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3 Metal-oxide Clusters on Nitrogen-doped Carbon are highly Selective for CO<sub>2</sub> Electroreduction  
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## Abstract

The electrochemical reduction of CO<sub>2</sub> (eCO<sub>2</sub>RR) using renewable energy is an effective approach to pursue carbon neutrality. The eCO<sub>2</sub>RR to CO is indispensable to promoting C-C coupling through bifunctional catalysis and to achieving cascade conversion from CO<sub>2</sub> to C<sub>2+</sub>. This work investigates a series of M/N-C (M=Mn, Fe, Co, Ni, Cu and Zn) catalysts for which the metal precursor interacted with the nitrogen-doped carbon support (N-C) at room temperature, resulting in the metal being present as (sub)nano-sized metal oxide clusters in *ex situ* condition, except for Cu/N-C and Zn/N-C. A volcano trend in their activity toward CO as a function of the group of the transition metal is revealed, with Co/N-C exhibiting the highest activity at -0.5 V vs. RHE; while Ni/N-C shows both appreciable activity and selectivity. *Operando* XAS shows that the majority of Cu atoms in Cu/N-C forms Cu<sup>0</sup> clusters during eCO<sub>2</sub>RR; while the Mn/, Fe/, Co/, and Ni/N-C maintain the metal hydroxide structures, with a minor amount of M<sup>0</sup> formed in Fe/, Co/, and Ni/N-C. The superior activity of Fe/, Co/ and Ni/N-C is ascribed to the phase contraction and the HCO<sub>3</sub><sup>-</sup> insertion into the layered structure of metal hydroxides. Our work provides a facile synthetic approach toward highly active and selective electrocatalysts to convert CO<sub>2</sub> into CO. Coupling with state-of-the-art NiFe-based anodes in a full cell device, Ni/N-C exhibits >80% Faradaic efficiency toward CO at 100 mA cm<sup>-2</sup>.

Keywords: CO<sub>2</sub> electroreduction, metal oxide clusters, volcano trend, *operando* X-ray absorption spectroscopy, phase contraction, nitrogen-doped carbon

## 1. Introduction

The direct electrochemical CO<sub>2</sub> reduction reaction (eCO<sub>2</sub>RR) to fuels and valuable chemicals, powered by renewable energies, is an attractive pathway to establish a carbon-neutral energy cycle. However, the implementation of CO<sub>2</sub> electrolyzers is still impeded by: 1) low energy efficiency due to the high overpotential needed to activate CO<sub>2</sub>;<sup>[1]</sup> 2) low Faradaic efficiency (FE) due to competing hydrogen evolution reaction (HER) in aqueous electrolytes;<sup>[1]</sup> 3) low current densities due to poor CO<sub>2</sub> mass transport when using CO<sub>2</sub>-saturated aqueous electrolytes as cathode feed.<sup>[2, 3]</sup> In the past decades, research in the field of eCO<sub>2</sub>RR has focused on developing efficient and selective electrocatalysts *via* surface functionalization,<sup>[4, 5]</sup> metal alloying,<sup>[6, 7]</sup> heteroatom doping,<sup>[8]</sup> facet/morphology regulation,<sup>[9, 10]</sup> and particle size tailoring<sup>[11]</sup>. Recently, industrial scale current densities (>100 mA·cm<sup>-2</sup>) have been achieved *via* enhanced mass transport of CO<sub>2</sub> in gas-diffusion electrodes (GDEs).<sup>[12-16]</sup>

Depending on the nature of catalytic sites and the operating conditions, C<sub>1</sub> (CO, CH<sub>4</sub>, CH<sub>3</sub>OH, HCOOH, *etc.*), C<sub>2</sub> (C<sub>2</sub>H<sub>4</sub>, CH<sub>3</sub>CH<sub>2</sub>OH, CH<sub>3</sub>COOH, *etc.*) and C<sub>3</sub> (n-C<sub>3</sub>H<sub>7</sub>OH, *etc.*) products have been reported for eCO<sub>2</sub>RR.<sup>[1]</sup> The state-of-the-art Faradaic efficiency (FE) of eCO<sub>2</sub>RR to C<sub>1</sub> products (CO or formate) is >90% over Zn,<sup>[17]</sup> Ag,<sup>[18]</sup> Au,<sup>[19]</sup> SnO<sub>x</sub><sup>[20]</sup> and catalysts comprising single-metal-atom sites.<sup>[21-25]</sup> However, only Cu-based catalysts promote the production of C<sub>2+</sub> chemicals but, hitherto, with low FEs (<50%) toward a particular C<sub>2+</sub> product.<sup>[6, 8-10]</sup> Only few studies have, to the best of our knowledge, reported the reduction of CO<sub>2</sub> toward a C<sub>2</sub> product (acetic acid) over Cu-free catalysts and with significant selectivity.<sup>[26-28]</sup> To date, the most efficient approach to favour C<sub>2+</sub> products *vs.* CO, CH<sub>4</sub> and formic acid is the fine tuning of the surface structure of Cu, including the oxidation state, defects, crystal facets and doping with a secondary metal.<sup>[6, 8-10, 29-32]</sup> The doping of Cu with Ag, Au and Zn leans, partially, on the high selectivity for CO<sub>2</sub>-to-CO of such metallic surfaces and the

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3 recognized importance of the CO intermediate in the formation of C<sub>2</sub> products on Cu.<sup>[33-37]</sup>  
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5 Doping Cu with Ag, Au and Zn can thus be described as introducing bifunctionality at the  
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7 atomic scale. However, the extent to which Cu can be doped by CO-selective sites is limited,<sup>[35,</sup>  
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9 <sup>36]</sup> and the doping simultaneously alters the geometric and electronic structures of the Cu  
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11 surface, modifying its selectivity.<sup>[6, 38-41]</sup> In addition, such advanced surface structures prepared  
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13 and optimized *ex situ* generally experience significant reconstructions during eCO<sub>2</sub>RR, either  
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15 due to the low electrochemical potential and/or due to *operando* produced CO.<sup>[42-44]</sup>  
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20 Recently, alternative bifunctional catalysis approaches have been investigated in which CO is  
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22 produced on one type of active sites and subsequently desorbed and transported to other sites  
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24 that catalyse C-C coupling.<sup>[4, 5, 36, 45]</sup> Compared to atomic-level bifunctionality, this approach  
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26 disentangles the effect of the two catalytic sites and allows optimizing them separately. For  
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28 example, E.H. Sargent's group *et al.* <sup>[36]</sup> demonstrated that metallic copper/metal-porphyrin  
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30 composite cathodes promote the C-C coupling, leading to higher peak Faradaic efficiency (FE)  
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32 of 41% toward ethanol compared to that of 29% over pure Cu. Similarly, P. Strasser's group  
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34 reported that the ethylene production rate was 3-fold faster on Cu nanoparticles mixed with Ni-  
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36 N-C than on Cu nanoparticles alone.<sup>[45]</sup>  
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42 These examples show that CO-making sites do not need to be at atomic distance of the Cu  
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44 surface in order to enhance Cu selectivity towards C<sub>2</sub> products. This opens the door to diverse  
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46 strategies at the cathode, electrolyzer and system levels in order to tune the product selectivity.  
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48 For example, CO/CO<sub>2</sub> feed was shown to increase the selectivity towards ethylene compared  
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50 to pure CO<sub>2</sub> feed.<sup>[45]</sup> The eCO<sub>2</sub>RR towards multi-carbon products might thus be achieved in  
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52 two stages from a cell engineering viewpoint as well, for example with a first electrolyzer cell  
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54 with a cathode designed to produce syngas from CO<sub>2</sub>, and the syngas serving as inlet gas for a  
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56 second electrolyzer cell where a Cu-based cathode transforms it selectively into C<sub>2</sub> products.<sup>[46]</sup>  
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3 In addition, complete electrochemical conversion of CO<sub>2</sub>-to-CO in the first stage would be  
4 beneficial as it would allow carrying out electrochemical reduction of CO to C<sub>2+</sub> products at  
5 high pH (13-14) in the second stage, with more facile kinetics than in near-neutral pH.<sup>[2, 47]</sup> In  
6 contrast, sustainably operating eCO<sub>2</sub>RR at high pH is impossible, due to the fast carbonation  
7 of high-pH catholyte with CO<sub>2</sub>-gas feed.<sup>[2, 3]</sup>  
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11 Thus, it is of paramount importance to develop a robust, efficient and selective electrocatalyst  
12 for eCO<sub>2</sub>RR to CO to realize the practical implementation of CO<sub>2</sub> electrolyzers. Metal-  
13 nitrogen-carbon (M-N-C, with M=Mn, Fe, Co, Ni, and Cu) materials that exclusively comprise  
14 atomically-dispersed MN<sub>x</sub> sites have shown high selectivity toward CO (especially for M = Fe  
15 or Ni).<sup>[21-25]</sup> Excessive metal content however leads to aggregation of a fraction of metal during  
16 pyrolysis into particles, resulting in lower selectivity towards CO.<sup>[48-50]</sup> The synthesis of M-  
17 N-C catalysts comprising only MN<sub>x</sub> sites is therefore not trivial and requires optimisation of  
18 the content and nature of the metal, N and C precursors, as well as one or several pyrolysis  
19 steps and, often, post-pyrolysis treatment to remove excess metal.<sup>[22-24, 51]</sup> This has drawback  
20 on the life-cycle assessment if such catalysts were implemented in industrial-scale CO<sub>2</sub>  
21 electrolyzers. MN<sub>4</sub> macrocycles such as phthalocyanines and porphyrins adsorbed or attached  
22 onto carbon allotropes have shown high selectivity for CO formation, comparable to that of M-  
23 N-C materials.<sup>[52-54]</sup> However, the synthesis of such macrocycles is not ideal for large-scale  
24 production of low-cost catalyst. Moreover, it has been reported that both MN<sub>x</sub> sites (in  
25 pyrolyzed M-N-C) and MN<sub>4</sub> sites (in macrocycles supported *e.g.* on carbon) are prone to  
26 clustering during eCO<sub>2</sub>RR, as observed *via operando* X-ray absorption spectroscopy (XAS).<sup>[25,</sup>  
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3 Herein, we developed and studied a series of M/N-C (M=Mn, Fe, Co, Ni, Cu and Zn) catalysts  
4 featuring highly-dispersed metal-oxide particles supported on nitrogen-doped carbon (N-C)  
5 under *ex situ* condition, except for Cu/N-C and Zn/N-C, featuring mainly atomically dispersed  
6 sites. Their catalytic activity and selectivity toward eCO<sub>2</sub>RR to CO was evaluated in a flow  
7 cell with GDEs. A volcano trend in their activity toward CO as a function of the group of the  
8 transition metal is revealed, with Co/N-C exhibiting the highest intrinsic activity at -0.5 V vs.  
9 RHE; while Ni/N-C shows both high activity and selectivity. *Operando* XAS was further  
10 exploited to investigate structural changes under working conditions. The majority of Cu atoms  
11 in Cu/N-C forms Cu<sup>0</sup> clusters during eCO<sub>2</sub>RR; while the Mn/, Fe/, Co/, and Ni/N-C maintain  
12 the metal hydroxide structures, with a minor amount of M<sup>0</sup> formed *in operando* with Fe/, Co/,  
13 and Ni/NC samples. Then the M/N-C cathodes were coupled with state-of-the-art NiFe-based  
14 anodes in PGM-free electrolyzer cells, with Ni/N-C exhibiting >80% FE toward CO at 100 mA  
15 cm<sup>-2</sup> at a cell voltage of ~4 V.

