ACL Injuries Identifiable for Pre-participation Imagiological Analysis: Risk Factors

Hélder Pereira^{a,b,c,d}*, Margarida Fernandes^c, Rogério Pereira^c, Henrique Jones^e, J. C. Vasconcelos^c, J. M. Oliveira^{a,b,c}, R. L. Reis^{a,b,c}, Volker Musahl^f and J. Espregueira-Mendes^{a,b,c,d}

^a3B's Research Group – Biomaterials, Biodegradables and Biomimetics, Headquarters of the European Institute of Excellence on Tissue Engineering and Regenerative Medicine, Univ. Minho, Guimarães, Taipas, Portugal

^bICVS/3B's – PT Government Associated Laboratory, Braga/Guimarães, Portugal

^cFIFA Medical Centre of Excellence, Clínica Espregueira-Mendes F.C. Porto Stadium, Porto, Portugal

^dOrthopedic Department, Centro Hospitalar Póvoa de Varzim, Vila do Conde, Portugal

^eMedical Department, Portuguese Football Federations and UEFA Medical Committee, Lisbon, Portugal

^fDepartment of Orthopaedic Surgery, University of Pittsburgh Medical Center, Pittsburgh, PA, USA

Abstract

Identification of pre-participation risk factors for noncontact anterior cruciate ligament (ACL) injuries has been attracting a great deal of interest in the sports medicine and traumatology communities. Appropriate methods that enable predicting which patients could benefit from preventive strategies are most welcome. This would enable athlete-specific training and conditioning or tailored equipment in order to develop appropriate strategies to reduce incidence of injury. In order to accomplish these goals, the ideal system should be able to assess both anatomic and functional features. Complementarily, the screening method must be cost-effective and suited for widespread application. Anatomic study protocol requiring only standard X rays could answer some of such demands. Dynamic MRI/CT evaluation and electronically assisted pivot-shift evaluation can be powerful tools providing complementary information. These upcoming insights, when validated and properly combined, envision changing pre-participation knee examination in the near future. Herein different methods (validated or under research) aiming to improve the capacity to identify persons/athletes with higher risk for ACL injury are overviewed.

Introduction

Knee ligament reconstruction surgery is currently a common practice worldwide. In particular, anterior cruciate ligament (ACL) injury remains among the most common causes of orthopedic surgery (Griffin et al. 2000; Chadwick et al. 2008). Considering surgical reconstruction of ACL alone, it is estimated that more than 100,000 procedures are performed annually in the USA (Owings and Kozak 1998; Griffin et al. 2000), thus representing yearly costs that exceed 0.5 billion dollars. Such prevalence states the importance of knee surgeons to define strategies for early diagnosis and prevention based in detection of risk factors. Any action able to contribute for reducing the incidence of this injury, besides the personal and athletic benefit, would probably have a significant social and economic impact (Chadwick et al. 2008).

^{*}Email: heldermdpereira@gmail.com

If it were possible to identify pre-participation athletes with increased risk of noncontact ACL injury based in a method suitable for widespread application, one could properly adjust their training methods.

Intensive research on ACL pathophysiology and surgery (anatomy, biology, physiopathology, and biomechanics) has been done, which contributed to important clinical improvements (e.g., graft selection, surgical techniques, tunnel placement, graft fixation, and rehabilitation protocols). Recently, new trends related to the biology of graft incorporation and "ligamentization" process have also improved the ability to prevent postoperative complications such as excessive graft elongation, pullout, or slippage (Menetrey et al. 2008).

Recognizing all the previous, it seems mandatory to put similar efforts focused on prevention strategies (Arendt and Brown 2012).

Athletes and general population have higher expectations concerning medical care. From the initial stand for prevention of arthritis following ACL rupture, nowadays people want to prevent injury by "working" in advance. Only if this is not possible, then the actual demand is complete repair of anatomy and functional recovery including highly demanding activities (Georgoulis et al. 2007; Tashman et al. 2007).

