14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

29

30

31

32

33

ARTICLE IN PRESS



Available online at www.sciencedirect.com





Engineering Structures xx (xxxx) xxx-xxx

www.elsevier.com/locate/engstruct

Optimum maintenance strategy for deteriorating bridge structures based on lifetime functions

Seung-Ie Yang, Dan M. Frangopol^{*}, Luís C. Neves¹

Department of Civil, Environmental, and Architectural Engineering, Campus Box 428, University of Colorado, Boulder, CO 80309-0428, USA

Received 19 May 2003; received in revised form 28 May 2004; accepted 14 June 2005

Abstract

The highway networks of most European and North American countries are completed or close to completion. However, many of their bridges are aging, and in the United States alone a very significant part of the about 600,000 existing bridges is considered to be deficient and must be replaced, repaired or upgraded in the short term. The funds available for the maintenance of existing highway bridges are extremely limited when compared with the huge investment necessary, and must, therefore, be spent wisely. In this paper, a model based on lifetime functions for predicting the evolution in time of the reliability of deteriorating bridges under maintenance is presented. This model uses the probability of satisfactory system performance during a specified time interval as a measure of reliability and treats each bridge structure as a system composed of several components. In this manner, it is possible to predict the structural performance of deteriorating structures in a probabilistic framework. In addition, the optimum maintenance strategy is identified using as objective the minimization of the present value of the life-cycle maintenance cost. An existing bridge is analyzed using lifetime functions and its optimum maintenance strategy is found. © 2005 Published by Elsevier Ltd

Keywords: Bridges; Maintenance; Lifetime functions; Deteriorating structures; System performance; Optimum maintenance strategy

1. Introduction

The highway networks of most European and North 2 American countries are completed or close to completion. 3 As a result, highway agencies face a decrease in the need 4 for new structures and, on the other hand, a very significant 5 increase in the number of bridges that need to be repaired 6 or replaced in the short term. In the United States a very significant part of the existing bridges is considered to be 8 deficient and must be repaired, upgraded or replaced in the 9 near future. As a result, in the last decade, research has 10 shifted from the design of new bridges to the assessment 11 of existing bridges and prediction of their performance 12 deterioration. 13

Due to the limited funds available for upgrading and maintaining the performance of existing bridges at acceptable levels, highway agencies, governments and researchers have tried to develop models that predict optimum strategies to be used in the maintenance planning for existing bridges, keeping them safe and serviceable by using the smallest possible investment.

The current bridge management systems use visual inspection results to assess bridge safety [12,16,21]. These systems are based on component level analysis, disregarding overall system effects such as redundancy, ductility, and component reliability importance. It has long been recognized that several reliability measures (e.g., reliability index and probability of survival) are consistent and invariant indicators of structural safety. The reliability index of a structure can be higher or lower than that of its critical component, for parallel and series systems, respectively. Therefore, the evaluation of the overall structural system safety is of paramount importance in assessing the safety of new and existing bridges.

^{*} Corresponding author. Tel.: +1 303 492 7165; fax: +1 303 492 7317. *E-mail address:* dan.frangopol@colorado.edu (D.M. Frangopol).

¹ Visiting Researcher, Department of Civil, Environmental, and Architectural Engineering, University of Colorado, Boulder, CO 80309-0428, USA. On leave from: Department of Civil Engineering, University of Minho, Guimarães, Portugal.

2

JEST: 1747

ARTICLE IN PRESS

S.-I. Yang et al. / Engineering Structures xx (xxxx) xxx-xxx

The deterioration of a bridge depends on several 1 parameters (e.g., environmental conditions, traffic volume, 2 and quality of workmanship) that cannot be accurately 3 predicted. Consequently, bridge deterioration must be 4 modeled in a probabilistic manner, using random variables 5 for the parameters defining the deterioration process. To 6 keep the reliability of a bridge above a minimum target level during a specified period of time, maintenance actions 8 must usually be applied. In general, these actions reduce 9 the rate of increase of the cumulative time system failure 10 probability [5]. Several maintenance strategies satisfying 11 the above requirements are possible. In general, the cost 12 of each feasible maintenance strategy is different from the 13 others. The optimum maintenance strategy, associated with 14 minimum present value of cumulative cost, must be found. 15

Most decisions in bridge maintenance must to be made 16 with a binary type of information based on visual inspections 17 where defects are found or not found. To be able to 18 correctly assess and predict the performance of existing 19 structures using only this information, the performance must 20 be indicated using the probability of occurrence of a defect 21 rather than a continuous damage model. This approach is 22 less accurate than the continuous damage model approach, 23 but can be implemented using the information currently 24 25 available on most structures.

In this paper, a model based on lifetime functions 26 for predicting the evolution in time of the reliability 27 of deteriorating bridges under maintenance is presented. 28 This model uses the probability of satisfactory system 29 performance during a specified time interval as a measure 30 of reliability and treats each bridge structure as a 31 system composed of several components. In this manner, 32 it is possible to predict the structural performance of 33 deteriorating structures in a probabilistic framework. In 34 addition, the optimum maintenance strategy is identified 35 using as objective the minimization of the present value 36 of the life-cycle maintenance cost. An existing bridge 37 is analyzed using lifetime functions and its optimum 38 maintenance strategy is found. Probabilistic approaches to 39 deteriorating and/or maintenance of existing structures can 40 also be found in [3,20,4,8]. 41

42 2. System reliability and reliability importance based on 43 lifetime functions

The safety of a structural system can be analyzed based on the reliability of its components and their role in various failure modes. According to Leemis [17], the state of a component, x_i , is assumed to be binary, as follows:

$$x_i = \begin{cases} 0 & \text{if component } i \text{ has failed} \\ 1 & \text{if component } i \text{ is functioning.} \end{cases}$$
(1)

The collection of the states of all components forms the system vector, $\mathbf{x} = (x_1, x_2, ..., x_n)$. Based on the state of all components of a system, the structure function [17] is defined as follows:

$$\phi(\mathbf{x}) = \begin{cases} 0 & \text{if the system has failed} \\ 1 & \text{if the system is functioning.} \end{cases}$$
(2)

where $\mathbf{x} =$ vector containing the state of each component.