## 2. Results and discussion

### 2.1. *Ex situ* identification of the metal coordination in M/N-C

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38 The metal coordination and presence of metal-oxide particles supported on N-C, is firstly  
39 studied and revealed under *ex situ* condition for the series of materials developed in this work  
40 and labelled as M/N-C (M=Mn, Fe, Co, Ni, Cu and Zn). The metal coordination and spectral  
41 response is also compared with M-N-C catalysts previously studied at our laboratory, and  
42 featuring exclusively atomically dispersed MN<sub>x</sub> sites. The synthesis of M-N-C catalysts was  
43 described in a previous work.<sup>[25]</sup> Briefly, the well-mixed precursors of metal acetate, 1,10-  
44 phenanthroline and ZIF-8 (Basolite® Z1200) were pyrolyzed in Ar at 1050 °C in flash mode.  
45 In contrast, the M/N-C catalysts (M = Mn, Fe, Co, Ni, Cu) were synthesized *via* chemical  
46 precipitation wherein the transition metals were deposited on N-C by dissolving M<sup>(II)</sup>Cl<sub>2</sub> salt  
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3 in a suspension of N-C in water/ethanol (see Experimental Methods for the detailed protocol).  
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5 The N-C support was prepared *via* pyrolysis of ZIF-8, as described further below. Then the  
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7 suspension was centrifuged, washed with water and let to dry in ambient conditions. The dry  
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9 powder was finally subjected to a mild heat treatment at 200°C for 2 h in flowing Ar, for  
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11 complete drying. The major difference between the synthesis of M-N-C and M/N-C is that the  
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13 metal atoms were subjected to a high-temperature pyrolysis for the former synthesis, leading  
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15 to the formation of atomically dispersed M-N<sub>4</sub> sites, but not for the latter synthesis. The N-C  
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17 support was synthesized by pyrolysis of ZIF-8, with a first pyrolysis in flash mode in flowing  
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19 Ar at 1050°C for 1 h, followed by a second flash pyrolysis in flowing NH<sub>3</sub> at 900°C for 10  
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21 min. The second pyrolysis was introduced to increase the N-content and surface basicity. As  
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23 will be shown later, the presence of nitrogen in the carbon substrate is key to the CO<sub>2</sub>RR  
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25 activity of the present M/N-C materials. It should be noted here that the N-C support contains  
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27 Zn-N<sub>4</sub> sites (**Figure S1**). However, we label it as N-C for simplicity and also because the Zn-  
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29 N<sub>4</sub> sites were previously demonstrated to be inactive towards CO<sub>2</sub>RR.<sup>[25]</sup>  
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36 The bulk metal contents in M/N-C materials were derived from the absolute absorption height  
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38 of XAS spectra, measured in transmission mode and for a fixed catalyst weight per cross  
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40 section of pellet. They are shown in **Table S1**, with metal loadings varying between 1.3 and  
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42 2.5 wt.%. This agrees with the metal contents obtained by X-ray photoelectron spectroscopy  
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44 (XPS) in the range of 0.5 to 2.2 wt.% (**Table S2**). All M/N-C materials also contain a significant  
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46 amount of Zn, derived from ZIF-8, that is comparable to the Zn amount in the N-C substrate  
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48 (**Table S2**). The Zn is present as atomically dispersed ZnN<sub>4</sub> moieties, as shown by X-ray  
49  
50 absorption near edge spectroscopy (XANES) and extended X-ray absorption fine structure  
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52 (EXAFS) (**Figure S1**). The same ZnN<sub>4</sub> site and similar Zn content were also observed after a  
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54 single pyrolysis in Ar of ZIF-8.<sup>[25]</sup>  
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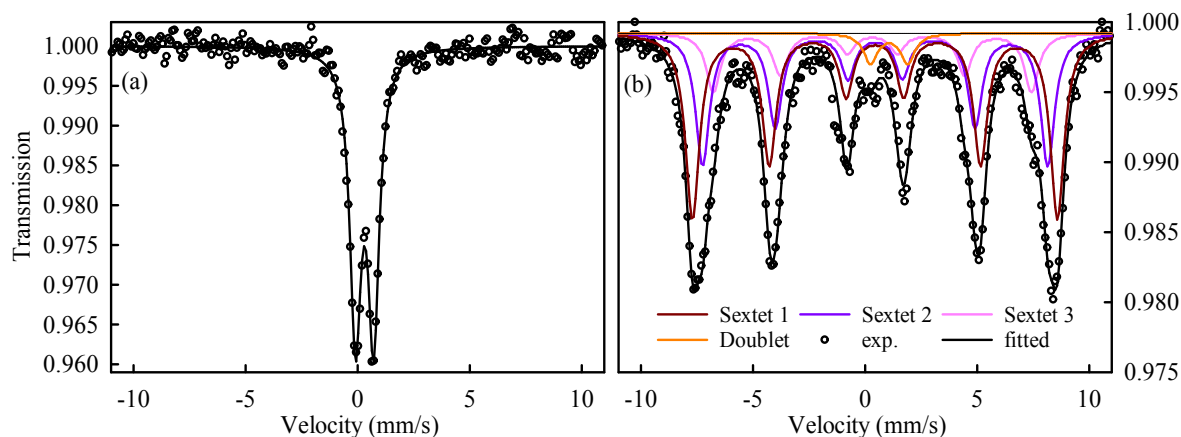


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3 The X-ray diffraction (XRD) patterns of M/N-C materials (**Figure S2**) exhibit only two broad  
4 peaks typical for nanometric graphite-like domains present in amorphous N-C, and no  
5 diffraction peaks related to metal-based crystalline structures. XRD is however not ideally  
6 suited to identify the possible presence of metal clusters in such materials, due to i) the low  
7 metal content on N-C (**Tables S1-S2**), ii) the small size of metal clusters, or iii) the amorphous  
8 character of metal clusters due to the mild synthesis conditions. To obtain precise information  
9 on the metal coordination and oxidation state in M/N-C, we then resorted to  $^{57}\text{Fe}$  Mössbauer  
10 spectroscopy for Fe/N-C, and XAS at the metal K-edge for all M/N-C.  
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22 The Mössbauer spectrum acquired at room temperature shows only one quadrupole doublet  
23 (**Figure 1a**), with isomer shift and quadrupole splitting values of 0.31 and 0.81  $\text{mm}\cdot\text{s}^{-1}$ ,  
24 respectively (**Table S3**). While this doublet can generally be assigned to  $\text{Fe}^{3+}$  in high-spin (HS)  
25 state,<sup>[57]</sup> Mössbauer measurement at room temperature cannot distinguish between HS  $\text{Fe}^{3+}$  in  
26 amorphous or (sub)-nanometric  $\text{Fe}_2\text{O}_3$  and HS  $\text{Fe}^{3+}$  in atomically-dispersed sites.<sup>[58, 59]</sup> In the  
27 context of this study, Fe sites in Fe/N-C might exist as a result of possible trans-metalation  
28 from  $\text{ZnN}_4$  moieties (present in N-C) to  $\text{FeN}_4$  moieties during the precipitation of Fe onto N-C  
29 in aqueous solution,<sup>[60, 61]</sup> or as a result of  $\text{Fe}^{2+}$  adsorption on N- or O-functional groups on the  
30 surface of N-C, followed by oxidation during the drying step in air.  
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44 We then performed  $^{57}\text{Fe}$  Mössbauer spectroscopy at 5 K, taking advantage of the different  
45 temperature-dependent behaviours of the Mössbauer spectrum of nanometric ferric oxides and  
46 atomically-dispersed Fe-sites, the former changing from doublet to sextet signal below a  
47 certain critical temperature,<sup>[62]</sup> while the latter remains a doublet even at 5 K.<sup>[59, 63]</sup> The 5 K  
48 Mössbauer spectrum of Fe/N-C exhibits three sextets with slightly different IS-values and  
49 hyperfine fields (HF) amounting to 96 % of the resonant area, as well as a minute amount of a  
50 quadrupole doublet (4 %) (**Figure 1b** and **Table S3**). The IS- and HF values of the three sextets  
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can be assigned to  $\text{Fe}_2\text{O}_3$  with different particle sizes,<sup>[62, 64]</sup> while the doublet is assigned to HS  $\text{Fe}^{2+}$ , due to its high isomer shift of  $1.07 \text{ mm}\cdot\text{s}^{-1}$ .<sup>[65]</sup> Thus, the 5 K Mössbauer spectrum demonstrates that the well-defined narrow doublet observed at room temperature is overwhelmingly arising from amorphous or (sub)nano-sized  $\text{Fe}_2\text{O}_3$ .



**Figure 1.** *Ex situ*  $^{57}\text{Fe}$  Mössbauer spectra for Fe/N-C measured at room temperature (a) and 5 K (b).

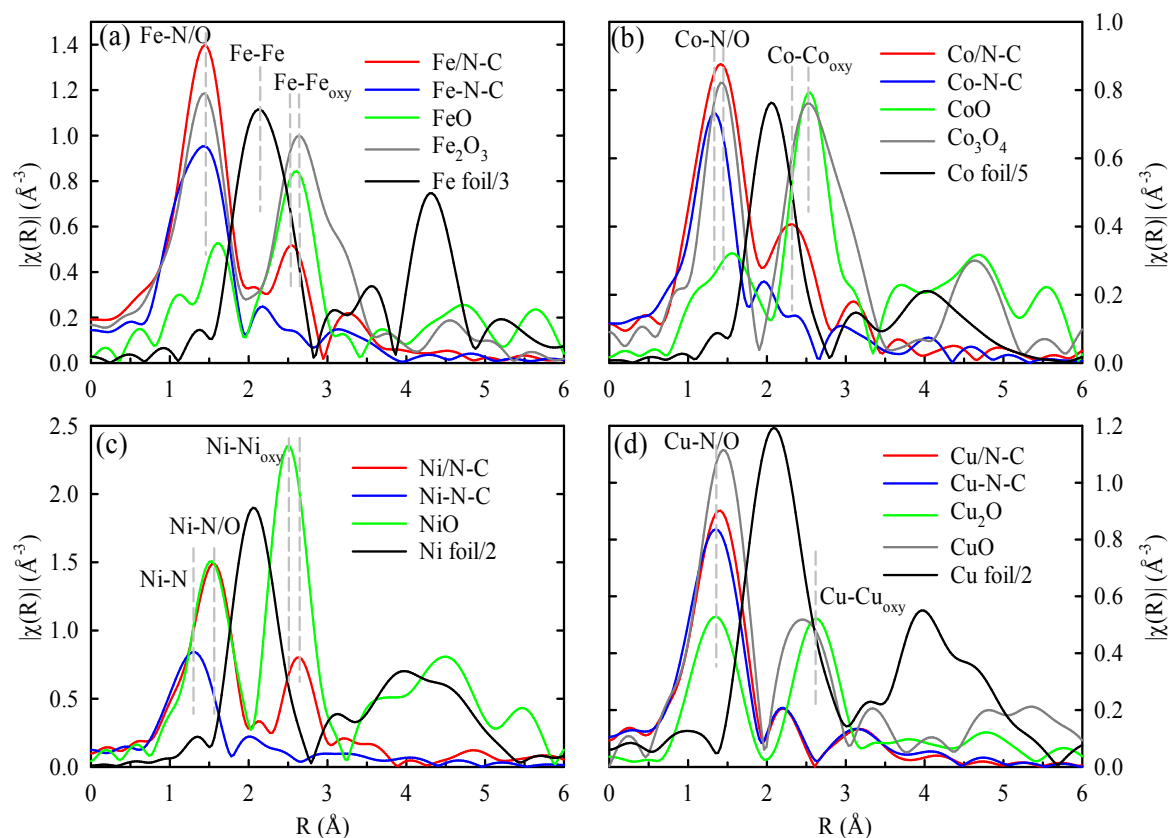
This is also well supported by *ex situ* XAS at the Fe K-edge. The XANES spectrum of Fe/N-C highly resembles that of a commercial  $\text{Fe}_2\text{O}_3$  powder as far as the edge position and the main spectral features are concerned (**Figure S3a**). Moreover, the Fourier transform (FT) of the EXAFS spectrum of Fe/N-C shows two prominent peaks at  $\sim 1.5$  and  $\sim 2.5 \text{ \AA}$  (uncorrected for phase shift), matching the Fe-O and Fe-Fe (labelled Fe-Fe<sub>oxy</sub>) interactions in  $\text{Fe}_2\text{O}_3$  (**Figure 2a**). Similar to our synthesis for Fe/N-C, R. Arrigo *et al.* deposited iron on a N-functionalized carbon paper from nitrate salt and identified with XAS the iron species to be oxides/oxyhydroxides.<sup>[26]</sup> We also note that the XANES and EXAFS spectra of Fe/N-C are different from those of a Fe-N-C material from our laboratory, prepared by the direct pyrolysis of Fe(II) salt, ZIF-8 and phenanthroline, comprising Fe exclusively as atomically-dispersed FeN<sub>x</sub> sites that form at high temperature. The XANES spectra of Fe/N-C and Fe-N-C differ in shape with a lower white line intensity, scaling with Fe-O interactions, for the latter (**Figure**

