1	"In situ" Corneal and Contact Lens Thickness
2	Changes with High Resolution OCT
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33	Running head: Cornea and Contact Lens Thickness Changes with HR OCT
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37 ABSTRACT

38 **Purpose:** To show the utility of high resolution spectral domain optical coherence

39 tomography (HR SOCT) for the *in situ* evaluation of epithelial, stromal and contact lens

40 (CL) thickness changes under closed-eye conditions without lens removal.

41 Settings: Clinical and Experimental Optometry Research Lab, University of Minho,

42 Portugal.

43 Methods: Eight young healthy patients wore a thick soft CL during 90 minutes under

44 closed-eye conditions and measures of epithelial and stromal corneal thickness were

45 obtained at regular intervals using a HR SOCT (Copernicus HR, Optopol Tech. SA,

46 Poland).

47 **Results:** Minimal changes in epithelial thickness were detected with a transient

48 statistically significant increase in epithelial thickness in the fellow control eye 30

49 minutes after insertion (p=0.028). A significant and progressive increase in stromal

50 thickness up to 8% after 90 minutes of lens wear was observed at a constant rate of 2.5%

51 every 30 minutes, being statistically significant in all observations (p<0.001). Fellow

52 control eye also showed a significant increase in stromal thickness at a much lower rate

53 of 0.5% every 30 minutes. Lens thickness decreased significantly by 2% after 90 minutes

of lens wear under closed eye conditions (p<0.001). Individual analysis showed that all

55 eyes displayed stromal swelling, while only half of them showed epithelial swelling.

56 **Conclusion:** Increase in stromal thickness and a slight decrease in lens thickness were

57 observed in response to a hypoxic stimulus under closed eye conditions. High resolution

58 spectral domain HR SOCT is a powerful tool to investigate *in vivo* the physiological

59 interactions between cornea and contact lenses.

60 **INTRODUCTION**

61 Ophthalmic imaging techniques have evolved tremendously for the past ten years.

Optical tomographs¹, high-resolution ultrasound biomicroscopy (UBM)² and confocal
microscopy³ had been at the forefront of this evolution. However, accurate quantification
and three dimensional reconstruction of different corneal layers could not be easily
determined in clinical practice until the development of optical coherence tomography
(OCT) technology.

67 One of the fields taking advantage from all these advances has been the study of 68 corneal physiology in response to contact lens (CL) wear. For several years the 69 evaluation and imaging of the effects of CL on corneal structure has been accomplished 70 using clinically available instrumentation such as optical pachometers attached to the slit-71 lamp, specular microscopes and more recently confocal microscopes., Those techniques, 72 however, 1) do not provide cross-sectional imaging of the cornea with as much resolution 73 as a slit lamp to accurately differentiate between different layers, 2) were limited to 74 imaging only one layer at a time, as with specular microscopy, 3) have limited potential 75 to be implemented in regular clinical practice, as occurs with UBM, or 4) have limitations 76 in building up a three-dimensional reconstruction of the corneal structure. Only some customized instruments allowed the measurement of epithelial thickness⁴, which is of 77 major interest now in corneal reshaping through CL⁵. 78

Currently, the evaluation of the corneal thickness profile layer by layer and the evaluation of the relationship between cornea and contact lenses can be assessed *in vivo* using OCT technology ⁶⁻⁹. Some studies have also obtained reliable results of total corneal thickness without lens removal, which is more convenient for the patient and

83 reflects a more realistic evaluation of the corneal response while using a CL.¹⁰

Furthermore, this may be mandatory when therapeutic contact lenses are in place to avoid any traumatic interaction with the ocular surface during repeated insertion and removal of the lens.

87 Several reports using laboratory prototypes have shown promising results with 88 regards to the sensitivity of high resolution OCT technology for the assessment of the lens to cornea relationships.¹¹⁻¹³ The authors of the present study have recently shown 89 90 that such features might also be depicted from commercially available high resolution OCT devices¹⁴. However no study has yet explored these capabilities, or has shown 91 92 applications in the field of the evaluation of corneal response to metabolic stress in the 93 stroma and epithelial layers separately using current commercially available high 94 resolution OCT technology.

Considering the evaluation of corneal response to CL without removing the lens, and with the advent of commercially available devices based on high resolution OCT technology, the present study aims to assess the feasibility of measuring epithelial and stromal corneal thickness separately as well as CL thickness *in situ*. Furthermore, the evaluation of the potential impact of the hypoxic stimulus on the epithelium and stromal thickness induced by a thick soft CL under closed eye conditions was also carried out.

