Maintenance of spiral morphology and formation of biofilms on copper 1 surfaces by water-exposed Helicobacter pylori 2 3 4 5 6 7 8 9 10

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16 Abstract There is still a lack of consensus on the way Helicobacter pylori is transmitted, but 17 biofilms in drinking water are suspected to create a safe haven for the subsistence of the bacterium 18 and hence promote a waterborne route of infection. Cultivability in water is low when compared to 19 other waterborne pathogens, a situation that is attributed to the conversion of the highly infectious 20 spiral form to the generally regarded as inactive coccoid form of the bacterium. In this study, the 21 adhesion ability to abiotic surfaces (such as copper and PVC), morphology and cultivability of 22 water-exposed *H. pylori* was assessed. In copper, the bacterium was able to retain spiral 23 morphology and form 3D structures for over two months whereas in PVC conversion to the coccoid 24 form occurred in approximately 1 week. Even though the logical interpretation for these results 25 would be that copper favours the bacterium survival, standard plating experiments have shown 26 precisely the opposite: cultivability decreases faster for the cells exposed to copper. Besides demonstrating the deleterious action that copper surfaces have on *H. pylori*, this study also indicates 27 that at least for this case, H. pylori coccoid morphology is in fact a manifestation of cell adaptation 28 29 to the environment.

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31 **Keywords:** Biofilms; drinking water; *Helicobacter pylori*; morphological aspects; viability.

33 Introduction

34 Infection with Helicobacter pylori may cause the development of gastritis, gastric and duodenal ulcers, mucosa-associated lymphoid tissue lymphoma, loss of gastric glands (atrophy) and finally 35 36 adenocarcinoma, a disease with very high morbidity and mortality (Moran et al. 2002). Nearly since 37 the discovery of this microorganism by recent Nobel prize winners Marshall and Warren (Marshall 38 and Warren 1984), the scientific community has put in their best efforts to determine how is this 39 pathogen able to infect 50% of the human population.

40 As humans are currently the only known reservoir for H. pylori, transmission of the infection appears to be fecal-oral, gastric-oral or oral-oral (Sherman 2004). Direct person-to-person 41 42 transmission has however failed to provide enough evidence to account for all infected individuals. Therefore, external environmental reservoirs such as water and associated biofilms have been 43 suggested to harbour the bacterium. To support this view, there are a growing amount of data 44 45 reporting the identification of the bacterium in water systems using molecular techniques (e. g. Park et al. 2001, Watson et al. 2004). Nevertheless, a waterborne route of infection for H. pylori has 46 47 been repeatedly dismissed on the basis that the bacterium loses its viability status very rapidly by 48 quickly transforming itself into a non-viable coccoid shaped cell once exposed to water.

The morphological aspects of *H. pylori* have been the subject of intensive debate over the last ten 49 50 years. Because conversion from spiral to the coccoid shape is induced with exposure to detrimental environmental circumstances (Andersen and Wadstrom 2001), the wider view up until a few years 51 ago was that the latter represented a degrading, nonviable form of the bacterium (Sorberg et al. 52

1996, Kusters et al. 1997, Enroth et al. 1999). However, several recent reports have now argued that 53

1 they might constitute a survival strategy in adverse environmental conditions (e. g. Saito et al. 2003, Azevedo et al. 2004, Cellini et al. 2004, Citterio et al. 2004). This issue is of extreme importance 2 3 because the characterization of biofilm formation by water-exposed H. pylori has indicated that up 4 to one week there was a very significant difference between the morphology of the cells adhering to 5 copper and the cells adhering to other materials (Azevedo et al. 2006a). However, the study 6 provides no information on cultivability of adhered cells (due to the lack of an appropriate method 7 at the time), and even though spiral morphology was kept for longer in copper, viability stains 8 (Syto9/PI) suggested that the coccoid form constitutes a survival strategy in adverse environmental 9 conditions.

10 In the present work, different strains of *H. pylori* were suspended in water and tested for their 11 adhesion ability to abiotic surfaces (namely copper, PVC, cast iron and glass) while their 12 cultivability was monitored with time. The main purpose was to establish whether copper plumbing 13 might had or not a protective role for *H. pylori* in drinking water distribution systems (DWDS).

