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## 2 **Influence of precision livestock farming on the environmental** 3 **performance of intensive dairy goat farms**

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### 13 **Abstract**

14 The implementation of Precision Livestock Farming (PLF) concepts has been pointed out as an  
15 indirect strategy that could potentially help to optimize farm management and mitigating the  
16 environmental impacts of livestock production systems. However, to date, few studies have  
17 focused on analyzing specifically the relationship among PLF adoption and environmental  
18 performance, so sustainability benefits have not yet been quantified for many technologies.  
19 Moreover, studies evaluating the environmental impact of dairy production have traditionally  
20 focused on cattle, and when exploring sheep or goats, they have often involved extensive, low-  
21 productive systems, providing an incomplete picture of the sector. Within this context, in this study  
22 we apply life cycle assessment (LCA) to analyze the environmental impact associated to intensive

23 dairy goat production, and to explore the influence of adopting a smart-farming PLF platform on  
24 the environmental performance of a group of dairy goat farms in Spain.

25 The proposed PLF-platform, relies on systematic on-farm monitoring of individual animal data,  
26 coupled with big data processing and interpretation, which supports farmers to take adequate -  
27 and timely- farm management decisions. In order to capture its influence, two different periods  
28 were analyzed in five selected farms: a baseline year just before innovation was implemented  
29 (2014) and four years after (2018), when most of the effect of improved management was  
30 reflected. Results after the PLF-platform implementation showed significant reductions (-11%) in  
31 GHG emissions and similar trends in other impact categories (9-16% reductions). This PLF  
32 platform provided a precise monitoring of the productivity, genetic merit and physiological state of  
33 each animal, allowing adequate criteria for a number of decision-making processes, such as  
34 selecting animals for breeding, replacement or culling. This optimization led to an increase in the  
35 genetic selection progress, ultimately reflected on milk productivity. Moreover, a reduction of  
36 unproductive periods such as first partum age or dry period length was often achieved. As a result  
37 of this general improvement, the efficiency of resource usage in relation to milk (and meat)  
38 production was increased, with positive effect on the environmental performance. Production of  
39 1 kg of fat and protein corrected milk (FPCM) resulted in 1.53-1.71 kg CO<sub>2</sub> eq. Results in other  
40 impacts categories were also in a similar range than previously reported values for highly  
41 productive dairy systems, including dairy cattle, which stresses the important role that small  
42 ruminant farming can play on environmentally sustainable livestock production, particularly in the  
43 Mediterranean context.

44

45 **Keywords:** LCA, smart-farming, PLF, carbon footprint, small ruminants

46

## 47 **1 Introduction**

48 In Europe, most of the goat populations are concentrated in the Mediterranean countries where  
49 they have traditionally played an important role both, socio-economically and ecologically,  
50 providing a source of high value protein, and contributing to food and financial security of  
51 households in less favored rural areas (Aziz, 2010). The Spanish goat milk sector is the second  
52 largest in the EU region, with about 2 million goats and a milk production of more than 530,000  
53 t/year –approximately 22% of the EU-28 total (FAOSTAT 2021). During the last decades, market  
54 demands for increasing productivity and reducing seasonality, have driven livestock husbandry in  
55 this area to intensify their production. Consequently, many dairy goat farms have evolved, from  
56 traditional low producing grazing systems to more intensive production systems with high-  
57 dependence on external feeds, scaling up their size, and implementing a number of innovations  
58 (e.g. automated milking, milk control, artificial insemination, health programs) in order to increase  
59 their productivity (Castel et al., 2011).

60 Despite its relative importance, studies evaluating the environmental impact of dairy production  
61 have traditionally focused on cattle, providing an incomplete picture of the sector, especially in  
62 those areas where small ruminant farming plays an important role (e.g. Mediterranean basin). To  
63 date, the amount of specific life cycle assessment (LCA) studies of dairy goat -or sheep- systems  
64 is still scarce, and they often involve low-productive systems which are not always representative  
65 of the state-of-the-art in the sector.

66 In the current context, characterized by livestock systems with a high level of organization and  
67 efficiency, the application of smart-farming through the implementation of Precision Livestock  
68 Farming (PLF) concepts, could represent an opportunity to support farmers in order to optimize  
69 farm management and meet market demands. PLF is based on measuring variables and  
70 analyzing collected data so providing support for animal/herd monitoring and management  
71 (Berckmans, 2017). However, while the application of PLF tools in other livestock sectors (e.g.

72 dairy cattle) has been successfully tested and it is of widespread use, the incorporation in small  
73 ruminant dairy systems has been limited so far (Belanche et al., 2021).

74 Approaches for implementing PLF concepts to small ruminants were recently reviewed by Caja  
75 et al., (2020) and Odintsonv Vaintrub et al., (2021). They are based on wearable (e.g. ear tag,  
76 rumen bolus, collar) and non-wearable devices (e.g. camera, electronic scale) which are used for  
77 collecting data and monitoring the performance, health or behavior of the animals. Some of them  
78 have focused on specific aspects, like the use of automatic devices for goat milking (Alejandro et  
79 al., 2016), or the detection of estrus phases (Odintsonv Vaintrub et al., 2021). Among them,  
80 devices for electronic identification, and particularly ear tags, already in use in many regions (i.e.  
81 EU), have been pointed out as a very promising option, triggering the possibilities for data  
82 gathering and processing through PLF platforms (Caja et al., 2020). This allows farmers to have  
83 a more precise monitoring of herd data, which provides a base for management decisions  
84 (breeding, culling, selection, dry off, feeding) (Belanche et al., 2019).

85 In a previous study we demonstrated that the implementation of a PLF platform (Figure 1) based  
86 on a systematic individual animal data collection and interpretation, had positive effects on overall  
87 performance of dairy goat farms (Belanche et al., 2019). As the main advantages, this PLF  
88 platform allows the integration of individual animal data (e.g. milk yield, lactation length, health,  
89 genetic merit) which helps farmers during the decision-making processes at different levels,  
90 mainly: 1) customize the lactation length for each individual animal to optimize the individual  
91 revenue (long lactations for high productive animals and vice-versa), 2) minimize the unproductive  
92 periods such as first partum and dry period length 3) improve the breeding management by  
93 selecting high merit females for artificial insemination; 4) improve genetic selection by identifying  
94 the best newborn animals for replacement, 5) detect animals with health issues or poor productive  
95 and reproductive performance for selective culling, and 6) increased traceability of each individual  
96 animal (including filiation test) to better implement the selection program and accelerate the  
97 genetic progress.

98 While PLF tools are not primarily designed for improving the environmental sustainability of  
99 livestock systems, this has often been pointed out as a potential side-effect of increased  
100 productivity by several authors (Berckmans, 2017; Hristov et al., 2013). However, to date, few  
101 studies have focused on analyzing the PLF efficacy in reducing the environmental impact of  
102 livestock products, and the need of further studies to estimate their actual effect as a mitigation  
103 strategy has been stressed in recent reviews (Lovarelli et al 2020, Tullo et al 2019).  
104 For these reasons, the objectives of this study were 1) to estimate through LCA the environmental  
105 impact associated to intensive dairy goat production, in order to contextualize the results with  
106 regards to other dairy systems; and 2) to explore if the utilization of a PLF platform could involve  
107 a reduction in the environmental impact of the dairy goat farms that have incorporated its use.

