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## Genetic associations between human-directed behavior and intraspecific social aggression in growing pigs

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SCHOLARONE<sup>™</sup> Manuscripts

1	Running head: Genetics of human-directed behaviors in pigs
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3	Genetic associations between human-directed behavior and intraspecific social aggression
4	in growing pigs <sup>1</sup>
5	
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#### 24 Lay Summary

We estimated genetic and phenotypic correlations and heritabilities for temperament 25 indicators in growing pigs such as fearfulness (i.e. vocal and physical withdrawal response to an 26 approaching human while isolated in an arena; attempts to escape from a weigh crate); boldness 27 (i.e. biting, following or nosing a human walking inside their home pen) and aggression (i.e. skin 28 29 lesions). Our results indicate that the studied traits were heritable, and some of these traits could potentially be useful for genetic selection. Additionally, genetic correlations were observed 30 between aggression and fear indicators; pigs with a higher count of skin lesions on their flanks, 31 32 backs, hind quarters and rear legs 24 h post-mixing (i.e. likely subordinate pigs) tended to display more distress while in isolation in a weigh crate, and were less likely to willingly approach a 33 human. The three boldness indicators were associated, indicating that pigs biting the observer were 34 also those that followed and nosed the observer, suggesting a general increase in exploratory drive 35 and/or a reduction in fearfulness in these animals. These findings suggest that selection to reduce 36 lesions to the rear of the body could have a desirable impact on other important behavioral 37 indicators. 38

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#### 40 Teaser Text

Aggression and fear/boldness indicators in pigs are heritable and there is evidence of genetic
associations between them. Selecting against extremely shy and extremely bold pigs could result
in easier to handle pigs while performing certain routine farm procedures.

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47 List of Abbrev	iations
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PIC	Pig Improvement Company
CRATE	Individual behavioral responses to isolation in a weighing crate test
IHAT	Individual human approach test in an arena
MOVEMENT	Speed of movement away from the approaching observer
VOCALISE	Pigs vocalizing (i.e. grunts/squeals) while the observer approaches them
VIGILANCE	Pigs glancing/focusing on the approaching observer
WTP	Walk-the-pen test
T1	First testing period for the walk-the-pen test
T2	Second testing period the walk-the-pen test
r <sub>g</sub>	Genetic correlations
r <sub>EBV</sub>	Correlations on the breeding values
NOSE	Pig nosed or rooted at the observer's boots or legs
FOLLOW	Pig followed the observed around the pen
BITE	Pig bit at the observer's legs
SL	Skin lesions
SL24h	Skin lesions recorded 24 h post mixing
	PIC CRATE IHAT MOVEMENT MOVEMENT VOCALISE VIGILANCE MTP T1 T2 T1 T2 T2 T2 T2 T0 F0LLOW F0LLOW BITE SL

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#### 65 **ABSTRACT:**

This study estimated the genetic parameters for human-directed behavior and intraspecific
social aggression traits in growing pigs, and explored the phenotypic correlations among them.
Data on 2,413 growing pigs were available. Pigs were mixed into new social groups of 18 animals,
at 69±5.2 d of age and skin lesions (SL) were counted 24 h (SL24h) post-mixing. Individual

behavioral responses to isolation in a weighing crate (CRATE) or when alone in an arena while a 70 human directly approached them (IHAT) were assessed within 48h post-mixing. Additionally, 71 pigs were tested for behavioral responses to the presence of a single human observer walking in 72 their home pen in a circular motion (WTP) within one (T1) and 4 weeks post-mixing (T2) noting 73 pigs that followed, nosed or bit the observer. Animal models were used to estimate genetic and 74 75 phenotypic parameters for all studied traits. Heritabilities ( $h^2$ ) for SL, CRATE and IHAT responses were low to moderate (0.07 to 0.29), with the highest  $h^2$  estimated for speed of moving away from 76 the approaching observer. Low but significant  $h^2$  were estimated for nosing (0.09) and biting (0.11) 77 the observer at T2. Positive high genetic correlations  $(\mathbf{r}_{g})$  were observed between CRATE and 78 IHAT responses (0.52 to 0.93), and within SL traits (0.79 to 0.91) while positive low to high 79 correlations between the estimated breeding values ( $\mathbf{r}_{EBV}$ ) were estimated within the WTP test 80 (0.24 to 0.59) traits. Positive moderate  $r_g$  were observed between CRATE and central and posterior 81 SL24h. The r<sub>EBV</sub> of CRATE and IHAT test responses and WTP test traits were low, mostly 82 83 negative (-0.21 to 0.05) and not significant. Low positive  $r_{EBV}$  (0.06 to 0.24) were observed between SL and the WTP test traits. Phenotypic correlations between CRATE and IHAT responses 84 and SL or WTP test traits were mostly low and not significant. Under the conditions of this study, 85 86  $h^2$  estimates for all studied traits suggest they could be suitable as a method of phenotyping aggression and fear/boldness for genetic selection purposes. Additionally, genetic correlations 87 88 between aggression and fear indicators were observed. These findings suggest selection to reduce 89 the accumulation of lesions is likely to make pigs more relaxed in a crate environment, but to alter the engagement with humans in other contexts that depends on the location of the lesions under 90 selection. 91

	93	Key words:	Aggression,	Boldness,	Fear,	Human	-animal	interacti	ons, P	igs
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#### INTRODUCTION

In recent years, temperament traits such as aggressiveness or fearfulness have received 96 increasing attention in farming operations, as they affect how the animals respond to different 97 98 husbandry practices (Haskell et al., 2014; Norris et al., 2014). The increased demand for meat products has led to a rapid growth in the scale and intensification of livestock systems (Azarpajouh 99 et al., 2021). Changes in production systems have resulted in lower stock person per animal ratio 100 101 and therefore, in less opportunities for animals to become habituated to the presence of and being handled by humans when necessary (Holl et al., 2010; von Borstel et al., 2019). Animals may 102 become more fearful when interacting with stock personnel which could contribute to chronic 103 stress and possibly affect other fundamental behaviors such as social interactions (Forkman et al., 104 2007). At the same time, re-grouping is a common practice on pig farms (Rodrigues da Costa et 105 106 al., 2021) leading to agonistic interactions as new dominance relationships need to be established (Fels et al., 2014). Therefore, selection of calmer, easier to handle and less aggressive pigs is vital 107 to improve their ability to adapt to new challenges and reduce stress during routine farming 108 109 procedures, thereby improving their well-being.

