

1 The genetic structure of fermentative vineyard-associated *Saccharomyces cerevisiae*  
2 populations revealed by microsatellite analysis

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19 Abstract

20 From the analysis of six polymorphic microsatellite loci performed in 361  
21 *Saccharomyces cerevisiae* isolates 93 alleles were identified, being 52 of them  
22 described for the first time. All these isolates have a distinct mtDNA RFLP pattern.  
23 They are derived from a pool of 1620 isolates obtained from spontaneous fermentations  
24 of grapes collected in three vineyards of the Vinho Verde Region in Portugal, during the  
25 2001 – 2003 harvest seasons. For all loci analyzed, observed heterozygosity was three  
26 to four times lower than the expected value supposing a Hardy-Weinberg equilibrium  
27 (random mating and no evolutionary mechanisms acting), indicating a clonal structure  
28 and strong populational substructuring. Genetic differences among *S. cerevisiae*  
29 populations were apparent mainly from gradations in allele frequencies rather than from  
30 distinctive “diagnostic” genotypes, and the accumulation of small allele-frequency  
31 differences across six loci allowed the identification of population structures. Genetic  
32 differentiation in the same vineyard in consecutive years was of the same order of  
33 magnitude as the differences verified among the different vineyards. Correlation of  
34 genetic differentiation with the distance between sampling points within a vineyard  
35 suggested a pattern of isolation-by-distance, where genetic divergence in a vineyard  
36 increased with size. The continuous use of commercial yeasts has a limited influence on  
37 the autochthonous fermentative yeast population collected from grapes and may just  
38 slightly change populational structures of strains isolated from sites very close to the  
39 winery where they have been used. The present work is the first large-scale approach  
40 using microsatellite typing allowing a very fine resolution of indigenous *S. cerevisiae*  
41 populations isolated from vineyards.

## 42 **Introduction**

43           The initial stages of traditional spontaneous wine fermentations are carried out  
44 by yeast species that are present on the grape's surface such as the apiculate yeasts  
45 *Hanseniaspora uvarum* (= *Kloeckera apiculata*) and other yeasts belonging to the  
46 genera *Metschnikowia*, *Candida* or *Pichia*, together with moulds, lactic and acetic acid  
47 bacteria (Fleet and Heard, 1993). Contrarily, *Saccharomyces cerevisiae*, the  
48 predominant yeast species used in the production of wine, universally known as “wine  
49 yeast”, occurs in extremely low number on healthy undamaged berries or in soils  
50 (Frezier and Dubourdieu, 1992; Martini et al., 1996; Parish and Carroll, 1985), while  
51 damaged grapes are believed to be an important source of this species (Mortimer and  
52 Polsinelli, 1999). The grape's yeast flora depends on a variety of factors such as  
53 climatic conditions including temperature and rainfalls, geographic localization of the  
54 vineyard (Longo et al., 1991; Parish and Carroll, 1985), antifungal applications (Monteil  
55 et al., 1986), grape variety, the vineyard's age (Martini et al., 1980; Pretorius et al.,  
56 1999; Rosini, 1982), as well as the soil type (Farris et al., 1990).

57           Under the selective conditions of grape must fermentation and with increasing  
58 concentrations of ethanol, yeast species of the early fermentative stages are rapidly  
59 outgrown by *S. cerevisiae* and related species, which dominate the later stages of the  
60 process. The prevalence of *S. cerevisiae* strains is well documented among the wineries  
61 resident flora (Beltran et al., 2002; Constanti et al., 1997; Longo et al., 1991; Sabate et  
62 al., 2002; Vaughan-Martini and Martini, 1995).

63           Autochthonous *S. cerevisiae* strains isolated from natural environments  
64 associated with the wine production areas of interest, obtained from clonal selection, are  
65 nowadays commercialized as active dry yeast. Such strains are capable to efficiently

66 ferment grape musts and produce desirable metabolites (e.g. glycerol, organic acids and  
67 higher alcohols), associated with reduced off-flavors development (mainly H<sub>2</sub>S, acetic  
68 acid or phenolic compounds). Globally, they enhance the wine's sensorial  
69 characteristics and confer typical attributes to specific wine styles (Briones et al., 1995;  
70 Regodon et al., 1997). About 200 *S. cerevisiae* wine strains are currently available and  
71 their specific application is recommended according to the wine style and/or grape  
72 variety. Commercially available yeast starters are nowadays widely used in winemaking  
73 without any special containment and are annually released in large quantities, together  
74 with liquid and solid wine-making residues, in the environment around the winery.  
75 From an ecological point of view, these yeasts can be regarded as non-indigenous  
76 strains that are every year introduced in large quantities in the ecosystem surrounding a  
77 winery. In a recent study that was carried out in 6 vineyards of the Vinho Verde  
78 (Portugal) and the Languedoc (France) wine regions, it was shown that the  
79 dissemination of commercial yeast strains is limited to a very close proximity of the  
80 winery (10-200m) where they have been used. They were mostly found in samples  
81 collected after the onset of wine production, indicating immediate dissemination and  
82 their presence in the vineyard was restricted to short distances and limited periods of  
83 times showing natural fluctuations of periodical appearance/disappearance like  
84 autochthonous strains. Their permanent implantation in the vineyard did not seem to  
85 occur (Valero et al., 2005).

