



# Production of Whey Protein-Based Aggregates Under Ohmic Heating

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**Abstract** Formation of whey protein isolate protein aggregates under the influence of moderate electric fields upon ohmic heating (OH) has been monitored through evaluation of molecular protein unfolding, loss of its solubility, and aggregation. To shed more light on the microstructure of the protein aggregates produced by OH, samples were assayed by transmission electron microscopy (TEM). Results show that during early steps of an OH thermal treatment, aggregation of whey proteins can be reduced with a concomitant reduction of the heating charge—by reducing the come-up time (CUT) needed to reach a target temperature—and increase of the electric field applied (from 6 to 12 V cm<sup>-1</sup>). Exposure of reactive free thiol groups involved in molecular unfolding of  $\beta$ -lactoglobulin ( $\beta$ -lg) can be reduced from 10 to 20 %, when a CUT of 10 s is combined with an electric field of 12 V cm<sup>-1</sup>. Kinetic and multivariate analysis evidenced that the presence of an electric field during heating contributes to a change in the amplitude of aggregation, as well as in the shape of the produced aggregates. TEM discloses the appearance of small fibrillar aggregates upon the influence of OH, which have recognized potential in the functionalization of food protein networks. This study demonstrated that OH technology can be used to tailor denaturation and aggregation behavior of whey

proteins due to the presence of a constant electric field together with the ability to provide a very fast heating, thus overcoming heat transfer limitations that naturally occur during conventional thermal treatments.

**Keywords** Whey protein isolate · Ohmic heating · Electric fields · Protein solubility · Aggregation kinetics · Protein fibrillar aggregates

## Introduction

Whey protein-based matrices are now widely used in the formulation of food products in the form of pure individual fractions or as whey ingredients such as whey protein concentrate (WPC) and whey protein isolate (WPI). These ingredients are dominated by techno-functional properties of  $\beta$ -lactoglobulin (Lefevre et al. 2005), presenting high nutritional and biological value (i.e., digestibility, amino acid pattern, and sensory characteristics) but also showing the ability to form hydrogels (Bryant and McClements 1998; Madureira et al. 2007; Nicolai et al. 2011).

Protein hydrogels are defined as three-dimensional hydrophilic networks that can swell in aqueous conditions and entrap a large amount of water, while maintaining a stable network structure (Chen et al. 2006; Qiu and Park 2012). Gelation of proteins is a complex process that usually requires a driving force to unfold the native protein followed by a chemical or physical aggregation process to yield a three-dimensional protein network. The driving force for gelation can be a physical process, such as heat or pressure, or a chemical process, such as acid, ionic, or enzymatic reaction (Stokes 2012; Dissanayake et al. 2013). Among these, the most common method for forming food gels with globular proteins is by heating (Foegeding 2006; Nicolai et al. 2011). It is known that

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heating at relatively high temperatures ( $>60$  °C) results in thermal denaturation of globular whey proteins (Pereira et al. 2011; Nicolai and Durand 2013). Depending on the factors such as balance between attractive and repulsive interactions between denatured proteins, molecular architecture, and environment aqueous conditions (e.g., pH and ionic strength), globular whey proteins can remain as individual molecules or form different kinds of protein aggregates (Cornacchia et al. 2014). These aggregates are the “building blocks” needed for the development of food-grade nano- and micro-network hydrogel structures. Whey protein aggregation has then a strong impact upon the production and rheological performance of gels in food materials (Kavanagh et al. 2000).

WPI, due mostly to the presence of  $\beta$ -lactoglobulin ( $\beta$ -lg), can form particulate networks in the pH range of 4–6, while fine-stranded networks are formed above and below this region (Stading et al. 1992; Ikeda and Morris 2002; Nicolai et al. 2011). Production and development of  $\beta$ -lg and WPI hydrogels have been studied extensively during the latest decades (Bryant and McClements 1998; Kavanagh et al. 2000; Lefevre and Subirade 2000; Phan-Xuan et al. 2013; Stading and Hermansson 1990, 1991; Stading et al. 1992). This interest arises with the possibility of designing whey protein hydrogels' size from micrometers to nanometers, thus allowing their incorporation in foods to impart desirable textural properties. These structures may also be used to protect and improve delivery of value-added bioactive compounds through microencapsulation and nano-encapsulation techniques (Augustin 2003; Bhopatkar et al. 2012; Chen and Subirade 2007; Chen et al. 2006; Gunasekaran et al. 2007; Livney 2010; Nicolai et al. 2011; Schmitt et al. 2009). However, the mechanism of protein unfolding, aggregation, and gelation is rather complex. Gelation depends not only on pH and ionic strength but also on other conditions prevailing in the aqueous solution (i.e., ionic calcium content, fat content, presence of lactose, and protein composition/concentration) and heating treatments (Dalgleish and Banks 1991; Law and Leaver 2000; Nicorescu et al. 2008; Tuan et al. 2011; Verheul et al. 1998; Anema and Li 2003). For instance, it was shown that the type of heating method (direct or indirect) used for protein denaturation should not be overlooked. Recent studies showed that ohmic heating can influence unfolding, denaturation, and eventually size of the whey protein aggregates, and thus the viscoelastic dynamic behavior of thermoset WPI gels (Pereira et al. 2010; Pereira et al. 2011). During ohmic heating, a moderate and alternating electric current passes through the product to be heated, which behaves as a resistor in an electrical circuit, allowing generation of internal heat in accordance with Joule's law (De Alwis and Fryer 1990). Ohmic heating seems to offer a great potential for modulation of WPI micro- and nano-hydrogels' properties, as well as development of water-soluble controlled delivery targeted for nutraceutical and functional food compounds (Rodrigues et al.

2015). However, only a few scientific and technical reports have focused on the effects of this technology upon whey ingredients or enriched fractions of  $\beta$ -lg, which is the most susceptible whey protein to heat treatments during thermal pasteurization or sterilization (Rodrigues et al. 2015). The ability that ohmic heating has in providing a fast and uniform heating, together with its inherent electrical effects, should be exploited seeking processed whey protein products of high quality and distinctive technological functionalities. Therefore, the purpose of this study was to characterize early steps of protein WPI denaturation and aggregation under the influence of ohmic heating treatments by combining different thermal and electrical effects.

## Experimental

### Materials

WPI powder (Lacprodan DI-9212) was kindly supplied by Arla (Arla Foods, Viby, Denmark). In accordance with information provided by the supplier, WPI powder was essentially free of fat (max 0.2 %) and lactose (max 0.5 %). Protein composition, determined by reversed-phase high-performance liquid chromatography (RH-HPLC) as described elsewhere (Rodrigues et al. 2015), was as follows:  $\alpha$ -lactalbumin ( $\alpha$ -lac) 22.8 %, bovine serum albumin (BSA) 1.7 %,  $\beta$ -lgA 44 %,  $\beta$ -lgB 30.7 %, and immunoglobulins (IG) 1.1 %, on a protein basis. All chemicals used were of analytical grade.