Heritabilities for behaviors thought to measure fearfulness and the ability to cope in stressful situations are low to moderate (D'Eath et al., 2009; Holl et al., 2010; Rohrer et al., 2013; Scheffler et al., 2014) and it is likely that these behaviors are genetically associated with social aggression. For example, D'Eath et al. (2009) reported a genetic correlation of  $0.10 \pm 0.02$  between movement and vocalizations during weighing and aggressive behavior at mixing, suggesting a shared genetic basis between reaction to human presence, social isolation and/or restraint (all

components of weighing) and intraspecific aggression. At a phenotypic level, more reactive pigs 116 and pigs that were quicker to touch a novel object while in isolation also performed higher levels 117 of aggression (Ruis et al., 2000; Bolhuis et al., 2005a; Bolhuis et al., 2005b; Melotti et al., 2011). 118 However, before including these traits as selection objectives, a better knowledge of the 119 relationships between aggression and fear responses is required for the effective integration of 120 121 behavioral traits into new pig breeding programs. Therefore, this study aimed to estimate genetic parameters for human-directed behavior and intraspecific social aggression traits in growing pigs, 122 and to explore the phenotypic correlations among them. 123

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#### **MATERIALS AND METHODS**

#### 126 *Ethics approval*

127 The procedures described were approved by the institutional Animal Ethics Committee (ED-

128 AE-43-2012). Governmental licensing was not required.

129 Animal management

Data were collected between December 2013 and June 2014 on 2,413 growing pigs [n = 130 1,202 females and n = 1,211 barrows (castrated males)] from a commercial sow herd belonging to 131 132 the Pig Improvement Company (PIC) where multiple lines were crossed onto the sows. The farm was located in South Eastern USA. Each pig was individually identified with an ear tag. Pigs were 133 134 progenies of 116 sires and 391 dams and originated from seven different PIC terminal genetic 135 lines. Pedigree information was available for two generations (i.e. grandparents, n = 4,104animals). Pigs were mixed in single sex groups (n = 18 pigs per group) of mixed genetic line at 136 137 approximately  $69 \pm 5.2$  days of age and they remained in the same groups until the end of the test 138 period. Groups were formed by mixing nine pigs from two non-adjacent weaning pens. Groups

that were mixed on the same day were regarded as being in the same batch. Eight groups were formed per batch and 17 batches were used in total to generate a total of 138 groups (batch 1 contained 10 pen groups). On average, animals from  $11.6 \pm 2.1$  litters were represented in each group, and the mean number of pigs per litter per pen was  $1.5 \pm 0.81$  pigs. Animals were housed in pens with fully slatted floors with a minimum space of  $0.65m^2$  per pig. Dry pelleted feed was provided ad libitum and pigs had constant access to water via nipple drinkers.

145 *Measurements* 

#### 146 Weigh crate response and individual human approach test

Behavior of individual pigs while isolated was assessed within 48 h post-mixing. All pigs 147 were handled and tested by a single trained observer. Each group of pigs was transferred from their 148 home pen into an experimental arena (Figure 1) where two different behavioral tests were 149 conducted. First, pigs were moved to a holding pen and each pig was individually moved into the 150 weighing crate using a plastic stock board to assess their response to isolation while in the crate 151 (CRATE). Pigs remained isolated in the weighing crate for approximately 1 minute and they were 152 scored based on their restlessness on a 4-point scale where 1 = pig performing exploratory behavior 153 including sniffing and rooting of the crate floor and walls; 2 = pig shifting from side to side, 154 155 attempts to turn; 3 = pig performing vigorous movements, attempts to escape by turning or running backwards and forwards; and 4 = pig performing serious, persistent attempts to escape by jumping 156 157 over crate wall. Once the crate response test was completed, the pig was released into an empty 158 testing arena and the individual human approach test (IHAT) was conducted. Approximately 30 seconds after the pig entered the testing arena, the observer walked towards the pig at a steady 159 160 pace starting in the same corner of the arena each time and recorded the pig's reaction. Three

separate scores were given for each pig based on the severity of their movement (**MOVEMENT**),

162 vocalizations (VOCALISE), and vigilance (VIGILANCE; Table 1).

#### 163 Walk-the-pen test

The walk-the-pen (WTP) test was designed as a practical approximation of pig-human 164 interactions that occur while a producer performs the daily walk around the pens to ensure 165 166 appropriate animal care. Pigs were tested for behavioral responses to the presence of a single human observer in their home pen at  $6 \pm 4.9$  (T1) and  $25 \pm 15.9$  (T2) days post-mixing. To begin 167 the test, the observer entered the pen by climbing over the gate and walked once around the 168 perimeter of the pen at a normal speed to ensure all animals were alert and aware of the human 169 presence. The observer then walked around the pen a second time and recorded the ear tags of each 170 pig that followed the observer for more than 0.5 laps of the pen. At the end of the second lap the 171 observer paused for 1 minute and noted individuals that performed the following behaviors: 1) 172 NOSE (i.e. nosed or rooted at the observer's boots or legs); 2) FOLLOW (i.e. pig followed the 173 observed around the pen) or 3) **BITE** (i.e. pig bit at the observer's legs) the observer. 174

#### 175 Skin lesions

Skin lesions, as a proxy of aggressive interactions, were counted immediately prior to 176 177 mixing, and 24 hours post-mixing (SL24h) by a single trained observer. Recently received lesions were counted separately on three regions of the body: i) anterior (i.e. head, neck, front legs, 178 179 shoulders), ii) central (i.e. flanks and back), and iii) posterior (i.e. hind quarters and rear legs). One 180 uninterrupted scratch was classed as a single lesion, regardless of length or severity. A lesion was considered as recent if it was vivid red in color or recently scabbed. The pre-mixing lesion count 181 182 was subtracted from that taken 24 hours post-mixing for each pig. This served to ensure that only 183 those lesions that occurred as a result of mixing aggression were included in all analyses.