86         The genetic diversity of autochthonous *S. cerevisiae* strains from wine-  
87 producing regions has been analyzed by molecular methods such as karyotyping by  
88 pulse field gel electrophoresis (Blondin and Vezinhet, 1988), mitochondrial DNA  
89 restriction analysis (mtDNA RFLP) (Querol et al., 1992) and fingerprinting based on

90 repetitive delta sequences (Legras and Karst, 2003; Ness et al., 1993). The most recent  
91 molecular technique that is able to resolve this diversity is based on repetitive  
92 microsatellite sequences, which are tandem motifs from 1 to 6 bases. Recently, an  
93 increasing number of microsatellites have been described for *S. cerevisiae*, with the aim  
94 to find most polymorphic loci with a high allelic diversity that can be applied for both  
95 strain delimitation and the description of relationships between strains that are related  
96 due to their common geographical or technological origin (Bradbury et al., 2005;  
97 Gallego et al., 1998; Hennequin et al., 2001; Legras et al., 2005; Pérez et al., 2001). It  
98 has been previously shown that the discriminatory power of six microsatellite loci  
99 (Pérez et al., 2001) is identical both to the mtDNA RFLP (using enzyme *HinfI*) and the  
100 optimized interdelta sequence method (Schuller et al., 2004).

101         Aiming at gaining insight in the genetic variability and populational structure of  
102 fermentative vineyard-associated *S. cerevisiae* populations, in the present work the  
103 analysis of six polymorphic microsatellite loci was performed in 361 *Saccharomyces*  
104 *cerevisiae* isolates, previously screened by mtDNA RFLP from a pool of 1620 isolates.  
105 All isolates were obtained from spontaneous fermentations of grapes collected in three  
106 vineyards of the Vinho Verde Region in Portugal, during the 2001 – 2003 harvest  
107 seasons. We also evaluated the effect of commercial yeast strains on the yeast  
108 populations found in vines surrounding the wineries where such strains are continuously  
109 used.

110

111 **Materials and methods**

112

113 **Sampling**

114 The sampling plan included a total of 18 sites in three vineyards surrounding a winery,  
115 located in northwest Portugal (Região Demarcada dos Vinhos Verdes), as shown in  
116 Figure 1. In each vineyard, six sampling points were defined, located at ten to 400 m  
117 from each other, according to the vineyard geography. In three consecutive years (2001-  
118 2003), duplicate grape samples were collected, a few days before and after harvest,  
119 respectively, whereas the grapes were not always collected from the same rootstock, but  
120 from the same area ( $\pm 1-2$  m). The grapevine varieties sampled were Loureiro (vineyard  
121 A), Alvarinho (vineyard P) and Avesso (vineyard C), being all white grapes cultivated  
122 in the Vinho Verde Region.

123

124 **Fermentation and strain isolation**

125 From each sampling point, approximately 2 kg of grapes were aseptically collected and  
126 the extracted grape juice was fermented at 20°C in small volumes (500 ml), with  
127 mechanical agitation (20 rpm). Fermentation progress was monitored by daily weight  
128 determinations. When must weight was reduced by 70 g/l, corresponding to the  
129 consumption of about 2/3 of the sugar content, diluted samples ( $10^{-4}$  and  $10^{-5}$ ) were  
130 spread on YPD plates (yeast extract, 1% w/v, peptone, 1% w/v, glucose 2% w/v, agar  
131 2%, w/v), and 30 randomly chosen colonies were collected after incubation (2 days,  
132 28°C). The isolates obtained throughout this work were stored in glycerol (30%, v/v) at  
133 -80°C.

134

135 DNA isolation

136 Yeast cells were cultivated in 1 ml YPD medium (36 h, 28°C, 160 rpm). DNA isolation  
137 was performed as described (Lopez et al., 2001) with a modified cell lysis procedure,  
138 using 25 U of Zymolase (SIGMA). Cell lysis was dependent on the strain and lasted  
139 between 20 minutes and 1 hour (37°C). DNA was used for mitochondrial RFLP and  
140 microsatellite analysis.

141

142 Mitochondrial DNA restriction patterns

143 Mitochondrial DNA restriction of all strains was carried out as a first screening  
144 approach, to reduce the number of isolates to be analysed by microsatellite typing.  
145 Digestion reactions were carried out overnight at 37°C and contained 15 µl of the  
146 previously isolated DNA, and were prepared as previously described (Schuller et al.,  
147 2004), in a final volume of 20 µl. To each isolate a pattern designation was attributed  
148 (A1-A92, C1-C70 and P1-P135 for isolates from vineyard A, C and P respectively).  
149 When isolates from different samples showed identical patterns, one representative  
150 strain from each sample was randomly withdrawn, resulting in a total of 361 isolates  
151 that were further studied by microsatellite analysis.

152

153 Microsatellite amplification

154 The six trinucleotide microsatellite loci described as ScAAT1, ScAAT2, ScAAT3,  
155 ScAAT4, ScAAT5 and ScAAT6 (Pérez et al., 2001) were amplified and analyzed as  
156 previously described (Schuller et al., 2004).

157

158 Computer assisted analysis

159 Based on the the genome sequence for strain S288C (SGD database, [http://genome-  
161 www.stanford.edu.saccharomyces](http://genome-<br/>160 www.stanford.edu.saccharomyces)), and the results obtained for the size of  
162 microsatellite amplicons of this strain, the number of repeats for alleles from each locus  
163 was calculated. Genetic analysis was performed using the software Arlequin 2000  
164 (Schneider et al., 1997) and included (i) estimation of allelic frequencies (ii) observed  
165 heterozygosity compared to expected values, (iii) estimation of Wright's  $F_{ST}$  value  
166 (Wright, 1978) and (iv) genetic variation attributable to different hierarchical levels of  
167 defined genetic structures (AMOVA analysis). Wright's  $F_{ST}$  value was calculated to  
168 determine population differentiation among vineyards, among sampling years and also  
169 among sampling locations within a vineyard.

169 An allelic frequencies matrix was obtained based on Euclidean distance and clustered  
170 by the unweighted pair group method arithmetic mean (UPGMA) using the program  
171 NTSYSpc 2.0 (Applied Biostatistics Inc.) to examine whether genetic divergence was  
172 correlated with sampling sites. This software was also used for dendrogram drawing and  
173 to calculate a cophenetic correlation coefficient (r).