A group of ACL injury risk factors including neuromuscular, hormonal, genetic, cognitive, functional, and previous injury or a variety of extrinsic risk factors will be discussed separately (Sward et al. 2010; Smith et al. 2012a, b).

In the following sections, the identifiable risk factors for ACL rupture, with a particular emphasis in the morphologic/anatomic characteristics determined by clinical examination, conventional radiology or dynamic MRI/CT protocols, or technologically enhanced evaluation methods will be summarized.

Manual Instrumented Devices

Manual instrumented tests aim to enhance manual examination by providing more objective information which could be more easily transmitted and analyzed but still be suitable for use "in office."

However, most of such devices share some limitations with clinical examination such as: absence of bony landmarks, influenced by muscle guarding, or being operator dependent (Pereira et al. 2012).

Several arthrometers have been presented through time. The KT-1000 laximeter (Medmetric, San Diego, CA, USA) (Daniel et al. 1985) is probably the most commonly used and is still the reference instrument to which new devices have been tested (Robert et al. 2009). However, KT-1000 has been considered as operator dependent with significant false-negative results and questionable reproducibility (Jardin et al. 1999; Boyer et al. 2004). The KT-2000 Ligament Arthrometer (KT-2000; Medmetric Corp) uses the same method as the KT-1000, and the main difference concerns the data output which includes a graphic presentation representing the amount of tibial displacement correlated to the amount of applied force via an X-Y plotter (Myrer et al. 1996). Neither of them is suitable for rotatory laxity assessment.

Several devices are currently accessible (see Table 1).

However, none of these systems have proved to be more effective than clinical examination alone (Myrer et al. 1996) and share comparable limitations (intraclass correlation coefficient [ICC], 0.6).

Device	References	
KT-1000 laximeter (Medmetric, San Diego, CA, USA)	Daniel et al. 1985	
KT-2000 laximeter (Medmetric, San Diego, CA, USA)	Myrer et al. 1996	
Stryker Knee Laxity Tester (Stryker, Kalamazoo, MI)	Boniface et al. 1986, Highgenboten et al. 1989	
Genucom Knee Analysis System (FARO Medical Technologies, Montreal, Ontario Canada)	Oliver and Coughlin 1987	
Kneelax 3 (Monitored Rehab Systems, Haarlem, The Netherlands)	Benvenuti et al. 1998	
Rolimeter (Aircast Europa, Neubeuern, Germany)	Balasch et al. 1999	
CA-4000 Electrogoniometer (OSI, Hayward, CA)	Kvist 2004	

Table 1 Currently available manual instrumented devices

iPad Application

Methods for ACL injury prediction using clinic-based measurements and computer analyses that require only freely available public domain software have been envisioned (Myer et al. 2011).

Common electronic devices used in daily living such as the iPad (Apple Inc., Cupertino, CA, USA) by means of an adequate user-friendly application might enhance clinical analysis protocol in the near future. This could be true either for clinical testing or imaging study.

Manual examinations are influenced by surgeon's training and experience and currently rely in subjective impressions (Branch et al. 2010b). Pivot-shift test has been considered a better predictor of clinical outcomes than any other uniplanar maneuver (Katz and Fingeroth 1986; Citak et al. 2011). Nevertheless, the Lachman test has been considered as more sensitive (Prins 2006), and the limitations of the pivot-shift test, particularly in an awake patient, must be considered.

By providing a tool based in recorded motion images, it promises to improve objective assessment of clinical examination (Hoshino et al. 2013). The iPad app is currently under process of validation (PIVOT study; ISAKOS/OREF research grant) and it envisions creating new perspectives on detection of risk factors, preoperative evaluation, and clinical outcomes for patients. Possibly, in the future a similar computed analysis might combine clinical information with protocolled analysis of X-ray, CT, and MRI exams. Such electronic devices could ease the application of more complex analytic algorithms combining consideration of multiple factors but keeping it fast, easy to use, and affordable.

Robotic Systems

Robotic systems have promised to overcome bias inherent to manual force application by means of comprising mechanical methods to apply load or torque in a controlled mode (magnitude, direction, rate) (Lob et al. 2006; Park et al. 2008; Tsai et al. 2008; Robert et al. 2009; Woo and Fisher 2009; Branch et al. 2010a; Musahl et al. 2010; Citak et al. 2011; Mayr et al. 2011).

