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Ecological survey of *Saccharomyces cerevisiae* strains from vineyards in the Vinho Verde Region of Portugal

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23 **Abstract**

24 One thousand six hundred and twenty yeast isolates were obtained from 54 spontaneous
25 fermentations performed from grapes collected in 18 sampling sites of three vineyards
26 (Vinho Verde Wine Region in northwest Portugal) during the 2001-2003 harvest
27 seasons. All isolates were analyzed by mitochondrial DNA restriction fragment length
28 polymorphism (mtDNA RFLP) and a pattern profile was verified for each isolate,
29 resulting in a total of 297 different profiles, all revealed to belong to the species
30 *Saccharomyces cerevisiae*. The strains corresponding to seventeen profiles showed a
31 wider temporal and geographical distribution, being characterized by a generalized
32 pattern of sporadic presence, absence and reappearance. One strain (ACP10) showed a
33 more regional distribution with a perennial behavior. In different fermentations ACP10
34 was either dominant or not, showing that the final outcome of fermentation was
35 dependent on the specific composition of the yeast community in the must. Few of the
36 grape samples collected before harvest initiated a spontaneous fermentation, compared
37 to the samples collected after harvest, in a time frame of about 2 weeks. The associated
38 strains were also much more diversified: 267 patterns among 1260 isolates compared to
39 30 patterns among 360 isolates in the post- and pre-harvest samples respectively.
40 Fermenting yeast populations have never been characterized before in this region and
41 the present work reports the presence of commercial yeast strains used by the wineries.
42 The present study aims at the development of strategies for the preservation of
43 biodiversity and genetic resources as a basis for further strain development.

44 **1. Introduction**

45 Traditionally, wine fermentation is carried out in a spontaneous way by indigenous
46 yeast either present on the grapes when harvested or introduced from the equipment and
47 cellar during the vinification process. All recent research agrees that the predominant
48 species on healthy grapes are apiculate yeasts like *Hanseniaspora uvarum* (and its
49 anamorph form *Kloeckera apiculata*) and oxidative species such as *Candida*, *Pichia*,
50 *Kluyveromyces* and *Rhodotorula* [1]. Contrarily, fermentative species of the genus
51 *Saccharomyces*, predominantly *Saccharomyces cerevisiae*, occur in extremely low
52 number on healthy undamaged berries or in soils [2-4], while damaged grapes are
53 believed to be an important source of *S. cerevisiae* [5]. The prevalence of strains
54 belonging to this species is well documented among the wineries resident flora [6-10].
55 The grape's yeast flora depends on a large variety of factors such as climatic conditions
56 including temperature and rainfalls, geographic localization of the vineyard [4, 9],
57 antifungal applications [11], grape variety and the vineyard's age [12-14], as well as the
58 soil type [15]. Several ecological surveys, using molecular methods of identification,
59 report a large diversity of genetic patterns among the enological fermentative flora. *S.*
60 *cerevisiae* strains seem to be widely distributed in a given viticultural region [16-19],
61 can be found in consecutive years [20, 21] and there are also strains predominant in the
62 fermenting flora [2, 22], hypothesizing the occurrence of specific native strains that can
63 be associated with a *terroir*.

64 Selected yeast starters are nowadays widely used since they possess very good
65 fermentative and oenological capabilities, contributing to both standardization of
66 fermentation process and wine quality. In the years following the publication of the *S.*
67 *cerevisiae* genome sequence [23], enough evidence was provided showing substantial

68 genetic differences among wine yeast strains [24-26]. Therefore, exploring the
69 biodiversity of indigenous fermentative strains can be an important contribution towards
70 the understanding and selection of strains with specific phenotypes.

71 The genetic diversity of *S. cerevisiae* strains has been analyzed by several methods such
72 as karyotyping by pulse field gel electrophoresis [27], mitochondrial DNA restriction
73 analysis (mtDNA RFLP) [28-31], fingerprinting based on repetitive delta sequences
74 [32, 33] and microsatellite genotyping [34-36]. Schuller *et al.* [37] have recently shown
75 that microsatellite typing, using 6 different loci [36], an optimized interdelta sequence
76 analysis [33] and RFLP of mitochondrial DNA generated by the enzyme *HinfI* had the
77 same discriminatory power. In the present work mtDNA RFLP analysis using *HinfI* was
78 applied as genetic marker for the distinction of *S. cerevisiae* strains.

79 The aim of the present work was to assess the biodiversity of the fermenting flora found
80 in vineyards belonging to the Vinho Verde Region in order to define strategies for
81 future wine strain selection programs. Another goal was the establishment of a strain
82 collection contributing to the preservation of *S. cerevisiae* genetic resources.

