of (A) shrub land, (B) coniferous forest, (C) olive groves and (D)  
 979 deciduous forest training samples used in the pre-fire land cover classification.



980

981 Figure 5. Example pre/post-fire trajectory of a pixel in the MIR-NIR feature space. A pixel displaces from  
 982 unburned (U) to burned (B). O resembles the position of an optimally sensed burned pixel. The dNBR is  
 983 sensitive to the displacement  $|UO|$  and insensitive to the displacement  $|OB|$ .

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986 Figure 6. Pre-fire land cover map obtained after performing a maximum likelihood classification on a MODIS  
 987 NBR time series of the pre-fire year 2006 (temporal profiles of training samples are given in figure 4).

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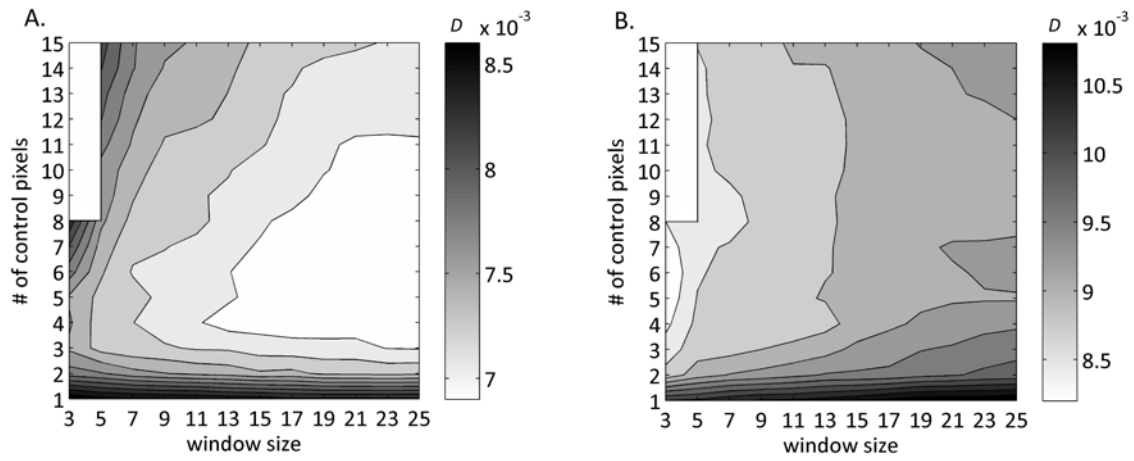
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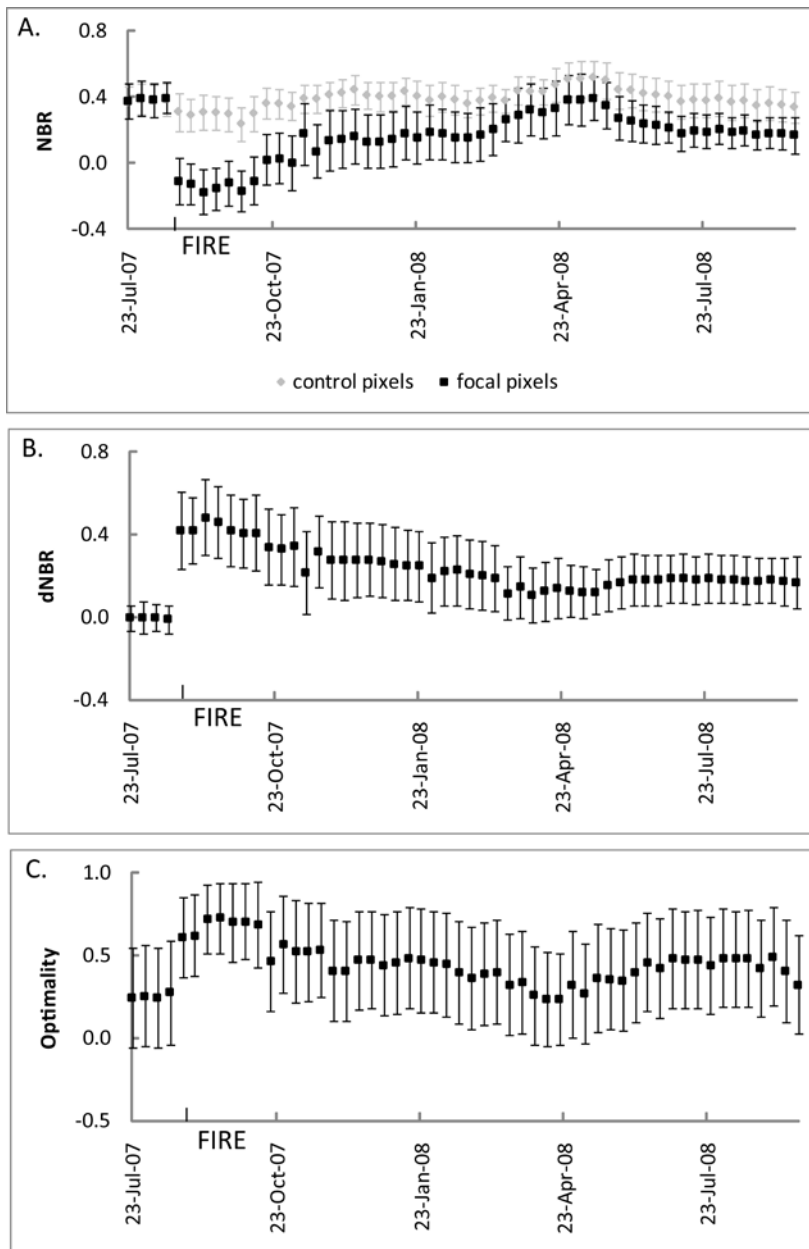
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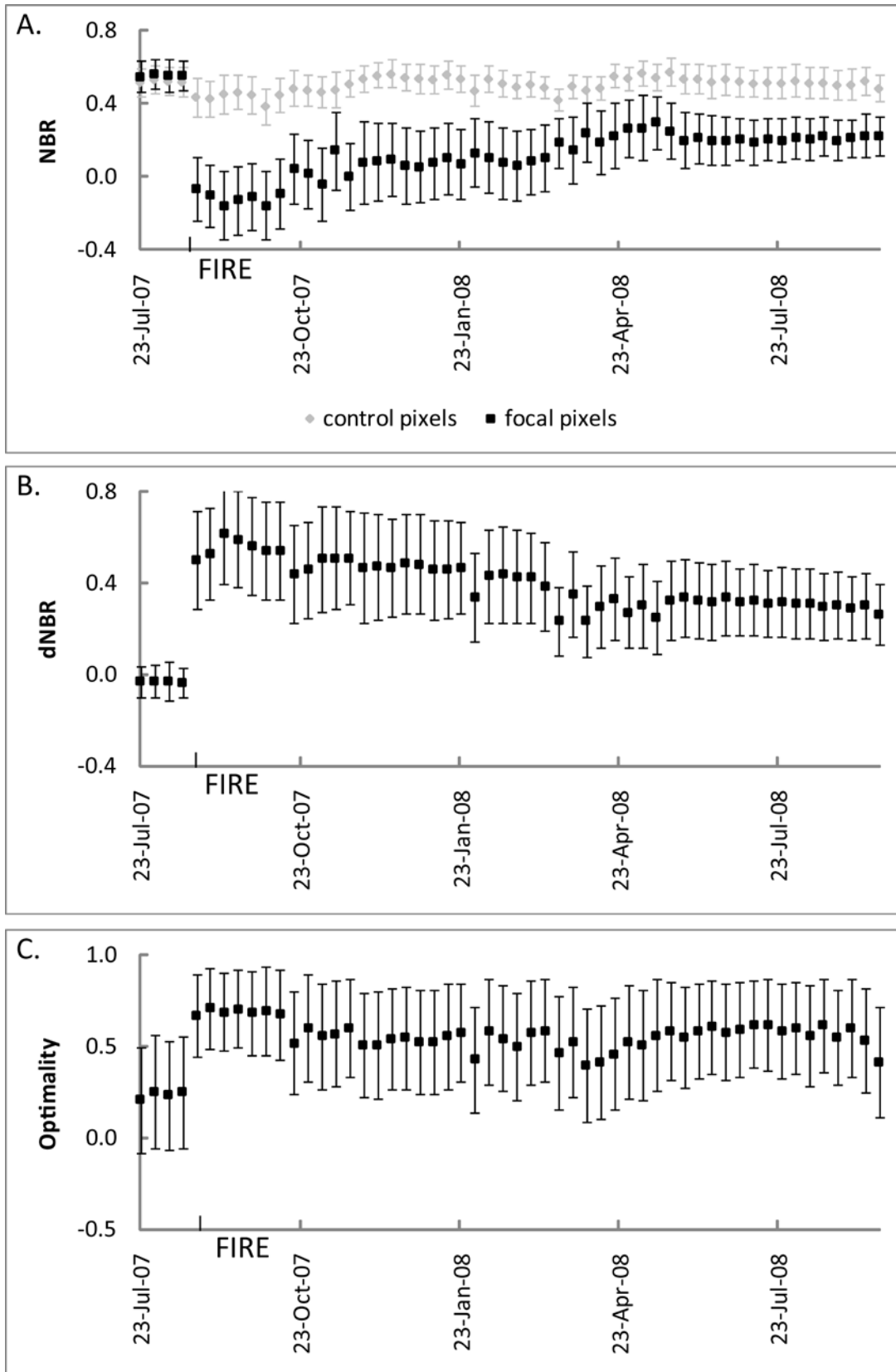
998 Figure 7. Median dissimilarity  $D$  of the 500 sample pixels in function of varying number of control pixels and  
 999 window size for (A) a pre-fire year and for (B) a post-fire year. For the post-fire year, the same control pixels  
 1000 setting as in the pre-fire year is preserved. The grayscale reflects the temporal similarity, while the white areas in  
 1001 the upper-left corner represent impossible combinations (number of control pixels  $> 8$ , for  $3 \times 3$  window size).