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15 Methods

16 Culture maintenance

17 The four strains of *H. pylori* (three culture collection strains and one clinical isolate) were 18 maintained on Columbia Agar (Oxoid, Basingstoke, UK) supplemented with 5% (v/v) defribinated 19 horse blood (Biomérieux, Marcy l'Etoile, France). Plates were incubated at 37 °C in a CO₂ 20 incubator (HERAcell® 150; Thermo Electron Corporation, Waltham MA, USA) set to 10% CO₂ 21 and 5% O₂ and streaked onto fresh plates every 2 or 3 days. 22

23 **Test surfaces preparation**

24 Coupons measuring 2 by 2 cm were prepared at the Centro de Engenharia Biológica from copper, polyvinyl chloride (PVC), galvanized iron (all from Neves e Neves, Trofa, Portugal) and glass 25 (slides 75×25mm, Moreira Costa e Santos, Porto, Portugal). Copper coupons were polished with an 26 27 alumina suspension (Struers, Copenhagen, Denmark). All materials were immersed in a solution of 28 5% (v/v) commercial detergent (Top Neils, Tengelmann Portugal, Sintra, Portugal) and pre-warmed distilled water for 30 min while gently mixed. To remove any remaining detergent, coupons were 29 30 rinsed five times in ultrapure water and air dried. They were subsequently immersed in 90% (v/v) ethanol for 30 min. After being rinsed with ultra-pure water, air dried and wrapped in foil, 31 32 galvanized iron, copper and glass coupons were autoclaved for 15 min at 121 °C, whereas PVC was 33 heated for 20 min at 70 °C. Coupons were finally placed in wells of a 6-well tissue culture plate 34 (Orange Scientific, Braine-l'Alleud, Belgium).

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36 Suspension preparation and inoculation

37 Cells from 2 day-old cultures were harvested from Columbia Agar plates, suspended in 30 ml of autoclaved distilled water and vortexed for 30s. The necessary quantity of this inoculum to obtain a 38 final concentration of approx. 10^7 CFU/ml (O.D. ~ 0.020) was then transferred to a sterile 39 bioreactor containing 300 ml of distilled water. The bioreactor was maintained at room temperature 40 41 (21±2°C) and continuously stirred using a magnetic bar. After 10 min, 10mL of the suspension were dispensed in to each of the wells containing the coupons. At various times of exposure, one 42 43 coupon of each material was removed from the well and rinsed three times in distilled water. Two types of experiments were performed using this method. The first one assessed adhesion of NCTC 44 11637 to copper and PVC surfaces after two months exposure by scanning electron microscopy 45 46 (SEM), whereas the second assessed adhesion, together with cultivability for all strains and materials at different time points up to 24 hours. 47

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49 Scanning electron microscopy

50 Coupons with 2 months of exposure were immersed for 15 minutes in solutions with increasing 51 concentrations of ethanol up to 100% (v/v), and placed in a sealed desiccator. The samples were mounted on aluminium stubs with carbon tape, sputter coated with gold and observed with a Leica
Cambridge S-360 scanning electron microscope (SEM) (Leo, Cambridge, UK). The SEM was
equipped with an X-ray analyzer, which allowed the identification and quantification of certain
atomic elements (such as oxygen) on the surface of the coupons.

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6 Cultivable cell counts of planktonic and adhered bacteria

Cultivable cells of planktonic *H. pylori* were analyzed temporally by plating 100 μ L of the appropriate dilution on to Columbia Blood Agar (CBA) plates. For the determination of cultivable adhered bacteria, the coupon was placed in wells of a new 6-well tissue culture plate and immersed in 10 mL of distilled water while under refrigeration. Sonication was undertaken for 5s bursts and 25% amplitude (GEX 400 Ultrasonic Processor; Sigma). Then, 100 μ L of the distilled water containing detached cells (or appropriate dilutions) were dispensed on CBA. Plates were incubated microaerophilically at 37 °C for 6 days to determine the numbers of cultivable *H. pylori*.

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15 Confirmative procedures and analysis of data

16 Besides checking for typical colony morphology (i.e., round, translucent to yellowish, convex, 0.2-2

17 mm) (Andersen and Wadstrom 2001), hybridization with a specific peptide nucleic acid (PNA) 18 probe to 16S rRNA (Azevedo *et al.* 2003) was performed to confirm the identity of *H. pylori* 19 isolated in the Petri dishes and adhered to the surfaces.