83

84 **2. Materials and methods**

85 *2.1 Sampling*

86 The sampling plan included a total of 18 sites in three vineyards surrounding a winery,
87 located in northwest Portugal (Região Demarcada dos Vinhos Verdes). In each
88 vineyard, six sampling points were defined according to vineyard geography, and the
89 distance between winery and the sampling sites varied between 20 to 400 m, as shown
90 in Figure 1. Two sampling campaigns were performed before (early stage) and after

91 (late stage) harvest, in a time frame of about 2 weeks, in order to assess the diversity
92 among fermentative yeast communities during the last stage of grape maturation and
93 harvest. This experiment was repeated in three consecutive years (2001-2003). Samples
94 were not always collected from the same rootstock, but from the same area (\pm 1-2 m).
95 The grapevine varieties sampled were Loureiro (vineyard A), Alvarinho (vineyard P)
96 and Avesso (vineyard C), being all white grapes used in the Vinho Verde Region.

97

98 *2.2 Fermentation and strain isolation*

99 From each sampling point, approximately 2 kg of grapes were aseptically collected and
100 the extracted grape juice was fermented at 20°C in small volumes (500 ml), with
101 mechanical agitation (20 rpm). Fermentation progress was monitored by daily weight
102 determinations. Fermentation progress was monitored by daily determinations of the
103 musts mass loss. When a reduction by 70 g/l was observed, corresponding to the
104 consumption of about 2/3 of the sugar content, diluted samples (10^{-4} and 10^{-5}) were
105 spread on YPD plates (yeast extract, 1% w/v, peptone, 1% w/v, glucose 2% w/v, agar
106 2%, w/v), and 30 randomly chosen colonies were collected after incubation (2 days,
107 28°C). The isolates obtained from all fermentations throughout this work were stored in
108 glycerol (30%, v/v) at -80°C.

109

110 *2.3 DNA isolation*

111 Yeast cells were cultivated in 1 ml YPD medium (36 h, 28°C, 160 rpm) and DNA
112 isolation was performed as described [28] with a modified cell lysis procedure, using 25

113 U of Zymolase (SIGMA). Cell lysis was dependent on the strain and lasted between 20
114 minutes and 1 hour (37°C). DNA was used for mitochondrial RFLP.

115

116 *2.4 Mitochondrial DNA RFLP*

117 Restriction reactions were performed as described [37]. The attributed designations for
118 observed distinct patterns were A1-A93, C1-C62 and P1-P135, corresponding to
119 isolates from vineyard A, C and P respectively. Pattern designation ACP10 refers to a
120 strain common to all vineyards and C69P77 and C42P80 were assigned to strains
121 common to vineyard C and P. Pattern profiles that are identical to commercial starter
122 yeasts used by the wineries are designated S1-S6. One representative strain of each of
123 the 297 patterns was withdrawn and tested for growth in a medium containing lysine as
124 sole nitrogen source [38].

125

126 *2.5 Analytical methods*

127 **Sugar concentration was determined by a previously described dinitrosalicylic**
128 **method [39].**

129

130 **3. Results**

131 In the present work, three vineyards, situated in the Vinho Verde Wine Region, in
132 northwest Portugal, were sampled during the 2001-2003 harvest seasons (Figure 1). In
133 order to obtain a more detailed picture of fermenting yeast temporal distribution, two
134 sampling campaigns were performed, one before and another after the harvest, in a time
135 frame of about two weeks. A total of 108 grape samples have been planned (six

136 sampling points x two sampling campaigns x three vineyards x three years), from which
137 54 started a spontaneous fermentation, 36 were not able to start fermentation after 30
138 days of incubation, whereas 18 samples were not collected due to unfavorable weather
139 conditions and a bad sanitation state of the grapes in 2002. From the 54 fermentations
140 1620 yeast isolates were obtained. All the isolates were analyzed by their mtDNA RFLP
141 (*Hinf*I) and a pattern profile was attributed to each isolate, resulting in a total of 297
142 different profiles.

143 The total yeast count (cfu in YPD medium) ranged between 1.0×10^6 and 8.0×10^7 ,
144 corresponding to values generally described for grape must fermentations. All isolates
145 belonged to the species *S. cerevisiae* due to their inability to grow in a medium
146 containing lysine as sole nitrogen source and by their capacity to amplify six *S.*
147 *cerevisiae* specific microsatellite loci. None or only 1 of the loci was amplified in other
148 *Saccharomyces* species occurring in wine such as *S. paradoxus* and *S. bayanus*,
149 respectively. No amplification was observed for species that are generally present at
150 initial stages of fermentation, such as *Candida stellata*, *Pichia membranifaciens* and
151 *Kloeckera apiculata* (not shown).

152 The results of mtDNA RFLP for the 1620 isolates are summarized in Table 1. Among
153 the total 450 isolates collected in vineyard A, 93 corresponded to unique patterns
154 whereas in C and P a total 450 and 690 strains were isolated, corresponding to 62 and
155 135 unique patterns, respectively.

