

1 **Title**

2 Bilateral cochlear implantation or bimodal listening in the paediatric population: retrospective
3 analysis of decisive criteria.

4

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23

24 **Abstract**

25 **Introduction:** In children with bilateral severe to profound hearing loss, bilateral hearing can
26 be achieved by either bimodal stimulation (CIHA) or bilateral cochlear implantation (BICI).
27 The aim of this study was to analyse the audiologic test protocol that is currently applied to
28 make decisions regarding the bilateral hearing modality in the paediatric population.

29 **Methods:** Pre- and postoperative audiologic test results of 21 CIHA, 19 sequential BICI and
30 12 simultaneous BICI children were examined retrospectively.

31 **Results:** Deciding between either simultaneous BICI or unilateral implantation was mainly
32 based on the infant's preoperative Auditory Brainstem Response thresholds. Evolution from
33 CIHA to sequential BICI was mainly based on the audiometric test results in the contralateral
34 (hearing aid) ear after unilateral cochlear implantation. Preoperative audiometric thresholds in
35 the hearing aid ear were significantly better in CIHA versus sequential BICI children ($p < 0.001$
36 and $p = 0.001$ in unaided and aided condition, respectively). Decisive values obtained in the
37 hearing aid ear in favour of BICI were: An average hearing threshold measured at 0.5, 1, 2 and
38 4 kHz of at least 93 dB HL without, and at least 52 dB HL with hearing aid together with a 40%
39 aided speech recognition score and a 70% aided score on the phoneme discrimination subtest
40 of the Auditory Speech Sounds Evaluation test battery.

41 **Conclusions:** Although pure tone audiometry offers no information about bimodal benefit, it
42 remains the most obvious audiometric evaluation in the decision process on the mode of
43 bilateral stimulation in the paediatric population. A theoretical test protocol for adequate
44 evaluation of bimodal benefit in the paediatric population is proposed.

45 **Keywords**

46 Cochlear implant; bimodal; simultaneous bilateral cochlear implantation; sequential bilateral
47 cochlear implantation; pediatric.

48

49 **1. Introduction**

50 Nowadays, cochlear implantation (CI) is the golden standard in auditory rehabilitation for
51 patients with bilateral severe to profound sensorineural hearing loss. Compared to the
52 rehabilitation with acoustic hearing aids, a CI is more often provided unilaterally [1, 2].
53 However, compared to monaural stimulation, bilateral stimulation results in more natural
54 hearing, reduced listening effort and improved quality of life [3, 4]. Providing auditory input in
55 both ears is expected to improve speech perception in noise by a combination of the head
56 shadow effect, binaural summation and binaural squelch. The head shadow effect is a bilateral
57 effect, requiring two functional ears. Binaural summation and binaural squelch presume the
58 central auditory system to combine the auditory cues from both ears. In addition, interaural time
59 and level differences available through bilateral auditory stimulation support spatial hearing
60 and sound source localisation in the horizontal plane [5-7]. Stimulation of both ears also
61 prevents neural degeneration resulting from auditory deprivation [8]. Bilateral hearing seems
62 to be of particular importance in children, as research has proved that unilateral hearing loss
63 may be accompanied by behavioural problems, academic difficulties and delays in speech and
64 language development [9, 10].

65 In patients with bilateral severe to profound hearing loss, bilateral hearing may be achieved by
66 either bilateral cochlear implantation (BICI) or bimodal stimulation. BICI has the advantage
67 that the ear with the best postoperative performance is certainly stimulated electrically [2, 3,
68 11, 12]. However, the outcome is restricted by the limitations in speech processing strategies of
69 the devices. After all, the electric auditory CI signals predominantly comprise spectral envelope
70 information, whereas the temporal fine structure of sound is discarded. This spectral envelope
71 encoding is sufficient for speech perception in quiet, but for more demanding speech
72 understanding situations the temporal information adds value [13-15].

73 In bimodal stimulation, electric and acoustic hearing are combined using a CI in one ear and
74 appealing to the residual acoustic hearing in the other ear, if necessary amplified with a hearing
75 aid [6, 12, 16]. This approach includes three major advantages. First, there is no need for a
76 second surgery. Therefore, supplementary costs are avoided and risks concerning both
77 anaesthetics and potential vestibular damage are reduced [2, 11, 12]. Secondly, the contralateral
78 ear remains intact so that it can be engaged for possible new treatments for hearing loss in the
79 future such as stem cell therapy and hair cell regeneration [1, 2, 12, 16]. Finally, in bimodal
80 stimulation, the high-frequency electric hearing is complemented by the low-frequency acoustic
81 input in the contralateral ear, which comprises spectro-temporal information that is lacking in
82 the electric signal [11, 16-18]. This is especially beneficial for segregating voice sources,
83 perceiving voicing information in consonants and perception of sound quality, melody and
84 music [17-20]. However, bimodal stimulation is only a valuable alternative in patients with
85 functional residual hearing [2, 6, 12, 16].

86 Both bimodal stimulation and BICI are considered effective approaches to provide bilateral
87 hearing, since the majority of recent studies agree that no significant differences in speech
88 perception, language development and localisation ability are found between bimodally
89 stimulated patients and BICI users [16, 17, 21-24]. However, their speech perception in noise
90 and localisation abilities remain poor compared to bilateral normal hearing listeners. The two
91 devices, being a hearing aid and a CI or two CIs, function independently and are not aligned in
92 terms of timing and intensity of the signal presentation, which hampers the central processing
93 of auditory input arriving in both ears. Therefore, the benefit of bilateral compared to monaural
94 stimulation in both bimodal and BICI listeners on speech perception in noise and localisation
95 tasks is principally attributed to the head shadow effect, and the real benefit of binaural
96 processing of acoustic cues is questioned [25].

