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# Longitudinal Computed Tomography Airway Measurements in Ex-Smokers with and without Chronic Obstructive Pulmonary Disease

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Supervisor: Parraga, Grace, *The University of Western Ontario* Co-Supervisor: Cunningham, Ian A., *The University of Western Ontario* A thesis submitted in partial fulfillment of the requirements for the Master of Science degree in Medical Biophysics © Paulina V. Wyszkiewicz 2023

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#### Abstract

Chronic obstructive pulmonary disease (COPD) is a heterogeneous disease characterized by chronic airflow obstruction, emphysematous destruction, and airway remodeling. Thoracic CT has previously revealed abnormalities in the small airways, where disease onset is believed to initiate. In previous COPD cohort studies, airway wall thinning and diminished total airway count (TAC) were observed with increasing disease severity. However, longitudinal insights are lacking. Accordingly, the objective of this thesis was to evaluate longitudinal CT airway measurements at baseline and after three-years in exsmokers. I observed that CT TAC was decreased only in ex-smokers with COPD, whilst airway walls were thinner in both ex-smokers with and without COPD. To my knowledge, this is the first study to show TAC worsening over time in COPD, which suggests airway narrowing, obstruction, and/or obliteration. These longitudinal three-year findings in exsmokers, in whom forced expiratory volume in 1-second did not change, provide insights into mechanisms of COPD progression.

## Keywords

Chronic obstructive pulmonary disease, ex-smokers, computed tomography, disease progression, small airway abnormalities, longitudinal measurements

#### **Summary for Lay Audience**

Chronic obstructive pulmonary disease (COPD) is a debilitating disease that worsens over time and results in symptoms such as chronic cough, difficulty breathing, wheezing, mucus production, and exercise limitation. It is most commonly caused by long-term exposure to tobacco cigarette smoke. COPD is believed to start in the small airways and then progress to other structures in the lungs. Breathing tests performed at the mouth are the current standard for clinical diagnosis and disease management. Unfortunately, these tests only provide global measurements of lung function, cannot inform on the unevenness of how inhaled air spreads throughout the lungs, and cannot capture changes and abnormalities in the small airways. Computed tomography (CT) imaging allows the visualization and evaluation of regional abnormalities in the lungs, including emphysema and airway structure. Importantly, airways on CT may reflect small airway abnormalities and provide additional information beyond what is offered with breathing tests. Previous research studies have shown that with increasing disease severity, the total number of airways on CT decreases and airway walls become thinner in patients with COPD. However, changes in these measurements over time are not well understood. Therefore, the objective of this thesis was to evaluate CT airway measurements in ex-smokers at their first visit and then again after three-years. In these participants, measurements of lung function evaluated with breathing tests did not change or worsen over this time period. I observed that the total number of airways was decreased after three-years only in ex-smokers with COPD, while airway walls were thinner in both ex-smokers with and without COPD. To my knowledge, this is the first study to show longitudinal worsening in the total number of CT-visible airways of patients with COPD. This finding may suggest that airways become thinned, blocked, and/or destroyed over time. Together, these results provide a better understanding of how airway structure changes over time in ex-smokers and patients with COPD. Furthermore, it demonstrates the benefit of using CT imaging to help researchers and clinicians to better evaluate and manage disease progression.

#### **Co-Authorship Statement**

This thesis contains one manuscript that has been submitted for publication in a scientific journal. As first author of this manuscript, I significantly contributed to all aspects of the study as well as drafting and completing the final manuscript and its submission. I was also responsible for image processing and statistical analyses and interpretation. Grace Parraga, as the Principal Investigator and thesis Supervisor, provided continued guidance and was responsible for the conception of the study, experimental design, data interpretation, and approval of the final manuscript. She was also the guarantor of the data integrity and responsible for Good Clinical Practice. Patient study visits and acquisition of pulmonary function data were performed under the supervision of Lyndsey Reid-Jones, Rachel Eddy, and Danielle Knipping. Polarization of hyperpolarized gas was performed by Andrew Wheatley, Dante PI Capaldi, Heather Young, and Andrew Wescott. MRI acquisition was performed by Trevor Szekeres and David Reese. Below are the specific contributions for all co-authors for Chapter 2.

Chapter 2 is an original research article entitled "Reduced Total Airway Count and Airway Wall Tapering after Three-years in Ex-smokers" and was submitted to the Journal of Chronic Obstructive Pulmonary Disease on February 3, 2023. The manuscript was coauthored by Maksym Sharma, Vedanth Desaigoudar, Ian A Cunningham, David G McCormack, Mohamed Abdelrazek, Miranda Kirby, and Grace Parraga. I was responsible for image processing, statistical analyses and interpretation, as well as drafting and completing the final manuscript and its submission. Maksym Sharma and Vedanth Desaigoudar assisted with data analysis and interpretation. David G McCormack was responsible for recruitment of study participants, clinical input in the study design, and clinical interpretation of the data. Ian A Cunningham and Mohamed Abdelrazek assisted with technical and clinical interpretation of the data, respectively. Miranda Kirby assisted with participant recruitment and data acquisition and interpretation. Grace Parraga was responsible for the conception of the study, experimental design, data interpretation, and approval of the final manuscript, as well as being the guarantor of study data integrity. All co-authors had an opportunity to review and revise the manuscript and approved its final submitted version.

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# List of Abbreviations

<sup>3</sup> He	Helium-3
6MWD	Six Minute Walk Distance
BMI	Body Mass Index
COPD	Chronic Obstructive Pulmonary Disease
CT	Computed Tomography
DL <sub>CO</sub>	Diffusing Capacity of the Lung for Carbon Monoxide
$FEV_1$	Forced Expiratory Volume in 1 Second
FVC	Forced Vital Capacity
FRC	Functional Residual Capacity
GOLD	Global Initiative for Chronic Obstructive Lung Disease
HU	Hounsfield Units
LA	Lumen Area
MRI	Magnetic Resonance Imaging
RA950	Relative Area of the Lung <-950 HU
RV	Residual Volume
$SaO_2$	Oxygen Saturation
SGRQ	St. George's Respiratory Questionnaire
TAC	Total Airway Count
TINCan	Thoracic Imaging Network of Canada
TLC	Total Lung Capacity
TV	Tidal Volume
VDP	Ventilation Defect Percent
WA	Wall Area
WA%	Wall Area Percent
WT%	Wall Thickness Percent

# **CHAPTER 1**

# **1** INTRODUCTION

Chronic obstructive pulmonary disease (COPD) is a progressive disease defined by persistent airflow limitation. Spirometry serves as the gold-standard for clinical evaluation and diagnosis of patients with COPD. However, it only provides global measurements of lung function and is not sensitive to pathophysiological abnormalities, especially in the small airways. Thoracic CT serves as the clinical mainstay for COPD imaging, providing imaging phenotypes of emphysema and small airways disease. In this thesis, airway structure was quantified with CT imaging and evaluated longitudinally to develop a deeper understanding of disease progression in ex-smokers with and without COPD.

## **1.1** Motivation and Overview

Chronic obstructive pulmonary disease (COPD) is a heterogeneous disease characterized by chronic airflow obstruction,<sup>1</sup> emphysematous destruction, and airway abnormalities.<sup>2</sup> Over 300 million people are affected by COPD worldwide,<sup>3</sup> with approximately 2 million people aged 35 years and older affected in Canada.<sup>4</sup> Individuals living with COPD may experience shortness of breath, chronic cough, exercise limitation, and overall impaired participation in daily life. The primary cause and largest risk factor for COPD is tobacco smoke exposure, as approximately 25% of smokers will develop COPD in their lifetime.<sup>5</sup> Non-smoking individuals can also develop COPD based on a genetic condition such as alpha-1 anti-trypsin deficiency<sup>6</sup> or due to environmental factors<sup>7</sup> and occupational exposures.<sup>8</sup>

In healthy non-smoking individuals, age-related decline in pulmonary function is a normal physiological process, as illustrated in **Figure 1.1**.<sup>9</sup> Non-smokers without respiratory disease almost never develop clinically significant airflow obstruction and their lung function declines steadily with age. Smokers develop different degrees of airflow obstruction depending on their susceptibility to the effects of tobacco exposure. These individuals have an increased rate of pulmonary function decline, which ultimately

becomes disabling or deadly. Fortunately, smoking cessation may make a large difference in the rate of lung function decline of a susceptible smoker.<sup>9</sup>





This schematic demonstrates the trajectory of normal age-related pulmonary function decline (green), as measured with the forced expiratory volume in 1-second (FEV<sub>1</sub>), at the peak age of 25. In individuals who smoke regularly and are susceptible to its effects, smoking-related lung function decline (red) has an accelerated trajectory towards disability and death. In smokers who quit at the age of 45, this decline (blue) can be slowed to resemble a more normal trajectory. In those who quit later at age 65, this decline (yellow) can also be slowed but not to as normal of a trajectory as if one were to quit smoking earlier in life. Adapted from Fletcher and Peto (1977).<sup>9</sup>

COPD diagnosis is considered in patients with symptoms of dyspnea, chronic cough or sputum production, and a history of risk factors, particularly tobacco smoking, according to the Global Initiative for Chronic Obstructive Lung Disease (GOLD) strategy for diagnosis and management criteria.<sup>1</sup> However, spirometry is required to confirm the presence of persistent airflow limitation for COPD diagnosis. These tests are relatively simple and inexpensive; however, they only provide global measures of lung function and do not encapsulate the complex heterogeneity of the disease. Patients with COPD often experience worsening symptoms over time, which can lead to exacerbations and become life-threatening. Therefore, the evaluation and management of disease progression is critical in these patients and in those at risk of developing COPD.

In this Chapter, the pertinent background information is provided to motivate the original research presented in **Chapter 2**. An overview of the structure and function of the lungs is described (1.2), followed by the pathophysiology of COPD (1.3). Latterly, clinical tools and measures of pulmonary function are provided (1.4), as well as clinical assessments to characterize COPD (1.5). Furthermore, the current techniques and biomarkers for imaging pulmonary structure and function are detailed (1.6). Cross-sectional evaluations in COPD which have laid the ground work and served as motivation for the original work presented in this thesis are subsequently described (1.7). Finally, the specific hypotheses and objectives for this original work are introduced (1.8).

#### **1.2** Pulmonary Structure and Function

The respiratory system consists of airspaces including the airways and alveoli, as well as lung parenchyma. The primary function of the lung is to perform gas exchange, allowing oxygen from inhaled air to enter the bloodstream, and for carbon dioxide to exit. In this section, the structure and function of the respiratory system will be described.

#### 1.2.1 Airways

The airways are defined as a series of tubes that continue to branch as they extend deeper into the lung, becoming narrower, shorter, and more numerous.<sup>10</sup> Air is inhaled through the nose or mouth, and then travels down the pharynx, passes through the larynx and into the trachea. The trachea divides into the right and left main bronchi, with one leading to the left lung and the other to the right lung. The bronchi divide into the lobar bronchi, which supply the five lung lobes, including the upper, middle, and lower lobes in the right lung and the upper and lower lobes in the left lung. The lobar bronchi divide into the segmental bronchi, which consist of the 19 anatomically and functionally distinct bronchopulmonary segments, shown in the labeled airway tree in **Figure 1.2**. The airways continue to branch down to the smallest airways without alveoli, called the terminal bronchioles. All of these airways, from the trachea (generation 0) to the terminal bronchioles (generation 16), make up the conducting zone, shown in **Figure 1.2**, which acts as a conduit to lead inhaled air to the respiratory zone where gas exchange occurs. The conducting airways do not take part in gas exchange as they do not contain alveoli, and thus are known as the anatomic dead space with an approximate volume of 150 mL.<sup>10</sup>

The terminal bronchioles divide into the respiratory bronchioles, which start to contain alveoli. The respiratory bronchioles then divide into the remaining distal airways up to the alveolar ducts, and finally the alveolar sacs, which are completely lined with alveoli. All of these airways, from the respiratory bronchioles (generation 17) to the alveolar sacs (generation 23), make up the respiratory zone, shown in **Figure 1.2**. While the larger proximal airways are composed of mostly cartilage, as the airways branch distally the proportion of cartilage decreases and smooth muscle increases, such that the distal airways are composed of mostly smooth muscle.



Figure 1.2: Schematic of airway tree structure.

This schematic illustrates the airway tree (left) with segmental labels. Adapted from Tschirren et al. (2006).<sup>11</sup> The human airway tree consists of the conducting zone; generations 0 to 16, and the respiratory zone; generations 17 to 23 (right). Adapted from West's Respiratory Physiology: The Essentials 11<sup>th</sup> edition<sup>10</sup> and Sharma et al. (2022).<sup>12</sup>

#### 1.2.2 Parenchyma

The lung parenchyma is comprised of about 500 million alveoli, each with a diameter of approximately 300  $\mu$ m, and is the site involved in gas exchange.<sup>10</sup> Each alveolus is surrounded by a network of capillaries that create the blood-gas interface. Oxygen and carbon dioxide move across the blood-gas interface according to Fick's law of diffusion, which states that the amount of gas diffusing is proportional to the area of the interface, but inversely proportional to its thickness. The blood-gas interface is very thin and has a large alveolar surface area of 50 to 100 m<sup>2</sup>, therefore the lung is well suited for gas exchange, as shown in **Figure 1.3**. Inhaled air enters the lungs, travels through the large and small airways to the alveoli, diffuses across the alveolar-capillary interface into the bloodstream, and binds to red blood cells. Carbon dioxide from the bloodstream diffuses into the alveolus and is exhaled.