174

## 175 **Results**

176 Obtention of *S. cerevisiae* strains

177 As shown in Figure 1, six sampling sites in each of three vineyards, located in the  
178 Vinho Verde Wine Region, were sampled during the 2001-2003 harvest seasons. Two  
179 sampling campaigns were performed, one before and another after the harvest, in a time  
180 frame of about two weeks as an attempt to obtain an elevated number of different  
181 strains. A total of 108 grape samples have been planned (six sampling points x two  
182 sampling campaigns x three vineyards x three years), from which 54 started a



183 spontaneous fermentation, 36 were not able to start fermentation after 30 days of  
184 incubation, whereas 18 samples were not collected due to unfavorable weather  
185 conditions and a bad sanitation state of the grapes in 2002. From the 54 fermentations  
186 1620 yeast isolates were obtained. All the isolates were analyzed by their mtDNA RFLP  
187 (*Hinf*I) and a pattern profile was attributed to each isolate, resulting in a total of 297  
188 different profiles. The results of this ecological survey, including the temporal and  
189 spatial distribution of the found strains has been recently published (Schuller et al.,  
190 2005). When the same profile was found in more than one sample, one strain from each  
191 sample was randomly withdrawn resulting in a total of 361 isolates, all assumed to be *S.*  
192 *cerevisiae* strains. This was supported by their inability to grow in a medium containing  
193 lysine as sole nitrogen source and by their capacity to amplify the previously described  
194 *S. cerevisiae* specific microsatellite loci ScAAT1 – ScAAT6 (Pérez et al., 2001).

195 The species *S. cerevisiae* is very closely related to the species *Saccharomyces bayanus*,  
196 *Saccharomyces pastorianus*, *Saccharomyces paradoxus*, *Saccharomyces cariocanus*,  
197 *Saccharomyces mikatae*, and *Saccharomyces kudriavzevii* (Naumov et al., 2000). These  
198 six species, together with *S. cerevisiae*, constitute the *Saccharomyces sensu stricto*  
199 complex. Only *S. cerevisiae*, *S. bayanus*, *S. pastorianus*, and *S. paradoxus* are  
200 associated with fermentative processes. *S. cerevisiae* and *S. bayanus* are considered the  
201 predominating species in wine fermentation. *S. paradoxus* has been isolated only once  
202 in wine (Redzepovic et al., 2002), whereas *S. pastorianus* is only present in beer  
203 making. Our (unpublished) results showed that the specific microsatellite primers are  
204 not amplifying the homologous loci from other *Saccharomyces* species such as *S.*  
205 *bayanus* and *S. paradoxus*. Sequence analysis was performed with data obtained from  
206 the Washington University Genome Sequencing Center

207 (<http://genome.wustl.edu/projects/yeast/>) and the Broad Institute  
208 ([http://www.broad.mit.edu/annotation/fungi/comp\\_yeasts/](http://www.broad.mit.edu/annotation/fungi/comp_yeasts/)). Both *S. bayanus* and *S.*  
209 *paradoxus* showed no homology with the ScAAT1, ScAAT3, and ScAAT6 primer  
210 binding regions. ScAAT4, ScAAT5 and ScAAT2, ScAAT5 primer binding sites had a  
211 low homology with the corresponding sequences in *S. bayanus* and *S. paradoxus*,  
212 respectively.

213 Strains showing different mtDNA RFLP patterns had distinct genotypes as determined  
214 by the allelic combinations for loci ScAAT1-ScAAT6. Microsatellite analysis  
215 performed in a randomly selected group of 50 isolates (among the whole collection  
216 comprising 1620 strains) showed that isolates with the same/different microsatellite  
217 amplification profiles always corresponded to the same/different mtDNA RFLP  
218 patterns. In addition, 90 isolates with identical mtDNA RFLP were analyzed in 6  
219 microsatellite loci and always showed the same allelic combinations (our unpublished  
220 results). Therefore, allele frequencies correspond to a random sampling of the alleles  
221 present in the microfermentations.

222 The table in Figure 1 indicates the number of different microsatellite genotypes obtained  
223 from strains collected at each sampling site in both sampling campaigns (before and  
224 after the harvest). The number of different strains isolated from each sampling point  
225 showed a lower (one to ten strains) or higher (11 - 21 strains) biodiversity. Genotypes a-  
226 k showed a wider temporal and geographical distribution, being the corresponding  
227 strains characterized by a generalized pattern of sporadic presence, absence and  
228 reappearance across sampling sites, vineyards or years. Genotype b showed a more  
229 regional distribution with a perennial behavior. In several sampling sites commercial  
230 strains were recovered, that have been used predominately (in higher quantity and

231 continuously) or sporadically (in lower quantity and not continuously) by the wineries  
232 during the harvests preceeding the 5 years of the current study. The respective  
233 genotypes are shown in Table 2. A detailed analysis regarding their predominance and  
234 spatio-temporal distribution, including also the results from an identical study  
235 performed in the Languedoc wine region (France) has been recently published (Valero  
236 et al., 2005).

237

238 Genetic analysis of alleles obtained for loci ScAAT1 – ScAAT6

239 The distribution of overall and vineyard-specific allelic frequencies for the loci  
240 ScAAT1-ScAAT6 is shown in Figure 2. The six markers revealed a high degree of  
241 genetic variability, being ScAAT1 and ScAAT3 the most polymorphic markers with 29  
242 and 19 alleles, respectively. Besides the 41 alleles (51 strains) previously described for  
243 ScAAT1-ScAAT6 (Pérez et al., 2001), 52 new alleles were identified in the present  
244 study (361 strains). In general, the most frequent alleles have been previously described,  
245 and their distribution is similar in the three vineyards A, C and P. However, we  
246 identified some alleles, described for the first time in the present study, that show a  
247 surprising high allelic frequency (allele 28, ScAAT1; allele 7, ScAAT2; allele 20,  
248 ScAAT3) and could be indicative of the *S. cerevisiae* populations from the Vinho Verde  
249 Region.