### Preparation of WPI Solutions

WPI solutions at 3 % (*w/v*) were prepared by dispersing the WPI powder in ultrapure water (with a resistivity of 18.2 M $\Omega$  cm) with 0.1 mol L<sup>-1</sup> of NaCl (Sigma-Aldrich, Madrid, Spain). This WPI solution was then stirred continuously overnight at refrigeration temperature (5 °C) to ensure full rehydration, and pH was adjusted to 3.0 with 1 mol L<sup>-1</sup> of HCl (Merck KGaA, Darmstadt, Germany). The solution prepared with 0.1 mol L<sup>-1</sup> of NaCl allowed always an optimal starting electrical conductivity (of 1000  $\mu$ S cm<sup>-1</sup>) for the ohmic heating effect to take place.

### Conventional Heating

Experiments were performed in a double-walled water-jacketed glass reactor vessel (30 mm of internal diameter and 100 mm in height), as reported previously (Pereira et al. 2010). Treatment temperature was controlled by circulating thermostabilized water from a bath set at the same temperature as that selected for the treatment to avoid thermal abuses. A magnetic stirrer (size of 0.5 cm) at 150 rpm allowed to homogenize the 30 mL of WPI solution and thus improve heat

transfer during the heating cycle. Temperature was measured with a type K thermocouple (temperature precision of  $\pm 1$  °C; Omega Engineering, Inc., Stamford, CT, USA), placed at the geometric center of the sample volume, and connected to a data logger (USB-9161, National Instruments Corporation, Austin, TX, USA).

### Ohmic Heating

Treatments were performed in a cylindrical glass tube of 30 cm total length and an inner diameter of 2.3 cm, with two stainless steel electrodes isolated at each edge with Teflon caps as described elsewhere (Pereira et al. 2010; Pereira et al. 2011). A gap between the electrodes of 5 cm (the treatment chamber) was used for the experiments, and the supplied voltage ranged from 0.3 to 170 V. The supplied voltage, and consequently the actual temperature, was controlled through the use of a function generator (1 Hz–25 MHz and 1 to 10 V; Agilent 33220A, Penang, Malaysia) connected to an amplifier system (Peavey CS3000, Meridian, MS, USA). During the experiments, the nominal electric field was varied through the function generator to adjust the voltage supplied and simulate the thermal history of samples observed during conventional heating experiments or to promote instantaneous heating; for each experiment, electric fields of 6 and 12 V cm<sup>-1</sup> were applied during the holding heating phase. To exclude shearing conditions, as a potential reason for different aggregation behavior of whey protein, sample volume and stirring conditions were identical, as described previously for conventional heating treatments.

### Heating Conditions

WPI dispersions of 30 mL were heated through conventional heating and ohmic heating (OH) at temperatures of 90 °C. After a heating come-up time (CUT) period (to raise temperature from 20 to 90 °C), the treatment temperature was held constant (holding) for 5 min. Given the potential that OH has in achieving very quickly the required treatment temperatures, the influence of fast CUT on the aggregation patterns of whey protein dispersions was also evaluated. Two different CUT were used during the experiments—i.e., 100 s (applicable for conventional and OH) and 10 s (only applicable for OH). During the holding phase, unheated (control) and heated samples (1 mL) were removed at appropriate time intervals and cooled immediately in ice for 5 min. Non-thermal effects were evaluated by a close coincidence of the temperature profiles (CUT and holding) during OH treatments performed at different field strengths (Fig. 1).

### Turbidity Measurement

Transparency of treated and untreated WPI samples was evaluated at 500 nm in 1-cm-path-length plastic cuvettes, using a double-beam UV-VIS spectrophotometer (V-560, Jasco Inc., Tokyo, Japan) at room temperature (25 °C).

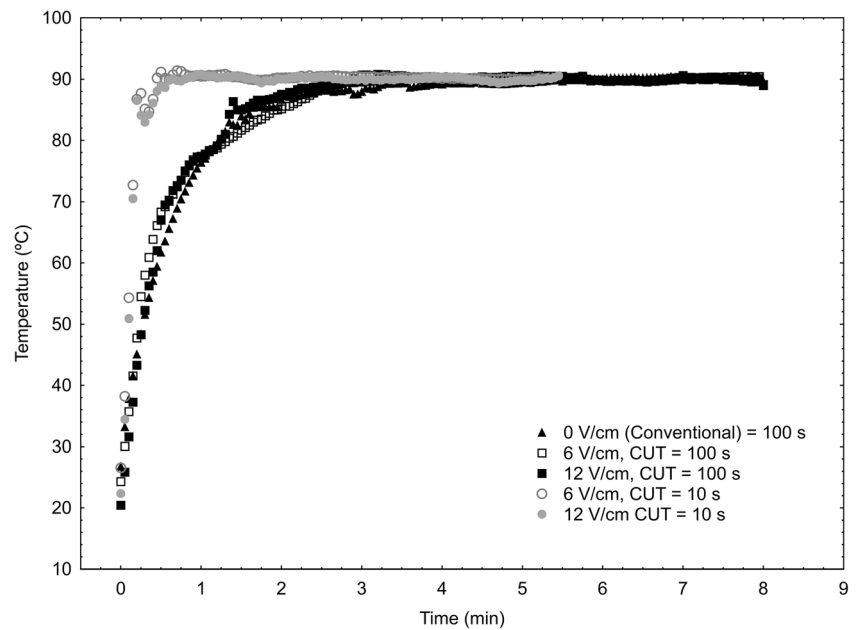
### Protein Solubility

Apparent whey protein solubility was followed by means of a soluble tryptophan fluorescence value on the pH 4.6 soluble supernatant, as described before (Pereira et al. 2011; Rodrigues et al. 2015). Samples (1 mL) from heated and unheated (control) solutions were adjusted to pH 4.6 to precipitate denatured whey proteins, via the addition of 1.0 mL of a 1:1 mixture of 0.83 mol L<sup>-1</sup> acetic acid and 0.2 mol L<sup>-1</sup> sodium acetate. Then, centrifugation was carried out at 15,558g for 5 min, using a microcentrifuge (Mikro 120, Andreas Hettich GmbH & Co.KG, Tuttingen, Germany). Tryptophan fluorescence spectra of the supernatant fraction were recorded in a fluorescence spectrophotometer (FP 9200, Jasco Inc., Tokyo, Japan), with excitation and emission monochromators at 290 and 340 nm, respectively. Percentage of soluble protein was then calculated from a predetermined calibration curve based on the fluorescence emission of standard  $\beta$ -lg.