108

## 109 **2 Material and methods**

### 110 **2.1 Scope of the study**

#### 111 **2.1.1** *Description of the PLF platform*

112 Cabrandalucía Federation, which comprises the main goat breeding associations in Andalusia  
113 (Spain), has recently implemented a concept of smart farming relying on a PLF platform (Web-  
114 App RUMIA), which incorporates PLF-like principles based on the integration of individual animal  
115 data to optimize decision making through a smart phone-based terminal.

116 Briefly, this platform relies on three principles (Figure 1): 1) systematic on-farm individual data  
117 recording (e.g. partum data, physiological stage, health status, reproductive data, etc.) together  
118 with remote data acquisition as a result of the milk control, morphologic evaluation and genetic  
119 selection program, ii) data storage, processing and interpretation by a supercomputer placed at  
120 Cabrandalucía headquarters, and 3) interactive feedback of processed data to the farmer in order  
121 to optimize farm management. The main inputs and outputs of this PLF platform, along with the  
122 farm management implications are summarized in Table 1.

123 The effectiveness of the platform has been recently evaluated by monitoring the shift in the  
124 performance indicators of a group of dairy goat farms after it was implemented (Belanche et al.,  
125 2019). A sub-group of 5 farms involved in that experiment was randomly selected in our study in  
126 order to analyze the evolution in their environmental performance. All the farms implemented the  
127 PLF platform in late 2014 and the only filter criterion was that, during the studied period (2014-  
128 2018) they did not introduce substantial changes in terms of existing facilities or feeding  
129 strategies, which could have affected aspects like energy consumption or concentrate  
130 composition. By avoiding modifications on those operational conditions, we aimed at analyzing  
131 specifically how changes in the herd management could influence the environmental performance  
132 of the farm, therefore exploring the optimization potential obtained through the PLF platform.

### 133 **2.1.2** *Data collection and sample description*

134 Five dairy goat farms of Murciano-Granadina breed were selected randomly from a group of farms  
135 belonging to Cabrandalucia association, representing the typical intensive management system  
136 in the region based on absence of grazing and high dependence on off-farm feeds (self-  
137 sufficiency 0-3%). The farms were located in Andalusia (Southern Spain), an area with  
138 Mediterranean climate, characterized by mild winters and very warm and dry summers. All farms  
139 had similar management based on intensive reproduction, two milking per day and the same  
140 monthly milk monitoring scheme. Key characteristics of the farms involved in the study are  
141 provided in Table 2.

### 142 **2.1.3** *Functional unit (FU)*

143 Life cycle assessment is an internationally accepted method to assess quantitatively the  
144 environmental impacts related to all the stages of a production cycle, from raw material extraction  
145 to the end products. The present study followed the principles described in the international

146 standards ISO 14044 (ISO, 2006) and specifically in FAO (2016) guidelines, which establishes a  
147 harmonized methodological framework to conduct LCAs of small ruminant systems.

148 In the present study, the functional unit (FU) considered is 1kg of fat and protein corrected milk  
149 (FPCM). According to FAO (2016), milk yield was corrected at 4.0% fat and 3.3% protein to  
150 provide comparison with dairy cow milk. The following equation was applied:

$$151 \quad Kg \text{ FPCM} = kg \text{ milk} \times (0.1226 \times Fat\% + 0.0722 \times Crude \text{ Protein}\% + 0.0621 \times Lactose\%) \quad (1)$$

#### 152 **2.1.4** *System boundaries*

153 The time boundary selected was a period of 12 months, covering all life stages of the animal, and  
154 representing the average environmental impacts for goat milk production during 1 year. As all the  
155 analyzed farms had an intensive production system, small influence of seasonality or inter-annual  
156 variability was expected. In order to capture the effect of the PLF platform implementation, two  
157 different 12-month periods were analyzed for each farm: a baseline year just before the platform  
158 was incorporated (2014) and four years after (2018), when most of the influence of improved  
159 management was reflected.

160 A “cradle to farm-gate” perspective was considered for defining the boundaries of the goat milk  
161 production system, involving all processes until the milk leaves the farm, and excluding milk  
162 transport and processing afterwards (see Figure 2). This involves the aspects related to on-farm  
163 activity, such as fuel and electricity consumption, animal housing, ruminant digestion and manure  
164 management, but also off-farm activities like crop production, feed processing and transport to  
165 the farm. Capital goods (e.g. equipment, machinery, buildings) and inputs for ancillary activities  
166 (e.g. medicines) were excluded of the final analysis as they were considered not relevant for this  
167 case study.

### 168 **2.1.5** *Allocation of co-products*

169 Dairy goat farms are multifunctional systems which produce more than one product. The main  
170 purpose is milk production, although meat from kids (and culled goats) is also obtained as a co-  
171 product. In order to estimate the environmental impacts of the single product analyzed in the study  
172 (i.e. milk), the overall impacts have to be partitioned among the various outputs of the system. To  
173 do so, we followed FAO (2016) guidelines for LCA studies on small ruminant systems,  
174 recommending to prioritize allocation based on biophysical causality over other allocation criteria.

175 Accordingly, a biophysical allocation based on the partitioning of the animal energy requirements  
176 was applied. Energy requirements for the different metabolic functions of the animals in the farm  
177 were estimated following IPCC (2019) Tier-2 methodology. The allocation ratio for milk, was  
178 calculated from the ratio of the energy requirements for milk production to the energy  
179 requirements for the production of milk and meat (growth):

$$180 \quad R = \text{energy req. milk production} / (\text{energy req. milk production} + \text{energy req. growth}) \quad (2)$$

181 Applying more than one allocation method is recommended for dairy LCAs though, in order to  
182 show the potential effect of allocation method through a sensitivity analysis. To do so, two  
183 additional procedures for allocation were explored: one based on economic value and other based  
184 on protein content. Details are provided in Supplementary Material.

## 185 **2.2** **Life cycle inventory (LCI)**

### 186 **2.2.1** *Farm inputs and outputs*

187 To obtain a detailed inventory, the farms were analyzed by field investigation and through a survey  
188 which provided details about farm structure, management applied, and main input and output  
189 flows. Structured farmer surveys were conducted to quantify farm inputs such as feeds, water,  
190 electricity or fuel consumption, as well as to identify some management practices, like manure



191 treatment. Farm outputs such as milk, goat kids sold and culled goats were obtained from the  
192 information gathered by the PLF platform. Additionally, feed suppliers were consulted to collect  
193 concentrate composition. An overview of the collected data is shown in Table 3. Specific details  
194 about feed ingredients and concentrate composition can be found in Table S1.

195 Based on the collected details, a farm model was built describing the farm structure, according to  
196 technical parameters and animal management practices reported. All the animal classes present  
197 in the farm along the year were accounted together with their respective excreta and emissions  
198 (Figure 3) estimated through IPCC (2019) guidelines.