250 Populations from C and P share the most frequent alleles for markers ScAAT1,  
251 ScAAT2 and ScAAT3 (17, 14 and 22), while populations belonging to A had the  
252 highest frequencies at alleles 28, 13 and 20, respectively. For ScAAT4 and ScAAT6,  
253 alleles 20 and 16 were the most frequent for all 3 populations, and for locus ScAAT5  
254 the allele 16 was most frequent in A and C, and allele 15 in P respectively. Many of the

255 alleles occurring with a lower global frequency, showed different incidences for *S.*  
256 *cerevisiae* populations from vineyards A, C and P (e.g. allele 26 and 27, ScAAT1; allele  
257 7, 11 and 12, ScAAT2; allele 17 and 23, ScAAT3; allele 24, ScAAT4; allele 17,  
258 ScAAT5; allele 17; ScAAT6). For each locus, unique alleles were also found in each of  
259 the three populations; their frequencies were very low, ranging between 0.01 and 0.03,  
260 and they might play only a minor role.

261 For the populations from different vineyards the observed heterozygosity ( $H_o$ ) was in  
262 general about three to four times lower than the expected heterozygosity ( $H_e$ ) for all loci  
263 analyzed (Table 3). The pattern and degree of temporal and spatial divergence in the  
264 nuclear microsatellites ScAAT1 to ScAAT6 among subpopulations was estimated by  
265  $F_{ST}$  determination over all loci by AMOVA analysis, as shown in Table 4. For this  
266 analysis, the group of strains obtained from each sampling site in each year was  
267 considered as a population. The contribution of variation within the populations defined  
268 was always very high, ranging from 81 to 93%, as might be expected from a set of  
269 highly polymorphic loci. For the analysis of variation between vineyards and between  
270 sampling years, the assemblage of several populations from one vineyard or sampling  
271 year was considered as a group. Similarly, for the comparison between sampling sites  
272 within a vineyard, each of the sampling sites represented a group of strains that was  
273 made up of the populations found in the 3 sampling years. For all analysis, differences  
274 within groups constitute 6.3 to 24.5%, whereas differences among groups constitute  
275 only up to 7% of variation. Populations from C (2002) are not included in this analysis,  
276 given that a single genetic pattern was obtained for the spontaneous fermentation of  
277 grapes collected from site CIV.

278 In order to assess whether the occurrence of commercial yeast strains may contribute to  
279 the genetic homogenization of the populations from vineyards A, C and P, calculations  
280 were performed including or not genotypes from the recovered commercial yeast  
281 strains. Globally, and for all analysis performed,  $F_{ST}$  values range between 0.05 and  
282 0.20, corresponding to a moderate (0.05 – 0.15) to great (0.15 – 0.25) genetic  
283 differentiation (Wright, 1978). Statistically significant genetic variation ( $P(\text{random}$   
284  $\text{value} < \text{observed value}) < 0.001$ ) was found at every level of analysis (among vineyards,  
285 among year-classes). The inclusion of commercial yeast's genotypes found in the 3  
286 vineyards just slightly reduced the  $F_{ST}$  by merely 0.01 to 0.02 values, in about 2/3 of the  
287 comparisons performed.

288 When populations from different vineyards were pair wise associated (A/C, A/P and  
289 P/C),  $F_{ST}$  values of the same order of magnitude were found in consecutive years, being  
290 higher for A/C and A/P (0.12 - 0.17 and 0.11 - 0.20) when compared to P/C (0.06-0.09).  
291 Most of the *S. cerevisiae* populations from A, C and P were significantly different in  
292 three consecutive years, and populations within a vineyard varied in consecutive years,  
293 being more variable in A ( $F_{ST} = 0.11 - 0.18$ ) than in P ( $F_{ST} = 0.05 - 0.11$ ). When  
294 samples were pooled across year-classes within the sampling sites of each winery, the  
295 highest  $F_{ST}$  value was again obtained for A (0.16 - 0.17) compared to C (0.10 – 0.12)  
296 and P (0.06 - 0.08).

297

298 Similarity of populations from vineyards A, C and P

299 Relationships among the populations belonging to six sampling points in three wineries,  
300 that were isolated during the 3 years sampling campaigns, were determined by a cluster  
301 analysis (UPGMA) based on a Euclidean distance dissimilarity matrix of allelic

302 frequencies (Figure 3). The cophenetic correlation factor  $r$  was 0.93 and 0.90 when  
303 genotypes of commercial yeast strains were included or not in this analysis, indicating  
304 that the genetic relationships were not distorted by hierarchic clustering. A similar  
305 genetic structure was obtained with the neighbor joining algorithm (not shown), being  
306 the value for  $r$  significantly lower (0.74). For the analysis performed without  
307 commercial yeast's genotypes, populations were grouped in three clusters at a  
308 dissimilarity distance of about 0.60 – 0.65, comprising two sampling sites of C, six  
309 sampling sites of P, and three sites of A, showing the existence of a certain populational  
310 substructure, characteristic for each vineyard. Population CII lies within the cluster P,  
311 and strains isolated from CV are located within the A-cluster, indicating that genetic  
312 differences do not delimit specific populations with fixed geographic boundaries.

313 Further exceptions from a vineyard - specific population structure were found for  
314 sampling sites CI, CIII, AII, and AVI, possibly due to the low number of strains and  
315 consequent lack of rigor in the quantification of allelic frequencies. Sampling site V in  
316 vineyard A is also located outside the A-cluster and showed the most divergent allelic  
317 frequencies from all populations, although a sufficient number of strains (27) were  
318 analyzed. The high frequency of allele 24 (ScAAT4) in strains collected during 2003 in  
319 site V may be the main reason for this observation.