This figure illustrates oxygen (O<sub>2</sub>) (cyan) from the alveolus, diffusing across the capillary membrane into the bloodstream to bind with red blood cells (RBC) (red). Carbon dioxide (CO<sub>2</sub>) (green) diffuses from the bloodstream to the alveolus and is removed upon exhalation. Adapted from Sharma et al. (2022).<sup>12</sup>

# 1.3 Pathophysiology of Chronic Obstructive Pulmonary Disease

The structure, consisting of the airways and parenchyma, and the function of the lungs, consisting of gas exchange, were described above. Abnormalities in these structures will impact gas exchange within the lung, resulting in obstructive lung disease. The defining characteristic of COPD is irreversible airflow limitation,<sup>1</sup> caused by lesions that obstruct the small conducting airways,<sup>13,14</sup> produce parenchymal lung damage,<sup>15</sup> or both, as shown in **Figure 1.4**. In this section, the underlying known pathophysiologies of the respiratory system in COPD are presented.



Figure 1.4: Diagram of airway and parenchymal pathophysiology in COPD.

This diagram shows airway histology from a healthy and diseased lung (top). Adapted from Hogg (2004).<sup>16</sup> Parenchyma histology from a healthy and diseased lung (bottom) are also shown. Adapted from Woods et al. (2006).<sup>17</sup>

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#### 1.3.1 Small Airways Disease

The major site of airway obstruction and structural airway remodeling in COPD is found in the small conducting airways, which are less than 2 mm in diameter (generations 4-16).<sup>13</sup> Due to chronic inflammation, the accumulation of mucus obstructs the small airways of patients with COPD, resulting in airway wall thickening, as shown in **Figure 1.4**.<sup>16</sup> Previous work has shown that the accumulation of inflammatory exudates containing mucus in the airway lumen of surgically resected lung tissue are related to COPD severity.<sup>18</sup> The increase in tissue between the airway smooth muscle and the lumen surface increases small airway resistance to airflow.<sup>16</sup> Studies have shown connective tissue deposition in the adventitial compartment of the airway wall in advanced emphysema,<sup>19</sup> causing fixed airway obstruction through the restriction of airway caliber enlargement during lung inflation.<sup>18</sup> This inflammatory process may also destroy alveolar support to the small airways; however, direct measurements of peripheral airway resistance indicate that the loss of this support is less important to disease severity as compared to airway wall and lumen pathology.<sup>13</sup>

#### 1.3.2 Emphysema

Emphysema, also known as parenchymal lung destruction, is defined by dilatation and destruction of lung tissue beyond the terminal bronchiole.<sup>20</sup> In patients with COPD, airflow limitation is associated with emphysematous destruction, which reduces the lung's elastic recoil force.<sup>15</sup> This loss in elasticity results in hyper-inflated lungs since they are unable to completely empty. Pulmonary emphysema, shown in **Figure 1.4**, is divided into three main subtypes: centrilobular, panlobular, and paraseptal emphysema.<sup>21</sup> Centrilobular emphysema, which is most closely associated with tobacco smoking, results from dilatation and destruction of the respiratory bronchioles while preserving the alveolar ducts and sacs, and is most common in the upper lobes of the lung.<sup>16</sup> It usually dominates in advanced disease and is associated with more severe small airway obstruction.<sup>17</sup> Panlobular emphysema, which is closely associated with alpha-1 anti-trypsin deficiency, causes a more even dilation and destruction over the entire acinus and is commonly found in the lower lobes.<sup>16</sup> Paraseptal emphysema results from the destruction of the outer part of the lobule near the septa.<sup>16</sup> Previous work has shown an association of centrilobular and

panlobular emphysema with increased symptoms and reduced exercise capacity independent of airflow obstruction, whilst paraseptal emphysema showed little physiologic significance.<sup>21</sup>

## **1.4** Clinical Measures of Pulmonary Function

Pulmonary function tests provide objective measurements of global lung function and play an important role in the diagnosis and management of patients with COPD. These clinical tools are simple and cost-effective, while providing information on different aspects of the disease. In this section, pulmonary function tests relevant to the original work presented in this thesis are introduced.

#### 1.4.1 Spirometry

Spirometry measures the amount of air an individual inhales or exhales as a function of time and is the most common pulmonary function test.<sup>22</sup> The most important measurements of the test for clinical COPD diagnosis are the forced expiratory volume in one second (FEV<sub>1</sub>) and the forced vital capacity (FVC). FEV<sub>1</sub> describes the total volume of air exhaled in the first second of forced expiration, while FVC represents the total volume of air that is forcibly exhaled.<sup>22</sup> When dividing these two measurements, the FEV<sub>1</sub>/FVC ratio provides a measure of airflow obstruction. FEV<sub>1</sub> and FVC are measured in units of volume and are commonly reported as the percent of a predicted value (%<sub>pred</sub>) using reference equations based on the patient's age, height, sex, and ethnicity.<sup>23</sup> **Figure 1.6** illustrates a spirometer and a corresponding volume-time curve. Spirometry is used to diagnose patients with COPD, as well as to determine disease severity, which will be discussed in more detail in the next section.



Figure 1.5: Spirometer and typical volume-time curve.

Spirometer records volume-time curve to measure forced expiratory volume in 1-second (FEV<sub>1</sub>) and forced vital capacity (FVC).

#### 1.4.2 Plethysmography

Whole body plethysmography measures lung volumes and capacities by analyzing volume changes in the body.<sup>24</sup> **Figure 1.7** illustrates a whole-body plethysmograph and a corresponding volume-time curve displaying the measured lung volumes and capacities. Tidal volume (V<sub>T</sub>) represents the volume of air inhaled or exhaled during a standard respiratory cycle, also known as tidal breathing. Functional residual capacity (FRC) describes the volume of air present in the lungs at end-expiration during tidal breathing. Residual volume (RV) represents the volume of air remaining in the lungs after full exhalation. Vital capacity (VC) refers to the volume of air that can be inhaled from full expiration. Total lung capacity (TLC) describes the total volume of air in the lungs which is measured at full inspiration. Similar to spirometry, lung volumes and capacities can be expressed as a %<sub>pred</sub> value based on the patient's age, height, sex, and ethnicity.<sup>25</sup> By measuring the pressure and volume in the box and at the mouth, before and after inspiration, the whole body plethysmograph is able to measure lung volumes using Boyle's law, which relates pressure and volume in an isothermal environment.<sup>10</sup>



Figure 1.6: Whole-body plethysmograph and typical volume-time curve.

Whole-body plethysmograph measures lung volumes and capacities. FRC=functional residual capacity; RV=residual volume; TLC=total lung capacity; VC=vital capacity; V<sub>T</sub>=tidal volume.

Plethysmography is helpful for detecting, characterizing, and quantifying the severity of lung disease.<sup>24</sup> Air trapping is often expressed as the ratio of RV to TLC (RV/TLC).<sup>26</sup> In patients with COPD, air trapping is caused by an increase in RV due to small airway inflammation and obstruction, as well as emphysematous tissue destruction.

#### 1.4.3 Diffusing Capacity of the Lung

The diffusing capacity of the lung for carbon monoxide (DL<sub>CO</sub>) measures the ability of the lungs to exchange gas across the alveolar-capillary interface and is commonly performed using the single-breath technique.<sup>27</sup> Carbon monoxide is used to measure gas exchange within the lungs since it has a high affinity for hemoglobin and follows the same path as oxygen to bind with hemoglobin.<sup>28</sup> DL<sub>CO</sub> is dependent on many structural and functional properties in the lungs and can be used to assess the severity of obstructive and restrictive lung diseases, as well as pulmonary vascular diseases. In patients with COPD, DL<sub>CO</sub> may be decreased due to emphysematous alveolar destruction by reducing the surface area available for gas exchange.

## **1.5** Clinical Assessments to Characterize COPD

Pulmonary function tests outlined above, particularly spirometry, are used for COPD diagnosis and assessment of disease severity. Validated questionnaires have been developed to evaluate patient-reported outcomes, while exercise capacity tests provide a way to objectively assess functional capacity in patients with COPD.

#### 1.5.1 Disease Severity

COPD severity is classified according to the Global Initiative for Chronic Obstructive Lung Disease (GOLD) guidelines, using spirometry thresholds.<sup>1</sup> The diagnosis of COPD is confirmed by post-bronchodilator spirometry when the FEV<sub>1</sub>/FVC ratio is less than 0.7. Airflow limitation severity is then assessed with the post-bronchodilator value of FEV<sub>1</sub> %<sub>pred</sub>. **Table 1.1** shows the FEV<sub>1</sub> thresholds that define each level of COPD severity, from mild (GOLD I) to very severe (GOLD IV).

 Table 1.1: Diagnostic cut-offs for COPD severity classification according to GOLD criteria.

In patients with $FEV_1/FVC < 0.70$			
Grade	Severity	FEV <sub>1</sub> (%pred)	
GOLD I	Mild	$\geq 80\%$	
GOLD II	Moderate	$\geq$ 50%, < 80 %	
GOLD III	Severe	$\geq$ 30%, < 50%	
GOLD IV	Very Severe	< 30%	

Adapted from the 2022 GOLD Report.<sup>1</sup>

Although spirometry remains the clinical gold standard for COPD diagnosis and management, it does not inform on the heterogeneity of the disease and cannot differentiate the relative causes of obstruction, airway inflammation, emphysema, or small airway abnormalities.<sup>29</sup> Spirometry alone is insufficient in effectively assessing this heterogeneous disease, therefore other measurements are required for better COPD phenotyping. In the next section, thoracic imaging techniques will be discussed in order to address these limitations.

#### 1.5.2 Questionnaires

Quality of life questionnaires are important clinical tools to investigate disease burden in COPD, by evaluating a patient's perception of their respiratory disease. The St. George's Respiratory Questionnaire (SGRQ) is a standardized self-completed measure of quality of life and is most commonly used in patients with COPD.<sup>30</sup> It measures the impact of chronic airflow limitation on an individual's overall health, daily activities, and perceived wellbeing. The SGRQ consists of 76 items and is partitioned into three-sections; symptoms, activity, and impacts, such that each section is scored separately from 0 to 100, zero indicating no impairment. A summary of the responses from all sections is used to calculate the total SGRQ score. The minimum clinically important difference for the SGRQ score in patients with COPD is 4 units.<sup>31</sup>

#### 1.5.3 Exercise Capacity Tests

Exercise capacity tests provide more objective measurements of an individual's true functional capacity, which may be overestimated or underestimated when using self-reported measurements. Walk tests are often used as measures of functional exercise tolerance in patients with exercise limitations due to COPD. The six-minute walk test (6MWT) is a simple measure of sub-maximal exercise capacity, where the patient walks at their usual pace for six-minutes.<sup>32</sup> Other exercise capacity tests exist; however, the 6MWT is easier to administer, better tolerated, better reflects activities of daily living, and has been used in clinical settings.<sup>33</sup> The measured outcome of this test is the six-minute walk distance (6MWD), which strongly correlates with FEV<sub>1</sub><sup>34</sup> and is an independent predictor of mortality in COPD.<sup>35</sup> A clinically important change in 6MWD is 70 m in patients with COPD.<sup>33</sup>

## **1.6 Imaging of Pulmonary Structure and Function**

In section 1.4, pulmonary function tests were presented as simple, inexpensive methods to measure global lung function in respiratory diseases including COPD. In section 1.5, questionnaires and exercise capacity tests were presented as additional tools to evaluate disease burden and pulmonary functional capacity. Unfortunately, these measurements cannot inform on the regional heterogeneity of the disease, cannot estimate regional small

airway and parenchymal abnormalities,<sup>2,13</sup> and are weakly predictive of early disease and disease progression. Pulmonary imaging however, provides the opportunity to visualize and quantitatively analyze regional structural and functional information in the lungs. In this section, pulmonary imaging modalities relevant to the original work presented in this thesis are introduced.



# Figure 1.7: Chest x-ray in a healthy participant and in a COPD patient with emphysema.

Healthy: Case courtesy of Usman Bashir, Radiopaedia.org, rID: 18394 Emphysema: Case courtesy of Ian Bickle, Radiopaedia.org, rID: 50326

#### 1.6.1 Planar X-ray

Planar x-ray is the most frequently performed imaging modality to image the chest and lung disease, shown in **Figure 1.7**. An x-ray beam is produced at the radiation source and traverses the patient to the detector plate generating a two-dimensional image. X-ray imaging provides good image contrast for distinguishing high-attenuating structures, such as bone, from low-attenuating structures, such as lung parenchyma. Chest x-rays are simple, relatively inexpensive, and have a low radiation dose; however, they do not provide three-dimensional information and typically require the presence of quite severe lung abnormalities in order to be detected.

#### 1.6.2 Computed Tomography

Computed tomography (CT) is an imaging modality that uses x-rays to generate a threedimensional image of the body. A narrow beam of x-rays is aimed at a patient and quickly rotated around the body to create cross-sectional images, also known as slices, which are digitally stacked together to form the three-dimensional image. Each voxel of a reconstructed CT image is represented by a measurement of tissue density known as Hounsfield Units (HU) and corresponds to the attenuation of x-rays in the body referenced to attenuation of radiation in water. Air is characterized by HU of -1000, low-attenuating structures such as the lung parenchyma have HU near -800, and high-attenuating structures such as bone have HU near +1000. Thoracic CT serves as the gold-standard imaging approach for COPD by providing high-resolution structural information regarding airways disease<sup>36</sup> and terminal airspace enlargement or emphysema.<sup>37</sup> Figure 1.8 shows inspiratory CT images in the coronal plane including regions with emphysema present, as well as segmented airway tree images for comparison between ex-smokers without COPD, with mild COPD (GOLD I), moderate COPD (GOLD II), and severe COPD (GOLD III). Through the application of computational analysis, CT imaging biomarkers have been developed to quantitatively evaluate respiratory diseases.<sup>38</sup> Multiple quantitative CT analysis software platforms are commercially available, including VIDAvision from VIDA Diagnostics Inc. (Coralville, IA, USA) which has been approved for clinical use.