97 In young children with bilateral profound hearing loss due to meningitis and in patients with
98 Usher syndrome, BICI is advocated [2-4, 12]. Apart from these exceptions, BICI only seems to
99 be considered if the use of a contralateral hearing aid results in insufficient bimodal benefit [2,
100 6, 12, 17]. The question remains how to determine this bimodal benefit, especially in young
101 children, and to define what is considered sufficient in this regard. As no worldwide standard
102 criteria are currently available concerning BICI candidacy, most CI centres are inclined to
103 appeal to the unilateral candidacy criteria, using, for example, pure tone audiometry [1, 4]. This
104 method is of questionable validity because the expectations of unilateral CI cannot be compared
105 to the desirable outcome of bilateral hearing [1].

106 The aim of this retrospective study was (a) to evaluate the audiologic test protocol that is
107 currently applied in deciding between bimodal stimulation and BICI in the paediatric CI
108 population in our centre and (b) to determine which factors and audiologic test results are
109 influencing the decision.

110

111 **2. Materials and methods**

112 **2.1. Subjects**

113 From September 1997 until the start of this retrospective study in October 2016, 276 patients
114 have been implanted and followed at the department of Otorhinolaryngology in the Ghent
115 University Hospital. Only patients younger than 12 years of age on the 9th of December 2009
116 were included in this study, since from that date onwards BICI is reimbursed to patients up to
117 12 years of age in Belgium. Additionally, patients needed to be stimulated bilaterally, i.e. with
118 BICI or bimodally, from a young age onwards, i.e. before the age of 18 months.

119 Fifty-two paediatric patients met these inclusion criteria and were divided into three groups.
120 The first group (CIHA) consisted of 21 bimodal listeners (12 males; 9 females) with a mean
121 age of 10.1 years (SD: 4.1). The mean age of implantation was 4.3 years (SD: 3.0). The 19 (9

122 males; 10 females) sequential BICI users (Seq BICI) switched from bimodal to BICI condition
123 and had a mean age of 9.6 years (SD: 3.7). They received the first implant at a mean age of 3.3
124 years (SD: 3.0) and the second at a mean age of 5.6 years (SD: 3.5) The third group consisted
125 of 12 children (8 males; 4 females) with a mean age of 3.1 years (SD: 1.6) who received CIs in
126 both ears simultaneously (Sim BICI) at a mean age of 1.0 years (SD: 0.4). The aetiology of the
127 hearing loss is summarised in Table 1. Occurrence of multiple disorders (psychomotor or
128 cognitive retardation, delayed speech and language development, vestibular, respiratory,
129 cardiac, feeding, muscle tension and/or visual disorders) was reported in nine Seq BICI patients,
130 seven CIHA patients, and three Sim BICI patients and showed no statistically significant
131 difference between subject groups ($p > 0.05$, Fisher's exact test). All included patients signed
132 an informed consent form. The study design was approved by the Ghent University Hospital
133 Medical Ethical Committee.

134 **2.2. Audiologic tests**

135 *2.2.1. Middle ear evaluation*

136 In order to preclude temporary middle ear pathologies (e.g. middle ear effusion, tympanic
137 membrane perforation), middle ear status was examined by micro-otoscopy every six months.
138 Tympanometry (TympStar, Grason Stadler Inc., MN, USA) was performed before every
139 audiologic measurement. High-frequency tympanometry (1000 Hz) was used in infants
140 younger than nine months of age. From the age of three months, a 226 Hz probe stimulus was
141 applied.

142 *2.2.2. Auditory Brainstem Response (ABR)*

143 Hearing thresholds were determined objectively by means of ABR testing. Wave V thresholds
144 were examined using the Eclipse EP25 (software Otoaccess version 1.2.1, Interacoustics,
145 Assens, Denmark) using insert phones calibrated according to ISO-389 reference values
146 (E-A-RTONE Insert Earphone 3A ABR, 3M Company, Indianapolis, IN, USA). In clinical

147 practice, besides click stimuli, toneburst stimuli are commonly used. In this database only
148 thresholds using click stimuli were included as these provide a general overview of the child's
149 hearing status. Assessment and interpretation of the measurements was performed by an
150 audiologist out of a fixed team of four audiologists with at least five years of experience in the
151 neonatal and paediatric audiologic diagnostics.

152 *2.2.3. Subjective hearing evaluation*

153 Subjective hearing evaluation included pure tone audiometry, speech audiometry and phoneme
154 discrimination. These tests were performed in the same double-walled sound-attenuated
155 audiometric test room. Depending on the measurement condition, stimuli were presented
156 through headphones (TDH-39, Interacoustics, Assens, Denmark), insert phones (E-A-RTONE
157 Insert Earphone 5A, 3M Company, Indianapolis, IN, USA) or a free-field loudspeaker in front
158 of the listener (Canton Elektronik GmbH, Weilrod, Germany), all calibrated according to ISO-
159 389 reference values. Since 2012, the PC-based audiometer Equinox 2.0 with Otoaccess
160 software version 1.2.1 (Interacoustics, Assens, Denmark) was used. Before, audiometry was
161 performed with the AC 40 clinical audiometer (Interacoustics, Assens, Denmark). Audiologic
162 assessment in the paediatric population was executed and interpreted by two audiologists out
163 of a fixed team of four audiologists with at least five years of experience in the paediatric field.
164 Depending on the cooperation and concentration abilities of the child, some measurements were
165 split up into multiple short sessions.