Structures modeled as series and parallel systems are safe when all and at least one of their components are safe, respectively. For these systems, the associated structure functions are, respectively, defined as:

$$\phi(\mathbf{x}) = \min(x_1, x_2, \dots, x_n) = \prod_{i=1}^n x_i$$
 (3)

$$\phi(\mathbf{x}) = \max(x_1, x_2, \dots, x_n) = 1 - \prod_{i=1}^n (1 - x_i).$$
(4)

A coherent system [17] is a system that will not upgrade if a component degrades (i.e., $\phi(\mathbf{x})$ is non-decreasing in \mathbf{x}). For a given structure, modeled as a coherent system, the associated structure function can be obtained by modeling the system as series of parallel components. This system can be successively reduced by using Eqs. (3) and (4) to a single equivalent component whose structure function is defined in terms of all components. However, the state of each component can only be expressed in probabilistic terms by considering components defined by their probabilities of survival.

So far, components and system performance have only been considered at a particular point in time. However, due to material deterioration and/or increase in environmental and/or mechanical loadings the reliability of a structure or component under no maintenance is a non-increasing function of time, called the survivor function S(t). This is a particular type of lifetime distribution function that includes also the hazard function and the mean residual life function, among others. In this study, two survivor functions are considered: Weibull and exponential power. These non-increasing functions are 1 and 0 at t = 0 and $t \to \infty$, respectively. Figs. 1 and 2 show the effects of the number of independent components, each characterized by the same survivor function (i.e., exponential power function with a failure rate λ of 0.005/year), on the survivor function of a series and a parallel system up to 10 components, respectively, considering a lifetime of 75 years.

The survivor functions of a series–parallel system of four components with different exponential power survivor functions ($\lambda = 0.005$ /year for components 2, 3, and, 4 and λ varying from 0.001/year to 0.01/year for component 1), analyzed over a lifetime period of 75 years, are shown in Fig. 3. As expected, a change in the survivor function of component 1 leads to a significant change in the system survivor function. Additional examples on the effects of the parameters of exponential and Weibull survivor functions are provided in [25,26].

In general, the components of a structural system have different impacts on the overall system reliability. According to Leemis [17], "the component with the largest reliability

52

53

54

55

56

57

58

59

60

61

62

63

64

65

66

67

68

69

70

71

72

73

74

75

76

77

78

79

80

81

82

83

84

85

87

89

90

91

92

93

94

95

96

97

98

99

100

S.-I. Yang et al. / Engineering Structures xx (xxxx) xxx-xxx

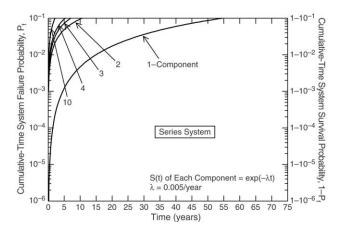


Fig. 1. Effect of number of components on cumulative-time failure probability of series systems.

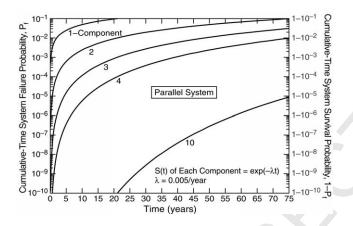


Fig. 2. Effect of number of components on cumulative-time failure probability of parallel systems.

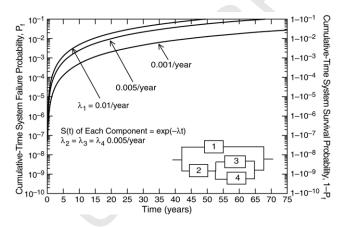


Fig. 3. Effect of failure rate of component 1 on cumulative-time failure probability of a four-component system.

¹ importance is that component for which an increase in ² its reliability corresponds to the largest increase in the ³ system reliability". Consequently, the reliability importance ⁴ of component *i*, $I_r(i)$, is as follows [17]:

$$I_r(i) = \frac{\partial r(\mathbf{p})}{\partial p_i} \tag{5}$$

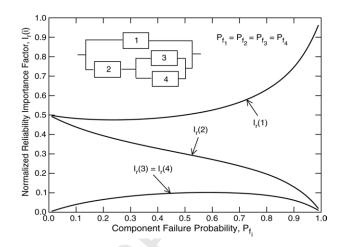


Fig. 4. Normalized reliability importance factors for a four-component system versus component failure probability.

where $r(\mathbf{p}) =$ system reliability and $p_i =$ probability of failure of component *i*.

This factor can be normalized as follows [11]:

$$I_{r}^{0}(i) = \frac{I_{r}(i)}{\sum_{i=1}^{n} I_{r}(i)}$$
(6)

where $I_r^0(i)$ = normalized reliability importance factor of component *i*, varying from 0 (not relevant to system reliability) to 1 (only relevant component to system reliability), and *n* = number of components. Since the system reliability is time dependent so are the reliability importance factors $I_r(i)$ and $I_r^0(i)$.