320 Populations within groups C and P are in general more closely related, and populations  
321 from sampling points in vineyard P are more similar to each other as indicated by the  
322 dissimilarity distance between them. *S. cerevisiae* populations belonging to vineyard A  
323 seem more heterogeneous and also more distinct from C and P. These data are in  
324 accordance with the pairwise comparison of vineyards and the respective  $F_{ST}$  values as a  
325 measure of genetic differentiation, as previously shown in Table 4.

326 The general structure of the dendrogram was maintained when commercial yeast's  
327 genotypes were included. As expected, populations from CIV, CV and CVI are closer  
328 related, due to the presence of strains Zymaflore VL1, F10, F15, Uvaferm BDX and  
329 Lalvin ICV D254 in these sites located close (10-20 m) to the winery where the strains  
330 have been used.

331 In the present study, genetic distances and geographical localization of the populations  
332 did not correlate, since strains with most similar genotypes resided in most distant  
333 vineyards C - P (~ 100 km). The opposite situation was verified for the closer vineyards  
334 A - C (~ 60 km) and A - P (~ 40 km) (Figure 1).

335

### 336 **Discussion**

337 Vineyard-associated *S. cerevisiae* populations have never been extensively  
338 characterized by microsatellite markers. The initial screening of 1620 isolates by  
339 mtDNA RFLP and subsequent microsatellite analysis of 361 strains revealed to be an  
340 appropriate strategy for the present large-scale approach, since both methods are  
341 equivalent concerning their capacity to discriminate commercial wine yeast strains  
342 (Schuller et al., 2004).

343 Some remarks have to be made concerning our experimental approach. The isolated *S.*  
344 *cerevisiae* strains may not be truly representative of the vineyard population because  
345 strains were isolated after enrichment through must fermentation. Grape must creates  
346 selective and very stressful conditions for yeast, totally distinct from the environmental  
347 influences in nature and fermentative ability may not be correlated with evolutionary  
348 fitness in a vineyard ecosystem. Rarely occurring strains, although capable to survive  
349 fermentation, might also have not been detected as the detection limit of our

350 experimental approach is 3.3% (one strain in 30 isolates). Using previously proposed  
351 direct-plating methods from single grape berries, would be highly labor-intensive and  
352 would not permit to search for fermenting yeasts, especially *S. cerevisiae*, in 18 sites, in  
353 two campaigns and over three years. Therefore we regard our approach as an acceptable  
354 compromise, allowing good estimation of population composition, but preventing a  
355 precise description in terms of relative strain abundance in nature.

356 Analysis of microsatellite loci showed a significant excess of homozygotes, the  
357 observed heterozygosity was three to four times lower than the estimated value.  
358 Heterozygous genotypes reduction relative to that expected under random mating is a  
359 consequence of population substructuring. Wine strains of *S. cerevisiae* are usually  
360 prototrophic homothallic diploids, mostly homozygous for the homothallism gene  
361 (HO/HO) and have high spore viability contrary to strains with heterozygosities that  
362 show decreased spore viabilities with increasing number of heterozygous loci,  
363 associated with reduced strain fitness. A mechanism called “genome renewal”  
364 (Mortimer et al., 1994) has been proposed for natural wine yeast strains that undergo  
365 mating among their progeny cells and thereby change a multiple heterozygote into  
366 completely homozygous diploids, leading to gradual replacement of heterozygous  
367 diploids. The most likely situation in yeasts is therefore asexual reproduction with some  
368 cycles of homothallic self-mating (genome renewal), which would generate the high  
369 homozygosity observed. However, an alternative possibility for the high degree of  
370 homozygosity observed could be mitotic recombination or gene conversion during  
371 asexual reproduction. Heterozygous deficiencies can also be explained by the presence  
372 of null alleles that arise when mutations prevent primers from binding, so that many of  
373 the apparent homozygotes can be, in reality, heterozygotes between a visible and a null



374 allele. The high degree of homozygosity points to the existence of genetically isolated  
375 clonal subpopulations of *S. cerevisiae* strains with distinct genetic constitution. Since a  
376 primarily sexual reproduction is not prevailing and the populations are not in  
377 equilibrium, further genetic analysis could not be performed.

378 The dendrogram shown in Figure 3 and Amova analysis (Table 4) clearly agree in the  
379 distinction of the more similar populations belonging to vineyard P and C compared to  
380 A. Allelic frequencies based clustering of at least 10 distinct genotypes lead to the  
381 expected result concerning populational structures, showing that ecologically  
382 meaningful conclusions require an adequate sample size. As most alleles are  
383 widespread, certainly due to the relatively close location of the vineyards, genetic  
384 differences among *S. cerevisiae* populations derived mainly from gradations in allele  
385 frequencies rather than from distinctive “diagnostic” genotypes. Only the accumulation  
386 of small allele-frequency differences across six loci allowed the identification of a  
387 population structure. Some of the allelic variation may also be linked to loci which  
388 determine fermentative ability, which may explain some of the similarities between  
389 yeast from different vineyards.

