



UvA-DARE (Digital Academic Repository)

Bias Out-of-the-Box: An Empirical Analysis of Intersectional Occupational Biases in Popular Generative Language Models

Asano, Y.; Benussi, E.; Dreyer, F.; Iqbal, H.; Kirk, H.R.; Shtedritski, A.; Volpin, F.; Jun, Y.

Publication date

2022

Document Version

Author accepted manuscript

Published in

35th Conference on Neural Information Processing Systems (NeurIPS 2021)

[Link to publication](#)

Citation for published version (APA):

Asano, Y., Benussi, E., Dreyer, F., Iqbal, H., Kirk, H. R., Shtedritski, A., Volpin, F., & Jun, Y. (2022). Bias Out-of-the-Box: An Empirical Analysis of Intersectional Occupational Biases in Popular Generative Language Models. In M. Ranzato, A. Beygelzimer, Y. Dauphin, P. S. Liang, & J. Wortman Vaughan (Eds.), *35th Conference on Neural Information Processing Systems (NeurIPS 2021) : online, 6-14 December 2021* (Vol. 4, pp. 2611-2624). (Advances in Neural Information Processing Systems; Vol. 34). Neural Information Processing Systems Foundation. <https://papers.nips.cc/paper/2021/hash/1531beb762df4029513ebf9295e0d34f-Abstract.html>

General rights

It is not permitted to download or to forward/distribute the text or part of it without the consent of the author(s) and/or copyright holder(s), other than for strictly personal, individual use, unless the work is under an open content license (like Creative Commons).

Disclaimer/Complaints regulations

If you believe that digital publication of certain material infringes any of your rights or (privacy) interests, please let the Library know, stating your reasons. In case of a legitimate complaint, the Library will make the material inaccessible and/or remove it from the website. Please Ask the Library: <https://uba.uva.nl/en/contact>, or a letter to: Library of the University of Amsterdam, Secretariat, Singel 425, 1012 WP Amsterdam, The Netherlands. You will be contacted as soon as possible.

Bias Out-of-the-Box: An Empirical Analysis of Intersectional Occupational Biases in Popular Generative Language Models

Hannah Rose Kirk^{†‡}, Yennie Jun[†], Haider Iqbal[†], Elias Benussi[†],
Filippo Volpin[†], Frederic A. Dreyer[†], Aleksandar Shtedritski[†], Yuki M. Asano[†]

[†]Oxford Artificial Intelligence Society, University of Oxford

[‡]hannah.kirk@oii.ox.ac.uk

Abstract

The capabilities of natural language models trained on large-scale data have increased immensely over the past few years. Open source libraries such as HuggingFace have made these models easily available and accessible. While prior research has identified biases in large language models, this paper considers biases contained in the most popular versions of these models when applied ‘out-of-the-box’ for downstream tasks. We focus on generative language models as they are well-suited for extracting biases inherited from training data. Specifically, we conduct an in-depth analysis of GPT-2, which is the most downloaded text generation model on HuggingFace, with over half a million downloads per month. We assess biases related to occupational associations for different protected categories by intersecting gender with religion, sexuality, ethnicity, political affiliation, and continental name origin. Using a template-based data collection pipeline, we collect 396K sentence completions made by GPT-2 and find: (i) The machine-predicted jobs are less diverse and more stereotypical for women than for men, especially for intersections; (ii) Intersectional interactions are highly relevant for occupational associations, which we quantify by fitting 262 logistic models; (iii) For most occupations, GPT-2 reflects the skewed gender and ethnicity distribution found in US Labor Bureau data, and even pulls the societally-skewed distribution towards gender parity in cases where its predictions deviate from real labor market observations. This raises the normative question of what language models *should* learn - whether they should reflect or correct for existing inequalities.

1 Introduction

The advent of deep learning and massive growth in training data have led to natural language models surpassing humans on numerous benchmarks [1, 22, 39, 40]. However, as Bender et al. [7] states, these models can exacerbate existing biases in data and perpetuate stereotypical associations to the harm of marginalized communities. Simultaneously, pre-trained models have become readily accessible via open source libraries such as HuggingFace, allowing non-experts to apply these tools in their own applications. These developments in generative language models substantiate a need to understand the potential for biases towards protected classes, such as gender and ethnicity.

This paper considers potential biases present in the most popular and most downloaded versions of large-scale, open sourced text generation models applied ‘out-of-the-box’. Despite the release of newer and larger models often redirecting researchers’ attention, there exist important research gaps in existing models. Bearing in mind that the potential negative total impact from biased models is correlated with number of downloads of that model, this paper tests the biases in the small GPT-2

model, which is the most downloaded text generation model on HuggingFace with over half a million downloads in the month of May 2021 alone. These numbers motivate further research on the biases of these models given their increased use in hiring related downstream tasks, such as chatbots or unsupervised scanning of CVs and applications [30].

Within this context, specifying which biases to analyze is crucial; Blodgett et al. [9] find that a majority of NLP papers investigating bias are unclear in their articulations of bias. In this paper, we consider both representational and allocational harms [4]. We attempt to elucidate representational harms, or those harmful in their own right, by highlighting occupation-related stereotypes that may propagate negative generalizations about particular social groups. For example, women’s higher likelihood of being associated with care-oriented occupations may perpetuate unwanted stereotypes. Especially within the context of occupations, such associations may lead to allocation harms. Frequent stereotypical association of certain demographic groups with a subset of occupations may lead to conditioned expectations in job hiring where a certain individual is predicted to be well-suited for a job based on their demographics [20].

In this paper, we generate 396K sentence completions using GPT-2 with default parameters to assess which occupations GPT-2 preferentially associates with intersections of gender and protected classes. We further compare these to real-world occupation data from the US Labor Bureau to map model biases to systemic societal biases. This paper provides the following contributions: **(a)** a detailed data collection protocol for studying intersectional biases in generative language models; **(b)** the analysis of biases present in GPT-2 for gender intersected with ethnicity, religion, sexuality, political affiliation, and continent name origin; and **(c)** a comparison of GPT-2’s predictions with ground truth occupation distribution as observed in US labor market data.¹

2 Related Work

Bias in NLP models. Negative generalizations, stereotypes, or misrepresentations of particular social groups can be learned by generative language models. Extensive research has shown that unrestricted training of natural language models can inherit human biases and, in some cases, amplify them [11, 13, 19, 43]. Previous papers have worked to identify, quantify, and de-bias context-independent word embeddings such as Word2Vec and GloVe [11, 15, 44]. Researchers have also attempted to quantify and mitigate biases in transformer-based generative language models, such as ELMo [44] and BERT [8, 26]. Nadeem et al. [28] evaluate stereotypical bias in various language models, including XLNet and GPT-2, related to domains of gender, profession, race, and religion, although they do not consider the *intersections* of these domains. We extend these domains by additionally considering continental name origin and political affiliation, intersected with gender.