### Determination of Accessible Sulfhydryl Groups

Accessible free sulfhydryl (SH) groups were determined in unheated (control) and heated WPI solutions at appropriate time intervals, immediately after processing, using the procedures developed in previous work (Pereira et al. 2010). Ellman's DTNB (5,5'-dithiobis-(2-nitrobenzoic acid)) method, modified to react specifically with free SH in milk proteins (Patrick and Swaisgood 1976), was adapted for the determination of reactive SH groups in WPI solutions. DTNB reacts with thiol compounds to produce 1 mol of *p*-nitrothiophenol anion mol<sup>-1</sup> of thiol (Ellman 1959). A 100- $\mu$ L sample of WPI solutions (unheated and heated) was diluted to 1 mL with phosphate buffer (5 mmol L<sup>-1</sup>, pH 8.0) and adjusted to pH 8.0 with 1 mol L<sup>-1</sup> NaOH (Merck KGaA, Darmstadt, Germany); for the determination of total SH groups, urea (8 M) was added to phosphate buffer (Sava et al. 2005). To the aforementioned buffers was added 12  $\mu$ L of 5 mmol L<sup>-1</sup> DTNB (Sigma-Aldrich, Madrid, Spain), and color development was allowed to proceed for 40 min. Residual protein aggregates were removed by centrifuging at 15,558g for 30 min at room temperature. The clear solution at the center of the centrifuge tube was removed with a syringe, and absorbance was read on a microplate reader (Synergy HT, Biotek U.S., Winooski, VT, USA) at 412 nm. The concentration of free SH groups in the sample was calculated using a calibration curve with cysteine. For this purpose, a standard curve was constructed with a standard solution of

**Fig. 1** Example of thermal histories applied at different electric field strengths through conventional heating ( $0 \text{ V cm}^{-1}$ ) and ohmic heating treatments (6 and  $12 \text{ V cm}^{-1}$ ), using different CUT (10 and 100 s) to raise the temperature to  $90 \text{ }^\circ\text{C}$ , set as holding temperature



cysteine at pH 8.0 in the range of 12 to  $95 \mu\text{mol L}^{-1}$ . Free SH groups were expressed as a percentage of the total SH groups.

### Particle Size Analysis

Particle size measurements were made by dynamic light scattering (DLS) using a Zetasizer Nano (ZEN 3600, Malvern Instruments Ltd., UK), equipped with a He-Ne laser of 632.8 nm and 4 mW. Measurements of the dynamics of the scattered light were collected applying backscatter detection NIBS (non-invasive backscatter) at  $173^\circ$ , which reduces multiple scattering and allows higher concentrations to be measured. Average diffusion coefficients were determined by the method of cumulant fit and were translated into average particle diameters (Z-value) using the Stokes-Einstein relationship (Anema and Li 2003). Samples of 1 mL were poured into disposable sizing cuvettes and measurements were carried out (at least) in triplicate. The temperature of the cell was maintained at  $25 \pm 0.5 \text{ }^\circ\text{C}$  during the measurements.

### Transmission Electron Microscopy

Transmission electron microscopy (TEM) imaging was conducted on a Zeiss EM 902A (Thornwood, NY, USA) microscope, at accelerating voltages of 50 and 80 kV. A drop of sample was deposited onto a carbon support film mounted on a copper grid. The excess product was removed after 2 min using a filter paper. The samples were negatively stained for 15 s by a droplet of aqueous solution of 3 % uranyl acetate. TEM images were processed using the ImageJ software package (v.1.44 for Windows) to enhance contrast and evaluate the protein aggregates formed.

### Statistical Analysis

All statistical analyses involving experimental data were performed using Statistica package software version 10.0.228.8 (StatSoft Inc., Tulsa, OK, USA). Statistical significance was determined by Student's *t* and Tukey's tests, using 0.05 as the preselected level of significance. Unless otherwise stated, all experiments were run at least in duplicate.