166 *2.2.3.1. Pure tone audiometry*

167 Pure tone audiometry was executed using pure tone stimuli presented through insert phones or
168 headphones (in unaided condition) or using warble tones presented in free field through a
169 loudspeaker (in aided condition). Depending on the child's age, Behavioural Observation
170 Audiometry (BOA), Visual Reinforcement Audiometry (VRA), Instrumentation Conditioned
171 Reflex Audiometry (ICRA), as well as standard pure tone audiometry were employed. In case

172 of VRA or ICRA, conditioning preceded the test procedure and was regularly repeated
173 throughout the test to check the child's attentiveness to the auditory stimuli. Thresholds above
174 the technical limits of the equipment were registered as 120 dB HL. The degree of hearing loss
175 was represented by the BIAP (Bureau International d'Audiophonologie), which is the average
176 hearing threshold measured at 0.5, 1, 2 and 4 kHz.

177 2.2.3.2. Speech audiometry

178 Speech audiometry was performed in aided condition with Dutch monosyllabic word lists.
179 According to the age and the speech and language skills of the subjects, Göttinger I (3-4y),
180 Göttinger II (5-6y), NVA child and NVA lists were administered as speech stimuli [26]. In the
181 majority of cases, the ICA (Indice de Capacité Auditive) was assessed. Word lists were
182 therefore successively presented at 70, 55 and 40 dB SPL.

183 2.2.3.3. Speech-in-noise (SPIN) testing

184 SPIN testing was performed with speech and noise presented from the loudspeaker in front of
185 the listener. A different test setup was applied depending on the age, the acquired speech and
186 language skills, and the cooperation level of the child. The signal-to-noise ratio, the presented
187 word list and the examined condition (with CI, with hearing aid alone or in bimodal mode)
188 varied among subjects.

189 2.2.3.4. Auditory Speech Sounds Evaluation (AŞE) – phoneme discrimination

190 The AŞE phoneme discrimination test (Otoconsult, Antwerp, Belgium) was used as an
191 additional test to examine functional hearing [27]. Stimuli were presented through the
192 loudspeaker in front of the listener at 70 dB HL, as the phoneme discrimination was only
193 assessed in aided condition. In very young children, the methods of VRA and ICRA were
194 implemented in order to maximize their cooperation. Conditioning preceded the test and was
195 repeated throughout the test procedure to check the child's attentiveness.

196 **2.3. Data analysis**

197 Statistical analysis was performed using SPSS version 20 (SPSS Inc., Chicago, IL, USA). A
198 significance level of 0.05 was used. Since included variables were not normally distributed,
199 nonparametric tests were applied. Comparison between the three subject groups was done by
200 the Kruskal-Wallis test. The Mann-Whitney U test was used for between-groups comparison
201 with Bonferroni correction ($\alpha=0.017$) for multiple comparisons. Finally, in the Seq BICI
202 children, the Wilcoxon signed-rank test was applied to compare the pre- and postoperative test
203 results in the second implanted ear.

204

205 **3. Results**

206 **3.1. Audiometric thresholds in the three test groups before first implantation**

207 In the CIHA children, the median (preoperative) BIAP was 100 dB HL (interquartile range
208 (IQR): 91-110 dB HL) in the first implanted ear (Ear 1) and 88 dB HL (IQR: 79-98 dB HL) in
209 the contralateral ear (Ear 2). The Seq BICI children showed a median preoperative BIAP of
210 108 dB HL (IQR: 100-115 dB HL) in Ear 1 and 99 dB HL (IQR: 88-110 dB HL) in Ear 2.
211 Finally, in the Sim BICI children, we found a median BIAP of 120 dB HL (IQR:107-
212 120 dB HL) in Ear 1 and 120 dB HL (IQR: 95-120 dB HL) in Ear 2.

213 Preoperative audiometric test results in the three defined groups are summarised and compared
214 in Table 2. Statistical comparisons revealed statistically significant differences for ABR
215 thresholds and BIAP thresholds of both ears (Kruskal-Wallis test, $p < 0.05$). Between-groups
216 comparisons revealed significantly higher ABR thresholds for Ear 2 and BIAP thresholds for
217 both ears in the Sim BICI children compared to the CIHA group (see Table 2 for p values;
218 Mann-Whitney U test with Bonferroni correction). In addition, significant differences were
219 found in the (preoperative) BIAP thresholds of Ear 2 between the CIHA and the Seq BICI
220 children (Mann-Whitney U test with Bonferroni correction).

221 **3.2. Deciding between bimodal stimulation or sequential BICI after first implantation**

222 Table 3 provides an overview of the available test results, playing a role in the decision
223 regarding sequential bilateral implantation versus continued bimodal listening. In 85.7% of
224 CIHA patients (18/21) and 63.2% of Seq BICI patients (12/19), at least one aided discrimination
225 test (speech audiometry or A&E phoneme discrimination) was executed in the implanted Ear 1.
226 Aided discrimination testing in the contralateral non-implanted Ear 2 was executed in 71.4% of
227 CIHA patients (15/21) and 42.1% of Seq BICI patients (8/19). SPIN testing was performed in
228 9.5% of CIHA patients (2/21) at the age of 5;11 and 11;8 years, respectively. In the Seq BICI
229 group, this was the case in 15.8% (3/19), at the ages of 8;2, 10;9 and 11;3 years.

230 After CI in Ear 1, no significant differences could be demonstrated in aided audiometric
231 thresholds or speech discrimination with the CI between the CIHA and Seq BICI group (Mann-
232 Whitney U test). Comparison of the audiometric test results in Ear 2 between the CIHA and
233 Seq BICI group resulted in significant differences. Statistical analysis demonstrated that
234 unaided and aided BIAP thresholds ($p < 0.001$ and $p = 0.001$, respectively), aided ICA scores
235 ($p = 0.024$) and aided phoneme discrimination scores ($p = 0.015$; Mann-Whitney U test) were
236 significantly better in the CIHA group compared to the Seq BICI group. Figures 1A and 1B
237 show the boxplots of the unaided and aided BIAP results in Ear 2 for both the CIHA group and
238 the Seq BICI group before implantation in this ear was considered. Similarly, boxplots of the
239 aided ICA scores and the aided A&E discrimination scores obtained with Ear 2 in both groups
240 are displayed in Figures 1C and 1D. Decisive values between both listening modes were
241 determined retrospectively by visual deduction and are indicated by dashed lines on the graphs.