### 199 **2.2.2** *Estimation of emissions*

200 Methane (CH<sub>4</sub>) emissions from enteric fermentation were estimated according to Tier 2 of the  
201 most recent IPCC (2019) guidelines. Gross energy was calculated from estimations of energy  
202 requirements and diet digestibility, and CH<sub>4</sub> emissions from enteric fermentation were calculated  
203 by applying a Y<sub>m</sub> of 5.5% for dairy goats. Emissions from manure management were also  
204 estimated based on IPCC (2019) guidelines. Specific values for high productivity goats were  
205 applied when considering maximum methane producing capacity (Bo) (0.18m<sup>3</sup>CH<sub>4</sub>/kgVS).  
206 Manure management types under the studied farms were solid storage and passive composting  
207 with infrequent turning. Accordingly, methane conversion factors (MCFs) of 4% and 2% were  
208 considered for solid storage and composting respectively, both under warm temperate dry  
209 climate.

210 Similarly, nitrous oxide (N<sub>2</sub>O) emissions were estimated based on excretion rate of nitrogen (N)  
211 estimated following IPCC (2019) and applying emission factors for direct N<sub>2</sub>O of 1% for solid  
212 storage and 0.5% for composting in passive windrow. For estimating indirect N<sub>2</sub>O emissions and  
213 ammonia (NH<sub>3</sub>) and nitrate (NO<sub>3</sub><sup>-</sup>) losses, the Tier1 from IPCC (2019) was applied. The estimation  
214 of off-farm emissions from purchased feeds (i.e. concentrates, grains and forages) and bedding

215 materials, involving the stages from agricultural production, processing and transport to the farm,  
216 was conducted based on Agri-footprint v4.1 database (Blonk Agri-footprint BV, 2019). Emissions  
217 related to fuels (diesel and biomass (olive husks)) consumed on-farm, and electricity from Spanish  
218 national grid, were estimated from Ecoinvent 3.3 database (Ecoinvent, 2016).

### 219 **2.3 Impact categories and characterisation**

220 Different methods were chosen to conduct the life cycle impact assessment (LCIA) stage. The  
221 IPCC 2013 (Mhyre et al. 2013) method was selected to assess the climate change (CC) impact,  
222 considering the global warming potential factors of IPCC with a timeframe of 100 years. Beyond  
223 CC, other five impact categories were selected among the so-called “baseline impact categories”  
224 in the ReCiPe 2016 midpoint method: stratospheric ozone depletion (OD), terrestrial acidification  
225 (TA), freshwater eutrophication (FE), land use (LU), and water consumption (WC). Additionally,  
226 cumulative energy demand (CED) was included as an indicator of the energy use throughout the  
227 life cycle of the product. SimaPro 9.1 LCA software (PRé Sustainability, 2020) was used to  
228 conduct the calculations.

### 229 **2.4 Statistical analysis**

230 To determine the effect of the PLF platform on the environmental performance, each farm was  
231 considered as an experimental unit and data were analyzed by ANOVA using the R software  
232 (version 3.6.2 R Core Team 2020) as follows:

$$233 \quad Y_{ijk} = \mu + T_i + F_j + e_{ijk}$$

234 Where  $Y_{ijk}$  is the dependent, continuous variable,  $\mu$  is the overall mean,  $T_i$  is the fixed effect of the  
235 PLF platform based on the differences between times ( $I = 2014$  vs  $2018$ ),  $F_j$  is the random effect  
236 of the farm considered as a block ( $j = 1$  to  $5$ ) and  $e_{ijk}$  is the residual error. Pearson's simple  
237 correlation analysis was carried out between estimated environmental impact, annual milk  
238 production and the physiological parameters obtained from the farms monitored.

239

## 240 **3 Results**

### 241 **3.1 Environmental impact of goat milk**

242 Impact assessment results for all the impact categories involved in the study are detailed in Table  
243 4 and Figure 4, showing results referred per FU (1 kg of FPCM) and relative to highest  
244 contribution, respectively. Results from the sensitivity analysis using different allocation rules  
245 (biophysical, economic and protein content) are shown in Table S2. Allocation based on protein  
246 content resulted on the highest impact estimations (4-9% higher than biophysical), while  
247 economic allocation tended to attribute less environmental load to the goat's milk (2-4% lower).  
248 Independently of allocation method, some differences can be observed between the farms when  
249 compared on the same year basis, with milk from Farm 5 consistently showing the lowest  
250 environmental impact for most of the categories, except for water consumption (Figure 4).

251 Focusing on the carbon footprint (CF) of goat milk, GHG emissions per kg of FPCM for the five  
252 dairy goat farms analyzed are shown in Figure 5, together with the contribution from different  
253 GHG sources. The larger proportion of total GHG emissions was associated with feed production,  
254 which comes from cropland areas outside the farm. This involves emissions from crop cultivation  
255 and feed transport stages, with grains and concentrates contributing on average 48.5%, and  
256 forages 9.4%. Approximately, a third of these emissions are linked to CO<sub>2</sub> released through direct  
257 land use change (LUC) processes, while the remaining are mainly associated to N<sub>2</sub>O emissions  
258 from fertilization and CO<sub>2</sub> emissions from agricultural and feed processing activities, involving  
259 fossil fuel consumption.

260 Enteric CH<sub>4</sub> emissions were identified as the second largest GHG source accounting for 28.0%  
261 of the total goat milk CF on average, followed by manure management (6.3%) and cereal straw  
262 usage for bedding purposes (3.3%). Finally, other GHG sources were also identified, most of them

263 related to the energy use on-farm, although their contribution to the CF was on a lesser extent,  
264 mainly in the form of electricity (2.5%), diesel (1.7%) and biomass combustion (0.3%).

265

## 266 **3.2 Effect of the PLF platform**

### 267 *Effect of PLF on the farm productive performance*

268 The implementation of the PLF platform promoted an intensification of the production system at  
269 different levels (Table 2). The number of reproductive goats per farm tended to increase from  
270 2014 to 2018 ( $P=0.060$ ) and the reproductive intensification led to an increase in the prolificacy  
271 ( $P=0.031$ ) and number of kids sold per goat ( $P=0.056$ ). The FPCM yield per lactation tended to  
272 increase ( $P=0.098$ ) being this increment more obvious when expressed as FPCM per year per  
273 goat ( $P=0.006$ ). This platform also tended to decrease the unproductive periods such as the dry  
274 period length ( $P=0.051$ ) or first partum age (-2.8%) and the replacement rate ( $P=0.048$ ).

275 The observed increase in the number of reproductive animals and milk yield per goat observed  
276 from 2014 to 2018 tended to increase the consumption of concentrate ( $P=0.076$ ), water ( $P=0.072$ )  
277 and electricity ( $P=0.087$ ) (Table 3), whereas other relevant inputs such as forage, bedding, diesel  
278 or biomass fuel consumption were not significantly affected by the PLF implementation. The  
279 intensification observed from 2014 to 2018 promoted a substantial increase in the farm outputs  
280 such as the sales of FPCM ( $P=0.028$ ) and the goat kids ( $P=0.017$ ). On the contrary, the PLF  
281 implementation decreased the number of culled goats sold as meat ( $P=0.042$ ) due to the lower  
282 replacement rate as a result of a higher functional longevity (Table 3).