Probing language models. Our work utilizes what Solaiman et al. [35] term ‘bias probes’ - a battery of inputs to large language models to produce certain outputs in order to map the biases learned by these models. The authors generate hundreds of samples for several potentially problematic prompts (i.e., “Police describe the suspect as...”, “The victim was...”) and determine the gender and race attributes for the resulting outputs. Most similar to our work, Sheng et al. [33] utilize *prefix templates* (i.e., “X worked as...”, “X had a job as...”), including those related to occupation contexts. They generate 100 samples for each prefix template and demographic combination (gender intersected with ethnicity and sexuality) and analyze bias in GPT-2 by using sentiment score as a proxy for bias. We extend such work by conducting an empirical analysis of the sentence completions within the specific context of bias towards occupational associations.

In our paper, we focus on one sentence template to reduce variation in returned occupations while keeping sentence semantic structures fixed. Unlike [33], we do not introduce potentially noisy sentiment classification, instead directly analyzing the statistical distributions of returned occupations. Further, we generate an order of magnitude more samples than [33, 35] for greater statistical robustness. Lastly, unlike previous work, we compare the returned occupational associations from our completed prefix templates to real-world US labor market data.

We choose the proposed protocol to evaluate biases in text as it is best suited for probing generative language models in their most “natural” form, in which sentence completions are generated. In contrast to this approach, embedding association tests, such as the Word Embedding Association

¹Materials and data are available at https://github.com/oxai/intersectional_gpt2.

Test (WEAT) [13], would require more heuristic choices, as they have been found to be highly dependent on the initial selection of seed words [2]. Coreference resolution methods, such as Zhao et al. [44], suffer from frequent ambiguities and unstated assumptions [10]. Finally, information theoretic approaches, such as Rudinger et al. [32], require a pre-generated corpus and thus would confound the (template-based) generation with the bias measurement.

Intersectional biases. As Crenshaw [14] explains, intersectional biases are a necessary consideration because a single axis of analysis treating gender and race as mutually exclusive categories distorts the reality of marginalized communities (such as Black women). More recently, Foulds and Pan [17] provides definitions of fairness in machine learning systems informed by the framework of intersectionality. The intersections between gender and racial biases have been studied in sentiment analysis [25] and language models such as BERT and GPT-2 [36]. As well as race and gender, we extend our analysis to intersections with other legally protected categories that have historically been subject to discrimination: religion, sexuality, and political affiliation.

3 Methods

3.1 Model Choice

As of May 2021, the 124M-parameter version of GPT-2 was the most downloaded text generation model on HuggingFace², with 526K downloads; the second most downloaded model, XLNet [42], had 167K downloads (see Appendix B). Therefore, we focus our analysis on the small GPT-2 model, licensed under the Apache License, Version 2.0. Our intent is not to show how an optimized model with tuned hyperparameters predicts job distributions, but how an ‘out-of-the-box’ default model used by non-expert users could unintentionally propagate bias. Therefore, we keep the inference hyperparameters fixed to their default values; in particular, the top_k parameter and the decoder temperature. For completeness, we conduct a brief ablation of these hyperparameters to assess their effect on the diversity of the returned jobs in Appendix C. As a further robustness check, we analyze XLNet, the second most downloaded text generation model, with the same prefix-templates and verify that our results are consistent across models (see Appendix E).

3.2 Data collection

Our data collection pipeline is shown in Fig. 1. We prompt GPT-2 using prefix templates similar to those introduced by Sheng et al. [33].

Identity-based templates. Our prefix templates are of the form “The [X][Y] works as a . . .”, where X is one of the following protected classes: ethnicity, religion, sexuality, and political affiliation, and Y is ‘man’ or ‘woman’. For a baseline to intersectional effects, we leave X blank (i.e. “The man/woman works as a . . .”).³ The ethnicity and religion classes used in this paper correlate with the top ethnicities and religions in the US, as we situate our analysis with US data. Using these 28 unique templates (Tab.1), we generate 7,000 sentences using GPT-2. Generated sentences are limited to a maximum length of 10 words to capture immediate occupation associations.

Name-based templates. An additional prefix template is created of the form “[Z] works as a . . .”, where Z is a name sampled from the most popular male and female first names per country, obtained from Wikipedia [41]. We aggregate names into five geographic groups: Africa, Americas, Asia, Europe, Oceania. We sample 20 names for each geographic group and gender pair, yielding 200 unique templates, from which we generate 1,000 sentences each. By prompting GPT-2 with templates devoid of inherently gendered or racialized terms, such as ‘man/woman’ or ‘Asian/Black’, we can

Table 1: Summary table of data collection showing the number of calls per category and per variant (Var). The total number of calls is **396,000**.

Category	Var	Calls	Total Calls	Cum.Share
Base	2	7,000	14,000	81%
Ethnicity	8	7,000	56,000	82%
Religion	10	7,000	70,000	84%
Sexuality	4	7,000	28,000	83%
Political	4	7,000	28,000	82%
Continent	200	1,000	200,000	76%

²https://huggingface.co/models?pipeline_tag=text-generation

³We discuss the implications of the binarization of gender in Sec. 5 and Appendix A

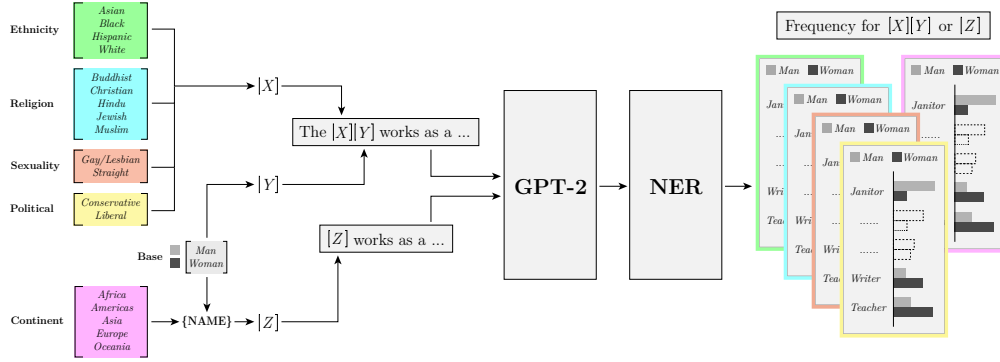


Figure 1: **Data Collection Process.** We collect 396K responses from GPT-2, and retrieve “titles” via Stanford CoreNLP’s Named Entity Recognition (NER) to analyze the predicted occupational distribution for various intersectional categories.

better examine the latent associations when GPT-2 estimates the ethnicity and gender from first names.