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3 **S3a)**. The FT-EXAFS spectra of Fe/N-C and Fe-N-C also differ, the latter only shows one  
4 major peak at  $\sim 1.5$  Å due to Fe-N (and Fe-O) interactions from (O<sub>2</sub>)-FeN<sub>x</sub> moieties,<sup>[66, 67]</sup> but  
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6 no peak at 2.5 Å (**Figure 2a**).  
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11 To investigate the metal coordination in the other M/N-C catalysts, we performed *ex situ* XAS  
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13 at their respective metal K-edges and compare spectral features to those of i) reference metal  
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15 oxide materials and ii) a series of M-N-C materials prepared at high temperature and  
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17 comprising only atomically-dispersed MN<sub>x</sub> sites.<sup>[25]</sup> The intensity of the white line of Co/N-C  
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19 XANES is consistent with that of the oxides, and the position of the edge is close to that of  
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21 Co<sub>2</sub>O<sub>3</sub> (**Figure S3b**). The Ni/N-C XANES spectrum matches well the reference NiO spectrum  
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23 over a broad energy range (**Figure S3c**). Moreover, the position of the absorption edges  
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25 indicates that the average oxidation state of Ni in Ni/N-C is close to that of Ni in NiO (Ni<sup>2+</sup>).  
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27 The main peak in the FT-EXAFS spectra of Co/N-C at  $\sim 1.4$  Å matches well the position of the  
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29 Co-O backscattering signal in Co<sub>3</sub>O<sub>4</sub> while the second peak at  $\sim 2.3$  Å matches the position of  
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31 the Co-Co<sub>oxy</sub> backscattering signal in Co<sub>3</sub>O<sub>4</sub> and/or CoO (**Figure 2b**). This is even clearer for  
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33 Ni/N-C, with the positions of the two main peaks in its FT-EXAFS spectrum at  $\sim 1.5$  and  $\sim 2.6$   
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35 Å matching perfectly those for the Ni-O and Ni-Ni<sub>oxy</sub> backscattering paths of NiO (**Figure 2c**).  
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37 Similarly as for Fe/N-C, the XANES and FT-EXAFS spectra of Co/N-C and Ni/N-C differ  
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39 from the corresponding spectra of Co-N-C and Ni-N-C materials from our laboratory, that  
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41 comprise only single-metal-atom MN<sub>4</sub> moieties.<sup>[25]</sup> In the FT-EXAFS, the Co-N-C and Ni-N-  
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43 C reference materials show only one peak with slightly lower bond distance compared to the  
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45 main peak in M/N-C materials (1.3 vs. 1.4–1.5 Å), assigned to M-N bonds (**Figure 2b-c**,  
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47 compare red and blue curves). In the XANES spectra, the intensity of the white-line peak is  
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49 much weaker for Co-N-C and Ni-N-C compared to Co/N-C and Ni/N-C, respectively,  
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51 indicating a lower fraction of M-O bonds (**Figure S3b-c**, compare red and blue curves). In  
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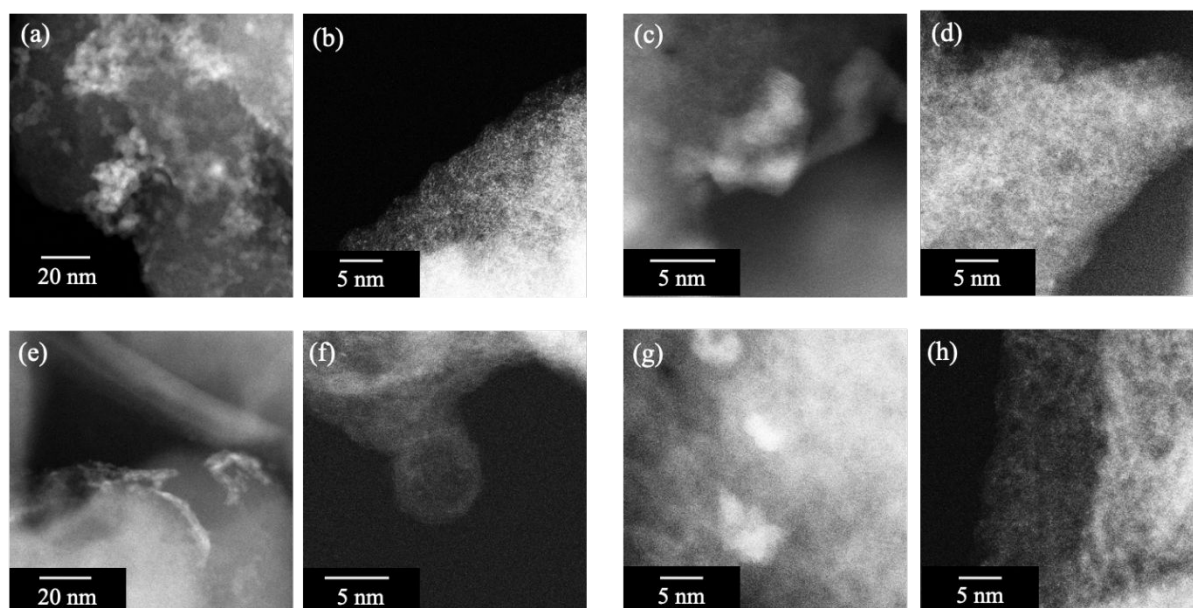
summary, the *ex situ* XAS spectra of Co/N-C and Ni/N-C demonstrate that the majority of transition metals are in the form of amorphous or (sub)nanometric oxides.

Regarding Cu/N-C, its XANES spectrum is dissimilar with those of CuO and Cu<sub>2</sub>O but resembles more that of Cu-N-C (**Figure S3d**). Similarly, the FT-EXAFS spectrum of Cu/N-C closely resembles that of Cu-N-C, with a single peak at  $\sim 1.4$  Å and no secondary peak at the position expected for Cu-Cu<sub>oxy</sub> backscattering (**Figure 2d**). This suggests that Cu might be atomically dispersed as CuO<sub>x</sub> or CuN<sub>x</sub> sites. The latter label does however not necessarily imply the exact same coordination as Cu in Cu-N-C (CuN<sub>4</sub>, formed at high temperature), and we stress that the XANES spectra of Cu/N-C and Cu-N-C are slightly different (**Figure S3d**). Transmetalation between ZnN<sub>x</sub> and Cu<sup>2+</sup> in solution, forming CuN<sub>x</sub> sites, may be more facile than with Fe<sup>2+</sup>, Co<sup>2+</sup> or Ni<sup>2+</sup> cations in solution due to steric or electronic effects, as reported for metalation/transmetalation of metal-free porphyrins.<sup>[68]</sup>



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3 **Figure 2.** Non phase-shift corrected *ex situ* FT-EXAFS spectra of Fe/N-C (a), Co/N-C (b),  
4 Ni/N-C (c) and Cu/N-C (d). For each metal, the FT-EXAFS spectra for metallic foils, metal  
5 oxide powders and M-N-C powder catalysts with MN<sub>4</sub> moieties are also presented as  
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13 To further study the morphologies of the metal-rich clusters in this series of M/N-C catalysts,  
14 we then resorted to aberration-corrected scanning transmission electron microscopy (STEM).  
15 Metal-rich nanoparticles with irregular shapes are identified in Fe/, Co/ and Ni/N-C samples  
16 (**Figure 3a, c, e**), in line with the M-M<sub>oxy</sub> interactions detected in EXAFS; whereas areas  
17 without any obvious clusters/nanoparticles are also observed (**Figure 3b, d, f, h**). Notably,  
18 Cu/N-C shows very few metal-rich clusters even after an extensive investigation on multiple  
19 areas (**Figure 3g**), explaining the absence of Cu-Cu<sub>oxy</sub> interaction in the FT-EXAFS spectra  
20 (**Figure 2d**). Moreover, single-metal-atoms are clearly seen in M/N-C (**Figure S4**); however,  
21 we cannot conclusively say they are Co, Ni or Cu single-atom-sites, since the N-C support  
22 contains a non-negligible amount of atomically dispersed ZnN<sub>4</sub> moieties (**Figure S1**), and the  
23 HAADF STEM cannot distinguish Zn from other metals. In summary, the transition metals in  
24 M/N-C are present mainly as clusters of oxides, except for the case of Cu/N-C with CuN<sub>x</sub> or  
25 CuO<sub>x</sub> moieties formed *via* transmetalation or adsorption.  
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**Figure 3.** HAADF STEM images of Fe/N-C (a, b), Co/N-C (c, d), Ni/N-C (e, f) and Cu/N-C (g, h).

The nitrogen speciation of the M/N-C catalysts was then investigated with XPS. The N1s narrow-scan spectra were deconvoluted into five nitrogen species, namely pyridinic nitrogen, amine or N in  $MN_x$ , pyrrolic N (labelled N-H), graphitic N (including  $N_{gr}/N^+$  and bulk N-H, *i.e.* N-H in the carbon plane) and N-O<sub>x</sub> (**Figure S5**). The nitrogen chemistry of M/N-C catalysts resembles that of the N-C substrate, with similar relative concentrations of different N species, including in particular 15.8–18.9 relative % of N as “ $MN_x$ ” (**Table S2**).