#### 184 Statistical analysis

Skin lesion showed considerably skewed distributions (Table 2) and thus, a log 185 transformation was used to approach the normal distribution. The transformed values were used 186 to estimate variance components. Similarly, although CRATE and IHAT responses were scored 187 on an ordinal scale, the skewness and kurtosis of the data (Table 2) indicated that the traits followed 188 189 an approximately normal distribution. Associations between predicted and predictor variables were tested using linear mixed models in R v. 4.1.2 (R Core Team., 2021). Predictors with a P <190 0.05 were selected for inclusion in the variance component models. Genetic analyses were 191 performed using DMU v6.5.2 (Madsen and Jensen, 2013) using the average information (DMU 192 AI) restricted maximum likelihood (REML) algorithm. Each trait was analyzed using single-trait 193 animal models. Models for CRATE and IHAT responses and skin lesions followed the general 194 formula: 195

196

y = Xb + Za + Wc + e

197 where:

198 y = vector of recorded traits

b, a, c and e = vectors of the fixed effects, additive genetic effects, common environmental
effects (i.e. pens where animals were mixed into), and the residual error, respectively. The fixed
effect vector b contained genetic line, sex, and batch effects for all traits. Additionally, the order
the animals were tested in was also included for CRATE and IHAT responses models. Body weight
at mixing was fitted as a linear covariate for all traits.

- X, Z and W = Incidence matrices of fixed, additive genetic, and common environmental
  effects, respectively.
- 206

207	For the WTP traits, an animal model with the logit function for binary traits was used.
208	Models followed the general formula:
209	y = Xb + Za + e
210	where:
211	y = vector of recorded traits
212	b, a, and e = vectors of the fixed effects, additive genetic effects, and the residual error,
213	respectively. The fixed effect vector b contained the genetic line, sex, and batch effects for all
214	traits.
215	X, and $Z =$ Incidence matrices of fixed, and additive genetic effects, respectively.
216	A seven-trait model was built for all linear variables. Genetic, phenotypic and residual variances
217	resulting from the single-trait animal models were used as starting values for the multi-trait model.
218	Heritability, genetic and phenotypic correlation estimates were obtained by using an
219	accompanying R program provided by DMU based on the notes "Calculation of Standard Errors
220	of estimates of genetic and phenotypic parameters in DMU" by Jensen and Madsen, (2002).
221	Standard errors estimates were calculated from asymptotic standard errors of the corresponding
222	variance components, which were obtained from the REML analyses using Taylor series
223	approximations (Jensen and Madsen, 2002).
224	Multi-traits models including the WTP test traits failed to converge. Spearman correlations
225	between estimated breeding values for WTP, CRATE response, IHAT traits and skin lesions were
226	calculated in R v. 4.1.2 (R Core Team., 2021) as a proxy for genetic correlations. Similarly,
227	phenotypic correlations within these traits were estimated on the observed values using Spearman
228	correlations in R v. 4.1.2 (R Core Team., 2021).
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#### RESULTS

231 Descriptive statistics for skin lesions, CRATE and IHAT responses are presented in Table
232 2. The proportion of pigs performing each behavior during the WTP test are shown in Figure 2.

#### 233 Heritabilities, common environmental effects and phenotypic variance

Estimated heritabilities for skin lesion, CRATE and IHAT responses were low to moderate 234 235  $(0.07 \pm 0.02 \text{ to } 0.29 \pm 0.05)$ , with the highest heritability estimated for speed of moving away from the approaching observer (Table 3). All heritabilities significantly differed from zero for these 236 traits. Heritabilities for the WTP test traits were associated with high standard errors and were 237 mainly non-significantly different from zero. Low but significant heritabilities were estimated for 238 BITE T2 (0.11  $\pm$  0.04) and NOSE T2 (0.09  $\pm$  0.04; Table 4). Additive genetic variance ranged 239 from  $0.01 \pm 0.05$  to  $0.36 \pm 0.27$  while phenotypic variance estimates were higher ranging from 240  $0.44 \pm 0.01$  to  $0.90 \pm 0.03$ . For CRATE and IHAT response, pen effects accounted for little of the 241 phenotypic variation and did not differ from zero. For all skin lesion traits, the phenotypic 242 proportions of variances due to pen effects was similar and significantly differed from zero. 243

#### 244 Genetic correlations

Genetic correlations ( $\mathbf{r}_g$ ) between CRATE response, IHAT traits and skin lesions are presented in Table 4. Significant positive high  $\mathbf{r}_g$  were observed between CRATE and IHAT responses (0.52 to 0.93), and within the various skin lesions traits (0.79 to 0.91), while significant positive low to high correlations between the estimated breeding values ( $\mathbf{r}_{EBV}$ ) were estimated for the measures recorded within the WTP test (0.24 to 0.59). Correlations between the estimated breeding values of CRATE and IHAT test responses and WTP test traits were low, mostly negative (-0.21 to 0.05; Table 5) and did not significantly differ from zero except for  $\mathbf{r}_{EBV}$  between CRATE and NOSE T2. Low significant positive  $r_{EBV}$  (0.06 to 0.24) were observed between skin lesions and the WTP test traits.