242 **3.3. Final evaluation of hearing outcome**

243 After implantation in Ear 2, the Seq BICI children obtained a significantly improved aided
244 BIAP (median: 29 dB HL; IQR: 21-32 dB HL) compared to the preoperative aided BIAP with
245 hearing aid (median: 58 dB HL; IQR: 51-69 dB HL) (Wilcoxon signed-rank test, $p = 0.001$).

246 Comparison of these pre- and postoperative aided thresholds was made in 15 patients and is
247 represented in Figure 2A.

248 Pre- and postoperative aided outcomes for speech audiometry and A&E phoneme discrimination
249 in the Seq BICI children are depicted in Figures 2B and 2C, respectively. Paired comparisons
250 of the ICA in Ear 2 with hearing aid (median: 23%; IQR: 20-47%) and the ICA in Ear 2 with
251 CI (median 72%; IQR: 58-79%) revealed a statistically significant improvement (Wilcoxon
252 signed-rank test, $p = 0.028$). Aided A&E phoneme discrimination scores in Ear 2 also improved
253 significantly from hearing aid (median: 57%; IQR: 29-71%) to CI condition (median: 94%;
254 IQR: 86-100%) (Wilcoxon signed-rank test, $p = 0.046$).

255 Comparison of ICA scores in Ear 2 between the three groups of bilateral stimulated children is
256 illustrated in Figure 3. Overall comparison showed a significant difference in speech perception
257 outcomes between the three groups (Kruskal-Wallis, $p < 0.05$), but p values were not
258 significant when performing the Mann-Whitney U test with Bonferroni correction for between-
259 groups comparisons.

260

261 **4. Discussion**

262 Although the surplus value of bilateral hearing in the paediatric population is well-documented
263 and generally accepted, the choice for simultaneous BICI, sequential BICI or bimodal listening
264 is not always straightforward. A retrospective analysis reveals which factors and test results
265 have been of interest in the selection process for BICI in our centre, and the final outcome is
266 evaluated. A theoretical test protocol that could be applied in the decision process between
267 bimodal stimulation and sequential BICI is proposed.

268 **4.1. Simultaneous BICI**

269 In infants with bilateral severe to profound hearing loss, the aetiology of the hearing loss and
270 the degree of residual hearing seem to be important factors in the decision for simultaneous

271 BICI. This is preferred over sequential BICI in infants with limited or no residual hearing since
272 it promotes normal-like symmetric development of the central auditory pathways and offers the
273 greatest benefit of binaural hearing [28]. However, this does not imply that all children with
274 limited or no residual hearing are simultaneously bilaterally implanted by default. The aetiology
275 of the hearing loss is always taken into account. In pathologies with a highly unpredictable
276 postoperative auditory outcome, simultaneous BICI is seldom performed. In bilateral hearing
277 loss due to an unknown aetiology, and in hearing loss associated with a cochlear nerve
278 abnormality or multiple disorders, clinicians would prefer to await the evolution of auditory
279 performance with the first implant before considering contralateral implantation [29, 30]. The
280 present study seems to confirm the stated hypothesis, since simultaneous BICI was performed
281 in only two of all patients with an unknown aetiology ($n=17$), and in none of the patients with
282 auditory neuropathy/auditory dyssynchrony ($n=4$) or cochlear hypoplasia ($n=1$). On the other
283 hand, the choice for BICI might be quite straightforward in patients with a stable, nonsyndromic
284 bilateral severe to profound hearing loss, for example, caused by *GJB2* mutations (connexin 26,
285 Cx26). In such cases, the decision between bimodal listening or BICI is mainly depending on
286 the degree of residual hearing or the bimodal benefit. In our centre, an important number of
287 Cx26 patients (55.6%) were simultaneously bilaterally implanted. In bilateral deafness caused
288 by meningitis and associated with an increased risk of bilateral ossification of the cochlea, the
289 decision for simultaneous BICI is straightforward as well. Research has shown that in these
290 cases, surgery is advisable at an early stage, prior to the onset of cochlear ossification [31]. In
291 the present study, simultaneous BICI was performed in three out of four meningitis patients.

292 **4.2. Bimodal listening or sequential BICI: audiologic protocol**

293 In case the decision on simultaneous BICI is not straightforward, a more conservative approach
294 is advised in which contralateral implantation is only considered if bimodal stimulation results
295 in insufficient benefit [2, 6, 12, 17]. However, the main research question remains how to

296 determine this benefit in clinical practice. The goal of this study was to provide an overview of
297 the test protocol applied in our centre and to investigate which audiologic test results are
298 decisive regarding the bilateral stimulation mode.