102 METHODS

103

104 Subjects

105	Eight young healthy subjects aged 21 to 25 years of age (23.34±1.43) were randomly
106	fitted on their right or left eye with a thick soft CL (described below) while the fellow eye
107	remained as a control without a CL. Lenses were worn for 90 minutes with both eyes
108	closed. Inclusion criteria required that subjects were not taking any ocular or systemic
109	medication, had not worn contact lenses prior to the study and had no ocular or systemic
110	disease. The study was approved by the Institutional Review Board (University of Minho,
111	Braga, Portugal) and followed the tenets of the Declaration of Helsinki. Informed consent
112	was obtained from all patients before all the interventions and they also gave their
113	consent to treat their clinical data anonymously for research purposes.

114

115 Instrumentation

116 The instrument used was a spectral domain high resolution optical coherence 117 tomographer (Copernicus HR SOCT, Optopol Technology SA, Zawiercie, Poland). This 118 device was conceived as a posterior segment high resolution OCT providing axial 119 resolutions of 3 micron using an 840nm wavelength, but it can be also used for anterior 120 segment viewing through a coupling device, also commercially available. For anterior 121 segment imaging the manufacturer claims an axial resolution of 2.88 microns. Unless 122 stated otherwise, a 5 mm observation field was obtained for all the images. Below in this 123 section the method devised to improve the accuracy and reliability of the measurements 124 taken is presented.

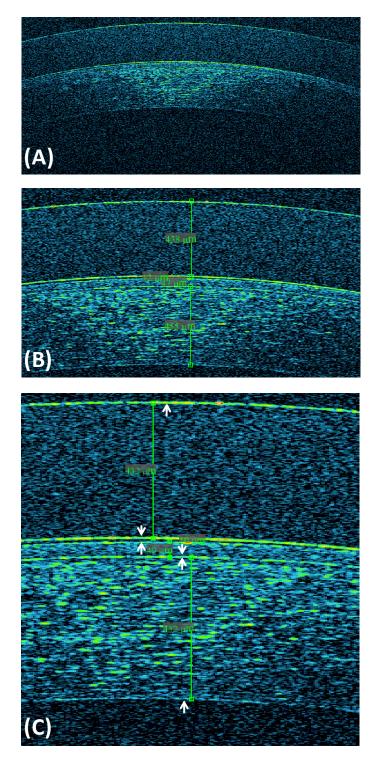


Figure 1. Image of an OCT section of the cornea highlighting the limits of the layers to
be measured (tear-epithelium, epithelium-Bowman, Bowman-stroma, stroma-aqueous) at
different magnification to highlight the limits and improve detection reliability. Arrows
hightlight reference limits for measurements.

130 Contact Lenses

131	The Soft K [®] soft CL (Soflex Isralens Ltd., Misgav, Israel) is made of a non-ionic
132	high-water content material (58%) under the generic name GM3 which is a copolymer of
133	glycerol-metacrilate (GMMA) and vinyl-pyrrolidone (VP). As described by the
134	manufacturer, this design is available in two different materials. Eni-Eye Soft $K^{\mathbb{R}}$ and
135	Eni-Eye Soft K [®] Toric made of a copolymer of N-vinyl pyrrolidone (NVP), methyl
136	methacrylate and cyclohexyl methacrylate 2-ethoxyethyl methacrylate cross-linked with
137	allyl methacrylate (33% xylofilcon A, 67% water @ 20°); and Soflex Soft $K^{\ensuremath{\mathbb{B}}}$ Toric made
138	of a copolymer of glycerol methacrylate and vinyl pirrolidone (42% acofilcon A, 58%
139	water @ 20°). Technical parameters of the lens are presented in <i>table 1</i> .
140	The lenses were fitted on a second appointment to find the best lens-to-cornea
141	relationship under slit-lamp evaluation. The aim was to obtain good centration in order to
142	warrant that all patients had the same part of the lens over the central cornea to be
143	evaluated and that the lens was not either too tight to create lens vaulting and excessive
144	corneal clearance or too flat that would compress the central cornea, these effects could
145	be significant for a lens of this thickness. Although we cannot remove the mechanical
146	interaction that could potentially affect the lens-to-cornea relationship the presence of a
147	tear film layer between the lens and the cornea as shown in <i>figure 1</i> will keep this
148	potential contribution to the minimum possible. All patients were evaluated between
149	14:00 and 17:00 to minimize diurnal variations in thickness or to avoid potential
150	variations in hydration control during the day.
151	