#### 254 Phenotypic correlations

Phenotypic correlations between CRATE response, IHAT traits and skin lesions are 255 presented in Table 4. Phenotypic correlations between the aforementioned traits and the WTP traits 256 257 are presented in Table 6. Significant positive low to moderate phenotypic correlations were observed between CRATE and IHAT responses (0.11 to 0.44) and between the various WTP test 258 traits (0.11 to 0.46), while significant positive low to high phenotypic correlations were estimated 259 260 between the skin lesion traits (0.54 to 0.72). Phenotypic correlations between CRATE and IHAT responses and skin lesions were low and did not significantly differ from zero. Phenotypic 261 correlations between CRATE and IHAT responses and WTP test traits were low and not 262 significantly different from zero except for the correlations between VIGILANCE and BITE T1 (-263 0.11). Similarly, phenotypic correlations between skin lesions and the WTP test traits were low 264 and did not differ from zero except for the correlations between anterior SL24h and NOSE during 265 both tests. 266

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#### 269 Heritabilities

Heritabilities for all studied traits, where significant, were in the range from low to moderate. The heritability for behavior while in the weighing crate was similar to that reported by D'Eath et al. (2009), Holl et al. (2010) and Rohrer et al. (2013) of  $0.17 \pm 0.03$ , 0.23 and  $0.19 \pm$ 0.03, respectively suggesting that the h<sup>2</sup> of behavioral reactions to confinement in a weighing crate is consistent across a range of populations and environments. In the present study the highest h<sup>2</sup>

DISCUSSION

was estimated for speed of moving away from the human observer during the IHAT, which was 275 higher than that of  $0.15 \pm 0.02$  reported by Jones et al. (2009). This test was less subjective and 276 less prone to observer error as the scoring system was open to little interpretation (i.e., movement 277 was zero, walk, trot, or run). Although measures were chosen to be as objective as possible, 278 perceptions of behavior while in the weighing crate, and vocalizations and vigilance during a 279 280 human approach, were more subjective, which may have resulted in greater variability over time in how the scale was used. For example, the behavior of any given animal may seem more or less 281 extreme in comparison to the animal tested previously, influencing how the observer scored 282 283 subsequent animals.

It is reported that h<sup>2</sup> for fearfulness and/or boldness declines with age, possibly due to 284 habituation to handling through repeated testing (Haskell et al., 2014). This in line with the decline 285 in heritability estimates for BITE and NOSE observed in this study at approximately 4 weeks post-286 mixing when compared with h<sup>2</sup> estimates within one week post-mixing. The WTP test reflects the 287 conflicting motivations to explore the human and to withdraw from them. It is likely that the first 288 and second WTP test differed in the extent to which they invoked these contrasting motivations. 289 In the second WTP test the exploratory behavior measured may have been greater because fear 290 291 suppressed approach during the first WTP test. The  $h^2$  of skin lesion traits observed in this study were similar to the lower range of those reported by Turner et al. (2009) and Wurtz et al. (2017) 292 of 0.19 to 0.43 and 0.10 to 0.40; respectively. Our results suggest that skin lesions, and the 293 294 associated aggressive behavior, could be reduced by means of genetic selection.

The proportion of the variance due to pen effects was very small for the behavioral traits relating to CRATE and IHAT responses. This is in contrast to skin lesions, where pen effects accounted for 14 to 15% of the observed variation. As physical aggression is the result of

interactions between animals, it is reasonable that pen effects account for more of the variation in 298 this behavior. During the CRATE and IHAT tests pigs were tested individually and thus, it was 299 unlikely that the behavior of each pig was affected by its pen mates. Furthermore, pen effects did 300 not contribute to explain the variation in the WTP test. Indeed, when pen effect was included in 301 the model, they failed to converge. This was surprising given that behavior of pen mates is likely 302 303 to influence the behavior of a pig. For example, a shy pig might feel more confident approaching a human after observing a pen mate approaching. It is possible that within each pen the behavior 304 of pen mates influenced the individual behavioral reactions observed; however, between pen 305 responses did not differ sufficiently to account for the variation observed across the population. 306

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#### 308 Correlations

While no phenotypic correlations were observed between behavioral traits and skin lesions 309 in this study, positive low genetic correlations were observed between CRATE and central and 310 posterior SL24h. This means that pigs that react more aversively while restrained in a weighing 311 crate would also receive more central and posterior lesions when mixed into unfamiliar groups. 312 There is evidence to suggest that posterior lesions at mixing are often inflicted when a defeated 313 314 pig is retreating from a fight, and that lesions to this body region may indicate a subordinate position in the social hierarchy (Turner et al., 2006). As skin lesions and response to the crate 315 were not phenotypically correlated, the genetic correlation indicates that the relationship between 316 317 these traits was not simply a carry-over effect of mixing stress driving an increased stress response in the crate. The more persistent attempts to escape the weighing crate would suggest that pigs are 318 319 experiencing more fear while restrained. Indeed, at the genetic level, pigs receiving higher scores

while isolated in the weigh scale also grunted more, and ran away from and focused their attentionon an approaching human while isolated in an arena in the IHAT.

Behavioral responses during the WTP test were correlated across time points at both the 322 genetic and phenotypic level indicating the first and second WTP test traits shared the same genetic 323 basis. Moreover, behavioral responses recorded during the WTP test were also highly correlated 324 325 among them, suggesting that pigs biting the observer were also those that followed and nosed the observer. This implies a general increase in exploratory drive and/or a reduction in fearfulness in 326 these animals. Correlations based on the estimated breeding values between reactions during the 327 328 WTP test to a human observer and aggressive behavior were low suggesting that social aggression in pigs is not a good indicator of human directed exploration or aggression. For instance, while 329 conducting the experiment, it became apparent that biting behavior in this population of growing 330 pigs was not motivated by aggression. When pigs bit the observer, it appeared to be driven by 331 curiosity and playfulness, rather than frustration or dominance, as vocalizations, aggressive biting 332 and charging behaviors were absent which are reported as distinctive aggressive behavioral 333 characteristics (Marchant Forde, 2002). However, this warrants further investigation. A limitation 334 of this study was the inability to perform more detailed observations while conducting the WTP 335 test that would have been more informative than simply recording binary responses. For example, 336 some pigs immediately followed the observer around both laps of the pen, and persistently bit at 337 the observer for the whole test period, while some hesitantly approached and eventually bit at the 338 339 observer. These behaviors are probably indicative of different levels of fearfulness and/or boldness; however, both pigs would have simply been recorded as having displayed biting 340 341 behavior. Moreover, this test was designed to be used as a practical on-farm measure of pig-human 342 interactions and thus, it was of interest to develop a quick and accurate method of measuring these