As for the EXAFS and XANES data, we find it useful to compare the XPS N1s narrow-scan spectra of M/N-C materials to those for M-N-C. Since the N1s spectra for M-N-C are also similar for different 3d metals,<sup>[25]</sup> we show and discuss the N1s spectrum of Fe-N-C only as a representative example. The N1s spectrum of Fe-N-C was fitted with the same N-species (**Figure S5f**) but contains different relative concentrations of N-species compared to M/N-C (**Table S2**). Specifically, the ratios of N-Pyridinic to  $MN_x$  are 1.9–2.3 for M/N-C materials and the N-C substrate as well, but only ~1.6 for Fe-N-C. The latter might indicate that pyridinic N

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3 are preferentially utilized for forming  $\text{FeN}_x$  sites during pyrolysis in the presence of Fe. In  
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5 contrast, similar N-Pyridinic/M- $\text{N}_x$  ratios for all M/N-C materials and for the N-C substrate  
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7 suggests that pyridinic nitrogen did not bind metal cations during the metal salt precipitation,  
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9 and that the  $\text{MN}_x$  signal in M/N-C can mainly be assigned to  $\text{ZnN}_x$  sites that were already  
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11 present in the N-C substrate. Transmetalation of a fraction of  $\text{ZnN}_x$  sites into  $\text{CuN}_x$  sites would  
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13 also lead to a ratio of N-Pyridinic/M- $\text{N}_x$  similar to that in N-C, and the XPS results are thus not  
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15 contradicting the XANES and EXAFS conclusions on Cu/N-C.  
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20 We also synthesized the Mn/ and Zn/N-C catalysts and their structures were studied with *ex*  
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22 *situ* XAS and XRD (**Figure S6**). The peaks at  $\sim 1.4$ ,  $\sim 2.7$  and  $\sim 4.8$  Å in the FT-EXAFS  
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24 spectrum of Mn/N-C coincides with the Mn-O and Mn-Mn<sub>oxy</sub> interactions in manganese oxides,  
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26 while the additional peak at  $\sim 2.1$  and  $3.3$  Å are also indicative of the presence of MnOOH  
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28 (**Figure S6a**). The remaining presence of a metal hydroxide in Mn/N-C and absence in the  
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30 other M/N-C samples is due to the significantly higher decomposition temperature of  $\gamma$ -  
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32 MnOOH ( $> 300$  °C) compared to the metal (oxy)hydroxides for Fe, Co, Ni, Cu and Zn.<sup>[69]</sup> The  
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34 XRD pattern of Mn/N-C shows two peaks at  $\sim 33^\circ$  and  $36^\circ$  attributed to  $\text{Mn}_2\text{O}_3$  and  $\text{Mn}_3\text{O}_4$ ,  
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36 respectively,<sup>[70]</sup> in addition to the two broad peaks arising from amorphous carbon (**Figure**  
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38 **S6c**). These results show that Mn/N-C contains manganese oxides/oxyhydroxides with  
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40 relatively larger particles sizes compared to other M/N-C, on the basis of their detection by  
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42 XRD. Regarding Zn/N-C, it contains both  $\text{ZnN}_4$  sites (already present in N-C) and zinc species  
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44 that were formed during the subsequent adsorption/precipitation process. As a result, the  
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46 XANES and FT-EXAFS of Zn/N-C closely resemble that of N-C, except for a minor peak at  
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48  $\sim 2.9$  Å attributed to Zn-Zn<sub>oxy</sub> interaction as in ZnO. In contrast to Mn/N-C, the ZnO clusters  
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50 are not detected by XRD, suggesting amorphous or sub-nanometric ZnO clusters.  
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3 In summary, *ex situ* XAS,  $^{57}\text{Fe}$  Mössbauer spectroscopy, XRD and STEM results demonstrate  
4 the presence of highly dispersed transition metal oxides ( $\text{MO}_x$ ) supported on N-C (comprising  
5 ZnN<sub>x</sub> sites) in M/N-C materials, except for Cu/N-C comprising atomically dispersed CuO<sub>x</sub> or  
6 CuN<sub>x</sub> sites, with possible transmetalation from ZnN<sub>x</sub> to CuN<sub>x</sub> sites.  
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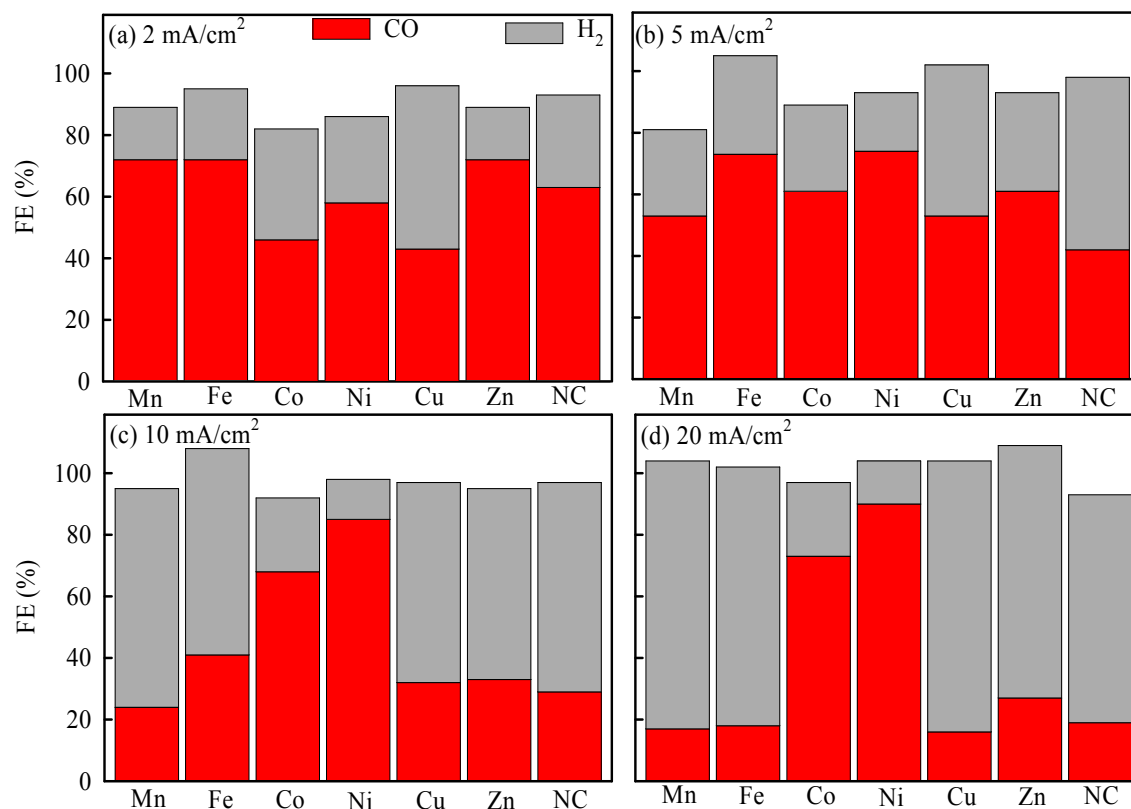
## 13 **2.2 Activity and selectivity of M/N-C materials for eCO<sub>2</sub>RR**

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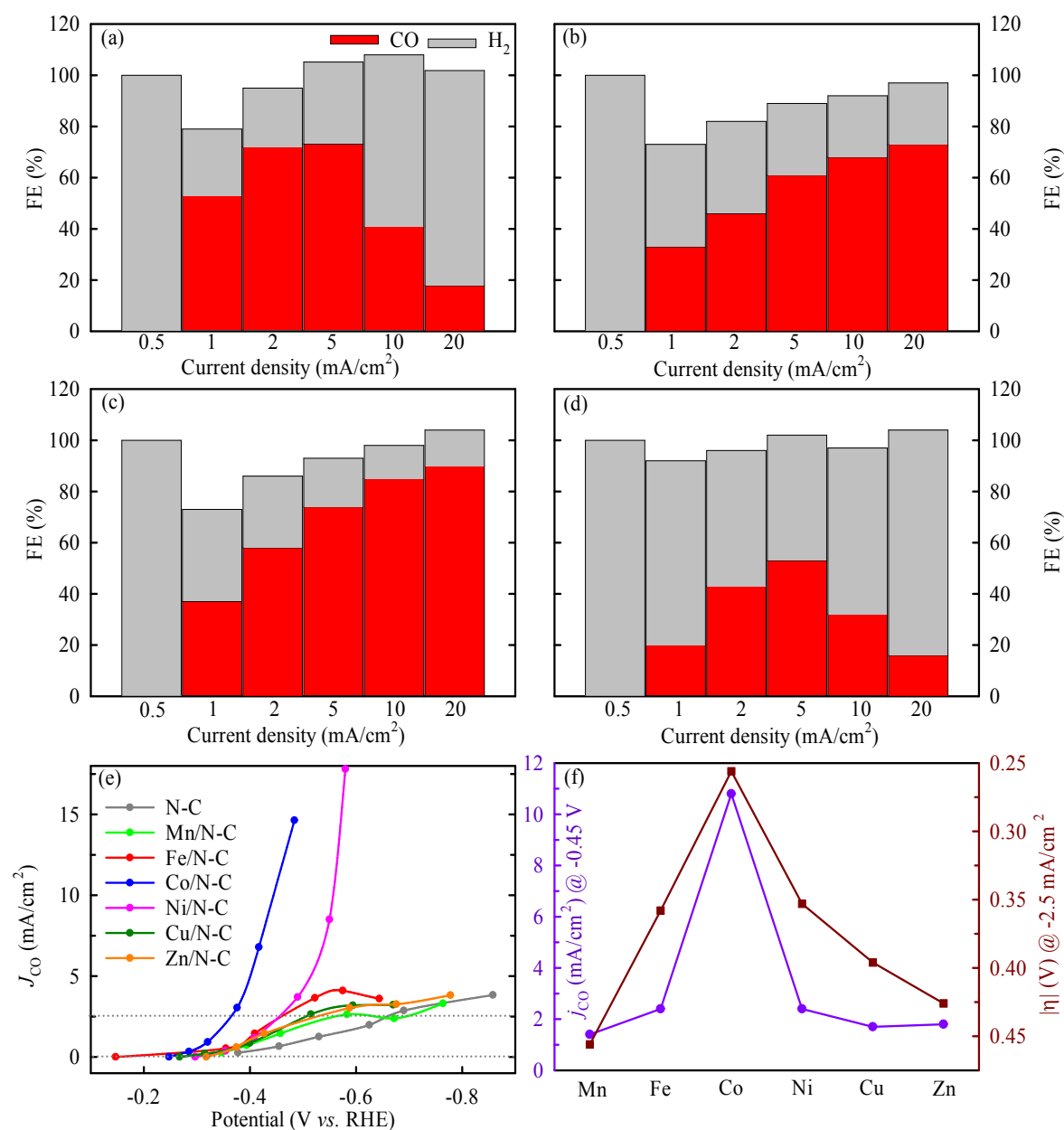
16 To measure the activity and selectivity, we resorted to an electrochemical flow cell with a GDE  
17 cathode configuration, with CO<sub>2</sub> gas feed at the back and, in this section, a continuous flow of  
18 1 M KHCO<sub>3</sub> as catholyte at the front (hydrophobic side) of the GDE. The anode and anolyte  
19 utilized in this section were IrO<sub>x</sub> coated Ti plate and 1 M KHCO<sub>3</sub>, respectively. The Ag/AgCl  
20 reference electrode was placed in the catholyte chamber. The gaseous products of eCO<sub>2</sub>RR  
21 were identified and quantified under galvanostatic control (see details in Experimental  
22 Methods). **Figure 4** shows the Faradaic efficiencies (FE) for CO and H<sub>2</sub> for all M/N-C  
23 materials as well as for N-C, for different current densities ranging from 2 to 20 mA·cm<sup>-2</sup>. The  
24 sum of the Faradaic efficiencies (FE) for CO and H<sub>2</sub> is  $\geq 90\%$  for all M/N-C materials,  
25 indicating no or restricted formation of CO<sub>2</sub>RR liquid products. The FEs for CO and H<sub>2</sub> vary  
26 strongly both as a function of the nature of the metal in the catalyst and also as a function of  
27 the current density (**Figure 4**). The trends of FEs vs. current density are shown in **Figure 5a-d**  
28 for down-selected materials, namely Fe/, Co/, Ni/ and Cu/N-C. In contrast to Fe/ and Cu/N-C  
29 with a bell shape in FE vs. current density (CO selectivity first increases with current density,  
30 reaches a maximum and then decreases at even higher current density), Co/N-C and Ni/N-C  
31 show increasing CO selectivity with current density up to 20 mA·cm<sup>-2</sup> (**Figure 5a-d**). The  
32 maximum selectivity towards CO is reached at 5 mA·cm<sup>-2</sup> for Fe/N-C (*ca* 70 % CO) and Cu/N-  
33 C (*ca* 50% CO) (**Figure 5a and 5d**), while the CO selectivity over Co/ and Ni/N-C reaches 73  
34 and 90% at 20 mA·cm<sup>-2</sup>, respectively (**Figure 5b-c**).  
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3 **Figure 5e** shows the CO partial current density ( $J_{\text{CO}}$ ) vs. cathode potential for all M/N-C  
4 materials and for N-C as well. All M/N-C catalysts show an earlier onset for eCO<sub>2</sub>RR to CO  
5 than the N-C substrate, demonstrating the catalytic role of the metal species added to the N-C  
6 substrate (itself containing ZnN<sub>x</sub> sites). Co/N-C shows the highest onset potential,  $> -0.3$  V vs.  
7 RHE, *i.e.* an absolute overpotential  $< 200$  mV for eCO<sub>2</sub>RR to CO ( $E^{\circ} = -0.104$  V vs. RHE<sup>[1]</sup>).  
8 This onset potential is comparable to those reported for precious metal-based catalysts toward  
9 eCO<sub>2</sub>RR to CO at similar pH.<sup>[19, 71, 72]</sup> The Fe/ and Ni/N-C materials have the second highest  
10 onset potential, but while the polarization curves are almost overlapped at low current density  
11 ( $< 2.5$  mA·cm<sup>-2</sup>), they split at high current density with the Ni/N-C polarization curve showing  
12 an exponential growth with decreasing cathode potential while the one for Fe/N-C shows a  
13 nearly linear increase down to *ca*  $-0.55$  V vs. RHE (**Figure 5e**). This is due to the sharp  
14 decrease in FE(CO) for Fe/N-C at a total current density  $> 5$  mA·cm<sup>-2</sup> (**Figure 5a**), while the  
15 FE(CO) of Ni/N-C continuously increases with increasing total current density (**Figure 5c**).  
16 The  $J_{\text{CO}}$  polarization curves of the Mn/N-C, Cu/N-C and Zn/N-C catalysts are similar and much  
17 lower than those of Fe/N-C, Co/N-C and Ni/N-C (**Figure 5e**), due mostly to low FE(CO) on  
18 those materials at current densities  $> 5$  mA·cm<sup>-2</sup> (**Figure 4c-d**). In summary, these flow cell  
19 measurements identify the Co- and Ni/N-C as the most promising catalysts in this series for  
20 electrochemical CO production at high current densities.  
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**Figure 4.** The FEs at different current densities over M/N-C catalysts (M = Mn, Fe, Co, Ni, Cu and Zn) at 2 (a), 5 (b), 10 (c) and 20 (d) mA·cm<sup>2</sup>. The measurements were performed in flow cell under galvanostatic control for ~90 min in 1 M KHCO<sub>3</sub> aqueous catholyte and anolyte at room temperature, CO<sub>2</sub> gas was fed at 50 mL min<sup>-1</sup> at the back of the GDE. The cathode catalyst loading was 1 mg·cm<sup>-2</sup>, the membrane was Tokuyama Neosepta® anion exchange membrane, and the anode was a flat IrO<sub>x</sub> electrode.