299 Three components are distinguished in the theoretical audiologic test protocol: evaluation of
300 the monaural auditory performance, the bilateral auditory performance and the bimodal gain.
301 Concerning the monaural hearing performance, auditory detection by means of pure tone
302 audiometry seems to remain the most obvious audiometric evaluation, as this test was executed
303 in a larger amount of patients compared to the tests evaluating functional hearing such as A&E
304 phoneme discrimination and speech audiometry. Although pure tone audiometry in the
305 paediatric severely hearing-impaired population may be a time-consuming and laborious
306 procedure requiring experienced audiologists, extensive conditioning of the child, multiple test
307 sessions and age-appropriate vision and motor skills of the child, it remains more feasible
308 compared with A&E phoneme discrimination or speech audiometry. In addition, speech
309 audiometry demands a certain level of cognitive development and language acquisition. The
310 latter is often delayed or impaired in profoundly hearing-impaired children. Since the
311 behaviourally obtained hearing thresholds have a poor sensitivity and specificity in the
312 paediatric population, they should be cross-checked with objective measurements such as ABR
313 or auditory-steady-state responses (ASSR). However, these techniques are infrequently used in
314 the regular follow-up of toddlers or pre-schoolers due to the frequent need for anaesthesia and
315 the difficulties to measure aided thresholds. Another objective technique to confirm the
316 subjective thresholds without the necessity of sedation is the registration of Cortical Auditory
317 Evoked Potentials (CAEP) [2]. CAEP testing can be executed reliably in young infants using
318 tonal and speech stimuli in both aided and unaided condition [32]. Research has shown that P1
319 latency potentials measured by CAEP in hearing impaired children differ from the potentials
320 found in children with normal hearing. If effective, auditory rehabilitation by means of

321 conventional hearing aids or CI involves a gradual disappearance of these differences [33].
322 Therefore, CAEP testing appears to be a promising approach for evaluating functional hearing
323 and hearing aid success objectively in children who are very young and/or difficult to test
324 behaviourally [32]. However, CAEP measurements are also affected by many factors, such as
325 sleep state and motor activity, which are difficult to be controlled for in infants and young
326 children.

327 Besides the evaluation of monaural auditory performance, bilateral performance should be
328 examined as well. Insufficient bilateral performance in bimodal condition could be an argument
329 in favour of contralateral implantation. In this respect, SPIN testing should be included, as better
330 speech perception in noise is one of the main advantages of bilateral hearing [6, 7, 24]. Our
331 retrospective analysis revealed that SPIN was tested in only 9.5% and 15.8% of the CIHA and
332 the Seq BICI subjects, respectively. As the youngest subject in whom SPIN testing was
333 executed, was 5;11 years old, these low rates could be related to the aforementioned required
334 levels of cognitive processing and language development. Additionally, determining reliable
335 audiometric thresholds and evaluating speech perception in quiet in children may already be
336 that time-consuming and exhausting that SPIN testing is often omitted. The evaluation of sound
337 localisation and listening effort are also indispensable in the evaluation of bilateral auditory
338 performance [4, 5]. However, these tests are currently not implemented in our decision-making
339 evaluation between bimodal listening and bilateral CI. Sound localisation can be examined from
340 the age of four years, as described by Van Deun et al. [34]. A dual task paradigm, which is
341 feasible at school age, could be applied to evaluate listening effort [35-38].

342 The third component of the test protocol should comprise an evaluation of the bimodal gain. As
343 already mentioned in the introduction, this is the most difficult component of the test protocol,
344 as it lacks clarity in literature. It seems evident that the evaluation of bimodal gain should
345 comprise an evaluation of the audiologic advantages of bimodal stimulation. These include

346 better segregation of voice sources, better perception of sound quality, melody and music, and
347 the preservation of low-frequency spectro-temporal information required for better speech
348 perception in noise and low-frequency pitch perception [17-20]. However, evaluation of these
349 bimodal advantages in the paediatric population is not evident. Therefore, in many CI centres,
350 evaluation of these skills is not included in the test protocol. A survey by Schwartz et al. [1]
351 demonstrated that less than half of the CI centres used hearing performance in background noise
352 and even less than ten percent used localisation tasks as methods to determine candidacy for
353 BICI.

354 Choosing between either continued bimodal listening or evolving to sequential BICI does not
355 seem significantly influenced by auditory CI performance after first implantation, but rather by
356 unaided and aided audiologic test results in the non-implanted ear between test groups. In an
357 attempt to define decisive values in this respect, the boxplots represented in Figures 1A-D were
358 applied. Regarding the unaided BIAP threshold measured in Ear 2, in 75% of the CIHA
359 subjects, a BIAP threshold below 93 dB HL was recorded, whereas in 75% of the Seq BICI
360 patients, a BIAP threshold above this value was measured. Similarly, 52 dB HL can be
361 determined as threshold regarding the aided BIAP. A score of 70% on the A&E phoneme
362 discrimination test and an ICA score of 40% can be defined as dividing values as well.

363 An important additional consideration in the decision between simultaneous BICI, sequential
364 BICI or continuing bimodal listening is the appropriate functioning of the vestibular system.
365 Since a few years ago, we have implemented a vestibular function evaluation (comprising the
366 Cervical Vestibular Evoked Myogenic Potential test at least) as a standard assessment prior to
367 and after CI in the paediatric population. Interpretation of these vestibular test results is beyond
368 the scope of this study, but it is hypothesized that clinicians could advise against contralateral
369 implantation in case a vestibular response in the implanted ear is absent after CI due to a pre-
370 existing absent response or vestibular damage caused by the surgical procedure. Impairment of

371 the contralateral vestibular system after contralateral implantation would imply a total loss of
372 the vestibular function, causing an invalidating impact on the child's daily functioning [39].
373 In the Appendix, a test protocol is proposed that should provide a complete representation of
374 the audiologic performance in bimodally stimulated patients. Note that this test protocol is a
375 theoretical proposal. Restrictions in time, therapy loyalty, motivation and other influencing
376 patient characteristics are not taken into account. Therefore, the development of a practical
377 time-effective test protocol, resulting in a complete reflection of the audiologic performance of
378 bimodally stimulated patients should be the focus of further research. Furthermore, a more
379 objective and numeric definition (in terms of test results) of 'insufficient' bimodal gain is
380 urgently required.