156 For 11 common patterns, found in more than one fermentations (Table 1 and Figure 2),
157 and also for six commercial starter yeast strains (S1-S6), a wider geographical and
158 temporal distribution was verified. Patterns S1 to S6 corresponded to commercial starter

159 yeasts that had been used in the wineries for the last few years. Perennial strains were
160 associated with more sites of a single vineyard (patterns A06 and S6, P136, P50), but
161 showed also a wider distribution across multiple sampling sites in two or three
162 vineyards (patterns S3, S4, and ACP10). Patterns S1, S2, C63, A11, A13, P03 and P24
163 were found only in one year but across several sampling sites of a single vineyard,
164 while strain S5 had a wider distribution across several sampling sites of vineyard C and
165 P. Patterns C42P80 and C69P77 appeared only in a single sampling site during 2003 of
166 both vineyards C and P. Pattern ACP10 is the only “regional” isolate with a wider
167 geographical distribution, whereas A06, A11, A13, C63, P03, P27, P50 and P136 can be
168 considered as “vineyard-strains” due to their occurrence in multiple sampling sites
169 and/or years.

170 The wet weather in the summer 2002 resulted in severe fungal infestations and heavy
171 applications of chemical sprays, being probably the reason for the merely 12 unique
172 patterns among the 150 strains collected in the late sampling stage in 2002 in vineyard
173 P. In 2003, this relation was again more similar to the one found in 2001 (47 and 62
174 unique patterns among each 180 isolates from the late sampling stages of vineyard P).

175 As shown in Figure 3, onset of spontaneous fermentation was verified in almost all
176 grape samples collected in the late sampling campaign. This was rarely the case for
177 most of the samples collected some days before the harvest. Must prepared from grapes
178 collected in the early sampling stage in vineyard A, never started to ferment
179 spontaneously. An accidental agrichemical over-dosage occurred in 2001, resulting in
180 delayed spontaneous fermentation onset for three of the four post-harvest samples (II,
181 III and VI). In the following two years, fermentation profiles were similar to samples
182 from C and P, suggesting the recovery of the intervenient flora.

183 Fermentation started after six to twelve days being generally accomplished by one to
184 twenty strains. Spontaneous fermentations were performed by one or more
185 predominating strains accompanied by no, few or many “secondary” strains, or by a
186 very heterogeneous yeast community with no prevalent strain(s). This is in agreement
187 with other studies reporting the presence of one or two predominating strains
188 constituting more than 50% of total biomass, and a varying number of “secondary”
189 strains [7, 17, 19, 29, 40, 41], or presence of many distinct strains with no prevalence
190 [22, 42]. The occurrence of both situations has also been reported [16, 18, 43].

191 Apparently no correlation between the number of strains involved in a fermentation and
192 sampling site, year or vineyard was found. The wider distributed strain (ACP10) was
193 dominant in six fermentations (AII-2002, AI-2003, AII-2003, CIII-2003, PIII-2002,
194 PVI-2002) contributing to 77-100% (23 to 30 strains) of the total yeast flora, but was of
195 minor importance in five fermentations (AI-2002, PII-2001, PII-2002, PI-2003, PVI-
196 2003), accounting for only 3-10% (one to three strains), and being accompanied by one
197 to sixteen different strains. The distribution of this strain is not associated with the
198 capability to predominate in fermentation, and competition with accompanying strains
199 seems to play the key role.

200 Vineyard-specific patterns of samples collected in the early stage did not appear after
201 two weeks at the same site (P, 2001 and 2003, C, 2001) with the exception of the more
202 generalized patterns S1, S2, S3, S4, S5, ACP10 and P136, speaking in favor of a very
203 diversified *S. cerevisiae* flora.

204 Being the question about origin of wine yeasts still controversial [3, 5, 8, 44], our results
205 clearly indicate that *S. cerevisiae* occurs in vineyard ecosystems belonging to the Vinho

206 Verde Region in sufficient high numbers to conduct a spontaneous fermentation from
207 musts prepared with approximately two kg of grapes. However, some remarks have to
208 be made concerning our experimental approach. Grape must creates selective and very
209 stressful conditions for yeast, totally distinct from the environmental influences in
210 nature. It is therefore clear that our data refer only to *S. cerevisiae* strains capable to
211 survive the conditions imposed by fermentation, under our experimental circumstances,
212 giving therefore a distorted picture (underestimation) of the kind of strains really
213 occurring in vine. As the detection limit of our experimental approach is 3.3% (one
214 strain in 30 isolates), rare strains, although capable to survive fermentation, might also
215 have not been detected. Searching for *S. cerevisiae* in 18 sites, in two campaigns and
216 over three years using a direct-plating method from single grape berries, as described
217 [3] would be highly labor-intensive. Therefore we regard our approach as an acceptable
218 compromise, allowing good estimation of population composition, but preventing a
219 precise description in terms of relative strain abundance in nature.

220 4. Discussion

221 Biogeographical large-scale surveys and studies on the genetic diversity of *S. cerevisiae*
222 strains isolated from spontaneous fermentations have documented the dynamic nature of
223 these populations. In the present study, 297 different genetic patterns have been found
224 among 1620 isolates obtained from 54 small scale fermentations performed with grapes
225 from three vineyards located in the Vinho Verde Region, during a three years period.
226 The overwhelming majority of the patterns were unique, demonstrating an enormous
227 biodiversity of *S. cerevisiae* strains in the Vinho Verde Region. Considering the ratio
228 between the number of isolates and the number of patterns as an approximate
229 biodiversity estimative, our results showed similar values to previously published
230 surveys on genetic diversity of autochthonous oenological *S. cerevisiae* strains in other
231 regions with viticulture traditions such as Bordeaux [2], Charentes [17, 45], Campagne
232 and Loire Valley [21], in France; El Penedèz [46], Tarragona [7], Priorato [20, 22] and
233 La Rioja [47] in Spain; Germany and Switzerland [41]; Tuscany, Sicily [48] and Collio
234 [49] in Italy; Amyndeon and Santorini [42] in Greece; Western Cape [16, 18, 43] in
235 South Africa; Patagonia [19] in Argentina.