390 Several commercial yeast strains have been used for the last years in the wineries that  
391 are located within the vineyards and were recovered in the present study. The structure  
392 of the dendrograms including or not the genotypes of commercial strains is similar,  
393 indicating that the closer genetic proximity of populations from C and P is due to  
394 autochthonous strains and that the rate of gene flow caused by continuous use of starter  
395 yeasts was not sufficient to genetically homogenize local indigenous strains. A detailed  
396 analysis about the dynamics and survival of industrial yeast strains in the mentioned  
397 vineyards and in three vineyards of the Languedoc wine region in France showed that

398 the asexual dispersal of these strains is very limited (occurring at a distance between 10-  
399 200 m from the winery) and is largely favoured by the presence of water runoff.  
400 Commercial strains were mostly found in the samples collected after harvest, reflecting  
401 their immediate dissemination after wineries started wine production. Permanent  
402 implantation in the vineyard did not occur, the strains rather showed natural fluctuations  
403 of periodical appearance/disappearance like autochthonous strains (Valero et al., 2005).  
404 In the present study, 52 new alleles were identified besides the 41 alleles previously  
405 described for ScAAT1-ScAAT6 (Pérez et al., 2001). In the meantime, other highly  
406 polymorphic microsatellite markers have been described for *S. cerevisiae* (Bradbury et  
407 al., 2005; Legras et al., 2005). Multiplex amplification of a highly polymorphic set of  
408 microsatellites would be desirable and yeast researchers should find common criteria for  
409 the generation and storage of microsatellite data of *S. cerevisiae* strains. It is important  
410 to indicate alleles as a number of repeats rather than amplicon sizes, because some  
411 authors use the same microsatellite markers but distinct primer pairs for their  
412 amplification. The extension of the current approach to strains isolated from other  
413 viticultural regions is desirable, since a preliminary comparison revealed major  
414 differences in both allelic combinations and frequencies (our unpublished data).  
415 The occurrence and survival of *S. cerevisiae* in vineyards depends on numerous factors  
416 like climatic influence such as rainfall, temperature (Longo et al., 1991; Parish and  
417 Carroll, 1985) or viticultural practices like agrochemical applications, grape variety or  
418 maturation stage (Pretorius et al., 1999; Rosini, 1982). In the present case, the three  
419 geographically close vineyards share climate similarities, but one can not exclude  
420 microclimatic influences, not recorded in the present study. Geographical distance was  
421 not correlated with genetic proximity, since the most distant (100 km) vineyards P and

422 C had most similar populations. This is coincident with data of previous studies (Torija  
423 et al., 2001; Versavaud et al., 1995), but it was also shown that this correlation exists  
424 among *S. cerevisiae* strains from different Spanish wine regions, being red wine strains  
425 significantly grouped according to their geographic origin, independently of the wine  
426 type and the grapevine cultivar, and white wine strains according to ecological factors  
427 such as wine type of grapevine cultivars (Guillamon et al., 1996). The three sampled  
428 sub-regions share similar viticultural practices, being Loureiro the grape variety of  
429 vineyard A, Alvarinho and Avesso the cultivars of vineyard P and C respectively.  
430 Correlation between grape variety and global genetic constitution of associated strains  
431 seems tempting, but more experimental data are needed to support such a hypothesis.

432 Genetic differentiation (the acquisition of allele frequencies that differ among  
433 subpopulations) may result from natural selection favoring different genotypes in  
434 different subpopulations, but it may also result from random processes in the  
435 transmission of alleles from one generation to the next or from stochastic differences in  
436 allele frequency among the initial founders of the subpopulations. The distinction  
437 between little ( $F_{ST} = 0-0.05$ ), moderate ( $F_{ST} = 0.05-0.15$ ), great ( $F_{ST} = 0.15-0.25$ ) and  
438 very great ( $F_{ST} > 0.25$ ) genetic differentiation has been suggested (Wright, 1978), but  
439 the identification of causes underlying a particular  $F_{ST}$  value can be difficult. AMOVA  
440 analysis revealed to be useful for the detection of inter-population genetic variations  
441 among populations that exhibit a high amount of intra-population variability. Genetic  
442 differentiation among populations grouped according to sampling year or site, being the  
443 highest value recorded for vineyard A, followed by C and P. Differences in the same  
444 vineyard in consecutive years are of the same order of magnitude as the differences  
445 verified among the 3 vineyards, demonstrating the importance of sampling in

446 consecutive years in order to get a realistic picture of yeast population distribution.  
447 Differences over time that are the same as differences over distance could result from  
448 slightly detrimental alleles (or mutations) that are being selectively removed from the  
449 population or from a population going through a series of bottlenecks (e.g. the time  
450 from the end of one season to the beginning of the next) that results in differences in  
451 gene frequencies due to drift. Values of genetic differentiation are correlated with the  
452 distance between sampling points and consequently the size of the vineyards. *S.*  
453 *cerevisiae* strains may become more distinctive in a larger vineyard that constitutes a  
454 bigger “evolutionary playground”, hypothesizing that local populations may evolve due  
455 to multi-factorial influences being the size of the vineyard one of them. Genetic  
456 heterogeneity in a vine could follow a pattern of isolation-by-distance, where genetic  
457 divergence increases with vineyard size. However, the forces causing a global shift in a  
458 vineyard’s *S.cerevisiae* population still remain to be clarified.

459 The present work is to our knowledge the first large-scale approach about the usefulness  
460 of microsatellite typing in an ecological survey of indigenous *S. cerevisiae* strains  
461 isolated from vineyards. Microsatellite typing with loci ScAAT1-ScAAT6, followed by  
462 statistical analysis permitted a very fine population screen, and is therefore the  
463 appropriate method to obtain deeper insight in ecology and biogeography of *S.*  
464 *cerevisiae* strains, even among geographically close regions. These studies are  
465 indispensable for developing strategies aiming at the preservation of biodiversity and  
466 genetic resources as a basis for further strain selection.

467

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479

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595 Table 1  
596 Characteristics of the 6 microsatellite loci ScAAT1 – ScAAT6 that were used as genetic  
597 markers in the present study.

598

599 Table 2  
600 Genotypes expressed as number of trinucleotidic repeats for microsatellite markers  
601 ScAAT1-ScAAT6 for the commercial yeast strains that were recovered in different  
602 sampling sites and that have been used by the wineries during the 2001-2003 sampling  
603 campaigns and in previous years.

604

605 Table 3  
606 Observed (*H<sub>o</sub>*) and expected (*H<sub>e</sub>*) heterozygosity for *S. cerevisiae* populations from  
607 vineyards A, C and P.