## Results and Discussion

### Reactivity of Free SH Groups

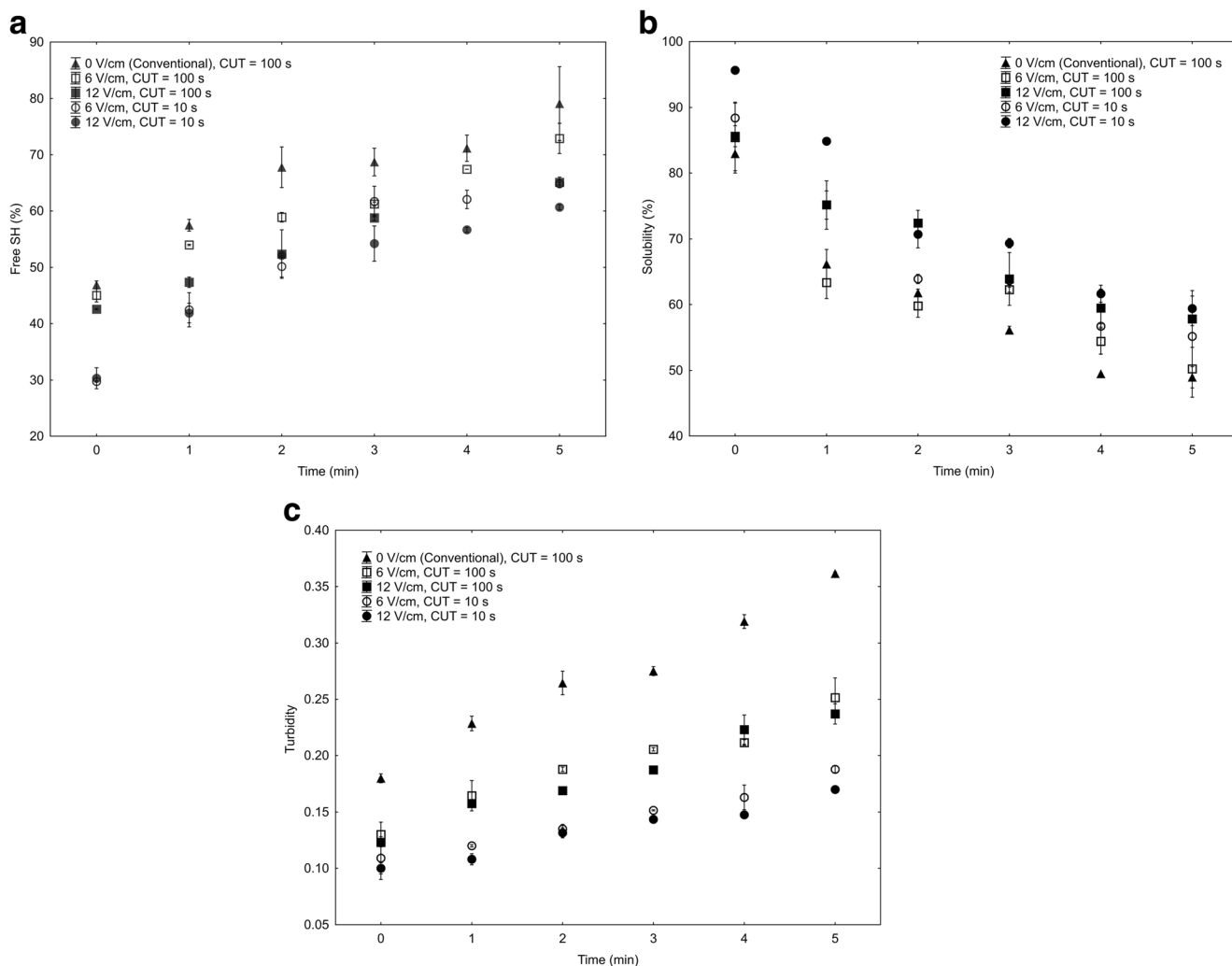
$\beta$ -lg exists as a non-covalently linked dimer stabilized by hydrogen bonds, in which each monomer has one free SH group (i.e., Cys121) hidden in the hydrophobic core of the folded protein (de Wit 1998). At relatively high temperatures (above  $60 \text{ }^\circ\text{C}$ ), the  $\beta$ -lg molecule undergoes denaturation and conformational transitions, exposing the free SH group of hydrophobic amino acids, initially deeply buried in the native protein conformation. This free SH becomes available for covalent disulfide bonds and disulfide interchange reactions, which together with non-covalent interactions (i.e., ionic, van der Waals, and hydrophobic) produce  $\beta$ -lg aggregates. Once the amount of  $\beta$ -lg in WPI used in this study exceeds 70 %, it can be assumed that the reactivity or availability of free SH during heat treatment is governed primarily by this protein (Stanciu et al. 2012). Recent research has shown that unmasking of SH in WPI is clearly observable during early stages of heating due to unfolding and structural transitions of  $\beta$ -lg that precede covalent disulfide bonding of unfolded proteins (Rodrigues et al. 2015). The unfolding behavior,

measured by the changes in the exposure of free SH groups, is apparent in Fig. 2a. Both conventional and OH treatments induced unfolding, rendering free SH groups more accessible for interaction with DTNB. The maximum extent of SH availability, expressed as a percentage of the total SH groups of untreated WPI, ranged between 70 and 90 % and was observed after heating for 5 min without the presence of an electric field (conventional heating,  $0 \text{ V cm}^{-1}$ ). As expected, OH treatments with a CUT of 10 s tended to lessen the exposure of free SH groups; this was noticed at the sampling moment at which treatment temperature (i.e.,  $90 \text{ }^\circ\text{C}$ ) is reached (0 min). It has been recently reported that lessening the heating load can contribute to a reduction of whey protein denaturation, giving rise to distinctive kinetic and thermodynamic parameters (Pereira et al. 2011). In general, exposure of SH was less favored when treatments at  $12 \text{ V cm}^{-1}$  with a CUT of 10 s were applied ( $p < 0.05$ ), resulting in a decrease of 10 to 20 % of free SH groups when compared with conventional

treatments (at  $0 \text{ V cm}^{-1}$ ). This highlights not only the importance of reducing the total time of heating treatment but also the effect of increasing the electric field applied, as well as the combination of the two effects. An additional non-thermal effect may be explained by unfolding transitions or conformational disturbances of  $\beta$ -lg promoted during its denaturation pathways or by a possible enhancement of oxidative elimination of cysteine to dehydroalanine, also known by a desulfurization (Chalker et al. 2011). Despite a trend for reduction of free SH being observed when the other OH treatments were applied (i.e.,  $6 \text{ V cm}^{-1}$  for CUT of 10 and 100 s and  $12 \text{ V cm}^{-1}$  for a CUT of 100 s), these effects were not statistically significant ( $p > 0.05$ ).

### Aggregation

The relationship between the loss of solubility of protein monomers and the formation of protein aggregates, turbidity,



**Fig. 2** Time-dependent changes in **a** surface exposure of SH groups, **b** protein solubility, and **c** turbidity of WPI solutions (3 % w/v) when heated at  $90 \text{ }^\circ\text{C}$ , under conventional heating ( $0 \text{ V cm}^{-1}$ ) and ohmic

heating using different CUT (10 and 100 s) and electric field strengths ( $6$  and  $12 \text{ V cm}^{-1}$ )



and protein aggregate size was explored in this study. The loss of solubility of whey proteins at their isoelectric point (pI) is considered a reliable predictor of protein denaturation (Law and Leaver 2000; Pereira et al. 2011; Stanciuc et al. 2012). Figure 2b shows that all treatments resulted in loss of protein solubility (from approximately 90 to 45 %) due to the irreversible denaturation of protein. Loss of protein solubility seems to be flattened when OH treatments are applied, particularly during the first 2 min of heating. However, this effect was only significant ( $p < 0.05$ ) when a CUT of 10 s and an intensity of  $12 \text{ V cm}^{-1}$  were combined. After 5 min of heating, no significant differences on protein solubility ( $p > 0.05$ ) were found among all the treatments. These results are in agreement to those reported elsewhere (Pereira et al. 2011), in which it has been observed that OH treatments at  $90 \text{ }^\circ\text{C}$  with fast CUT (5 to 37 s) presented ca. 15–30 % more native soluble protein than treatments at  $0 \text{ V cm}^{-1}$  (conventional heating) with longer CUT, during early stages of heating. In contrast, from Fig. 2c, it is possible to observe that OH treatments led always to a lower increase in turbidity ( $p < 0.05$ ), even under the most severe heat conditions applied—i.e., 5 min at  $90 \text{ }^\circ\text{C}$  with a CUT of 100 s—corresponding to the ones used during conventional heating ( $0 \text{ V cm}^{-1}$ ). Turbidity measures scattered visible light and thus depend on the number, concentration, and size of aggregated particles, as well as on their interactions (Soos et al. 2009). The turbidity of the treated WPI solutions was proven to be highly dependent on both the presence of electric fields (independently of their intensity) and the applied heating CUT. Thus, differences found between OH and conventional heating treatments under identical thermal conditions can be explained by the fact that type and number (or size) of aggregates produced varied under the electrical effects of OH (Hoffmann et al. 1996; Prabakaran and Damodaran 1997). When CUT is reduced from 100 to 10 s, differences towards conventional heating (at  $0 \text{ V cm}^{-1}$ ) are even more noticeable independently of the electric field applied.