236 The present study has been carried out in a viticultural region that has never been
237 characterized before and includes aspects that have not been considered in previous
238 works, such as the appearance of several commercial yeast strains, and the comparison
239 of yeast populations that can be found in grape samples before and after the harvest.

240 The vast majority of the strains did not display a perennial behavior, being the flora of
241 each year characterized by the appearance of many new patterns. This might be
242 attributed to the sampling of only 12 x 2 kg of grapes per vineyard and year, being not

243 enough to grasp the entire biodiversity wealth of a given area. Another reason for the
244 appearance of new patterns could be attributed to recombination and evolutionary
245 forces, but it seems unlikely that such changes occur from one year to another to justify
246 the presence of many distinct patterns in consecutive years. Mitochondrial DNA RFLP
247 patterns are stable when *S. cerevisiae* cells undergo about five to seven divisions during
248 alcoholic fermentation (our unpublished data).

249 Among all patterns only ACP10 showed a wide regional distribution with a perennial
250 behavior, being a preliminary evidence for a strain representing a “*terroir*” as described
251 [17, 21]. However, the wider distribution of a strain is not necessarily correlated with a
252 better technological fitness. This makes sense from an ecological point of view, since
253 the selective forces that act in a vineyard are completely different from those that yeast
254 may find in a fermenting grape must. Further physiological characterization under wine
255 making conditions is required to evaluate the potentialities of this strain. The
256 appearance of this strain did not obey to a generalized pattern, but rather to sporadic
257 presence, absence and reappearance, due to natural population fluctuations. The
258 perennial appearance of pattern ACP10 is a consequence of its prevalence in the local
259 microflora. In different fermentations, ACP10 was dominant or not, showing that the
260 final outcome of fermentation was dependent on the specific composition of the yeast
261 community in the must, that is influenced by many factors such as the killer effect
262 which depends strongly on the ratio of killer to sensitive cells at the beginning of the
263 fermentation [50].

264 Grape variety of vine A was Loureiro, being Alvarinho and Avesso the cultivars of
265 vineyard P and C, respectively, indicating that the grape variety could contribute to the
266 finding of so many distinctive patterns. Traditional wine-making practices are very

267 similar in A, C and P, and differences in climatic influences seem to be of minor
268 influence since the three vineyards are geographically close. However, one can not
269 exclude microclimatic influences, not recorded in the present study.

270 A first sampling campaign was performed some days before the harvest; a second was
271 carried out a few days after the end of harvest. This was accomplished in a time frame
272 of about two weeks, in order to obtain a more detailed picture of the temporal
273 distribution of fermenting yeast populations during the harvest. As grapes mature to full
274 ripeness, yeasts become more abundant. The last stage of the grape maturation can favor
275 fermentative yeast proliferation on grape surfaces, due to the decrease of grape skin
276 integrity and must leakage from the berries. Insects are the probable source of yeast on
277 damaged grapes. Yeast colonization of grapes can reach values of about 10^5 - 10^6
278 cfu/berry [51]. Before vintage, only 5% of the grapes harbor yeasts, being this number
279 much higher (60%) during vintage [52]. As expected, only 11 of 42 pre-harvest samples
280 (26%) were able to ferment spontaneously compared to 43 of 48 post-harvest samples
281 (90%). The associated strains were also much more diversified in the late sampling
282 campaign (267 patterns among 1260 isolates) compared to the early stage (30 patterns
283 among 360 isolates). With only one exception (pattern P136), autochthonous strain
284 patterns from the early sampling stage did not appear in the late sampling stage,
285 speaking in favor of a succession of *S. cerevisiae* strains. Alternatively, differences can
286 be attributed to the fact that different grape bunches were harvested, that may have,
287 although in close proximity to each other, a distinct flora. It seems unlikely that the
288 enormous increase in strain variability at harvest time is due to a spreading of winery-
289 resident flora with harvesting equipment.

290 The present work is the first large-scale approach about the vineyard-associated strains
291 from the Vinho Verde Region in Portugal, being a useful approach to obtain a deeper
292 insight into ecology and biogeography of *S. cerevisiae* strains, even among
293 geographically close regions. We consider these studies indispensable for the
294 developing of strategies aiming at the preservation of biodiversity and genetic resources
295 as a basis for further strain development.

296

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305 Figure 1

306 Geographic location of the three vineyards A, C and P in the Vinho Verde Wine Region
307 with indication of the wineries and the corresponding sampling sites PI-PVI, AI-AVI
308 and CI-CVI.