283

### 284 *Effect of PLF on the farm environmental performance*

285 Across all the farms, the implementation of this PLF platform had a substantial and multi-factorial  
286 effect on the environmental impact per FU (1kg of FPCM) which ranged from -9% to -16%  
287 depending on the impact category considered (Table 4). As a result, from 2014 to 2018, all the  
288 impact categories were significantly reduced ( $P < 0.05$ ). Moreover, the environmental impact of  
289 milk production was significantly correlated ( $p < 0.05$ ) with several physiological and productivity  
290 variables, as affected by the PLF platform implementation (Table S3). Specifically, for the CF, a  
291 negative correlation was found with annual milk yield ( $r^2 = 0.47$ ), lactation milk yield ( $r^2 = 0.55$ ) and  
292 prolificacy ( $r^2 = 0.47$ ), while a positive correlation was found with first partum age ( $r^2 = 0.58$ ) and dry  
293 period length ( $r^2 = 0.56$  – excluding farm 5) (Figure 6).

## 294 **4 Discussion**

### 295 **4.1 Environmental impact of goat milk**

296 Methodological choices applied in LCA studies of dairy products (e.g. FU, allocation method) have  
297 a strong influence on the results, making difficult to establish comparisons among studies, and  
298 therefore, among different production systems (Baldini et al, 2017). LCA studies on small ruminant  
299 dairy systems have often corrected milk according to the equation of Pulina et al (2005) for sheep  
300 milk, based on a fat and protein content of 6.5% and 5.8%, respectively. This approach makes  
301 difficult the comparison among dairy systems, and it seems especially inadequate for goat milk,  
302 which rarely reach those values. In view of this, the recommendation by FAO guidelines (FAO,  
303 2016) seems a sensible approach, providing a common basis for comparison among dairy  
304 systems. In an attempt to harmonize results, the reported values from previous LCA works on  
305 small ruminants have been converted into a common FU (1 kg FPCM) following the equation  
306 indicated by FAO guidelines (FAO, 2016). Still, a number of methodological aspects like allocation  
307 method, or including LUC emissions and carbon sequestration in the estimation, will add strong

308 variability in the reported values, but at least it allows to extract, with caution, some general trends  
309 that may help to contextualize the results from this study.

310 Traditionally, LCA approaches have attributed to goat -and sheep- milk a higher environmental  
311 impact compared to predominant milk production systems from dairy cattle (Gerber et al 2013).  
312 For example, Weiss et al (2012) estimated GHG fluxes of cow milk at 1.3–1.7 kg CO<sub>2</sub>eq/FPCM  
313 on EU-27 average, while sheep and goat milk at 2.6–4.1 kg CO<sub>2</sub>/kg FPCM. However, from the  
314 results of this study, and after reviewing data of previous literature on small ruminant LCAs, it  
315 does not seem that such differences exist when dairy production systems are compared under  
316 an equivalent FU (i.e. similar content of %fat and %protein) or when produced in a similar  
317 intensive manner. The importance of the FU on dairy goat systems, and in particular of the FPCM  
318 basis applied, was previously noted by Gutiérrez-Peña et al (2019). They reported an estimation  
319 41% lower of the CF when milk correction was based on cow's milk vs sheep's milk. A similar  
320 trend can be observed in this study for other reviewed studies (Table 5).

321 When harmonized into the same FU (Table 5), the results of the CF in this work (1.53-1.71 kg  
322 CO<sub>2</sub> eq/kg FPCM) seem to be within the range observed for other high-productivity dairy goats in  
323 the same area (1.41-2.17 kg CO<sub>2</sub> eq/kg FPCM; Pardo et al 2016; Gutiérrez-Peña et al 2020) and  
324 values generally reported for dairy sheep systems (1.18-2.72 kg CO<sub>2</sub> eq/kg FPCM; Batalla et al  
325 2015, Escribano et al 2020, Vagnoni et al 2015, 2017). In contrast to low productive small  
326 ruminant systems, where enteric CH<sub>4</sub> has been identified as the main source of GHG emissions,  
327 the CF of intensive dairy systems is often dominated by feed production activities. This is due to  
328 the use of supplementary feeds with greater quality and digestibility which enhance milk  
329 productivity per animal, and also results on lower enteric CH<sub>4</sub> emissions per kg DM ingested. As  
330 a trade-off, increased use of grains and concentrates usually involves a higher intensity of CO<sub>2</sub>  
331 and N<sub>2</sub>O emissions per kg of feed, mainly linked to the use of fertilizers and fossil fuels during the  
332 cultivation stage. Moreover, some of these feed sources (e.g. soy, palm) are associated to direct

333 LUC processes (i.e. deforestation) which can lead to crucial changes in the CF of dairy systems  
334 when accounted. For example, when Battini et al (2014) included LUC emissions from soybean  
335 meal in the CF of cow's milk, they estimated a remarkable increase by 0.53 kg CO<sub>2</sub> eq/kg FPCM.

336 In our study, for the farms analyzed during 2018, forage and concentrate production contributed  
337 up to 57.9% of GHG emissions, of which 14.5% were attributed to direct LUC, mainly due to  
338 soybean expansion in South America. As previously stated, feed production is often the dominant  
339 GHG source of high-productive small ruminant systems, even though they often result in the lower  
340 emission intensity per kg of FPCM (Table 5). For that reason, it becomes especially important to  
341 look for potential mitigation strategies related to feed consumption in these systems.

342 From the data revised in this work, the lowest CF estimated for goat milk is reported by Robertson  
343 et al (2015) in indoor farms in New Zealand (NZ). A number of factors can explain that result, from  
344 the high milk productivity level observed, to the use of a specific method for estimating enteric  
345 CH<sub>4</sub> emissions. But interestingly, despite a large amount of supplementary feed was consumed  
346 in the indoor NZ dairy goat farms, they had a very low GHG associated, because many of them  
347 were food by-products (e.g. brewers grain). The benefits of exploiting available agri-food residues  
348 in the Mediterranean basin was also highlighted by Pardo et al (2016), with estimated GHG  
349 reductions among 12-19% in the CF of goat milk, and it has been stressed as a key adaptation  
350 opportunity for the small ruminant systems against expected CC effects in the region (Pardo et al  
351 2020).

352 Although enteric CH<sub>4</sub> emissions is not the largest hot-spot in the CF, in our study its relative  
353 contribution is still quite relevant (28%). This observation agrees with estimates from previous  
354 studies on intensive dairy goat systems. However, it contrasts with estimations from global LCA  
355 approaches that often attribute a dominant role for enteric CH<sub>4</sub> emissions on sheep and goat milk  
356 (Gerber et al 2013; Leip et al, 2010), which could be due to the use of unrefined methodologies  
357 unspecific for small ruminants. The implementation of enteric methane mitigation strategies (e.g.

358 use of feed additives) is helping to decrease these emissions, despite they are not still considered  
359 neither in this study nor in most national inventories. Activities related to management practices  
360 contribute to a lesser extent to the CF, such as manure treatment (6.3%) and bedding material  
361 utilization (3.3%). Manure in goat farms is predominantly handled in solid form, often through  
362 composting treatment. This tends to produce significantly less CH<sub>4</sub> emissions in comparison to  
363 manure systems in liquid form (i.e. slurry), which are typical in intensive dairy cattle farms. Energy  
364 demand in goat farms is mainly linked to electricity consumption and fuel use for heating  
365 purposes. Although it is just a small part of the CF (4.6%), some actions can be taken in this  
366 aspect. Interestingly, two of the studied farms produced their heating from biomass by-products  
367 (i.e. olive husk), which contributed to reduce slightly their GHG emissions.