behaviors. For both the IHAT and the WTP tests, it would be preferable for more than one observer
to record the behavior, and inter-observer reliability should be estimated. Additionally, due to the
relatively low number of pigs interacting with the observer during the WTP test, more phenotyping
(i.e. increased sample size and number of time points), a longer period of walking around the pen
and the recording of the latency to approach the observer are needed for more accurate estimates
for the studied traits.

Genetic correlations between CRATE and IHAT traits on the one hand, and the correlation 349 based on the estimates breeding values for the WTP tests traits on the other, were low and mostly 350 351 negative. Behavior while in isolation may be affected by the stress associated with the novelty of the environment (Lewis et al., 2008) or the stress of isolation. Therefore, behavior under these 352 conditions is likely to differ from behavior while in the home pen with pen mates. In addition, the 353 nature of the traits measured differed between the IHAT and the WTP tests. As every pig was 354 explicitly tested during the IHAT, a reaction was forced from each individual as the human 355 approached. In contrast, although the observer walked around the perimeter of the pen during the 356 WTP, no pigs were singled out and the behavior ultimately measured was a pig's willingness to 357 approach and interact with the observer. In this situation, a pig that did not approach the observer 358 359 may have done so out of fear or indifference, therefore a score of zero for the recorded traits is likely to have captured opposing reactionary behaviors. 360

There were several aspects of the experimental procedures used in the present study that may have affected the observed results. Ideally, CRATE and IHAT responses would be carried out in a completely novel environment by an unfamiliar handler. Both the weighing crate and isolation pen were familiar to the animals, as they had been weighed in the same crate and held in the same pens by farm staff 1 or 2 days prior to the tests. Testing pigs within the same time point

is also not ideal, as their perception of the crate could carry over and affect their response to the IHAT, meaning that the tests were not independent. In addition, these pigs were already familiar with the observer carrying out the experiments, as the same observer had previously recorded skin lesions, moved the animals to and from the home pen, as well as moved them into the weighing crate. How aversive the pigs found these events may have affected their behavior in these tests.

371 In conclusion, under the conditions of this study, heritability estimates for all studied traits were in a range that suggests they could be suitable as a method of phenotyping aggression and 372 fear/boldness for selection purposes in pigs. Results indicate that the genetic determination of the 373 374 behavioral response to a human walking in the home pen declines with age. The decreased heritability estimates for the walk-the-pen test traits were likely associated with pigs becoming 375 habituated to routine handling and/or repeated testing. Moreover, there was evidence of genetic 376 associations between aggression and fear in pigs as those with higher central and posterior skin 377 lesion counts 24 h post-mixing (i.e. likely to be subordinate pigs) tended to display more distress 378 while in the weigh crate and were less likely to willingly approach a human in the IHAT. 379 Conversely, pigs with a high number of lesions to the anterior part of the body 24 h post-mixing, 380 which are typically the most numerous and received primarily during reciprocated attack, also 381 showed an aversive reaction to being in the crate, but these animals were more willing to explore 382 a human in their home pen in the WTP test. Exerting selection pressure to reduce the accumulation 383 of lesions is therefore likely to make pigs more relaxed in a crate environment, but to alter the 384 385 engagement with humans in other contexts that depends on the location of the lesions under selection. Future studies could consider using precision livestock farming technologies to assess 386 animal-human interactions in a more detailed and objective manner and thus remove some of the 387 388 possible confounding factors associated with the recording of behavioral observations. Finally, the

findings reported in this study could have practical implications for the pig industry as they suggest 389 that pigs selected for reduced aggression could be easier to handle while performing certain routine 390 farm procedures such as weighing. Additionally, as less fearful animals have higher growth rates, 391 higher carcass quality characteristics and better immune function (Kadel et al., 2006; Burdick et 392 al., 2011) this could also impact performance traits and ultimately farm profitability; however, this 393 394 warrants further investigation. 395 Disclosures 396 The authors declare no conflict of interest. 397

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#### **LITERATURED CITED**

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- 478
- 479

480 **Table 1.** Scoring systems used to assess individual behavioral responses in growing pigs isolated

481 in a pen to a human approach within 48 h post-mixing

 Score	Movement	Vocalization	Vigilance
0	None	None	None
1	Walk	Quiet grunts	Medium (i.e. occasional glances at human)
			High (i.e. completely
2	Trot	Loud grunts/squeals	focused on human)
 3	Run	-	-

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#### **Table 2.** Descriptive statistics for skin lesions<sup>1</sup> 24 h and 5 weeks post-mixing and individual behavioral responses of growing pigs