An important consideration for CT imaging is the associated dose from ionizing radiation. High-resolution CT images in this thesis were acquired according to a low-dose protocol with an estimated total effective dose of 1.8 mSv.<sup>39</sup> The equivalent risks of the CT examination for an adult is about half a year of natural background radiation,<sup>40</sup> smoking 23 cigarettes,<sup>41</sup> and much less than the risk of being struck by lightning in a lifetime.



**Figure 1.8: CT imaging for representative ex-smokers with and without COPD.** The reconstructed CT images (left column) and emphysema maps with RA<sub>950</sub> threshold in yellow (middle column) are shown. The three-dimensional reconstructions of the segmented airway trees (right column) are also shown.

Ex-smoker: 70 year old female,  $FEV_1 = 93\%_{pred}$ ,  $FEV_1/FVC = 85\%$ ,  $RA_{950} = 1\%$ , TAC = 306. Mild COPD (GOLD I): 75 year old male,  $FEV_1 = 92\%_{pred}$ ,  $FEV_1/FVC = 68\%$ ,  $RA_{950} = 7\%$ , TAC = 265. Moderate COPD (GOLD II): 83 year old male,  $FEV_1 = 57\%_{pred}$ ,  $FEV_1/FVC = 57\%$ ,  $RA_{950} = 20\%$ , TAC = 258. Severe COPD: 67 year old female,  $FEV_1 = 37\%_{pred}$ ,  $FEV_1/FVC = 31\%$ ,  $RA_{950} = 33\%$ , TAC = 206.

#### Airways

Semi- and fully-automated algorithms have been developed to segment the large airways and extract quantitative CT airway measurements. These techniques take advantage of the cylindrical shape of the airways and the inherent contrast between air within the airway lumen and the highly vascularized airway wall. In **Figure 1.8**, CT segmented airway trees are shown and the number of airways are visibly diminished in participants with increasing COPD severity. The total number of CT-visible airways is described by the total airway count (TAC) and was shown to be associated with the number of terminal bronchioles in excised lung specimens measured with micro-CT.<sup>42</sup> Airway wall dimension measurements can also be measured, such as airway wall area (WA), lumen area (LA), wall area percent (WA%), wall thickness (WT), and wall thickness percent (WT%). In COPD, the evaluation of the airways have provided insights into understanding structural abnormalities of the small airways. Previous cross-sectional evaluations investigating these CT airway measurements in COPD will be discussed in the next section, providing additional background and reasoning for the original work presented in this thesis.

Abnormalities in the small airways can also be inferred from air trapping measured on expiratory CT. Air trapping can be quantified using the relative area of the lung with attenuation less than -856 HU.<sup>43</sup> This is the attenuation value of a normally inflated lung, therefore lungs at end expiration should have higher attenuation than -856 HU since they contain less air. CT air trapping has been shown to highly correlate with FEV<sub>1</sub> and FEV<sub>1</sub>/FVC in cigarette smokers,<sup>44</sup> as well as progress over five years.<sup>45</sup> A challenge in evaluating expiratory CT air trapping in COPD is that it can be difficult to distinguish between air trapping due to emphysema and small airway disease using a simple threshold.<sup>43</sup> Thus, paired inspiratory and expiratory CT are commonly assessed in COPD and studies have shown that air trapping closely correlates with airway dysfunction regardless of the degree of emphysema.<sup>46</sup>

#### Parenchyma

CT is ideal for detecting and characterizing emphysema, as well as quantifying its extent. Computer-automated programs have been developed to measure computed lung density and has removed the subjectivity of reader scoring methods. Emphysematous destruction causes the normal lung to be replaced with air-containing spaces with attenuation values close to -1000 HU.<sup>43</sup> The relative area of the lung with attenuation less than -950 HU (RA<sub>950</sub>) on inspiratory CT is a validated method to quantify macroscopic emphysema.<sup>47</sup> Several thresholds exist; however, -950 HU is most commonly used. Emphysema is considered present when RA<sub>950</sub> is greater than 6.8%.<sup>37</sup> CT emphysema has been shown to correlate with FEV<sub>1</sub>,<sup>36</sup> DL<sub>co</sub>,<sup>48</sup> SGRQ scores,<sup>49</sup> and the frequency of exacerbations,<sup>50</sup> as well as to progress over five years.<sup>45</sup> In **Figure 1.8**, the RA<sub>950</sub> threshold for emphysema is shown in yellow and larger regions of emphysema are evident as disease severity increases.

#### 1.6.3 Magnetic Resonance Imaging

Magnetic resonance imaging (MRI) is a non-invasive, radiation-free imaging modality that uses magnetic fields and radiofrequency waves to generate three-dimensional anatomical images of the body. Powerful magnets create strong magnetic fields which excite and detect the change in the direction of rotational axis of protons found in the water in human tissue. Conventional proton (<sup>1</sup>H) MRI leverages the nuclear spins of these protons and forces them to align with the magnetic field, providing excellent soft tissue contrast. Hyperpolarized gas MRI employs the inhalation of noble gases, as well as specialized multi-nuclear hardware and pulse sequences to evaluate regional lung structure and function in patients with respiratory disease.

#### Conventional <sup>1</sup>H MRI

Conventional <sup>1</sup>H MRI of the lungs is challenging due to the inherent properties of the lung, including air tissue-interfaces and low tissue density, contributing to very low <sup>1</sup>H signal intensity. As a result, acquiring sufficient signal to generate adequate contrast within the lungs using conventional methods is difficult. <sup>1</sup>H MRI does provide detailed images of structures surrounding the lungs and within the chest cavity, including the mediastinum, chest wall, pleura, heart, and vessels. Therefore it is often acquired in conjunction with pulmonary functional MRI techniques, in order to provide matched anatomical information. Conventional <sup>1</sup>H images are shown in **Figure 1.9**, demonstrating low signal within the lung compared to other structures.

#### Hyperpolarized Gas MRI

Hyperpolarized helium-3 (<sup>3</sup>He) and xenon-129 (<sup>129</sup>Xe) MRI provide enhanced contrast mechanisms to measure pulmonary ventilation, microstructure, and gas exchange within the lungs. <sup>3</sup>He and <sup>129</sup>Xe are stable isotopes that can be hyperpolarized to increase magnetization, thus increasing the signal intensity within the lungs during a breath-hold maneuver.<sup>51</sup> Previously, <sup>3</sup>He ventilation image quality has been superior to <sup>129</sup>Xe due to the significantly larger polarization with hyperpolarized <sup>3</sup>He. However, the scarcity and price increase of <sup>3</sup>He gas has caused a transition to the naturally available and cheaper <sup>129</sup>Xe gas. In addition, technological advances have yielded <sup>129</sup>Xe ventilation images with comparable image quality to that of <sup>3</sup>He.<sup>52</sup> Hyperpolarized <sup>3</sup>He images are shown in **Figure 1.9.** demonstrating high signal within the lung, as compared to conventional <sup>1</sup>H MRI. Ventilation abnormalities were initially quantified using visual scoring<sup>53</sup> and manual segmentation<sup>54,55</sup>; however, semi-automated<sup>52,56-58</sup> and automated<sup>59-62</sup> methods have been developed and are now widely used. The ventilation defect percent (VDP) is the most commonly used MRI metric to quantify ventilation and is calculated as the ventilation defect volume normalized to the thoracic cavity volume.<sup>52</sup> In patients with COPD, VDP is related to spirometry and disease severity,<sup>63</sup> exercise limitation and symptoms,<sup>64</sup> and has been observed to worsen over time.<sup>65</sup> The minimal clinically important difference for <sup>3</sup>He MRI VDP is 2%.<sup>66</sup>

Hyperpolarized gases are also widely used for diffusion-weighted MRI, which has been extensively validated.<sup>67,68</sup> Due to the random Brownian motion of <sup>3</sup>He and <sup>129</sup>Xe, the gas molecules are highly excitable by a magnetic field and undergo self-diffusion, providing excellent MRI contrast. The diffusive movement of these gas molecules will be restricted by the alveolar boundaries in the lung and can be measured with the apparent diffusion coefficient (ADC). A high value for ADC is indicative of alveolar enlargement and/or destruction, which is an early sign of pulmonary emphysema<sup>69</sup> that has been validated with histology.<sup>17</sup> In patients with COPD, ADC is elevated relative to healthy controls and relates to CT emphysema,<sup>63</sup> as expected, and is also related to airflow obstruction,<sup>70,71</sup> and DL<sub>co</sub>.<sup>72</sup> ADC measurements are however limited to regions that ventilate during a single breath-

hold of gas, as shown in **Figure 1.9**. Therefore, we are unable to investigate the terminal airspace enlargement in these unventilated regions, which may be the most diseased.



Figure 1.9: Conventional <sup>1</sup>H and hyperpolarized <sup>3</sup>He MRI for representative exsmokers with and without COPD.

The conventional <sup>1</sup>H (first column), hyperpolarized <sup>3</sup>He ventilation (second column) images, and co-registered <sup>1</sup>H and <sup>3</sup>He (third column) images are shown. The ADC maps reconstructed from the hyperpolarized <sup>3</sup>He diffusion-weighted MRI (fourth row) are also shown.

Ex-smoker: 70 year old female,  $FEV_1 = 93\%_{pred}$ ,  $FEV_1/FVC = 85\%$ , VDP = 2%,  $ADC = 0.23 \text{ cm}^2/\text{s}$ . Mild COPD (GOLD I): 87 year old male,  $FEV_1 = 92\%_{pred}$ ,  $FEV_1/FVC = 68\%$ , VDP = 10%,  $ADC = 0.31 \text{ cm}^2/\text{s}$ . Moderate COPD (GOLD II): 83 year old male,  $FEV_1 = 57\%_{pred}$ ,  $FEV_1/FVC = 57\%$ , VDP = 24%,  $ADC = 0.48 \text{ cm}^2/\text{s}$ . Severe COPD: 67 year old female,  $FEV_1 = 37\%_{pred}$ ,  $FEV_1/FVC = 31\%$ , VDP = 34%,  $ADC = 0.51 \text{ cm}^2/\text{s}$ .

#### **1.7** Cross-sectional Evaluations in COPD

In previous sections, we discussed the structure and function of the lungs and the pathophysiology of COPD. We introduced clinical tools and measurements used to assess and characterize this disease. Finally, we described imaging techniques to evaluate lung structure and function, and their applications in COPD. In this section, important studies and cross-sectional evaluations in COPD, which have laid the ground work and provided motivation for the original work presented in this thesis, will be introduced.

The onset and progression of COPD are hypothesized to initiate in the small airways; however, the gold-standard of spirometry for COPD diagnosis and management cannot estimate these regional airway abnormalities.<sup>13</sup> Fortunately, thoracic CT provides a way to segment the airway tree and quantify TAC, as well as airway wall dimensions. Although these measurements are limited to the spatial resolution of the CT system, airways visible on CT may reflect histologic small airway dimensions<sup>73</sup> and relate to respiratory symptoms beyond the information offered by spirometry.<sup>74</sup> In previous cross-sectional evaluations, TAC was observed to be diminished with increasing COPD grade severity,<sup>75</sup> was associated with the number of micro-CT terminal bronchioles in excised lung specimens,<sup>42</sup> and was predictive of incident COPD in at-risk ever-smokers.<sup>76</sup> Airway wall thinning was also observed across COPD grade severity<sup>77</sup> and was spatially related to missing airways.<sup>75</sup> These cross-sectional findings provide an understanding of the differences in patients with varying COPD severities; however, it is still unclear how these CT airway measurements change over time, which may provide additional insights into mechanisms of COPD progression.

### **1.8** Thesis Objectives and Hypotheses

COPD is a debilitating, heterogeneous disease characterized by chronic airflow obstruction,<sup>1</sup> emphysematous lung destruction, and small airway abnormalities.<sup>2</sup> Patients with COPD experience symptoms such as chronic cough, difficulty breathing, wheezing, mucus production, and exercise limitation, which worsen over time. Although spirometry is used for clinical diagnosis and assessment, recent work has shown the potential for quantitative CT airway structure as a biomarker of disease severity and progression.<sup>42,75,76</sup>

To our knowledge, the longitudinal change in the total number of CT-visible airways is yet to be evaluated in COPD.

The overarching objective of this thesis is to investigate longitudinal CT airway abnormalities over three-years in ex-smokers with and without COPD. Specifically, we aim:

- To evaluate and compare CT airway measurements in ex-smokers with and without COPD at baseline and after three-years,
- 2) To evaluate relationships between CT airway measurements with MRI ventilation and pulmonary function measurements in ex-smokers, and
- To investigate imaging and clinical measurements that inform on TAC worsening using prediction models in ex-smokers.

We hypothesized that CT airway measurements would be significantly different after threeyears as compared to baseline, in all ex-smokers.