309

310 Table 1

311 MtDNA RFLP analysis of 1620 yeast isolates from fermented must prepared with
312 grapes collected in vineyards A, C and P of the Vinho Verde Region, indicated in
313 Figure 1, during the harvest of 2001, 2002 and 2003. E - early sampling stage; L - late
314 sampling stage; NF - no spontaneous fermentation; NC - not collected.

315

316 Figure 2

317 Examples of common mitochondrial DNA RFLP (*HinfI*) patterns, as listed in Table 1,
318 found in yeast strains isolated from spontaneous fermentations of must collected as
319 described in Materials and methods.

320

321 Figure 3

322 Fermentation profile (lines) and sugar content (bars) of must samples collected in the
323 early (open circles and bars) and late (closed circles and bars) sampling campaigns from
324 which yeast strains analyzed in this work were isolated. In each plot, mtDNA RFLP
325 pattern designations of the yeast isolates are inserted. Predominating strains are double

326 ($\geq 50\%$) or simple (20-50%) underlined. Pattern designations from post-harvest
327 fermentations are bold. Common patterns are in highlighted in grey squares.

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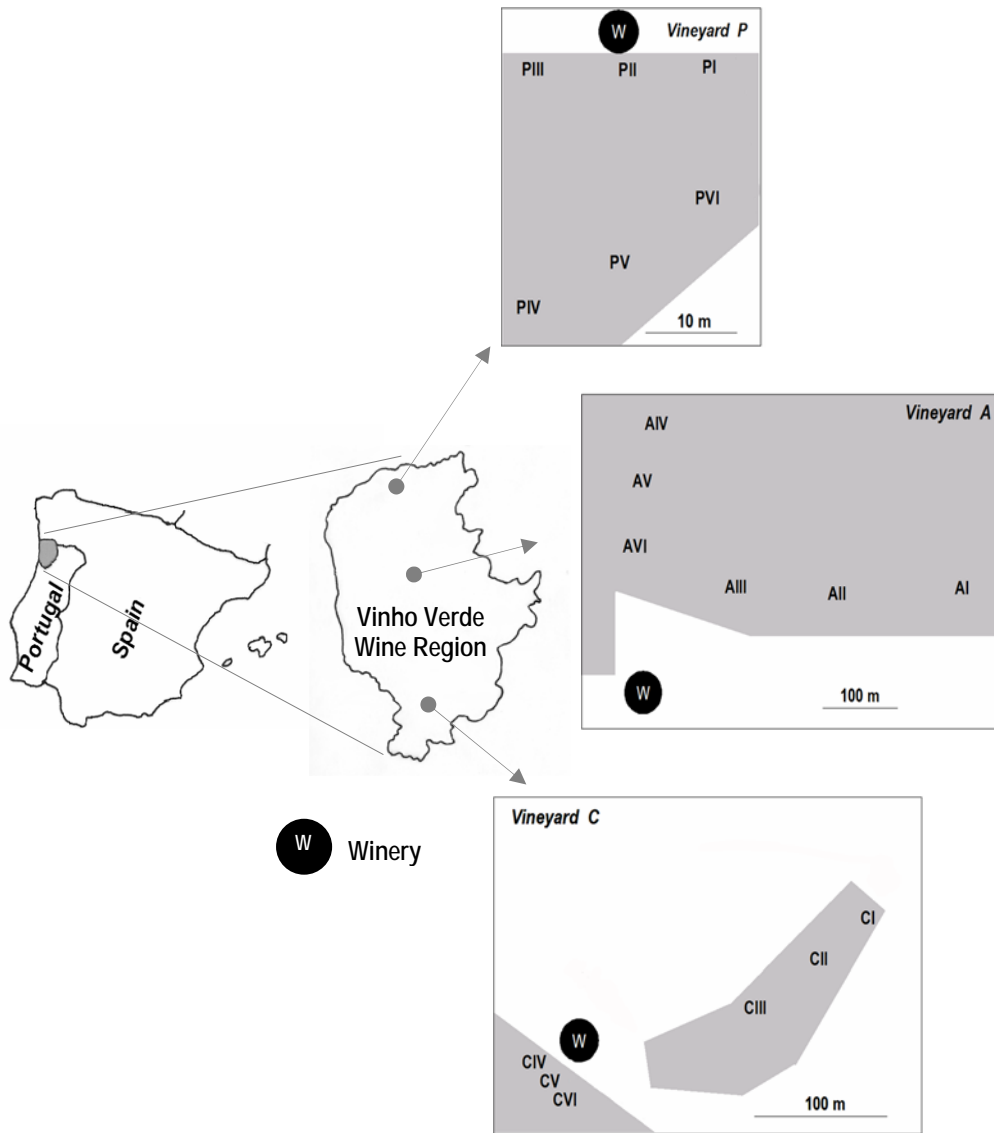


Figure 1

Table 1

	Site	Number of isolates	Number of distinct patterns	Number of unique patterns	Common patterns		
Vineyard A	E	AI AII AIII	NF	-	-		
		AIV AV AVI		-	-		
	2001	L	AI AIV AVI	NF	-	-	
			AII		2		
			AIII	90	8	10	A06
			AV		1		
	E	AI AII AIII	NC	-	-	-	
		AIV AV AVI		-	-		
	2002	L	AI		16	ACP10 A06 A11	
			AII		2	ACP10	
AIII			180	9	34	A11 A13	
AIV				6	A06 A13		
AV			9	A13			
AVI			1	-			
E	AI AII AIII	NF	-	-	-		
	AIV AV AVI		-	-			
2003	L	AI		3	ACP10 S3		
		AII		1	ACP10 S3		
		AIII	180	9	41	A06	
		AIV		12	-		
		AV	19	-			
		AVI	2	-			

Table 1 (cont.)