Denaturation of whey proteins is a very complex process, in which changes in the conformation and unfolding of protein molecules are followed by irreversible aggregation reactions. Loss of protein solubility has increased solution turbidity due to formation of large protein aggregates. Figure 3 shows time-dependent changes in the hydrodynamic diameter of the protein aggregates ( $Z$ -average size).  $Z$ -average size is the primary and most stable parameter produced by the dynamic light scattering cumulant analysis. Its evaluation is beneficial when comparing different treatments performed on the same sample matrix seeking for differences in aggregation quality patterns rather than for descriptive purposes (Gordon and Pilosof 2010). Assuming an exponential aggregation growth, data points were fitted using an exponential growth function

(Eq. 1) that relates protein aggregation (i.e., increase of  $Z$ -average value) to time:

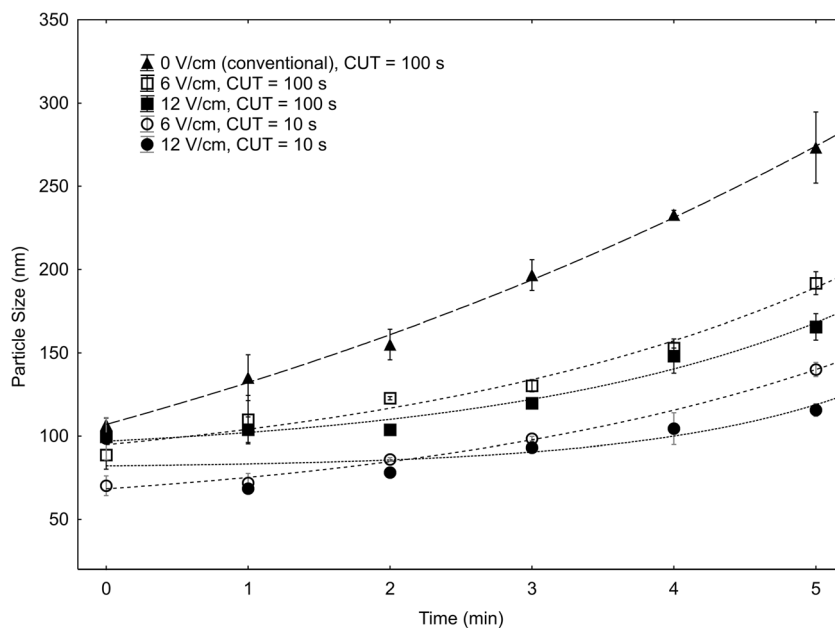
$$Z = Z_0 + a \cdot (e^{k \cdot t} - 1) \quad (1)$$

where  $a$  is related with particle size growth, giving a measure of the extent of aggregation;  $Z_0$  corresponds to the protein particle size when temperature reaches  $90 \text{ }^\circ\text{C}$  (i.e., 0 min of holding); and  $k$  is the apparent rate of aggregation (Ziegler et al. 2006). Kinetic parameters obtained through the fitting procedure for each treatment applied are presented in Table 1. These kinetic parameters were highly significant ( $p < 0.001$ ), and their fit to the experimental data was satisfactory since all  $R^2$  values ranged from 0.96 to 0.97. However, the model was not well adjusted to the data from treatments at  $12 \text{ V cm}^{-1}$  and CUT of 10 s. These conditions may have impaired the onset of protein polymerization, which was reflected by a lag time observed prior to aggregation transition. The differences in  $k$  value were not statistically significant ( $p > 0.05$ ) between all treatments, thus giving the indication that the rate of protein aggregation was essentially governed by the thermal conditions, independently of CUT and electric field applied. However, the presence of an electric field has changed significantly ( $p < 0.05$ ) the kinetic parameter  $a$ , which corresponds to the extent or growth of aggregation. From Table 1, a trend can be easily observed in which  $k$  increases and  $a$  decreases with increasing electrical intensity of the OH treatment for each CUT applied. This indicates that OH is eventually giving rise to protein aggregates of different sizes or shapes, thus contributing to a lower extent of aggregation, when compared with the conventional heating treatment. These results correlated well with the loss of protein solubility measurements. Figure 4 shows the relationship between loss of protein solubility and the concomitant increase in protein particle size, for all applied treatments. In fact, conventional heating treatments (no electric field applied,  $0 \text{ V cm}^{-1}$ ) seem to be well characterized by a regression representing an exponential increase in protein particle size—i.e., from 100 to 300 nm, when protein solubility decreases from 80 to 45 %. However, this relationship is not so obvious in the case of OH treatments, particularly when CUT of 10 s and electric fields of  $12 \text{ V cm}^{-1}$  are combined. In this case, a decrease in solubility from 90 to 50 % is only followed by an increase in size only from 90 to 120 nm.

## Multivariate Analysis

Principal component analysis (PCA) was performed to identify a global pattern in denaturation behavior of WPI under treatments applied by linking all stages discussed above—i.e., unfolding and exposure of SH groups, loss of protein solubility, and formation of protein aggregates. Figure 5 shows the

**Fig. 3** Aggregation kinetics of WPI solutions heated at 90 °C under conventional (0 V cm<sup>-1</sup>) and ohmic heating, described by a second-order polynomial kinetic model (dashed curves)



projection of cases (i.e., different treated samples) and variables (i.e., properties measured) from PCA. Each PCA case is identified by a letter corresponding to the type of treatment (i.e., 0, 6, and 12 V cm<sup>-1</sup>) and a number with correspondence with holding time of thermal treatments applied (from 0 to 5 min) as follows: U, unheated; A, 0 V cm<sup>-1</sup> and CUT of 100 s; B, 6 V cm<sup>-1</sup> and CUT of 100 s; C, 12 V cm<sup>-1</sup> and CUT of 100 s; D, 6 V cm<sup>-1</sup> and CUT of 10 s; and E, 12 V cm<sup>-1</sup> and CUT of 10 s. For example, case D5 corresponds to a treatment in which an electric field of 6 V cm<sup>-1</sup>, a CUT of 10 s, and a holding time of 5 min were applied.