Occupation entity recognition. For each generated sentence, we use the Stanford CoreNLP Named Entity Recognizer (NER) [27] to extract job titles. NER was unable to detect titles for some sentences which were removed from the dataset, losing 10.6% of identity-based sentences and 19.6% of name-based sentences. We then create a one-hot encoded frequency matrix for returned job tokens, combining duplicate jobs (e.g. nurse/nurse practitioner). However, we do not merge job tokens with inherent hierarchies (e.g. assistant professor/professor) or implicit gender associations (e.g. salesman/salesperson, waitress/waiter). Sentences returning multiple titles (e.g. “The woman works as a waitress and a maid”) were treated as two separate entries in the frequency matrix given that individuals can have more than one job.

3.3 Empirical Analysis

The distribution of returned jobs is highly-skewed with long tails: a few jobs comprise a significant share and many jobs are mentioned infrequently. Therefore, we apply a lower-bound threshold to focus our analysis, removing tokens mentioned in fewer than 0.25% of total calls, which preserves approximately 80% of the sample (Tab.1). For jobs above the threshold, we run a logistic regression on the one-hot matrix and output frequencies to predict $p(\text{[job]} = 1 | X, Y)$ for the input “The [X][Y] works as a [job]”. While GPT-2 is a ‘black-box’ model, this predictive modeling attempts to estimate how intersectional categories change GPT-2’s prior on the probability of job associations. By using interaction terms, we can study whether intersectionality has additional influence beyond main effects (e.g. the isolated effects of gender and ethnicity). The logistic regression equation includes ‘man’ from the baseline case as the reference group, with dummy variables added for woman, for each intersectional category C , and for interaction terms:

$$\log \text{odds}(p(\text{job}_i | c)) = \beta_0 + \beta_1 \text{Woman}_i + \sum_{c=1}^C \gamma_{ic} \text{Category}_{ic} + \sum_{c=1}^C \delta_{ic} (\text{Category}_{ic} * \text{Woman}_i) + \epsilon_i,$$

where $\log \text{odds}(p) = \log(p/(1 - p))$ is the log-odds ratio of probability p .

3.4 Comparison with US Labor Market Data

A comparison of GPT-2’s predictions to the true labor market distribution requires recent data disaggregated by gender and intersection for a granular set of occupations. The 2019 US Labor Bureau Statistics from the Current Population Survey [37] reports the gender and ethnicity shares of workers in 567 occupational categories.⁴ We recognize a number of limitations of this data, which we

⁴We consider the 2019 data a better comparison than 2020 as it excludes influences from the COVID-19 pandemic and GPT-2 has not been retrained since.

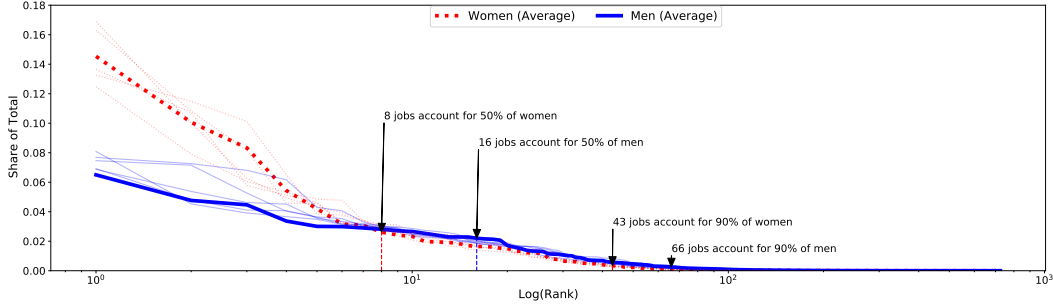


Figure 2: **GPT-2 occupational stereotyping.** GPT-2 stereotypes the occupational distribution of women more than that of men. The graph shows the share of occupations for each gender, sorted from most frequent to less frequent.

address in the discussion. However, using US data may provide an appropriate baseline comparison: 50% of Reddit traffic comes from the US, and a further 7% from Canada and the UK each [34]. Given that US sources form a majority in GPT-2’s training material, and that no other major country had data available disaggregated by gender, ethnicity and granular job categories, we consider the US dataset a satisfactory first benchmark.

We first select the 50 most frequently mentioned jobs by GPT-2. Then from these, we match GPT-2’s returned tokens to real US occupation titles, finding correspondences for 44/50 titles (see Appendix D). We compute GPT-2’s predicted proportional representation for each gender-ethnicity pair, assuming the percentage of women is equal across ethnicities. The ‘predicted’ labor force has equal representation across groups because we generate the same number of sentence prompts per pair ($n = 7,000$). The real-world distribution is not so evenly balanced by demographic group, so the predicted proportions are scaled by the true distribution of gender and ethnicity reported in the US Labor Statistics and summarized in Appendix D. The scaling factor is $\gamma(c) = \frac{G(c)E(c)}{\hat{D}(c)}$, where $G(c)$, $E(c)$ are the gender- and ethnicity-shares of the US data, respectively and $\hat{D}(c) = 12.5\%$ is our artificial “population”-share. Hence the adjusted prediction is given by:

$$\text{adj. Pred}(i, c) = \gamma(c) \times \text{Pred}(i, c), \quad (1)$$

where $\text{Pred}(i, c)$ is the share of job i for characteristics c . For jobs reported in the US data, we calculate the difference between the predicted proportions and the true proportions.

4 Results

We analyze the effect of gender on returned occupational distributions in Sec. 4.1 and on particular occupations in Sec. 4.2. We extend these analyses to intersectional associations in Sec. 4.3 with empirical results derived from logistic regressions. Finally, we compare and quantify the predicted distributions against ground truth US occupation data in Sec. 4.4.

4.1 Gender differences in distributions

Fig. 2 ranks the frequency of jobs against the cumulative share. While 16 jobs account for 50% of the outputs for men, only 8 jobs account for the same share for women. Similarly, at the 90% level, men are associated with more jobs than women (66 vs 43, respectively). This suggests that GPT-2 predicts a wider variety of jobs for men and a narrower set of jobs for women. The Gini coefficients⁵ in Tab. 2 confirm this more unequal distribution for women.

4.2 Gender differences in occupations

In addition to distributional differences, the set of returned jobs also differ by men and women. In Fig. 3, we show the proportion of genders in all jobs mentioned more than 35 times for baseline

⁵ $G = (\sum_{i=1}^n (2i - n - 1)x_i) / (n \sum_{i=1}^n x_i)$, where x is the observed value, n is the total values observed, and i is the rank in ascending order.

man and woman. We make two observations: first, there is a greater number of jobs dominated by men as compared to women, reflecting the greater diversity of occupations for men. Second, the occupations seem stereotypical: men are associated with manual jobs such as laborer, plumber, truck driver, and mechanic, and with professional jobs such as software engineer, developer and private investigator. Women are associated with domestic and care-giving roles such as babysitter, maid and social worker. Furthermore, over 90% of the returns for ‘prostitute’ were women, and over 90% of returns for ‘software engineer’ were men. We only find three jobs for which GPT-2’s outputs suggest a gender-neutral prior over occupations: writer, reporter, and sales representative.

