

Ferroelectric nanofibers with an embedded optically nonlinear benzothiazole derivative

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Abstract: We report measurements of the molecular first hyperpolarizability, thermal stability, photophysical, piezoelectric and ferroelectric properties of a benzothiazole derivative bearing an arylthiophene π -conjugated bridge both in solution and when embedded into a poly (L-lactic acid) (PLLA) matrix in the form of electrospun fibers with an average diameter of roughly 500 nm. The embedded nanocrystalline phenylthienyl-benzothiazole derivative, with crystal sizes of about 1.4 nm resulted in a good piezoelectric response from these functionalized electrospun fibers, indicative of a polar crystalline structure.

Introduction

Benzothiazole derivatives have attracted significant attention recently due to their desirable optical (linear and nonlinear) properties. They have been shown to possess high quantum fluorescence yields and excellent molecular hyperpolarizabilities which can be tuned by functionalization of the azole skeleton with 5-membered heterocycles (pyrrole, thiophene) at position 2. This modification creates the potential for numerous innovative applications of these π -conjugated systems as ligands for phosphorescent coordination complexes including transition metals, antennas for lanthanoid elements (Li et al. 2012; Kuwabara et al. 2012; Wang et al. 2011; Liu et al. 2011), in nonlinear optics (e.g. second harmonic generators (SHG) or two photon absorbers (TPA)) (Miller et al. 1994, Varanasi et al. 1996; Albert et al. 1997; Breitung et al. 2000; Hrobarik et al. 2004; Cui et al. 2006; Coe et al. 2006; Razus et al. 2007; Jin et al. 2009; Hrobárik et al. 2010; Hrobáriková et al. 2010; Hrobarik et al. 2011; Kariduraganavar et al. 2011), as well as fluorescent

dopants in the fabrication of organic light emitting devices (OLEDs) (Tao et al. 2011, Mishra et al. 2009; Mabrouk et al. 2010; Fu et al. 2009a; Fu et al. 2009b; Xu et al. 2007; Zhang et al. 2001). Recently we reported the synthesis and characterization of the optical properties of novel benzothiazole derivatives bearing bithiophene, arylthiophene and (bi)thienylpyrrole π -bridges in solution (Costa et al. 1997, Batista et al. 2004; Costa et al. 2006; Batista et al. 2007; Pina et al. 2010; Raposo et al. 2011a; Raposo et al. 2011b; Raposo et al. 2011c; Coelho et al. 2012a; Coelho et al. 2012b) in liquid crystals (Garcia-Amorós et al. 2013; Garcia-Amorós et al. 2014), and in PMMA or Zeonex matrixes (Coelho et al. 2013; Pina et al. 2007). Our theoretical studies (Pina et al. 2010; Raposo et al. 2011a) were focused on the optimization of the ground-state molecular geometries guided by the results of density functional theory (DFT) calculations for donor-acceptor systems in which the benzothiazole heterocycle played the role of an acceptor group. The experimental studies were mainly focused on the nonlinear optical, photochromic, emissive and OLEDs properties of these compounds. Evaluation of the SHG in solution, using hyper-Rayleigh

scattering technique, showed that benzothiazole derivatives functionalized with arylthiophene, bithiophene or thienylpyrrole π -bridges have high molecular nonlinearities with first hyperpolarizability values that are 20–33 times higher than that of the well-known *p*-nitroaniline (*p*NA) molecule (Batista et al. 2004; Costa et al. 2006; Raposo et al. 2011a; Raposo et al. 2011b).

The present work was motivated by an interest to extend the possible applications of benzothiazole derivatives bearing thiophenic bridges. A significant limitation in this regard has been the inability to grow crystals with good quality and size compatible for practical applications. One possibility of overcoming this problem is to incorporate the compound inside a host polymer matrix using a nano-manufacturing process. Our approach consists in embedding functional nanocrystalline structures inside aligned polymer nanofibers by the electrospinning technique (Isakov et al. 2010a; Isakov et al. 2011a, Isakov et al. 2011b; Isakov et al. 2012)

Indeed, some of us recently reported evidence of strong polar properties of chromophores embedded in polymer nanofibers. Significant second harmonic generation and piezoelectric responses were obtained in nanofibers produced by the electrospinning technique. Due to the strong processing electric field and mechanical stretching applied during the drawing of the fibers, the electrospinning process can produce anisotropic piezoelectric nanofibers with enhanced properties (Li et al. 2003; Persano et al. 2013; Isakov et al. 2011b).