368 Comparing results of all impact categories with other studies is particularly difficult, due to  
369 heterogeneity of methodologies and impact assessment methods applied. Despite this, in general  
370 the results seem in the same range of previous LCAs (Zucali et al 2020, Battini et al 2014) with  
371 impacts on FE close to values reported by Zucali et al (2020) for dairy goats, while WC is slightly  
372 lower in our case.

373 In contrast to the CF, emissions produced on-farm tend to have a high relevance for some impact  
374 categories. For example, TA and FE were caused mainly by emissions of NH<sub>3</sub> (and leaching or  
375 run-off of NO<sub>3</sub><sup>-</sup> and PO<sub>4</sub><sup>-</sup>), which resulted directly from manure management, and additionally,  
376 from fertilizer application during off-farm feed production stages. In the case of OD, N<sub>2</sub>O is the  
377 main substance involved, mainly associated to crop cultivation activities, but with a relevant role  
378 of N losses from manure management too (about 20%).

379 When comparing farms on the same year basis, milk from Farm 5 consistently showed the lowest  
380 environmental impact for most of the categories (Figure 4). Main reason behind this is the feed  
381 efficiency ratio, which is the highest (1.17 kg DM/kg FPCM) among all the analyzed farms, but  
382 also the feed resources utilized. Farm 5 shows the lowest forage proportion in the diet, but it



383 includes an important share of grains' mix, which replaces the consumption of concentrates that  
384 tend to have a higher environmental impact.

385 Interestingly, the use of some specific ingredients in the animal feed seems to have a crucial  
386 influence in the WC category. In particular, maize and oats production contributed the most to this  
387 category. They are both crops with high water demands in comparison to other cereals, and its  
388 cultivation under Mediterranean climate conditions often involves important irrigation needs. Their  
389 use explains why Farm 5 did not performed especially well in this category, despite showing the  
390 highest feed efficiency.

#### 391 **4.2 Effect of the PLF platform**

392 From the main findings of this work, it can be underlined that the incorporation of this PLF platform  
393 promoted a significant improvement in the environmental sustainability of dairy goat farms, mainly  
394 as a result of a substantial increase in the milk yield (Tables 2 and 3). Previous studies have  
395 highlighted individual milk production as a key parameter influencing the environmental impact of  
396 goat milk (e.g. Zucali et al 2020). This trend was also observed in the present study, with a  
397 consistent negative correlation observed among environmental impact and annual milk yield  
398 (Figure 6a, Figure S1, Table S3).

399 Depending on the impact category, reductions among 9 to 16% on the environmental impact were  
400 estimated after three years from the implementation of the PLF platform. These figures are  
401 relevant taking into account that they come exclusively from changes in aspects directly related  
402 to the farm management during a limited time period. Intensive livestock farming involves  
403 managing large numbers of animals, which makes difficult a detailed control of all of them by  
404 simple human observation. In this context, PLF platforms for data collection and management  
405 can be particularly helpful, allowing the integration of individual animal data. As a result of this  
406 individual monitoring, a number of farm management decisions can be affected (as described in  
407 Table 1), which leads to increase farm productivity through 3 main mechanisms that involve i) an

408 accelerated genetic progress relying on more knowledgeable selection of high merit goats, ii) an  
409 improved breeding and culling management based on the records of productive, reproductive and  
410 health parameters from each animal, and iii) a decrease of unproductive periods through the  
411 optimization of first conception date, and lactation and dry periods lengths according to animal's  
412 physiological state and milk yield prospects.

413 In our study, the optimization of breeding and culling selection, together with the accelerated  
414 genetic progress, led in the mid-term to a general improvement of the animal's performance. This  
415 PLF platform facilitated these strategies by enabling a better data integration which allowed, for  
416 example, to identify top (and bottom) productive animals, whose offspring to be selected for  
417 replacement; or to detect individuals prone to suffer physiological or reproductive insufficiencies  
418 for culling. The improvement in both strategies obtained through the PLF platform implementation  
419 was reflected on the positive impact on various productive and reproductive parameters across  
420 the studied period, like the increments observed of milk produced per lactation (+7.9%) and  
421 prolificacy (+9.4%) (Table 2). Both parameters were positively correlated with the annual milk  
422 productivity (lactation milk yield:  $r^2=0.80$ , prolificacy:  $r^2=0.36$ ) (Figure S2) and inversely correlated  
423 with the environmental impact at the farm level (lactation milk yield:  $r^2=0.55$ , prolificacy:  $r^2=0.47$ )  
424 (Figure 6b,c).

425 Moreover, the optimization of breeding and culling selection was also associated to the observed  
426 decrease in the replacement rate (-20%) during the studied period. The shift towards more  
427 resilient animals, together with a more accurate monitoring of health programs, allowed to  
428 decrease the culled goats and to increase the number of kids sold (+33%) as a result of lower  
429 replacement needs. These aspects affect positively both, milk and meat production, with  
430 implications for the allocation between co-products, leading to more effective reductions of the  
431 environmental impact on the milk side.

432 A decrease of first partum age (-15 days) and dry period length (-9 days) was also noted in our  
433 study after farms implemented the PLF platform. This is associated to a more precise monitoring  
434 of each animal, which allows i) an optimization of first conception date according to its particular  
435 physiological stage and individual records, and ii) a customization of lactation and dry period  
436 lengths based on specific milk yield prospects. Both parameters were found positively correlated  
437 with annual milk productivity (Figure S2, Table S3) and negatively correlated with CF (first birth  
438 age:  $r^2 = 0.58$ , dry period length:  $r^2 = 0.56$ ) (Figure 6d,e).

439 Interestingly, total feed intake (kg DM/goat/year) was not correlated with CF or annual milk yield  
440 although, a negative relationship was observed between forage/concentrate ratio with CF  
441 ( $r^2 = 0.39$ ) (Figure 6f) and other parameters like milk yield per lactation ( $r^2 = 0.35$ ). This could imply  
442 that the transition towards more intensive systems may involve an increase in the use of  
443 concentrates. This could be a result of shortened unproductive periods, when higher proportion  
444 of forage is used in the diet, but also a consequence of the shift towards more productive animals,  
445 in order to satisfy the energy requirement of high yielding goats, as noted in this study (+9.1%).  
446 This trend has been adverted by previous works, as it could lead to relevant changes in the self-  
447 sufficiency of dairy systems (Gutiérrez-Peña et al 2019; Zucali et al 2020) and also in their GHG  
448 emissions profile (del Prado et al 2021). Increased feed efficiency has been often linked with the  
449 ingestion of feed more suitable for human consumption, but this involves negative impacts in  
450 relation to land competition, biodiversity and global food system sustainability (del Prado et al.,  
451 2013). Moreover, increased use of concentrates often leads to less enteric CH<sub>4</sub> emissions  
452 (through improved nutrient utilization) but sometimes at the expense of increasing CO<sub>2</sub> and N<sub>2</sub>O  
453 contribution from crop cultivation activities. This kind of dynamics are typical of intensification  
454 processes, but their implications in terms of global warming must be carefully analyzed due to the  
455 different behavior of long-lived pollutants (i.e. CO<sub>2</sub>, N<sub>2</sub>O) versus short-lived (i.e. CH<sub>4</sub>) (Del Prado  
456 et al 2021, Ridoutt, 2021).