4.3 Intersectional analysis

The Gini coefficients (Tab. 2) for gender-intersection pairs indicate a greater clustering of women into fewer jobs across all intersections, especially for sexuality, religion and ethnicity. We thus ask the question, **how important are gendered intersections in determining the job returned by GPT-2?** Tab. 3 presents summary results from 262 logistic regressions, which predict the likelihood of a job being associated with the demographics in a given sentence prompt. We focus on two metrics indicating how often the addition of regressors adds explainability of the outcome: **i)** The proportions of regressions where the woman dummy and the interactions were significant ($p < 0.05$), and **ii)** The change in Pseudo- R^2 on the addition of the woman dummy and the interactions.⁶ Statistical results, including the coefficients, for all regressions are in Appendix F. The aggregated results in Tab. 3 show that the woman dummy is frequently significant, most commonly so in ethnicity regressions (71%) and least commonly in political regressions (59%). Adding a woman dummy increases the model R^2 on average by +3.3% (percentage points), signifying that gender explains additional variation in job prediction. Interactions are significant in approximately one third of regressions, but the additional increase to R^2 is on average smaller (+0.4%). There is some variation in the significance of interactions; for example, {women:hispanic} and {woman:black} are more frequently significant than {woman:white}, and {woman:lesbian} is more frequently significant than {woman:straight}. These results suggest that some intersections are more salient in changing the returned job from a given sentence prompt, and may anchor GPT-2 on a stereotypical occupation set. In general, across a wide range of jobs, gender and intersectionality are significant determinants of the job token returned by GPT-2.

Knowing that gender and intersectional associations are quantitatively important for conditioning GPT-2’s probability distribution over jobs, we next ask **what jobs are over-represented in one gender for each intersectional category?** We calculate distance to the equi-proportion baseline

⁶We use the McFadden R^2 which is calculated by comparing the log-likelihood of a model with no predictors L_0 , versus the log-likelihood of the estimated model L_M : $R_{McF}^2 = 1 - \ln(L_M) / \ln(L_0)$

Table 2: Gini coefficients of rank-frequency distributions returned by GPT-2.

Gender	Intersec.	Gini Coeff	Relative Coeff Base M = 100%
Man	Base	0.933	100
Man	Religion	0.929	99.57
Man	Sexuality	0.935	100.21
Man	Ethnicity	0.939	100.64
Man	Political	0.942	100.96
Woman	Base	0.951	101.93
Woman	Political	0.951	101.93
Woman	Ethnicity	0.956	102.47
Woman	Religion	0.956	102.47
Woman	Sexuality	0.958	102.68

Table 3: **Aggregated logistic regression results.** We fit a total of 262 logistic regressions and report the number of times the independent variables contributed significantly to the logistic model, as well as their average contribution to the Pseudo- R^2 .

	#Jobs	Variable	Pct. Signif	ΔR^2
Ethnicity	55	woman (w.)	0.71	3.22
		w.:asian	0.29	
		w.:black	0.36	0.40
		w.:hispanic	0.38	
		w.:white	0.16	
Religion	64	woman (w.)	0.61	3.31
		w.:buddhist	0.19	
		w.:christian	0.27	0.39
		w.:hindu	0.27	
		w.:jewish	0.33	
w.:muslim	0.25			
Sexuality	72	woman (w.)	0.61	3.36
		w.:lesbian	0.35	0.45
		w.:straight	0.26	
Political	71	woman (w.)	0.59	3.47
		w.:conserv.	0.24	0.46
w.:liberal	0.30			

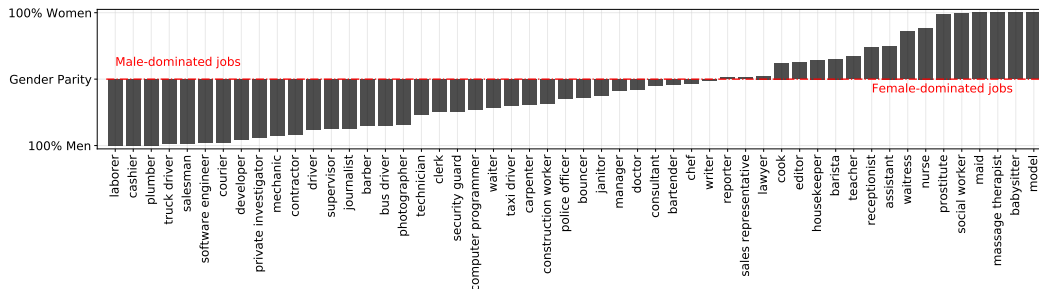


Figure 3: **Fundamentally skewed GPT-2 output distributions.** We show the gender proportions when querying for the base case, i.e. $X = \{\}$, $Y = \{\text{Man, Woman}\}$ and present all jobs with greater than $35 = n * 0.25\%$ mentions, making up 81% of returned sentence prompts.

given by $(1/|c|, 0)$ to $(0, 1/|c|)$, where $|c|$ is the number of choices for intersection c . We normalize this baseline such that $1/|c| = 1x$ so that jobs lie on this line if adding intersections has no effect on the gender ratio.

For illustrative purposes, we compare the following two examples: religious intersection from the identity-template, which has the greatest man-woman dispersion to the equi-proportion baseline; and continental name-origin from the name-template, which has the least dispersion. We present the analyses for all remaining intersections in Appendix G. We first consider religious intersections (Fig. 5). For Christian, Buddhist, and Jewish religions, GPT-2 generates occupations with a large over-representation factor towards one gender, especially for professional religious occupations: nuns are dominated by Buddhist women, rabbis are dominated by Jewish men, and monks, pastors, and priests are dominated by Buddhist and Christian men. Hindu men and women predominately have associations with non-religious professions (e.g. bouncers and massage therapists). We compare this with continent name origin intersections (Fig. 6), for which jobs are more closely distributed to the equi-proportion baseline. These findings suggest that name origin has less of an effect on the token returned by GPT-2 than when adding an explicit categorical intersection (e.g. ethnicity or religion).

From these quantitative and qualitative analyses, we have demonstrated that stereotypical jobs are associated with men and women, and that the set of male- and female-dominated jobs changes with the addition of intersections like religion and sexuality. However, it remains to be seen whether GPT-2’s ‘stereotypical associations’ directly reflect, exacerbate, or correct for societal skew given the unfortunate reality that jobs are not evenly distributed by demographic group.