According to PCA, the two main principal components, the first (horizontal axis) and second (vertical axis), accounted for 96.5 % of the variability found in measured data. Hence, the reduction of the analysis to a bivariate dimension is satisfactory. From the projection of variables (see insertion of Fig. 5), it is possible to confirm that the increase of particle size and turbidity are well correlated and mainly responsible for the variability of data along the horizontal axis. Free SH

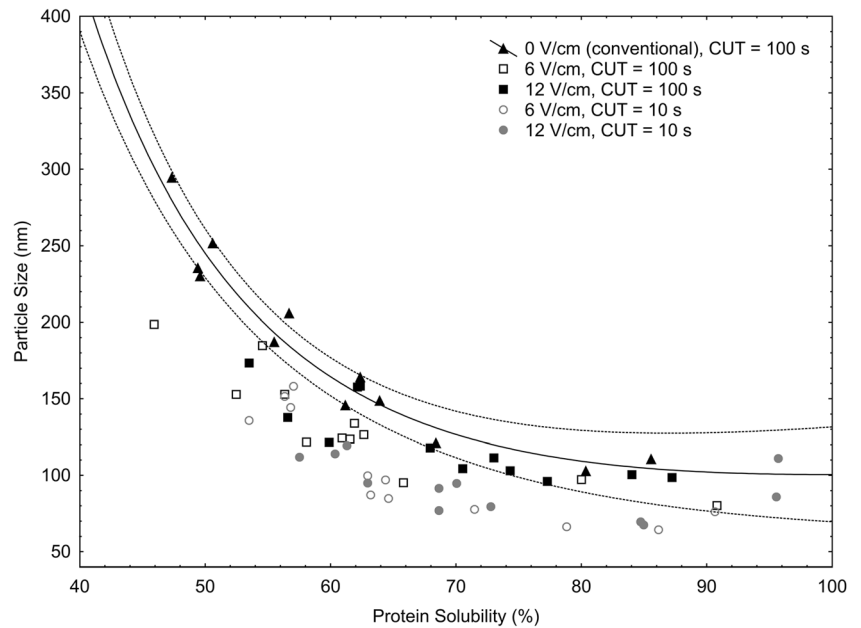
measurements are positively correlated with turbidity and aggregation, while contents of native protein are negatively correlated. These correlations are in agreement with thermal denaturation pathways of whey protein: unfolding and exposure of free SH groups determine protein aggregation, which in turn is physically apparent by the increase of solution turbidity or loss of protein solubility. From the cases projected in Fig. 5, it is possible to distinguish three major groups represented by their corresponding 95 % confidence ellipses, namely: (1) unheated samples, (2) samples conventionally heated (thus without the presence of an electric field—0 V cm<sup>-1</sup>), and (3) OH-treated samples at 6 and 12 V cm<sup>-1</sup> with different CUT. Treated samples at 0 V cm<sup>-1</sup> are more spread along the horizontal axis (factor 1), thus explaining 88 % of the variability found in the first component of PCA. Longer heating times combined with conventional heating treatments (at 0 V cm<sup>-1</sup>) enhanced the increase of turbidity and aggregation of whey proteins—thus contributing to the high variability found in measured data in the first component (i.e., 88.7 %). Conversely, the second component (factor 2) exhibited 8.8 % of the observed variability and described the influence of OH treatments applied, being the most part of the cases differentiated by their contents of native (or soluble) protein and free SH. Increase of particle size and turbidity were less favored under OH. Overall, the multivariate algorithm showed that the thermal denaturation behavior of whey proteins under OH can be distinguished from conventional thermal heating either by the presence of electric fields or by the ability to change the kinetics of the thermal process applied. A fast OH process contributes to less unfolding and loss of protein solubility during early stages of heating, while the presence of electric fields seems to change the way how denatured protein particles aggregate with each other. Previous publications

**Table 1** Kinetic parameters derived from the empirical model that was used to follow whey protein aggregation during heating at 90 °C for 5 min at different electric field intensities

Electric field (V cm <sup>-1</sup> )	CUT (s)	<i>a</i> (nm)	<i>b</i> (nm)	<i>K</i> (min <sup>-1</sup> )	<i>R</i> <sup>2</sup>
0	100	178.24 <sup>a</sup>	107.0 <sup>a</sup>	0.1323 <sup>a</sup>	0.9972
6	100	25.82 <sup>b</sup>	94.8 <sup>a,b</sup>	0.3077 <sup>a</sup>	0.9776
6	10	18.6 <sup>b</sup>	68.4 <sup>b</sup>	0.3158 <sup>a</sup>	0.9950
12	100	10.1 <sup>b</sup>	96.9 <sup>a</sup>	0.4173 <sup>a</sup>	0.9674
12	10	1.2 <sup>b</sup>	82.2 <sup>a,b</sup>	0.6904 <sup>a</sup>	0.6130

For each column, different letters correspond to statistically significant differences ( $p < 0.05$ )

**Fig. 4** Relationship between protein solubility (%) and particle size (nm); the *smoothed curve* represents the predicted values of the kinetic model, estimated by non-linear regression analysis for the treatment at  $0 \text{ V cm}^{-1}$  ( $R^2 = 0.95$ ), with the corresponding 95 % upper and lower confidence levels (*dashed lines*)



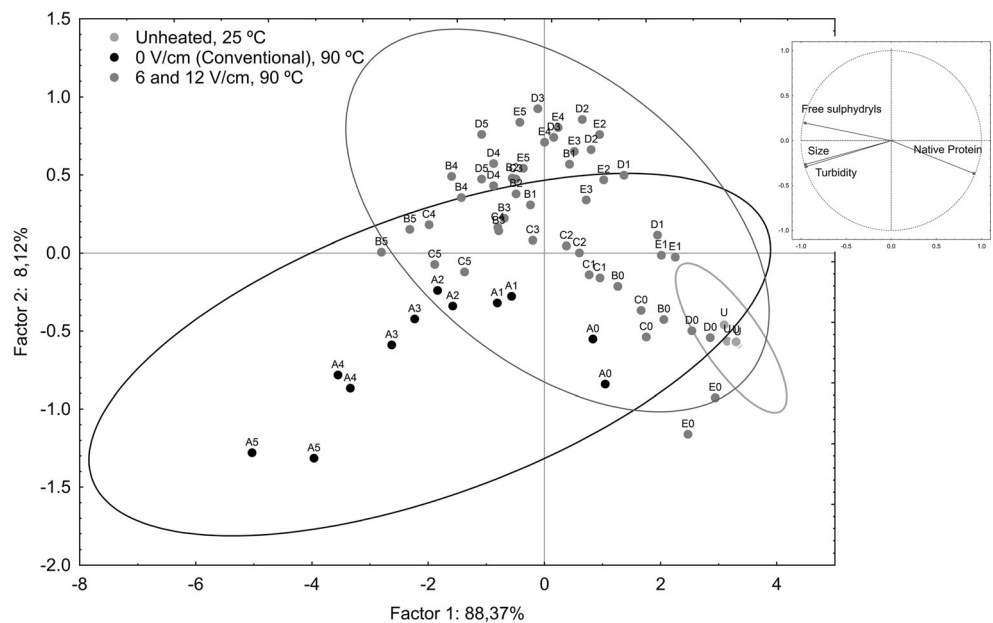
emphasize the non-thermal effects of OH on whey protein aggregation mechanisms (Pereira et al. 2010; Pereira et al. 2011; Rodrigues et al. 2015), which may be linked to the following aspects: conformational disturbances on tertiary protein structure; reorientation of hydrophobic clusters occurring in the protein structure; modification of the molecular environment due to the increased number of ions, and their different distributions around the protein molecules; and splitting of large protein aggregates, thus enhancing the formation of small particles. All these are hypotheses that need further experimentation. To our knowledge, the way electric fields interact at the molecular level with individual whey proteins