	Site	Number of isolates	Number of distinct patterns	Number of unique patterns	Common patterns		
Vineyard C	CI CII CIII	NF	-	-	-		
	E	CIV		5		S1 S2 S3 S4 S5	
		CV	90	4	2	S4 S5	
		CVI		1		S1	
		<hr/>					
	2001	CI	NF	-	-	-	
		CII		20		S5	
		CIII		4		S1 S4 S5	
		L	CIV	150	2	24	S3 S5
			CV		4		S1 S3 S4 S5
			CVI		8		S1 S2 S4 S5
	<hr/>						
2002	CI CII CIII	NC	-	-	-		
	E	CIV	30	1	1	-	
		CV CVI	NF	-	-	-	
	L	CI CII CIII	NC	-	-	-	
		CIV CV CVI					
	<hr/>						
2003	CI CIII CIV	NF	-	-	-		
	E	CV CVI					
		CII	30	3	3	-	
	L	CI		8		S3 S4 C69P77 C63	
		CII		3		C63	
		CIII		1		ACP10	
		CIV	180	18	32	S1, C42P80	
		CV		9		-	
		CVI		2		S4	

Table 1 (cont.)

	Site	Number of isolates	Number of distinct patterns	Number of unique patterns	Common patterns		
2001	E	PI	60	2	2	P136	
		PII		2		P136	
		PIII PIV PV PVI	NF	-	-	-	
	L	PI		6		S3 S5 S6 P136	
		PII		17		S3 S6 ACP10 P03 P136	
		PIII	180	8	62	-	
2002	E	PIV		21		P03 P24 P50 P136	
		PV		15		S3 P24	
		PVI		13		S3 S6 P136	
	L	PI PII PIII	NF	-	-	-	
		PIV PV PVI	NC	-	-	-	
		PIV	NF	-	-	-	
		PI		5			
		PII		4		ACP10 S6 P136	
		PIII	150	1	12	ACP10	
	2003	E	PV		10		S3 S6 P50 P136
			PVI		1		ACP10
			PIV PV	NF	-	-	-
L		PI		10		-	
		PII		1		-	
		PIII	120	1	12	P136	
	PVI		2		ACP10		
	PI		15		ACP10, S3 C69P77		
	PII		1		-		
2003	L	PIII	180	9	47	P136	
		PIV		18		S3	
		PV		5		C42P80	
		PVI		5		-	