In **Chapter 3**, I provide a summary of the original work presented in **Chapter 2**, followed by the limitations and future directions to build upon the research presented in this thesis. Finally, I conclude with a discussion of the significance and impact of this work.

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## **CHAPTER 2**

# 2 REDUCED TOTAL AIRWAY COUNT AND AIRWAY WALL TAPERING AFTER THREE-YEARS IN EX-SMOKERS

To better understand disease progression and the longitudinal pathophysiological changes in COPD, we evaluated and compared CT airway measurements in ex-smokers with and without COPD over three-years.

## 2.1 Introduction

Chronic obstructive pulmonary disease (COPD) is characterized by chronic airflow obstruction,<sup>1</sup> parenchymal lung destruction, and airway abnormalities.<sup>2</sup> For decades, spirometry has provided the key clinical measurements for COPD diagnosis and management.<sup>1</sup> However, spirometry measurements made at the mouth cannot estimate regional airway or parenchymal abnormalities, especially potential abnormalities in the distal, small airways, where disease onset and progression are hypothesized to initiate.<sup>3</sup>

Thoracic CT serves as the clinical mainstay for COPD imaging and imaging phenotypes, including terminal airspace enlargement or emphysema<sup>4</sup> and airways disease<sup>5</sup> and has been utilized in numerous cohort studies including MESA,<sup>6</sup> ECLIPSE,<sup>7</sup> COPDGene,<sup>8</sup> SPIROMICS,<sup>9</sup> and CanCOLD.<sup>10</sup> Segmentation of the CT airway tree from the trachea down to approximately the 6-10<sup>th</sup> generation airways provides a way to measure total airway count (TAC) and airway wall and lumen dimensions. CT airway abnormalities may be quantified using a number of different algorithms<sup>11-14</sup> and these abnormalities have been shown to be related to respiratory symptoms<sup>15</sup> and to reflect small airway dimensions measured using histo-pathological approaches.<sup>16</sup>

Previous work demonstrated that CT TAC may be quantified in patients with COPD.<sup>17</sup> TAC was observed to be diminished across COPD grade severity<sup>17</sup> and was associated with the number of terminal bronchioles measured with micro-CT,<sup>18</sup> while predictive of incident COPD in at-risk ever-smokers.<sup>19</sup> Airway wall dimension differences were also observed across COPD grade severity<sup>20</sup> and these were spatially related to missing airways.<sup>17</sup>

While all of this important information about airway wall thickness and airway count provide a way to understand differences in patients with different COPD severities, it is still unclear how TAC and airway wall thickness change over time in individual patients or within disease severity subgroups. Longitudinal changes that may occur in the airways of ex-smokers, especially in those with normal pulmonary function, may provide insights into COPD initiation and progression. Longitudinal Thoracic Imaging Network of Canada (TINCan) <sup>3</sup>He MRI<sup>21-23</sup> and small vessel density<sup>24</sup> findings were previously described. Based on cross-sectional findings in a number of cohort studies,<sup>17-20</sup> here we hypothesized that, even in the absence of FEV<sub>1</sub> worsening, CT airway measurements would significantly worsen in ex-smokers with and without spirometry or other evidence of COPD. Hence, our primary objective was to evaluate longitudinal CT airway measurements in a relatively large group of ex-smokers in the TINCan cohort study<sup>21</sup> after three-years.

### 2.2 Materials and Methods

#### 2.2.1 Study Participants and Design

All participants provided written informed consent to an ethics-board, (Institutional Ethics Board #00000984) Health Canada approved and registered (ClinicalTrials.gov: NCT02279329) protocol. Inclusion criteria included a history of combustible tobacco cigarette smoking  $\geq 10$  pack-years and age of 50-85 years at baseline, while exclusion criteria consisted of claustrophobia and any contraindications for MRI or CT and current smokers. Ex-smokers were included who ceased smoking at least one-year prior to the study visit with no maximum cut-off for pack-years. COPD was defined as postbronchodilator spirometry according to the Global Initiative for Chronic Obstructive Lung Disease (GOLD) criteria.<sup>1</sup>

This study was prospectively planned and participants for this analysis were enrolled from January 2010 to July 2016. All participants underwent two, two-hour visits for pulmonary function tests, quality-of-life questionnaires, six-minute walk test, MRI, and CT.<sup>21</sup> Follow-up was prospectively planned for 24±6 months after the baseline visit. All evaluations were performed 20 minutes after administering Novo-Salbutamol HFA using a metered dose inhaler (four doses of 100 ug, Teva Novopharm Ltd., Toronto, Ontario, Canada) through a

spacer (AeroChamber Plus spacer, Trudell Medical International, London, Ontario, Canada).

### 2.2.2 Pulmonary Function Tests and Questionnaires

Spirometry, plethysmography, and measurement of the diffusing capacity of the lung for carbon monoxide were performed according to American Thoracic Society/European Respiratory Society guidelines<sup>25-27</sup> using a body plethysmograph (MedGraphics Elite Series, MGC Diagnostic Corporation, St. Paul, Minnesota, USA) with an attached gas analyzer. St. George's Respiratory Questionnaire was administered,<sup>28</sup> and a six-minute walk test was also performed,<sup>29</sup> under supervision of trained personnel.

### 2.2.3 Image Acquisition

Anatomic <sup>1</sup>H and hyperpolarized <sup>3</sup>He ventilation MRI were acquired on a 3T Discovery MR750 system (GE Healthcare, Milwaukee, Wisconsin, USA), as previously described.<sup>30</sup> Anatomic <sup>1</sup>H MRI was acquired using a whole-body radiofrequency coil and a fast-spoiled gradient-recalled echo (FGRE) sequence during inspiration breath-hold from functional residual capacity, as previously described.<sup>30 3</sup>He MRI was acquired using a single-channel rigid elliptical transmit-receive chest coil (RAPID Biomedical, Wuerzburg, Germany) and an FGRE sequence during inspiration breath-hold, as previously described.<sup>30</sup>

Within 30 minutes of MRI, CT was acquired on a 64-slice Lightspeed VCT scanner (GE Healthcare, Milwaukee, Wisconsin, USA) under breath-hold after inhalation of 1L of  $N_2$  from functional residual capacity, as previously described.<sup>21</sup> CT images were acquired with the following parameters: beam collimation of 64×0.625mm, 120kVp, effective mAs of 100, 500ms tube rotation time, 1.25 pitch, and image reconstruction with a standard convolution kernel to 1.25mm. Total effective dose was estimated as 1.8mSv using the ImPACT CT patient dosimetry calculator (based on Health Protection Agency [UK] NRBP-SR250).

#### 2.2.4 Image Analysis

<sup>3</sup>He MRI ventilation defect percent (VDP) was measured using semi-automated segmentation pipeline generated in MATLAB R2019a (MathWorks, Natick, Massachusetts, USA), as previously described.<sup>31</sup>

Thoracic CT images were analyzed using VIDAvision software (VIDA Diagnostics Inc., Coralville, Iowa, USA) to segment the lungs and airway tree by a single trained observer (PVW, 2 years of experience). As previously described,<sup>17</sup> all airway segments in the segmented airway tree were summed to quantify TAC. Anatomically equivalent segmental, subsegmental, and sub-subsegmental airways for all airway paths (third to fifth generation) <sup>20</sup> were used to generate airway lumen area (LA), wall area (WA), wall area percent (WA%), and wall thickness percent (WT%). Emphysema was quantified using the relative area of the segmented lung with attenuation values less than -950 Hounsfield units (RA<sub>950</sub>).

#### 2.2.5 Statistics

Statistics were generated using SPSS (ver. 28; IBM Statistics, Armonk, New York, USA). Data were tested for normality using Shapiro-Wilk tests and non-parametric tests were performed when data were not normally distributed. Independent samples t-tests and analysis of variance were used to determine significance of between-group differences. Paired samples t-tests were used to determine significance between time points. Univariate relationships were evaluated using Pearson (r) correlations for normally distributed variables and Spearman ( $\rho$ ) correlations for non-normally distributed variables. Variables with significant correlation *P* values at baseline were used to generate multivariable models, where significant variables included in the model were chosen using the backward approach, to predict TAC at three-year follow-up. The removal criterion for the backwards method included variables with a probability of F  $\geq$ 0.10. Multicollinearity among variables in the multivariable regression models was evaluated using the variance inflation factor and deemed acceptable when less than 10.<sup>32</sup> Results were considered statistically significant when the probability of making a type I error was less than 5% (P<.05).



Figure 2.1: CONSORT diagram for TINCan cohort study.

Of the 266 enrolled, 172 ex-smokers with and without chronic obstructive pulmonary disease (COPD) completed the baseline visit (Visit 1), while 90 ex-smokers completed the follow-up visit (Visit 2) and were included in the analysis.

### 2.3 Results

A CONSORT diagram for the Thoracic Imaging Network of Canada (TINCan) study provided in **Figure 2.1** shows that 266 participants were enrolled and 172 participants completed all imaging examinations at baseline. Of those participants who did not complete Visit 1, some withdrew after consent (n=43), some were enrolled in a sub-study of oscillatory positive expiratory pressure (n=33),<sup>33</sup> and some could not complete imaging

measurements (n=18) due to claustrophobia, poor coil fit, or radiation dose concerns. After  $31\pm7$  months, 90 participants returned for a complete follow-up Visit 2. Of those who were excluded from Visit 2 analysis, 64 were lost to follow-up, 14 were deceased, and four had CT data which were not evaluable because of motion artifacts.

Table 2.1: Participant demographics for ex-smokers with and without COPD, at baseline and three-year follow-up

	All Ex-smokers		With	Without COPD			With COPD		
_	n=90			n=40			n=50		
Parameter	Baseline	Follow-up	Р	Baseline	Follow-up	P	Baseline	Follow-up	P
Age [y]	70 (9)	72 (9)	ND	69 (10)	72 (10)	ND	70 (9)	73 (9)	ND
Female [n (%)]	30 (33)	30 (33)	ND	17 (43)	17 (43)	ND	13 (26)	13 (26)	ND
BMI [kg/m <sup>2</sup> ]	28 (4)	28 (5)	.6	30 (4)	30 (4)	.6	27 (4)	26 (4)	.1
SaO <sub>2</sub> [%]	95 (3)	95 (3)	.9	95 (5)	96 (2)	.9	95 (2)	94 (3)	.01
Pack-years	38 (23)	38 (23)	ND	31 (17)	31 (17)	ND	43 (26)	43 (26)	ND
FU time [m]	-	31 (7)	ND	-	31 (5)	ND	-	31 (8)	ND

Values are reported as mean (SD) unless otherwise indicated. P values represent significance values for paired samples and paired proportions t-tests. Bolded values are statistically significant.

BMI=body mass index; COPD=Chronic Obstructive Pulmonary Disease; GOLD=Global Initiative for Chronic Obstructive Lung Disease; ND=not done; SaO<sub>2</sub>=oxygen saturation.

 Table 2.2: Participant demographics for ex-smokers with COPD according to GOLD grade, at baseline and three-year follow-up

GOLD I			GOLD II			GOLD III/IV			
	n=16			n=24			n=10		
Parameter	Baseline	Follow-up	Р	Baseline	Follow-up	P	Baseline	Follow-up	Р
Age [y]	74 (8)	76 (8)	ND	68 (8)	70 (8)	ND	69 (10)	71 (10)	ND
Female [n (%)]	1 (6)	1 (6)	ND	9 (38)	9 (38)	ND	3 (30)	3 (30)	ND
BMI [kg/m <sup>2</sup> ]	28 (4)	28 (4)	.3	26 (4)	26 (3)	.7	27 (6)	26 (5)	.1
SaO <sub>2</sub> [%]	96 (1)	95 (3)	.3	95 (3)	94 (3)	.003	95 (2)	95 (3)	.9
Pack-years	37 (26)	37 (26)	ND	44 (20)	44 (20)	ND	51 (37)	51 (37)	ND
FU time [m]	-	29 (4)	ND	-	33 (9)	ND	-	29 (8)	ND
<b>T</b> T 1				1	. 1		1 1	-	

Values are reported as mean (SD) unless otherwise indicated. P values represent uncorrected significance values for paired samples t-tests. Bolded values are statistically significant.

BMI=body mass index; COPD=Chronic Obstructive Pulmonary Disease; GOLD=Global Initiative for Chronic Obstructive Lung Disease; ND=not done; SaO<sub>2</sub>=oxygen saturation.

### 2.3.1 Demographics

**Table 2.1** shows demographics for all 90 participants including ex-smokers with spirometry evidence of COPD (n=50; mean age,  $70\pm9$ years [SD]; 37 male, 13 female) and ex-smokers without COPD (n=40; mean age,  $69\pm10$ years [SD]; 23 male, 17 female). As shown in Table 1, after three-years, there was a significant but small difference in resting oxygen saturation (95%/94%; *P*=.01) in ex-smokers with COPD, but not in ex-smokers without COPD. **Table 2.2** provides participant demographics by GOLD grade severity and shows that there was a significant but small difference in resting oxygen saturation (95%/94%; *P*=.003) only in ex-smokers with GOLD II grade COPD.



Baseline

**Figure 2.2: Diagram of COPD severity progression for all ex-smokers.** Arrows showing progression in severity at follow-up for ex-smokers without COPD (n=40) and ex-smokers with COPD (n=50) within GOLD grade subgroups. Of the 40 ex-smoker participants at baseline, two transitioned to GOLD I at follow-up and one transitioned to GOLD II. Of the 24 GOLD II participants, five transitioned to GOLD III. Of the 10 GOLD III participants, two transitioned to GOLD IV.