457 In our study, a generalized reduction was observed in all GHG sources, due to a combination of  
458 PLF-led improvements that affect efficiency at animal and farm level. In relative terms, the greater  
459 reductions were observed on activities related to fixed resource utilization for farm operations,  
460 such as fuels (-34%), electricity (-16%) and use of bedding materials (-25%). However, when  
461 analyzing results in absolute terms, the major contribution to the CF reduction was associated to  
462 concentrates consumption (-51%) (Table S4). Although some improvements achieved from the  
463 PLF platform implementation (i.e. animal milk productivity) may involve an increase in the  
464 proportion of concentrate used, ultimately there is an overall decrease (-17%) on the consumption  
465 of concentrates per kg of FPCM produced. This optimization in the concentrates use was also the  
466 major factor behind the mitigation observed in other environmental impacts, dominating especially  
467 the reduction achieved in impact categories such as WC (67%), LU (51%), FE (48%) and OD  
468 (44%) (Table S4).

469 Despite the known benefits of PLF tools to optimize farm management (Lovarelli et al 2020, Tullo  
470 et al 2019), their implementation is not a widespread practice in small ruminant dairy systems.  
471 Cost for investment often represents an obstacle in small farms, but a number of specific reasons  
472 may have also constraint the incorporation of PLF concepts in these systems, such as low net  
473 margin per animal, the additional labor required for data collection, structural insufficiencies (e.g.  
474 aged milking parlor and infrastructures) or difficulties on the adoption of new technology  
475 (Belanche et al., 2019; Caja et al., 2020). In the face of challenges like CC, the incorporation of  
476 PLF tools in small ruminant systems should be promoted, as they provide opportunities, not only  
477 for mitigation purposes but for CC adaptation too. Small ruminant systems are particularly relevant  
478 in Mediterranean areas, where severe impacts of CC are expected in the next years (Pardo et al.,  
479 2020). In this context, a precise monitoring of animal's physiological state and productive  
480 parameters, together with weather variables (e.g. temperature-humidity data loggers), could give  
481 farmers the possibility to cope better with heat stress risks, by timely taking appropriate adaptation

482 measures, like adjusting ambient conditions or feeding. Moreover, the use of platforms for data  
483 gathering and interpretation open up the possibilities for incorporating other PLF tools into the  
484 farm management. In this context, the proposed PLF platform is in constant development and  
485 over the last two years has incorporated open and scalable computing resources in order allow a  
486 greater versatility on the integration of new elements. This evolution appears as a repose to the  
487 growing demand from the users to better monitor individual animals and farm parameters, as well  
488 as to improve the product traceability and information to satisfice the consumer demand for  
489 healthy, nutritious and environmentally friendly products. The new elements focus on a better  
490 monitoring of the animals' health and wellbeing, farm expenses (e.g. feeds, medicines, animals,  
491 labor, etc.) and farm outputs (e.g. milk, meat, culled animals, manure, etc.) in order to generate  
492 reliable technical-economic indicators. Future research is needed in the years to come in order to  
493 evaluate the effects of these new elements on the farm environmental performance and overall  
494 farm sustainability.

## 495 **5 Conclusions**

496 From our analysis it seems clear that small ruminant dairy production does not necessarily imply  
497 a higher environmental impact in comparison to cow milk. Moreover, our study showed that when  
498 smart-farming concepts are applied through the implementation of a PLF platform, significant  
499 increases in the productivity are achieved together with reductions in the environmental impact of  
500 goat milk. These findings stress the importance of harmonizing methodological choices in dairy  
501 LCAs, but also that PLF platforms for data management could play an important role for the  
502 sustainability of small ruminant dairy production. However, technology should not aim at  
503 substituting farmers in decision-making but to support them through an effective data processing  
504 and interpretation system. In this sense, the farmers' capacity building based on the adoption of  
505 new technologies and increasing the technical and business management training has been

506 identified as top priority for the sustainability of the small ruminant systems in Europe (Belanche  
507 et al., 2021).

508 Future actions in the sector should promote the adoption of PLF concepts, but a successful  
509 implementation will probably require support in terms of financial instruments, knowledge transfer  
510 activities and research of technological innovations like tools and sensors that can adapt to the  
511 existent diversity of small ruminant production systems. This acquires particular relevance in the  
512 Mediterranean region, where sheep and goats farming plays a key role, from both socio-economic  
513 and environmental perspectives, providing not only protein-rich products from harsh  
514 environments, but also a number of eco-services (e.g. fire prevention, landscape and biodiversity  
515 preservation) that should also be preserved or enhanced through PLF tools implementation.

516

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647 **Table captions**

648 Table 1 - Summary of the input and output information in the implemented PLF platform.

649 Table 2 – Main characteristics of the dairy goat farms analyzed in the study

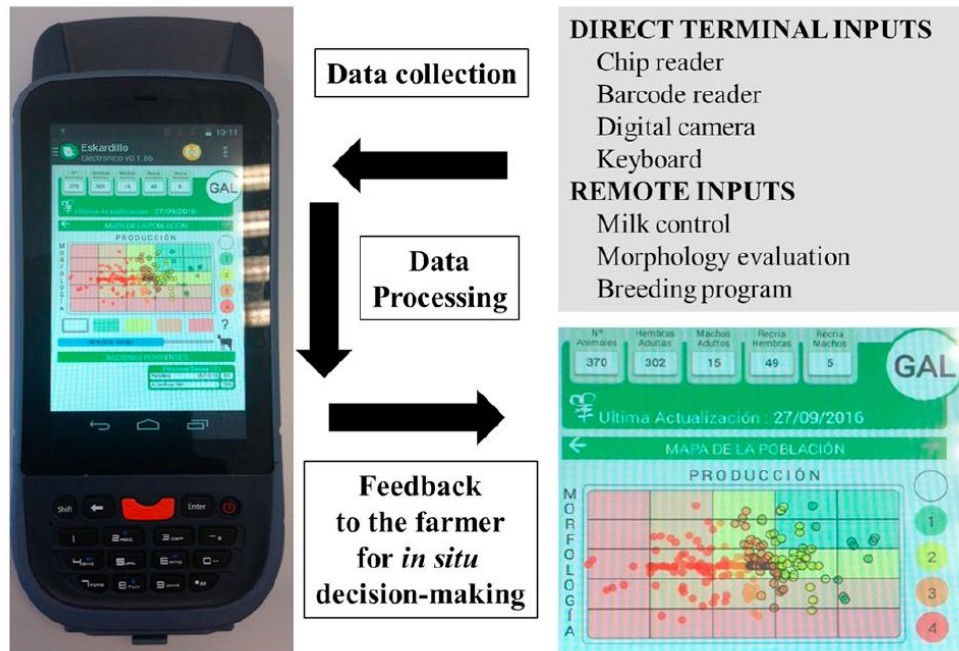
650 Table 3 –Main outputs and inputs flows of the farms during the analyzed period (2014-2018).