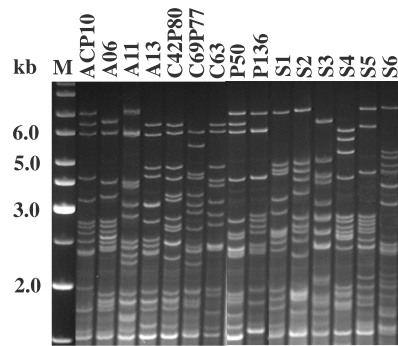


Figure 2

Winery A

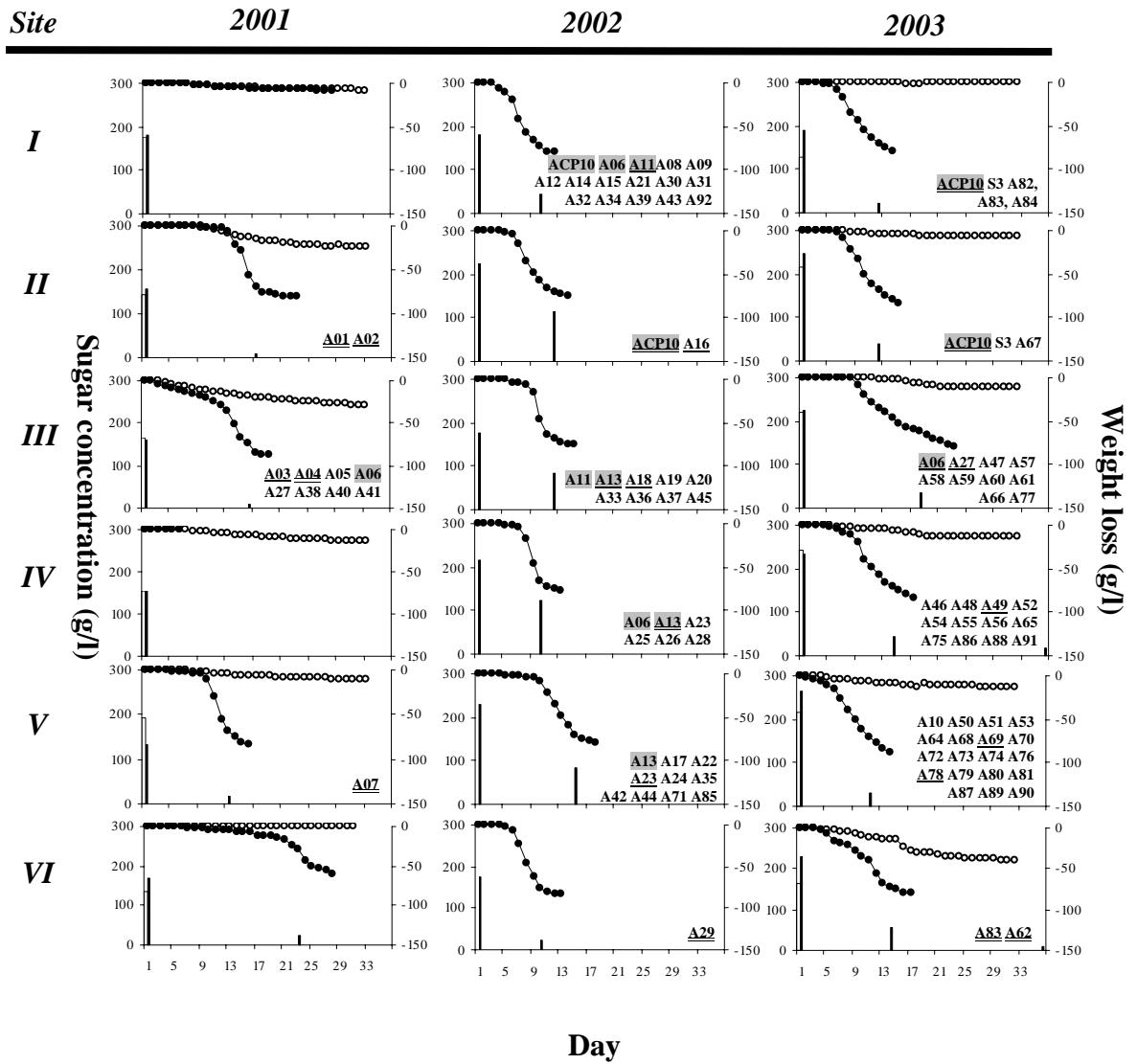


Figure 3

Winery C