In Fig. 4 the normalized reliability importance factor $I_r^0(i)$ of each of the four components of the series–parallel system analyzed in Fig. 3 is shown for different probabilities of failure of the iso-reliability components. As expected, component 1, due to its critical function in the system, has the highest reliability importance factor over all the range of component failure probabilities considered.

In most cases, the failure rate of a component is not known a priori and, as a result, it must be treated as a random variable. To illustrate the effect of randomness of the failure rate on the survivor function of a system, Fig. 5 shows the evolution in time of the probability of survival of the fourcomponent system defined in Fig. 3 considering the same random failure rates for all components defined by a uniform distribution varying from 0.00413/year to 0.00586/year. As shown, the range of possible values of the system survival probability depends on the randomness of the failure rate of components.

3. Preventive and essential maintenance models

As previously indicated, the reliability of a structure can be kept above a specified threshold by applying maintenance actions. These actions can be divided in two major groups: (i) preventive actions; and (ii) essential actions. Preventive

3

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

29

30

31

32

33

34

35

36

37

S.-I. Yang et al. / Engineering Structures xx (xxxx) xxx-xxx

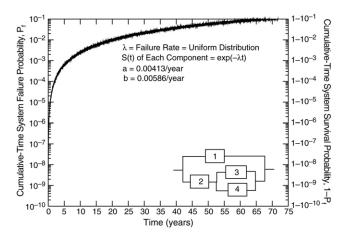


Fig. 5. Cumulative-time failure probability of a four-component series-parallel system considering random failure rates of components.

maintenance actions (such as painting, silane treatment, and 1 cathodic protection) are defined as scheduled maintenance 2 actions applied to functioning components. The justification 3 for preventive maintenance action is that if not undertaken 4 it will require more funds at a later stage to keep the 5 component from becoming critical [2,9]. Preventive actions 6 applied to non-deteriorated components are designated as 7 proactive and their objective is to delay the time of damage 8 initiation [13]. Preventive maintenance actions applied to 9 deteriorated components are denoted as reactive, and they 10 aim at eliminating or reducing the effects of the deterioration 11 process. Several maintenance models in a probabilistic 12 context were developed by Frangopol et al. [10], Bris 13 et al. [1], Kobbacy and Jeon [14], and Lam and Zhang [15], 14 among others. In this section, both preventive and essential 15 maintenance models are briefly summarized. Additional 16 information is provided in [24] and [26]. 17

18 *3.1. Proactive preventive maintenance*

¹⁹ Due to the lack of data on proactive maintenance models, ²⁰ expert judgment is generally used to define the effect of ²¹ applying this type of maintenance. In this study, it is ²² assumed that each proactive maintenance action (applied ²³ before damage initiation) postpones the initial time of ²⁴ damage initiation under no maintenance, t_0 , to [26]:

$$_{25} \quad t_{0i} = t_0 + i \cdot \frac{t_{pi}}{2} \tag{7}$$

where t_{0i} = time of damage initiation considering *i* proactive maintenance actions, and t_{pi} = time interval between maintenance actions. In order to compute the number *i* of proactive maintenance actions necessary to obtain a specified value of t_{0i} , the following constraint must be satisfied:

$$_{32} \quad i \cdot t_{pi} < t_{0(i-1)} \tag{8}$$

where $t_{0(i-1)}$ = time of damage initiation considering i - 1proactive maintenance actions.

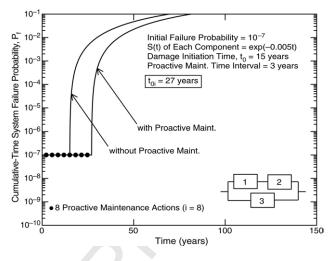


Fig. 6. Effect of proactive maintenance on a three-component series-parallel system.

An example of the effect of proactive maintenance on cumulative-time system failure probability is shown in Fig. 6, considering a three-component series-parallel system with a probability of survival of each component described by an exponential function. Both proactive maintenance and no maintenance strategies are considered. In this example, the damage initiation time of both components and system is extended from $t_0 = 15$ years (no maintenance) to $t_{0i} = 27$ years (under eight preventive maintenance actions applied every three years, $t_{pi} = 3$ years, to all components).

3.2. Reactive preventive maintenance

In this study, the reactive maintenance model proposed by Kececioglu [13] is used. This model considers that, if reactive maintenance is applied at regular time intervals, t_p , the survivor function is as follows [13,26]:

$$S_{t_p}(t) = [S_t(t_p)]^J S_t(\tau)$$
(9) 50

where S_t = survivor function under no maintenance, $S_{t_p}(t)$ = survivor function under reactive preventive maintenance at time t, t_p = time interval between applications of reactive preventive maintenance, j = number of applications of reactive preventive maintenances before time t, and τ = time since last application.

An example of the effect of reactive preventive maintenance is presented in Fig. 7. In this figure each component of the deteriorating two-component parallel system is subjected to reactive maintenance at different time intervals, t_p . The survivor function of each independent component is $\exp(-0.01t)$. As shown in this figure, the effect of each reactive preventive maintenance action is to reduce the slope of the cumulative survival function to its initial value (at t = 0). As expected, more frequent applications lead to higher probabilities of system survival.