**Figure 2.2** shows COPD grade severity progression at follow-up for all participants. Of the 40 ex-smoker participants at baseline, two transitioned to GOLD I at follow-up and one transitioned to GOLD II. The ex-smoker who transitioned to GOLD II had an abnormal  $FEV_1$  (60%) and a preserved ratio of  $FEV_1$  to forced vital capacity (FVC) (77%) at baseline, which was abnormal (63%) at follow-up. Of the 24 GOLD II participants, five transitioned to GOLD III. Of the 10 GOLD III participants, two transitioned to GOLD IV.

**Table 2.3** shows baseline measurements for ex-smokers who did not return for follow-up (n=82) compared to those who did (n=90). Those who did not return for follow-up reported significantly worse FEV<sub>1</sub> (68%/84%; *P*<.001), FVC (84%/95%; *P*=.003), FEV<sub>1</sub>/FVC (59%/65%; *P*=.02), RV (152%/127%; *P*<.001), RV/TLC (51%/44%; *P*<.001), DL<sub>CO</sub> (59%/67%; *P*=.02), 6MWD (366m/400m; *P*=.02), and SGRQ (40/29; *P*=.003) as compared to those that returned.

Baseline Ex-smokers without Follow-up **Baseline Ex-smokers with Follow-up** All ES COPD All ES COPD Parameter n=82 n=51 n=90 n=40 n=50 n=31 Р 70 (9) 69 (10) 69 (10) 70 (9) 70 (10) 70 (9) Age [y] .6 14 (45) Females [n (%)] 36 (44) 22 (43) 29 (32) 16(40)13(26)ND .03 BMI  $[kg/m^2]$ 27 (5) 28 (4) 26 (5) 28 (4) 30 (4) 27 (4)  $SaO_2[\%]$ 95 (3) 96 (2) 95 (3) 95 (3) 95 (5) 95 (2) .9 Pack-years 39 (26) 25 (14) 48 (28) 38 (23) 31 (17) 43 (26) .8 FEV<sub>1</sub> [%<sub>pred</sub>] 68 (30) 95 (19) 52 (23) 84 (27) 103 (18) 69 (23) <.001 84 (19) .003 FVC [%<sub>pred</sub>] 89 (17) 82 (20) 95 (17) 95 (17) 95 (18) FEV<sub>1</sub>/FVC [%] 59 (20) 79 (7) 46 (13) 65 (17) 81 (6) 53 (11) .02 RV\* [% pred] 152 (52) 112 (28) 177 (48) 127 (41) 106 (20) 143 (44) <.001 TLC\* [%pred] 114 (20) 100 (15) 122 (18) 109 (16) 102 (12) 114 (17) .09 RV/TLC\* [%] 51 (12) 43 (9) 55 (10) 44 (10) 40 (8) 47 (11) <.001 DL<sub>CO</sub>\* [%<sub>pred</sub>] 59 (25) 77 (25) 48 (17) 67 (21) 78 (17) 59 (21) .02 6MWD\* [m] 366 (99) 404 (89) 340 (99) 400 (83) 401 (99) 400 (72) .02 SGRO\* 40 (23) 26 (24) 48 (19) 30 (20) 22 (20) 36 (19) .003

Table 2.3: Participant demographics, pulmonary function, exercise capacity, and quality-of-life measurements at baseline for ex-smokers with and without COPD who did not return for follow-up and for those who did return for follow-up

Values are reported as mean (SD) unless otherwise indicated. One-way ANOVA was used to test for differences between participants at baseline who did not attend the follow-up visit (n=82) and those that did attend the follow-up visit (n=90).

P values represent significantly values. Bolded values are statistically significant.

 $%_{pred}$ =percent of predicted value; 6MWD=six-minute walk distance; BMI=body mass index; COPD=Chronic Obstructive Pulmonary Disease; DL<sub>CO</sub>=diffusing capacity of the lung for carbon monoxide; FEV<sub>1</sub>=forced expiratory volume in one second; FVC=forced vital capacity; GOLD=Global Initiative for Chronic Obstructive Lung Disease; ND=not done; RV=residual volume; SaO<sub>2</sub>=oxygen saturation; SGRQ=St. George's Respiratory Questionnaire; TLC=total lung capacity.

RV\*, TLC\*, RV/TLC\*: Baseline ex-smokers with follow-up n=89

 $DL_{CO}^*$ : Baseline ex-smokers without follow-up n=81, Baseline ex-smokers with follow-up n=88

6MWD\*: Baseline ex-smokers without follow-up n=72, Baseline ex-smokers with followup n=89

SGRQ\*: Baseline ex-smokers without follow-up n=79, Baseline ex-smokers with followup n=84

#### 2.3.2 Longitudinal Pulmonary Function and Imaging Measurements

Representative CT emphysema (threshold of -950 Hounsfield units is shown in yellow) and segmented airway tree images at baseline and three-year follow-up for ex-smokers with and without COPD are shown in **Figure 2.3**. In the ex-smoker without COPD, at both time points, there was no CT evidence of emphysema, nor diminished TAC. In the ex-smoker with GOLD I grade COPD, at both time points, there was small evidence of emphysema and TAC was visually diminished compared to the ex-smoker without COPD. In the ex-smoker with GOLD II grade COPD, emphysema was visually obvious in the lower lobes at baseline, whilst at follow-up, emphysema extent was visibly augmented in the left lower lobe and TAC was visually diminished. In the ex-smoker with GOLD III grade COPD, there was widespread emphysema in both upper and lower lobes which was visually obviously increased at follow-up. At baseline and follow-up, TAC was substantially diminished compared to the ex-smoker without COPD.



Figure 2.3: Baseline and three-year follow-up CT imaging for representative exsmokers with and without COPD.

P37 is a 70 year old female ex-smoker without COPD, with follow-up time = 31 months (baseline/follow-up: FEV<sub>1</sub> %<sub>pred</sub> = 93%/93%; RA<sub>950</sub> = 1%/1%; TAC = 306/297). P10 is a 75 year old male ex-smokers with GOLD I COPD, with follow-up time = 33 months (baseline/follow-up: FEV<sub>1</sub> %<sub>pred</sub> = 92%/84%; RA<sub>950</sub> = 7%/8%; TAC = 265/231). P74 is an 83 year old male ex-smoker with GOLD II COPD, with follow-up time = 28 months (baseline/follow-up: FEV<sub>1</sub> %<sub>pred</sub> = 57%/52%; RA<sub>950</sub> = 20%/23%; TAC = 258/191). P78 is a 67 year old female ex-smoker with GOLD III COPD, with follow-up time = 26 months (baseline/follow-up: FEV<sub>1</sub> %<sub>pred</sub> = 37%/33%; RA<sub>950</sub> = 33%/37%; TAC = 206/174).

Left: Coronal CT reconstruction with RA<sub>950</sub> shown in yellow. Right: Three-dimensional reconstruction of the segmented airway tree.

	All Ex-smokers		With	Without COPD			With COPD		
		n=90		n=40			n=50		
Parameter	Baseline	Follow-up	Р	Baseline	Follow-up	Р	Baseline	Follow-up	Р
Lung Function									
FEV <sub>1</sub> [% <sub>pred</sub> ]	84 (27)	84 (30)	.9	103 (18)	104 (20)	.5	69 (23)	68 (26)	.4
FVC [%pred]	95 (17)	94 (20)	.4	95 (17)	97 (18)	.2	95 (18)	92 (21)	.04
FEV <sub>1</sub> /FVC [%]	65 (17)	64 (16)	.1	81 (6)	79 (7)	.01	53 (11)	53 (12)	.6
RV* [%pred]	126 (40)	126 (40)	.9	106 (20)	103 (20)	.4	143 (44)	145 (42)	.6
TLC* [%pred]	109 (16)	104 (20)	.01	102 (12)	97 (2)	<.001	114 (17)	112 (16)	.4
RV/TLC* [%]	44 (10)	45 (12)	.4	40 (8)	42 (8)	.07	47 (11)	48 (12)	.4
DL <sub>CO</sub> * [% <sub>pred</sub> ]	68 (21)	72 (25)	.003	79 (15)	87 (17)	<.001	60 (20)	60 (24)	.4
Exercise and QoL									
6MWD* [m]	412 (76)	396 (85)	.006	420 (81)	407 (77)	.049	405 (72)	387 (91)	.049
SGRQ*	29 (20)	29 (21)	.9	22 (20)	21 (19)	.7	65 (19)	63 (21)	.7
CT									
RA <sub>950</sub> [%]	5.8 (7.6)	7.8 (8.9)	<.001	1.5 (1.4)	2.0 (1.5)	.02	9.2 (8.8)	12.5 (9.7)	<.001
TAC [n]	270 (81)	252 (78)	<.001	306 (87)	297 (82)	.2	241 (63)	217 (52)	<.001
WA [mm <sup>2</sup> ]	66.6 (1.9)	66.3 (1.7)	.02	65.8 (2.0)	65.5 (1.7)	.2	67.2 (1.5)	66.9 (1.5)	.04
LA [mm <sup>2</sup> ]	14.6 (3.6)	15.6 (3.6)	<.001	16.1 (4.0)	17.0 (3.7)	.009	13.3 (2.7)	14.5 (3.1)	<.001
WA% [%]	82.2 (4.0)	81.0 (3.9)	<.001	80.4 (4.4)	79.5 (3.9)	.01	83.5 (3.0)	82.3 (3.4)	<.001
WT% [%]	17.8 (0.8)	17.6 (0.8)	<.001	17.6 (0.8)	17.5 (0.7)	.07	18.0 (0.7)	17.7 (0.8)	<.001
MRI									
VDP* [%]	12.2 (9.4)	15.8 (11.9)	<.001	6.4 (3.6)	8.6 (4.8)	.002	16.8 (10.1)	21.3 (12.9)	<.001
Values are rej	ported as	s mean (	SD) t	inless oth	nerwise i	ndicat	ed. P va	lues repr	resent
significance va	lues for p	aired sam	ples t-	tests. Bol	ded value	s are s	tatisticall	y significa	int.
% <sub>pred</sub> =percent	of predic	ted value	; 6M	WD=six-1	ninute w	alk di	istance; C	COPD=Ch	ronic
Obstructive Pu	ulmonary	Disease;	DLC	o=diffusi	ng capac	ity o	f the lur	ng for ca	arbon
monoxide; FE	V <sub>1</sub> =force	d expirato	ory vo	olume in	1-second	; FVC	C=forced	vital cap	acity;
LA=lumen area	LA=lumen area; QoL=quality of life; RA <sub>950</sub> =relative area of the lung with attenuation less								
than -950 Hor	than -950 Hounsfield units; RV=residual volume; SGRQ=St. George's Respiratory								
Questionnaire; TAC=total airway count; TLC=total lung capacity; VDP=ventilation defect									
percent; WA=wall area; WA%=wall area percent; WT%=wall thickness percent.									

Table 2.4: Pulmonary function, questionnaire, and imaging measurements for exsmokers with and without COPD, at baseline and three-year follow-up

**Table 2.4** shows pulmonary function, exercise capacity, quality-of-life, and imaging measurements at baseline and three-year follow-up; similar information is provided by GOLD grade severity in **Table 2.5**. In ex-smokers without COPD, after three-years, there were significant differences in FEV<sub>1</sub>/FVC (81%/79%; *P*=.01), total lung capacity (102%/97%; *P*<.001), diffusing capacity of the lung (79%/87%; *P*<.001), and six-minute walk distance (420m/407m; *P*=.049). In addition, the relative area of the lung with attenuation <-950 Hounsfield units (RA<sub>950</sub>) (1.5%/2.0%; *P*=.02), airway LA ( $16.1mm^2/17.0mm^2$ ; *P*=.009), WA% (80.4%/79.5%; *P*=.01), and VDP (6.4%/8.6%; *P*=.002) were different, three-years after the baseline visit. In ex-smokers with COPD, there was a significant difference in FVC (95%/92%; *P*=.04), six-minute walk distance

(405m/387m; *P*=.049), RA<sub>950</sub> (9.2%/12.5%; *P*<.001), TAC (241/217; *P*<.001), airway WA (67.2mm<sup>2</sup>/66.9mm<sup>2</sup>; *P*=.04), LA (13.3mm<sup>2</sup>/14.5mm<sup>2</sup>; *P*<.001), WA% (83.5%/82.3%; *P*<.001), WT% (18.0%/17.7%; *P*<.001), and VDP (16.8%/21.3%; *P*<.001).