153 **Table 1.** Technical details of the lenses used

Brand	Soft K
Manufacturer	Soflex
Material (USAN)	Filcon2 II (copolymer of NVP and MMA)
Dk (barrer)	30
Water Content (%)	67.5
t _c (mm)	0.36 (-3.00)
Power (D)	-6.00
Overall Diameter (mm)	14.2
Base Curve Radius (mm)	7.3 / 7.6 / 7.9

154 D= Diopters

155 Barrer = 10^{-11} (cm²/sec)[ml O₂/(ml x mmHg)]

156 t_c= central thickness.

157

158

159

160 Fitting and Measuring Protocol

161 All patients were scheduled for an office visit in order to fit the lenses they would 162 wear on the day of study. By trying different base curve radii, it was ensured that neither 163 too flat nor too steep lenses were fitted to minimize mechanical effects to the corneal 164 epithelium confounding the potential outcomes of the study, i.e. the evaluation of the 165 physiological response of the cornea to CLwear. After two weeks all subjects were again 166 scheduled to wear their lenses under closed eye conditions in one eye only randomly 167 assigned. The fellow eye, without a CL, acted as a control. It was ensured that all patients 168 had woken-up at least 4 hours before attending the laboratory on that day. Additionally,

169	all measurements were done between 14:00 and 17:00 in order to minimize potential
170	diurnal variations in corneal hydration control ^{9;15;16} .Measurements were taken at baseline
171	before lens insertion and immediately after insertion of the lens on one eye (t=0').
172	Thereafter, every 30 minutes (t=30', t=60' and t=90') during period of bilateral eye
173	closure, the patient was guided to the examination room with both eyes still closed and
174	placed at the OCT chin-rest for measurements. Because the situation had not changed in
175	the control eye between baseline and after insertion (t=0') of the lens in the fellow eye, no
176	measure of corneal thickness was obtained at t=0 in the control eye, assuming the same
177	thickness values obtained in baseline measures. Once all alignments were obtained the
178	patient was asked to open the eyes and with minimal additional adjustments both eyes
179	were imaged within 20 seconds. To ensure that measurements are reliably obtained at the
180	same corneal location, the specular reflex induced when the incident beam is
181	perpendicular to the corneal apex was used as a reference; at this moment, minimal
182	changes on instrument location was done to get an image free from specular reflex noise.
183	Using this approach we have been able to measure contact lens, epithelial and stromal
184	thickness from 3 repeated images of the same eye with a standard deviation of 3.46, 1.15
185	and 2.31 μ m, respectively. These values represent approximately 0.8%, 2.56%, and
186	0.47% of the average thickness measured, respectively (unpublished data).
187	In order to improve the resolution of the measuring technique, the image was
188	magnified to its maximum using the software of the instrument as seen in <i>figure 1</i> and
189	measurements were obtained always along the central location of the visualization screen.
190	Figure 1C depicts the criteria to visually detect the limits for measuring each one of the
191	different layers. All measures were done by an experienced observer using the same

192 criteria. One challenging aspect of edge detection to measure CL and epithelial thickness 193 are the temporal changes experienced by the post-lens tear film as the posterior lens 194 surface and anterior epithelial surface might be confounded when they get closer to each 195 other as the lens settles. However, the criteria used to detect edges and graphically 196 illustrated in *figure 1* allows as to avoid the potential adverse influence of temporal 197 changes on the post-lens tear film on estimations of the epithelial and CL thickness. 198 Three images were obtained from each patient at each measuring time and averaged 199 considering that this methodology has shown good repeatability in preliminary 200 assessments carried at our lab with the instrument. A trained technician masked to the 201 time when the images where taken obtained all the measurements according to the 202 protocol mentioned above.

203

204 Statistical Analysis

Normality of data distribution for different variables either as absolute values or percentages was assessed by a Kolmogorov–Smirnov normality test. The time course of changes in stromal and epithelial thickness was plotted against time and statistically compared to baseline using Wilcoxon signed ranks test eyes wearing contact lenses and controls, separately. Comparison between controls and CL wearing eyes were performed at each examination time using Mann-Whitney test. The level of statistical significance was set at α =0.05.