If reactive preventive maintenance is applied only to some components of a system (e.g., two out of four

4

41 42

43

44

45

46

47

48

49

51

52

53

54

55

56

57

58

59

60

61

62

63

64

65

66

67

68

35

36

37

38

JEST: 1747

S.-I. Yang et al. / Engineering Structures xx (xxxx) xxx-xxx

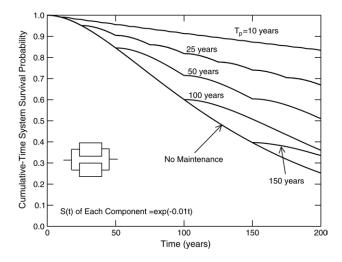


Fig. 7. Cumulative-time system survivor probability of a two-component parallel system under reactive maintenance applied to both components at different time intervals.

girders), Eq. (9) is no longer valid and reliability importance 1 factors must be taken under consideration as indicated by 2 Yang [24]. As an example, Fig. 8 shows the results obtained 3 considering that one, several, or all the three deteriorating 4 components, characterized by the survivor function S_t = 5 exp(-0.005t), of a series-parallel system are under cyclic 6 reactive preventive maintenance at five years' interval. Component 3, being the most important, has the largest 8 effect on the cumulative-time system failure probability. 9

10 3.3. Essential maintenance

Essential maintenance actions are applied to failed or 11 close to failure components. Since it is desirable to repair 12 or replace such components as soon as possible, such 13 maintenance actions cannot be scheduled a priori. In this 14 work the only essential maintenance action considered 15 is replacement of one, several, or all components of a 16 system, resulting in the restoration of the condition of such 17 components to their initial values (at t = 0). 18

The three-component system shown in Fig. 9 is used to 19 explain the essential maintenance model. Each component 20 has an exponential survivor function. It is assumed that all 21 three components are independent and their failure rate is 22 0.0005/year. The survivor function under no maintenance 23 of the three-component system in Fig. 9 is indicated in [25]. 24 If essential maintenance is performed on one, several, 25 or all components, the survivor function of the system 26 depends on the time since maintenance was last applied to 27 component i (i = 1, 2, 3). The three essential maintenance 28 actions considered in Fig. 9 are replacement of component 29 1, component 2, and all three components at 10, 20, and 30 40 years, respectively. As indicated in Fig. 9, replacement 31 of components 1 or 2 causes a relatively small reduction in 32 the system failure probability. 33

Based on an extension of the essential maintenance model presented in this section, using survivor functions for

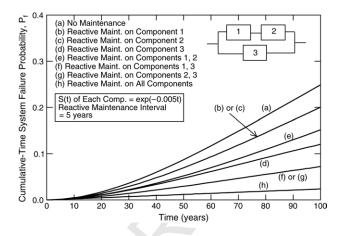


Fig. 8. Cumulative-time system failure probability of a three-component series-parallel system under reactive maintenance applied to one, several, or all components.

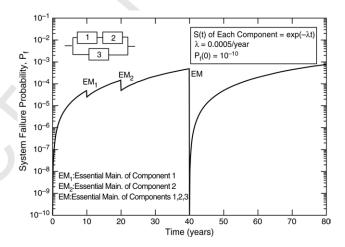


Fig. 9. System failure probability of a three-component series-parallel system under essential maintenance

each component of a series-parallel system, an optimum maintenance strategy is formulated next and applied to an existing bridge.

4. Optimization and data on lifetime functions

The methodology used for optimizing the essential maintenance strategies is adapted from that proposed by Estes and Frangopol [7]. It consists of the following nine steps:

- (a) Construct a system model of the overall structure as a series-parallel combination of individual components and establish a time horizon for the system;
- (b) Define the survivor function to be used for each component;
- (c) Compute the survivor function under no maintenance for the system model considered in step (a);
- (d) Establish a system reliability threshold, at which maintenance must be applied;

5

36 37

39

40

41

42

43

44

45

46

47

48

49

50

51

<u>ARTICLE IN PRESS</u>

S.-I. Yang et al. / Engineering Structures xx (xxxx) xxx-xxx

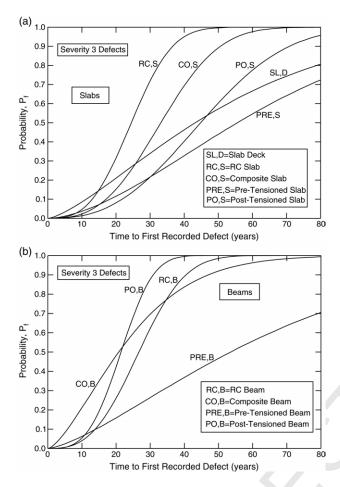


Fig. 10. Cumulative probability of occurrence of severity 3 defect in: (a) slabs, and (b) beams.

- (e) Determine all possible maintenance actions and their
 associated costs;
- 3 (f) Determine all maintenance strategies (i.e., combination
- of several maintenance actions during the time horizon);
 (g) Compute the system survivor function for each
 maintenance strategy;
- 7 (h) Compute the present values of lifetime cost for each
 a maintenance strategy; and
- 9 (i) Determine the optimum solution based on the minimum
 10 present value of lifetime cost.