Table 2.5: Pulmonary function, questionnaire, and imaging measurements for all exsmokers and for those with COPD according to GOLD grade, at baseline and threeyear follow-up

	GOLD I			GOLD II			GOLD III/IV		
		n=16			n=24			n=10	
Parameter	Baseline	Follow-up	Р	Baseline	Follow-up	Р	Baseline	Follow-up	Р
Lung Function									
$FEV_1$ [% <sub>pred</sub> ]	97 (12)	99 (12)	.4	62 (8)	59 (13)	.08	39 (8)	37 (8)	.2
FVC [% <sub>pred</sub> ]	110 (13)	112 (14)	.6	94 (13)	89 (12)	.02	74 (10)	66 (16)	.1
FEV <sub>1</sub> /FVC [%]	64 (4)	64 (5)	.8	50 (9)	50 (10)	.6	40 (7)	42 (9)	.3
RV* [% <sub>pred</sub> ]	117 (30)	117 (20)	1.0	138 (28)	144 (32)	.2	204 (46)	178 (76)	.3
TLC* [% <sub>pred</sub> ]	110 (13)	109 (11)	.6	112 (16)	112 (18)	1.0	125 (22)	108 (42)	.2
RV/TLC* [%]	39 (8)	41 (6)	.4	47 (9)	49 (8)	.1	60 (8)	52 (26)	.3
DL <sub>CO</sub> * [% <sub>pred</sub> ]	74 (20)	78 (22)	.2	58 (20)	56 (20)	.6	43 (10)	42 (20)	.9
Exercise and QoL									
6MWD* [m]	422 (49)	409 (62)	.4	411 (73)	400 (98)	.4	362 (89)	322 (92)	.03
SGRQ*	23 (18)	21 (19)	.4	35 (15)	37 (16)	.6	53 (15)	54 (18)	.6
CT									
RA <sub>950</sub> [%]	3.7 (3.2)	6.3 (4.0)	.001	11.3 (9.7)	14.4 (10.5)	<.001	13.3 (8.7)	17.7 (9.8)	.004
TAC [n]	250 (65)	230 (55)	.002	236 (71)	211 (56)	.001	237 (42)	209 (38)	.005
WA [mm <sup>2</sup> ]	66.7 (1.4)	66.2 (1.4)	.049	67.6 (1.7)	67.3 (1.4)	.2	67.1 (1.3)	67.0 (1.6)	.9
LA [mm <sup>2</sup> ]	13.8 (2.9)	15.2 (3.2)	.01	12.9 (2.3)	14.1 (2.6)	.005	13.4 (3.2)	14.3 (4.2)	.2
WA% [%]	82.9 (3.2)	81.4 (3.5)	.01	84.0 (2.7)	82.8 (2.9)	.009	83.5 (3.5)	82.5 (4.5)	.3
WT% [%]	17.8 (0.7)	17.5 (0.8)	.07	18.2 (0.7)	17.7 (0.8)	.003	17.9 (0.7)	17.9 (0.9)	.7
MRI									
	7 = (2 - 2)	100(42)	003	175(77)	220(104)	001	20.4(9.1)	25.0(12.5)	040

<u>VDP\*[%]</u> 7.5 (3.3) 10.9 (4.2) **.002** 17.5 (7.7) 22.0 (10.4) **.001** 29.4 (8.1) 35.8 (13.5) **.049** Values are reported as mean (SD) unless otherwise indicated. *P* values represent significance values for paired samples t-tests. Bolded values are statistically significant.  $%_{pred}$ =percent of predicted value; 6MWD=six-minute walk distance; COPD=Chronic Obstructive Pulmonary Disease; DL<sub>CO</sub>=diffusing capacity of the lung for carbon monoxide; FEV<sub>1</sub>=forced expiratory volume in one second; FVC=forced vital capacity; GOLD=Global Initiative for Chronic Obstructive Lung Disease; LA=lumen area; QoL=quality of life; RA<sub>950</sub>=relative area of the lung with attenuation less than -950 Hounsfield units; RV=residual volume; SGRQ=St. George's Respiratory Questionnaire; TAC=total airway count; TLC=total lung capacity; VDP=ventilation defect percent; WA=wall area; WA%=wall area percent; WT%=wall thickness percent.

RV\*, TLC\*, RV/TLC\*: GOLD III/IV n=9 DL<sub>CO</sub>\*: GOLD II n=22, GOLD III/IV n=9 6MWD\*: GOLD I n=15, GOLD II n=22, GOLD III/IV n=9 SGRQ\*: GOLD I n=15 VDP\*: GOLD I n=14, GOLD III/IV n=9 CT airway measurements summarized in scatter plots in **Figure 2.4** show that TAC significantly decreased in ex-smokers with COPD, but not in ex-smokers without COPD and that WA% decreased in both ex-smoker subgroups. **Figure 2.5** provides similar information by COPD grade severity and shows that TAC decreased in all COPD grade severity subgroups, while WA% decreased only in GOLD I and GOLD II subgroups. **Figure 2.6** provides a schematic summarizing the changes observed after three-years for TAC, airway WA, LA, WA%, WT%, and VDP in both ex-smoker subgroups.



Figure 2.4: Scatter plots with bars showing CT airway measurements for ex-smokers with and without COPD, at baseline and three-year follow-up.

(A) Scatter plot with bars shows total TAC at baseline and follow-up for all ex-smokers (270/252; P<.001) and ex-smokers with COPD (241/217; P<.001). (B) Scatter plot with bars shows airway WA% at baseline and follow-up for all ex-smokers (82.2%/81.0%; P<.001), ex-smokers without COPD (80.4%/79.5%; P=.01), and ex-smokers with COPD (83.5%/82.3%; P<.001). Asterisks indicate significant differences (P<.05), circles indicate measurements of individual ex-smokers, squares indicate measurements of individual ex-smokers, squares indicate baseline measurements, and grey bars indicate follow-up measurements.



Figure 2.5: Scatter plots with bars showing CT airway measurements for ex-smokers with COPD within GOLD grade subgroups, at baseline and three-year follow-up. (A) Scatter plot with bars shows TAC at baseline and follow-up for ex-smokers with GOLD I COPD (250/230; P=.002), ex-smokers with GOLD II COPD (236/211; P=.001), and ex-smokers with GOLD III/IV COPD (237/209; P=.005). (B) Scatter plot with bars shows airway WA% at baseline and follow-up for ex-smokers with GOLD I COPD (82.9%/81.4%; P=.01) and ex-smokers with GOLD II COPD (84.0%/82.8%; P=.009). Asterisks indicate significant differences (P<.05), circles indicate measurements of individual ex-smokers, squares indicate measurements of individual ex-smokers with COPD, triangles indicate individual ex-smokers with COPD, white bars indicate baseline measurements, and grey bars indicate follow-up measurements.





Schematic showing decreased total airway count (TAC) in ex-smokers with chronic obstructive pulmonary disease (COPD). Schematic also shows decreased airway wall area percent (WA%) and increased lumen area (LA) and ventilation defect percent (VDP) in ex-smokers without COPD (ES), as well as decreased airway wall area (WA), WA% and wall thickness percent (WT%), and increased LA and VDP in ex-smokers with COPD.

#### 2.3.3 Relationships

**Figure 2.7** shows baseline and follow-up correlations for TAC with FEV<sub>1</sub> (baseline:  $\rho$ =.38, *P*<.001; follow-up:  $\rho$ =.48, *P*<.001) and VDP (baseline:  $\rho$ =-.30, *P*=.005; follow-up:  $\rho$ =-.33, *P*=.002).



Figure 2.7: Scatter plots showing relationships at baseline and follow-up in all exsmokers.

(A) Scatter plot shows TAC was correlated with FEV<sub>1</sub> ( $\rho$ =.38, *P*<.001) at baseline. (B) Scatter plot shows TAC was correlated with VDP ( $\rho$ =-.30, *P*=.005) at baseline. (C) Scatter plot shows TAC was correlated with FEV<sub>1</sub> ( $\rho$ =.48, *P*<.001) at follow-up. (D) Scatter plot shows TAC was correlated with VDP ( $\rho$ =-.33, *P*=.002) at follow-up.

We also generated multivariable models to predict TAC at three-year follow-up and these are shown in **Table 2.6**, while **Table 2.7** shows correlation values for potential predictor variables. The variance inflation factors were acceptable for all variables included in the multivariate models. In the best model ( $R^2$ =.632; *P*<.001), WA% ( $\beta$ =-0.625; *P*<.001) and FEV<sub>1</sub>/FVC ( $\beta$ =0.300; *P*<.001) at baseline were significant predictors of TAC at follow-up, while residual volume to total lung capacity ratio ( $\beta$ =-0.018; *P*=.8), relative area of the lung with attenuation <-950 Hounsfield units ( $\beta$ =-0.039; *P*=.7), VDP ( $\beta$ =-0.029; *P*=.8), diffusing capacity of the lung ( $\beta$ =0.010; *P*=.9), FEV<sub>1</sub> ( $\beta$ =0.045; *P*=.7), and WT% ( $\beta$ =0.195; *P*=.2) were excluded.

	Unstand	lardized	Standardized		
Parameter	В	SE	β	P	
TAC at follow-up			-		
Best Model <sup>*</sup>					
Constant	1155.783	125.374	-		
WA%	-12.106	1.400	-0.625	<.001	
FEV <sub>1</sub> /FVC	1.376	.331	0.300	<.001	
Excluded					
RV/TLC			-0.018	.820	
RA950			-0.039	.674	
VDP			-0.029	.773	
DL <sub>CO</sub> % <sub>pred</sub>			0.010	.898	
FEV <sub>1</sub> % pred			0.045	.674	
WT%			0.195	.180	

Table 2.6: Multivariable Linear Regression Models for TAC at Follow-up

P values show coefficient significance. Bolded values are statistically significant. All prediction variables represent measurements at baseline.

% pred=percent of predicted value;  $DL_{CO}$ =diffusing capacity of the lung for carbon monoxide;  $FEV_1$ =forced expiratory volume in 1-second; FVC=forced vital capacity;  $RA_{950}$ =relative area of the lung with attenuation less than -950 Hounsfield units; RV=residual volume; TAC=total airway count; TLC=total lung capacity; VDP=ventilation defect percent; WA%=wall area percent; WT%=wall thickness percent.  $*R^2 = .632$ ; P < .001

	TAC at	baseline
Predictor variables at baseline	ρ	Р
FEV <sub>1</sub> % <sub>pred</sub>	.38	<.001
FVC % pred	01	.9
FEV <sub>1</sub> /FVC	.47	<.001
RV % <sub>pred</sub>	35	<.001
TLC % pred	21	.045
RV/TLC	25	.02
DL <sub>CO</sub> % <sub>pred</sub>	.21	.046
6MWD	.09	.4
SGRQ	18	.1
VDP	30	.005
RA950	23	.03
WA	76	<.001
LA	.74	<.001
WA%	75	<.001
WT%	62	<.001

 Table 2.7: Correlations for Potential Predictor Variables in Linear Regression

 Models

*P* value represents significance values for Pearson and spearman correlations. Bolded values are statistically significant.

%pred=percent of predicted value; 6MWD=six-minute walk distance; DL<sub>CO</sub>=diffusing capacity of the lung for carbon monoxide; FEV<sub>1</sub>=forced expiratory volume in 1-second; FVC=forced vital capacity; LA=lumen area;  $\rho$ =Spearman correlation coefficient; r=Pearson correlation coefficient; RA<sub>950</sub>=relative area of the lung with attenuation less than -950 Hounsfield units; RV=residual volume; SGRQ=St. George's Respiratory Questionnaire; TAC=total airway count; TLC=total lung capacity; VDP=ventilation defect percent; WA=wall area; WA%=wall area percent; WT%=wall thickness percent.

### 2.4 Discussion

Previous quantitative CT studies revealed that total airway count (TAC)<sup>17</sup> and airway wall dimensions<sup>20</sup> were significantly different across chronic obstructive pulmonary disease (COPD) Global Initiative for Chronic Obstructive Lung Disease (GOLD) grades. To more deeply investigate these previous cross-sectional findings, we quantitatively evaluated CT airway measurements at baseline and after three-years in ex-smokers who took part in the Thoracic Imaging Network of Canada (TINCan) cohort. We observed: 1) significantly decreased TAC (241/217; P<.001) in ex-smokers with spirometry evidence of COPD, but not in those without, 2) a small but significant change in airway wall area (WA) (67.2mm<sup>2</sup>/66.9mm<sup>2</sup>; P=.04), lumen area (LA) (13.3mm<sup>2</sup>/14.5mm<sup>2</sup>; P<.001), wall area percent (WA%) (83.5%/82.3%; P<.001) and wall thickness percent (WT%) (18.0%/17.7%; P < .001) in ex-smokers with COPD, as well as in LA  $(16.1 \text{ mm}^2/17.0 \text{ mm}^2;$ P=.009) and WA% (80.4%/79.5%; P=.01) in ex-smokers without COPD, 3) a significant relationship between CT TAC and MRI ventilation defect percent (VDP) (baseline:  $\rho$ =-.30, P=.005; follow-up:  $\rho=.33$ , P=.002), and, 4) in a significant multivariable model  $(R^2=.632; P<.001)$ , baseline WA% ( $\beta=-0.625; P<.001$ ) and forced expiratory volume in 1second (FEV<sub>1</sub>) to forced vital capacity (FVC) ratio ( $\beta$ =0.300; P<.001) predicted TAC at follow-up.

Approximately three-years after a baseline visit, TAC was decreased in ex-smokers with COPD and by GOLD grade, but not in ex-smokers without COPD. We cannot ascertain the cause of this decrease in CT-visible airways, including potential technical issues, airway narrowing, occlusion, obstruction, and/or obliteration. We do know however, that CT TAC was previously shown to be associated with the number of micro-CT terminal bronchioles,<sup>18</sup> lending support to the notion that differences in TAC may reflect small airway loss or obliteration. We also know from previous work that TAC explained COPD progression,<sup>19</sup> so perhaps by measuring TAC over time, we can better understand or predict which patients will worsen more quickly over time.