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21 **Results and Discussion**

When studying the kinetics of adhesion of H. pylori NCTC 11637 to copper for up to two months, it 22 was noticed that the bacterium would predominantly form three-dimensional aggregates of approx. 23 100-500 cells. These structures appear sparsely after one week of contact, but by the end of two 24 months, the oxidized area of the copper surface becomes completely colonized. One interesting 25 aspect is that they only appeared in the brighter areas of the copper coupon (Figure 1). These 26 brighter areas were identified by the X-ray analyzer as the non-oxidized areas of the coupon. These 27 28 biofilm-like aggregates were not visible on other types of material (such as PVC) and are totally 29 composed by spiral shaped H. pylori, the morphology associated with the pathogenicity of the bacterium. In fact, the numbers of cells on PVC coupons after 2 months was lower than after 1 30 week [results from 1 week as obtained in (Azevedo et al. 2006a)], denoting that detachment 31 occurred during this time period. Aggreggation and adhesion ability is usually referred as a way to 32 assess cell viability (Davey and O'toole 2000), and it was therefore suspected that copper surfaces 33 were able to provide protection for *H. pylori* in DWDS. Nevertheless, cultivability data were still 34 lacking and it would provide the necessary information to solve this conundrum. 35

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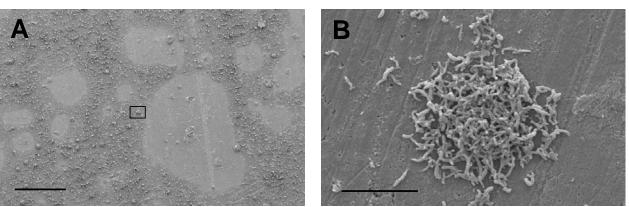


Figure 1 SEM images of the adhesion of *H. pylori* to a copper surface after 2 months of exposure to water. Contrary to what was observed with other type of materials, the copper surface was heavily colonized after two months. Interestingly, this colonization was irregular, as most aggregates were to be found in the more oxidized areas of the coupon. Scale bar, 200 μm (a). In-set of the Figure 1a

showing the formation of aggregates by *H. pylori* in detail. As it can be seen, nearly all cells retain
 the spiral shape morphology, associated with the infectious state of the bacterium. There is also no
 evidence of the production of extracellular polymeric substances. Scale bar, 10 μm (b).

5 Because in an earlier work (Azevedo et al. 2006a), we were unable to recover the minimum number 6 of colonies necessary to compare the cultivability of *H. pylori* adhered to different materials, several 7 sonication times were tested to optimize the procedure. Sonication cycles of 1 min have been 8 commonly used in our laboratory to maximize the number of cells from heterotrophic biofilms 9 formed in drinking water systems. Tests have showed that after sonication for 5s, more than 99% of H. pylori cells are detached, maybe due to the apparent lack of extracellular polymer production of 10 the bacterium under these conditions. This percentage of removal was obtained for different 11 12 materials and different adhesion times tested. Furthermore, H. pylori proved to be particularly sensitive to sonication procedures, as a nearly 2 log increase in cultivable cells was obtained 13 14 between sonication times of 5s and 1min.

15 After the optimization of the detachment procedures, cultivation experiments have shown that both copper and galvanized iron supports were deleterious for the bacterium survival (Figure 2). For all 16 strains the number of cultivable cells on the surface increased up to 10^4 - 10^6 CFU/cm² in the first 2 17 18 hours due to the initial adhesion process. Even though it has been previously shown that the total 19 number of cells adhered continues to rise until 48 hours (Azevedo et al. 2006a, Azevedo et al. 20 2006b), cultivable cell numbers started to decrease after only two hours (in the case of PVC and 21 glass for strain J99 the numbers stabilized). This effect was partly expected, as the survival time (as 22 assessed by cultivation methods) for H. pylori in water at this temperature is quite low (Adams et 23 al. 2003, Azevedo et al. 2004). The decline was much steeper for the metallic materials (copper and galvanized iron) than for glass and PVC. After 24 hours, no cultivable cells could be recovered 24 from the metallic surfaces for any of the strains tested, which contrasted with the values of 10^{1} - 10^{4} 25 CFU/cm^2 obtained for glass, and of 0-10⁵ CFU/cm² for PVC. 26 27