608

609 Table 4  
610 AMOVA analysis, *F<sub>ST</sub>* values and distribution of variance components (%) among  
611 groups (AG), among populations within groups (APWG), and within populations (WP)  
612 based on microsatellite data for defined populations, including or not the genotypes of  
613 commercial strains that were found in some of the sampling sites, as indicated in Figure  
614 1.

615

616 Figure 1  
617 Geographic location of the three vineyards A, C and P in the Vinho Verde Region, with  
618 indication of the sampling sites (PI-PVI, AI-AVI and CI-CVI), the wineries (W). The

619 table summarizes the number of strains with unique genotypes for each sampling site  
620 and year. The same superscript letters (a-q) represent identical genotypes in different  
621 samples. Genotypes of commercial yeast strains, that were isolated from different  
622 samples, are indicated by numbers (❶ Zymaflore VL1; ❷ Zymaflore F10; ❸  
623 Zymaflore F15; ❹ Uvaferm BDX; ❺ ICV D254; ❻ Zymaflore VL3; ❼ Lalvin Cy  
624 3079).

625

626 Figure 2

627 Alleles of microsatellite loci ScAAT1 – ScAAT6 and their frequencies in *S. cerevisiae*  
628 in each of the vineyards A (light grey bars), C (dark grey bars) and P (black bars).

629 ● New alleles, identified in the present study; ▲ Alleles with major differences  
630 regarding their frequency of occurrence in each vineyard; a, c, p Unique alleles,  
631 occurring in only in vineyards A, C and P, respectively.

632

633 Figure 3

634 UPGMA phenogram based on Euclidean distance of allelic frequencies from strains  
635 found at each sampling site over 3 years excluding (a) or including (b) the genotypes of  
636 commercial yeast strains. Numbers in parenthesis indicate the number of strains  
637 corresponding to unique patterns.

638

639

640 Table 1

641

Microsatellite designation	Repeat	ORF or coordinates	Chromosome	Primers	Fluorochrome	Size (S288C)	N° of repeats (S288C)
ScAAT1	ATT	86 901 – 87 129	XIII	F: AAAAGCGTAAGCAATGGTGTAGAT R: AGCATGACCTTTACAATTTGATAT	6-FAM	229	35
ScAAT2	ATT	YBL084c	II	F: CAGTCTTATTGCCTTGAACGA R: GTCTCCATCCTCCAAACAGCC	HEX	393	20
ScAAT3	ATT	YDR160w	IV	F: TGGGAGGAGGGAAATGGACAG R: TTCAGTTACCCGCACAATCTA	6-FAM	268	23
ScAAT4	ATT	431 334 – 431 637	VII	F: TCGGGAAGACTAAGACAATCA R: AACCCCATTTCTCAGTCGGA	TET	304	12
ScAAT5	TAA	897 028 - 897 259	XVI	F: GCCAAAAAAAAATAATAAAAAA R: GGACCTGAACGAAAAAGAGTAG	TET	231	13
ScAAT6	TAA	105 661 – 105 926	IX	F: TTACCCCTCTGAATGAAAACG R: AGGTAGTTTAGGAAGTGAGGC	HEX	266	19

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644 Table 2

<b>Designation (Figure 1)</b>	<b>Commercial name, origin</b>	<b>ScAAT1</b>		<b>ScAAT2</b>		<b>ScAAT3</b>		<b>ScAAT4</b>		<b>ScAAT5</b>		<b>ScAAT6</b>	
<b>①</b>	Zymaflore VL1, Gironde (F)	29	34	12	15	22		20		15	16	16	17
<b>②</b>	Zymaflore F10, Bordelais (F)	26		14		22		20		16		16	
<b>③</b>	Zymaflore F15, Gironde (F)	28		14		16		20		16		16	
<b>④</b>	Uvaferm BDX, Gironde (F)	28		14		14	26	12	20	16		16	
<b>⑤</b>	Lalvin ICV D254, Languedoc (F)	26		14		14		20		15		16	
<b>⑥</b>	Zymaflore VL3, Gironde (F)	33	34	12	14	16	22	20		14	15	16	17
<b>⑦</b>	Lalvin Bourgoblanc Cy3079, Bourgogne (F)	26	32	14	15	15	21	20		15	16	16	

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646 Table 3

647

Locus		Vineyard A (94 genotypes)	Vineyard C (70 genotypes)	Vineyard P (140 genotypes)
ScAAT1	<i>Ho</i>	0.287	0.186	0.236
29 alleles (12-61 repeats)	<i>He</i>	0.831	0.839	0.832
ScAAT2	<i>Ho</i>	0.191	0.286	0.200
14 alleles (1-16 repeats)	<i>He</i>	0.836	0.866	0.785
ScAAT3	<i>Ho</i>	0.212	0.157	0.286
19 alleles (10-49 repeats)	<i>He</i>	0.881	0.807	0.840
ScAAT4	<i>Ho</i>	0.106	0.114	0.157
17 alleles (6-27 repeats)	<i>He</i>	0.672	0.619	0.468
ScAAT5	<i>Ho</i>	0.170	0.229	0.200
6 alleles (13-30 repeats)	<i>He</i>	0.713	0.708	0.700
ScAAT6	<i>Ho</i>	0.042	0.142	0.136
10 alleles (13-28 repeats)	<i>He</i>	0.463	0.427	0.393