#### 484 isolated in a weigh crate<sup>2</sup> or in a pen<sup>3</sup> within 48 h post-mixing

		Original Scale						Transformed scale				
	n	Mean	SD	Min	Max	Skweness	Kurtosis	Mean	SD	Skweness	Kurtosis	
Skin lesions 24 h post-												
mixing												
Anterior	2013	17.9	14.34	1	92	1.4	2.6	1.1	0.43	-0.8	0.4	
Central	2013	15.9	13.30	1	82	1.5	2.9	1.0	0.46	-0.8	0.1	
Posterior	2012	9.7	8.19	1	52	1.6	3.2	0.8	0.41	-0.5	-0.4	
Skin lesions 5 weeks post-												
mixing												
Anterior	1974	3.6	3.29	1	30	2.2	7.6	0.4	0.35	0.3	-1.0	
Central	1975	3.1	2.94	1	29	2.6	10.3	0.3	0.33	0.5	-0.6	
Posterior	1975	2.3	2.12	1	20	3.1	14.1	0.2	0.28	0.9	0.0	
Crate response	1844	3.2	0.83	2	5	0.33	-0.42	$NA^4$	NA	NA	NA	
Individual human												
approach test								NA	NA	NA	NA	
Movement	2014	3.0	0.72	1	6	-0.26	-0.02	NA	NA	NA	NA	
Vocalization	2014	1.8	0.78	1	5	0.53	-0.82	NA	NA	NA	NA	
Vigilance	2014	1.8	0.67	1	5	0.35	-0.48	NA	NA	NA	NA	

485 <sup>1</sup>Lesions were counted separately on three regions of the body: i) anterior (i.e. head, neck, front legs, shoulders), ii) central (i.e. flanks and back), and iii) posterior

486 (i.e. hind quarters and rear legs). One uninterrupted scratch was classed as a single lesion, regardless of length or severity.

487 <sup>2</sup> Pigs remained isolated in a weigh crate for approximately 1 minute and they were scored based on their restlessness on a 4-point scale where 1 = pig performed

488 exploratory behavior including sniffing and rooting of the crate floor and walls; and 4 = pig performed serious, persistent attempts to escape by jumping over crate

489 wall.

- 490 <sup>3</sup> After approximately 30 seconds after the pig entered a testing arena, a human observer walked towards the pig at a steady pace starting in the same corner of the
- 491 pen each time and recorded the animal's reaction to their approach. Three separate scores were given for each individual based on the severity of movement (score
- 492 0 = none to 3 = run, vocalizations (score 0 = none to 2 = loud grunts), and vigilance (score 0 = none to 2 = high).
- 493  ${}^{4}$  NA= Not applicable/ no transformation was applied to the data

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494	Table 3. Heritabilities (h <sup>2</sup> ), additive ( $\sigma^2_A$ ) and phenotypic variance ( $\sigma^2_P$ ) and common
495	environmental effects (c <sup>2</sup> ) for skin lesions <sup>1</sup> and behavioral responses of growing pigs to isolation
496	in a weigh crate (i.e. CRATE response <sup>2</sup> ), to a human approaching while isolated in an arena <sup>3</sup> and
497	to a human while walking in their home pen <sup>4</sup> . Standard errors are presented in parentheses.

Trait	n	h <sup>2</sup>	$\sigma^2_A$	$\sigma^2_P$	c <sup>2</sup>
CRATE response	1844	0.21(0.05)	0.14(0.02)	0.67(0.02)	0.01(0.01)
Individual human approach test					
Movement	2014	0.29(0.05)	0.15(0.03)	0.52(0.02)	0.00(0.01)
Vocalisation	2014	0.17(0.04)	0.10(0.02)	0.59(0.02)	0.01(0.01)
Vigilance	2014	0.19(0.04)	0.08(0.02)	0.44(0.01)	0.00(0.001)
Walk-the-pen test					
Follow T1	2023	0.26(0.27)	0.36(0.27)	$N/A^5$	N/A
Follow T2	2413	0.25(0.16)	0.34(0.16)	N/A	N/A
Nose T1	2023	0.12(0.17)	0.15(0.17)	N/A	N/A
Nose T2	2413	0.09(0.04)	0.01(0.05)	N/A	N/A
Bite T1	2023	0.24(0.19)	0.33(0.20)	N/A	N/A
Bite T2	2413	0.11(0.04)	034(0.12)	N/A	N/A
Skin lesions 24h post-mixing					
Anterior	2013	0.07(0.02)	0.05(0.02)	0.83(0.29)	0.15(0.02)
Central	2013	0.10(0.03)	0.09(0.03)	0.90(0.03)	0.14(0.02)
Posterior	2013	0.14(0.03)	0.10(0.02)	0.75(0.03)	0.14(0.02)

498 <sup>1</sup> Lesions were counted separately on three regions of the body: i) anterior (i.e. head, neck, front legs, shoulders), ii)

499 central (i.e. flanks and back), and iii) posterior (i.e. hind quarters and rear legs). One uninterrupted scratch was classed

as a single lesion, regardless of length or severity.

<sup>2</sup> Pigs remained isolated in a weigh crate for approximately 1 minute and they were scored based on their restlessness

502 on a 4-point scale where 1 = pig performed exploratory behavior including sniffing and rooting of the crate floor and

walls; and 4 = pig performed serious, persistent attempts to escape by jumping over crate wall.

<sup>3</sup> After approximately 30 seconds after the pig entered a testing arena, a human observer walked towards the pig at a

steady pace starting in the same corner of the pen each time and recorded the animal's reaction to their approach.

- 506 Three separate scores were given for each individual based on the severity of movement (score 0 =none to 3 =run),
- 507 vocalizations (score 0 = none to 2 = loud grunts), and vigilance (score 0 = none to 2 = high).