may be rather complex. Aggregation kinetics (see “Aggregation”) suggest that the presence of an electric field during heating changes the number as well as the shape or the network of protein aggregates.

**TEM**

To shed light onto the microstructure of the protein aggregates formed, samples treated by OH for 5 min at  $90 \text{ }^\circ\text{C}$  using a CUT of 10 s, under the application of different electric field intensities ( $6$  or  $12 \text{ V cm}^{-1}$ ), were observed under TEM. These samples were chosen for TEM imaging because they differed

**Fig. 5** Principal component analysis of measurements performed in unheated WPI (control) and WPI heated at  $90 \text{ }^\circ\text{C}$  under conventional ( $0 \text{ V cm}^{-1}$ ) and ohmic heating ( $6$  and  $12 \text{ V cm}^{-1}$ ). *Figure insert* shows the projection and correlations between variables measured, namely free SH, aggregation (i.e., size), protein solubility (i.e., native protein contents), and turbidity



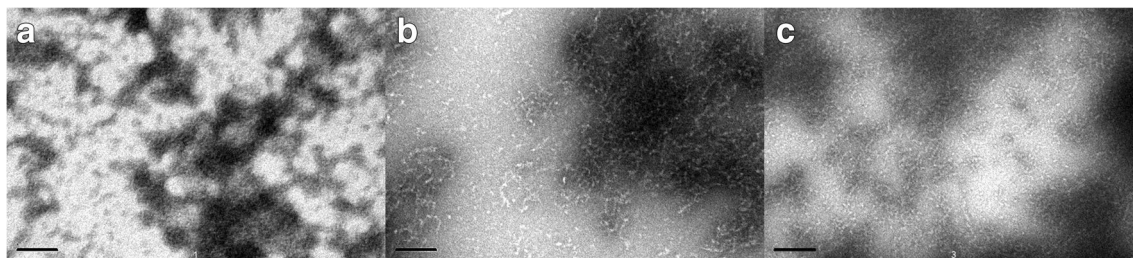


more significantly in terms of denaturation and aggregation behavior when compared with samples heated conventionally. Figure 6 shows TEM micrographs with typical fine-stranded structures composed of fine nanometer networks, which are known to occur at low ionic strength and pH values far from the pI, due to the dominance of intermolecular electrostatic bonds (Ikeda and Morris 2002; Ramos et al. 2014; Schuster et al. 2014). For the case of OH treatments, visual inspection of the micrographs shows a more open structure with some levels of protein aggregation, when compared with the denser structure of the sample treated conventionally. Differences towards conventional samples were somehow expected due to different thermal histories applied. Under conventional treatment, protein aggregation was sufficient to promote growth of the protein clusters, thus turning the initial solution into a gel-like network. In the case of the OH treatments, TEM micrographs revealed the existence of short, defined small fibril aggregates. This is possibly related with the fact that when fine strands of  $\beta$ -lg are formed under neutral pH, they can be flexible and longer, while those formed at acidic pH appear to be stiff and short (Ikeda and Morris 2002). Interestingly, in the case of OH, the size of these fibrils seems to be affected only by the intensity of the electric field applied, once thermal histories among the treatments were made identical.

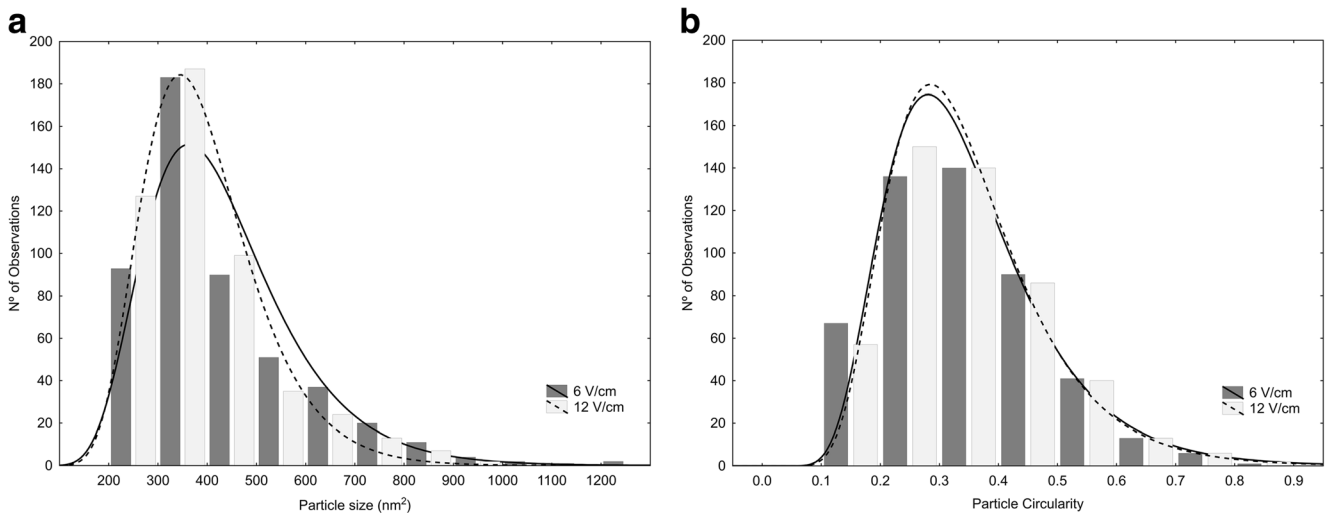
Particle size and shape distributions were determined directly from TEM micrographs using the ImageJ software particle analysis tool. In order to provide statistically meaningful particle size distributions (PSD), a minimum population of 100 particles was tracked and analyzed (Gontard et al. 2011; Sullivan et al. 2014). The detection of particles in a TEM image was performed as described by Gontard et al. (2011). Background pixels from TEM pictures were removed, and a binary image was obtained. Accuracy steps were then made to smooth the boundaries by removing small protrusions and regions smaller than the size of the expected protein aggregates (radius < 5 nm). As a result of the particle analysis process, outlines of particles found on the binary image were overlaid on the original image to visually confirm accurate detection of protein aggregates. PSD was calculated through the area of measured particles, while a circularity distribution (CD) was also calculated to infer about the shape of the

observed protein aggregates. Circularity is a measure of *how circular* the shape is, being defined in the software as  $4\pi$  (area/perimeter<sup>2</sup>)—i.e., a circularity of 1 indicates a perfect circle and as its value approaches 0, it indicates an increasingly elongated shape (Jensen et al. 2010).