1002

1003 Figure 8. Time series of (A) mean NBR of control and focal pixels, (B) mean dNBR and (C) mean optimality  
 1004 (C) shrub land pixels before the fire event. The vertical bars indicate the sd.



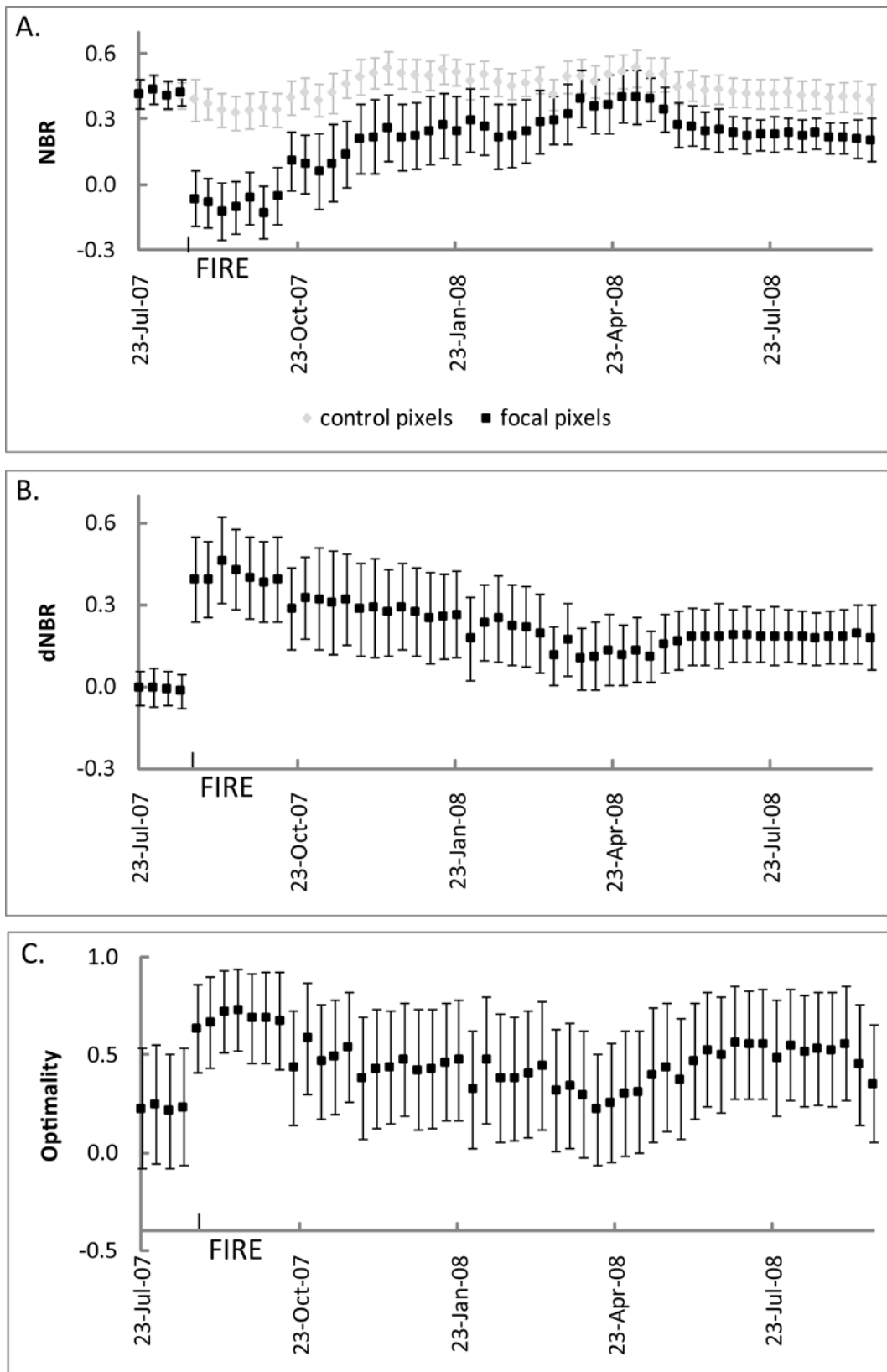


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Figure 9. Time series of (A) mean NBR of control and focal pixels, (B) mean dNBR and (C) mean optimality (C) coniferous forest pixels before the fire event. The vertical bars indicate the sd.

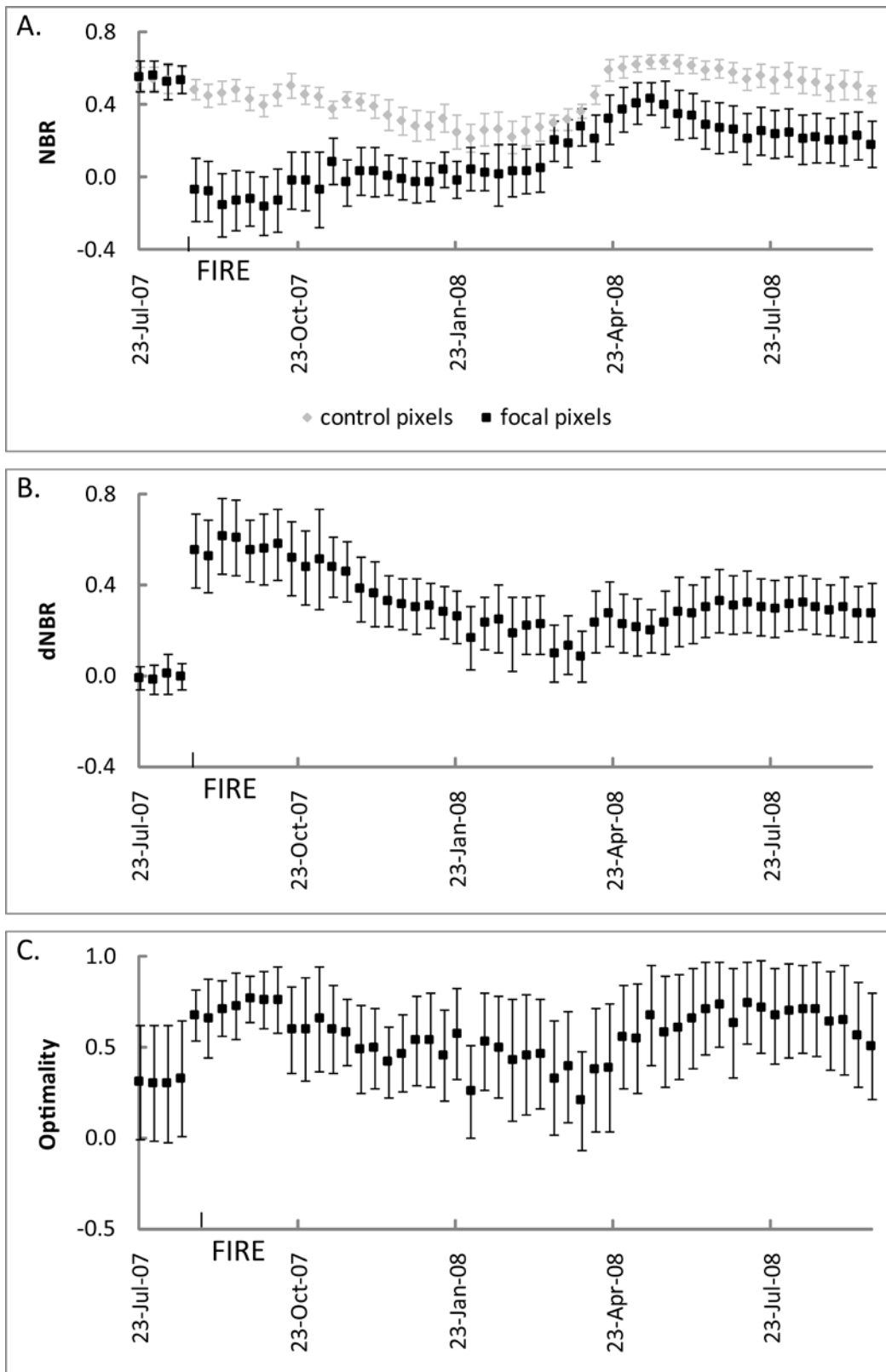


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Figure 10. Time series of (A) mean NBR of control and focal pixels, (B) mean dNBR and (C) mean optimality (C) olive groves pixels before the fire event. The vertical bars indicate the sd.