Here we report the molecular linear and nonlinear optical properties, of a benzothiazole derivative bearing an phenylthiophene bridge (BZT **1**), previously synthesised by some of us (Costa et al. 1997). We also report the thermal stability as well as the piezoelectric response of electrospun PLLA nanofibers with embedded crystalline BZT **1**. To the best of our knowledge this is the first time that the nonlinear optical properties in solution and in PLLA fibers are reported.

Experimental

Instruments

Fluorescence spectra were collected using a FluoroMax-4 spectrofluorometer. UV-visible absorption spectra (200–700 nm) were obtained using a Shimadzu UV/2501PC spectrophotometer. The fluorescence quantum yield was measured using a solution of 9,10-diphenylanthracene in ethanol as standard ($\phi_F = 0.95$) (Morris et al. 1976). Thermogravimetric analysis of samples was carried out using a TGA instrument model Q500 from TA Instruments, under high purity nitrogen supplied at a constant 50 mL min⁻¹ flow rate. All samples were subjected to a 20 °C min⁻¹ heating rate and were characterized between 25 and 500 °C.

Nonlinear optical measurements using the hyper-Rayleigh scattering (HRS) method

Hyper-Rayleigh scattering (HRS) was used to measure the first hyperpolarizability β of response of the molecules studied. The experimental set-up for hyper-Rayleigh measurements has been previously described in detail (Raposo et al. 2011a). The incident laser beam came from a Q-switched Nd:YAG laser operating at a 10 Hz repetition rate with approximately 5 mJ of energy per pulse and a pulse duration (FWHM) close to 12 ns at the fundamental wavelength of 1064 nm. The incident beam was weakly focused (beam diameter ~ 0.5 mm) into the solution contained in a 5 cm long cuvette.

The hyper-Rayleigh signal was normalized at each pulse using the second harmonic signal from a 1 mm quartz plate to compensate for fluctuations in the temporal profile of the laser pulses due to longitudinal mode beating. Dioxane was used as a solvent, and the β values were calibrated using a reference solution of *p*NA also dissolved in dioxane at a concentration of 1×10^{-2} mol dm⁻³ (external reference method). All solutions were filtered (0.2 μ m porosity) to avoid spurious signals from suspended impurities.

We took particular care to avoid reporting artificially high hyperpolarizabilities due to a possible contamination of the hyper Rayleigh signal by molecular fluorescence near 532 nm by employing two different narrow band interference filters (CVI model F1.5-532-4) and “wide” (CVI model F03-532-4) with passbands of 1.29 nm and 2.18 nm (full width at half maximum) respectively. This allows us to estimate the amount of hyper-Rayleigh and fluorescence signal (Raposo et al. 2011a).

Local piezoelectric measurements using PFM

The piezoresponse force microscopy (PFM) signal was investigated using a commercial atomic force microscopy (AFM) setup where the conducting tip is used both to excite the piezoelectric vibrations and to study the vibrational response via an additional lock-in amplifier connected to the photodiode and synchronized with the driving voltage. PFM images were obtained using a Ntegra Aura, NT-MDT instrument where ac and dc voltages were applied between the counter electrode and the conductive tip. The PFM micrograph was obtained in the PFM mode (frequency of ac voltage was 50 kHz and amplitude was 1 V peak-to-peak). During scanning, the vertical signal from photodiode was amplified by a Stanford Research SR-830A lock-in amplifier and imaged using an auxiliary channel of the microscope. The hysteresis loop was acquired after each dc voltage step was applied to the top of the single BZT **1** fiber.

Results and discussion

Electrospinning of nanofibers

The benzothiazole derivative (BZT **1**, Figure 1) functionalized with an arylthiophene π -conjugated bridge was synthesized through a previously described synthetic methodology (Costa et al. 1997) by reaction of *o*-aminobenzenethiol with 5-phenylthiophene-2-

carbaldehyde, in DMSO at 120 °C for 30–60 min. The reaction is initiated by the formation of the corresponding imine that cyclises spontaneously, yielding the benzothiazoline, which is oxidised to the benzothiazole, in the presence of DMSO.