381 **4.3. Outcome evaluation**

382 In the Seq BICI patients included in this study, choosing contralateral implantation did result
383 in the best audiologic outcome (Fig. 2A-C). However, it is noteworthy that conclusions
384 concerning the elimination of possible bimodal advantages are lacking as these are currently
385 not evaluated.

386 Although not significant in this study, Sim BICI patients seemed to achieve higher monaural
387 speech perception scores compared to the monaural speech perception scores in Ear 2 of
388 Seq BICI patients (Fig. 3). It is generally accepted that a long inter-implant interval in
389 sequential BICI has a negative impact on auditory performance with the CI and on linguistic
390 development, due to asymmetric development of the central auditory pathways [40]. Since our
391 Seq BICI patients consistently used acoustic amplification before receiving their second
392 implant, the impact of the inter-implant delay might have been restricted.

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5. Conclusion

Bilateral hearing in the severe to profound hearing impaired paediatric population could be achieved by either bimodal listening or BICI, either simultaneous or sequential. The decision between both approaches is influenced by multiple factors of which the aetiology of the hearing loss and the amount of residual hearing are the most important. In practice, an estimation of residual hearing and bimodal benefit in the paediatric population is often based on pure tone audiometry and to a lesser extent on speech audiometry and A&E phoneme discrimination. As the latter require higher cognitive processing and good conditioning, respectively, they can only be executed reliably in older patients. In this respect, CAEP testing appears to be a promising approach for evaluating hearing aid success objectively in children who are very young and/or difficult to test behaviourally. Additionally, tests evaluating other bilateral, binaural and bimodal (e.g., music appreciation) advantages should be a part of the test protocol. However, it can be questioned whether this is feasible and/or relevant in the paediatric population. The retrospective study design, in combination with rather small subjects groups and missing data require a cautious interpretation of the results of this study. Future research with larger and more equally divided subject groups is warranted to allow more general conclusions.

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541 **Appendix : Proposed test protocol**

I. Middle ear evaluation

A. Micro-otoscopy

B. Tympanometry

II. Evaluation of the aided monaural auditory performance (with CI and with hearing aid, separately)

Behavioural test

Electrophysiological test

A. Auditory detection

- Pure tone audiometry

- Auditory Brainstem Response

- Auditory Steady-State Response

B. Speech sound discrimination

- Auditory Speech Sounds Evaluation

- Cortical Auditory Evoked

phoneme discrimination test

Potentials

C. Speech perception in quiet

- Speech audiometry in quiet

III. Evaluation of the bilateral auditory performance (with CI alone vs with CI and hearing aid)

A. Speech sound discrimination (see above)

B. Speech perception in quiet (see above)

C. Speech perception in noise [41]

D. Sound localisation [34]

E. Listening effort [35-38]

IV. Evaluation of the bimodal gain (with CI alone vs with CI and hearing aid)

A. Segregation of voice sources [17]

B. Perception of sound quality, melody and music [42-44]

C. Preservation of the low-frequency spectro-temporal information

- Speech perception in noise [41]
- Low-frequency pitch perception [45]

V. Evaluation of the vestibular function

- A. Cervical Vestibular Evoked Myogenic Potential test
- B. Video Head Impulse Test
- C. Rotatory test
- D. Caloric test

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Table 1 Aetiology of hearing loss in the three subject groups

	Total	CIHA	Seq BICI	Sim BICI
	<i>n</i> =52	<i>n</i> =21	<i>n</i> =19	<i>n</i> =12
	% (<i>n</i>)	% (<i>n</i>)	% (<i>n</i>)	% (<i>n</i>)
cCMV	23 (12)	10 (2)	42 (8)	17 (2)
Cx26	17 (9)	14 (3)	5 (1)	42 (5)
Bilateral EVA	8 (4)	14 (3)	5 (1)	-
Meningitis	8 (4)	5 (1)	-	25 (3)
AN/AD	8 (4)	10 (2)	11 (2)	-
Premature hypoxia	2 (1)	-	5 (1)	-
Cochlear nerve hypoplasia	2 (1)	-	5 (1)	-
Unknown - familial	10 (5)	19 (4)	5 (1)	-
Unknown	23 (12)	29 (6)	21 (4)	17 (2)

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CIHA=bimodal listeners; Seq BICI=children with sequential bilateral cochlear implantation; Sim BICI=children with bilateral simultaneous cochlear implantation; cCMV=congenital cytomegalovirus infection; Cx26=connexin 26 gene mutation; EVA=enlarged vestibular aqueduct; AN/AD=auditory neuropathy/auditory dyssynchrony.

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Table 2 Comparison of preoperative audiometric thresholds between the three subject groups before implantation in Ear 1

	Median	IQR (Q1 – Q3)	Group <i>p</i> value	Between-groups <i>p</i> values		
				CIHA	Seq BICI	Sim BICI
ABR Ear 1 (dB nHL)						
CIHA (n=18)	100	80 – 100		-	>0.05	0.043
Seq BICI (n=16)	100	96 – 100	0.024*	>0.05	-	>0.05
Sim BICI (n=12)	100	100 – 100		0.043	>0.05	-
ABR Ear 2 (dB nHL)						
CIHA (n=18)	80	69 – 100		-	0.027	0.001*
Seq BICI (n=16)	95	89 – 100	0.001*	0.027	-	>0.05
Sim BICI (12)	100	100 – 100		0.001*	>0.05	-
BIAP Ear 1 unaided (dB HL)						
CIHA (n=21)	100	91 – 110		-	>0.05	0.001*
Seq BICI (n=18)	108	100 – 115	0.004*	>0.05	-	0.035
Sim BICI (n=12)	120	107 – 120		0.001*	0.035	-
BIAP Ear 2 unaided (dB HL)						
CIHA (n=21)	88	79 – 98		-	0.016*	<0.001*
Seq BICI (n=18)	99	88 – 110	0.001*	0.016*	-	0.048
Sim BICI (n=12)	120	95 – 120		<0.001*	0.048	-