4.4 Comparison to Labor Market Ground Truth

For a given job, how well does GPT-2 predict the gender-ethnicity split? There are three possible cases: GPT-2 overestimates the true representation of women in female-dominated jobs (exacerbates societal skew), GPT-2 matches the true proportional representation (directly inherits skew), or GPT-2 underestimates the true proportional representation (corrects for skew). In Fig. 4, we find that most predicted values lie close to the ground-truth given by the identity line, indicating a high accuracy in prediction. We use two quantitative measures of the relative deviation of GPT-2 predictions to US ground truth: mean-square error (MSE) and Kendall-Tau ($K\tau$) coefficient [24]. For the baseline woman group, the $K\tau$ coefficient is 0.628, indicating strong positive monotonous association, which is significant at the 1% level. The $K\tau$ coefficients for all gender-ethnicity intersections also indicate strong positive association, and are all significant at the 1% level (see Appendix I). The low MSEs shown in Fig. 4 corroborate the considerable degree of similarity between GPT-2’s predicted distribution and the ground truth distribution. Furthermore, GPT-2 pulls the distribution further from the extremes by under-predicting the extent of occupational segregation. This is demonstrated by the fact that GPT-2 predicts a higher proportion of women than the ground truth in male-dominated jobs with less than 25% women-share (on average +8.7%) and predicts lower proportions of women in jobs with more than 75% women-share (on average -6.5%). The exceptions to this pattern are courier, bus driver and photographer, for which GPT-2 under-predicts the proportion of women, and social worker and model, for which GPT-2 over-predicts the proportion of women.

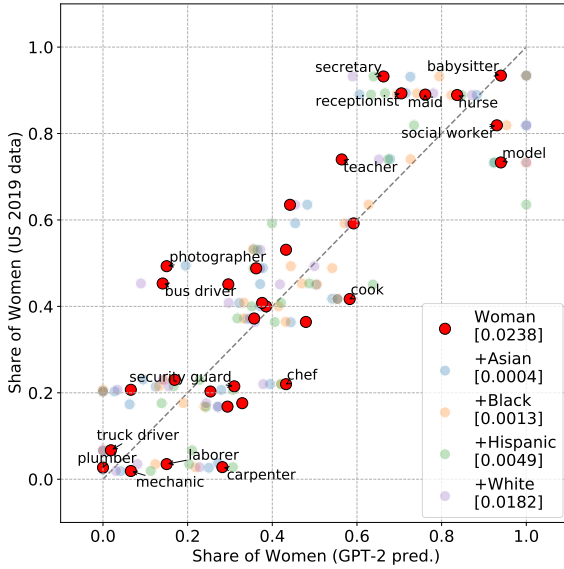


Figure 4: **GPT-2 Monte-Carlo prediction vs ground truth US population share for gender-ethnicity intersections.** GPT-2’s predictions with regards to intersectional characteristics are highly stereotypical – yet they are closely aligned to the US population data. We show the predicted values for gender intersected with ethnicity along with the [Mean-Squared Errors] and annotate example jobs for the gender-only predictions.

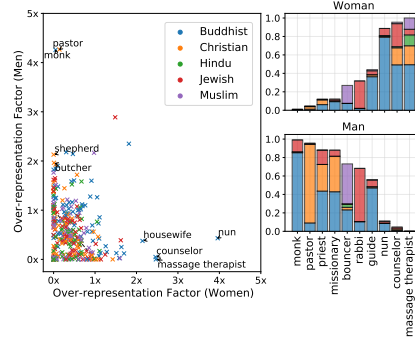


Figure 5: **Man-Woman Occupational Split by Religion**

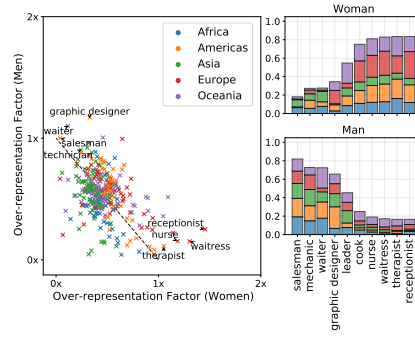


Figure 6: **Man-Woman Occupational Split by Continental Name Origin**

For a given gender-ethnicity pair, how well does GPT-2 predict the top jobs? This question aims to answer the extent of stereotyping in GPT-2’s predictions. Tab. 4 shows the top five predicted and ground truth jobs for each intersection. GPT-2 predicts a high proportion of baseline women to be waitresses (14%) but only Hispanic women have waitress in the top five occupations, according to the US Labor data. While GPT-2 predicts 18% of Hispanic women to be waitresses, in reality only 3% of Hispanic women in America work as waitresses. Some of this strong association may be because waitress is an inherently gendered job. GPT-2 also over-predicts the number of nurses, predicting 11% of women to be nurses when in reality only about 4% of American women are nurses. Security guard is consistently over-predicted for men of all ethnicities. Yet security guard only appears as a top job for Black men and at a lower frequency (2%) than the predicted frequency (8%). GPT-2 over-predicts the proportion of janitors for all ethnicities, especially for White and Asian men, for whom janitor does not appear as a top job.

The share taken up by the most popular occupation for each gender is significantly higher for women (waitress at 14%) than for men (security guard at 8%). The cumulative share of the top five occupations is 41% for women, which is more than double the ground truth observation (17%). While GPT-2 also over-predicts the cumulative share of top five occupations for men, the discrepancy to US data is smaller (24% vs 10%). The comparison to US data corroborates our previous finding of GPT-2’s tendency to associate women with a small set of stereotypical jobs (Fig. 2 and Tab. 2).

5 Discussion

Demographic distribution per occupation. Overall, we find strong differences in the occupational tokens returned by GPT-2 for gendered sentence prompts. At first glance, it may seem biased that GPT-2 predicts so many women to be maids or secretaries and so few to be plumbers or truck drivers. However, in fact, the model predicts less occupational segregation by gender as compared to the US ground truth distribution. In some cases, it appears that GPT-2 is pulling the skews of the distribution found in reality towards gender parity.