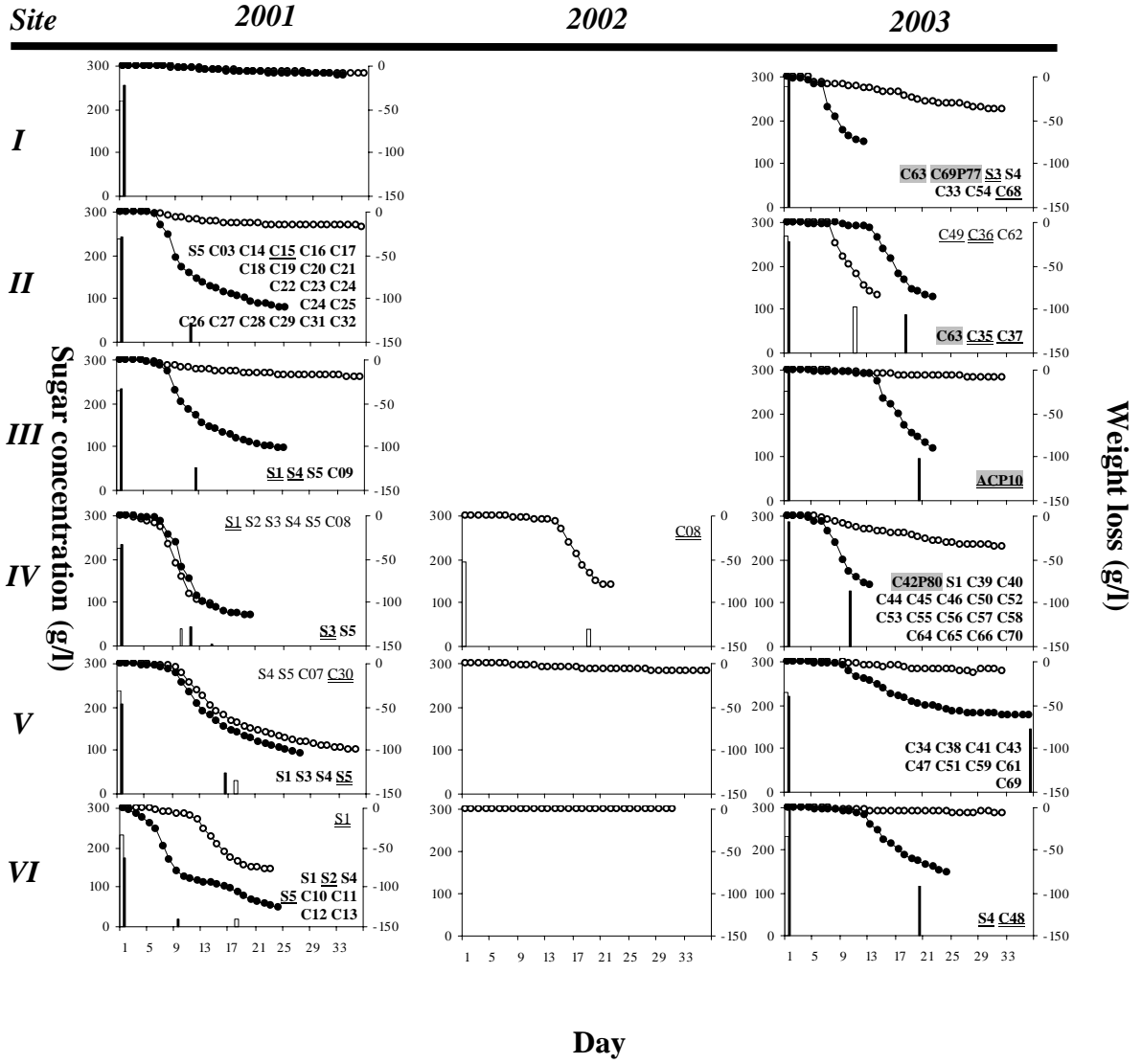


Figure 3 (cont.)

Winery P

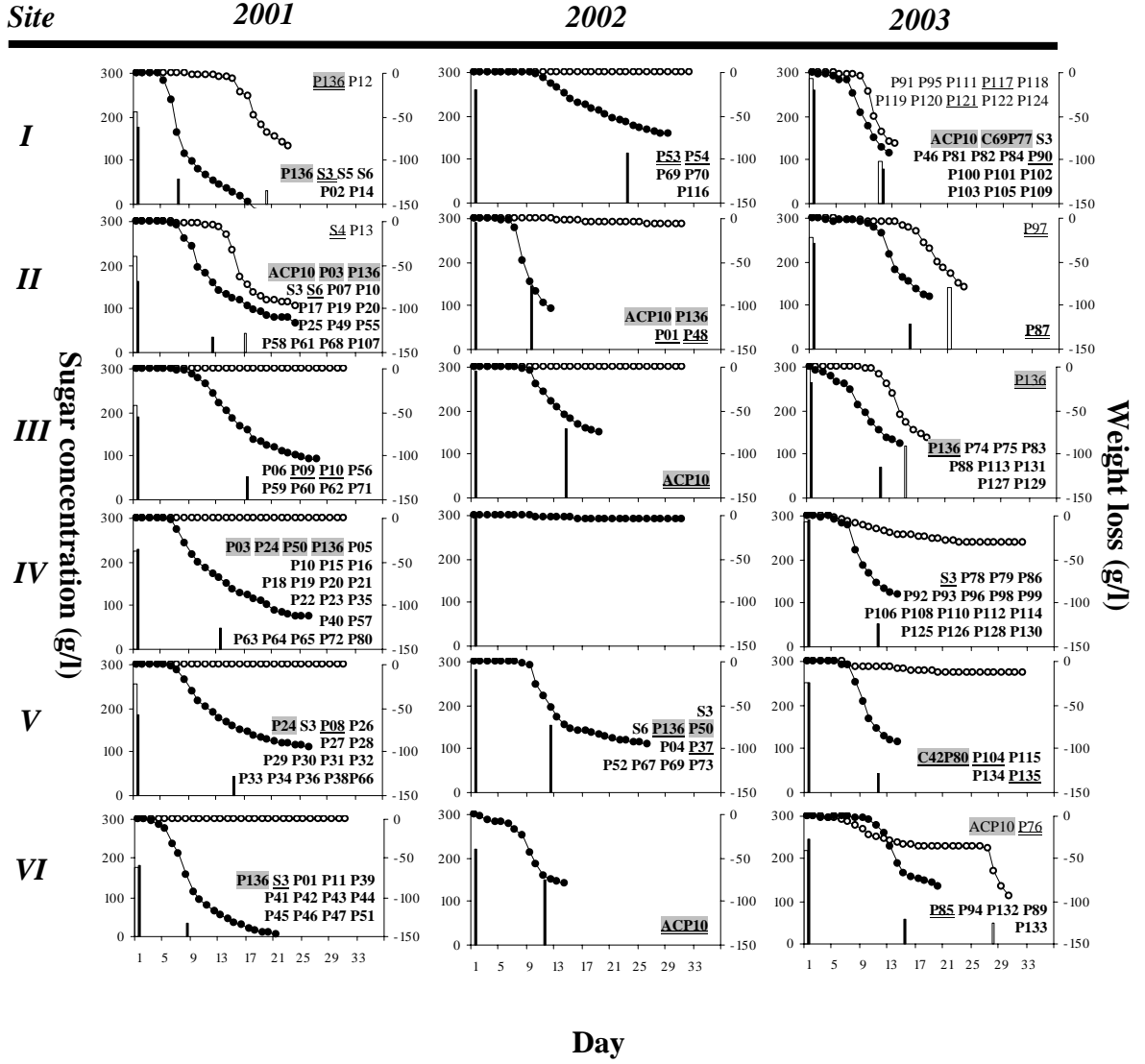


Figure 3 (cont.)