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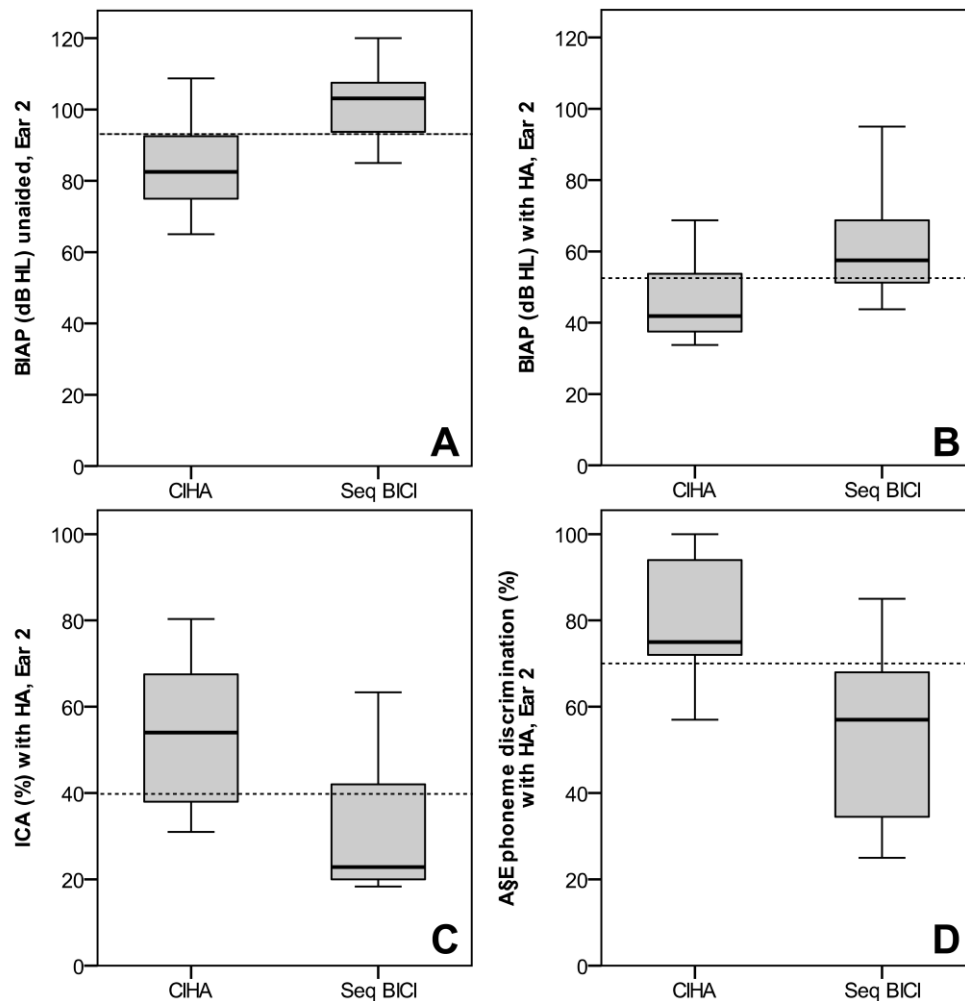
IQR=interquartile range; CIHA=group ending up as bimodal listeners; Seq BICI=group of children with sequential bilateral cochlear implantation; Sim BICI=group of children with simultaneous bilateral cochlear implantation; *n*=number of subjects within each test group included in the statistical analysis; ABR=Auditory Brainstem Response; BIAP= Bureau International d’Audiophonologie, which is the average hearing threshold measured at 0.5, 1, 2 and 4 kHz; Ear 1=(first) implanted ear; Ear 2=contralateral ear to Ear 1. Statistically significant *p* values (<0.05 for the Kruskal-Wallis test and <0.017 for the Mann-Whitney U test with Bonferroni correction) are indicated by (*).

557

558 **Table 3** Available audiometric test results decisive for continued bimodal listening (CIHA)
 559 versus sequential bilateral cochlear implantation (Seq BICI)

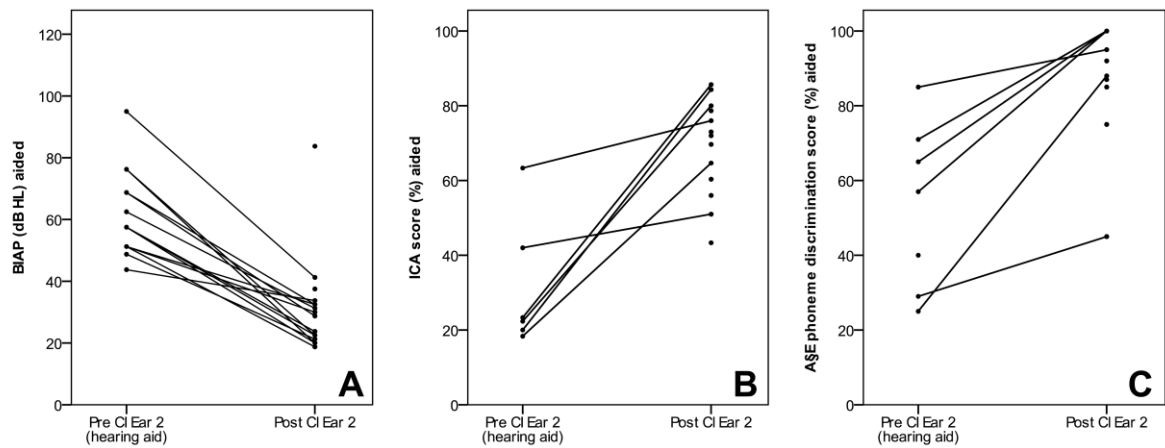
	CIHA	Seq BICI
	<i>n</i> =21	<i>n</i> =19
Ear 1	% (<i>n</i>)	% (<i>n</i>)
PTA aided (CI1)	95 (20)	100 (19)
Speech audiometry aided (CI1)	81 (17)	63 (12)
Speech audiometry bimodal (CI1 + HA)	57 (12)	26 (5)
A§E aided (CI1)	71 (15)	53 (10)
Ear 2	% (<i>n</i>)	% (<i>n</i>)
PTA unaided	100 (21)	100 (19)
PTA aided (HA)	100 (21)	89 (17)
Speech audiometry aided (HA)	62 (13)	32 (6)
A§E aided (HA)	52 (11)	37 (7)