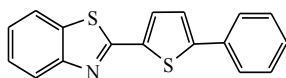


Fig. 1. Molecular structure of phenylthienyl-benzothiazole (BZT 1)

Several attempts were made to obtain appropriate BZT crystals for structure determination by single crystal X-ray diffraction and study of crystalline physico-chemical properties. However this was not possible due to fragility of the obtained crystals which were not suitable for structural work. However they could be used in a crystalline form by using an approach that combines nanomanufacturing process and molecular engineering (Isakov et al. 2011b). The approach consists in fabrication of mesocrystalline structures of aligned polymer nanofibers with embedded functional materials by the electrospinning technique.

The compound has been incorporated in poly (L-lactic acid) (PLLA) fiber host by the conventional electrospinning technique. PLLA was chosen as a host polymer matrix as it permits the use of an appropriate solvent for both polymer and BZT 1 compound. To prepare the solution, (0.4 g, 4.71×10^{-6} mol) of poly(L-lactic acid) (PLLA, $M_w \sim 85\,000$, purchased from Aldrich and used as received) was dissolved in 4 ml of chloroform and mixed with 1.0 ml of dimethylformamide (DMF, both purchased from Aldrich) and (0.1 g, 3.41×10^{-4} mol) of BZT 1. The obtained solution was stirred for several hours under ambient conditions prior to performing the electrospinning. The precursor solutions were loaded into a syringe with its needle connected to the anode of a high voltage power supply (Spellmann CZE2000). The electric potential and distance between needle and collector were 12–15 kV and 10–12 cm respectively. The obtained fibers are smooth and uniform with an average diameter of roughly 500 nm.

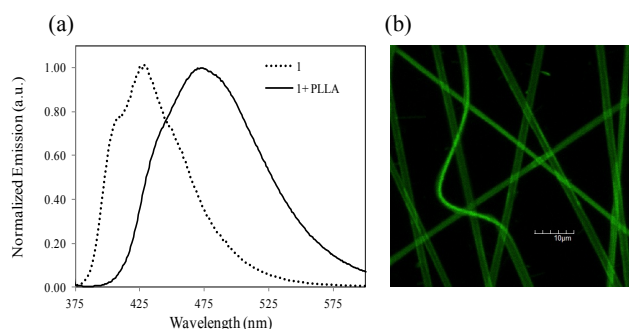


Fig. 2 (a) Normalized emission spectra of BZT nanofibers and the pure compound in absolute ethanol; (b) single-photon fluorescence image (50x50µm) of BZT 1 nanofibers. The fluorescence was observed in the

wavelength range of 430–470 nm following excitation at a wavelength of 405 nm.

Optical and thermal properties

The photochemical and photophysical properties of compounds such as BZT 1 can be attributed to the presence of two different chromophores (benzothiazole and arylthiophene). The absorption and emission spectra of BZT were measured in absolute ethanol (10^{-4} and 10^{-6} M solutions respectively). Electronic absorption spectra of the BZT in absolute ethanol show an intense charge-transfer absorption band in the UV/Vis region ($\lambda_{max} = 362$ nm; $\text{Log } \epsilon = 4.43$, $\lambda_{em} = 430$ nm).

The relative fluorescence quantum yield was determined using a 10^{-6} M solution of 9,10-diphenylanthracene in ethanol as a standard ($\phi_F = 0.95$) (Morris et al. 1976). The BZT compound displays a high fluorescence quantum yield of 0.58 (Table 1, Fig. 2a). The absorption and emission of BZT 1 in PLLA nanofibers exhibit a red shift of 32 and 34 nm relative to the corresponding data in ethanol (Fig. 2). Red-shifts can indicate a more extended conjugation, in turn attributable to stretched molecular conformations along the fiber longitudinal axis. A higher density related to a more effective molecular packing at nanoscale can also lead to some self absorption of the emitted fluorescence, also contributing to a red shift of the observed emission.