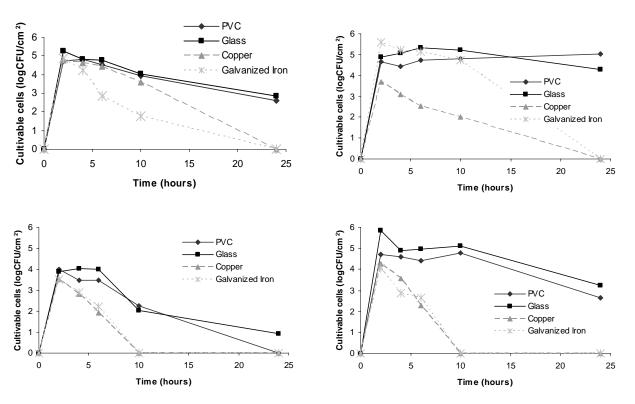


Figure 2 Cultivability of adhered *H. pylori* in different materials over time for 4 of the strains tested, NCTC 11637 (top left), J99 (top right), 26695 (bottom left) and clinical isolate 1152 (bottom

4

right). In a previous work, we have shown that the total number of H. pylori adhered to different 1 2 materials was in the same order of magnitude (Azevedo et al. 2006a).

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4 Another parameter analysed during the experiment was the cultivability of H. pylori in the 5 planktonic state after 24h (Figure 3). In accordance with the results obtained in Figure 2, for the wells where copper and galvanized iron coupons were inserted, no H. pylori could be recovered. 6 Leaching of both iron and copper from DWDS pipes is well documented in the literature, and is 7 8 even the cause for some human health issues (Sadiq et al. 1997, Georgopoulos et al. 2001). PVC caused a slight decrease of the numbers of bacteria in the water, whereas the insertion of a glass 9 coupon appeared to have no effect on the cultivable counts. 10

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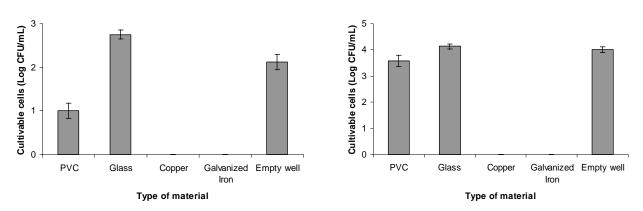


Figure 3 Cultivability of planktonic H. pylori after 24 hours for the strain 26695 (left) and clinical 12

isolate 1152 (right) when exposed to coupons of different materials. In the empty well, no coupon 13 was inserted.

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15 16 H. pylori is one of the few bacteria that has been shown to possess a copper transporter system (Ge 17 et al. 1995), which could suggest a higher tolerance of the bacterium for this heavy metal. However,

18 in this study copper and iron proved to be harmful for H. pylori. Copper has also been indicated as 19 an effective material to control bacterial growth in several situations (Domek et al. 1984, Rogers et

- 20 al. 1994, Wilks et al. 2005).
- 21 Besides copper and iron plumbing, areas of the DWDS with high shear stresses (Azevedo et al. 2006b) and effective chlorination (Baker et al. 2002), are unlikely environmental reservoirs for H. 22
- pylori. In fact, the existence of these factors in most DWDS might have contributed to the 23 decreasing the prevalence of *H. pylori* in the developed countries. Nevertheless, biofilms are 24 prosperous in microenvironments and the possibility of areas where the bacterium subsists cannot 25 26 be excluded.
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28 Conclusions

29 Copper and galvanized iron proved to have a very effective biocidal effect against H. pylori. The spiral shape maintenance of *H. pylori* in copper can therefore be interpreted as a fast, biocidal effect 30 of the metal upon the pathogen, killing the cell before it has time to undergo the shape modification. 31 32 For PVC coupons, the transformation into the coccoid morphology is in fact a manifestation of cell adaptation to the environment. This viability indication for different materials might be valuable for 33

- future studies attempting to recover H. pylori from DWDS. 34
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