560 The upper part of the table displays the availability of audiometric test results in the implanted ear (Ear 1), obtained
 561 in aided condition (with cochlear implant, CI1). The lower part summarizes the audiometric tests undertaken in
 562 the (at that moment non-implanted) contralateral, hearing aid ear (Ear 2).
 563 CIHA=bimodal listeners; Seq BICI=sequential bilateral cochlear implant group; PTA=pure tone audiometry;
 564 A§E=Auditory Speech Sounds Evaluation phoneme discrimination test; CI=cochlear implant, HA=hearing aid;
 565 *n*=number of subjects within each test group.
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568 **Fig. 1** Boxplots representing test results on pure tone audiometry, speech audiometry and
 569 speech discrimination in Ear 2 in CIHA and Seq BICI children: (A) the unaided BIAP
 570 threshold (dB HL), (B) the aided (with hearing aid) BIAP threshold (dB HL), (C) the aided
 571 (with hearing aid) ICA score (%) and (D) the aided (with hearing aid) A&E phoneme
 572 discrimination score (%). The dashed lines indicate a retrospectively determined cut-off value
 573 between continuing bimodal listeners (CIHA) and children evolving to sequential bilateral
 574 cochlear implantation (Seq BICI). BIAP=Bureau International d’Audiophonologie, which is
 575 the average hearing threshold measured at 0.5, 1, 2 and 4 kHz; ICA=Indice de Capacité
 576 Auditive, which is the average speech perception score at 70, 55 and 40 dB SPL stimulation
 577 level; A&E=Auditory Speech Sounds Evaluation; CIHA=group of bimodal listeners; Seq
 578 BICI=group of children with sequential bilateral cochlear implantation; HA=hearing aid.

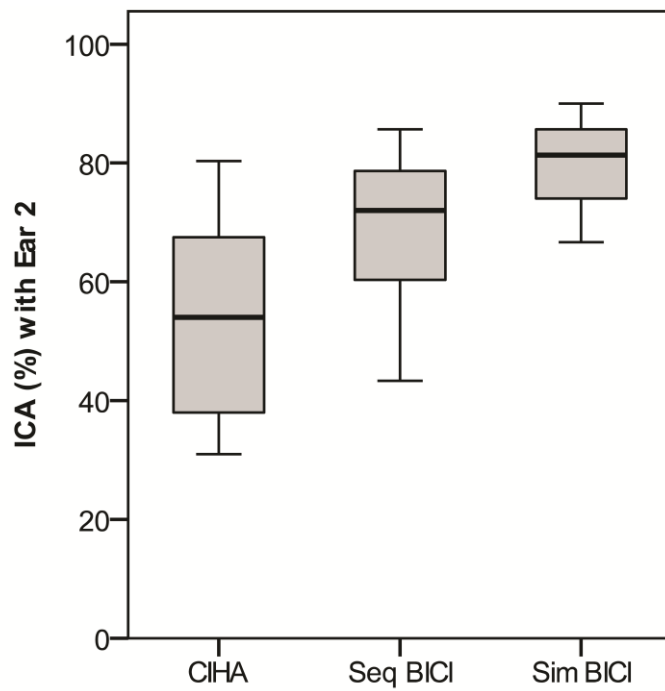


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580 **Fig. 2** Paired comparisons of test results on pure tone audiometry, speech audiometry and
 581 speech discrimination in hearing aid and CI condition in Ear 2 in sequentially bilaterally
 582 implanted patients: (A) BIAP thresholds (dB HL) in 15 patients, (B) ICA scores (%) in 6
 583 patients and (C) A&E phoneme discrimination scores (%) in 6 patients.

584 The dots represent data from patients in which paired comparison was not possible, as one of
 585 both test results was missing. BIAP=Bureau International d'Audiophonologie, which is the
 586 average hearing threshold measured at 0.5, 1, 2 and 4 kHz; ICA=Indice de Capacité Auditive,
 587 which is the average speech perception score at 70, 55 and 40 dB SPL stimulation level;
 588 A&E=Auditory Speech Sounds Evaluation; Pre=preoperative; Post=postoperative;
 589 CI=cochlear implant.

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592 **Fig. 3** Boxplot comparing ICA scores (%) in Ear 2 between CIHA ($n=12$, with hearing aid in
 593 Ear 2), Seq BICI ($n=13$, with CI in Ear 2) and Sim BICI children ($n=3$, with CI in Ear 2).

594 ICA=Indice de Capacité Auditive, which is the average speech perception score at 70, 55 and
 595 40 dB SPL stimulation level; CIHA=group of bimodal listeners; Seq BICI=group of children
 596 with sequential bilateral cochlear implantation; Sim BICI=group of children with
 597 simultaneous bilateral cochlear implantation.