**Figure 5.** The Faradaic efficiencies for CO and H<sub>2</sub> measured in a flow cell as a function of the current density for the down-selected Fe/N-C (a), Co/N-C (b), Ni/N-C (c) and Cu/N-C (d), and the derived polarization curves of CO partial current density vs. cathode potential for all materials (e). (f) The volcano trends in eCO<sub>2</sub>RR activity for M/N-C catalysts: CO partial current density at -0.45 V vs. RHE (left handside y-axis) and cathode overpotential at -2.5 mA·cm<sup>-2</sup> (right handside y-axis). The CO partial current density and overpotential shown in **Figure 5f** is extracted from **Figure 5e** by interpolation. The data were obtained under galvanostatic control for ~90 min in 1 M KHCO<sub>3</sub> at room temperature. CO<sub>2</sub> gas was supplied

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3 at the back of the gas diffusion electrode whose microporous side was coated with  $1 \text{ mg}\cdot\text{cm}^{-2}$   
4 of catalyst, while the anode was  $\text{IrO}_x$ . The potential and overpotential reported in e and f are  
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11 To identify trends, we then plotted the  $J_{\text{CO}}$  at  $-0.45 \text{ V}$  vs. RHE and the cathode overpotential  
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( $\eta$ ) at  $-2.5 \text{ mA}\cdot\text{cm}^{-2}$  (CO partial current density) vs. the atomic number of transition metal  
(**Figure 5f**). Interestingly, with increasing atomic number from Mn to Co,  $J_{\text{CO}}$  monotonically  
increases, whereas with further increasing atomic number of the metal, the  $J_{\text{CO}}$  decreases. A  
similar trend is also apparent for the overpotential at  $-2.5 \text{ mA}\cdot\text{cm}^{-2}$ . Similar volcano trends are  
obtained if one uses the redox potential  $\text{M}^{2+}/\text{M}$  as x-axis. Herein, we reveal a volcano trend in  
the electrocatalytic activity for the  $\text{CO}_2$  to CO reduction over a series of catalysts characterised,  
*ex situ*, with M/N-C materials comprising highly dispersed  $\text{MO}_x$  sites in *ex situ* conditions, with  
Co/N-C located at the volcano peak. This volcano trend is similar to that observed over M-N-  
C catalysts with M-N<sub>4</sub> sites.<sup>[25]</sup>

While the high activity and selectivity for eCO<sub>2</sub>RR to CO of the three most active catalysts,  
Fe-, Co- and Ni/N-C, can be related to the (sub)-nano  $\text{MO}_x$  structures identified *ex situ*, whether  
the nitrogen plays a role during the synthesis or during eCO<sub>2</sub>RR of those M/N-C catalysts is  
still elusive. Therefore, we prepared nitrogen-free  $\text{FeO}_x/\text{C}$  material by precipitating  $\text{FeO}_x$  on  
Vulcan XC72 carbon black powder (labelled as  $\text{FeO}_x/\text{Vulcan}$ ), following the same protocol as  
before. This reference material was characterised *ex situ* by XAS and its eCO<sub>2</sub>RR activity was  
measured in the flow cell. The  $\text{FeO}_x/\text{Vulcan}$  shows FT-EXAFS and XANES spectra similar to  
those of Fe/N-C catalysts (**Figure S7a-b**). This demonstrates the presence of the metal as  
(sub)nano-oxide, as intended. The Fe content measured from the edge jump of its XAS  
spectrum (**Table S1**) confirm that  $\text{FeO}_x/\text{Vulcan}$  has a metal content comparable to Fe/N-C.  
Negligible eCO<sub>2</sub>RR to CO activity is observed for  $\text{FeO}_x/\text{Vulcan}$  when tested in flow cell under

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3 the same conditions as M/N-C, with H<sub>2</sub> as the major product (**Figure S7c**). It has been reported  
4 that Fe<sup>3+</sup> species from iron oxyhydroxides supported on carbon were *operando* reduced into  
5 metallic particles,<sup>[26]</sup> while the same Fe<sup>3+</sup> species supported on N-C were only reduced to 2+  
6 oxidation state. Fe<sup>0</sup> is probably responsible for the high selectivity toward H<sub>2</sub> production of  
7 FeO<sub>x</sub>/Vulcan, since Fe<sup>0</sup> is not known to catalyse CO<sub>2</sub> reduction selectively, even as  
8 nanoparticles (it should be noted here that this might not be the case for other metals). These  
9 results show that supporting the metal oxide particles on N-C is key to their CO<sub>2</sub>RR activity  
10 and selectivity, due to similar Fe K-edge XAS spectra and Fe content identified *ex situ* for  
11 Fe/N-C and FeO<sub>x</sub>/Vulcan, but different activity and selectivity (**Figure 5 and S7**).

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25 Therefore, a synergy between N-defects or ZnN<sub>x</sub> and the MO<sub>x</sub> (sub)nano clusters seems to be  
26 at play. R. Arrigo *et al.*<sup>[26]</sup> found from theoretical simulations that pyridinic N defects in N-C  
27 lowers the interface energy between iron oxyhydroxide and carbon support, indicating the  
28 possible direct interaction between the N-defects and the MO<sub>x</sub> species. A synergy between N  
29 defects and FeO<sub>x</sub> sites was proposed to promote C-C coupling for acetic acid production.<sup>[26]</sup>  
30 Moreover, P. Atanassov *et al* confirmed with near ambient pressure XPS that pyridinic and  
31 hydrogenated (pyrrolic) nitrogen moieties, rather than Fe-N<sub>4</sub> moieties, act as preferential  
32 adsorption sites for CO<sub>2</sub> over pyrolyzed Fe-N-C catalysts<sup>[73]</sup>. Thus, it is likely that the MO<sub>x</sub>  
33 species and the neighbouring N-defects co-catalyse the eCO<sub>2</sub>RR. Moreover, further work will  
34 be needed to elucidate whether Zn plays a role (direct or indirect) in stabilizing such hydroxides  
35 particles or not.

### 50 51 **2.3 Structural changes of MO<sub>x</sub> sites identified with *operando* XAS**

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54 To gain more insights in the fate of the metal-oxide nanoparticles supported on N-C, we  
55 resorted to *in operando* XAS. It is widely acknowledged that metal-based electrocatalysts often  
56 experience partial reduction and/or structural reconstruction during eCO<sub>2</sub>RR.<sup>[17, 26, 42, 74, 75]</sup> This  
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3 can be due to the low electrochemical potential, *operando* produced H<sub>2</sub> gas and/or *operando*  
4 produced CO. The latter is known to facilitate reconstruction of metal surfaces, and  
5 agglomeration of NPs.<sup>[43]</sup> For M-N-C materials prepared by pyrolysis and comprising only  
6 MN<sub>4</sub> moieties *ex situ*, we recently reported a decreased average oxidation state of Fe and Ni  
7 within MN<sub>4</sub> moieties and reduction of a large fraction of Cu<sup>2+</sup>N<sub>x</sub> sites to Cu(0), *via* the  
8 reversible formation of Cu (sub)nanoparticles, with decreasing electrochemical potential under  
9 eCO<sub>2</sub>RR working conditions.<sup>[25, 55]</sup> To identify the local structures of transition metals sites  
10 under operating conditions, we therefore conducted *operando* XAS on the series of M/N-C  
11 catalysts.  
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25 We first studied the N-C substrate (comprising ZnN<sub>x</sub> moieties, **Figure S1**) at the Zn K-edge.  
26 The Zn K-edge XANES spectra show only a slight decrease in white line intensity with  
27 decreasing potential (**Figure S8a-b**). A similar behaviour is observed for Zn/N-C (**Figure S8c-**  
28 **d**). By extrapolation, this suggests a slightly reduced average oxidation state of Zn in M/N-C  
29 and M-N-C catalysts with decreasing potential. This can be assigned to the *operando* formation  
30 of a very small amount of metallic Zn nanoparticles. Regarding Mn/N-C, the structure remains  
31 unchanged till -1.0 V vs. RHE (**Figure S8e-f**). Overall, the *operando* observations with Zn and  
32 Mn are in line with their Pourbaix diagrams, with no or minimal reduction of the metal expected  
33 before -0.76 V and -1.2 V vs. RHE, respectively.  
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47 In contrast, the *operando* XAS spectra of Fe/, Co/, Ni/ and Cu/N-C are different from those  
48 measured *ex situ* (**Figure 6** and **S9-10**), in line with their much higher M<sup>2+</sup>/M redox potential.  
49 To start with the simplest case, the Cu K-edge XANES spectrum of Cu/N-C shifts toward lower  
50 energies with decreasing potential, corresponding to a decreased average oxidation state  
51 (**Figure 6a**). This is accompanied by the appearance of a signal that can be assigned to the Cu-  
52 Cu coordination, by comparison with the FT-EXAFS of a Cu foil (**Figure 6b**). This  
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3 demonstrates the reduction from  $\text{Cu}^{2+}$  to nearly  $\text{Cu}^0$  with an extensive formation of metallic  
4 copper clusters during  $\text{eCO}_2\text{RR}$ , even at 0 V *vs.* RHE, well above the onset of  $\text{eCO}_2\text{RR}$  on  
5 Cu/N-C ( $\sim -0.4$  V *vs.* RHE). The formation of Cu nanoparticles is reversible, as shown by the  
6 spectrum of OCP-back overlapping with that of OCP (**Figure S9a-b**). A minor Cu-N/O peak  
7 around 1.5 Å is visible at low potentials, indicating that a small fraction of copper atoms binds  
8 with N or O surface groups from N-C (**Figure 6b**). The anchoring of some Cu atoms to both  
9 the N-C support and the *operando* formed  $\text{Cu}^0$  nanoparticles could explain the restricted  
10 particle growth and the observed reversibility with Cu re-dispersion at high potential. The  
11 *operando* formation of  $\text{Cu}^0$  nanoparticles has been widely observed on other Cu-based catalysts  
12 comprising isolated Cu atoms *ex situ*;<sup>[56, 76, 77]</sup> and these  $\text{Cu}^0$  nanoparticles very likely act as the  
13 catalytically active species. Recently, T. Möller *et al* revealed that Cu nanoparticles supported  
14 on carbon favour the formation of  $\text{C}_1$  product instead of the  $\text{C}_{2+}$  products generally observed  
15 on unsupported Cu<sup>[78]</sup>. The selectivity towards  $\text{C}_1$  product with Cu nanoparticles is due to the  
16 large mean interparticle distance for particles supported in low wt% on a high surface area  
17 support material, in line with our finding that CO is the major product in this potential range  
18 over the *operando* formed  $\text{Cu}^0$  supported on N-C.