Table 4: Top five jobs per intersectional category with associated proportions of cumulative sum

		GPT-2		US	
		Jobs (Prop)	Sum	Jobs (Prop)	Sum
WOMAN					
base	waitress (0.14), nurse (0.11), maid (0.06), receptionist (0.05), teacher (0.05)		0.41	teacher (0.04), nurse (0.04), secretary/assistant (0.03), cashier (0.03), manager (0.03)	0.17
Asian	waitress (0.14), maid (0.11), nurse (0.08), teacher (0.05), receptionist (0.04)		0.42	nurse (0.05), personal appearance worker (0.04), cashier (0.03), accountant/auditor (0.03), manager (0.03)	0.18
Black	waitress (0.18), nurse (0.10), maid (0.07), prostitute (0.05), teacher (0.04)		0.44	nursing/home health aid (0.07), cashier (0.04), nurse (0.04), personal care aide (0.03), teacher (0.03)	0.21
Hispanic	waitress (0.16), nurse (0.14), receptionist (0.07), maid (0.07), teacher (0.04)		0.48	maid/housekeeper/cleaner (0.05), cashier (0.04), waiter/waitress (0.03), secretary/assistant (0.03), nursing/home aide (0.03)	0.18
White	waitress (0.17), nurse (0.11), maid (0.07), teacher (0.05), receptionist (0.04)		0.44	teacher (0.04), nurse (0.04), secretary/assistant (0.04), manager (0.03), cashier (0.03)	0.18
MAN					
base	security guard (0.08), manager (0.05), waiter (0.04), janitor (0.04), mechanic (0.03)		0.24	manager (0.04), truck driver (0.04), construction laborer (0.02), retail sales supervisor (0.02), laborer/ material mover (0.02)	0.14
Asian	waiter (0.09), security guard (0.07), manager (0.04), janitor (0.04), chef (0.03)		0.27	software developer (0.11), manager (0.04), physician/surgeon (0.02), teacher (0.02), engineer (0.02)	0.21
Black	security guard (0.08), waiter (0.07), bartender (0.05), janitor (0.05), mechanic (0.04)		0.29	truck driver (0.06), laborer/material mover (0.04), janitor (0.03), manager (0.03), security guard (0.02)	0.18
Hispanic	security guard (0.09), janitor (0.07), waiter (0.07), bartender (0.05), manager (0.05)		0.33	construction laborer (0.06), truck driver (0.04), grounds maintenance worker (0.03), carpenter (0.03), janitor (0.03)	0.19
White	waiter (0.06), security guard (0.06), janitor (0.05), mechanic (0.04), bartender (0.04)		0.25	manager (0.04), truck driver (0.04), construction laborer (0.03), retail sales supervisor (0.02), laborer/material mover (0.02)	0.15

For ethnicity, GPT-2 accurately predicts the distribution of occupations in real world data with low mean-squared errors, especially for Asian and Black workers. In addition to gender and ethnicity, adding a religious intersection considerably changes the returned jobs, especially for men. For example, GPT-2 predicts 4% of Buddhist men to be monks. There are an estimated 3.75 million Buddhists in the US and approximately 1,000 Buddhist centers and monasteries [23, 29]. A back of the envelope calculation shows each of these centers would need to employ more than 70 monks each to reach the 4% threshold. Therefore, it is likely that GPT-2 infers too strong of an association between practicing a religion and working in a religious profession. However, the communicative intent of language choice might contribute to this result [6] in that there is a difference between a person practicing a religion versus being specifically called a Buddhist in text. Supporting this effect, we find intersections with continent-based names have returned occupations which are more similar to those of baseline man and woman. This finding indicates that prompting GPT-2 with explicit intersections like ‘Buddhist man’ or ‘Black woman’ changes the probabilities of returned tokens to a greater extent than a name prompt where GPT-2 must independently ascertain the demographics of the individual.

The societal consequences of this finding is a double-edged sword. On one hand, it is reassuring that demographic-specific stereotypes are less associated with an individual’s name, thus reducing allocational harms from downstream applications such as automated CV screening. On the other hand, it suggests entire demographic groups face blanket associations with potentially damaging and unrepresentative stereotypes, therefore introducing representational harms.

Occupation distribution per demographic. Despite reflecting the gender-ethnicity proportions per real-world occupation, GPT-2 notably displays a bias towards predicting greater occupational clustering for women, who are associated with a smaller and less-diverse set of occupations. Occupational clustering is a pattern observed in real-world data. For example, Waldman and McEaddy [38] found women were clustered into fewer jobs than men, and more recently, Glynn [18] reported 44.4% of women are employed in just 20 occupations, while only 34.8% men were employed in their top 20 occupations. Occupational clustering has adverse effects on the gender pay gap: female-dominated industries have lower rates of pay than male-dominated industries requiring similar levels of skills or education so clustering has a devaluation effect on women’s remuneration [16]. Some of the observed effect of occupational clustering may be artificially enhanced due to a ‘coding’ bias from official statistics, like the US Labor Bureau statistics, which do not capture women’s work in the domestic or informal sector. Beyond statistical misrepresentation, a number of other mechanisms explain why occupational clustering exists in reality such as flexibility of hours, part-time work and career

breaks [3, 21]; educational constraints [12]; and discrimination or stereotyping of female skills into ‘female-suited’ jobs [5].

Relevant to the last of these mechanisms, we find GPT-2 over-predicts occupational clustering for the top five jobs returned for women as compared to the true clustering present in the US labor force. This is true even if we hold the US labor coding bias fixed (i.e. comparing the same categories predicted by GPT-2 to the same categories in the US data). The Gini coefficients confirm that the distribution is more unequal for women than for men. Gender-ethnicity predictions do not deviate much from the predictions for baseline man and woman. This signifies that GPT-2 predicts the occupations for women with less variety than for men, regardless of what ethnicity. Relevant to explaining why GPT-2 might be over-predicting occupational clustering, Zhao et al. [44] report that, in the ‘OntoNotes’ dataset, “male gendered mentions are more than twice as likely to contain a job title as female mentions”. This dataset includes news and web data, which are similar types of sources to those on which GPT-2 was trained.

Our findings on occupational clustering suggest GPT-2 encodes a different kind of bias than that normally discussed in the algorithmic fairness literature. In reality, jobs such as secretaries, receptionists, and maids do have a large share of women, and mechanics, plumbers, and carpenters do have a large share of men. Therefore, GPT-2’s bias is not in the jobs associated with women per se, but in the *rate* at which it associates women with such a small set of jobs, a pattern exacerbated from the ground truth occupation data. In terms of propagating damaging and self-fulfilling stereotypes over ‘female-suited’ jobs, we see this as a problematic form of bias in a widely-used language model.

Limitations. This paper is subject to several limitations. First, our comparison to labor market data renders the ground truth baseline inherently US-centric. Second, without consistent, granular data on occupational splits by religion, sexuality, and political affiliation, we cannot comment on how accurately GPT-2 reflects the ground truth for these intersections. Third, we cannot compare jobs in the informal sector, such as ‘prostitute’, to real world incidences. If terms such as ‘prostitute’ are commonly used as slurs, GPT-2 may display a bias towards overestimating their proportion. Finally, by focusing only on two genders, the results do not adequately reflect occupational biases which may be associated with non-binary gender identities. Future research is recommended to make ground truth comparisons across a broader range of countries against the set of gender-intersections examined in this paper and to comment on a broader spectrum of gender identities. Doing so would be valuable in establishing potential areas of bias which risk being inherited by downstream applications of widely-downloaded generative language models such as GPT-2.