- 212
- 213

215 **RESULTS**

216 *Figure 2* shows the epithelial thickness changes in the eye wearing the lens and in the 217 fellow control eye as percentage of the baseline thickness. The changes in epithelial 218 thickness are subtle but deserve mentioning. Although very small, epithelial thickness 219 changes are in the order of 2-4% when analyzed as percentages compared to baseline. 220 These changes are not statistically significant, except for the control eyes at t=30221 (p=0.018 for values in microns and p=0.028 for values as percentages). There is a trend 222 towards an increase in the fitted eye, while the control eye shows a initially higher trend 223 towards an increase for the first 30 minutes, followed by a rapid return to baseline after 224 30 minutes of lens wear.

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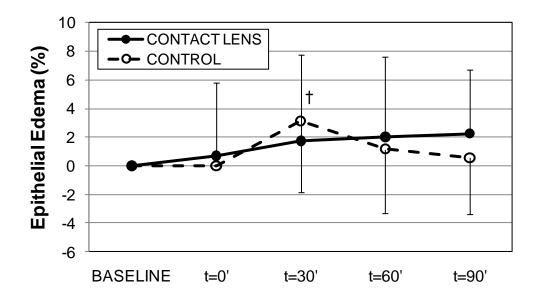
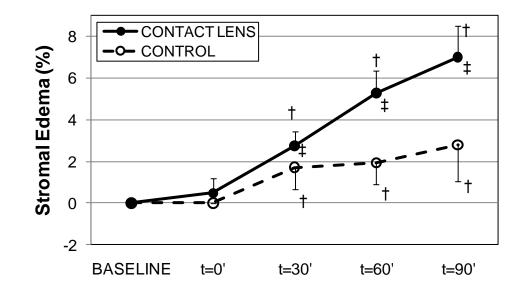


Figure 2. Changes in epithelial thickness for eyes wearing contact lenses under closed eye conditions and control eyes at baseline, immediately after lens insertion (t=0') and after 30 (t=30'), 60 (t=60') and 90 minutes (t=90'). Error bars represent standard deviation. In control eyes, t=0'measures are the same obtained at baseline.

232 while in the remaining four cases, there was a remarkable increase of thickness. In four 233 cases there was an increase of thickness, in three cases there was not any noticeable 234 change and in one case there was a slight thinning effect over time. 235 Figure 3 shows the stromal thickness changes in the eye wearing the lens and in the 236 fellow control eye as percentage of the baseline thickness. A significant increase in 237 stromal thickness is observed immediately after lens insertion in the fitted eye and a 238 continuous increase of 2.5% per each 30 minutes is observed thereafter until the 90 239 minutes (final measurement). In the control eyes there was also a significant increase in 240 stromal thickness at 30' and thereafter, although in this case the greater increase is 241 observed during the first 30 minutes (about 2%) with minor changes afterwards.

Four of the subjects either did not display changes or baseline data was slightly higher,



242

Figure 3. Changes in stromal thickness for eyes wearing contact lenses under closed eye conditions and control eyes at baseline, immediately after lens insertion (t=0') and after 30 (t=30'), 60 (t=60') and 90 minutes (t=90'). Error bars represent standard deviation. In control eyes, t=0'measures are the same obtained at baseline.

248	All fitted eyes	swelled by 2	20 to 40 microns	(average: 7.00)	±1.50%, range: 4	.4 to 8.9%). In
-				(

- the control eye, all corneas but one swelled slightly by 5 to 20 microns (average:
- 250 2.60±1.76%, range: 1.70 to 5.10%)
- 251 There was a positive and significant correlation between stromal edema in fitted and
- control eyes after 90 minutes (Spearman Rho=0.530; p=0.035), with weaker and non-
- significant correlations for shorter times of CL wear (60' and 30').
- 254 No differences were observed in stroma and epithelial thickness measures between
- baseline and immediately after lens insertion with average differences below 3 and 0.5
- 256 microns, respectively (p>0.05).
- 257 There was a small but statistically significant change in lens thickness during the period
- of lens wear.
- 259

260 **DISCUSSION**

The present study has shown the potential of HR SOCT for the *in vivo* assessment of corneal response to CL wear without lens removal as well as the response of the lens material to ocular surface environment under closed eye conditions. Previous studies have shown the potential of this technology for the evaluation of the lens-to-cornea relationships, but the present study expands this role bringing forward interesting opportunities for ocular surface research^{12;13}.