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41 For Ni/N-C, the XANES and FT-EXAFS spectra remain unchanged when the potential was  
42 reduced from OCP to 0 V *vs.* RHE, and these spectra are close to those measured *ex situ*,  
43 assigned to NiO clusters. From 0 to  $-0.5$  V *vs.* RHE, the XANES spectra then show a gradual  
44 decrease in white line intensity and increase in the pre-edge peak intensity at  $\sim 8334$  eV (**Figure**  
45 **6c** and **S10a**). The intensity of the pre-edge peak at 8334 eV stays the same at all potentials  $\leq$   
46  $-0.5$  V *vs.* RHE, and is indicative of the formation of highly distorted octahedral or tetrahedral  
47 Ni-O<sub>x</sub> coordination in nickel hydroxides (**Figure 6c** and **S10a**).<sup>[79, 80]</sup> In contrast, in FT-EXAFS  
48 a new intense peak progressively appears at  $\sim 2.5$  Å from  $-0.4$  V to  $-1.0$  V *vs.* RHE (**Figure**  
49 **S9d**), and that can be assigned to Ni-Ni<sub>oxy</sub> interaction in Ni hydroxide. In addition, the  
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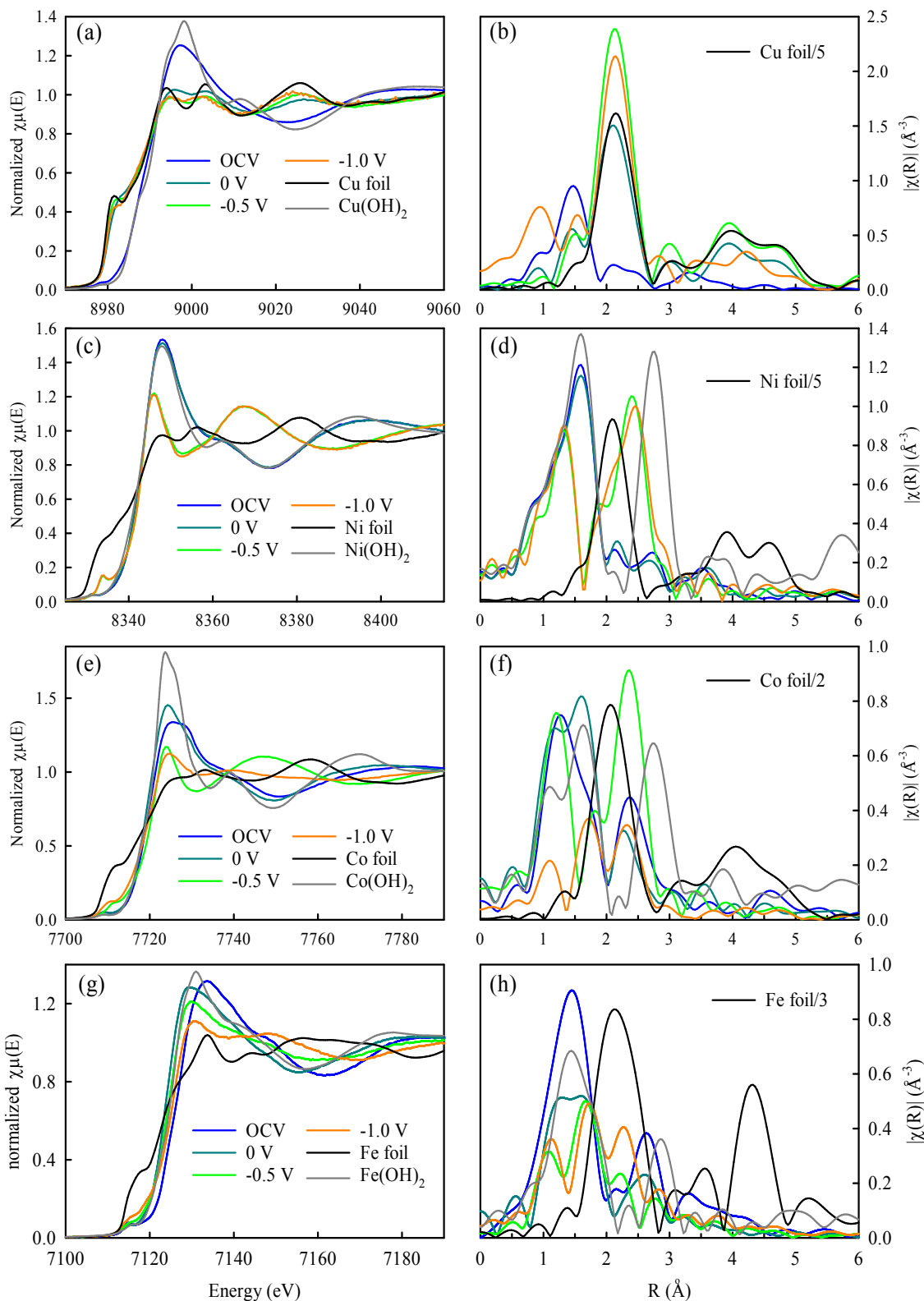
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3 appearance of a shoulder at  $\sim 2 \text{ \AA}$  at  $-1.0 \text{ V vs. RHE}$  (**Figure 6c**), a position corresponding to  
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5 Ni-Ni interaction in the metallic Ni foil, suggests the minor formation of metallic Ni at the  
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7 lowest potential. Overall, the data suggests the transformation from (sub)nano-NiO *ex situ* to  
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9 (sub)nano-Ni(OH)<sub>2</sub> at  $-0.4 \text{ V vs. RHE}$ , and then clustering to larger Ni(OH)<sub>2</sub> nanoparticles at  
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11 even lower potential. Moreover, there is a phase contraction of the material at low potential  
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13 compared to Ni(OH)<sub>2</sub>, as evidenced by the shorter Ni-O and Ni-Ni<sub>oxy</sub> bond distances compared  
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15 to those of Ni(OH)<sub>2</sub> reference (*e.g.* at  $-0.5$  and  $-1.0 \text{ V vs. RHE}$ , **Figure 6d** and **Figure 7**). We  
16  
17 note that this phase contraction has been widely observed for nickel-based catalysts during  
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19 oxygen evolution reaction (OER),<sup>[81, 82]</sup> attributed to the oxidized nature of Ni at high potentials.  
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21 We for the first time, to the best of our knowledge, report similar phase contraction of nickel  
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23 hydroxides, but at reducing potentials. The lattice contraction may be due to cation vacancies  
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25 introduced by partially reduced nickel, and/or due to the intercalation of water and bicarbonate  
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27 ions into the layered structures of nickel hydroxides.<sup>[83-85]</sup> Upon reverting the potential from  $-$   
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29  $1 \text{ V vs. RHE}$  to OCP (OCP-back curves), the XANES and FT-EXAFS spectra were largely  
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31 restored to the initial OCP spectrum (**Figure S9c-d**), suggesting the reversibility of this process.  
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39 The absorption edge in the XANES spectrum of Co/N-C remains largely unchanged with  
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41 potential from OCP to 0 and  $-0.3 \text{ V vs. RHE}$ , while the white line intensity slightly increases  
42  
43 (**Figure 6e** and **Figure S9e**). The latter can be explained by a transition from cobalt oxide to  
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45 cobalt hydroxide phase, as can be seen by comparison to the white line intensities for the  
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47 reference spectra for the latter (compare **Figure S3b** and **6e**). The XANES spectra at  $-0.5$  and  
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49  $-1.0 \text{ V vs. RHE}$  show a decreased white line intensity and an increased pre-edge feature  
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51 ( $\sim 7710\text{--}7714 \text{ eV}$ ) intensity, indicative of the partial reduction of Co into Co<sup>0</sup> species (**Figure**  
52  
53 **6e** and **S10b**). The broad Co-O peak in the corresponding FT-EXAFS spectra seen at high  
54  
55 potential at  $\sim 1.5 \text{ \AA}$  (with a shoulder  $\sim 1.7 \text{ \AA}$ ) splits into two distinct peaks at 1.2 and 1.7  $\text{ \AA}$  at  $-$   
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57  $0.5 \text{ V vs. RHE}$  and below (**Figure 6f**). These two peaks are possibly assigned to Co-O  
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3 interaction from octahedral  $\text{Co}^{2+}$  and Co-O or Co-C interaction from tetrahedral  $\text{Co}^{2+}$ ,  
4 respectively. It should be noted here that splitting of a FT-EXAFS peak can also be explained  
5 by the destructive interferences due to the (dis)appearance of phases. Tetrahedral  $\text{CoX}_4$   
6 structure has been reported for layered  $\text{Co}(\text{OH})_2$ , where in the  $\text{Co}^{2+}$  coordinates with O and/or  
7 C from intercalated water and  $\text{HCO}_3^-$  cations between  $\text{CoO}_6$  layers.<sup>[86]</sup> The intercalated water  
8 and cations also induce the phase contraction of  $\text{Co}(\text{OH})_2$ , as evidenced by the shorter Co-O  
9 and Co- $\text{Co}_{\text{oxy}}$  peak in Co/N-C than in reference  $\text{Co}(\text{OH})_2$  (**Figure 6f**). The critical role of  
10  $\text{HCO}_3^-$  cations, instead of gas-phase  $\text{CO}_2$ , during  $\text{eCO}_2\text{RR}$  has been observed over Au and  
11 Cu.<sup>[87, 88]</sup> Interestingly, the intensity of the peak arising from Co- $\text{Co}_{\text{oxy}}$  in the FT-EXAFS  
12 spectra first increases at  $-0.5$  V *vs.* RHE induced by the clustering of Co sites; and then  
13 decreases at  $-1.0$  V *vs.* RHE, probably due to the potential-induced reversible amorphization  
14 of cobalt oxide reported previously.<sup>[89]</sup> The XANES spectrum reverts to the spectrum of 0 V  
15 *vs.* RHE at the end of the series of applied potentials, while the Co-O peak in the FT-EXAFS  
16 spectrum remains split, different from the initial OCV FT-EXAFS (**Figure S9e-f**). This  
17 suggests that the intercalated structure was maintained for at least some time after removing  
18 the potential bias.