The molecular first hyperpolarizability β of BZT 1 was measured by hyper-Rayleigh scattering (HRS) method (Raposo et al. 2011a), at a fundamental wavelength of 1064 nm of a Q-switched Nd:YAG laser. Dioxane was used as the solvent, and the β values were measured against a reference solution of *p*NA (Kaatz and Shelton 1996; Reis 2006) in order to obtain quantitative values, while care was taken to properly account for possible fluorescence of the dyes (see experimental section for more details). The static hyperpolarizability β_0 value (Oudar 1977; Oudar and Chemla 1977; Zyss and Oudar 1982) was estimated using a simple two-level model neglecting damping. They are therefore only indicative and should be treated with caution (Table 1). The nonlinear optical chromophore BZT 1 exhibits a molecular nonlinearity β of 51×10^{-30} esu which is 3 times higher than that of the well-known *p*NA molecule for an incident laser wavelength of 1064 nm (the corresponding β_0 values are 2.6 times higher than that of *p*NA).

It is well known that, not only a high hyperpolarizability but also a good thermal stability is critical for practical application of organic materials. Consequently, the thermal stability of BZT 1 was evaluated by thermogravimetric analysis. BZT 1 exhibits a high decomposition temperature ($T_d = 345$ °C), measured at a heating rate of 20 °C min^{-1} under a nitrogen atmosphere (Fig. 3).

Table 1. UV-vis absorption and emission data in ethanol, β and β_0 values for BZT **1** in dioxane and BZT **1**+ PLLA.

Cpd.	Absorption		Emission			β^a (10^{-30} esu)	β_0^b (10^{-30} esu)
	λ_{\max} (nm)	log ϵ	λ_{\max} (nm)	Stokes ^c shift (nm)	ϕ		
BZT 1	362	4.43	430	68	0.58	51	22
PLLA	330	-	425	95	-	-	-
1 + PLLA	394	-	464	70	-	-	-
pNA	352	-	-	-	-	16.9	8.5

^a All the compounds are transparent at the 1064 nm fundamental wavelength.

^b Data corrected for resonance enhancement at 532 nm using the two-level model with $\beta_0 = \beta [1 - (\lambda_{\max}/1064)^2][1 - (\lambda_{\max}/532)^2]$; damping factors not included at 1064 nm (Oudar 1977; Oudar and Chemla 1977; Zyss and Oudar 1982).

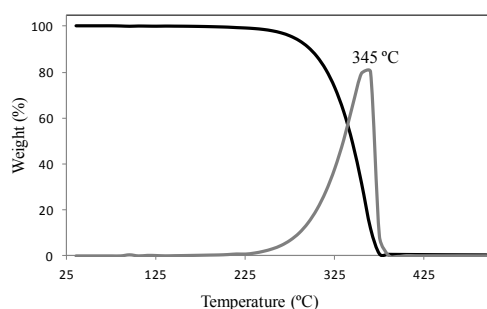


Fig. 3 Thermal analysis data for BZT **1** through TGA recorded under a nitrogen atmosphere, measured at a heating rate of $20\text{ }^\circ\text{C min}^{-1}$.

Local piezoelectric and ferroelectric properties

For practical applications of organic materials with high molecular hyperpolarizability it is important that molecules crystallize in a non-centrosymmetric unit cell packing, a necessary condition for observing crystalline polar phenomena such as second harmonic generation, piezoelectricity and pyroelectricity. Piezoresponse force microscopy (PFM) (Balke et al. 2009) was employed to investigate the local piezoelectric properties of BZT **1** nanofibers. The PFM technique is based on the detection of an electromechanical response of the sample to an applied electric voltage and is described elsewhere (Isakov et al. 2010b). The piezo-response contrast is obtained in the form of mixed signal $A\cos\phi$ (where A is the amplitude and ϕ is the phase shift between driving and detected signal) providing information on the polarization direction.

Figure 4 presents the PFM images obtained from a single BZT nanofiber deposited on a conductive substrate. The first image shows the as-electrospun nanofiber before any external polarization field was applied. In the next two images (Fig. 4b-c) the out-of-plane PFM contrast is shown after applying +100 V (image b) and then -100 V DC voltage (image c) to the conductive cantilever tip during 10 s. The strong contrast observed in the PFM images is caused by an induced deformation in response to the applied “read” ac electric field and represents the polarization vector P components of the fiber.