Regardless of COPD diagnosis, WA% was diminished in ex-smokers, suggestive of airway wall thinning over time, and this result was consistent with previous cross-sectional findings.<sup>20</sup> It is important to note that in previous work, airways with thinner walls were

spatially related to missing airways<sup>17</sup> in COPD, whereas in asthma, missing airways were spatially related to airways with thicker walls.<sup>34</sup> It is possible that CT airway wall thinning stems from progressive airway destruction over time in COPD whereas in asthma, airway inflammation and remodelling play a larger role.

We also observed LA enlarged over time in all ex-smokers, suggestive of luminal dilation. Previous studies have shown that the airway lumen is more narrow in ever-smokers with COPD compared to healthy controls.<sup>17</sup> Here we also observed a narrower lumen in exsmokers with COPD compared to those without. We were surprised to observe airway luminal area increasing over time. Perhaps this was simply the result of survivor airway remodelling, reflecting that the mechanisms leading to luminal narrowing may change upon smoking cessation, as previously hypothesized.<sup>35</sup>

We observed a significant relationship between TAC and FEV<sub>1</sub>, consistent with previous findings.<sup>17</sup> TAC was also negatively correlated with VDP, suggesting that for ex-smokers with reduced CT-visible airways, ventilation heterogeneity is greater (worse) which intuitively makes sense. Moreover, both MRI VDP and CT wall and lumen measurements changed over three-years in the absence of changes in FEV<sub>1</sub>, which suggests that these airway changes occur before they are reflected by spirometry measurements, which are dominated by the large airways. Importantly, previous work demonstrated that TAC was associated with the longitudinal six-year decline in FEV<sub>1</sub>.<sup>17</sup> In agreement with this finding, the best performing multivariable model we generated also identified baseline WA% and FEV<sub>1</sub>/FVC as significant predictors of TAC at follow-up. In fact, these two variables together explained more than 60% of the variability of TAC in these participants over time. We were surprised that baseline MRI VDP, while correlating with TAC, did not significantly contribute to the most predictive model. Instead, thicker airway walls and/or narrower lumen at baseline were associated with lower TAC at follow-up. Moreover, exsmokers with diminished FEV<sub>1</sub>/FVC at baseline (who were more obstructed), also had diminished TAC at follow-up. Thus, for those participants in whom TAC significantly worsened after three-years, baseline CT airway and spirometry measurements were supportive baseline predictors.

We acknowledge a number of study limitations including the relatively small sample size especially compared to other COPD cohort studies.<sup>6-10</sup> In addition, the study participants were recruited as a convenience and not a random population-based sample which may have biased the results to people who were in better (or worse) health at baseline. This study was also dominated by patients with an absence of, or milder COPD. Participants who attended a baseline visit but who did not return for follow-up reported worse values for pulmonary function, exercise capacity and quality-of-life compared to those who did return. Taken together, this result suggests that this study provides a relatively conservative estimate of potential longitudinal differences.

In summary, over a relatively short time period of  $31\pm7$  months, we observed reduced CT total airway count in ex-smokers with chronic obstructive pulmonary disease (COPD), as well as airway wall thinning in all ex-smokers. These longitudinal findings in ex-smokers in whom there was no forced expiratory volume in 1-second worsening provide insights into mechanisms of COPD progression, whilst supporting previous cross-sectional evaluations.<sup>17,20</sup>

## 2.5 References

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### **CHAPTER 3**

# **3** CONCLUSIONS AND FUTURE DIRECTIONS

In the previous chapter, the objectives and hypotheses of this thesis were addressed. In this final chapter, an overview and summary of the main findings are provided. Limitations specific to this study and future directions are also presented. Finally, the chapter concludes by discussing the significance and impact of this work on the field and the broader scientific community.

## 3.1 Overview and Research Questions

Thoracic CT serves as the gold-standard imaging approach in COPD, providing regional information regarding airway<sup>1</sup> and parenchymal<sup>2</sup> abnormalities, which cannot be estimated using spirometry measurements made at the mouth. Previous studies have shown diminished number of CT-visible airways<sup>3</sup> and thinner airway walls across COPD severities.<sup>4</sup> The purpose of this thesis was to evaluate these CT airway measurements longitudinally over three-years in a relatively large group of ex-smokers with and without COPD. I postulated that CT airway measurements would significantly worsen in ex-smokers, even in the absence of FEV<sub>1</sub> worsening. Through this work, I investigated longitudinal airway loss and airway wall thinning in ex-smokers, providing additional insights into COPD initiation and progression.

## 3.2 Summary and Conclusions

CT airway measurements at baseline and after three-years were evaluated and compared in a prospective convenience sample of 90 ex-smokers, including 50 with COPD and 40 without COPD. Over a relatively short time period of  $31\pm7$  months, FEV<sub>1</sub> was not significantly different in ex-smokers with (*P*=.4) and without (*P*=.5) COPD. Thus, in the absence of FEV<sub>1</sub> worsening, I demonstrated that there were significant differences in CT TAC (*P*<.001), airway WA (*P*=.04), LA (*P*<.001), WA% (*P*<.001), and WT% (*P*<.001) over three-years in ex-smokers with COPD; while LA (*P*=.009) and WA% (*P*=.01) were significantly different in ex-smokers without COPD. I also showed that CT TAC was correlated with FEV<sub>1</sub> (baseline:  $\rho$ =.38, *P*<.001; follow-up  $\rho$ =.48, *P*<.001) and MRI VDP (baseline:  $\rho$ =-.30, *P*=.005; follow-up:  $\rho$ =-.33, *P*=.002) in all ex-smokers at both time points. Finally, in the best performing multivariable model (R<sup>2</sup>=.632; *P*<.001), baseline CT WA% and FEV<sub>1</sub>/FVC were identified as significant predictors of TAC at follow-up. Thus, I demonstrated that in participants with diminished TAC after three-years, CT airway wall and lumen dimensions and spirometry measurements were supportive baseline predictors.

Therefore, these longitudinal findings demonstrate that quantitative CT airway measurements, including TAC and airway wall and lumen dimensions, are important indicators of disease progression in COPD, while the clinical gold-standard of spirometry is not capable of capturing these airway structural changes over time.

### 3.3 Limitations

A limitation of this work is the relatively small sample size compared to other COPD cohort studies, such as COPDGene<sup>5</sup> and CanCOLD<sup>6</sup>. In addition, the study participants were recruited as a convenience and not a random population-based sample which may have biased the results to people who were in better health at baseline. As a result, this study was dominated by patients with an absence of, or milder COPD. However, participants who attended a baseline visit but who did not return for follow-up reported worse values for pulmonary function, exercise capacity, and quality-of-life compared to those who did return, suggesting that this study provides a relatively conservative estimate of potential longitudinal differences.

Another limitation of this work was the acquisition of CT images at FRC+1L instead of full inspiration, since this study was required to compromise between volume-matched MRI and CT acquisitions. On the basis of plethysmography data for the participants in this study, FRC+1L is equal to 80-90% of TLC, especially when the participant is lying supine in the scanner. Thus, such differences are within a few percent for RA<sub>950</sub> values and are unlikely to be clinically relevant.<sup>7</sup> Nevertheless, CT emphysema estimates in participants in this study are similar to those in other published COPD studies.<sup>4,8</sup> We also did not acquire expiratory CT and therefore could not evaluate measurements of CT air trapping and their relationships with TAC and airway wall and lumen dimensions.

We think that decreased TAC over time has important implications for airways disease progression in COPD. However, these quantitative CT airway measurements are limited in their generalizability since they are dependent on the resolution of the CT system, as well as the observer performing airway segmentations. Thus, future work will need to evaluate the reproducibility of TAC in patients with COPD, as well as determine the minimal clinically important difference in TAC over time.

### **3.4** Future Directions

In the future, it would be important to evaluate CT mucus plugs in these participants at both time points and investigate potential relationships between mucus score and airway measurements. In COPD, chronic inflammation triggers mucus hypersecretion, which leads to luminal plugging and obstruction of the small airways, resulting in airway wall thickening.<sup>9</sup> Mucus symptoms such as chronic cough and sputum production are only present in a subset of patients with COPD and are associated with changes in the large airways.<sup>10</sup> However, these symptoms are often absent in patients who have pathologically proven mucus plugs. Dunican et al. developed a method for quantifying mucus plugs, which involves mucus scoring on thoracic CT images.<sup>11</sup> Previous work showed that the prevalence of mucus plugging is higher in smokers with COPD and increases across COPD grade severity.<sup>12</sup> This study also showed that mucus plugs are associated with decreased pulmonary function and worse quality of life. Another study showed that mucus plugs and emphysema are independently associated with lower FEV<sub>1</sub> and oxygen saturation.<sup>13</sup> Recently, a study showed that in segments containing mucus plugs as compared to those without, there was increased airway wall thickness and reduced airway counts on CT.<sup>14</sup>

Further, it would be interesting to follow-up these participants after a longer time period, to evaluate these longitudinal CT airway measurements and compare them with the findings presented in this thesis. In previous work evaluating five-year progression in smokers with and without COPD, CT emphysema and air trapping increased over this longer time period.<sup>15</sup> At a longer follow-up time of 10-years, pulmonary function, quality of life, and exercise capacity measurements appeared to progress slowly.<sup>16</sup> Furthermore, the rate of change in smokers without COPD closely mirrored that of those with COPD at all GOLD stages.<sup>16</sup> Therefore, in our cohort study, it would be interesting to see whether

TAC continues to decrease over a longer period of time in ex-smokers with COPD, if TAC eventually worsens in the ex-smokers without COPD, and if the rate of progression of CT airway measurements slows or worsens when comparing multiple time points.

Another important future direction for this work is to investigate the role of sex differences in the airways of the participants in this study and compare them with previous work completed in the COPDGene cohort,<sup>17</sup> as this is becoming an important consideration for the diagnosis and management of COPD.<sup>18</sup> In healthy never-smokers, men had thicker airways walls on CT compared to women, whereas airway lumen dimensions were lower in women than men, after accounting for height and lung size.<sup>17</sup> Similarly, in ever-smokers, women had narrower lumens as compared to men, and men had greater airway wall dimensions than women.<sup>17</sup> It would be interesting to determine whether our data will agree or expand upon these findings and if the longitudinal changes in CT airway measurements that we observed are different in women compared to men.

## 3.5 Significance and Impact

Structural airway remodeling and emphysematous destruction are key pathophysiological characteristics of COPD. For decades, spirometry has served as the clinical mainstay for diagnosis and management.<sup>19</sup> These global measurements made at the mouth cannot inform on regional lung abnormalities or on the heterogeneity of the disease. However, thoracic CT provides a way to visualize and quantify structural abnormalities in the lungs and has been exploited in many large COPD cohort studies. This thesis advances our understanding of COPD progression and significantly contributes to the literature as a novel study evaluating CT airway measurements over a three-year time period in ex-smokers.

My work provides evidence that ex-smokers, in the absence of FEV<sub>1</sub> worsening and regardless of COPD diagnosis, exhibit airway structural changes over time suggestive of airway wall thinning. Since previous work has shown an association between thinner airway walls and missing airways,<sup>3</sup> it is possible that CT airway wall thinning stems from progressive airway destruction over time in COPD. Furthermore, only ex-smokers with COPD exhibited airway count loss. To my knowledge, this is the first study to show CT TAC worsening over time in COPD. Since TAC was previously shown to be associated

with the number of micro-CT terminal bronchioles,<sup>20</sup> it stands to reason that patients with COPD experience small airway loss or obliteration over a relatively short time period of three-years. These longitudinal CT airway findings demonstrate that spirometry is insufficient in evaluating disease progression, adding to the value of quantitative CT in evaluating ex-smokers with and without COPD.

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# Appendices

## **Appendix A: Health Science Research Ethics Board Approval Notices**



Date: 25 January 2022

To: Dr. Grace Parraga

Project ID: 6014

Study Title: Longitudinal Study of Helium-3 Magnetic Resonance Imaging of COPD

Application Type: Continuing Ethics Review (CER) Form

Review Type: Delegated

Date Approval Issued: 25/Jan/2022

REB Approval Expiry Date: 10/Feb/2023

Dear Dr. Grace Parraga,

The Western University Research Ethics Board has reviewed the application. This study, including all currently approved documents, has been re-approved until the expiry date noted above.

REB members involved in the research project do not participate in the review, discussion or decision.

Western University REB operates in compliance with, and is constituted in accordance with, the requirements of the Tri-Council Policy Statement: Ethical Conduct for Research Involving Humans (TCPS 2); the International Conference on Harmonisation Good Clinical Practice Consolidated Guideline (ICH GCP); Part C, Division 5 of the Food and Drug Regulations; Part 4 of the Natural Health Products Regulations; Part 3 of the Medical Devices Regulations and the provisions of the Ontario Personal Health Information Protection Act (PHIPA 2004) and its applicable regulations. The REB is registered with the U.S. Department of Health & Human Services under the IRB registration number IRB 0000940.

Please do not hesitate to contact us if you have any questions.

Sincerely,

The Office of Human Research Ethics

Note: This correspondence includes an electronic signature (validation and approval via an online system that is compliant with all regulations).