Figure 7a, b shows PSD and CD of the identified aggregates, respectively. The lognormal distribution provided the best description of the protein aggregates' size. When OH treatments were compared with each other, it was possible to observe that electric fields at  $12 \text{ V cm}^{-1}$  provided higher number of particles with areas ranging from 200 to  $500 \text{ nm}^2$ , while treatments at  $6 \text{ V cm}^{-1}$  provided higher number of particles with areas above  $500 \text{ nm}^2$ . These results agree with those from the aforementioned DLS measurements, showing that OH at  $12 \text{ V cm}^{-1}$  determines particles with a lower Z-average value. This value resulting from DLS measurement corresponds to an intensity-weighted value sensitive to any larger size species in the sample, thus being particularly significant for aggregated samples or for broad, polydisperse non-homogeneous samples (Nobmann and Morfesis 2009). In this case, the high number of aggregates with areas above  $500 \text{ nm}^2$  found in samples treated at  $6 \text{ V cm}^{-1}$  may have been responsible for the high Z-values found during DLS measurements. With regard to CD, it is important to note that different OH treatments provided nearly identical distributions with a high number of observations being noticed for particles with circularity ranging from 0.2 to 0.5. This means that particle shape is mainly characterized by a linear-like structure, thus confirming the visual inspection of the micrographs. There is a recognition that  $\beta$ -lg could form fibrils when heated at low pH and low ionic strength;  $\beta$ -lg gels with a fine-stranded microstructure can be made at  $\text{pH} < 4$  (Langton and Hermansson 1992). It is reported that far below proteins' pI (and also above), aggregates of pure  $\beta$ -lg solutions at 1 % are characterized by a worm- or fibrillar-like structure, instead of a spherical one (Mezzenga and Fischer 2013; Schmitt et al. 2009). Several techniques have been reviewed for the production  $\beta$ -lg or WPI fibrils (Loveday et al. 2012). However, the most common method relies on prolonged heating (up to 20 h), at pH 2 and low ionic strength (Bolder et al. 2006; Ikeda and Morris 2002; Loveday et al. 2012). The need for a specific hydrolysis step has been also discussed as a way to enhance fibril



**Fig. 6** TEM micrographs of MEF-treated WPI solutions: **a** conventional heating ( $0 \text{ V cm}^{-1}$ ); **b** OH at  $6 \text{ V cm}^{-1}$ , CUT = 10 s; and **c** OH at  $12 \text{ V cm}^{-1}$ , CUT = 10 s. Black scale bars correspond to 200 nm



**Fig. 7** Histograms of **a** particle size (i.e., area measurement) distributions and **b** circularity distributions of WPI solutions after OH treatments at 6 and 12 V cm<sup>-1</sup> calculated from TEM images. *Dashed* and *bold* lines correspond to a lognormal fitting

formation (Bolder et al. 2007). In this study, OH treatments performed in WPI solutions with a relatively high protein concentration (i.e., 3 %) and salt ( $\sim 0.1$  mol L<sup>-1</sup>) allowed individualization of linear protein aggregates through TEM imaging, while in the absence of an electric field (conventional treatment), a gel-like protein network was developed instead, impairing detection of individual protein aggregates. This helps in explaining the differences found in estimated kinetic parameters that describe aggregation of WPI under OH treatments: fibrillar aggregates contribute to lower values found on size distribution and to a reduced protein aggregation, thus avoiding gel network formation when compared with conventional treatments (0 V cm<sup>-1</sup>), even under identical thermal conditions. Recently, it has been shown that OH treatments can reduce unfolding and protein denaturation without impairing protein aggregation pathways. Conversion of bovine  $\beta$ -lg into fibril-like structures may occur when proteins are partially unfolded, and non-covalent interactions between denatured protein molecules are allowed (Hamada and Dobson 2002). In this sense, application of OH may have enhanced formation of short fibril aggregates evidenced by TEM imaging. Moreover, the non-thermal effects of OH seem to be also linked with conformational changes of secondary protein structures, by increasing the contents of  $\beta$ -sheet structures (Pereira et al., 2010) which have been recently associated with the formation of  $\beta$ -lg fibrils during heating at 80 °C or prolonged incubation with chemical denaturants (Kavanagh et al., 2000). Protein aggregates possessing a fibrillar structure have attracted attention in biomedical and materials science fields, as they may resemble amyloid aggregates implicated in protein misfolding disorders, also known as amyloidosis diseases, e.g., Alzheimer's, Creutzfeldt-Jakob, and Huntington's diseases (Chimon et al. 2007; Du et al.

2015; Hamada and Dobson 2002; Loveday et al. 2012). Results show that OH may have the potential to enhance  $\beta$ -lg fibril formation when performed under certain conditions aforementioned (such as prolonged heating at pH < 3 and low ionic strength), but this hypothesis needs to be further verified.

## Conclusions

OH influences unfolding, denaturation, and aggregation kinetics of WPI proteins. Its capability of fast heating through the Joule effect, coupled with treatments under relatively low electrical field strength, contributed to a synergistic effect yielding WPI solutions with less protein aggregates and high amount of soluble proteins during early stages of heating. Multivariate analysis allowed to correlate all information collected during thermal treatments, differentiating treated samples into different clusters in accordance with the treatment applied and highlighting non-thermal effects of OH that still need to be further confirmed. Moreover, these results help when interpreting the effects of electric fields on whey protein systems recently reported. OH enhanced the production of linear structured protein aggregates, whose size seems to be affected by the intensity of the electric field applied. These protein aggregates resemble fibril aggregates, which have a recognized potential to form physical gels, acting as thickeners or gelling agents in foods, and can be also used for encapsulation of bioactive ingredients, thus increasing the nutritive value of the foods. OH appears to be an effective biotechnological tool that can be used to modulate WPI denaturation and thus produce protein aggregates with distinctive features.

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