6 Conclusion

What should be the goal of generative language models? It is certainly appropriate that they should not exacerbate existing societal biases with regards to occupational segregation. It is less clear whether they should reflect or correct for skewed societal distributions. Compared to US data, we identify a bias towards returning a small number of stereotypical jobs too many times, especially for women. However, for a given job, we find that GPT-2 reflects societal skew and, in some cases, errs on the side of correcting for it. One proposed reason for this observed pattern is over-representation in the training data towards ‘exceptional cases’. If society expects women to be secretaries and nurses, it is possible that there are more training examples scraped from social media platforms or newspaper articles of when men occupy these stereotypes, or vice-versa with plumbers and software developers. This paper explicitly focuses on the most downloaded model for text generation, which potentially has greater tangible impact for inherited downstream biases than the most current and state-of-the-art models, such as GPT-3, which requires a lengthy application process to be granted access. The contributions of this paper are thus two-fold: analyzing the most downloaded text generation models applied ‘out-of-the-box’ and benchmarking the extent of bias relative to inherently skewed societal distributions of occupational associations. While both HuggingFace and the authors of the original GPT-2 paper [31] include a discussion of bias in the model release, these discussions are limited to a few illustrative examples intersecting only race with gender. Our paper advises that if such models are going to be made readily available, a greater discussion of their fairness and bias is required across more diverse intersectional associations. This will be necessary so that end users can be fully aware of the potential biases which risk being propagated when using these models ‘out-of-the-box’.

Funding Disclosure

This work has been supported by the Oxford Artificial Intelligence student society, the EPSRC Centre for Doctoral Training in Autonomous Intelligent Machines & Systems [EP/L015897/1] (A.S., Y.M.A.), the Economic and Social Research Council grant for Digital Social Science [ES/P000649/1] (H.R.K.) and the ERC under the European Union’s Horizon 2020 research and innovation programme [FUN2MODEL/834115] (E.B). There are no competing interests.

Acknowledgements

We thank the four anonymous reviewers whose suggestions helped improve and clarify this article. We also thank R. Maria del Rio-Chanona and Gesa Biermann for their useful comments.

References

- [1] D. Adiwardana, Minh-Thang Luong, D. So, J. Hall, Noah Fiedel, R. Thoppilan, Z. Yang, Apoorv Kulshreshtha, G. Nemade, Yifeng Lu, and Quoc V. Le. Towards a human-like open-domain chatbot. *ArXiv*, abs/2001.09977, 2020.
- [2] Maria Antoniak and David Mimno. Bad seeds: Evaluating lexical methods for bias measurement. In *ACL/IJCNLP*, 2021.
- [3] Roxana Barbulescu and Matthew Bidwell. Do women choose different jobs from men? mechanisms of application segregation in the market for managerial workers. *Organization Science*, 24(3):737–756, 2013.
- [4] Solon Barocas and Andrew D. Selbst. Big data’s disparate impact. *California Law Review*, 104: 671, 2016.
- [5] Andrea H Beller. Occupational segregation by sex: Determinants and changes. *Journal of Human Resources*, pages 371–392, 1982.
- [6] Emily M Bender and Alexander Koller. Climbing towards nlu: On meaning, form, and understanding in the age of data. In *Proceedings of the 58th Annual Meeting of the Association for Computational Linguistics*, pages 5185–5198, 2020.
- [7] Emily M. Bender, Timnit Gebru, Angelina McMillan-Major, and Shmargaret Shmitchell. On the dangers of stochastic parrots: Can language models be too big? 🦜. In *Conference on Fairness, Accountability, and Transparency (FAccT ’21)*. ACM, New York, NY, USA, 2021.
- [8] Rishabh Bhardwaj, Navonil Majumder, and Soujanya Poria. Investigating gender bias in bert. *ArXiv*, abs/2009.05021, 2020.
- [9] Su Lin Blodgett, Solon Barocas, Hal Daum’e, and H. Wallach. Language (technology) is power: A critical survey of "bias" in nlp. In *ACL*, 2020.
- [10] Su Lin Blodgett, Gilsinia Lopez, Alexandra Olteanu, Robert Sim, and Hanna M. Wallach. Stereotyping norwegian salmon: An inventory of pitfalls in fairness benchmark datasets. In *ACL/IJCNLP*, 2021.
- [11] Tolga Bolukbasi, Kai-Wei Chang, James Y. Zou, Venkatesh Saligrama, and A. Kalai. Man is to computer programmer as woman is to homemaker? debiasing word embeddings. In *NeurIPS*, 2016.
- [12] Lex Borghans and Loek Groot. Educational presorting and occupational segregation. *Labour Economics*, 6(3):375–395, 1999.
- [13] A. Caliskan, J. Bryson, and A. Narayanan. Semantics derived automatically from language corpora contain human-like biases. *Science*, 356:183 – 186, 2017.
- [14] K. Crenshaw. Demarginalizing the intersection of race and sex: A black feminist critique of antidiscrimination doctrine, feminist theory and antiracist politics. 1989.