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1012 Figure 11. Time series of (A) mean NBR of control and focal pixels, (B) mean dNBR and (C) mean optimality  
 1013 (C) deciduous forest pixels before the fire event. The vertical bars indicate the sd.

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1016 Table 1. GeoCBI criteria used to estimate fire/burn severity in the field (after De Santis and Chuvieco 2009).

Stratum	Burn severity scale								
	No effect			Low		Moderate		High	
	0	0.5	1	1.5	2	2.5	3		
Substrates				FCOV					
Litter (l)/light fuel (lf) consumed	0 %	--	50 %	1	--	100 %	1	> 80 % lf	98 % lf
duff	0 %	--	Light char	--	50 %	--		Consumed	
Medium/heavy fuel	0 %	--	20 %	--	40 %	--		> 60 %	
Soil & rock cover/color	0 %	--	10 %	--	40 %	--		> 80 %	
Herbs, low shrubs and trees less than 1 m				FCOV					
% Foliage altered	0 %	--	30 %	--	80 %	95 %		100 %	
Frequency % living	100 %	--	90 %	--	50 %	< 20 %		0 %	
New sprouts	Abundant	--	Moderate-high	--	Moderate	--		Low-none	
Tall shrubs and trees 1 to 5 m				FCOV					
% Foliage altered	0 %	--	20 %	--	60-90 %	> 95 %		branch loss	
Frequency % living	100 %	--	90 %	--	30 %	< 15 %		< 1 %	
LAI change %	0 %	--	15 %	--	70 %	90 %		100 %	
Intermediate trees 5 to 20 m				FCOV					
% Green (unaltered)	100 %	--	80 %	--	40 %	< 10 %		none	
% Black/brown	0 %	--	20 %	--	60-90 %	> 95 %		branch loss	
Frequency % living	100 %	--	90 %	--	30 %	< 15 %		< 1 %	
LAI change %	0 %	--	15 %	--	70 %	90 %		100 %	
Char height	none	--	1.5 m	--	2.8 m	--		> 5 m	
Big trees >20 m				FCOV					
% Green (unaltered)	100 %	--	80 %	--	50 %	< 10 %		none	
% Black/brown	0 %	--	20 %	--	60-90 %	> 95 %		branch loss	
Frequency % living	100 %	--	90 %	--	30 %	< 15 %		< 1 %	
LAI change %	0 %	--	15 %	--	70 %	90 %		100 %	
Char height	none	--	1.8 m	--	4 m	--		> 7 m	

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1018 Table 2. Error matrix of the pre-fire land cover map (accuracy verified based on 150 reference points)

	Reference data				User's accuracy	
	S	O	D	C		
Classified data	S	47	5	1	10	0.75
	O	3	9	1	6	0.47
	D	1	0	13	0	0.93
	C	12	0	1	41	0.76
Producer's accuracy	0.75	0.64	0.81	0.72		<b>0.73</b>
				Kappa		<b>0.60</b>

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1021 Table 3. Descriptive dNBR and optimality statistics of the TM and MODIS IA and EA

	TM		MODIS	
	IA	EA	IA	EA
Mean dNBR ( $\pm$ sd)	0.56 (0.29)	0.29 (0.19)	0.44 (0.19)	0.21 (0.14)
Mean optimality ( $\pm$ sd)	0.65 (0.25)	0.47 (0.29)	0.68 (0.24)	0.50 (0.30)

1022 Table 4. Linear regression results between on the one hand GeoCBI field data and  $dNBR_{TM}$ , on the other  
 1023 between downsampled  $dNBR_{TM}$  and  $dNBR_{MODIS}$  in both IA and EA schemes ( $n = 150$ ,  $p < 0.001$ ).

Model form	a ( $\pm$ sd)	b ( $\pm$ sd)	R <sup>2</sup>
$GeoCBI = a \times dNBR_{TM,IA} + b$	0.649 (0.033)	1.455 (0.019)	0.72
$GeoCBI = a \times dNBR_{TM,EA} + b$	0.767 (0.056)	1.508 (0.018)	0.56
$dNBR_{TM,IA} = a \times dNBR_{MODIS,IA} + b$	0.067 (0.037)	0.804 (0.069)	0.59
$dNBR_{TM,EA} = a \times dNBR_{MODIS,EA} + b$	0.035 (0.022)	0.730 (0.082)	0.45

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