651 Table 4 – Environmental impact of goat milk of the analyzed farms (1 kg FPCM).

652 Table 5 – Comparison of studies analyzing the carbon footprint (CF) of small ruminant dairy systems.

653 Original CF estimates have been converted into FPCM according to FAO, 2016.

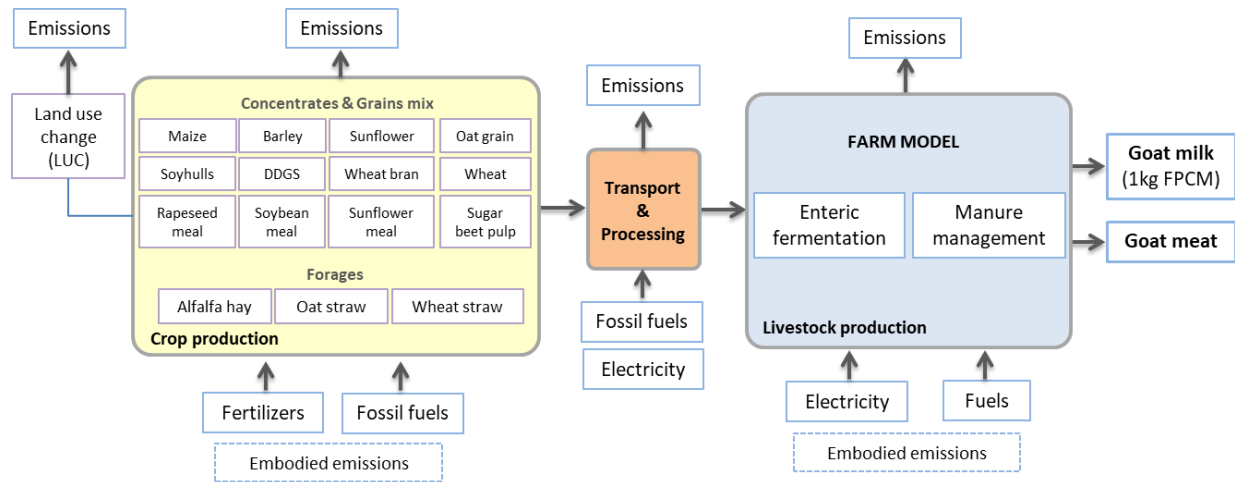
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656 Figure 1. Image of the PLF platform terminal (left), data flows and a screenshot describing the  
 657 population map of the goats in the farm according to their physiological stage, morphology and  
 658 productivity (right) (from Belanche et al., 2019).

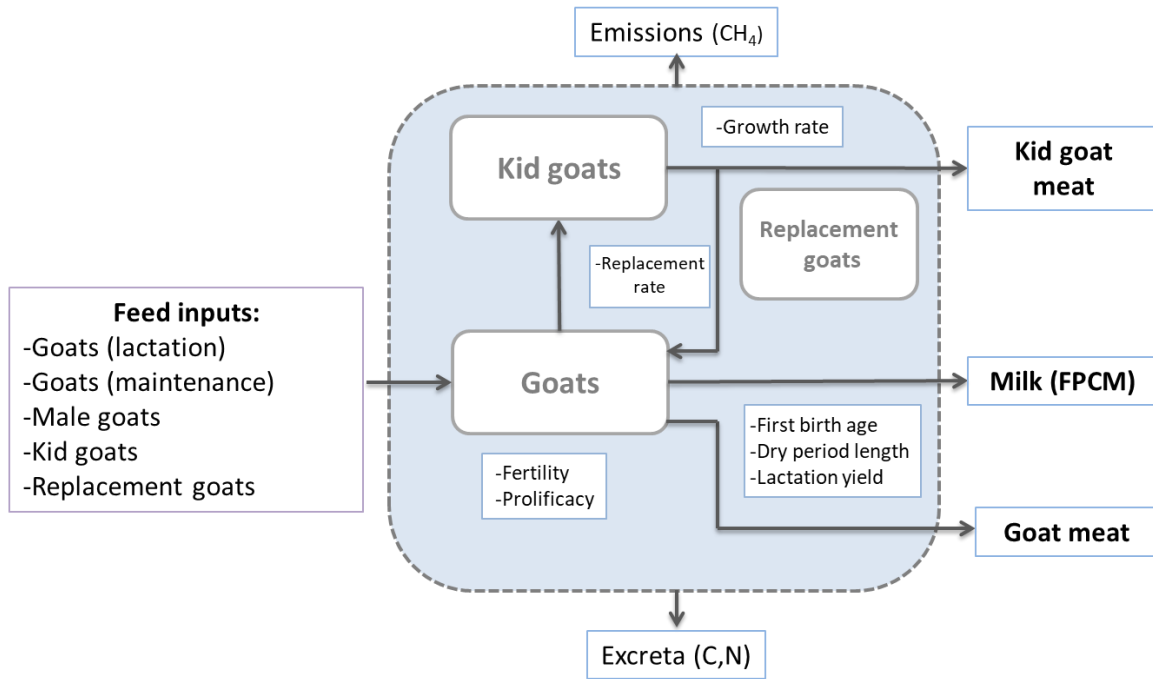
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661 Figure 2 – System boundaries for dairy goat production system and main sources of emissions.

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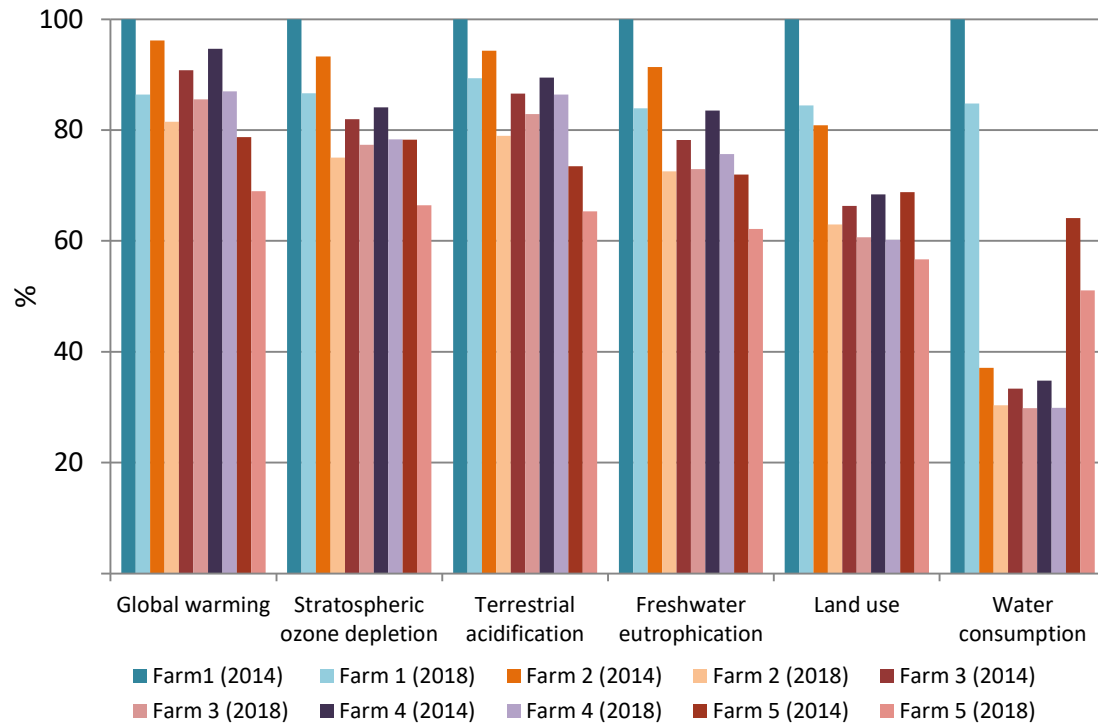


663

664 Figure 3 – Scheme of the herd model built to simulate the herd structure, technical parameters and  
 665 animal management in every case.