Using such approach, the Pittsburgh's study group has contributed great insights for understanding knee joint kinematics in multiple degree of freedom (Woo and Fisher 2009; Musahl et al. 2010).

The GNRB knee laxity testing device (Genurob, Montenay, France), provides an anteriorly directed force to the proximal tibia with the knee at 0° rotation and 20° flexion in a rigid leg support

(Robert et al. 2009). The load is delivered gradually and the software compares side-to-side differences on the magnitude of anterior tibial translation but also a force-displacement curve whose slopes would reflect ACL elasticity.

It has been demonstrated in cadaveric hip-to-toe models that mechanized pivot-shift tests provide more reliable and consistent measurements of pivot-shift phenomenon (Musahl et al. 2010; Citak et al. 2011). Another device designed to assess knee rotation in non-injured knees has also been presented including its intra-tester, test-retest, and inter-tester reliability (Tsai et al. 2008). The authors concluded that such method presents acceptable reliability for clinical use.

Another study (Park et al. 2008) compared ten healthy men and ten healthy women with the knee at 60° of flexion and established that women had increased external rotation laxity. Branch et al. (2010a) also reported gender-related outcomes with implications on detection of risk factors for ACL injury. Assuming the hypothesis that the opposite knee of patients with a previous ACL reconstruction presents biomechanical characteristics of greater risk for ACL rupture, the authors could demonstrate that knees with greater tibial internal rotation have higher risk for ACL injury when compared with healthy volunteers' (Balasch et al. 1999).

Another method (Mayr et al. 2011) was tested in the awake and non-anesthetized patient measuring anteroposterior translation and rotation of the knee joint. Tibial external/internal rotation was imposed with a torque of 2 Nm on the footrest with the ankle locked in dorsiflexion. Anterior translation of the tibia in relation to the femur was measured in neutral position, internal and external rotation. Intra- and inter-rater reliability was validated in ten healthy volunteers. The authors concluded that the method enables to objectively discriminate isolated ACL rupture and ACL rupture combined with medial instability. The method demonstrated inter- and intra-observer reliability and reproducibility.

All these systems have not yet been included in routine clinical practice. They might be considered as somewhat expensive, time consuming, and/or requiring logistic demands which limit their "in office" application so far.

Stress Radiography/Radiostereometry

The combination of a stress device and radiography (stress radiography) has been proposed as a knee laxity measurement technique for ACL (Jonsson et al. 1992; Jardin et al. 1999; Isberg et al. 2006) and posterior cruciate ligament (PCL) assessment (Schulz et al. 2005; Jung et al. 2006).

The TELOS device (Telos GmbH, Laubscher, Hölstein, Switzerland) is the most common example of such a device. It permits to measure anterior and posterior drawer displacements controlling the magnitude of load transmission. The method considers the displacement of the midpoint between the tangents to the posterior contours of the tibial condyles drawn perpendicular to the tibial plateau and relative to the position of the corresponding midpoint between the two posterior aspects of the femoral condyles.

The reliability of TELOS device has been reported (Staubli et al. 1992).

These methods present the advantage over several others by considering bony landmarks to measure translation, thus avoiding issues related to soft-tissue artifact. However, no further information of knee joint soft-tissue, cartilage, or meniscus status is provided.

Radiostereometric analysis, originally presented by Selvik (1989), has been proposed as a method to enhance precision of translation measurement of the knee joint by stress radiography. This started as an invasive method that relies on implantation of tantalum beads and has high accuracy (within

0.1 mm), which has also been proposed to assess migration of arthroplasty components throughout time.

There are reports stating the advantage of TELOS method over KT-1000 (Jardin et al. 1999), but limitations have been recognized even combining radiostereometric analysis based on the absence of a stress device that can produce reliable joint translation (Sorensen et al. 2011).