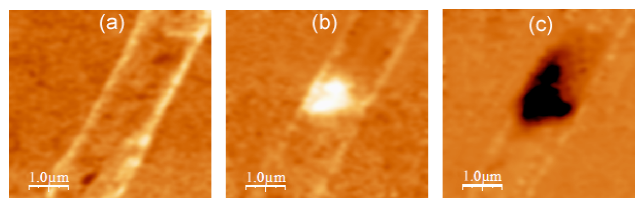


Fig. 4 Vertical PFM images observed in an individual BZT nanofiber: a) as-electrospun nanofibers (before poling); b) PFM image after applying a voltage of +100 V at the fixed tip during 10 s; c) image after applying a voltage of -100 V.

The contrast on the PFM image is roughly proportional to the longitudinal piezoelectric coefficient and is determined by the projection of the polarization vector normal to the substrate. The phase ϕ of the signal depends on the orientation of the polarization, thus the “bright” contrasts for the measured $A\cos\phi$ signal suggest that the polarization head is terminated at the substrate plane while the dark contrasts correspond to the polarization pointing downward to the surface.

Figure 5 presents nested piezoresponse hysteresis loops measured on the same BZT **1**-nanofiber. The local longitudinal remnant piezo-response signal was measured after the application of continuously increasing DC voltage pulses to the conductive tip fixed on the surface of the BZT **1** fiber. The existence of two switchable polarization states is thus confirmed by the PFM measurements in a dynamic regime in which the bias is continuously swept between positive and negative values. After the first hysteresis, the closed loops were repeatedly acquired, indicating that the polarization switching is quite robust in this material. The corresponding effective piezoelectric coefficient (remnant value) was estimated to be $d_{33} \approx 20\text{ pm/V}$. This value is comparable to the piezoelectric coefficient of a poled poly(vinylidene fluoride) film and dabcoHReO4 nanofibers (Isakov et al. 2014). The switching of polarization definitely indicates the ferroelectric nature and an acentric crystalline structure for BZT **1**, as ferroelectricity is only possible in polar crystallographic point groups lacking a center of inversion (Nye 2000).

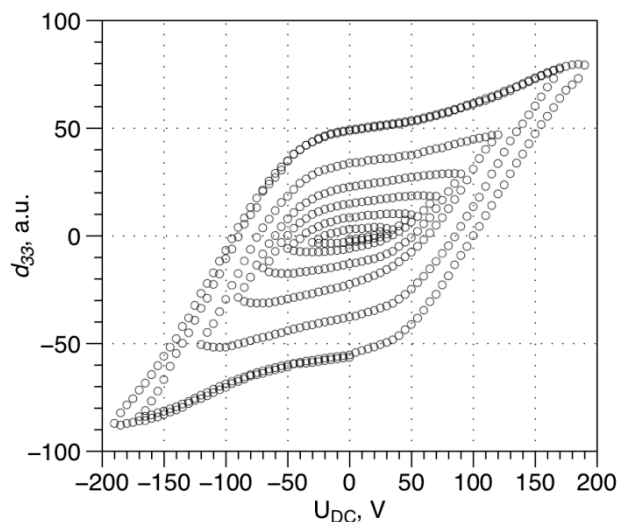


Fig. 5 Piezoresponse hysteresis obtained in a single as-electrospun BZT **1** fiber.

Conclusions

Evaluation of the first molecular hyperpolarizability β of BZT **1** in a dioxane solution and thermal stability by TGA analysis revealed that BZT **1** is an efficient second-harmonic generator. Local piezoelectric measurements confirm that the heterocyclic system crystallizes in a nanocrystalline acentric structure when embedded in electrospun nanofibers. The local domain switching under an external electric field was studied by means of piezoresponse hysteresis loop acquisition. The observed out-of-plane hysteresis loop provides direct evidence for the switching of polarization in BZT **1** nanofibers and its ferroelectric properties.

We emphasize that even though it was not possible to obtain a viable bulk crystalline material, the use of the electrospinning technique allowed us to produce a nanocrystalline form of BZT **1** which clearly displays polar properties.

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