In this study, data compiled by Maunsell [18] for the 11 serviceable life of highway structures and their components 12 is used. The service life is defined as the time taken for 13 a significant defect to be recorded by an inspector. The 14 severity of a defect is classified as follows [18]: Severity 1: 15 no significant defects; Severity 2: minor defects of a non-16 urgent nature; Severity 3: defects which shall be included for 17 attention within the next annual maintenance program; and 18 Severity 4: the defect is severe and urgent action is needed. 19

Data on the lifetime functions corresponding to each of these severities is reported in [18] for different components of the most common types of highway bridges. As an example, using the Weibull distribution parameters of

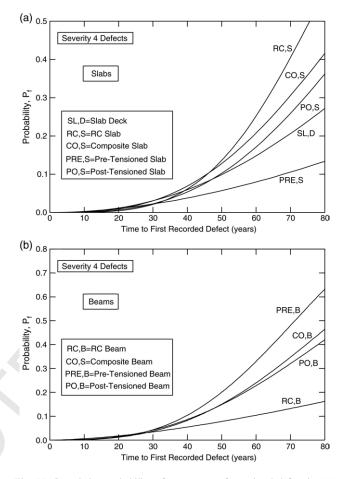


Fig. 11. Cumulative probability of occurrence of severity 4 defect in: (a) slabs, and (b) beams.

24

25

26

27

28

29

30

31

32

33

34

35

36

37

38

39

40

41

42

43

44

45

service life for severity 3 and 4 defects provided in [18], Figs. 10 and 11 show the cumulative-time probabilities of the first recorded defect for different types of slabs and beams. The Weibull distribution has been shown to properly model aging and to analytically derive the conditional probability density function of the residual lifetime when the current age is provided [23]. As indicated in Figs. 10 and 11 for severity 3 and 4 defects, respectively, there is significant dispersion of the probabilities of occurrence of the same severity defect among different types of elements and materials.

5. Colorado state highway bridge E-17-AH

As existing bridge located in Colorado, analyzed previously by a system reliability index approach [7], is presented herein as a case study example using the lifetime function approach. Bridge E-17-AH is located on 40th Avenue (State Highway 33) between Madison and Gardfield Streets in Denver, Colorado. The bridge has three simple spans of equal length (13.3 m) and a total length of 42.1 m. The deck consists of a 22.9 cm layer of reinforced concrete and a 7.6 cm surface layer of asphalt. The east–west bridge has two lanes of traffic in each direction with an average

JEST: 1747

S.-I. Yang et al. / Engineering Structures xx (xxxx) xxx-xxx

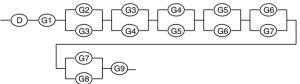
daily traffic of 8,500 vehicles. The roadway width is 12.18 m 1 with 1.51 m pedestrian sidewalks and handrailing on each 2 side. The bridge offers 6.8 m of clearance for the railroad 3 spur that runs underneath. There is no skew or curvature. 4 The slab is supported by nine standard-rolled, compact, and 5 non-composite steel girders. The girders are stiffed by end 6 diaphragms and intermediate diaphragms at the third points. 7 Each girder is supported at one end by a fixed bearing and 8 an expansion bearing at the other end. The elevation and 9 cross-section of this nine-girder bridge are indicated in [6], 10 and [25]. A comprehensive description of this bridge can be 11 found in [6]. 12

In this study, failure of a component is defined as 13 occurrence of a defect of severity 4 since this type 14 of defect is relevant enough to justify the application 15 of essential maintenance actions. No distinction is made 16 among different sources of structural defects. As a result, 17 the defects considered include those caused by corrosion, 18 excessive loading, or fatigue, among other sources. Studies 19 considering defects due to various causes including fatigue 20 and corrosion in a probabilistic context can be found in 21 [27,4], and [19]. 22

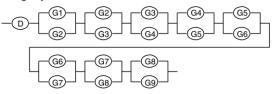
Weibull functions are adopted to model the probability 23 of defect occurrence as they are the best fit of the data 24 summarized in [18]. The occurrence of the defects in the 25 reinforced concrete slab deck and steel girders of the bridge 26 E-17-AH is modeled by a Weibull distribution with the shape 27 and scale parameters κ and λ as follows [18]: slab deck 28 ($\kappa = 2.37$ and $\lambda = 0.0077$ /year) and girders ($\kappa = 2.86$ 29 and $\lambda = 0.0106$ /year). 30

Due to redundancy in multi-girder bridge types, single-31 girder failure does not cause bridge failure. If one girder 32 fails, load redistribution takes place and, usually, the overall 33 bridge is capable of carrying additional loads. Multi-girder 34 bridges can be modeled, in system reliability analysis, as 35 a combination of series and parallel components. For the 36 bridge analyzed, the following failure modes are considered: 37 (i) failure of any external girder or any two adjacent internal 38 girders or deck failure cause the bridge failure; (ii) any 39 two adjacent girder failures or deck failure cause the bridge 40 failure; (iii) any three adjacent girder failures or deck failure 41 cause the bridge failure. These system models, denoted by 42 I, II, and III, respectively, are shown in Fig. 12. In this 43 figure, the failure function D corresponds to the occurrence 44 of a severity 4 defect in the deck, and the failure functions 45 G1, G2, ..., G9 correspond to the occurrence of a severity 46 4 defect in girders G1, G2, ..., G9, respectively. Each of 47 the proposed models is associated with a different level of 48 acceptable damage. This level increases from model I to III. 49 Consequently, models III and I are associated with the least 50 and most frequent applications of maintenance, respectively. 51 The choice of the most adequate system model must be, 52 in each situation, made by the bridge owner, considering 53 the available funds, and the importance and redundancy of 54 the structure, among other factors. The maintenance options 55

Bridge System I



Bridge System II



Bridge System III

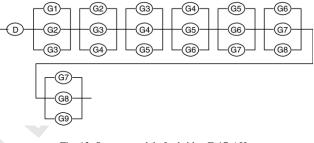


Fig. 12. System models for bridge E-17-AH.

considered for this bridge as well as the associated costs are presented in Table 1 [7].