- <sup>4</sup> Pigs were tested for behavioral responses to the presence of a single human observer while walking in their home
- pen at  $6 \pm 4.9$  (T1) and  $25 \pm 15.9$  (T2) days post-mixing. The observer walked around the pen and noted individuals
- that nosed (i.e. nosed or rooted at the observer's boots or legs), followed (i.e. pig followed the observed around the
- 511 pen) or bit (i.e. pig bit at the observer's legs) the observer.
- <sup>5</sup> Estimates are not available because a logistic model was fitted for these binary traits.
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**Table 4.** Genetic (above the diagonal) and phenotypic (below the diagonal) correlations for skin lesions<sup>1</sup> and for behavioral responses of growing pigs to isolation in a weigh crate (i.e. CRATE response<sup>2</sup>) and to a human approaching while isolated in an arena<sup>3</sup>. Standard errors are presented in parentheses

51	1

	Crate response	Movement	Vocalisation	Vigilance	Anterior 24h	Central 24h	Posterior 24h
Crate response		0.60 (0.11)	0.53 (0.14)	0.52 (0.15)	0.20 (0.17)	0.19 (0.15)	0.23 (0.15)
Movement	0.22 (0.02)		0.60 (0.11)	0.93 (0.06)	-0.03 (0.15)	-0.10 (0.14)	-0.07 (0.14)
Vocalisation	0.32 (0.02)	0.41 (0.02)		0.72 (0.12)	0.07 (0.17)	0.08 (0.16)	0.04 (0.16)
Vigilance	0.11 (0.02)	0.44 (0.02)	0.25 (0.02)		0.03 (0.17)	-0.03 (0.15)	0.03 (0.15)
Anterior 24h	-0.01 (0.02)	0.01 (0.02)	0.01 (0.02)	-0.01 (0.02)		0.91 (0.11)	0.79 (0.14)
Central 24h	-0.01 (0.03)	0.01 (0.02)	0.02 (0.02)	0.01 (0.02)	0.63 (0.02)		0.91 (0.08)
Posterior 24h	-0.02 (0.03)	-0.01 (0.02)	0.03 (0.02)	0.01 (0.02)	0.54 (0.02)	0.72 (0.02)	

- 519 <sup>1</sup> Lesions were counted separately on three regions of the body 24 h (SL24h) and 5 weeks (SL5WK) post-mixing: i) anterior (i.e. head, neck, front legs, shoulders),
- 520 ii) central (i.e. flanks and back), and iii) posterior (i.e. hind quarters and rear legs). One uninterrupted scratch was classed as a single lesion, regardless of length or
- 521 severity.
- 522 <sup>2</sup> Pigs remained isolated in a weigh crate for approximately 1 minute and they were scored based on their restlessness on a 4-point scale where 1 = pig performed
- 523 exploratory behavior including sniffing and rooting of the crate floor and walls; and 4 = pig performed serious, persistent attempts to escape by jumping over crate
- 524 wall.
- <sup>3</sup> After approximately 30 seconds after the pig entered a testing arena, a human observer walked towards the pig at a steady pace starting in the same corner of the
- 526 pen each time and recorded the animal's reaction to their approach. Three separate scores were given for each individual based on the severity of movement (score
- 527 0 = none to 3 = run, vocalizations (score 0 = none to 2 = loud grunts), and vigilance (score 0 = none to 2 = high).
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- 529 Table 5. Correlations between estimated breeding values for behavioral responses of growing pigs to a human while walking in their
- 530 home pen<sup>1</sup>, to isolation in a weigh crate (i.e. CRATE response<sup>2</sup>) and to a human approaching while isolated in an arena<sup>3</sup> and for skin
- 531 lesions<sup>4</sup>. Standard errors are presented in parentheses

	Follow 1	Nose 1	Bite 1	Follow 2	Nose 2	Bite 2
Follow 1	1.00	0.42 (0.02)	0.53 (0.02)	0.48 (0.02)	0.38 (0.02)	0.49 (0.02)
Nose 1	0.42 (0.02)	1.00	0.48 (0.02)	0.48 (0.02)	0.24 (0.02)	0.59 (0.02)
Bite 1	0.53 (0.02)	0.48 (0.02)	1.00	0.50 (0.02)	0.27 (0.02)	0.50 (0.02)
Follow 2	0.48 (0.02)	0.48 (0.02)	0.50 (0.02)	1.00	0.36 (0.02)	0.55 (0.02)
Nose 2	0.38 (0.02)	0.24 (0.02)	0.27 (0.02)	0.36 (0.02)	1.00	0.39 (0.02)
Bite 2	0.49 (0.02)	0.59 (0.02)	0.50 (0.02)	0.55 (0.02)	0.39 (0.02)	1.00
Crate response	-0.09 (0.03)	-0.04 (0.03)	-0.06 (0.02)	-0.19 (0.02)	-0.10 (0.02)	-0.07 (0.02)
Movement	-0.02 (0.02)	-0.07 (0.02)	-0.03 (0.02)	-0.19 (0.02)	-0.05 (0.02)	-0.06 (0.02)
Vocalisation	0.05 (0.02)	-0.002 (0.02)	-0.05 (0.02)	-0.09 (0.02)	-0.07 (0.02)	-0.02 (0.02)
Vigilance	-0.20 (0.02)	-0.11 (0.02)	-0.21 (0.02)	-0.19 (0.02)	-0.21 (0.02)	-0.12 (0.02)
Anterior 24h	0.06 (0.02)	0.06 (0.02)	0.04 (0.02)	0.11 (0.02)	0.11 (0.02)	0.001 (0.02)
Central 24h	0.23 (0.02)	0.19 (0.02)	0.11 (0.02)	0.12 (0.02)	0.11 (0.02)	0.24 (0.02)
Posterior 24h	0.17 (0.02)	0.07 (0.02)	0.03 (0.02)	0.17 (0.02)	0.12 (0.02)	0.09 (0.02)

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<sup>1</sup> Pigs were tested for behavioral responses to the presence of a single human observer while walking in their home pen at  $6 \pm 4.9$  (T1) and  $25 \pm 15.9$  (T2) days

post-mixing. The observer walked around the pen and noted individuals that nosed (i.e. nosed or rooted at the observer's boots or legs), followed (i.e. pig followed

the observed around the pen) or bit (i.e. pig bit at the observer's legs) the observer.

<sup>2</sup> Pigs remained isolated in a weigh crate for approximately 1 minute and they were scored based on their restlessness on a 4-point scale where 1 = pig performed

exploratory behavior including sniffing and rooting of the crate floor and walls; and 4 = pig performed serious, persistent attempts to escape by jumping over crate

538 wall.