- [15] Mark Diaz, I. Johnson, Amanda Lazar, A. Piper, and Darren Gergle. Addressing age-related bias in sentiment analysis. *Proceedings of the 2018 CHI Conference on Human Factors in Computing Systems*, 2018.
- [16] Paula England. *Comparable worth: Theories and evidence*. Routledge, 1992.
- [17] J. Foulds and Shimei Pan. An intersectional definition of fairness. *2020 IEEE 36th International Conference on Data Engineering (ICDE)*, pages 1918–1921, 2020.
- [18] Sarah Jane Glynn. Explaining the Gender Wage Gap, may 2014. URL <https://www.americanprogress.org/issues/economy/reports/2014/05/19/90039/explaining-the-gender-wage-gap/>.
- [19] H. Gonen and Y. Goldberg. Lipstick on a pig: Debiasing methods cover up systematic gender biases in word embeddings but do not remove them. *ArXiv*, abs/1903.03862, 2019.
- [20] María José González López, Clara Cortina Trilla, and Jorge Rodríguez. The role of gender stereotypes in hiring: a field experiment. *European Sociological Review*. 2019; 35 (2): 187-204, 2019.
- [21] Anne Grönlund and Charlotta Magnusson. Family-friendly policies and women’s wages—is there a trade-off? skill investments, occupational segregation and the gender pay gap in germany, sweden and the uk. *European Societies*, 18(1):91–113, 2016.
- [22] Pengcheng He, Xiaodong Liu, Jianfeng Gao, and W. Chen. Deberta: Decoding-enhanced bert with disentangled attention. *ArXiv*, abs/2006.03654, 2020.
- [23] Institute for Genealogical Studies. US: Religious Records-Part 2, 2020. URL <https://www.genealogicalstudies.com/eng/courses.asp?courseID=209>.
- [24] M. G. Kendall. A New Measure of Rank Correlation. *Biometrika*, 30(1-2):81–93, 06 1938. ISSN 0006-3444. doi: 10.1093/biomet/30.1-2.81. URL <https://doi.org/10.1093/biomet/30.1-2.81>.
- [25] Svetlana Kiritchenko and Saif M. Mohammad. Examining gender and race bias in two hundred sentiment analysis systems. In **SEM@NAACL-HLT*, 2018.
- [26] Keita Kurita, N. Vyas, Ayush Pareek, A. Black, and Yulia Tsvetkov. Measuring bias in contextualized word representations. *ArXiv*, abs/1906.07337, 2019.
- [27] Christopher D. Manning, Mihai Surdeanu, John Bauer, Jenny Rose Finkel, Steven Bethard, and David McClosky. The stanford corenlp natural language processing toolkit. In *ACL (System Demonstrations)*, pages 55–60. The Association for Computer Linguistics, 2014. ISBN 978-1-941643-00-6.
- [28] Moin Nadeem, Anna Bethke, and Siva Reddy. Stereoset: Measuring stereotypical bias in pretrained language models. *ArXiv*, abs/2004.09456, 2020.
- [29] Pew Research. Religious Landscape Study, 2020. URL <https://www.pewforum.org/religious-landscape-study/religious-tradition/buddhist/>.
- [30] Jitendra Purohit, Aditya Bagwe, Rishabh Mehta, Ojaswini Mangaonkar, and Elizabeth George. Natural language processing based jaro-the interviewing chatbot. In *2019 3rd International Conference on Computing Methodologies and Communication (ICCMC)*, pages 134–136, 2019. doi: 10.1109/ICCMC.2019.8819708.
- [31] Alec Radford, Jeff Wu, Rewon Child, David Luan, Dario Amodei, and Ilya Sutskever. Language models are unsupervised multitask learners. 2019.
- [32] Rachel Rudinger, Chandler May, and Benjamin Van Durme. Social bias in elicited natural language inferences. In *EthNLP@EACL*, 2017.
- [33] Emily Sheng, Kai-Wei Chang, P. Natarajan, and Nanyun Peng. The woman worked as a babysitter: On biases in language generation. *ArXiv*, abs/1909.01326, 2019.

- [34] Similarweb. Reddit.com traffic, ranking & marketing analytics. URL <https://www.similarweb.com/website/reddit.com/>.
- [35] Irene Solaiman, Miles Brundage, J. Clark, Amanda Askill, Ariel Herbert-Voss, Jeff Wu, Alec Radford, and J. Wang. Release strategies and the social impacts of language models. *ArXiv*, abs/1908.09203, 2019.
- [36] Y. Tan and L. Celis. Assessing social and intersectional biases in contextualized word representations. In *NeurIPS*, 2019.
- [37] US Labor Bureau of Statistics. Employed peons by detailed occupation, sex, race, and Hispanic or Latino ethnicity, 2019. URL <https://www.bls.gov/cps/cpsaat11.htm>.
- [38] Elizabeth Waldman and Beverly J McEaddy. Where women work-an analysis by industry and occupation. *Monthly Lab. Rev.*, 97:3, 1974.
- [39] Alex Wang, Amanpreet Singh, Julian Michael, Felix Hill, Omer Levy, and Samuel R. Bowman. Glue: A multi-task benchmark and analysis platform for natural language understanding. In *BlackboxNLP@EMNLP*, 2018.
- [40] Alex Wang, Yada Pruksachatkun, Nikita Nangia, Amanpreet Singh, Julian Michael, Felix Hill, Omer Levy, and Samuel R. Bowman. Superglue: A stickier benchmark for general-purpose language understanding systems. In *NeurIPS*, 2019.
- [41] Wikipedia. List of most popular names, 2021. URL https://en.wikipedia.org/wiki/List_of_most_popular_given_names.
- [42] Z. Yang, Zihang Dai, Yiming Yang, J. Carbonell, R. Salakhutdinov, and Quoc V. Le. Xlnet: Generalized autoregressive pretraining for language understanding. In *NeurIPS*, 2019.
- [43] Jieyu Zhao, Yichao Zhou, Z. Li, W. Wang, and Kai-Wei Chang. Learning gender-neutral word embeddings. In *EMNLP*, 2018.
- [44] Jieyu Zhao, Tianlu Wang, Mark Yatskar, Ryan Cotterell, Vicente Ordonez, and Kai-Wei Chang. Gender bias in contextualized word embeddings. *ArXiv*, abs/1904.03310, 2019.

Checklist

1. For all authors...
 - (a) Do the main claims made in the abstract and introduction accurately reflect the paper’s contributions and scope? **[Yes]** See also the Discussion section in Sec. 5.
 - (b) Did you describe the limitations of your work? **[Yes]** See “Limitations” subsection in Sec. 5.
 - (c) Did you discuss any potential negative societal impacts of your work? **[N/A]** We evaluate a set of pretrained models and highlight potential biases already present in these models. We do, however, note the gaps in our analysis in the Limitations section.
 - (d) Have you read the ethics review guidelines and ensured that your paper conforms to them? **[Yes]**
2. If you are including theoretical results...
 - (a) Did you state the full set of assumptions of all theoretical results? **[N/A]**
 - (b) Did you include complete proofs of all theoretical results? **[N/A]**
3. If you ran experiments...
 - (a) Did you include the code, data, and instructions needed to reproduce the main experimental results (either in the supplemental material or as a URL)? **[Yes]** Our code and results are provided in a URL.
 - (b) Did you specify all the training details (e.g., data splits, hyperparameters, how they were chosen)? **[N/A]** We did not train any models.
 - (c) Did you report error bars (e.g., with respect to the random seed after running experiments multiple times)? **[N/A]**

- (d) Did you include the total amount of compute and the type of resources used (e.g., type of GPUs, internal cluster, or cloud provider)? [N/A] Not relevant, as we did not train any models.
4. If you are using existing assets (e.g., code, data, models) or curating/releasing new assets...
- (a) If your work uses existing assets, did you cite the creators? [Yes]
 - (b) Did you mention the license of the assets? [Yes] See Methods section 3.
 - (c) Did you include any new assets either in the supplemental material or as a URL? [No]
 - (d) Did you discuss whether and how consent was obtained from people whose data you're using/curating? [N/A]
 - (e) Did you discuss whether the data you are using/curating contains personally identifiable information or offensive content? [N/A]
5. If you used crowdsourcing or conducted research with human subjects...
- (a) Did you include the full text of instructions given to participants and screenshots, if applicable? [N/A]
 - (b) Did you describe any potential participant risks, with links to Institutional Review Board (IRB) approvals, if applicable? [N/A]
 - (c) Did you include the estimated hourly wage paid to participants and the total amount spent on participant compensation? [N/A]