In the present study, the average 7% increase in stromal thickness for eyes wearing the lenses and 2.5% for control eyes match well the results of Fonn et al for low-Dk lenses after 8 hours of lens wear¹⁷. The fact that those values were achieved just 1.5 hours after CL insertion is due to the low transmissibility values induced by the thick design of the lens, rendering Dk/t values well below 10 barrer/cm. This Dk/t would imply equivalent oxygen percentage (EOP) values below 2% and biological oxygen apparent transmissibility (BOAT) below 10%¹⁸,

274 With regards to epithelial thickness, previous studies have shown non-significant change in epithelial thickness in response to hypoxic stimulus⁸. Long term results have 275 shown that a significant decrease in epithelial thickness¹⁹ seems to reverse after lens wear 276 cessation⁴. In the present study, epithelial thickness showed a non-significant increase in 277 278 half of the subjects enrolled. Although epithelial edema in response to hypoxic stimulus 279 might not be present in all subjects, HR SOCT could provide with a more accurate insight 280 into this response. For example, an increase in epithelial back-scatter was observed by Wang et al. analyzing OCT sectional images⁷, what could be related to changes in the 281 282 physical properties of this layer, and an increase in thickness must not be therefore

discarded given the preliminary data shown in the present report. The transient epithelial
increase observed here might reflect an epithelial edema related to physiological changes
in the tear film immediately after CL insertion. Any increase in reflex tear secretion will
induce a drop in tear osmolarity, hence favoring a potential epithelial swelling. This
response was not observed in all subjects examined, however.

288 In a separate study, Wang et al found a transient non-significant increase in 289 epithelial thickness after removal of an hypoxic stimulus covering the cornea for 3 290 hours⁶. This somewhat surprising observation certainly deserves further attention in 291 future studies designed to investigate the potential influence of mechanical interaction 292 between such thick lenses or even rigid lenses and the epithelial physiological response. 293 Meanwhile it may be argued that any increase in epithelial thickness must be considered 294 as an acute response, since in the long term epithelial thinning seems to be the common consequence to long-term hypoxia in eyes wearing low-Dk CL^{4;19}. As per the authors' 295 296 experience, researchers and clinicians should be cautious when assessing the boundaries 297 of the epithelial layer since the post-lens tear film could challenge the ability to precisely 298 determine this limit, thus becoming a potential source of error. In the present study the 299 magnification tool provided by the original software was used to exactly determine the 300 pixel on screen that limits the anterior epithelial layer. Criteria used to detect the anterior 301 epithelial limit and posterior lens limit avoid the potential confusion between these layers 302 and the post-lens tear film when the lens settles down over time, as explained in methods 303 section. Further improvements in detection software would be necessary to improve 304 objectivity in these measures and allow obtaining accurate values of thinner layers (i.e. 305 epithelium, post-lens and pre-lens tear film...) with no intervention from the observer.

306	One limitation of the present study is the limited sample size. However, given the
307	small variability of repeated measures with the present OCT technology, even under these
308	circumstances, the statistical power guaranteed the potential for detection of thickness
309	changes higher than 2.5, 1.5 and 2.4 μ m for CL, epithelium and stroma, respectively.
310	Smaller changes might not be detected with this sample but they could be also considered
311	as clinically meaningless. Another limitation is that the recovery of the corneal layers
312	from the hypoxic stress induced was not studied, however this effect has been
313	documented in detail in the literature using similar devices ^{6;20} .
314	A quite surprising result came from changes observed in the lens thickness over
315	time even when not subjected to environmental dehydration that could potentially
316	accelerate the dehydration of a medium-high water content lens ^{21; 22} and the subsequent
317	reduction of thickness. Considering that no exposure of the lens to air between
318	measurements was ensured during the acquisition process and the patient only remained
319	with both eyes open for 10 to 20 seconds before measurement, another possible
320	explanation for the thinning of the CL could be related to the different physiological
321	conditions of the ocular surface compared to the packaging solution of the lens (0.9%)
322	sodium chloride solution). The average value of the CL thickness measured is slightly
323	higher than that reported for a -3.00 Dby the manufacturer. However, the result obtained
324	in this study with the HROCT (429.88±3.27 microns) is very close to the value obtained
325	with an automatic Redher Thickness Gauge (Redher Developments, CA), specifically
326	designed to measure soft contact lenses in the hydrated state, obtained in our lab for the -
327	6.00 D lenses (438±1.3 microns) used in the current experiment. Similar values were also

obtained for the same CL measured with the same instrument reported in a previous study
 published recently¹⁴.