- <sup>3</sup> After approximately 30 seconds after the pig entered a testing arena, a human observer walked towards the pig at a steady pace starting in the same corner of the 539
- 540 pen each time and recorded the animal's reaction to their approach. Three separate scores were given for each individual based on the severity of movement (score
- 0 = none to 3 = run), vocalizations (score 0 = none to 2 = loud grunts), and vigilance (score 0 = none to 2 = high). 541
- 542 <sup>4</sup> Lesions were counted separately on three regions of the body 24 h (SL24h) post-mixing: i) anterior (i.e. head, neck, front legs, shoulders), ii) central (i.e. flanks
- 543 and back), and iii) posterior (i.e. hind quarters and rear legs). One uninterrupted scratch was classed as a single lesion, regardless of length or severity.

ar legs). One ,

**Table 6.** Phenotypic correlations for behavioral responses of growing pigs to a human while walking in their home pen<sup>1</sup>, to isolation in

a weigh crate (i.e. CRATE response<sup>2</sup>) and to a human approaching while isolated in an arena<sup>3</sup> and for skin lesions<sup>4</sup>. Standard errors are

#### 546 presented in parentheses

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	Follow 1	Nose 1	Bite 1	Follow 2	Nose 2	Bite 2
Follow 1	1.00	0.14 (0.02)	0.46 (0.02)	0.27 (0.02)	0.11 (0.02)	0.20 (0.02)
Nose 1	0.14 (0.02)	1.00	0.14 (0.02)	0.19 (0.02)	0.11 (0.02)	0.22 (0.02)
Bite 1	0.46 (0.02)	0.14 (0.02)	1.00	0.23 (0.02)	0.13 (0.02)	0.27 (0.02)
Follow 2	0.27 (0.02)	0.19 (0.02)	0.23 (0.02)	1.00	0.18 (0.02)	0.41 (0.02)
Nose 2	0.11 (0.02)	0.11 (0.02)	0.13 (0.02)	0.18 (0.02)	1.00	0.24 (0.02)
Bite 2	0.20 (0.02)	0.22 (0.02)	0.27 (0.02)	0.41 (0.02)	0.24 (0.02)	1.00
Crate response	-0.03 (0.02)	-0.01 (0.02)	-0.02 (0.02)	-0.05 (0.02)	0.01 (0.02)	-0.04 (0.02)
Movement	-0.02 (0.02)	0.05 (0.02)	-0.05 (0.02)	-0.05 (0.02)	-0.01 (0.02)	-0.01 (0.02)
Vocalisation	-0.03 (0.02)	0.02 (0.02)	-0.04 (0.02)	-0.02 (0.02)	0.02 (0.02)	-0.02 (0.02)
Vigilance	-0.07 (0.02)	0.01 (0.02)	-0.11 (0.02)	-0.03 (0.02)	-0.01 (0.02)	-0.03 (0.02)
Anterior 24h	0.01 (0.02)	0.05 (0.02)	0.003 (0.02)	0.02 (0.02)	0.05 (0.02)	-0.002 (0.02)
Central 24h	-0.003 (0.02)	-0.01 (0.02)	-0.03 (0.02)	0.01 (0.02)	0.07 (0.02)	-0.01 (0.02)
Posterior 24h	0.01 (0.02)	-0.01 (0.02)	-0.04 (0.02)	0.02 (0.02)	0.04 (0.02)	-0.004 (0.02)

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<sup>1</sup> Pigs were tested for behavioral responses to the presence of a single human observer while walking in their home pen at  $6 \pm 4.9$  (T1) and  $25 \pm 15.9$  (T2) days

post-mixing. The observer walked around the pen and noted individuals that nosed (i.e. nosed or rooted at the observer's boots or legs), followed (i.e. pig followed

the observed around the pen) or bit (i.e. pig bit at the observer's legs) the observer.

<sup>2</sup> Pigs remained isolated in a weigh crate for approximately 1 minute and they were scored based on their restlessness on a 4-point scale where 1 = pig performed

exploratory behavior including sniffing and rooting of the crate floor and walls; and 4 = pig performed serious, persistent attempts to escape by jumping over crate

554 wall.

- <sup>3</sup> After approximately 30 seconds after the pig entered a testing arena, a human observer walked towards the pig at a steady pace starting in the same corner of the 555
- 556 pen each time and recorded the animal's reaction to their approach. Three separate scores were given for each individual based on the severity of movement (score
- 0 = none to 3 = run), vocalizations (score 0 = none to 2 = loud grunts), and vigilance (score 0 = none to 2 = high). 557
- 558 <sup>4</sup> Lesions were counted separately on three regions of the body 24 h (SL24h) post-mixing: i) anterior (i.e. head, neck, front legs, shoulders), ii) central (i.e. flanks
- ar legs). One . 559 and back), and iii) posterior (i.e. hind quarters and rear legs). One uninterrupted scratch was classed as a single lesion, regardless of length or severity.

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<sup>562</sup> 



**Figure 1.** Diagram illustrating the layout of the testing area and testing process for the crate response and individual human approach test. 1) The entire group of pigs were held in the holding pen (A). 2) Each pig was individually moved to the weighing crate (B) and their behavioral response was recorded. 3) After approximately 1 minute each pig was then moved to the testing pen (C) and the behavioral response to a human walking towards them from the lower left corner (E) was recorded. 4) Pigs were returned to the holding pen (A) with the rest of the group after testing.



Figure 2. Percentage of growing pigs performing each behavior during the walk-the-pen test where pigs were tested for behavioral responses to the presence of a single human observer in their home pen at  $6 \pm 4.9$  (Test 1) and  $25 \pm 15.9$  (Test 2) days post-mixing. The observer walked around the pen and noted individuals that nosed (i.e. nosed or rooted at the observer's boots or legs), followed (i.e. pig followed the observed around the pen) or bit (i.e. pig bit at the observer's legs) the observer.

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