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41 Regarding Fe/N-C (**Figure 6g**), there is a negative shift in the position of the absorption edge  
42 of Fe K-edge XANES spectra of  $\sim 2$  eV from OCP to 0 V *vs.* RHE, indicative of a  $\text{Fe}^{3+}$  to  $\text{Fe}^{2+}$   
43 reduction; and then the XANES spectra remain the same till  $-0.4$  V *vs.* RHE (**Figure S9g**).  
44 When the potential is further lowered to  $-1.0$  V *vs.* RHE, the white line intensity gradually  
45 decreases, accompanied with an increased pre-edge peak ( $\sim 7115$  eV) intensity (**Figure S10c**).  
46 This enhanced pre-edge peak is indicative of the partial reduction of  $\text{Fe}^{2+}$  to  $\text{Fe}^0$  species. As for  
47 Co/N-C, the Fe-N/O peak in the corresponding FT-EXAFS spectra also splits into two peaks  
48 at 1.1 and 1.8 Å (**Figure 6h**). This effect was observed previously by R. Arrigo *et al.* as well.<sup>[26]</sup>  
49 The FT-EXAFS Fe- $\text{Fe}_{\text{oxy}}$  peak first decreases in intensity till  $-0.4$  V *vs.* RHE due to a decreased  
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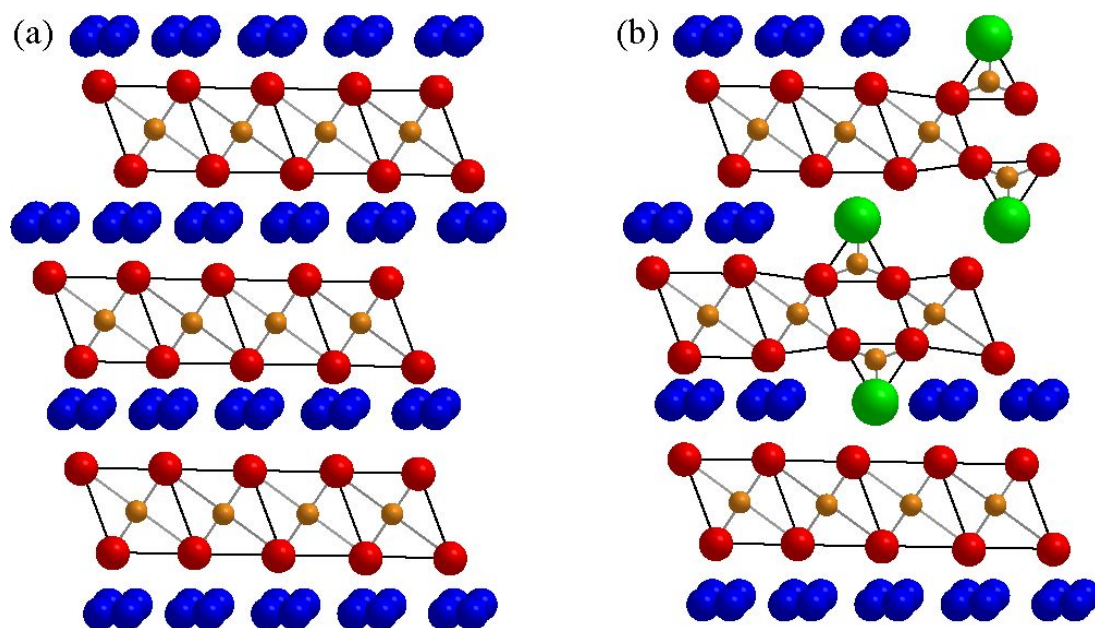
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3 particle size of iron hydroxide (**Figure S9h**); and then shifts toward lower R value below  $-0.5$   
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5 V vs. RHE, due to phase contraction (**Figure 6h and S9h**). The changes in oxidation states  
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7 observed in the XANES spectra of Fe/N-C are largely reversible when the potential is reverted  
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9 back to OCP (**Figure S9g**), while the split Fe-O peak due to the phase contraction remain to  
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11 some extent (**Figure S9h**).  
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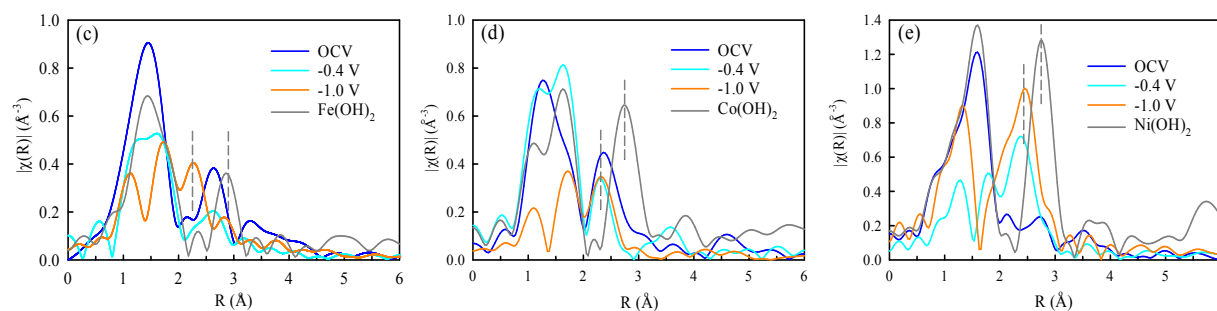


**Figure 6.** The *operando* XANES and FT-EXAFS spectra for M/N-C materials (M = Fe, Co, Ni, Cu) and their comparison to reference spectra of metal-hydroxides and metallic foils. *Operando* XANES spectra for Cu/N-C (a), Ni/N-C (c), Co/N-C (e) and Fe/N-C (g). *Operando*

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3 FT-EXAFS spectra for Cu/N-C (b), Ni/N-C (d), Co/N-C (f) and Fe/N-C (h) and comparison to  
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5 the spectra for metal-hydroxides or metallic foils.  
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9 In summary, the majority of Cu atoms in Cu/N-C forms  $\text{Cu}^0$  clusters during  $\text{eCO}_2\text{RR}$ ; while  
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11 the Mn/, Fe/, Co/, and Ni/N-C maintain the metal hydroxide structures, with a minor amount  
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13 of  $\text{M}^0$  formed in Fe-, Co-, and Ni-based samples. The unexpected information from *operando*  
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15 XAS is that the vast majority of Ni, Co and Fe nano-oxides were not reduced to metallic  
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17 particles, even at  $-1\text{ V vs. RHE}$ , which is 550 to 750 mV lower than the values expected  
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19 according to the Pourbaix diagrams. In contrast, most metal atoms in Ni-, Fe- and Co/NC  
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21 catalysts stay as oxides, but with a phase contraction (**Figure 7**). The M-O peaks in the FT-  
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23 EXAFS of Fe/ and Co/N-C split into two peaks due to tetrahedral  $\text{M}^{2+}$  coordination in the  
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25 intercalated layer, indicating that the  $\text{HCO}_3^-$  is inserted into the layered structure of metal  
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27 hydroxides (**Figure 7**). Recently, two independent groups demonstrated the critical role of  
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29  $\text{HCO}_3^-$  ions, through equilibrium exchange with dissolved  $\text{CO}_2$ , rather than the supplied  $\text{CO}_2$ ,  
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31 as the primary source for  $\text{eCO}_2\text{RR}$ .<sup>[87, 88]</sup> Thus, the inserted  $\text{HCO}_3^-$  cations and/or the phase  
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33 contraction of metal oxides at working potentials may be responsible for the superior activity  
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35 of Fe-, Co- and Ni/N-C catalysts.  
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**Figure 7.** The scheme illustrating the phase contraction and structural distortion of  $M(\text{OH})_2$  induced by the intercalation of  $\text{HCO}_3^-$  (modified from Ref. 86). The structures of  $M(\text{OH})_2$  without (a) and with (b) cathodic potential bias. Species for M, OH,  $\text{HCO}_3^-$ , and  $\text{H}_2\text{O}$  are represented by orange, red, green, and blue spheres, respectively. The insertion of  $\text{HCO}_3^-$  leads to the distortion of  $\text{MO}_6$  octahedra and the appearance of tetrahedral  $\text{MO}_4$  with different M-O bond distances, as evidenced by the splitting of M-O peaks. The insertion of  $\text{HCO}_3^-$  is also accompanied with shortened M-O and M-M<sub>oxy</sub> bond distances. The splitting of M-O peaks with decreasing potential of Fe/N-C (c) and Co/N-C (d), as well as the shortened M-O and/or M-M<sub>oxy</sub> bond distances in Fe/N-C (c), Co/N-C (d) and Ni/N-C (e) vs.  $M(\text{OH})_2$  references are shown from selected *operando* FT-EXAFS.

### 2.3 Optimized flow cell with M/N-C cathodes and NiFe-based anodes

The intrinsic activity and selectivity of Ni/N-C toward  $\text{CO}_2\text{RR}$  to CO are comparable to those of the state-of-the-art Au, Ag, and M-N-C catalysts (Table S4). M/N-C and M-N-C materials hold great potential to lower the cost of  $\text{CO}_2$  electrolyzer by replacing the expensive precious metal-based catalysts (e.g., Au and Ag). Moreover, the advantage of M/N-C over M-N-C lies not only in the facile and controlled synthesis of the former, but also the possible reactivation of M/N-C materials after degradation (reduction), since the reconversion of metallic nanoparticles into metal hydroxide form should be easier than the reconversion of a metallic cluster back into  $\text{MN}_x$  sites. However, even with the most promising M/N-C (Fe, Co, or Ni) cathode and flat  $\text{IrO}_x$  anode, the total cell voltage is above 3 V at 20  $\text{mA}/\text{cm}^2$  (Figure S11),