Radiographic Assessment of Bone Morphology Risk Factors

Morphologic characteristics identified in conventional X-ray protocols can provide an inexpensive, effective, and feasible tool to identify individuals in higher risk for ACL lesion. Standard radiological evaluation should include: (i) full-leg standing anteroposterior view (mechanical axes), (ii) standing anteroposterior and schuss view (assessment of notch morphology, evaluation of arthritic changes), (iii) standing lateral view in full extension and 30° of flexion (tibial slope), and (iv) skyline view (30° or 45° of flexion) to evaluate patellofemoral articulation. The radiological protocols are a valuable, low-cost, accessible tool which helps gathering varied and fundamental information concerning bone morphology.

Femoral notch characteristics and tibial plateau slope and/or depth have been proposed as morphologic risk factors possible to identify from standard X-ray evaluation (Vyas et al. 2011; Smith et al. 2012b; Wordeman et al. 2012). Females are more likely than males to have a narrow A-shaped intercondylar notch which has been associated to gender-specific risk factor (Sutton and Bullock 2013). However, notch width index has not been considered a feasible method (Vyas et al. 2011).

There has been growing interest on study of tibial morphology by lateral X-ray or MRI (Wordeman et al. 2012). Dejour and Bonnin used lateral radiographs to demonstrate a mean 6 mm increase in anterior tibial translation (ATT) for each 10° increase in posterior tibial slope in ACL-deficient patients and healthy controls (Dejour and Bonnin 1994).

A recent meta-analysis proposed to assess in vivo studies reporting tibial plateau slope as a risk factor for anterior cruciate ligament (ACL) injury (Wordeman et al. 2012). Most radiographic studies reporting medial tibial plateau slope (MTPS) demonstrated significant differences between controls and ACL-injured groups (Wordeman et al. 2012). Lateral tibial plateau slope (LTPS) was reported to be significantly greater in ACL-injured. It has been recognized that characterizing the tibial plateau surface with a single slope measurement represents an insufficient approximation of its three-dimensionality, and the biomechanical impact of the tibial slope likely is more complex than previously appreciated (Feucht et al. 2013). Reported tibial slope values for control groups vary greatly between studies (Wordeman et al. 2012). A recent study proposed that the correlation between anteroposterior length of the external condyle and anteroposterior length of tibial plateau had stronger association to risk for ACL rupture (Fig. 1) (Pereira et al. 2013).

The clinical utility of imaging-based measurement methods for the determination of ACL injury risk requires more reliable techniques capable to demonstrate and preserve consistency between studies.

Morphologic and Functional MRI Assessment: PKTD[®]

It has been proposed that a narrow intercondylar notch assessed by intercondylar notch width index on MRI may increase the risk of ACL injury, but the data are somewhat conflicting (Alizadeh and



Fig. 1 Lateral X-ray view analysis: width of the femoral shaft (*E*), anteroposterior length of the external condyle (*C*), the proximal-distal height of external condyle (*D*). Two perfect *circles* were created to adapt to the greater extent of anterior and posterior contour of lateral condyle and their radius considered (*R1* and *R2*, respectively). A tangent to both circles is drawn and the points where it intersects circle 1 (*x*) and circle 2 (*y*) are considered. The points where this straight line intersects the line of anterior (*z*) and posterior (*w*) femoral cortex lines are also drawn

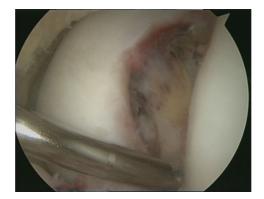


Fig. 2 Arthroscopic view of a type A intercondylar notch

Kiavash 2008). Females are more likely than males to have a narrow A-shaped intercondylar notch, and special surgical considerations are required in such cases (Fig. 2) (Sutton and Bullock 2013). Intercondylar notch stenosis and larger inner angle of lateral condyle of the femur are risk factors for

ACL rupture by increasing the risk of ACL impingement in female athletes measured on coronal MR images (Miljko et al. 2012). The intercondylar notch dimensions were found to be smaller in the ACL-injured subjects, potentially putting the ACL at risk of impingement, and intercondylar notch volume was correlated to ACL volume (r = 0.58). Discriminant analysis showed that the notch width at the inlet could be a predictor of ACL injury (Simon et al. 2010). Enhanced height of the intercondylar notch and lesser value of the notch shape index were associated with rupture of the ACL in males but not in females (Stijak et al. 2012). It has been recently concluded that type A femoral notch appears to be a risk factor for ACL injury, whereas a reduced notch index has no significant correlation to ACL injury (Al-Saeed et al. 2013).