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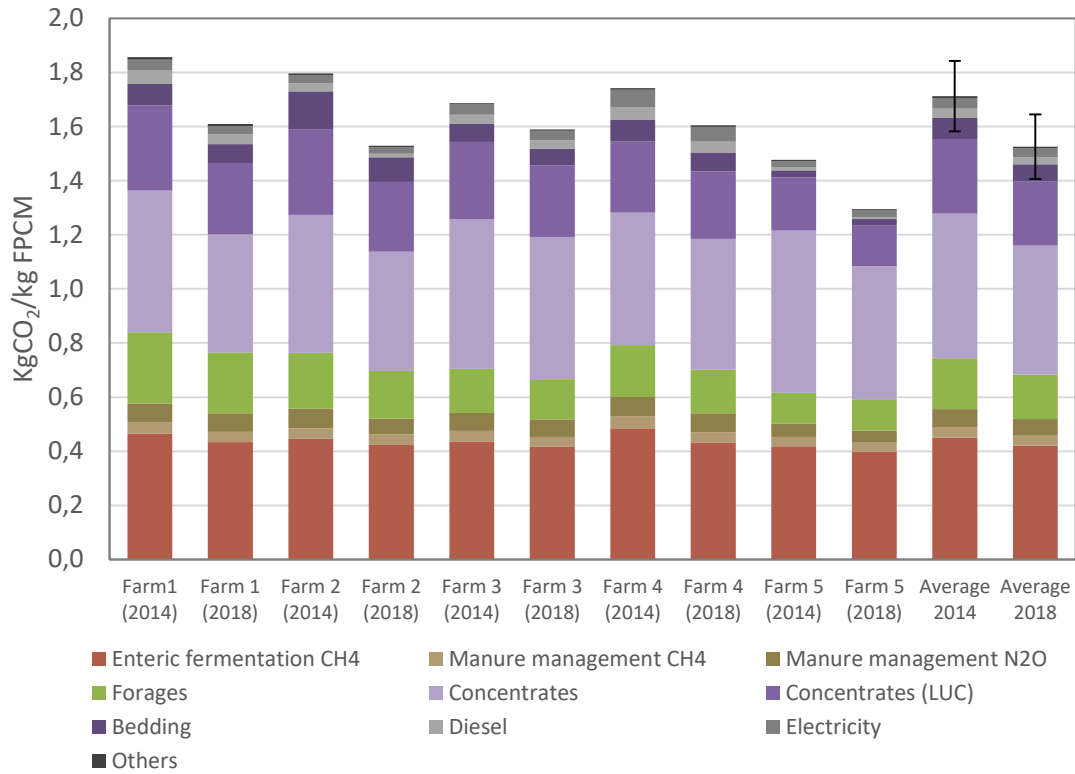


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669 Figure 4 - Relative changes to different environmental impact categories after PLF platform  
 670 implementation

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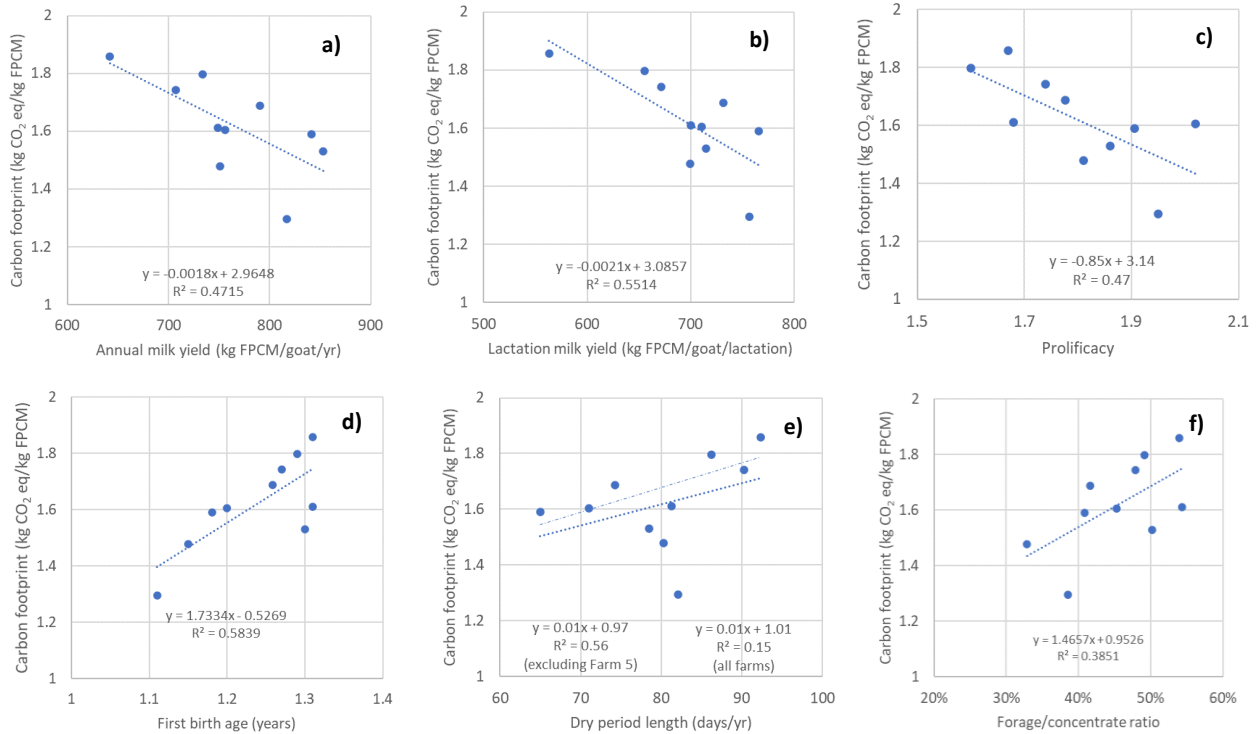


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673 Figure 5 – Carbon footprint of 1kg of Fat and Protein Corrected milk (FPCM) for different dairy goat  
 674 farms in the analysed period. Last two columns show average of all farms in the selected year. Bars  
 675 show standard deviation.

676

677 Figure 6 – Scatter plot for carbon footprint of goat milk against a selection of physiological and  
 678 productivity variables: a) annual milk yield, b) milk yield per lactation, c) prolificacy, d) first birth  
 679 age, e) dry period length, f) forage/concentrate



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