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# Appendix C: Curriculum Vitae

# Paulina Wyszkiewicz BSc

# **EDUCATION**

2021-	Master of Science in Medical Biophysics (Candidate) Department of Medical Biophysics Western University, London Canada
	<i>Project:</i> Longitudinal Computed Tomography Airway Measurements in Ex-smokers with and without COPD <i>Co-Supervisors:</i> Dr. Grace Parraga and Dr. Ian Cunningham
2017-2021	<ul> <li>Honours Bachelor of Science (Medical Physics)</li> <li>Department of Physics and Astronomy</li> <li>Western University, London, Ontario, Canada</li> <li><i>Project:</i> Modelling Blood Flow through a Compliant Vessel</li> <li><i>Supervisor:</i> Dr. Olga Trichtchenko</li> </ul>

# ACADEMIC POSITIONS AND EMPLOYMENT

2021-	Western University
	Graduate Research Fellowship
	Department of Medical Biophysics
2021	Robarts Research Institute
	Summer Student Research Trainee
2020-2021	<b>Western University French Immersion School Trois-Pistoles</b> French Virtual Learning Assistant
2020	Quantum Institute at the University of Sherbrooke
	Summer Research Intern
2019-2020	Western University
	Undergraduate Student Researcher
	Department of Physics and Astronomy
2019	Western University
	Laboratory and Research Assistant
	Department of Physics and Astronomy
2015-2019	London Music Conservatory
	Piano and Violin Instructor
2015-2017	Kumon Learning Centre
	Math and Reading Instructor
	-

# HONOURS, AWARDS AND RECOGNITIONS

2023	<b>CTS Research Poster Competition</b> <i>Top 30 abstracts submitted by Canadian trainees to the ATS Annual</i> <i>Meeting are selected to compete in a poster competition</i> National
2022	Western Graduate Research Scholarship, Western University Awarded to a full time graduate student for stipend support who had maintained an average of 80% or more Institutional \$5,000
2021	Western Graduate Research Scholarship, Western University Awarded to a full time graduate student for stipend support who had maintained an average of 80% or more Institutional \$5,000
2020	Laurene Paterson Estate Scholarship, Western University Awarded annually to full-time undergraduate students in any year in the Faculty of Science who have maintained a minimum 80% average Institutional \$2,000
2020	<b>Dean's Honour List, Western University</b> Institutional
2017	Frank Wierzbicki Bursary Institutional \$250
2017	Western Scholarship of Excellence, Western University Awarded to an incoming student based on outstanding academic achievement with an admission average of 90% or more Institutional \$2,000
2016	Western's Initiative for Scholarly Excellence, Western University Awarded to high achieving students to take one course tuition free at Western University concurrently with their secondary school studies Institutional
POST-GRAD	UATE EDUCATION DEVELOPMENT

2022-2023Graduate Academic Mentor<br/>Academic Mentorship Program<br/>Graduate Student: Samantha Flood MSc Candidate

2022-2023	Graduate Student Mentor	
	Undergraduate Student: Madeline Ico BSc Candidate	
	Project: "CT Pulmonary Vascular Measurements in Ex-smokers with and	
	without COPD and Healthy Elderly Volunteers"	
2022-2023	Graduate Student Mentor	
	Undergraduate Student: Vedanth Desaigoudar BSc Candidate	
	Project: "Ex-smokers with and without COPD: Investigating CT	
	Pulmonary Vascular and Airway Measurements"	

## LEADERSHIP

2021-2022	<b>World Lung Day Awareness Event, Western University</b> <i>Funded by Healthy Lungs for Life from the European Lung Foundation</i> Event Director
2020-2021	<b>Physics and Astronomy Student Association, Western University</b> General Vice-President
2019-2021	<b>Polish Student's Union, Western University</b> Vice-President of Cultural Affairs
2017-2018	Polish Student's Union, Western University

# First Year Representative

# COMMUNITY AND VOLUNTEER ACTIVITIES

- 2021-2022 St. John's Hospitality Services Volunteer
- 2019 Boys and Girls Club of London Volunteer
- 2019 ReForest London Volunteer
- 2018 Centre de Santé et des Services Sociaux des Basques Volunteer

# COMMITTEES AND PROFESSIONAL ACTIVITIES

2022-	Western Polish Graduate Student Association, Western University Member
2021-	Deep Learning Club, Western University Member

2021-2022	Medical Biophysics CAMPEP Student Club, Western University Member
2021-	Medical Biophysics Teaching Interest Group, Western University Member
2020-2021	<b>Physics Undergraduate Conference Committee, Western University</b> Member: Leader and Organizer
2020	<b>Canadian Undergraduate Physics Conference Committee</b> Member
2019-2020	<b>Physics Undergraduate Conference Committee, Western University</b> Member
2018-2021	<b>Physics and Astronomy Student Association, Western University</b> Member
2017-2021	Polish Student's Union, Western University Member

# PUBLICATIONS AND PRESENTATIONS

## A Peer-Reviewed Journal Manuscripts

# Published (1)

1. M Sharma, **PV Wyszkiewicz**, V Desaigoudar, D Capaldi, F Guo and G Parraga. Quantification of Pulmonary Functional MRI: State-of-the-Art and Emerging Image Processing Methods and Measurements. Physics in Medicine & Biology. 2022.

# Submitted (1)

1. **PV Wyszkiewicz**, M Sharma, V Desaigoudar, IA Cunningham, DG McCormack, M Abdelrazek, M Kirby and G Parraga. Reduced Total Airway Count and Airway Wall Tapering after Three-year in Ex-smokers. *Submitted to Journal of Chronic Obstructive Pulmonary Disease on February 3*, 2023.

# **B** Published Conference Abstracts

### Submitted (2)

- 1. **PV Wyszkiewicz**, M Sharma, HK Kooner, DG McCormack, M Kirby and G Parraga. Terminal Airspace Enlargement Measured Using Pulmonary Functional MRI Predicts CT Airway Loss in COPD. Annual International Society of Magnetic Resonance in Medicine Scientific Meeting 2023, Toronto, Canada. June 3-8, 2023.
- 2. M Sharma, **PV Wyszkiewicz**, HK Kooner, MJ McIntosh, DG McCormack and G Parraga. Allcause Mortality Predicted Using Pulmonary Functional MRI. Annual International Society of Magnetic Resonance in Medicine Scientific Meeting 2023, Toronto, Canada. June 3-8, 2023.

# Accepted (12)

- 1. **PV Wyszkiewicz**, M Sharma, V Desaigoudar, DG McCormack, M Kirby and G Parraga. Progressive Airway Wall Thinning and Loss of Total Airway Count after Three-Years in COPD. American Thoracic Society Annual Scientific Meeting. Washington, DC. May 19-24, 2023. *Accepted Oral Presentation*.
- 2. M Sharma, **PV Wyszkiewicz**, MJ McIntosh, HK Kooner, AM Matheson, DG McCormack and G Parraga. MRI and CT Measurements Uniquely Explain All-cause Mortality in Ex-smokers with and without COPD. American Thoracic Society Annual Scientific Meeting. Washington, DC. May 19-24, 2023. *Accepted Oral Presentation*.
- 3. HK Kooner, M Faran, MJ McIntosh, AM Matheson, **PV Wyszkiewicz**, I Dhaliwal, M Abdelrazek, JM Nicholson, and G Parraga. Sex Differences in CT Airway Measurements and their Relationship to Post-Acute COVID-19 Syndrome. American Thoracic Society Annual Scientific Meeting. Washington, DC. May 19-24, 2023. *Accepted Poster Presentation*.
- 4. **PV Wyszkiewicz**, M Sharma, V Desaigoudar, DG McCormack, M Kirby and G Parraga. Progressive Airway Wall Thinning and Loss of Total Airway Count after Three-Years in COPD. Imaging Network Ontario Annual Symposium. London, ON. March 23-24, 2023.
- M Sharma, PV Wyszkiewicz, MJ McIntosh, HK Kooner, AM Matheson, DG McCormack and G Parraga. CT and MRI Measurements Uniquely Explain All-cause Mortality in Ex-smokers. Imaging Network Ontario Annual Symposium. London, ON. March 23-24, 2023.
- 6. V Desaigoudar, **PV Wyszkiewicz**, AM Matheson, M Sharma, MJ McIntosh, HK Kooner, DG McCormack, M Kirby and G Parraga. Pulmonary Small Vessel Worsening in Ex-smokers with COPD. Imaging Network Ontario Annual Symposium. London, ON. March 23-24, 2023.
- 7. **PV Wyszkiewicz**, M Sharma, DG McCormack, IA Cunningham and G Parraga. CT Pulmonary Airways in Chronic Obstructive Pulmonary Disease: Longitudinal Worsening in the TINCan Cohort Study. Robarts Research Retreat. London ON, Canada. June 16, 2022. *Accepted Poster Presentation*.
- 8. M Sharma, MJ McIntosh, HK Kooner, AM Matheson, **PV Wyszkiewicz**, DG McCormack, and G Parraga. Texture Analysis and Machine Learning of Hyperpolarized 3He MRI Ventilation Predicts Quality-of-life Worsening in Ex-smokers with and without COPD. Robarts Research Retreat. London ON, Canada. June 16 2022. *Accepted Poster Presentation*.
- 9. HK Kooner, MJ McIntosh, AM Matheson, M Sharma, **PV Wyszkiewicz**, I Dhaliwal, M Abdelrazek, M Nicholson, and G Parraga. 129Xe MRI Ventilation Defects in People with Post-Acute COVID-19 Syndrome. Robarts Research Retreat. London ON, Canada. June 16, 2022. *Accepted Oral Presentation*.
- 10. **PV Wyszkiewicz**, M Sharma, DG McCormack, IA Cunningham and G Parraga. CT Pulmonary Airways in Chronic Obstructive Pulmonary Disease: Longitudinal Worsening in the TINCan Cohort Study. London Imaging Discovery Day. London ON, Canada. June 9, 2022. Accepted Oral Presentation.
- 11. M Sharma, MJ McIntosh, HK Kooner, AM Matheson, **PV Wyszkiewicz**, DG McCormack, and G Parraga. Texture Analysis and Machine Learning of Hyperpolarized 3He MRI Ventilation Predicts Quality-of-life Worsening in Ex-smokers with and without COPD. London Imaging Discovery Day. London ON, Canada. June 9, 2022. Accepted Oral Presentation.
- 12. HK Kooner, MJ McIntosh, AM Matheson, M Sharma, **PV Wyszkiewicz**, I Dhaliwal, M Abdelrazek, M Nicholson, and G Parraga. 129Xe MRI Ventilation Defects in People with Post-Acute COVID-19 Syndrome. London Imaging Discovery Day. London ON, Canada. June 9, 2022. Accepted Oral Presentation.

# C **Proffered Oral Presentations (5)** \*presenter

- 1. HK Kooner, MJ McIntosh, AM Matheson, M Sharma, **PV Wyszkiewicz**, I Dhaliwal, M Abdelrazek, M Nicholson, and G Parraga. 129Xe MRI Ventilation Defects in People with Post-Acute COVID-19 Syndrome. Robarts Research Retreat. London ON, Canada. June 16, 2022.
- 2. **PV Wyszkiewicz**\*, M Sharma, DG McCormack, IA Cunningham and G Parraga. CT Pulmonary Airways in Chronic Obstructive Pulmonary Disease: Longitudinal Worsening in the TINCan Cohort Study. London Imaging Discovery Day. London ON, Canada. June 9, 2022.
- 3. M Sharma, MJ McIntosh, HK Kooner, AM Matheson, **PV Wyszkiewicz**, DG McCormack, and G Parraga. Texture Analysis and Machine Learning of Hyperpolarized 3He MRI Ventilation Predicts Quality-of-life Worsening in Ex-smokers with and without COPD. London Imaging Discovery Day. London ON, Canada. June 9, 2022.
- 4. HK Kooner, MJ McIntosh, AM Matheson, M Sharma, **PV Wyszkiewicz**, I Dhaliwal, M Abdelrazek, M Nicholson, and G Parraga. 129Xe MRI Ventilation Defects in People with Post-Acute COVID-19 Syndrome. London Imaging Discovery Day. London ON, Canada. June 9, 2022.
- 5. **PV Wyszkiewicz\*** and O Trichtchenko. Modelling Blood Flow through a Vessel. Western University Physics Honours Thesis Presentations, Virtual. April 27, 2021.
- **D** Proffered Poster Presentations (4) \*presenter
- 1. **PV Wyszkiewicz**\*, M Sharma, V Desaigoudar, DG McCormack, M Kirby and G Parraga. Progressive Airway Wall Thinning and Loss of Total Airway Count after Three-Years in COPD. 10th Annual Canadian Thoracic Society Poster Competition at American Thoracic Society Annual Scientific Meeting. Washington, DC. May 20, 2023.
- 2. **PV Wyszkiewicz**\*, M Sharma, DG McCormack, IA Cunningham and G Parraga. CT Pulmonary Airways in Chronic Obstructive Pulmonary Disease: Longitudinal Worsening in the TINCan Cohort Study. Robarts Research Retreat. London ON, Canada. June 16, 2022.
- 3. M Sharma, MJ McIntosh, HK Kooner, AM Matheson, **PV Wyszkiewicz**, DG McCormack, and G Parraga. Texture Analysis and Machine Learning of Hyperpolarized 3He MRI Ventilation Predicts Quality-of-life Worsening in Ex-smokers with and without COPD. Robarts Research Retreat. London ON, Canada. June 16 2022.
- 4. **PV Wyszkiewicz**\* and L Goncharova. Multichannel Analyzer Senior Laboratory Project. Physics Undergraduate Conference, Virtual. March 11, 2021.

# **PROFESSIONAL SOCIETIES**

2021- American Thoracic Society (ATS)

Trainee Member

- 2021- Canadian Thoracic Society (CTS)
- Student Member
- 2021- European Respiratory Society (ERS)
- Student Member
- 2021- International Society for Magnetic Resonance in Medicine (ISMRM) Trainee Member