Table 1

Maintenance actions and their associated costs [7]

Maintenance identification (1)	Maintenance action (2)	Cost (1996 US\$) (3)	
1	Replace deck	\$225,600	
2	Replace exterior girders	\$229,200	
3	Replace deck and exterior girders	\$341,800	
4	Replace superstructure	\$487,100	

In order to obtain the optimum maintenance strategy, it is necessary to establish the minimum acceptable system probability of occurrence of a defect of severity 4. In this study, this minimum acceptable system probability level is assumed to be 10^{-2} and the target service life is 75 years. All possible combinations of maintenance actions are considered in order to increase the service life to 75 years with the target system probability of 10^{-2} .

For comparing funds spent at different times the present value of cost

$$C_{\rm PV} = \frac{C}{(1+\nu)^t} \tag{10}$$

must be used, where C_{PV} = present value of maintenance cost, C = cost of maintenance action at time of application, ν = discount rate of money, and t = time of application of

56

57

58

60

61

62

63

64

65

66

67

68

69

70

8

5

maintenance. Historically, discount rates oscillate between 1 2% and 8% [22]. In this study discount rates of 0, 2, 4, 6, and 2 8% are used. The optimization procedure is described next 3 for a discount rate of 2%. However, results are provided for 4 all values of discount rate considered.

For case I (see Fig. 12), system failure is defined as a 6 severity 4 defect being found in the deck, or in an external girder, or in any two adjacent interior girders. As a result, 8 the deck and the exterior girders have a very significant reliability importance. From all systems in Fig. 12 system 10 I is the less redundant and, as a result, the one for which 11 essential maintenance is necessary sooner (t = 12 years). In 12 Fig. 13, the four possible maintenance actions (1, 2, 3, and13 4 in Table 1) at year 12 are compared in terms of lifetime 14 extension and present value of cost using a discount rate 15 of money of v = 2%. Comparing the present value of 16 cost of each maintenance option per year of increase of 17 service life (i.e., the cost effectiveness) the optimum action 18 at time t = 12 years is replacement of deck and exterior 19 girders (maintenance action 3). After applying maintenance 20 action 3 at year 12, a second maintenance action must be 21 applied at year 24. At this time the interior girders are 22 more deteriorated than the other components and must be 23 replaced. As a result, at t = 24 years, maintenance action 4 24 (replacement of superstructure) is chosen. The replacement 25 of all components leads to a repetition of the lifetime 26 function observed in the first 24 years (Fig. 14). As a result, 27 cyclic maintenance composed of action 3 followed by action 28 4 is applied until year 72. At this time a less expensive 29 maintenance action (action 2 in Table 1) is suitable to 30 extend the service life beyond the time horizon (75 years). 31 The resulting system probability of occurrence of defect 4 32 associated with the optimum maintenance strategy 3@12, 33 4@24, 3@36, 4@48, 3@60, and 2@72 (where 3@12 means 34 maintenance action 3 applied at year 12) is shown in Fig. 14. 35 The present value of the maintenance cost associated with 36 this strategy, considering 2% discount rate, is \$1,083,174 37 (1996 US\$). 38

For case II in Fig. 12, system failure is defined as finding 39 a severity 4 defect in the deck or in any two adjacent girders. 40 In this system model no distinction between interior girders 41 and exterior girders is made. This system is more redundant 42 than system I and, as a result, the first maintenance action 43 is applied later and the time interval between maintenance 44 actions is larger (Fig. 15). As indicated in Fig. 16, the 45 threshold system probability of 10^{-2} is achieved after 18 46 years (instead of 12 years for system I). At this time, due 47 to the higher reliability importance of the deck, maintenance 48 option 1 (replacement of the deck) is optimum. At year 49 28 a second essential maintenance action must be applied. 50 As for case I, the girders are now more deteriorated and 51 must be replaced. Since there is no distinction between 52 interior and exterior girders for the reliability of this system, 53 maintenance option 4 (replace superstructure) is optimum 54 at this time. As all components are repaired (Fig. 16), a 55 repetition of the lifetime function observed in the first 28 56

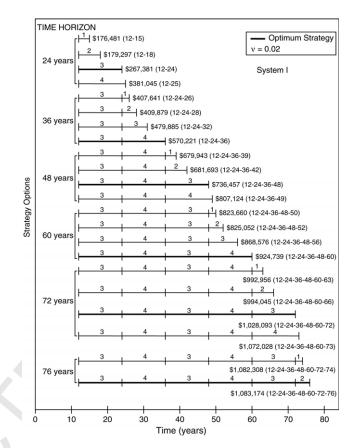


Fig. 13. Optimization of maintenance strategy for bridge system I.

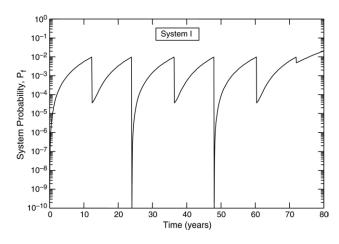


Fig. 14. System probability of occurrence of severity defect 4 under optimum maintenance strategy for bridge system I.

years occurs. As a result, a cycle composed by maintenance action 1 followed by action 4 is repeated until the service life is greater or equal to the time horizon of 75 years (see Fig. 15).

Finally, for case III, system failure is defined as finding a severity 4 defect in the deck or in any three adjacent girders. For this system model, analyzed in [25], the results are presented in Figs. 17 and 18.