648

649 Table 4

Source of variation		- commercial strains					+ commercial strains					
		AG	AGWP	WP	$F_{ST}$	P ( $r < o$ )	AG	AGWP	WP	$F_{ST}$	P ( $r < o$ )	
Among vineyards	2001	3.03	9.03	87.94	0.12	< 0.0001	3.68	6.94	89.39	0.11	< 0.0001	
	2002	A/P	6.38	13.28	80.33	0.20	0.0001	5.60	11.92	82.48	0.18	< 0.0001
	2003		2.76	11.29	85.95	0.14	0.0001	2.71	10.85	86.44	0.14	< 0.0001
	2001	A/C	-4.16	16.66	87.51	0.12	0.059	3.91	8.75	87.33	0.13	0.0244
	2003		1.09	16.20	82.71	0.17	< 0.0001	1.55	15.10	83.34	0.17	< 0.0001
	2001	P/C	-1.21	8.31	92.89	0.07	0.0001	0.64	5.61	93.75	0.06	0.0001
Among years	2002		0.48	8.10	91.42	0.09	< 0.0001	0.03	7.22	92.75	0.07	0.004
	2001 /	A	-2.45	13.94	88.51	0.11	0.034	-2.45	13.94	88.51	0.11	0.03519
	2002	P	0.79	9.94	89.27	0.11	0.0001	-0.41	7.35	93.06	0.07	0.003
	2002 /	A	1.29	15.79	83.0	0.17	< 0.0001	1.23	15.55	83.22	0.17	< 0.0001
	2003	P	1.68	7.73	90.59	0.09	0.052	0.01	6.68	93.30	0.07	0.106
	2001 /	A	-2.45	20.48	82.05	0.18	< 0.0001	-2.58	20.01	82.57	0.17	< 0.0001
Among sampling sites	2003	C	-1.56	12.67	88.89	0.11	0.0001	2.20	8.63	89.17	0.11	0.0001
		P	0.37	6.30	93.33	0.07	0.0001	0.15	5.09	94.77	0.05	0.003
	2001 +	A	-0.02	16.65	83.38	0.17	< 0.0001	0.48	15.99	83.53	0.16	< 0.0001
	2002 +	C	-12.27	24.46	87.81	0.12	0.0001	-8.31	18.78	89.53	0.10	< 0.0001
	2003	P	-1.23	9.19	92.05	0.08	< 0.0001	-0.82	6.88	93.94	0.06	0.0001

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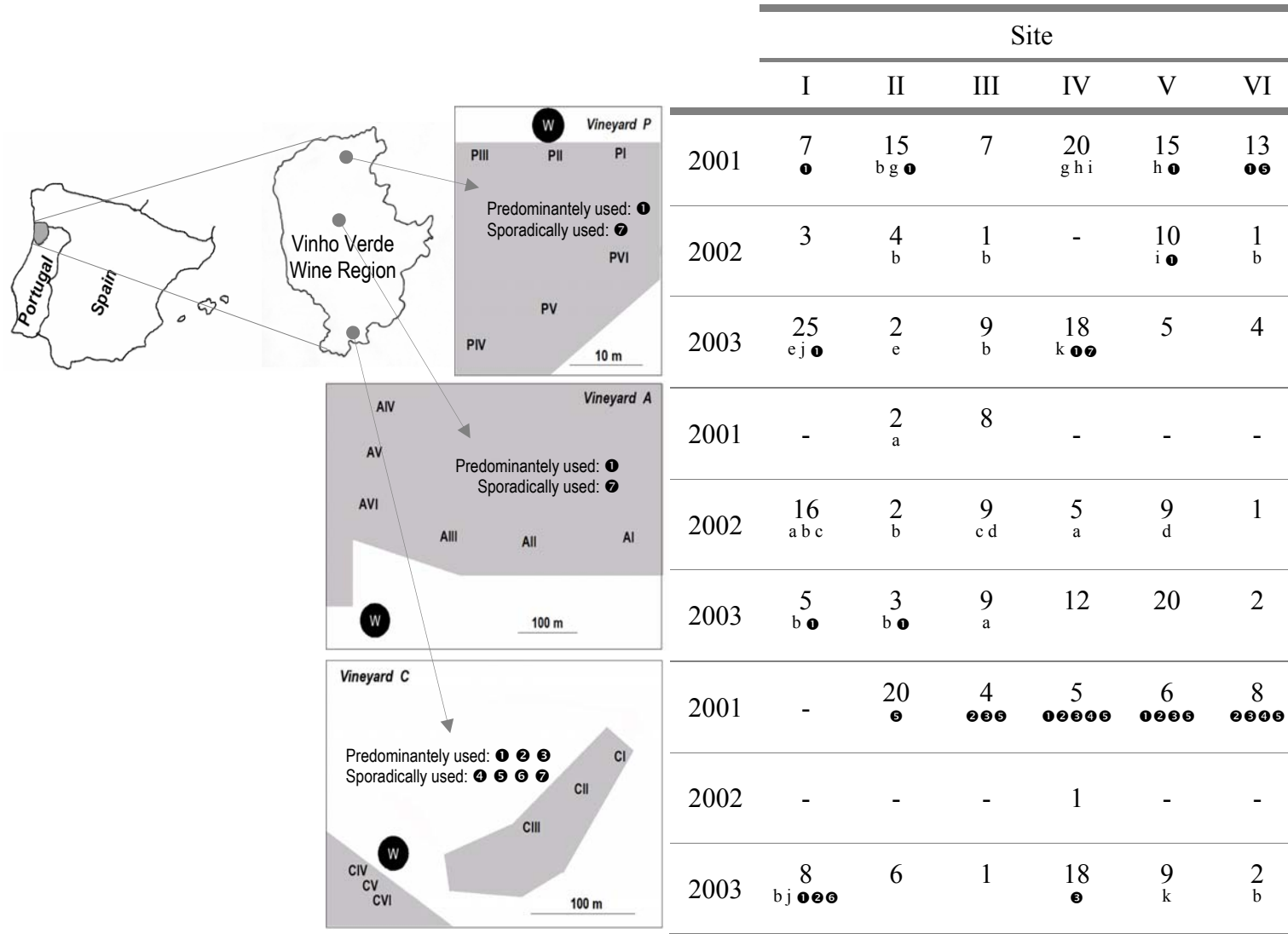
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654 Figure 1



655 Figure 2

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