330 When analyzing the response of the cornea and lenses across the sample, a quite 331 consistent thinning effect of the lens was observed while the stromal thickening was quite 332 variable among subjects, ranging from 20 to 40 microns in fitted eye and 5 to 10 microns 333 in the control eye. This could be also anticipated considering the inter-individual variability of the edema response²³. It is relevant to highlight that all corneas wearing the 334 335 lenses and those that served as controls showed stromal edema. Closer agreement 336 between the edema response after 90 minutes between lens-wearing eye and control eye agrees with the results reported by Fonn et al¹⁷ evaluating the edematous response to high 337 338 and low-Dk soft contact lenses. They showed a correlation between the edema response 339 of the fitted eye and the control eye that they attributed to a sympathetic swelling effect. 340 Although part of the edema response observed in our study for the control eye could be 341 due to physiological swelling in closed eye conditions, the correlation found after 90 342 minutes between the edema responses of both eyes could also imply some sympathetic 343 swelling effect. A closer inspection to the standard deviation values for the stromal 344 response expressed as percentage shows an increase over time, which could be 345 interpreted as a more uniform response to hypoxia in the short term while the longer 346 response is more variable among individuals. The opposite is observed for epithelial 347 changes showing a trend towards a decrease in the standard deviation values with time, 348 which could be interpreted as a larger inter-individual dependency immediately after lens 349 insertion with a trend towards uniformity over time.

Changes in the lens material (thickness and, likely, shape) should be further investigated under open eye conditions since it could help to understand the sometimes dramatic shifts in lens-to-cornea relationships while settling on the ocular surface. In clinical practice a period of 10 to 30 minutes is recommended before a final conclusion can be reached with regards to the CL fitting, but time required to achieve this equilibrium could be longer for thicker materials, however.

356 Since OCT is an optical-based method, changes in the refractive index of the 357 media could adversely affect thickness measurements. For example, measurements taken 358 while the lens is placed on the eye could adversely affect subsequent measurements of the 359 corneal layers. In order to test this hypothesis, stromal and epithelial thickness were 360 measured before (baseline) and immediately after lens insertion (t=0). Considering that 361 no significant changes were detected, it can be concluded that the method is as accurate 362 for measuring corneal layer thicknesses with lens in situ as it is without the lens, since the 363 observer is capable of detecting the boundaries of the different corneal layers and lens 364 surfaces,. This was expected since the refractive index of the hydrophilic polymer of the 365 lens is close to that assumed for the cornea, and important since several conditions such 366 as therapeutic use of contact lenses would benefit from assessing the corneal response 367 without lens removal.

However, when carrying out other experiments involving other types of lenses (i.e. PMMA materials or low-Dk RGP materials with refractive indices well above 1.4) this potential source of error should be considered. In a preliminary study conducted by the authors using the same instrument, the estimated thickness of a mini-scleral RGP lens made of medium-Dk material changed as much as 15 microns when compared with the

value reported by the manufacturer, while the differences were negligible for soft and
high-Dk RGP contact lenses. However, a statistical analysis to test the hypothesis of a
potential influence of the refractive index on the OCT measurements could not be carried
out in that study ¹⁴. Furthermore, OCT might be sensitive to changes in corneal refractive
index due to changes in corneal hydration after inducing edema⁶. However, potential
errors induced in edema estimation must be below 3%²⁴, with some authors suggesting
this could be as low as 0.02%²⁵.

In summary current OCT technology opens new and fascinating possibilities for evaluating tissue response as well as the behavior of materials used to compensate visual defects or as therapeutic tools. The methodology used in the present study can bring new insights into the corneal structure in several diseases (i.e. keratoconus and other ecstatic conditions,...), corneal changes in response to mechanical and physiological interactions (i.e. hypoxia, corneal reshaping, refractive surgery,...), measure corneal status under a therapeutic contact lens, among other.

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392	The authors have no	proprietary	v interest in	any of th	e instruments	or materials	mentioned
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406 SCP-M, DM-C).

407

The study was approved by the Institutional Review Board and followed the tenets of the Declaration of Helsinki. Informed consent was obtained from all patients before all the interventions and they also gave their consent to treat their clinical data anonymously for research purposes.

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