In Table 2, the present values of optimum lifetime cost of the three system models in Fig. 12 are presented considering 64

65

66

<u>ARTICLE IN PRESS</u>

S.-I. Yang et al. / Engineering Structures xx (xxxx) xxx-xxx

9

Table 2

Comparison of optimum costs for different bridge system models and discount rates

Bridge system model (1)	Optimum lifetime maintenance cost (1996 US\$)					
	$\nu = 0\%$ (2)	$\nu = 2\%$ (3)	$\nu = 4\%$ (4)	$ \begin{array}{l} \nu = 6\% \\ (5) \end{array} $	$\nu = 8\%$	
					(6)	
Ι	2,228,800	1,083,174 ^a	601,910	370,528	245,476	
II	1,651,000	739,098 ^b	375,560	209,997	125,682	
III	1,163,900	526,453 ^c	268,039	149,320	88,949	

^a See Figs. 13 and 14.

^b See Figs. 15 and 16.

^c See Figs. 17 and 18.

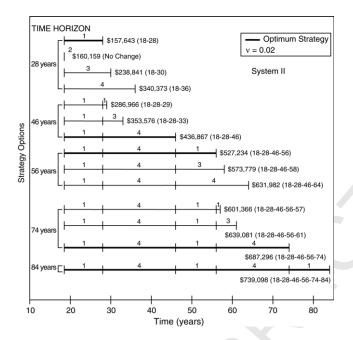


Fig. 15. Optimization of maintenance strategy for bridge system II.

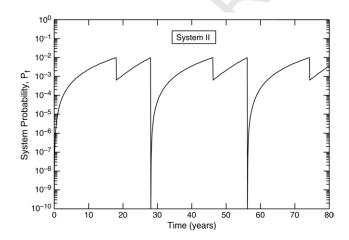


Fig. 16. System probability of occurrence of severity defect 4 under optimum maintenance strategy for bridge system II.

discount rates of 0, 2, 4, 6, and 8%. As expected, the increase
in redundancy from system I to III is accompanied by a

 $_{\scriptscriptstyle 3}$ $\,$ significant decrease in cost. It is also noted that there is a

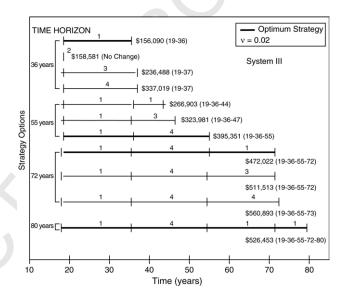


Fig. 17. Optimization of maintenance strategy for bridge system III.

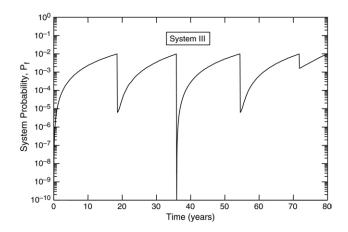


Fig. 18. System probability of occurrence of severity defect 4 under optimum maintenance strategy for bridge system III.

significant change in the present values of optimum lifetime cost due to the discount rate.

4

ARTICLE IN PRESS

S.-I. Yang et al. / Engineering Structures xx (xxxx) xxx-xxx

10

6. Conclusions

In this study, a model for predicting the evolution in 2 time of the reliability of deteriorating structures based on 3 lifetime functions is presented. The approach discussed 4 in this paper complements that presented in [25,26]. The 5 effects of proactive, reactive, and essential maintenance 6 on components and systems are studied and models for 7 incorporating these effects in the analysis of deteriorating 8 structures using lifetime functions are discussed. 9

In this paper, a binary performance indicator is used to 10 11 decide on the best maintenance strategy for deteriorating structures. This approach is less accurate than the continuous 12 damage model approach, but can be implemented using the 13 information currently available on most structures. Lifetime 14 functions proved to be adequate to model the evolution in 15 time of the performance of deteriorating structures under the 16 effect of maintenance actions. The uncertainty in the lifetime 17 of deteriorating components is captured through Weibull and 18 exponential distributions. 19

The optimization process based on lifetime functions produces an optimum lifetime maintenance strategy for initial planning purposes. It is therefore important for the optimized plan to be updated based on inspection results [7].

The proposed model is applied to an existing bridge in 24 Denver, Colorado. Several system models, each correspond-25 ing to different damage-tolerant policies, are considered 26 for the bridge superstructure and the optimum maintenance 27 strategy for each of these models is computed. The results 28 obtained show significant changes in the optimum strategy 29 and the associated present value of cumulative cost among 30 different system models. Therefore, a correct definition of 31 the system model is crucial in the design, assessment and 32 optimum maintenance planning for deteriorating structures. 33 The present value of cumulative cost of optimum mainte-34 nance scenarios, for all system models, is very sensitive to 35 the discount rate. 36

The use of an analytical model alone is not, however, 37 sufficient to provide an accurate prediction of the future 38 performance of a structure. The optimization of bridge 39 maintenance actions must combine both analytical models 40 and the results obtained from non-destructive tests and visual 41 inspections. In this study, only historical records from visual 42 inspections on similar bridges are used. However, more 43 accurate assessment and prediction of performance will be 44 possible if the results provided by this model are updated 45 using health monitoring information. 40

47 Acknowledgements

The partial financial support of the U.S. National Science Foundation through grants CMS-9912525 and CMS-0217290 is gratefully acknowledged. The support provided by the Colorado Department of Transportation and by the Dutch Ministry of Transportation, Public Works, and Water Management is also gratefully acknowledged. The opinions and conclusions presented in this paper are those of the writers and do not necessarily reflect the views of the sponsoring agencies.