Proximal tibia morphologic study by MRI has generated increased interest through time (Table 2). When compared with normal men, men with ACL tears had deeper medial and lateral tibial plateaus, as well as an increased posterior slope of the lateral tibial plateau (Bisson and Gurske-DePerio 2010). Women with shallower medial tibial plateau depth and men with steeper lateral tibial

Author(a)	Study design (level of	N (action to annulled)	MDI moto col	Conclusions
Author(s) Stijak et al. 2008	evidence) Case-control study (level III)	N (patients enrolled) Cases: $n = 33 (21 \ 3, 12 \ 2)$ Controls: $n = 33 (21 \ 3, 12 \ 2)$	MRI protocol Sagittal MRI	Conclusions The greater tibial slope of the lateral tibial plateau may be the factor that leads to the injury of the anterior cruciate ligament. The tibial slope of the medial and lateral condyles should be compared separately
Bisson and Gurske- DePerio 2010	Retrospective case-control study (level III)	Cases: $n = 40 (20 \ 3, 20 \ 9)$ Controls: $n = 40 (20 \ 3, 20 \ 9)$	Axial and parasagittal MRI	Women's knees presented proportionally deeper medial and lateral femoral condyles, as well as deeper medial tibial plateaus. Men with ACL tears had deeper medial and lateral tibial plateaus, as well as an increased posterior slope of the latera tibial plateau comparing to controls
Hashemi et al. 2010	Case-control study (level III)	Cases: $n = 49 (22 ♂, 27 ♀)$ Controls: $n = 55 (22 ♂, 33 ♀)$	Sagittal MRI	A combination of increased posterior tibial plateau slope and shallow media tibial plateau depth could be a major risk factor in anterior cruciate ligamen injury susceptibility regardless of gender. Men and women present different risk factors
Simon et al. 2010	Retrospective case-control study (level III)	Cases: $n = 27 (17 \ 3, 10 \ 2)$ Controls: $n = 27 (17 \ 3, 10 \ 2)$	3D-SPGR axial and sagittal MRI	The lateral tibial plateaus in the uninjured contralateral knees of the injured subjects had a significantly steeper posterior slope
Hudek et al. 2011	Prognostic study (level II)	Cases: $n = 55 (24 \ 31 \ 2)$ Controls: $n = 55 (24 \ 31 \ 2)$	Sagittal MRI	There is no obvious link between the medial or lateral posterior tibial slope (PTS) and ACL injury. However, a greater lateral meniscus slope may indicate a greater risk of injury. The PTS can differ between the genders but the average difference is small (continued

Table 2	Studies	assessing tibia	l slope by MRI	imaging as risk	factor for ACL injury
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	Study design (level of			
Author(s)	evidence)	N (patients enrolled)	MRI protocol	Conclusions
Khan et al. 2011	Retrospective case-control study (level III)	Cases: $n = 73 (53 \ 3, 20 \ 2)$ Controls: $n = 51 (32 \ 3, 19 \ 2)$	Sagittal MRI	Women with shallower medial tibial plateau depth and men with steeper lateral tibial plateau slope (LTPS) are at higher risk of sustaining ACL injury Overall, steeper LTPS is a significant risk factor for sustaining ACL injury
Terauchi et al. 2011	Cross-sectional study (level III)	Cases: $n = 77 (33 \ 3, 40 \ 2)$ Controls: $n = 58 (28 \ 3, 30 \ 2)$	T2-weighted sagittal MRI	There were 2 types of large femoral plateau angles: one had its origin in an increasing tibial posterior slope and the other resulted from hyperextension of the knee. Large posterior tibial slop and hyperextension are both correlated with noncontact ACL injury in women

plateau slope (LTPS) are at higher risk of sustaining ACL injury. Overall, steeper LTPS might be considered a significant risk factor for sustaining ACL injury (Khan et al. 2011).