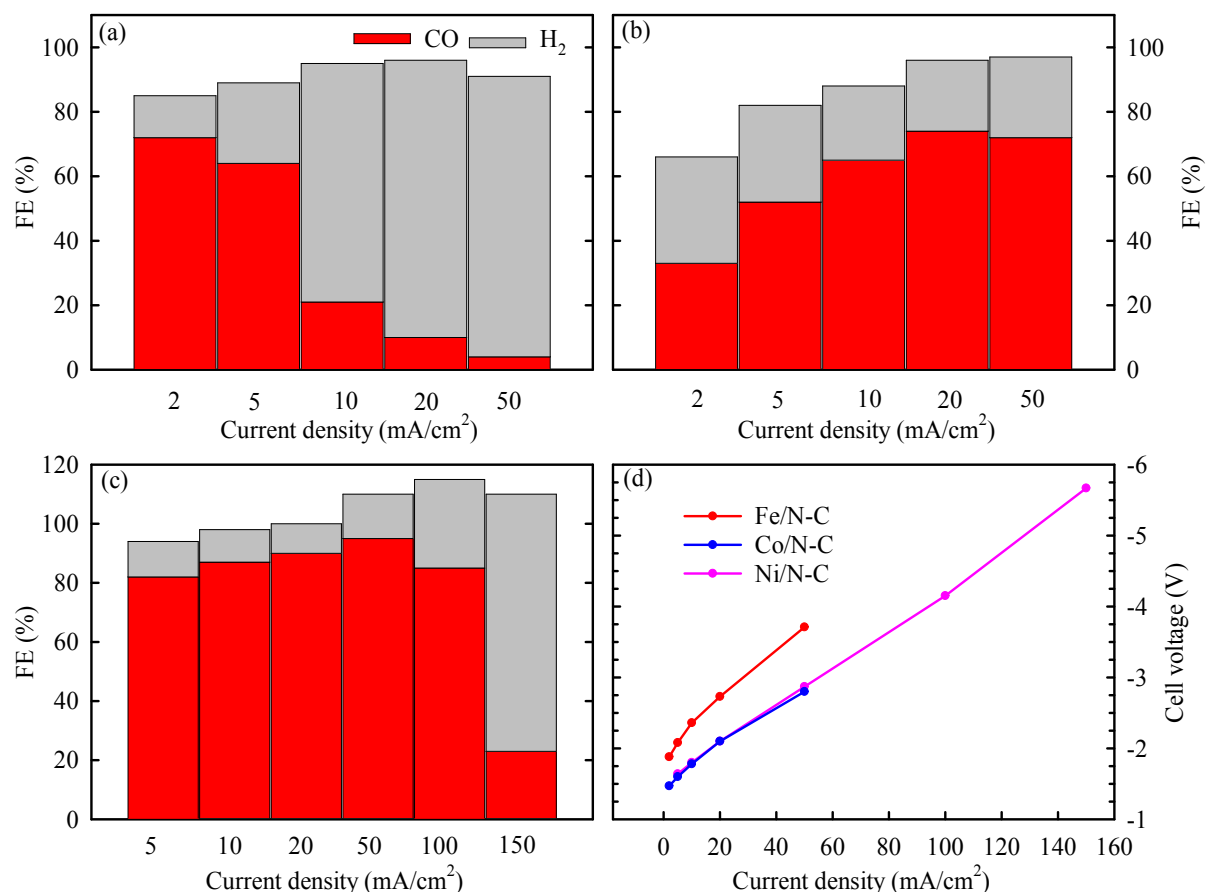
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3 with a large overpotential likely arising also at the anode side. To decrease the overpotential  
4 for the oxygen evolution reaction (OER) and remove precious metals from the cell, we  
5 implemented a high surface area Ni-Fe oxyhydroxide anode prepared on a Ni foam (NF) *via* a  
6 phosphidation process, labelled as NF/Ni<sub>2</sub>P/Ni-FeO<sub>x</sub> (see synthesis in Experimental  
7 Methods)<sup>[90]</sup>.  
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12 The porous backbone of NF is observed in the micro-computed tomography (CT) (**Figure S12a**)  
13 and scanning electron microscopy (SEM) (**Figure S13a**) images, and the NiFe-based OER  
14 catalyst was decorated homogeneously on the Ni foam (**Figure S12a**). The XRD pattern  
15 exhibits two strong peaks assigned to NF, two minor peaks arising from Ni<sub>2</sub>P, and no peak of  
16 the Ni-Fe phase (**Figure S13b**); while the presence of Fe, P and O is clearly evidenced in  
17 energy dispersive X-ray spectroscopy (EDX) and electron energy loss spectroscopy (EELS)  
18 mapping (**Figure S13c and S14a**). This indicates the amorphous nature of the Ni-Fe  
19 oxyhydroxide in the catalyst, further supported by high resolution (HR)-TEM images, showing  
20 a crystalline phase of hexagonal Ni<sub>2</sub>P in the core and another amorphous phase on the edges  
21 (**Figure S14b-c**). The OER activity of NF/Ni<sub>2</sub>P/Ni-FeO<sub>x</sub> was first evaluated with rotating disk  
22 electrode (RDE) in KOH (0.1 and 1 M) and KHCO<sub>3</sub> (1 M) in comparison with IrO<sub>2</sub> supported  
23 on Ni foam. **Figure S15a-c** demonstrates that the OER activity of NF/Ni<sub>2</sub>P/Ni-FeO<sub>x</sub> is superior  
24 to that of IrO<sub>2</sub> in all electrolytes, and that the overpotential for the former at 10 mA·cm<sup>-2</sup>  
25 reduces with increasing pH, reaching ~240 mV in 1 M KOH (**Figure S15b**). Moreover, the  
26 overpotential at 10 mA·cm<sup>-2</sup> was further reduced by ~100 mV after an accelerated stability test  
27 (AST) (**Figure S15d**). No obvious changes in the morphology and structure of NF/Ni<sub>2</sub>P/Ni-  
28 FeO<sub>x</sub> were observed by X-CT, SEM, XRD, and HRTEM (**Figure S12-13 and S16**). Its  
29 impressive activity and stability make it appealing to implement in electrolyzers, and a  
30 significant decrease in total cell voltage up to ~1 V was observed after replacing IrO<sub>2</sub> anode  
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3 with NF/Ni<sub>2</sub>P/Ni-FeO<sub>x</sub> in a CO<sub>2</sub> electrolyzer with Ni-N-C as cathode and 1 M KHCO<sub>3</sub> as  
4 electrolyte (**Figure S11**).  
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9 We investigated the activity and selectivity of Fe/, Co/ and Ni/N-C cathodes with NF/Ni<sub>2</sub>P/Ni-  
10 FeO<sub>x</sub> anode (**Figure 8**). Since the anode catalyst is more active at higher pH, we used 1 M  
11 KOH instead of KHCO<sub>3</sub> as anolyte and catholyte in the following study. Co- and Ni/N-C  
12 exhibit similar cell voltages for a given current density, lower than that of Fe/N-C. However,  
13 Ni/N-C exhibits a higher FE than Co/N-C, reaching a FE(CO) >90% at 50 mA·cm<sup>-2</sup>. A FE(CO)  
14 >80% is even maintained over Ni/N-C at 100 mA·cm<sup>-2</sup> total current density, with a total cell  
15 voltage of ~4 V. It should be noted here that a large portion of the cell voltage comes from the  
16 iR-drop due to the thick layers of electrolyte in the commercial cell. The total cell resistance is  
17 > 25 Ω·cm<sup>2</sup> as measured by high frequency resistance, while that estimated for the liquid  
18 chambers is ~10 Ω·cm<sup>2</sup> (from *circa* 2 cm thickness of anolyte + catholyte, electrolyte  
19 conductivity of ~200 mS·cm<sup>-1</sup> for 1 M KOH). Thus, the total cell voltage could be further  
20 reduced through cell design, *e.g.* reducing the thickness of each electrolyte chamber possibly  
21 down to zero-gap configuration, or increasing the temperature.  
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40 When the total current density was increased from 100 to 150 mA·cm<sup>-2</sup>, a sharp decrease in FE  
41 was observed. Similar behaviour has been observed over Ni-N-C as well. This is probably due  
42 to CO<sub>2</sub> depletion at the catalytic sites, leading to competitive HER. This can be improved by  
43 increasing the CO<sub>2</sub> backpressure. P. Strasser *et al.*<sup>[16]</sup> reported a stable current density as high  
44 as 200 mA·cm<sup>-2</sup> over Ni-N-C catalysts with the same flow cell, by closing the CO<sub>2</sub> gas out to  
45 force the CO<sub>2</sub> passing through the GDE, resulting in pressurized CO<sub>2</sub> gas flow. Moreover, we  
46 also noticed some particles in the anolyte, indicating the mechanical instability of the anode  
47 during strong O<sub>2</sub> evolution. This suggests that improved mechanical robustness of the anode  
48 during strong gas evolution is also important for cell stability.  
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**Figure 8.** The Faradaic efficiencies of flow cell measurements with NF/Ni<sub>2</sub>P/Ni-FeO<sub>x</sub> anode and M/N-C cathodes (Fe (a), Co (b) and Ni (c)), and (d) the polarization curve of cell voltage vs. total current density. Data were acquired in flow cell with 1 M KOH aqueous catholyte and anolyte at room temperature, and the CO<sub>2</sub> gas was fed at the back of GDE.

In summary, we have synthesized a series of M/N-C catalysts with highly dispersed MO<sub>x</sub> particles supported on nitrogen-doped carbon, and evaluated their activity and selectivity toward eCO<sub>2</sub>RR to CO in a flow cell with GDE. We reveal a volcano-shaped trend in the electrocatalytic activity for CO over M/N-C catalysts, which is similar to that reported for M-N-C catalysts with atomically-dispersed M-N<sub>4</sub> sites. *Operando* XAS shows that the metal (hydr)oxide clusters in M/N-C largely remain as contracted hydroxides at -1 V vs. RHE in Fe/N-C, Co/N-C and Ni/N-C, with the parallel formation of a minor amount of metallic species. These metal hydroxides experience phase contractions and the insertion of H<sub>2</sub>O/HCO<sub>3</sub><sup>-</sup> into



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3 their layered structures. Last, flow cell measurements with M/N-C cathodes and state-of-the-  
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5 art NiFe-anodes were performed, and Ni/N-C exhibits >80% FE toward CO at 100 mA/cm<sup>2</sup>  
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7 with a total cell voltage of ~4 V.  
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3 ASSOCIATED CONTENT  
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6 AUTHOR INFORMATION  
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16 **Author Contributions**  
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18  
19 J.L. and F.J. designed, synthesized and physically characterized the materials. F.A.G.-P., P.T,  
20 J.A., and J.R.G.-M. processed and characterized the NiFe-based OER catalysts and electrodes.  
21  
22 P.T. and J.A. conducted the HRTEM and STEM-EELS characterization of NiFe-based anodes.  
23  
24 J.L. and F.J. also performed the eCO<sub>2</sub>RR electrochemical measurements and product analysis.  
25  
26 T.A., and P.A. conducted the STEM characterizations of M/N-C materials. I.Z. performed the  
27  
28 X-CT experiments and analysis. J.L. and A.Z. conducted the *ex situ* and *operando* XAS  
29  
30 experiments. T.A., M.K. and P.A. conducted the XPS measurement and analysis. M.T.S.  
31  
32 performed the Mössbauer spectroscopy measurements and analysis. J.L., A.Z. and F.J. wrote  
33  
34 and edited the manuscript with input from all authors. All authors read and approved the final  
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36 version of the manuscript.  
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## 10 11 **Notes**

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14 The authors declare no competing financial interest.  
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## 18 19 **Supporting Information.**

20  
21 The following files are available free of charge.  
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24 Physical (XRD, STEM, XPS), *ex situ* and *operando* XAS, and electrochemical (FEs and  
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26 polarization curves obtained from flow cell tests) characterizations of M/N-C catalysts for  
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28 eCO<sub>2</sub>RR or NiFe-based catalysts for OER (PDF)  
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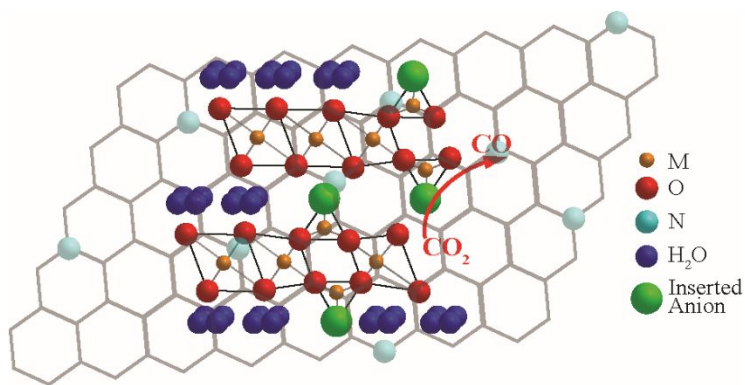
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