References

- Bris R, Chatelet E, Yalaoui F. New method to minimize the preventive maintenance cost of series-parallel systems. Reliability Engineering and System Safety 2003;82(3):247–55.
- [2] Das PC. Prioritization of bridge maintenance needs. In: Frangopol DM, editor. Case studies in optimal design and maintenance planning of civil infrastructure systems. Reston (VA): ASCE; 1999. p. 26–44.
- [3] de Brito J, Branco FA, Thoft-Christensen P, Sorensen JD. An expert system for concrete bridge management. Engineering Structures 1997; 19(7):519–26.
- [4] Engelund S, Sørensen JD. A probabilistic model for chloride-ingress and initiation of corrosion in reinforced concrete structures. Structural Safety 1998;20:69–89.
- [5] Enright MP, Frangopol DM. Maintenance planning for deteriorating concrete bridges. Journal of Structural Engineering, ASCE 1999; 125(12):1407–14.
- [6] Estes AC. A system reliability approach to the lifetime optimization of inspection and repair of highway bridges. Ph.D. thesis. Department Civil, Environmental, and Architectural Engineering, University of Colorado at Boulder; 1997.
- [7] Estes AC, Frangopol DM. Repair optimization of highway bridges using system reliability approach. Journal of Structural Engineering, ASCE 1999;125(7):766–75.
- [8] Estes AC, Frangopol DM, Foltz SD. Updating reliability of steel miter gates on locks and dams using visual inspection results. Engineering Structures 2004;26(3):319–33.
- [9] Frangopol DM, Das PC. Management of bridge stocks based on future reliability and maintenance costs. In: Das PC, Frangopol DM, Nowak AS, editors. Current and future trends in bridge design, construction, and maintenance. London: The Institution of Civil Engineers, Thomas Telford; 1999. p. 45–58.
- [10] Frangopol DM, Kong JS, Gharaibeh ES. Reliability-based life-cycle management of highway bridges. Journal of Computing in Civil Engineering, ASCE 2001;15(1):27–34.
- [11] Gharaibeh ES, Frangopol DM, Onoufriou T. Reliability-based importance assessment of structural members with applications to complex structures. Computers & Structures, Pergamon 2002;80(12): 1111–31.
- [12] Hawk H, Small EP. The BRIDGIT bridge management system. Structural Engineering International, IABSE 1998;8(4):309–14.
- [13] Kececioglu D. Maintainability, availability, & operational readiness engineering, vol. 1. NJ: Prentice-Hall; 1995.
- [14] Kobbacy KAH, Jeon J. Generalized non-stationary preventive maintenance model for deteriorating repairable systems. Quality and Reliability Engineering International 2002;18(5):363–72.
- [15] Lam Y, Zhang YL. A geometric-process maintenance model for a deteriorating system under a random environment. IEEE Transactions on Reliability 2003;52(1):83–9.
- [16] Lauridsen J, Bjerrum J, Andersen NH, Lassen B. Creating a bridge management system. Structural Engineering International, IABSE 1998;8(3):216–20.
- [17] Leemis LM. Reliability, probabilistic models and statistical methods. NJ: Prentice-Hall; 1995.
- [18] Maunsell Ltd. Serviceable life of highway structures and their components — final report. Birmingham (UK): Highways Agency; 1999.

57 58

59

60

61

62

63

64

65

66

67

68

69

70

71

72

73

74

75

76

77

78

79

80

81

82

83

84

85

86

87

88

89

90

91

92

93

94

95

96

97

98

99

100

101

102

103

104

105

106

107

108

109

110

111

112

53

54

55

JEST: 1747

S.-I. Yang et al. / Engineering Structures xx (xxxx) xxx-xxx

- [19] McAllister TP, Ellingwood BR. Evaluation of crack growth in miter
 gate weldments using stochastic fracture mechanics. Structural Safety
 2001;23(4):445–65.
- 4 [20] Mori Y, Ellingwood BR. Maintening reliability of concrete structures
 5 I: role of inspection and repair. Journal of Structural Engineering,
 6 ASCE 1994;120(8):824–45.
- 7 [21] Thompson PD, Small EP, Johnson M, Marshall AR. The Pontis bridge
 8 management system. Structural Engineering International, IABSE
 9 1998;8(4):303–8.
- [22] Tilly GP. Principles of whole life costing. In: Das PC, editor. Safety
 of bridges. Thomas Telford; 1997. p. 138–44.
- 12 [23] Van Noortwijk JM, Klatter HE. The use of lifetime distributions
- in bridge replacement modeling. In: Casas JR, Frangopol DM,
 Nowak AS, editors. Bridge maintenance, safety and management. Barcelona: CIMNE; 2002 [8 pages on CD-ROM].

- [24] Yang S-I. Predicting lifetime reliability of deteriorating systems with and without maintenance. Ph.D. thesis. Department Civil, Environmental, and Architectural Engineering, University of Colorado at Boulder; 2002.
- [25] Yang S-I, Frangopol DM, Neves LC. Service life prediction of structural systems using lifetime functions with emphasis on bridges. Reliability Engineering and System Safety, Elsevier 2004;86(1): 39–51.
- [26] Yang S-I, Frangopol DM, Kawakami Y, Neves LC. The use of lifetime functions in the optimization of interventions on existing bridges considering maintenance and failure costs. Reliability Engineering and System Safety, Elsevier 2005 [in press].
- [27] Zheng R, Ellingwood BR. Stochastic fatigue crack growth in steel structures subject to random loading. Structural Safety 1998;20(4): 303–23.

11

27

28

29

15