Besides morphologic assessment, nowadays it is possible to combine morphologic and functional assessment of the knee joint during one single MRI or CT-based examination by means of using the Porto Knee Testing Device (PKTD) (Espregueira-Mendes et al. 2012; Pereira et al. 2012). In this way one might combine the study of morphologic bony parameters with evaluation of anteroposterior and rotational laxity (Fig. 3).

CT scan is many times useful particularly in patients submitted to previous primary ACL reconstruction to study tunnel placement as well as the surrounding bony structure. By combining CT with PKTD, we simultaneously can objective measure joint parameters concerning laxity (Fig. 4).

PKTD might play a role in prevention strategies by favoring detection of risk factors and/or identifying those patients presenting higher rotational instability and who may require an ACL reconstruction technique which provides higher rotational constraint (e.g., double-bundle) (Hemmerich et al. 2011).

These data provide one further step in understanding knee kinematics, but their functional implication and the way in which they might affect ACL reconstruction are not fully achieved. ACL research demands perseverance and patience (Lubowitz and Poehling 2010).



Fig. 3 Standard protocol evaluation with PKTD: Sagittal view with foot in neutral position without load application correspondent to medial (**a**) and lateral compartments (**c**). Result after load applications correspondent to medial (**b**) and lateral compartments (**d**). In this case, the differential would be respectively of 17 and 16 mm. Image correspondent to load after maximum internal foot rotation in lateral compartment (**e**) and after maximum external foot rotation in medial compartment (**f**). Evaluation of angular and linear tibial dislocation from axial views: without load (**g**) and with load after internal (**h**) and external foot rotation (**i**). Evaluation confirmed global ACL insufficiency

Summary

Ideal screening methods for risk factors need to be relatively low cost, noninvasive, and simple, with minimal time requirements.

Currently there is no effective "tool" nor even straightforward guidelines for how some of the identified risk factors can be used to assist the clinician.

The relevance of bone morphology as a possible risk factor for ACL rupture has been reinforced. Besides all the knowledge achieved by research using expensive technological devices such as robotics, gait analysis laboratories or navigation keeps being far from routine use aiming for detection of risk factors. New technological options such as the iPad app promise to provide significant progress in standardization and quantification of clinical examinations. Moreover, such

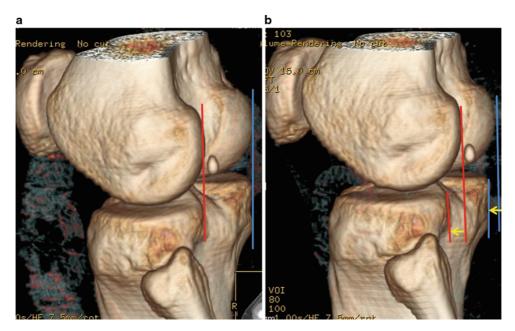


Fig. 4 CT 3D imaging reconstruction of PKTD examination. Initial position without load application (**a**). After load application notice *yellow arrows* representing anterior tibial displacement (**b**)

devices could combine, in future, consideration of clinical and imaging findings in a user-friendly method while combining multiple factors in more complex algorithms. Dynamic MRI with PKTD has brought novel insights to more detailed anatomic and functional evaluation.

More important than "isolated" research lines, it would be necessary to combine all up-to-date insights and modern knowledge in a single and practical screening method.

Cross-References

- ► ACL Augmentation in Partial Ruptures
- ► ACL Injuries and Surgery Current Evidence and Modern Development
- ► ACL Injuries in Children
- ▶ Partial ACL Ruptures: Knee Laxity Measurements and Pivot Shift

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Index Terms:

Anterior cruciate ligament (ACL) 1 iPad application 3 KT-1000 2 Lachman test 3 Pivot-shift 4 PKTD 5 Robotics 9